01204211 Discrete Mathematics Lecture 17: Primality testing (2)

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Efficient algorithms

This lecture proves that the two forms of the Fermat's Little Theorem are equivalent.

We then outline a primality testing algorithm based on the Fermat's Little Theorem. This algorithm requires two subroutines for

- ightharpoonup computing powers a^n ,
- finding the greatest common divisors.

We will present efficient algorithms for these two problems.

Fermat's Little Theorem

The common form of the Fermat's Little Theorem is as follows.

First form

Theorem: If p is a prime and a is an integer not divisible by p, then $a^{p-1} \mod p = 1$.

However, we prove the following version in the previous lecture:

Second form

Theorem: If p is a prime, then $p|a^p-a$, for any integer a.

We shall prove that both forms are equivalent.

Basic claim

We need the following claim to prove the equivalence.

Claim 1: If p is a prime and p|ab but $p \nmid a$, then p|b.

Proof: Factor ab into a product of primes. Since p divides ab then p must be one of the factors in the prime factorization of ab. However, since $p \not| a$, p does not appear in a's prime factorization. This implies that p must be from b's prime factorization. Hence, p|b.

Note that the assumption that p is a prime is crucial. For example, if we let p=6, a=9, and b=2, we can see that 6|18, but $6 \not |9$ or $6 \not |2$.

Another form for the Fermat's Little Theorem

To show that both forms of the Fermat's Little Theorem are equivalent, we need to prove the following two claims. (You should look at the claims and try to understand why proving both of them implies that both forms of the Fermat's Little Theorem are equivalent.)

Second form \Rightarrow first form

Claim 2: If p is a prime, p does not divide an integer a, and $p|a^p-a$; then $a^{p-1} \mod p=1$.

Proof: Write $a^p-a=a(a^{p-1}-1)$. Since $p|a(a^{p-1}-1)$ but $p\not|a$, from claim 1, we have that $p|a^{p-1}-1$. Thus,

$$a^{p-1} \mod p = 1,$$

as required.

First form \Rightarrow second form

Claim 3: Lat p be a prime. If for any integer a not divisible by p, $a^{p-1} \mod p = 1$, then for any integer b, we have that $p|b^p - b$.

Proof: There are two cases, when $p \not| b$ and p | b. In the first case where $p \not| b$ the assumption implies

In the first case where $p\not|b$, the assumption implies that $p|b^{p-1}-1$; thus, $p|b(b^{p-1}-1)$, as required.

Now consider the case when p|b. Since we can write $b^p-b=b(b^{p-1}-1)$, we know that $p|b(b^{p-1}-1)$, because p|b. \blacksquare

The test: idea

If we want to check if q is a prime, from the Fermat's Little Theorem, we know that when q is a prime, for any integer a not divisible by q, $a^{q-1} \mod q = 1$. We can devise a test based on this fact:

PROCEDURE FermatTest0(q,a)

- 1. Find $y = a^{q-1} \mod q$
- 2. if $y \neq 1$ then
- 3. return "COMPOSITE"
- 4. else
- 5. return "PRIME"
- 6. endif

To implement this test efficiently, we need a way to find $a^{q-1} \mod q$ quickly. Recall that in our test, q will be a very large number and an O(q)-time algorithm or even $O(\sqrt{q})$ -time algorithm will not be fast enough.

Computing powers

If we want to find $a^n \mod q$, a straight-forward implementation is to perform n multiplications. When a and q are m-bit numbers, this takes $O(nm^2)$ time because multiplication and division run in $O(m^2)$ time.

Can we compute the power faster? Let's start with some example.

- ▶ How to compute a^2 ? We can't do anything faster then just computing directly a^2 .
- What can we compute if we know a^2 ? Note that we can square a^2 to get $a^2 \times a^2 = a^4$.
- ▶ We can keep squaring to get $a^8 = a^4 \times a^4$, $a^{16} = a^8 \times a^8$, and so on.
- ▶ Thus, we can compute a^{2^k} with only k multiplications.

We know how to compute the power a^n when n is a power of 2. We still need to handle the case when the exponent n is not a power of 2.

To deal with general case, we can either keep all computed powers and multiply appropriate results to get the final answer. For example, to compute a^{20} , we note that $a^{20}=a^{16}\cdot a^4$, so we first compute a^2, a^4, a^8, a^{16} and multiply a^{16} and a^4 .

A recursive algorithm below takes another approach. It first finds $a^{\lfloor n/2 \rfloor}$, where $\lfloor x \rfloor$ is a floor function that returns the largest integer not greater than x (e.g., $\lfloor 1.2 \rfloor = 1$ and $\lfloor 2 \rfloor = 2$). It then squares the result and depending on the value of n/2, multiplies the result with a.

PROCEDURE Power(a,n)

- $1. \ \ \text{if} \ n=1 \ \ \text{then return} \ a \ \ \text{endif}$
- 2. **let** $b \leftarrow \mathsf{Power}(a, \lfloor n/2 \rfloor)$
- 3. if 2|n then
- 4. return $b \times b$
- 5. **else**
- 6. **return** $b \times b \times a$
- 7. **endif**

The number of multiplications

Let's analyze the number of multiplications required to compute a^n . We will not be very precise here, and you will learn more about this techniques in later courses.

First, we can see that multiplications appear on line 4 and 6. Excluding the multiplications excuted in the recursive calls, each invocation of procedure Power requires at most 3 multiplications.

To analyze the number of multiplications, we only need to look at the depth of the recursion.

Let's look at an example of Power(a,140):

$$\begin{array}{l} \mathsf{Power}(\mathsf{a}, 135) \rightharpoonup \mathsf{Power}(\mathsf{a}, 67) \rightharpoonup \mathsf{Power}(\mathsf{a}, 33) \rightharpoonup \mathsf{Power}(\mathsf{a}, 16) \\ \rightharpoonup \mathsf{Power}(\mathsf{a}, 8) \rightharpoonup \mathsf{Power}(\mathsf{a}, 4) \rightharpoonup \mathsf{Power}(\mathsf{a}, 2) \rightharpoonup \mathsf{Power}(\mathsf{a}, 1) \end{array}$$

One important observation is that each recursive call decreases \boldsymbol{n} by at least a factor of 2.

Since for each level of recursion, n decrease by at least a factor of 2. Let n_i be the value of variable n after i levels of recursion. Clearly, $n_0 = n$. We have that $n_1 \leq n_0/2$, $n_2 \leq n_1/2$, and so on, or in general,

$$n_{i+1} \le n_i/2.$$

This implies that

$$n_i \le n_0/2^i = n/2^i$$
.

Also, note that the algorithm stops when n=1. Let k be the maximum level of recursion. We then have this equation

$$1 = n_k < n/2^k$$
,

or $2^k \le n$. To solve for k, we can use logarithms. Taking logarithms on both side, we get that

$$k \le \log n$$
,

where $\log(\cdot)$ is a logarithm based 2. Thus, the number of multiplications required is $O(\log n)$.

The running time

We have shown that the number of multiplications is $O(\log n)$. However, we treat all multiplications as a unit of computation. While this is OK, when the numbers are small enough. However, in our case where we deal with large numbers (e.g., 1000-bit numbers), we need to consider the size of the integers when multiplying them.

Let a and n be m-bit integers. The number of bits for a^n is nm, which is extremely large. If we need the actual number, there is no way to find it efficiently, as the number of bits in the output is already exponential in m. (Recall that n can be as large as 2^m .)

But in our case, we do not want the actual number a^n , but $a^n \mod q$, where q is an m-bit number. Therefore, we can keep all numbers below q by performing a modulo operation after every multiplication. This means that each multiplication runs in $O(m^2)$ time. Therefore, the algorithm runs in $O(m^2 \log n)$. Since n is an m-bit integer, it is at most 2^m , implying $\log n \leq m$. The running time for Power is $O(m^3)$.

The greatest common divisors

Another important concept in number theory is the greatest common divisor.

A **common divisor** for integers a and b is an integer c such that c|a and c|b. A common divisor c is **the greatest common divisor** if, for every common divisor c', $c' \leq c$.

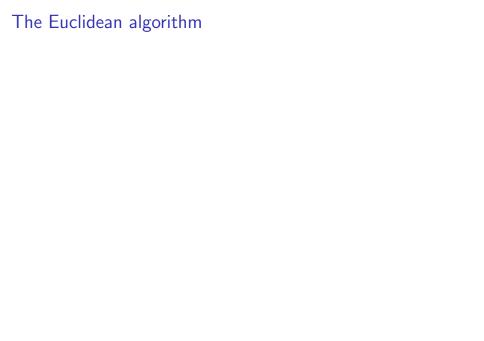
The test: idea + more condition

If q is a prime and a is chosen so that $2 \leq a \leq q-1$, we know that gcd(q,a)=1. We shall add this condition to the test as well. While this check might not be that helpful in itself when q is composite, it is crucial to our proof that the algorithm works (described in the next lecture).

PROCEDURE FermatTest(q,a)

- 1. if $GCD(q, a) \neq 1$ then return "COMPOSITE" endif
- 2. Find $y = a^{q-1} \mod q$
- 3. if $y \neq 1$ then
- 4. return "COMPOSITE"
- 5. else
- 6. return "PRIME"
- 7. endif

Finding the greatest common divisors



An example

The running time