

# Mathematical Foundations of Computer Science

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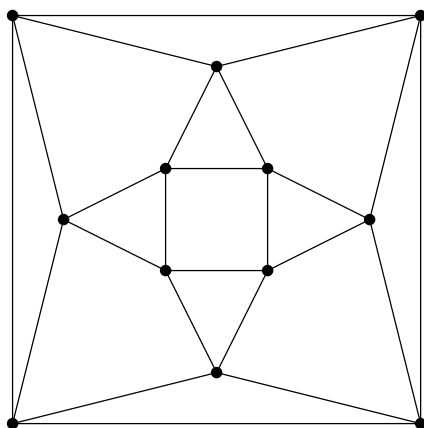
## 9 Hamilton Cycles, Hamilton Paths, and Non-isomorphic Trees

- Homework assignment published on Monday 2018-04-23
- Submit your first solution by Sunday, 2018-04-29, 18:00, by email
- Submit your final solution by Sunday, 2018-05-06.

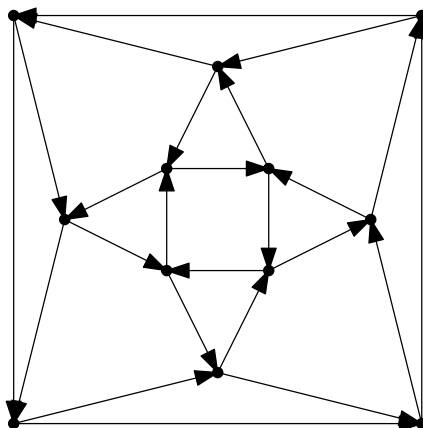
### 9.1 Regular Orientations of a Regular Graph

We call a graph *d-regular* if every vertex has degree  $d$ . A directed graph is  $(d, d)$ -regular if every vertex has  $d$  incoming and  $d$  outgoing edges.

**Exercise 9.1.** Show that in every 4-regular graph, you can orient the edges such that every vertex has two incoming and two outgoing edges, i.e., such that the resulting digraph is  $(2, 2)$ -regular. See the picture below for an illustration.



a 4-regular graph



a (2,2)-regular orientation

*Proof.* As the definition of Eulerian Walk, every edge is passed once and only once. If we walk into a vertex, we are sure to find another edge that has not been passed to leave the vertex. So for a vertex of degree 4, the two of them are in-degree and the other 2 are out-degree.  $\square$

## 9.2 Hamilton Cycles and Ore's Theorem

Consider  $K_n$ , the complete graph on  $n$  vertices. For  $n \geq 3$ , this obviously has a Hamilton cycle. How many edges do you have to delete from  $K_n$  to destroy all Hamilton cycles? That is, what is the smallest set  $S$  such that  $(V, \binom{V}{2} \setminus S)$  has no Hamilton cycle? Let  $s_n$  denote the size of this set (this depends on  $n$ , thus the notation  $s_n$ ). For example,  $s_2 = 0$  since  $K_2$  has no Hamilton path to begin with;  $s_3 = 1$  since removing one edge from  $K_3$  results in a graph without a Hamilton cycle.

**Exercise 9.2.** Find a closed formula for  $s_n$  and prove it! **Hint.** One part will be easy. For the other part, use Ore's Theorem.

*Proof.*  $s_n = n - 2$

First, we prove that  $s_n \leq n - 2$ .

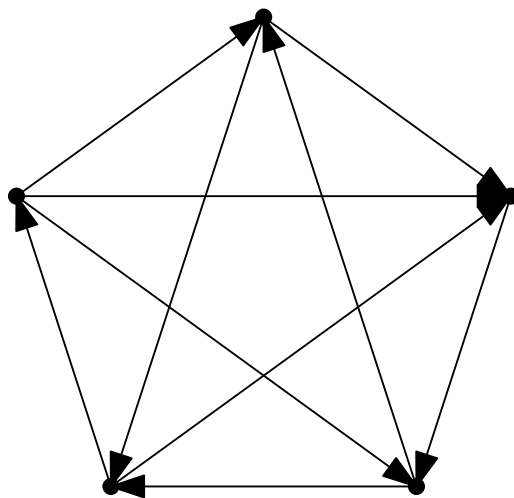
We can choose a vertex and delete  $n - 2$  edges of it, leaving only 1 edge. Since any vertex in a Hamilton cycle must have at least two edges, it's obvious there is no Hamilton cycle in this graph.

Second, we prove that  $s_n \geq n - 2$ .

Assume we have a graph of  $n$  vertices with degree sequence  $\{a_1, \dots, a_n\}$ . Notice that all  $a_i$  equals  $n - 1$ . We delete  $k$  edges from it,  $k < n - 2$ , and  $p$  vertices are involved. Without loss of generality, we assume that we get a new degree sequence  $\{a_1 - k_1, \dots, a_p - k_p, a_{p+1}, \dots, a_n\}$ , and  $\sum_{i=1}^p k_i = 2k$ . For this new graph, we can find that the least possible sum of any two vertices' degrees is  $a_i - k_i + a_j - k_j = 2n - 2 - (k_i + k_j)$ . Since  $k_i + k_j < k + 1 = n - 1$ , the sum is bigger than  $n - 1$ . According to the Ore's theorem, there must be a Hamilton cycle in this changed graph. So we need to delete at least  $n - 2$  edges eliminate Hamilton cycle from the graph.

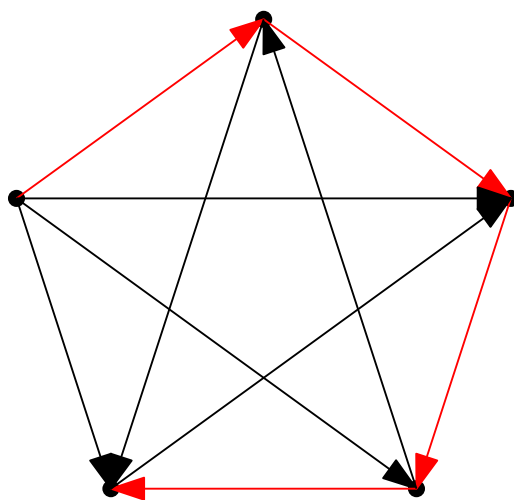
Therefore,  $s_n = n - 2$ . □

A *tournament* is a directed graph in which, for each pair  $u, v \in V$ , exactly one of the directed edges  $(u, v)$  and  $(v, u)$  is in the graph. Imagine a sports tournament in which every participant plays against every other exactly once. Draw an arc from  $u$  to  $v$  if  $u$  beat  $v$  in this tournament.



A tournament on five vertices.

**Exercise 9.3.** Show that every tournament has a *directed Hamilton path*, i.e., a sequence  $u_1, u_2, \dots, u_n$  such that  $(u_i, u_{i+1}) \in E$  for all  $i = 1, \dots, n - 1$ . See the picture below.



The same tournament with a Hamilton path.

You probably won't be able to use the proof of Ore's Theorem directly, but you can use the proof idea.

**Answer** We can set up an algorithm.

First, we need to find a node and add it to the path, then colored the arrow both from the node and to the node another color (for example, blue).

Then, we find another node named  $x$  in the graph, observe the arrow with blue color connect with. There are three situations:

The first situation is that all the blue arrow connected with  $x$  point to  $x$ . Add  $x$  to the path and let  $x$  be the start node of the path.

The second situation is that all the blue arrow connected with  $x$  from  $x$  and point to other nodes. Add  $x$  to the path and let  $x$  be the tail node of the path.

The third situation is that there exist the blue arrow not only point to  $x$  but also point to other nodes from  $x$ . Then we can find two nodes named  $m$  and  $n$ ,  $m$  has a blue arrow point to  $x$ ,  $x$  has a blue arrow point to  $n$ . Add  $x$  to the middle of  $m$  and  $n$ .

Implement this algorithm circularly and finally we can find Hamilton path.

### 9.3 Isomorphism Classes of Trees

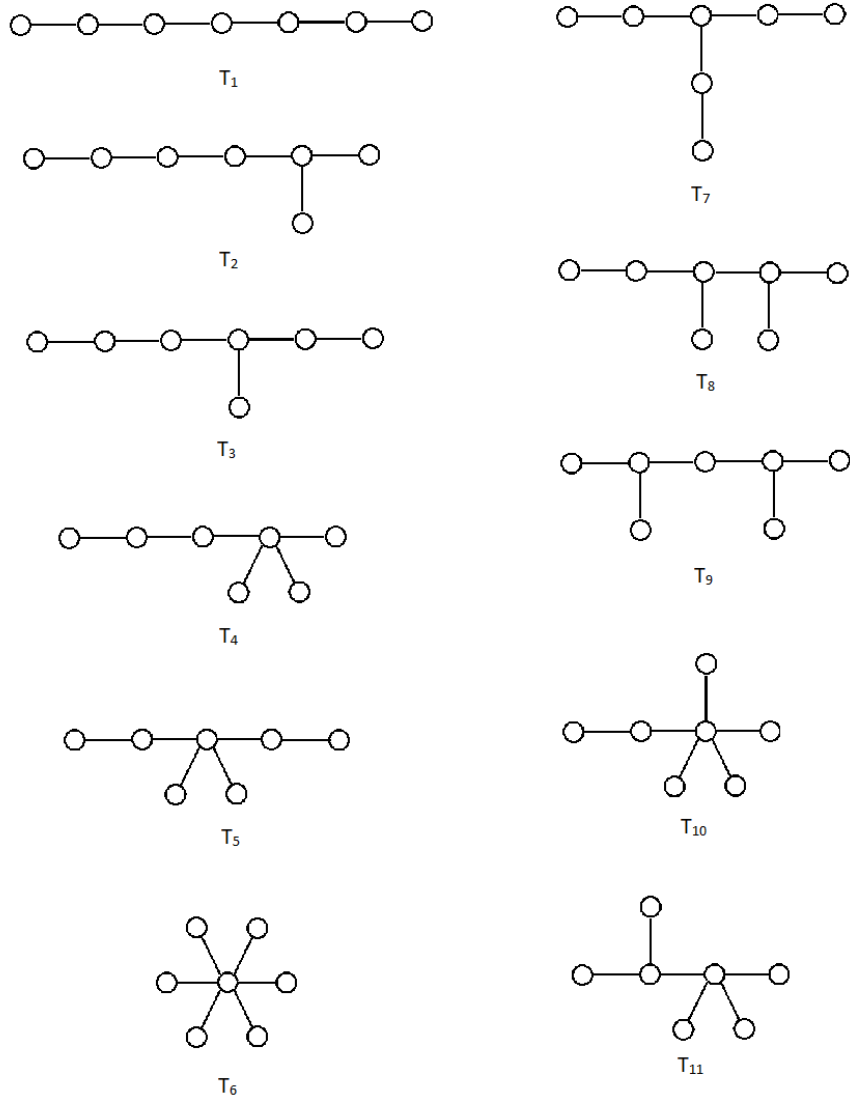
In the lecture (and in the videos) we have seen that the number of trees on vertex set  $V = \{1, 2, \dots, n\}$  is  $n^{n-2}$ . This however ignores isomorphisms. For

example, there are  $3^{3-2} = 3$  trees on vertex set  $\{1, 2, 3\}$ , but all those trees look alike (are isomorphic). On  $\{1, 2, 3, 4\}$ , there are 16 trees, but there are only two isomorphism classes: the path and the star. For five vertices, there are 125 trees but only three isomorphism classes: the path, the star, and the “T-shape” (see video on counting the number of trees). For  $n = 6$  we get the path, the Y-shape, the Euro symbol, the Star Wars fighter, the Scandinavian cross, and the star, so six isomorphism classes (but a total of 1296 trees).

**Exercise 9.4.** List of isomorphism classes on seven vertices. That is, draw trees  $T_1, \dots, T_m$  on seven vertices such that no two of them are isomorphic but every tree on seven vertices is isomorphic to one of them. How many do you get?

$n$	1	2	3	4	5	6	7
number of isomorphism classes	1	1	1	2	3	6	?

**Answer** If  $n = 7$ , the number of isomorphism classes is 12, we draw the trees below.



Alright, so let's denote by  $t_n$  the number of isomorphism classes of trees on  $n$  vertices. That is,  $t_n$  is the largest number  $m$  such that we can find trees  $T_1, \dots, T_m$  on  $n$  vertices such that no two of them are isomorphic. We would like to have an exact and explicit formula for  $t_n$ , but that is probably too much to ask for. Instead, let us try to understand  $t_n$  approximately and asymptotically.

**Exercise 9.5.** Show that  $t_n \leq 4^n$ . Hint: Consider the video on the isomorphism problem on trees. It defines a way to encode a tree as a 0/1-sequence.

*Proof.* For a arbitrary ordered tree (an rooted tree, and each child of a vertex has an order), we traversal the tree in order from the root. For the first time we visit a vertex, we write 0, and when we leave a vertex and its subtree, we write 1. In this way, we can encode the tree with a  $2n$ -bit binary code, which is at most  $4^n$  cases. Since two different ordered tree might be isomorphic (change the order of two children, for example), but obviously two trees that are not isomorphic can't be the same ordered tree,  $T_n \leq 4^n$ .  $\square$

**Exercise 9.6.** Show that  $t_n \geq \frac{e^n}{\text{poly}(n)}$ , where  $\text{poly}(n)$  is some polynomial in  $n$ . Hint: There are  $n^{n-2}$  trees on  $V = [n]$ . We group them together in "buckets" of isomorphic trees. How large can a bucket be? Answer this and then use Stirling's approximation for  $n!$ .

*Proof.* There are at most  $n!$  isomorphisms of a given tree because there're  $n!$  bijections on  $[n]$ , so the maximum size of "bucket" is  $n!$ . With Stirling's approximation, we can get a lower bound:

$$\begin{aligned} t_n &\geq \frac{n^{n-2}}{n!} \\ &\approx \frac{n^{n-2}}{\sqrt{2\pi n} \left(\frac{n}{e}\right)^n} \\ &= \frac{e^n}{\sqrt{2\pi} n^{2.5}} \end{aligned}$$

$\square$

**\*\*Exercise 9.7.** Try to improve those bounds. That is, find some  $a < 4$  such that  $t_n \in O(a^n)$  or some  $b > e$  such that  $t_n \in \Omega(b^n)$ . Any improvement will be kind of interesting. Aim for simple proofs!

**Remark.** The "true" rate of growth is known by a result of George Pólya but apparently it is quite difficult (I write "apparently" because I have never studied this work).

We have no idea yet.