

1/25/18

HEAPS

- Claim: If start with valid heap, increase any node i and ^{decrease} $\text{Heapify-Down}(i)$, then get valid heap.
Heapify-Up(i)

$\Theta(\log n)$ time.

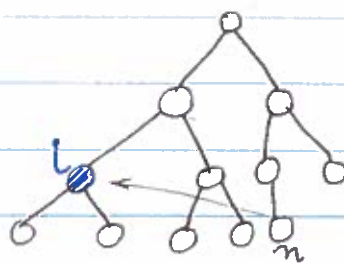
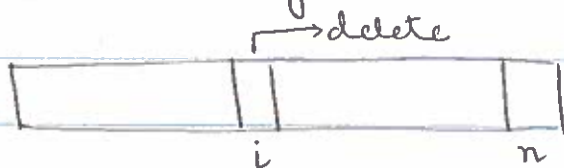
→ Insertion: $\Theta(\log n)$

Say a new element is to be added → add to end of array and call $\text{Heapify-Up}(i)$ → Taken $\Theta(\log n)$ time.



- Can think there was ∞ there before and we decreased it

→ Deletion: $\Theta(\log n)$



- Easy to delete and insert at the end of the array.
- What we do is: Override value of i with value of n .
 $\text{value}(i) = \text{value}(n)$

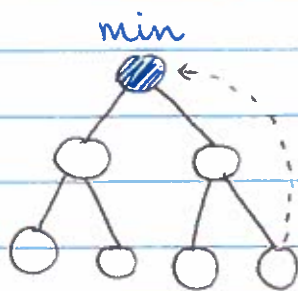
If $\text{value}(i)$ is larger than before, call $\text{Heapify-Down}(i)$.

If $\text{value}(i)$ is smaller than before, call $\text{Heapify-Up}(i)$
delete n

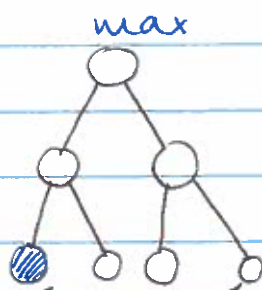
?

→ Data structure such that we find min and max in $\Theta(1)$ time, insert/delete in $\Theta(\log n)$.

A



In addition to value, store index in max-heap



with value, store index in min-heap.

↳ If not stored, can't know where element to delete is.

→ Naive Way to Build a Heap:

- Build a heap from existing array A.

↳ Repeatedly call insert: $\Theta(n \log n)$

↳ Can do better! $\Theta(n)$

◦ For simplicity assume:

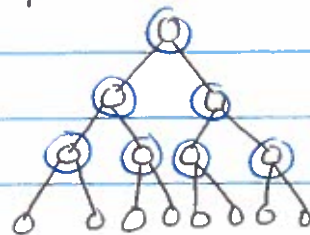
$|A| = 2^k - 1$ for some $k \geq 1$, i.e., will be a full binary tree.

◦ Build-Heap(A):

for $i = \lfloor \frac{|A|}{2} \rfloor$ to 1

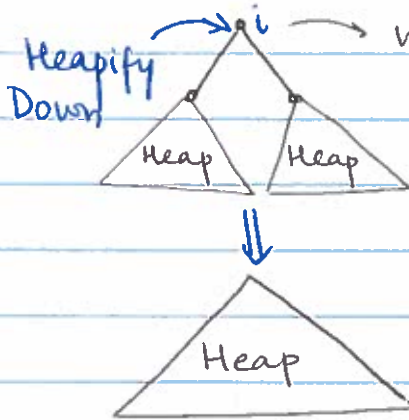
Heapify-Down(A, i)

array in arbitrary order



? Why backward order?
? Why Heapify-Down?

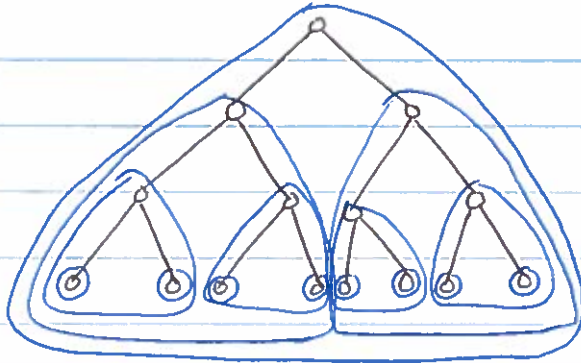
→ Say we have a subtree,



whole thing might or might not be a heap.

- Why Heapify-Down?
Assume at i , it was $-\infty$.
now we increase the val

Similarly, we have a base case that each leaf is a heap.



Recursive

∴ We go backward & use Heapify-Down.

? Why is this $\Theta(n)$?

- Seems to be $n \log n$

↓
Heapify-Down
Nodes looked at

→ Total Work (# of swaps that might be needed)

	$\begin{matrix} \text{\#nodes} \\ \uparrow \end{matrix}$	$\begin{matrix} \text{\#swaps} \\ \nearrow \end{matrix}$
$l=0$	1	3
$l=1$	2	2
$l=2$	4	1
$l=3$	8	0

Generally, for layer l work done is
 $(h-l) 2^l$
 \downarrow
 height

$$\therefore \text{Total work for all layers} = \sum_{l=0}^h 2^l (h-l)$$

Let ~~let~~ $j = h-l$,

$$\sum_{l=0}^h 2^l (h-l) = \sum_{j=h}^0 2^{h-j} \cdot j = 2^h \sum_{j=0}^h \frac{j}{2^j} \quad \left| \quad \sum_{j=0}^{\infty} \frac{j}{2^j} = 2 \right.$$

$$\leq 2^h (2) = 2^{h+1}$$

For a full-binary tree, $n = \sum_{l=0}^h 2^l = 2^{h+1} - 1$

$\therefore \text{Total work of all layers} \leq 2^{h+1} = n+1 \quad \Theta(n)$

\Downarrow
 $\boxed{\Theta(n)}$

GRAPH ALGORITHMS

→ DFS, BFS, Topological Sort

⇒ Representation of Graphs:

→ Good to find neighbours in constant time

→ Adjacency Matrix - Directed Graphs not symmetric m

→ Adjacency Lists - Array of vertices in graph and each array element has a linked list.
(need not be sorted linked list)

→ To find neighbours, worst case need to traverse entire linked list.

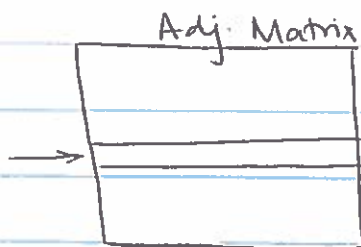
• Check if $i \rightarrow j$? Adj. Matrix: $\Theta(1)$

Adj. Lists: $\Theta(m)$

($n = \# \text{ of nodes}$
 $m = \# \text{ of edges}$)

→ # of edges.

• We prefer adjacency lists because it is easier to make a walk on a tree with them (discussed later in BFS, DFS)



To go to next adjacent node need to scan whole row & look out for a 1.

In adj lists, list has this info.

⇒ DEPTH FIRST SEARCH (DFS):

- Find all nodes reachable from u (BFS also gives this)

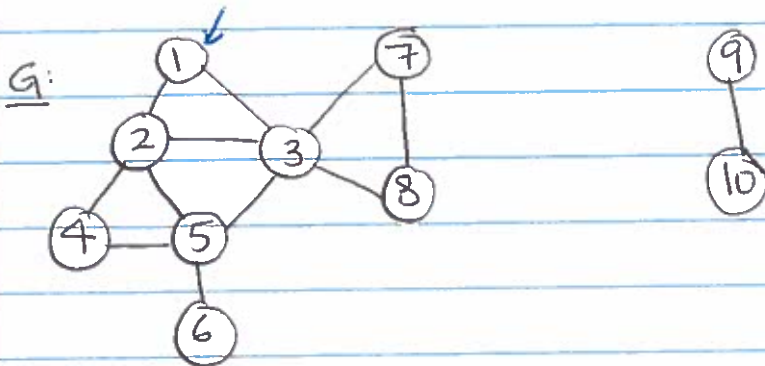
- BFS and DFS are for both directed and undirected graphs.

↓
we look at this in class.

⇒ DFS(u):

mark u as explored
add node u to T
for each edge (u,v):
if v is not explored
add edge (u,v) to T
DFS(v)

Return all explored nodes



DFS Tree: Starting at 1

(Say adj lists have
smaller value first)

