

# Deep Learning: Why and What

## Case Study: Image Classification

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- ▶ Given a fixed categories, such as {cat, dog, plane, trunk, ...},

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- ▶ Given a fixed categories, such as {cat, dog, plane, trunk, ...},
- ▶ For each image, assign one of those categories as its label.

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## Image recognition task

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## Image recognition task

- Easy for human,

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## Image recognition task

- ▶ Easy for human,
- ▶ Hard for computer,

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## Image recognition task

- ▶ Easy for human,
- ▶ Hard for computer,
- ▶ Computer can only see an array of numbers.

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# Other Challenges

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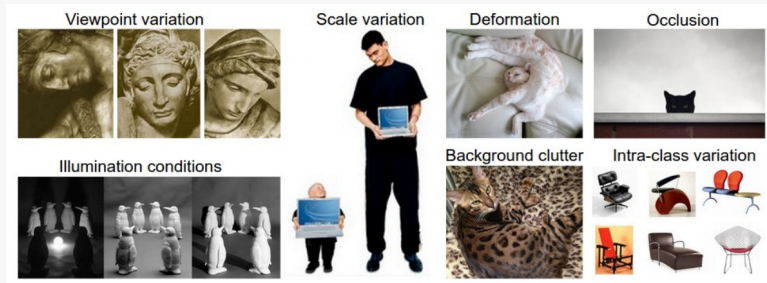
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**Figure:** Other challenges for image recognition. This figure is reproduced from [3].

How to do image classification?

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How to do image classification?

- ▶ Can not be solved by rule-based methods,

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## How to do image classification?

- ▶ Can not be solved by rule-based methods,
- ▶ So we mimic the human learning process,

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## How to do image classification?

- ▶ Can not be solved by rule-based methods,
- ▶ So we mimic the human learning process,
- ▶ Let machine learn from data.

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$$D = \{(\vec{x}^{(i)}, y^{(i)})\}_{i=1}^m, \quad (1)$$

$$\vec{x}^{(i)} \in \mathbb{R}^n, \forall i, \quad (2)$$

$$y^{(i)} \in \{0, 1, 2, 3, \dots, K-1\}, \forall i. \quad (3)$$

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- ▶  $\vec{x}^{(i)}$ :  $i$ -th input image, expand it into vector,

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- ▶  $\vec{x}^{(i)}$ :  $i$ -th input image, expand it into vector,
- ▶  $n$ : dimension of input data. E.g., if input image size  $1024 \times 768 \times 3$ , then  $n = 1024 \times 768 \times 32 = 359296$ ,

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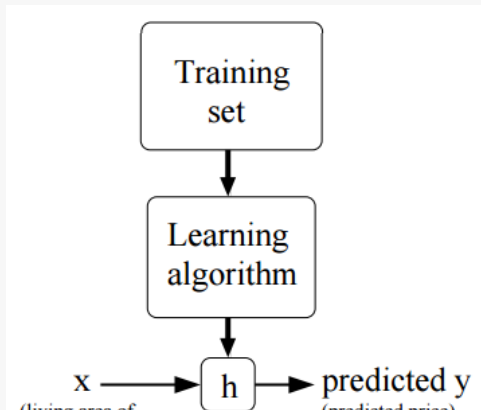
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- ▶  $n$ : dimension of input data. E.g., if input image size  $1024 \times 768 \times 3$ , then  $n = 1024 \times 768 \times 32 = 359296$ ,
- ▶  $y^{(i)}$ : label corresponds to  $\vec{x}^{(i)}$ .



# Hypothesis Function



**Figure:**  $h(\vec{x})$  gives confidence or score of  $\vec{x}$  belonging to different classes. This figure is reproduced from [8].

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$h$ : Linear mapping

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$h$ : Linear mapping

$$h(\vec{x}; W, \vec{b}) = W\vec{x} + \vec{b}, \quad (4)$$

$$W \in \mathbb{R}^{K \times n}, \vec{b} \in \mathbb{R}^K. \quad (5)$$

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- ▶  $(W, \vec{b})$ : parameters of  $h$ ,

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$$W \in \mathbb{R}^{K \times n}, \vec{b} \in \mathbb{R}^K. \quad (5)$$

- ▶  $(W, \vec{b})$ : parameters of  $h$ ,
- ▶  $W$ : weights,

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- ▶  $(W, \vec{b})$ : parameters of  $h$ ,
- ▶  $W$ : weights,
- ▶  $\vec{b}$ : bias vector.

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## Goal

- Set  $(W, \vec{b})$  to let the score computed match the ground truth,

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## Goal

- ▶ Set  $(W, \vec{b})$  to let the score computed match the ground truth,
- ▶ Once learning done, we can throw away the training set, and make prediction based on  $(W, \vec{b})$ .

# Understanding of Hypothesis Function

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$$h(\vec{x}; W, \vec{b}) = W\vec{x} + \vec{b} \quad (6)$$

$$= \begin{bmatrix} \vec{w}_0^T \\ \vec{w}_1^T \\ \vdots \\ \vec{w}_{K-1}^T \end{bmatrix} \vec{x} + \begin{bmatrix} b_0 \\ b_1 \\ \vdots \\ b_{K-1} \end{bmatrix} \quad (7)$$

$$= \begin{bmatrix} \vec{w}_0^T \vec{x} + b_0 \\ \vec{w}_1^T \vec{x} + b_1 \\ \vdots \\ \vec{w}_{K-1}^T \vec{x} + b_{K-1} \end{bmatrix}. \quad (8)$$

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- Simultaneously has  $K$  classifiers,

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- ▶ Simultaneously has  $K$  classifiers,
- ▶ For each  $0 \leq d \leq K - 1$ ,  $\vec{w}_d^T \vec{x} + b_d$  computes the confidence or score  $\vec{x}$  belonging to the  $d$ -th class.

# Hypothesis of Softmax Classifier

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$$\vec{s} = h(\vec{x}) = W\vec{x} + \vec{b}. \quad (9)$$

# Hypothesis of Softmax Classifier

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$$\vec{s} = h(\vec{x}) = W\vec{x} + \vec{b}. \quad (9)$$

Probability interpretation

$$\Pr(y = k|\vec{x}) = \frac{\exp(s_k)}{\sum_{j=0}^{K-1} \exp(s_j)} \in [0, 1], \forall k. \quad (10)$$

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Prediction and

- ▶ Given  $h$ , for each  $\vec{x}^{(i)}$ , we can compute scores  $\vec{s}^{(i)}$  belonging to each class,

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- ▶ Given  $h$ , for each  $\vec{x}^{(i)}$ , we can compute scores  $\vec{s}^{(i)}$  belonging to each class,
- ▶ We need a measure  $\vec{s}^{(i)}$  does or does not match ground truth  $y^{(i)}$ ,



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- ▶ This measure tells us goodness of parameters  $(W, \vec{b})$ ,

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- ▶ Given  $h$ , for each  $\vec{x}^{(i)}$ , we can compute scores  $\vec{s}^{(i)}$  belonging to each class,
- ▶ We need a measure  $\vec{s}^{(i)}$  does or does not match ground truth  $y^{(i)}$ ,
- ▶ This measure tells us goodness of parameters  $(W, \vec{b})$ ,
- ▶ Loss function measures how much  $\vec{s}^{(i)}$  does not match  $y^{(i)}$ .

Loss function of softmax classifier can be computed by maximum likelihood estimate

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Loss function of softmax classifier can be computed by maximum likelihood estimate

$$W^*, \vec{b}^* = \arg \max_{W, \vec{b}} \prod_{i=1}^m p(y^{(i)} | \vec{x}^{(i)}; W, \vec{b}) \quad (11)$$

$$= \arg \min_{W, \vec{b}} \frac{1}{m} \sum_{i=1}^m \left( -s_{y^{(i)}}^{(i)} + \log \left( \sum_{j=0}^{K-1} \exp(s_j^{(i)}) \right) \right) \quad (12)$$

$$\stackrel{\text{def}}{=} \arg \min_{W, \vec{b}} \frac{1}{m} \sum_{i=1}^m \text{err}(W, \vec{b}; \vec{x}^{(i)}, y^{(i)}) \quad (13)$$

$$\stackrel{\text{def}}{=} \arg \min_{W, \vec{b}} J(W, \vec{b}). \quad (14)$$

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For simplicity, write  $\text{err}(W, \vec{b}; \vec{x}^{(i)}, y^{(i)})$  as  $\text{err}^{(i)}$ .

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Good  $(W, \vec{b})$  min.

$$J(W, \vec{b}) = \frac{1}{m} \sum_{i=1}^m -\log \frac{\exp(s_{y^{(i)}}^{(i)})}{\sum_{j=0}^{K-1} \exp(s_j^{(i)})} . \quad (15)$$

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But that  $(W, \vec{b})$  is not unique

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- ▶ Then the result of adding const.  $c, c > 0$  to every element of  $(W^*, \vec{b}^*)$  is also opt.,
- ▶ We handle this problem by make preference of  $(W, \vec{b})$ , this is achieved by adding regularization term  $\Omega(W)$ ,

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- Commonly used regularization term is  $\ell_2$  norm

$$\Omega(W) = \|W\|_F^2 = \sum_{d=0}^{K-1} \sum_{j=0}^{n-1} W_{dj}^2. \quad (16)$$

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$$\Omega(W) = \|W\|_F^2 = \sum_{d=0}^{K-1} \sum_{j=0}^{n-1} W_{dj}^2. \quad (16)$$

- $\ell_2$  prefers small and diffused weights, which is good for generalization.

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- $\ell_2$  prefers small and diffused weights, which is good for generalization.

Then the total loss function becomes

$$J(W, \vec{b}) = \frac{1}{m} \sum_{i=1}^m -\log \frac{\exp(s_{y^{(i)}}^{(i)})}{\sum_{j=0}^{K-1} \exp(s_j^{(i)})} + \frac{\lambda}{2} \|W\|_F^2 \quad (17)$$

$$= \frac{1}{m} \sum_{i=1}^m \text{err}^{(i)} + \frac{\lambda}{2} \|W\|_F^2. \quad (18)$$

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Goal:

$$W^*, \vec{b}^* = \arg \min_{W, \vec{b}} J(W, \vec{b}) . \quad (19)$$

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Goal:

$$W^*, \vec{b}^* = \arg \min_{W, \vec{b}} J(W, \vec{b}) . \quad (19)$$

This is an unconstrained optimization problem.



# Visualizing Loss Function

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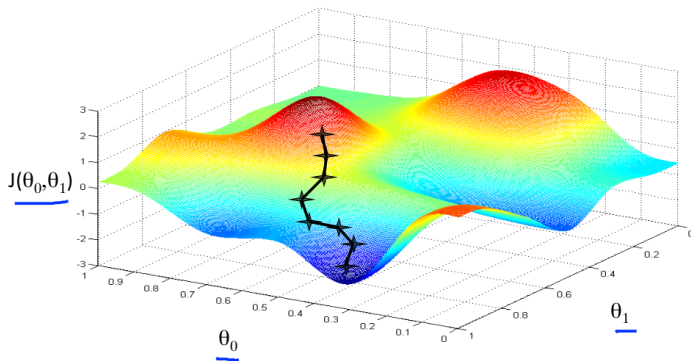
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**Figure:** Visualizing  $J$  wrt  $(W, \vec{b})$  is hard, but we can choose 2 directions  $(\theta_0, \theta_1)$  in parameter space, and draw  $J$  along that 2 directions. This figure is reproduced from [9].

How to find opt.  $(W, \vec{b})$

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How to find opt.  $(W, \vec{b})$

- Find them directly is hard,

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How to find opt.  $(W, \vec{b})$

- ▶ Find them directly is hard,
- ▶ Our strategy is start with random  $(W, \vec{b})$ , and refine it iteratively

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How to find opt.  $(W, \vec{b})$

- Find them directly is hard,
- Our strategy is start with random  $(W, \vec{b})$ , and refine it iteratively

$$W \leftarrow W - \alpha \nabla_W J(W, \vec{b}) = W - \alpha \frac{1}{m} \sum_{i=1}^m \nabla_W \text{err}^{(i)} - \alpha \lambda W, \quad (20)$$

$$\vec{b} \leftarrow \vec{b} - \alpha \nabla_{\vec{b}} J(W, \vec{b}) = \vec{b} - \alpha \frac{1}{m} \sum_{i=1}^m \nabla_{\vec{b}} \text{err}^{(i)}. \quad (21)$$

# Softmax Update Rule

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$$\vec{s}^{(i)} = W\vec{x}^{(i)} + \vec{b}, \forall i, \quad (22)$$

$$\text{err}^{(i)} = -\log \frac{\exp(s_{y^{(i)}}^{(i)})}{\sum_{j=0}^{K-1} \exp(s_j^{(i)})}. \quad (23)$$

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$$\text{err}^{(i)} = -\log \frac{\exp(s_{y^{(i)}}^{(i)})}{\sum_{j=0}^{K-1} \exp(s_j^{(i)})}. \quad (23)$$

By calculus,

$$\nabla_{\vec{s}^{(i)}} \text{err}^{(i)} = \frac{\exp(\vec{s}^{(i)})}{\sum_{j=0}^{K-1} \exp(s_j^{(i)})} - \vec{e}_{y^{(i)}}. \quad (24)$$



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- $\vec{e}_{y^{(i)}}$  is a  $K$ -dimension vector,

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By calculus,

$$\nabla_{\vec{s}^{(i)}} \text{err}^{(i)} = \frac{\exp(\vec{s}^{(i)})}{\sum_{j=0}^{K-1} \exp(s_j^{(i)})} - \vec{e}_{y^{(i)}}. \quad (24)$$

- ▶  $\vec{e}_{y^{(i)}}$  is a  $K$ -dimension vector,
- ▶ it has 1 in  $y^{(i)}$ 's position, and 0 otherwise.

# Softmax Update Rule (cont'd)

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By chain rule

$$\nabla_W \text{err}^{(i)} = (\nabla_{\vec{s}^{(i)}} \text{err}^{(i)}) \vec{x}^{(i)T}, \quad (25)$$

$$\nabla_{\vec{b}} \text{err}^{(i)} = \nabla_{\vec{s}^{(i)}} \text{err}^{(i)}. \quad (26)$$

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- Let the classifier model to predict on an unseen test set,

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Rule

- ▶ Let the classifier model to predict on an unseen test set,
- ▶ A good model will have many predictions match the true label.

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For unseen  $\vec{x}$ , the classifier make a prediction by computing

$$\hat{y} = \arg \max_k h(\vec{x})_k = \arg \max_k s_k . \quad (27)$$

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For unseen  $\vec{x}$ , the classifier make a prediction by computing

$$\hat{y} = \arg \max_k h(\vec{x})_k = \arg \max_k s_k . \quad (27)$$

I.e., assign  $\vec{x}$  with label whose score is the highest.



Given test set

$$D_{test} = \{(\vec{x}^{(i)}, y^{(i)})\}_{i=1}^{m_{test}}, \quad (28)$$

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Given test set

$$D_{test} = \{(\vec{x}^{(i)}, y^{(i)})\}_{i=1}^{m_{test}}, \quad (28)$$

For each  $\vec{x}^{(i)}$ , compute prediction  $\hat{y}^{(i)}$ .

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Given test set

$$D_{test} = \{(\vec{x}^{(i)}, y^{(i)})\}_{i=1}^{m_{test}}, \quad (28)$$

For each  $\vec{x}^{(i)}$ , compute prediction  $\hat{y}^{(i)}$ .

Accuracy of the model is

$$Acc = \frac{1}{m_{test}} \sum_{i=1}^{m_{test}} 1\{\hat{y}^{(i)} = y^{(i)}\}. \quad (29)$$

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- ▶ Softmax classifier represents a series of linear models,

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- ▶ Softmax classifier represents a series of linear models,
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- ▶ Guaranteed to converge to global optimal,
- ▶ But it can only use multiple hyperplanes to separate input space into some simple regions,

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- ▶ Softmax classifier represents a series of linear models,
- ▶ Training is convex optimization,
- ▶ Guaranteed to converge to global optimal,
- ▶ But it can only use multiple hyperplanes to separate input space into some simple regions,
- ▶ For many problems, the raw pixels are not linearly separable.

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**Figure:** Find proper representation  $\phi(\vec{x})$  to take place  $\vec{x}$ , such that  $\phi(\vec{x})$ 's are linearly separable. This figure is reproduced from [6].

# SIFT Feature

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**Figure:** SIFT can handle occlusion. This figure is reproduced from [7].

# HOG feature

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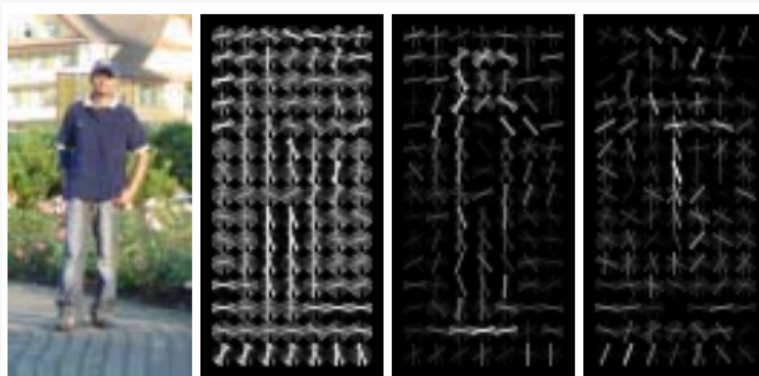


Figure: HOG feature. This figure is reproduced from [1].

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- Use fixed  $\phi$  to map  $\vec{x}$  to a higher dimension space,

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- ▶ The optimization is still convex,



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- ▶ The optimization is still convex,
- ▶ The choice of  $\phi$  is kernel engineering,

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- ▶ Use fixed  $\phi$  to map  $\vec{x}$  to a higher dimension space,
- ▶ The optimization is still convex,
- ▶ The choice of  $\phi$  is kernel engineering,
- ▶ Commonly used kernel is Gauss kernel:  
$$k(\vec{x}, \vec{x}') = \exp(-\gamma \|\vec{x} - \vec{x}'\|^2).$$

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Machine learning can learn the mapping from representation to output.

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Machine learning can learn the mapping from representation to output.

Can we also let machine learn appropriate representation?

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Machine learning can learn the mapping from representation to output.

Can we also let machine learn appropriate representation?  
Autoencoder is one example of representation learning.

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## Challenges in Image Recognition and Data-Driven Approach

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Learn appropriate mapping directly from raw pixel is still very hard.

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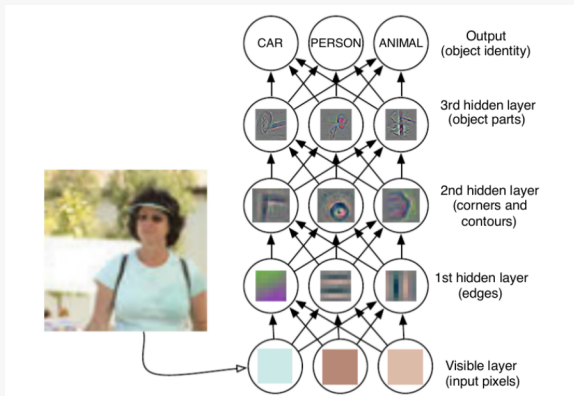
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Learn appropriate mapping directly from raw pixel is still very hard.



**Figure:** Deep learning divides it into a series of easy learning problems.  $\phi(\vec{x}) = \phi_3(\phi_2(\phi_1(\vec{x})))$ . This figure is reproduced from [4]

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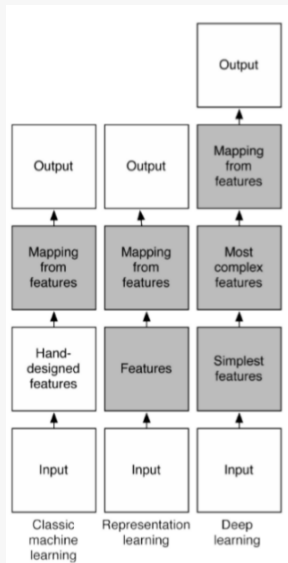
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# Comparison



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## Linear hypothesis function

$$h(\vec{x}) = W\vec{x} + \vec{b}. \quad (30)$$

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Linear hypothesis function

$$h(\vec{x}) = W\vec{x} + \vec{b}. \quad (30)$$

Changes to

$$h(\vec{x}) = W\phi(\vec{x}) + \vec{b}. \quad (31)$$

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Linear hypothesis function

$$h(\vec{x}) = W\vec{x} + \vec{b}. \quad (30)$$

Changes to

$$h(\vec{x}) = W\phi(\vec{x}) + \vec{b}. \quad (31)$$

Nested representation learning

$$\phi(\vec{x}) = \phi_{L-1}(\phi_{L-2}(\dots \phi_2(\phi_1(\vec{x}))))). \quad (32)$$

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# Composition of each $\phi_l(\vec{a})$

Linear part

$$\vec{z} = W^{(l)} \vec{a} + \vec{b}^{(l)}, \forall l = 1, 2, \dots, L - 1. \quad (33)$$

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# Composition of each $\phi_l(\vec{a})$

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Linear part

$$\vec{z} = W^{(l)} \vec{a} + \vec{b}^{(l)}, \forall l = 1, 2, \dots, L - 1. \quad (33)$$

Non linear part (activation function)

$$\phi_l(\vec{a}) = \max(0, \vec{z}), \forall l = 1, 2, \dots, L - 1. \quad (34)$$

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# Activation Function

Rectified linear units, ReLU

$$\max(0, s) = 1\{s > 0\}s. \quad (35)$$

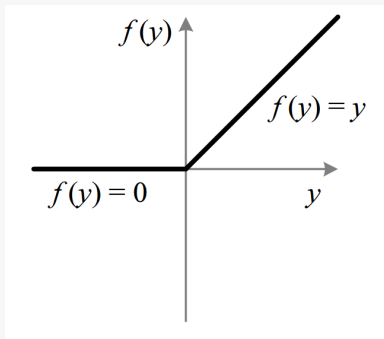


Figure: ReLU. This figure is reproduced from [5].

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# Activation Function (cont'd)

## Sigmoid

$$\sigma(s) = \frac{1}{1 + \exp(-s)} \quad (36)$$

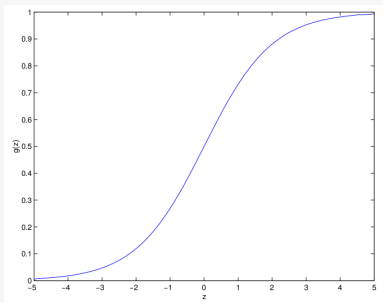


Figure: Sigmoid. This figure is reproduced from [8].

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If define

$$\vec{a}^{(0)} = \vec{x}. \quad (37)$$

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If define

$$\vec{a}^{(0)} = \vec{x}. \quad (37)$$

$$\vec{z}^{(l)} = W^{(l)} \vec{a}^{(l-1)} + \vec{b}^{(l)}, \forall l = 1, 2, \dots, L-1, \quad (38)$$

$$\vec{a}^{(l)} = \max(0, \vec{z}^{(l)}), \forall l = 1, 2, \dots, L-1, \quad (39)$$

$$h(\vec{x}) = W^{(L)} \vec{a}^{(L-1)} + \vec{b}^{(L)}. \quad (40)$$

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If define

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$$h(\vec{x}) = W^{(L)} \vec{a}^{(L-1)} + \vec{b}^{(L)}. \quad (40)$$

- $\vec{a}^{(L-1)}$  is the representation  $\phi(\vec{x})$ .

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# Artificial Neural Networks

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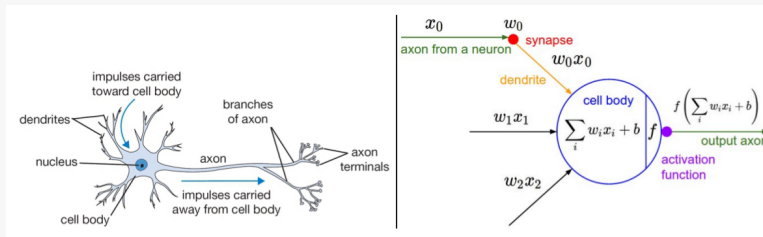
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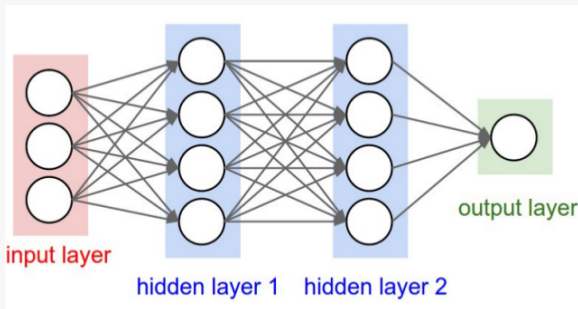
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**Figure:** Biology model and math model. This figure is reproduced from [3].



**Figure:** Multilayer feedforward neural network. This figure is reproduced from [3].

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$$J(W, \vec{b}) = \frac{1}{m} \sum_{i=1}^m -\log \frac{\exp(s_{y^{(i)}}^{(i)})}{\sum_{j=0}^{K-1} \exp(s_j^{(i)})} + \sum_{l=1}^L \frac{\lambda}{2} \|W^{(l)}\|_F^2$$

$$= \frac{1}{m} \sum_{i=1}^m \text{err}^{(i)} + \sum_{l=1}^L \frac{\lambda}{2} \|W^{(l)}\|_F^2. \quad (42)$$

$$\vec{s}^{(i)} = h(\vec{x}^{(i)}). \quad (43)$$

## Update rule

$$W^{(l)} \leftarrow W^{(l)} - \alpha \nabla_{W^{(l)}} J = W^{(l)} - \alpha \frac{1}{m} \sum_{i=1}^m \nabla_{W^{(l)}} \text{err}^{(i)} - \alpha \lambda W^{(l)}, \forall l, \quad (44)$$

$$\vec{b}^{(l)} \leftarrow \vec{b}^{(l)} - \alpha \nabla_{\vec{b}^{(l)}} J = \vec{b}^{(l)} - \alpha \frac{1}{m} \sum_{i=1}^m \nabla_{\vec{b}^{(l)}} \text{err}^{(i)}, \forall l. \quad (45)$$



# Gradient Descent (cont'd)

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$$\nabla_{\vec{s}^{(i)}} \text{err}^{(i)} = \frac{\exp(\vec{s}^{(i)})}{\sum_{j=0}^{K-1} \exp(s_j^{(i)})} - \vec{e}_{y^{(i)}} , \quad (46)$$

$$\vec{s}^{(i)} = h(\vec{x}^{(i)}) = W^{(L)} \vec{a}^{(L-1)} + \vec{b}^{(L)} . \quad (47)$$

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# Gradient Descent (cont'd)

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$$\nabla_{\vec{s}^{(i)}} \text{err}^{(i)} = \frac{\exp(\vec{s}^{(i)})}{\sum_{j=0}^{K-1} \exp(s_j^{(i)})} - \vec{e}_{y^{(i)}} , \quad (46)$$

$$\vec{s}^{(i)} = h(\vec{x}^{(i)}) = W^{(L)} \vec{a}^{(L-1)} + \vec{b}^{(L)} . \quad (47)$$

Easy one

$$\nabla_{W^{(L)}} \text{err}^{(i)} = (\nabla_{\vec{s}^{(i)}} \text{err}^{(i)}) \vec{x}^{(i)T} , \quad (48)$$

$$\nabla_{\vec{b}^{(L)}} \text{err}^{(i)} = \nabla_{\vec{s}^{(i)}} \text{err}^{(i)} . \quad (49)$$

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# Gradient Descent (cont'd)

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$$\nabla_{\vec{s}^{(i)}} \text{err}^{(i)} = \frac{\exp(\vec{s}^{(i)})}{\sum_{j=0}^{K-1} \exp(s_j^{(i)})} - \vec{e}_{y^{(i)}} , \quad (46)$$

$$\vec{s}^{(i)} = h(\vec{x}^{(i)}) = W^{(L)} \vec{a}^{(L-1)} + \vec{b}^{(L)} . \quad (47)$$

Easy one

$$\nabla_{W^{(L)}} \text{err}^{(i)} = (\nabla_{\vec{s}^{(i)}} \text{err}^{(i)}) \vec{x}^{(i)T} , \quad (48)$$

$$\nabla_{\vec{b}^{(L)}} \text{err}^{(i)} = \nabla_{\vec{s}^{(i)}} \text{err}^{(i)} . \quad (49)$$

Hard One:  $\nabla_{W^{(l)}} \text{err}^{(i)}$  and  $\nabla_{\vec{b}^{(l)}} \text{err}^{(i)}$ ,  $l < L$ ?

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# Error Back-Propagation

At layer  $l$

$$\vec{z}^{(l)} = W^{(l)} \vec{a}^{(l-1)} + \vec{b}^{(l)}, \quad (50)$$

$$\vec{a}^{(l)} = \max(0, \vec{z}^{(l)}). \quad (51)$$

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# Error Back-Propagation

At layer  $l$

$$\vec{z}^{(l)} = W^{(l)} \vec{a}^{(l-1)} + \vec{b}^{(l)}, \quad (50)$$

$$\vec{a}^{(l)} = \max(0, \vec{z}^{(l)}). \quad (51)$$

Assume  $\nabla_{\vec{a}^{(l)}} \text{err}^{(i)}$  is known, then

$$\nabla_{\vec{z}^{(l)}} \text{err}^{(i)} = \left( \frac{\partial \vec{a}^{(l)}}{\partial \vec{z}^{(l)}} \right)^T \nabla_{\vec{a}^{(l)}} \text{err}^{(i)} \quad (52)$$

$$= 1\{\vec{z}^{(l)} > 0\} \odot \nabla_{\vec{a}^{(l)}} \text{err}^{(i)}. \quad (53)$$

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# Error Back-Propagation

At layer  $l$

$$\vec{z}^{(l)} = W^{(l)} \vec{a}^{(l-1)} + \vec{b}^{(l)}, \quad (50)$$

$$\vec{a}^{(l)} = \max(0, \vec{z}^{(l)}). \quad (51)$$

Assume  $\nabla_{\vec{a}^{(l)}} \text{err}^{(i)}$  is known, then

$$\nabla_{\vec{z}^{(l)}} \text{err}^{(i)} = \left( \frac{\partial \vec{a}^{(l)}}{\partial \vec{z}^{(l)}} \right)^T \nabla_{\vec{a}^{(l)}} \text{err}^{(i)} \quad (52)$$

$$= 1\{\vec{z}^{(l)} > 0\} \odot \nabla_{\vec{a}^{(l)}} \text{err}^{(i)}. \quad (53)$$

$$\nabla_{\vec{a}^{(l-1)}} \text{err}^{(i)} = \left( \frac{\partial \vec{z}^{(l)}}{\partial \vec{a}^{(l-1)}} \right)^T \nabla_{\vec{z}^{(l)}} \text{err}^{(i)} \quad (54)$$

$$= W^{(l)T} \nabla_{\vec{z}^{(l)}} \text{err}^{(i)}, \quad (55)$$

$$\nabla_{W^{(l)}} \text{err}^{(i)} = (\nabla_{\vec{z}^{(l)}} \text{err}^{(i)}) \vec{a}^{(l-1)T}, \quad (56)$$

$$\nabla_{\vec{b}^{(l)}} \text{err}^{(i)} = \nabla_{\vec{z}^{(l)}} \text{err}^{(i)}. \quad (57)$$

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Why and What

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# Prediction and Evaluation

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- The same as linear models,



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- ▶ The same as linear models,
- ▶ But  $\vec{s}^{(i)} = h(\vec{x}^{(i)})$  has different form.

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Require image input

$$D = \{(\mathbf{X}^{(i)}, y^{(i)})\}_{i=1}^m, \quad (58)$$

$$\mathbf{X}^{(i)} \in \mathbb{R}^{H \times W \times D}, \forall i, \quad (59)$$

$$y^{(i)} \in \{0, 1, 2, 3, \dots, K - 1\}, \forall i. \quad (60)$$

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# Hypothesis Function

Deep Learning:  
Why and What

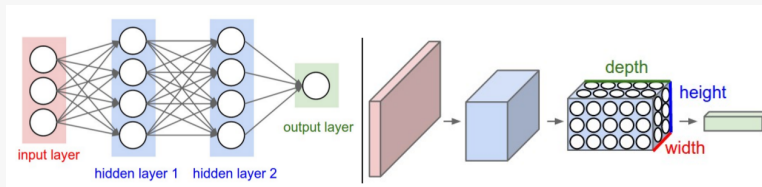
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**Figure:** Normal neural networks and CNN. This figure is reproduced from [3].

# Expand Fully Connected Affine to Tensor

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$$\vec{a} = W\vec{x} + \vec{b} \quad (61)$$

$$= \begin{bmatrix} \vec{w}_0^T \\ \vec{w}_1^T \\ \vdots \\ \vec{w}_{n_I-1}^T \end{bmatrix} \vec{x} + \vec{b} \quad (62)$$

$$= \begin{bmatrix} \vec{w}_0^T \vec{x} + b_0 \\ \vec{w}_1^T \vec{x} + b_1 \\ \vdots \\ \vec{w}_{n_I-1}^T \vec{x} + b_{n_I-1} \end{bmatrix}. \quad (63)$$

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# Expand Fully Connected Affine to Tensor

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$$\vec{a} = W\vec{x} + \vec{b} \quad (61)$$

$$= \begin{bmatrix} \vec{w}_0^T \\ \vec{w}_1^T \\ \vdots \\ \vec{w}_{n_I-1}^T \end{bmatrix} \vec{x} + \vec{b} \quad (62)$$

$$= \begin{bmatrix} \vec{w}_0^T \vec{x} + b_0 \\ \vec{w}_1^T \vec{x} + b_1 \\ \vdots \\ \vec{w}_{n_I-1}^T \vec{x} + b_{n_I-1} \end{bmatrix}. \quad (63)$$

$$a_{d_I} = \vec{w}_{d_I}^T \vec{x} + b_{d_I} = \vec{w}_{d_I} \odot \vec{x} + b_{d_I}, \forall d_I. \quad (64)$$

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# Expand Fully Connected Affine to Tensor (cont'd)

When  $\mathbf{X} \in \mathbb{R}^{H_{l-1} \times W_{l-1} \times D_{l-1}}$ ,

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# Expand Fully Connected Affine to Tensor (cont'd)

Deep Learning:  
Why and What

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When  $\mathbf{X} \in \mathbb{R}^{H_{l-1} \times W_{l-1} \times D_{l-1}}$ ,  
 $\mathbf{W}_{d_l} \in \mathbb{R}^{H_{l-1} \times W_{l-1} \times D_{l-1}}$ ,

$$a_{d_l} = \mathbf{W}_{d_l} \odot \mathbf{X} + b_{d_l} = \sum_{i=0}^{H_{l-1}-1} \sum_{j=0}^{W_{l-1}-1} \sum_{d=0}^{D_{l-1}-1} \mathbf{X}(i, j, d) \mathbf{W}_{d_l}(i, j, d) + b_{d_l}, \forall d_l$$

(65)

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# Two Simplifications of Convolutional Layer

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- ▶ Sparse Connection
- ▶ Parameter Sharing

# Sparse Connection

Deep Learning:  
Why and What

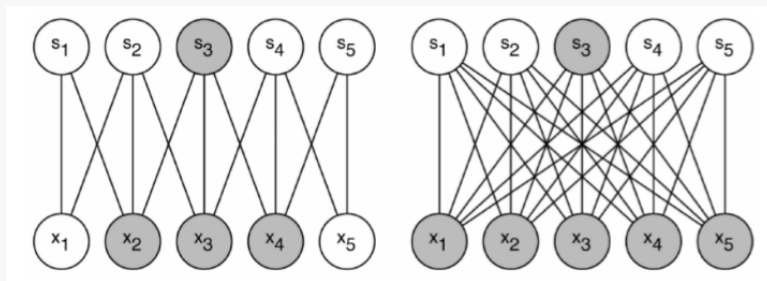
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**Figure:** Sparse connection in 1 dimension. This figure is reproduced from [4].

# Sparse Connection (cont'd)

Deep Learning:  
Why and What

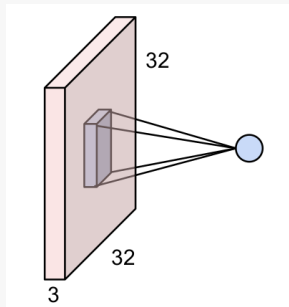
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**Figure:** Sparse connection in 3 dimensions. This figure is reproduced from [3].

# Sparse Connection (cont'd)

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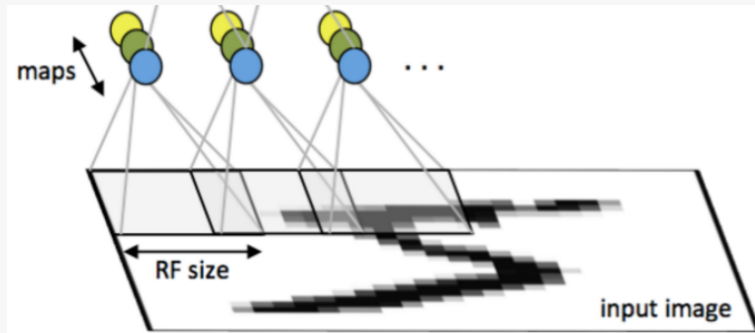


Figure: Activation map. This figure is reproduced from [2].

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# Sparse Connection (cont'd)

Deep Learning:  
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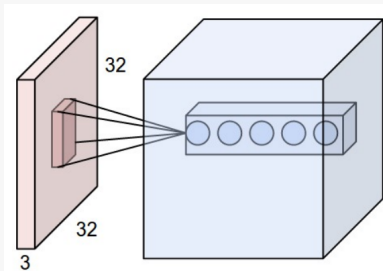
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**Figure:** Input and output tensor. This figure is reproduced from [3].

If a motif can appear in one part of the image, it could appear anywhere,

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If a motif can appear in one part of the image, it could appear anywhere,  
Hence units at different locations sharing the same weights

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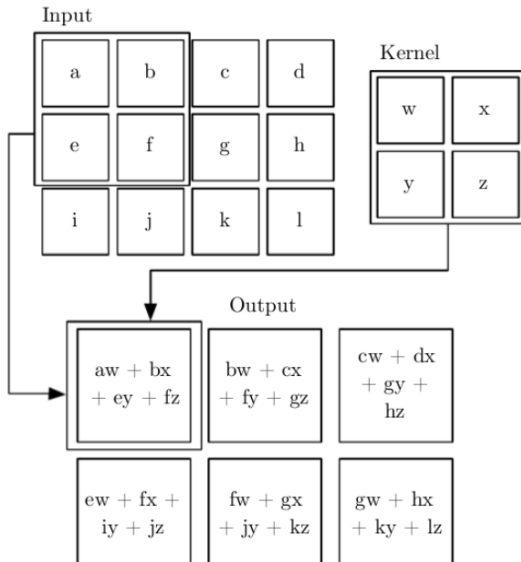
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# Convolution



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# Convolution (cont'd)

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$$\mathbf{A}_{d_l} = \mathbf{X} * \mathbf{W}_{d_l} + b_{d_l}, \forall d_l. \quad (66)$$

$$\mathbf{A}(i_l, j_l, d_l) = \sum_{i=0}^{F_1-1} \sum_{j=0}^{F_2-1} \sum_{d=0}^{D_l-1} \mathbf{X}(i_l+i, j_l+j, d) \mathbf{W}(i, j, d, d_l) + b_{d_l}, \forall i_l, j_l, d_l. \quad (67)$$

# Pooling Layer

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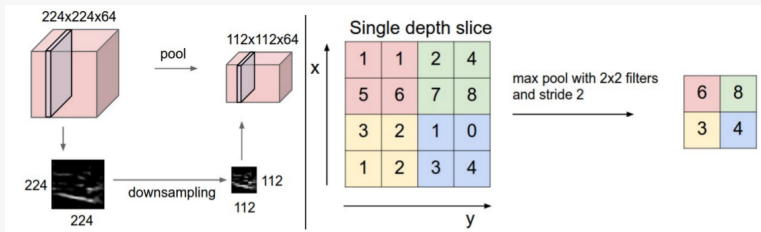


Figure: Max pooling. This figure is reproduced from [3].

# Pooling Layer (cont'd)

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$$\mathbf{A}(i_l, j_l, d_l) = \max_{0 \leq i < F_1, 0 \leq j < F_2} \mathbf{X}(i_l S + i, j_l S + j, d_l), \forall i_l, j_l, d_l. \quad (68)$$

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$$\mathbf{A}(i_l, j_l, d_l) = \max(0, \mathbf{X}(i_l, j_l, d_l)), \forall i_l, j_l, d_l. \quad (69)$$

# Fully Connected Layer

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Prediction and



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Deep Learning:  
Why and What

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Challenges in  
Image Recognition  
and Data-Driven  
Approach

Image Classification  
Challenges in Image  
Recognition  
Semantic Gap  
Other Challenges  
Data-Driven  
Approach

Linear Classifier

Training Data  
Hypothesis Function  
Hypothesis of Linear  
Classifier  
Understanding of  
Hypothesis Function  
Hypothesis of  
Softmax Classifier  
Loss Function  
Cross Entropy Loss  
Regularization  
Optimization  
Gradient Descent  
Softmax Update  
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# Thanks

## Questions Please!

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Challenges in  
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Approach

- Image Classification
- Challenges in Image Recognition
  - Semantic Gap
  - Other Challenges
- Data-Driven Approach

Linear Classifier

- Training Data
- Hypothesis Function
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- Loss Function
  - Cross Entropy Loss
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  - Gradient Descent
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