Thermodynamic Cycles, Developmental Systems, and Emergence

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Ab stract: The problem of evolution ary emer gence is particularly well exemplified in the ories of the or i gins of life and of language. To ade quately address these evolution ary problems requires not only de ploy ing the full re sources of biological science but also de veloping a general theory of emer gent phe nom ena that treats biological in for mation and natural selection as a derived, not primitive, features. Currently popular approaches that give genetic reduction is mand computational analogies primary roles in their de scription of the es sential or ganization that constitutes life or mind, ig nore the role of self-organization and self-reconstitution in epigenetic systems ex cept as mere ex pres sions of adaptive genetic in formation. The present approach partially in verts this per spec tive. We show how use of complex sys tems dy namics, in the context of developmental sys tems the ory, can provide a gen eral ac count of evo lu tion ary emer gence, in which dis trib uted sys temic fea tures can serve as the precursor to localized in formation replication mechanisms and the natural selection processes these can be come en meshed in. Fol lowing ideas ex plored by Weber and Depew (1996; and Weber 1999), we first ap ply this ap proach to the problem of the origin of life. In this hy poth e sis genetic in for mation emerges as an artifactual molecul ar re-presentation of the distributed process regular ities and self-organization of a dissipative ther mody namic system. The evolution of the dependence of the thermodynamic self-organization of cells on the genetic re-presentation of aspects of these processes, and vice versa, arises spon tane ously as this in for mational redundancy masks selection and causes a partial degradation of the autonomy of component processes. These same fundamental principles are also seen in some ways of construing the Baldwin effect and its role in mental evolution. Un der stood more gen er ally as a be tween-levels evo lu tion ary dy namic in which higher order regularities in fluence lower-order component dy namics, this effect can be seen as a critical contributortoemer gent transitions in many as pects of evolution. Following Deacon's (1997) arg ument that the co-evolutionary emer gence of brain and lan guage was driven by Baldwinian processes, the present analy sis suggests that the emergence of symbolic communication in human evolution greatly ampli fied this top-down in fluence so that it be came the dominant factor driving the evolution of human cog ni tion. In sum mary, the Baldwin ef fect might not be an anom a lous vari ant of nat u ral se lection but rather one ex ample of a class of general mech a nisms for evolution ary emergence that can be ap plied across lev els from the or i gins of life to the or i gins of the hu man mind.

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