

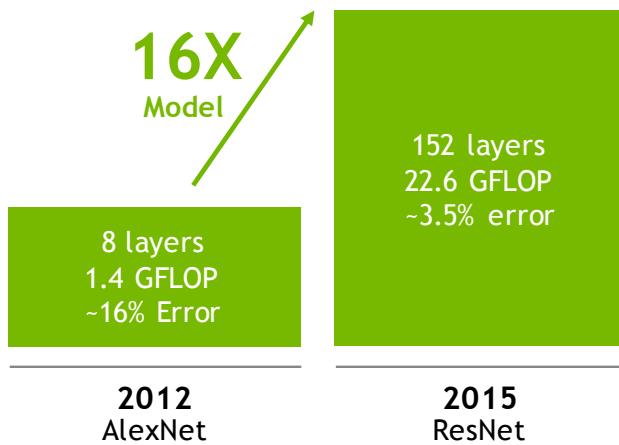
# **Efficient Methods and Hardware for Deep Learning**

**Song Han**

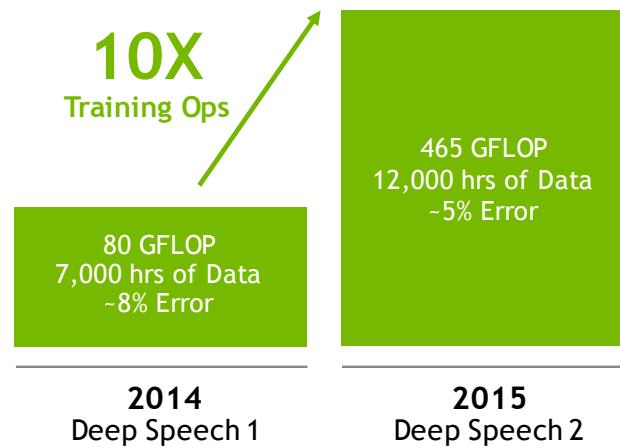
**Stanford University**  
**DeePhi**

# Models are Getting Larger

## IMAGE RECOGNITION



## SPEECH RECOGNITION



Dally, NIPS'2016 workshop on Efficient Methods for Deep Neural Networks

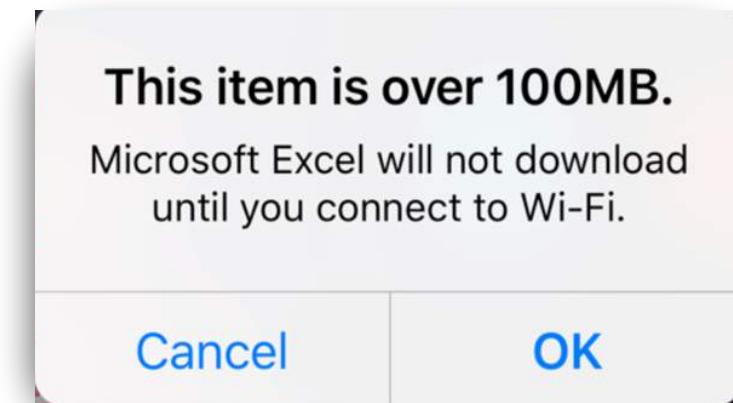
Stanford University

# **Problem of Large DNN Model: Difficult to Deploy**

# Large DNN Model: Difficult to Deploy



**App developers suffers from the model size**



# Large DNN Model: Difficult to Deploy



Phones



Drones



Robots



Glasses



Self Driving Cars

- Limited Computation Resource
- Battery Constrained
- Cooling Constrained

# Large DNN Model: Difficult to Deploy



**Hardware engineer suffers from the model size  
larger model => more memory reference => more energy**

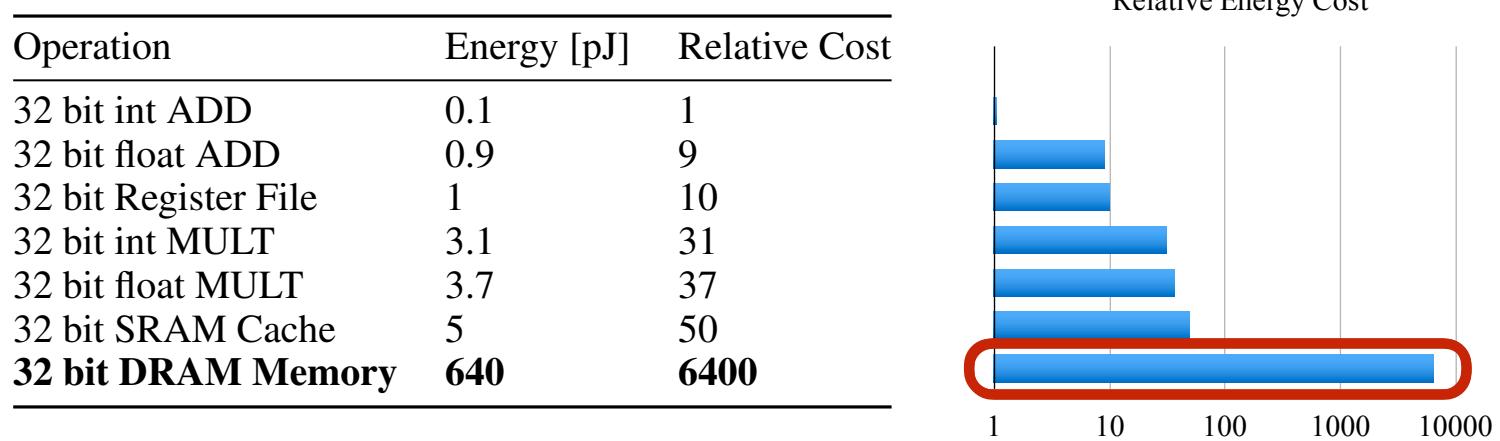
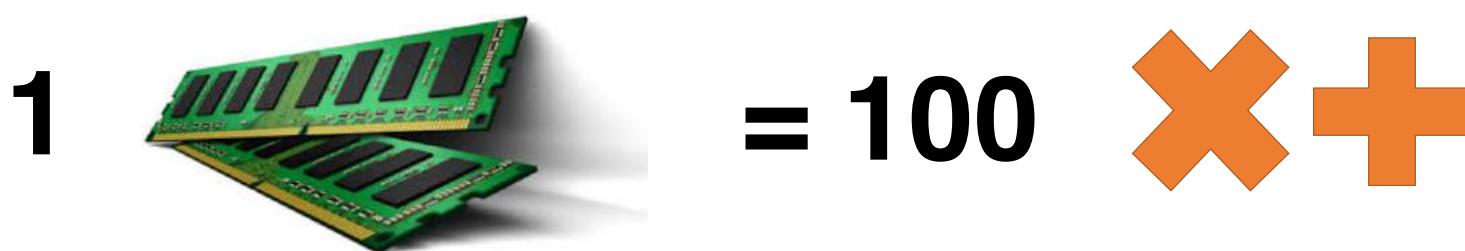


Figure 1: Energy table for 45nm CMOS process. Memory access is 2 orders of magnitude more energy expensive than arithmetic operations.



# Large DNN Model: Difficult to Deploy



**Hardware engineer suffers from the model size  
larger model => more memory reference => more energy**

Operation	Energy [pJ]	Relative Cost
32 bit int ADD	0.1	1
32 bit float ADD	0.9	9
32 bit Register File	1	10
32 bit int MULT	3.1	31
32 bit float MULT	3.7	37
32 bit SRAM Cache	5	50
<b>32 bit DRAM Memory</b>	<b>640</b>	<b>6400</b>

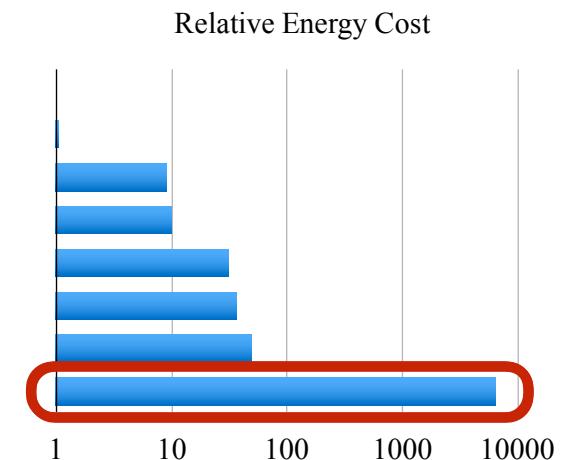


Figure 1: Energy table for 45nm CMOS process. Memory access is 2 orders of magnitude more energy expensive than arithmetic operations.

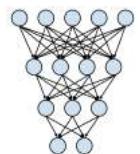


**Given the power budget,  
Moore's law is no longer  
providing more computation**

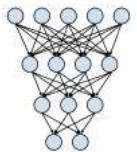
# **Improve the Efficiency of Deep Learning by Algorithm-Hardware Co-Design**

# Proposed Paradigm

Conventional



Proposed



Han et al ICLR'17

Han et al NIPS'15  
Han et al ICLR'16  
(best paper award)



Han et al ISCA'16  
Han et al FPGA'17



# Agenda

## ♦ Model Compression (size)

- Pruning / Quantization
- Ternary Net



## ♦ Hardware Acceleration (speed, energy)

- EIE Accelerator (ASIC)
- ESE Accelerator (FPGA)



## ♦ Efficient Training (accuracy)

- Dense-Sparse-Dense Regularization



# Agenda

## ♦ Model Compression

- Pruning / Quantization
- Ternary Net

## ♦ Hardware Acceleration

- EIE Accelerator (ASIC)
- ESE Accelerator (FPGA)

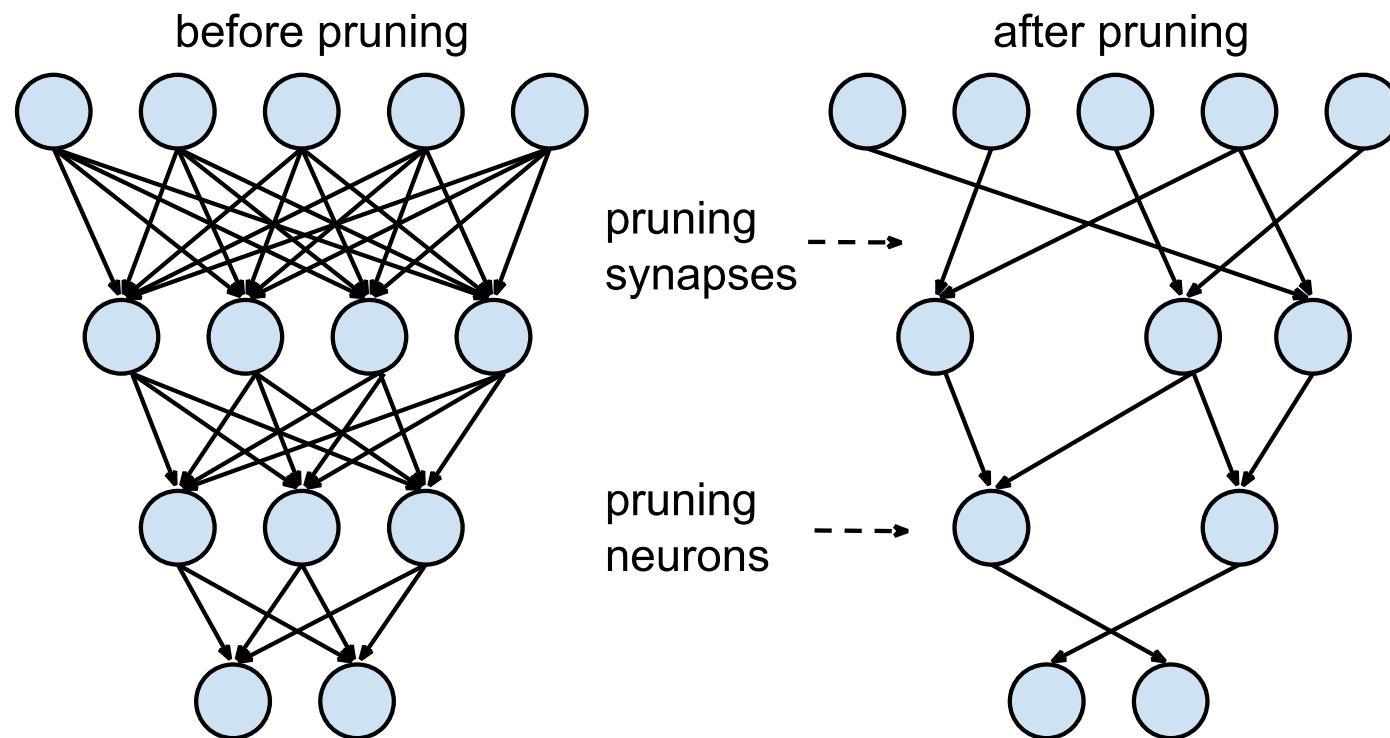
## ♦ Efficient Training

- Dense-Sparse-Dense Regularization

# Deep Compression Pipeline

- **Network Pruning:**  
Less Number of Weights
- **Trained Quantization:**  
Reduce Storage for Each Remaining Weight
- **Huffman Coding:**  
Entropy of the Remaining Weights

# Pruning



Han et al. Learning both Weights and Connections for Efficient Neural Networks, NIPS'15

# Pruning: Motivation

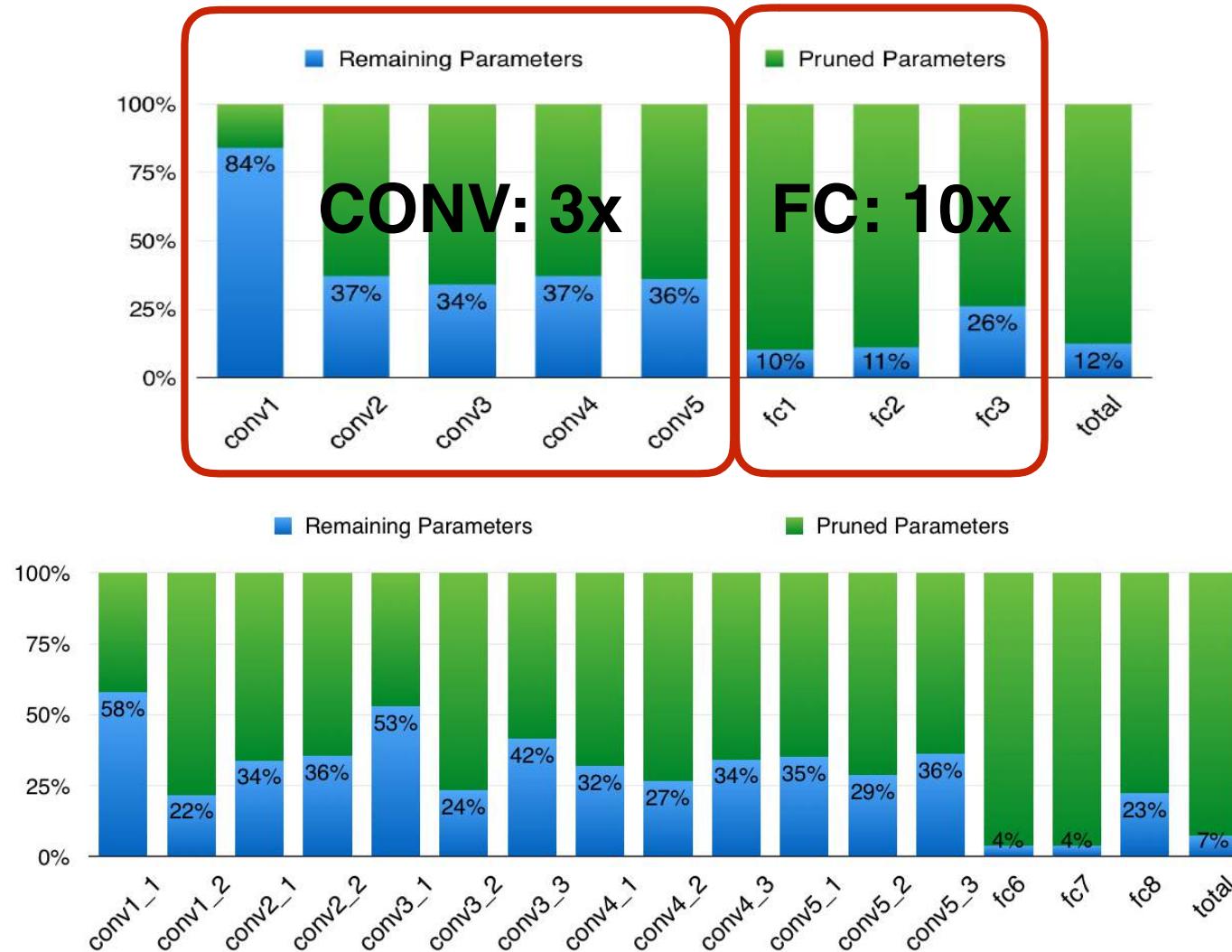
Age	Number of Connections	Stage
at birth	50 Trillion	newly formed
1 year old	1000 Trillion	peak
10 year old	500 Trillion	pruned and stabilized

Table 1: The synapses pruning mechanism in human brain development

- **At birth**, Trillions of synapses
- **1 year old**, peaked at **1000 trillion**
- Pruning begins to occur.
- **10 years old**, pruned to nearly **500 trillion** synapses
- This “pruning” mechanism removes redundant connections in the brain.

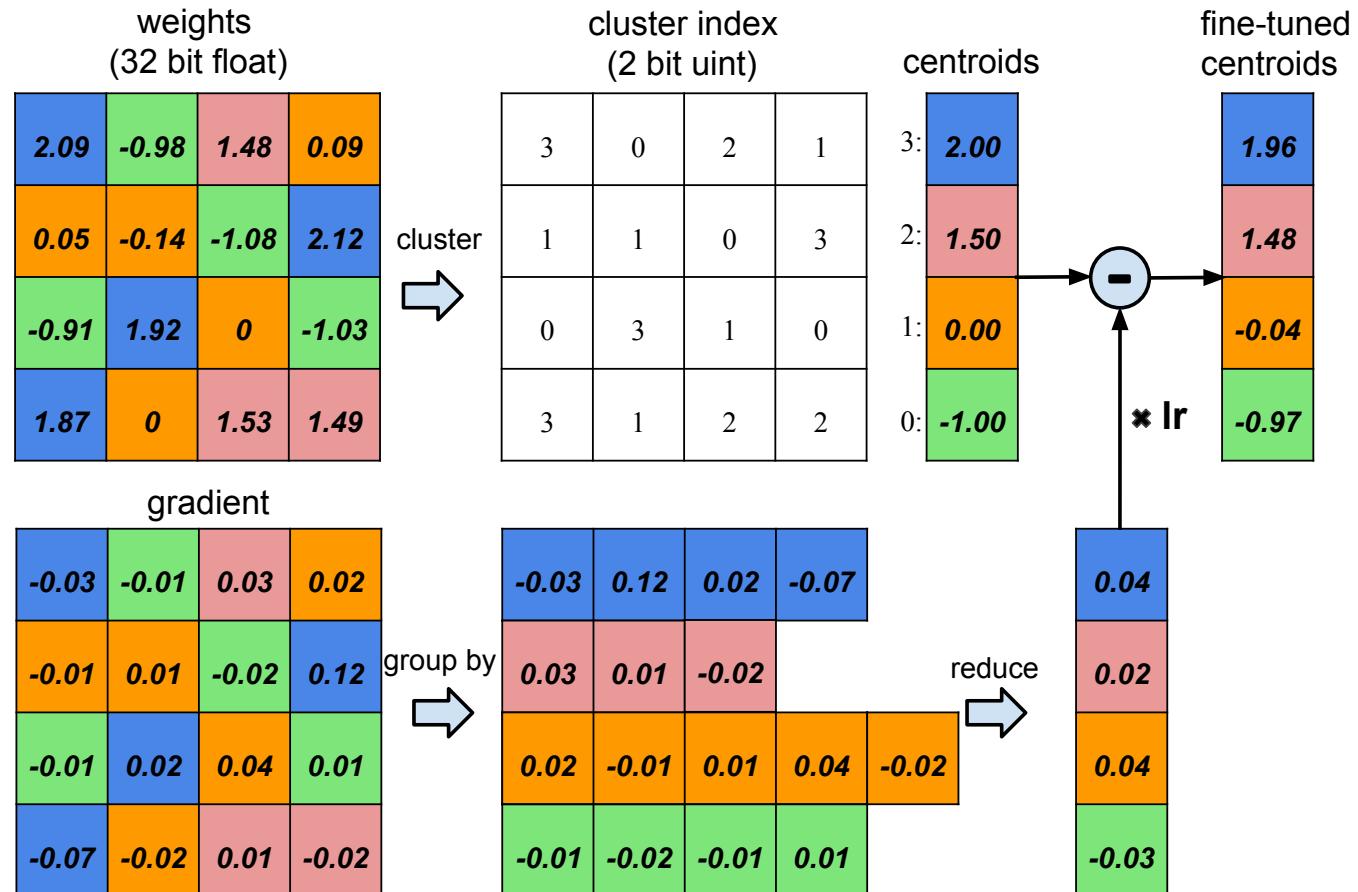
[1] Christopher A Walsh. Peter Huttenlocher (1931-2013). Nature, 502(7470):172–172, 2013.

# AlexNet & VGGNet



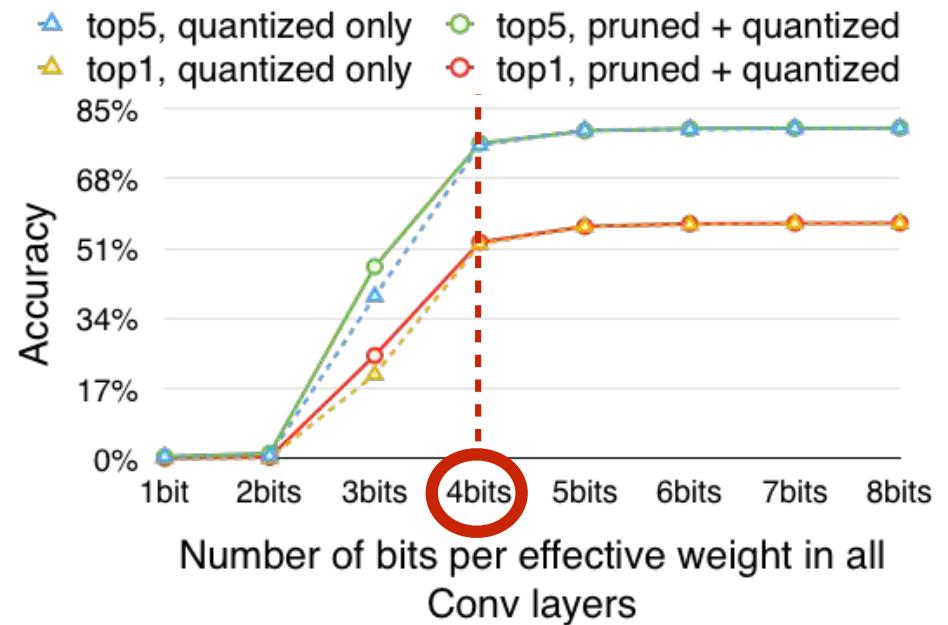
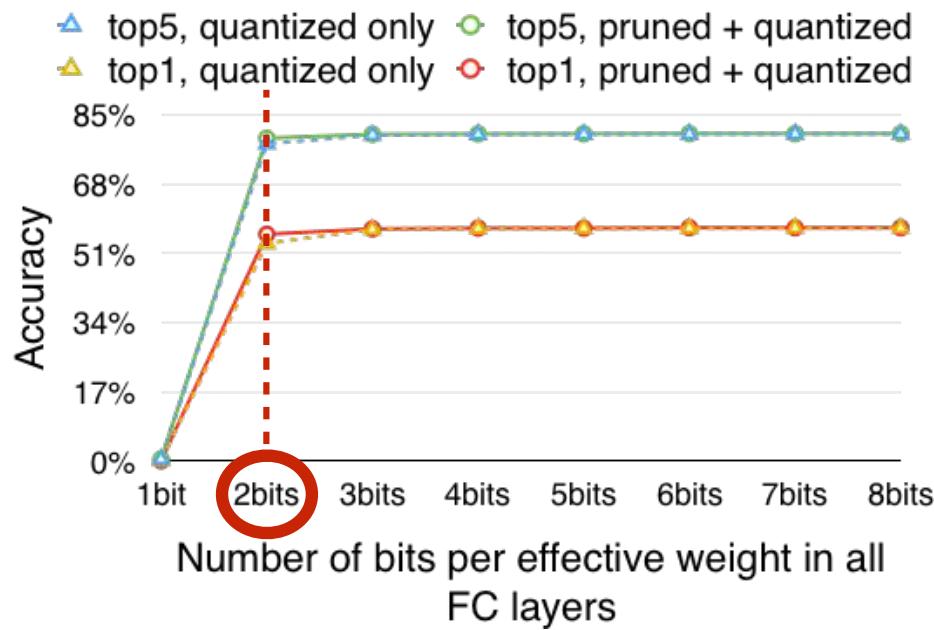
Han et al. Learning both Weights and Connections for Efficient Neural Networks, NIPS 2015

# Trained Quantization



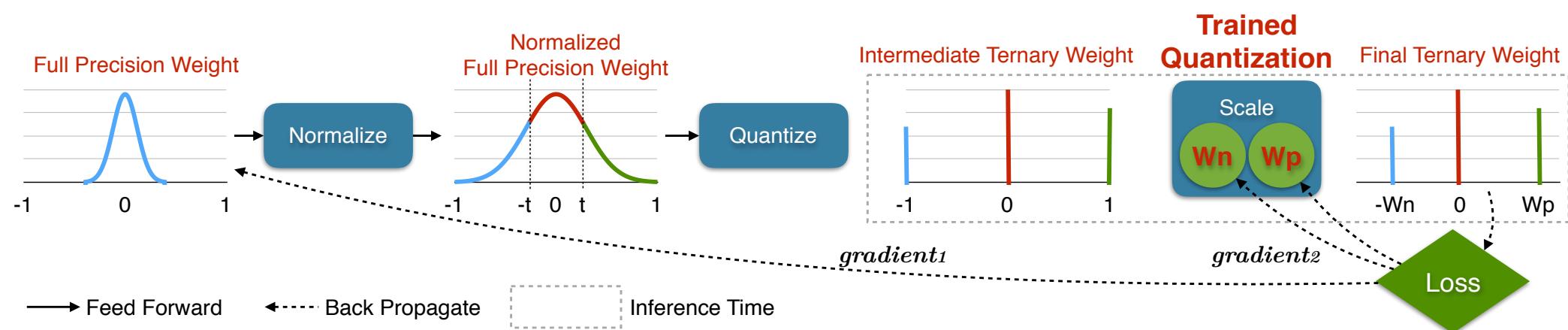
Han et al. Deep Compression, ICLR 2016 Best Paper Award

# Bits Per Weight



Han et al. Deep Compression, ICLR 2016 Best Paper Award

# Even Fewer Bits: Trained Ternary Quantization



Zhu, Han, Mao, Dally. Trained Ternary Quantization, ICLR'17

# Trained Ternary Quantization

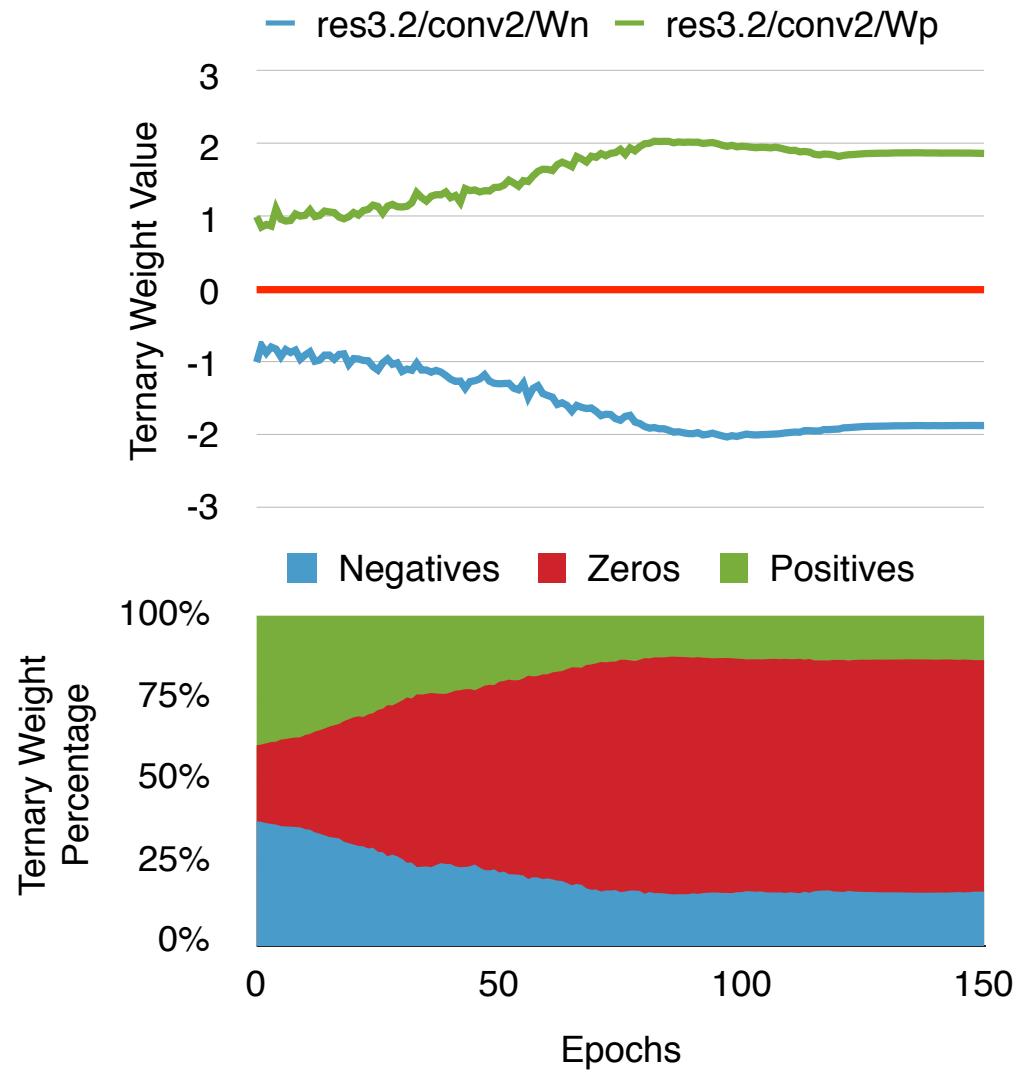
## — Learn both Centroid and Grouping

Learn Centroids:

0 stays 0, positive weight gets larger  
negative weight gets smaller

Learn Grouping:

more weights grouped to zero (red)  
less grouped to positive (green)  
less grouped to negative (blue)  
80% sparse in the end



Zhu, Han, Mao, Dally. Trained Ternary Quantization, ICLR'17

# Ternary Net is Sparse

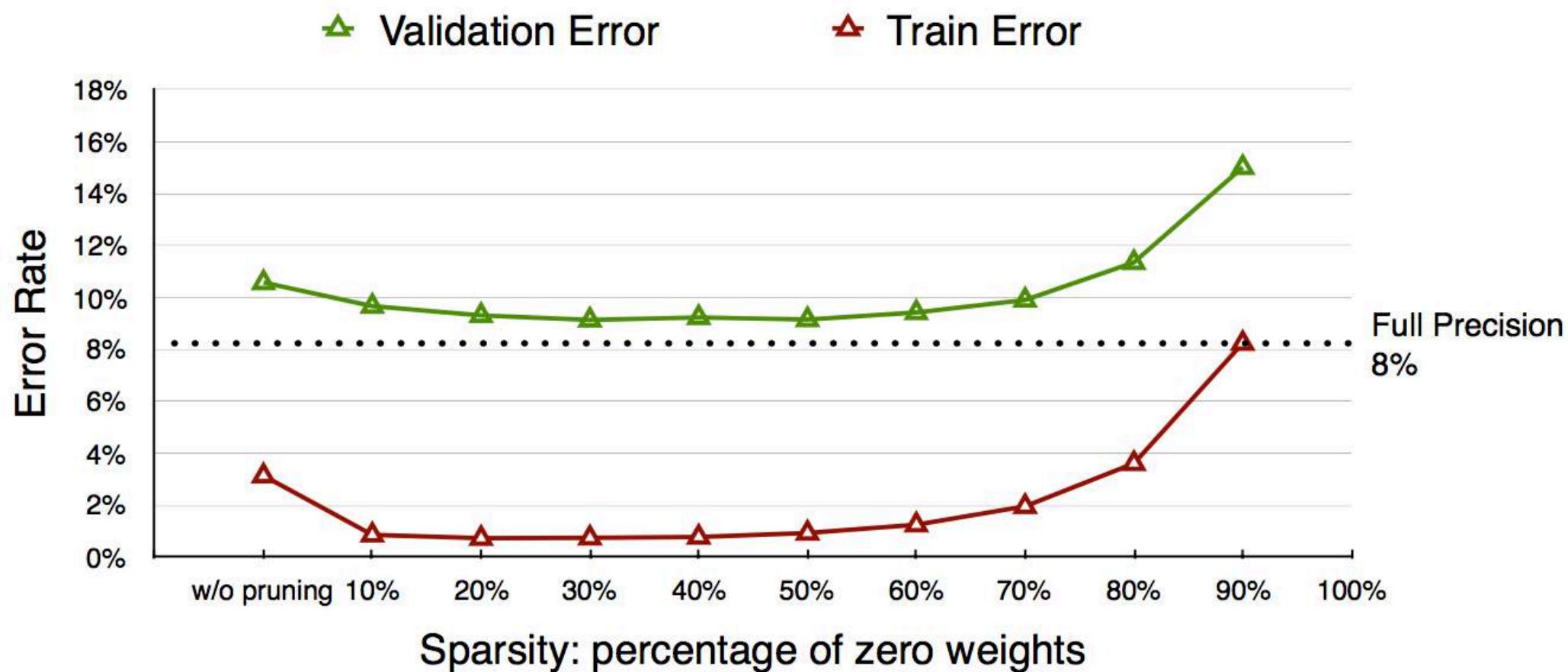
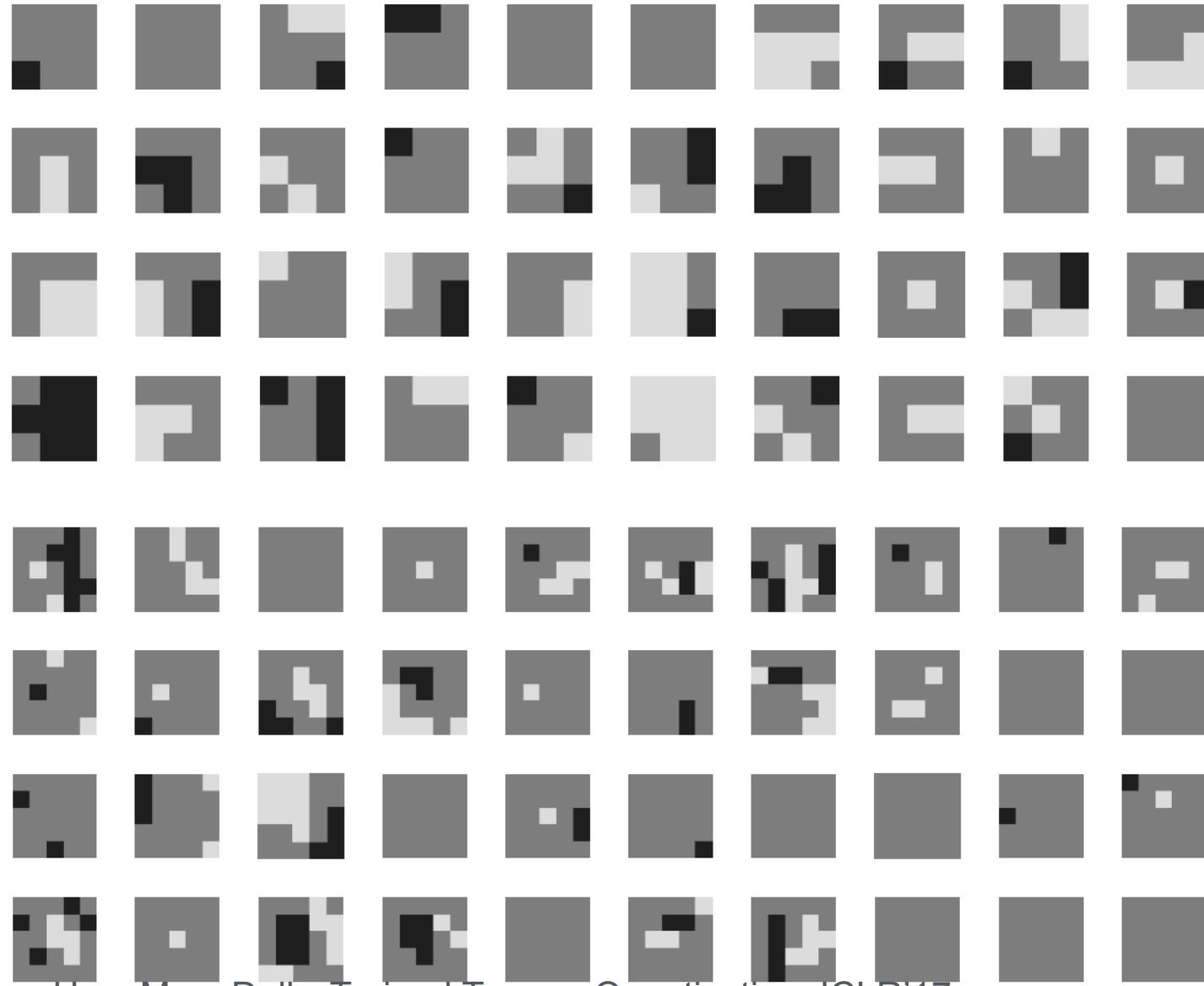


Figure 5: Accuracy v.s. Sparsity on ResNet-20

Zhu, Han, Mao, Dally. Trained Ternary Quantization, ICLR'17

# Visualization of the TTQ Kernels



Zhu, Han, Mao, Dally. Trained Ternary Quantization, ICLR'17

# TTQ: Accuracy

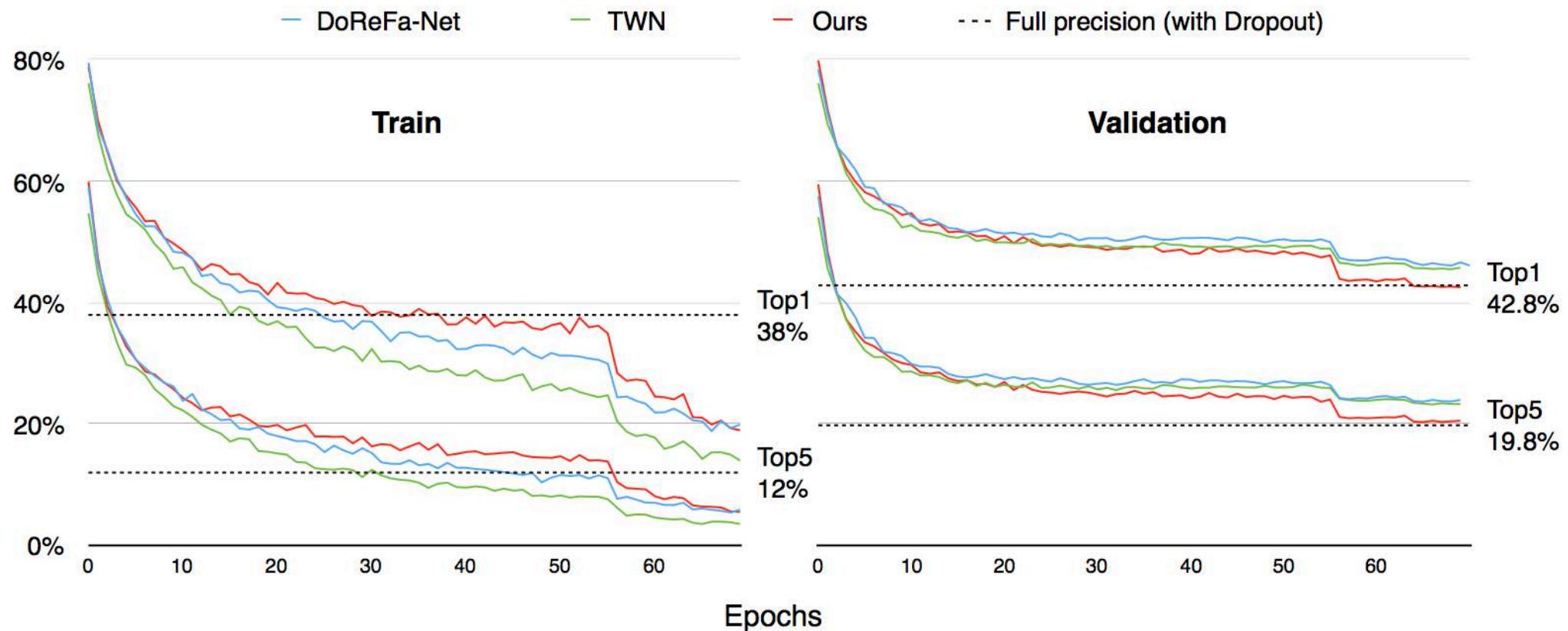


Figure 4: Training and validation accuracy of AlexNet on ImageNet

Zhu, Han, Mao, Dally. Trained Ternary Quantization, ICLR'17

# Model Compression Means

- **Complex DNNs can be put in mobile applications (<10MB total)**
  - 500MB with-FC network (125M weights) becomes 10MB
  - 10MB all-CONV network (2.5M weights) becomes 1MB
- **Memory bandwidth reduced by 10-50x**
  - Particularly for FC layers in real-time applications with no reuse
  - Good for distributed training => less communication overhead
- **Memory working set fits in on-chip SRAM**
  - 5pJ/word access v.s. 640pJ/word

# Challenges

- **Online de-compression while computing**
  - Special purpose logic
- **Computation becomes irregular**
  - Sparse weight
  - Sparse activation
  - Indirect lookup
- **Parallelization becomes challenging**
  - Synchronization overhead.
  - Load imbalance issue.
  - Scalability

# Agenda

## ♦ Deep Compression (size)

- Pruning
- Trained Quantization
- Huffman Coding

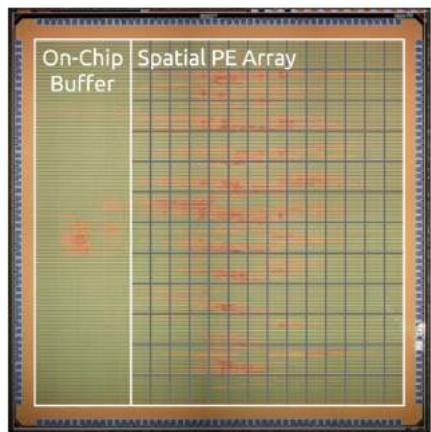
## ♦ Hardware Acceleration (speed, energy)

- EIE Accelerator (ASIC)
- ESE Accelerator (FPGA)

## ♦ Efficient Training (accuracy)

- Dense-Sparse-Dense Regularization

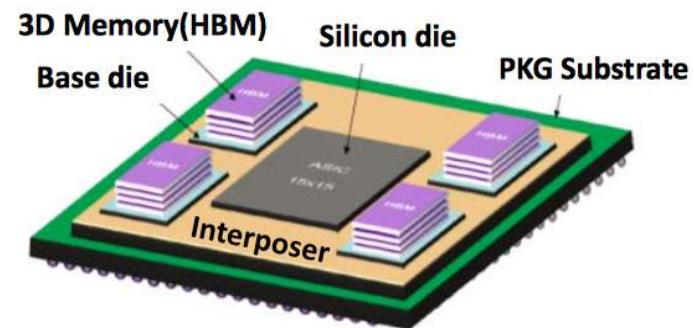
# Related Work



Eyeriss, MIT



TPU, Google



Nervana

# Agenda

## ♦ Model Compression

- Pruning / Quantization
- Ternary Net

## ♦ Hardware Acceleration

- EIE Accelerator (ASIC)
- ESE Accelerator (FPGA)

## ♦ Efficient Training

- Dense-Sparse-Dense Regularization

# EIE: Inference on Sparse, Compressed Model

logically

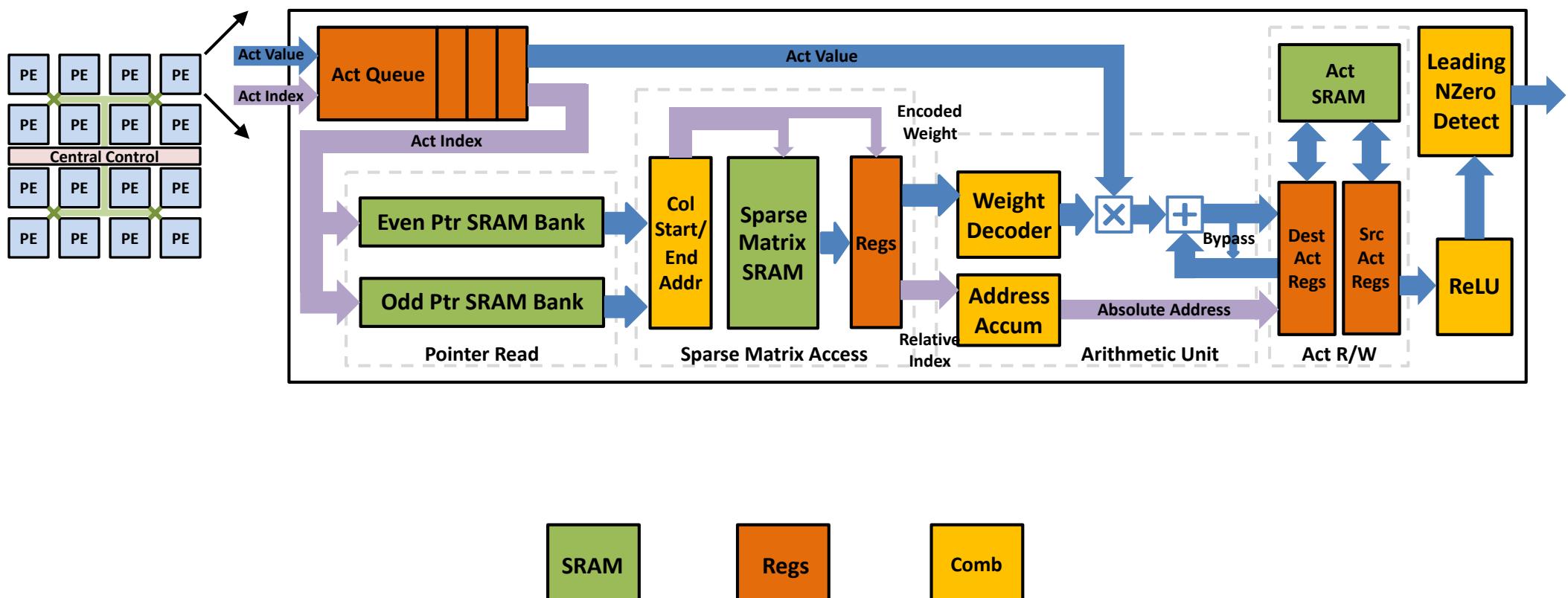
$$\vec{a} \begin{pmatrix} 0 & a_1 & 0 & a_3 \end{pmatrix} \times \begin{array}{c} PE0 \\ PE1 \\ PE2 \\ PE3 \end{array} \begin{pmatrix} w_{0,0} & w_{0,1} & 0 & w_{0,3} \\ 0 & 0 & w_{1,2} & 0 \\ 0 & w_{2,1} & 0 & w_{2,3} \\ 0 & 0 & 0 & 0 \\ 0 & 0 & w_{4,2} & w_{4,3} \\ w_{5,0} & 0 & 0 & 0 \\ 0 & 0 & 0 & w_{6,3} \\ 0 & w_{7,1} & 0 & 0 \end{pmatrix} = \begin{pmatrix} b_0 \\ b_1 \\ -b_2 \\ b_3 \\ -b_4 \\ b_5 \\ b_6 \\ -b_7 \end{pmatrix} \xrightarrow{\text{ReLU}} \vec{b} \begin{pmatrix} b_0 \\ b_1 \\ 0 \\ b_3 \\ 0 \\ b_5 \\ b_6 \\ 0 \end{pmatrix}$$

physically

Virtual Weight	$W_{0,0}$	$W_{0,1}$	$W_{4,2}$	$W_{0,3}$	$W_{4,3}$
Relative Index	0	1	2	0	0
Column Pointer	0	1	2	3	

Han et al. "EIE: Efficient Inference Engine on Compressed Deep Neural Network", ISCA 2016, Hotchips 2016

# PE Architecture



Han et al. "EIE: Efficient Inference Engine on Compressed Deep Neural Network", ISCA 2016, Hotchips 2016

# Where are the savings from?

## Sparse Matrix

90% *static* sparsity  
in the weights,  
**10x less** computation,  
**5x less** memory footprint

Han et al. "EIE: Efficient Inference Engine on Compressed Deep Neural Network", ISCA 2016, Hotchips 2016

# Where are the savings from?

## Sparse Matrix

90% *static* sparsity  
in the weights,  
**10x less** computation,  
**5x less** memory footprint

## Sparse Vector

70% *dynamic* sparsity  
in the activation  
**3x less** computation

Han et al. "EIE: Efficient Inference Engine on Compressed Deep Neural Network", ISCA 2016, Hotchips 2016

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## Weight Sharing

4bits weights  
**8x less** memory  
footprint

Han et al. "EIE: Efficient Inference Engine on Compressed Deep Neural Network", ISCA 2016, Hotchips 2016

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## Fully fits in SRAM

**120x less** energy than DRAM

Han et al. "EIE: Efficient Inference Engine on Compressed Deep Neural Network", ISCA 2016, Hotchips 2016

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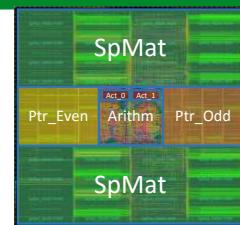
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in the activation  
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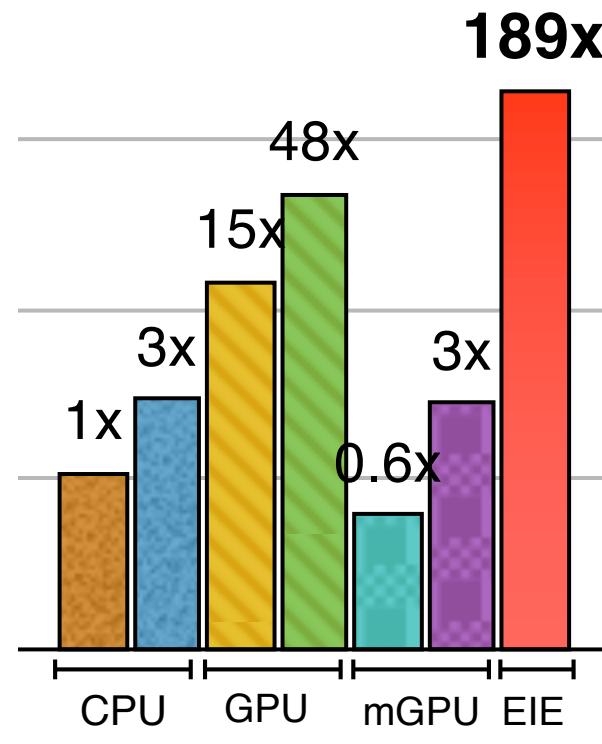
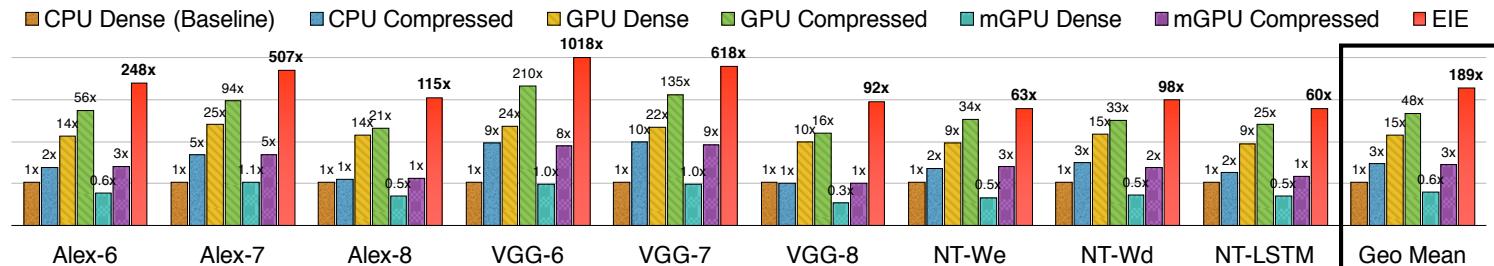
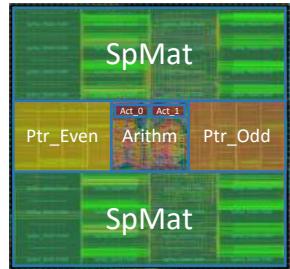
## Fully fits in SRAM

120x less energy than DRAM



Han et al. "EIE: Efficient Inference Engine on Compressed Deep Neural Network", ISCA 2016, Hotchips 2016

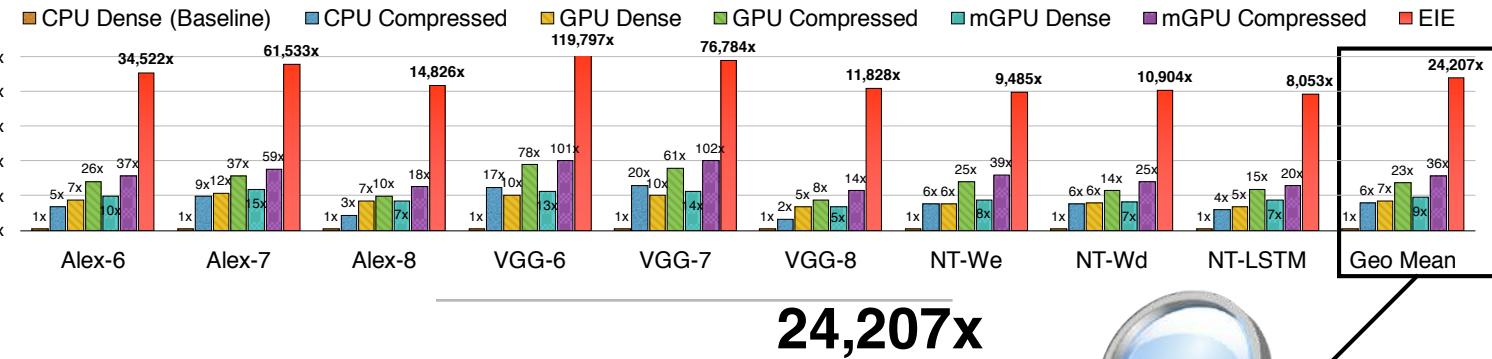
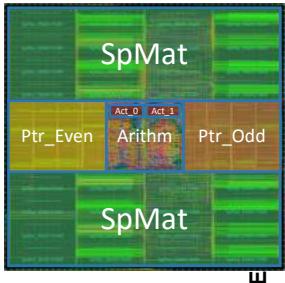
# Speedup of EIE



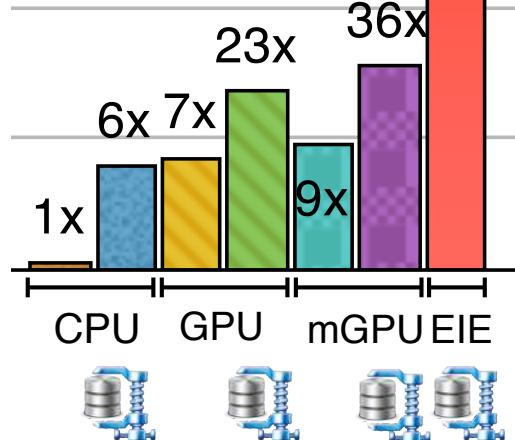
Baseline:

- Intel Core i7 5930K: MKL CBLAS GEMV, MKL SPBLAS CSRMV
- NVIDIA GeForce GTX Titan X: cuBLAS GEMV, cuSPARSE CSRMV
- NVIDIA Tegra K1: cuBLAS GEMV, cuSPARSE CSRMV

# Energy Efficiency of EIE



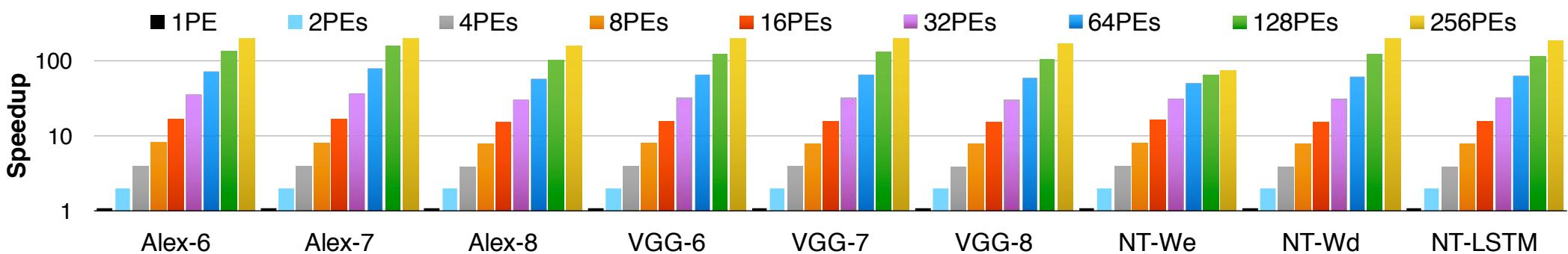
24,207x



Baseline:

- Intel Core i7 5930K: MKL CBLAS GEMV, MKL SPBLAS CSRMV
- NVIDIA GeForce GTX Titan X: cuBLAS GEMV, cuSPARSE CSRMV
- NVIDIA Tegra K1: cuBLAS GEMV, cuSPARSE CSRMV

# Scalability

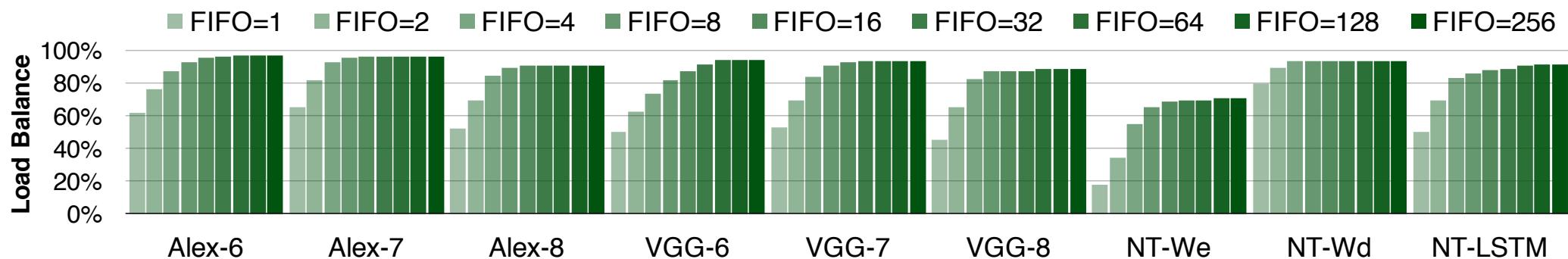


#PEs ~ Speedup

- 64PEs: 64x
- 128PEs: 124x
- 256PEs: 210x

Han et al. "EIE: Efficient Inference Engine on Compressed Deep Neural Network", ISCA 2016, Hotchips 2016

# Load Balancing



- Imbalanced non-zeros among PEs degrades system utilization.
- This load imbalance could be solved by FIFO.
- With FIFO depth=8, ALU utilization is > 80%.

Han et al. "EIE: Efficient Inference Engine on Compressed Deep Neural Network", ISCA 2016, Hotchips 2016

# Remaining Questions

- Can we do better with load imbalance?
- Feedforward => Recurrent neural network?

# Agenda

- Deep Compression (size)
  - Pruning
  - Trained Quantization
  - Huffman Coding

## ♦ **Hardware Acceleration (speed, energy)**

- EIE Accelerator (ASIC)
- ESE Accelerator (FPGA)

## ♦ **Efficient Training (accuracy)**

- Dense-Sparse-Dense Regularization

# Accelerating Recurrent Neural Networks



speech recognition

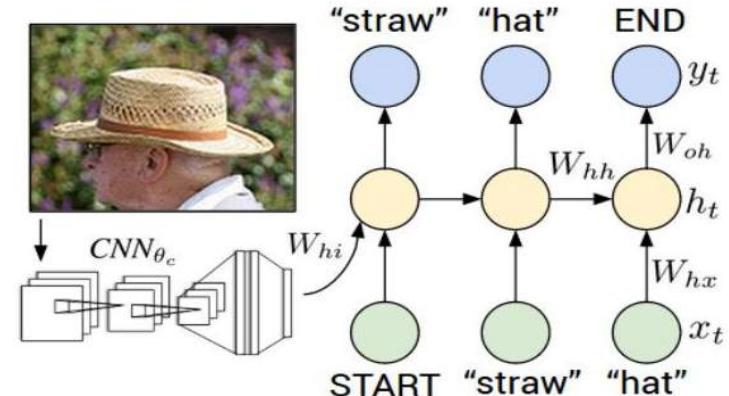


image caption



Google  
Translate

machine translation

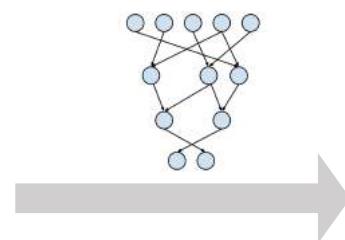
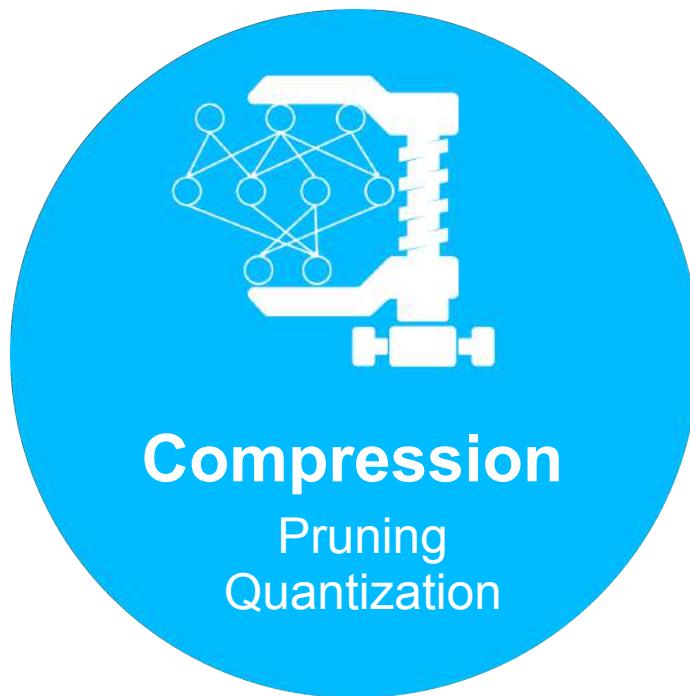


visual question answering

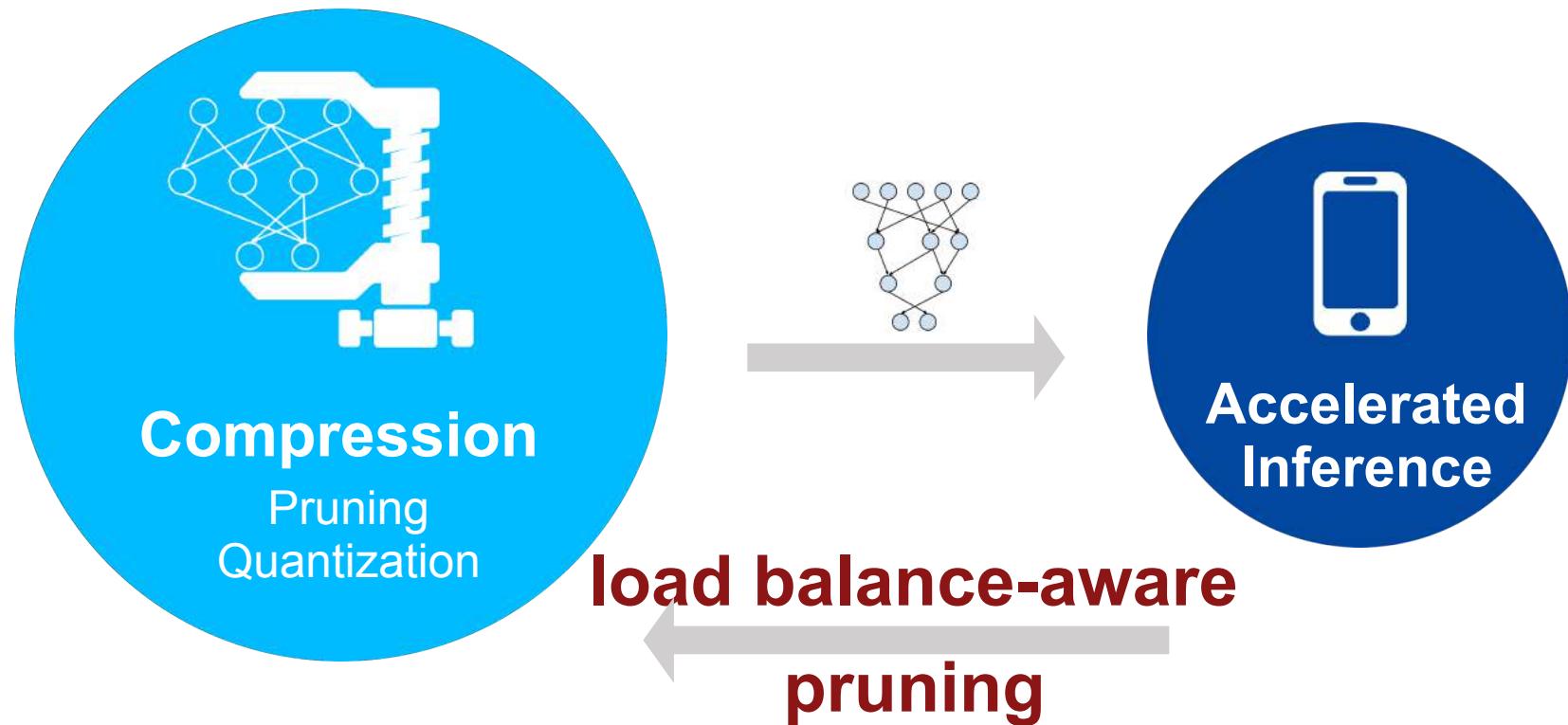


The recurrent nature of RNN/LSTM produces complicated data dependency, which is more challenging than feedforward neural nets.

# Rethinking Model Compression



# Rethinking Model Compression



Han et, al, "ESE: Efficient Speech Recognition Engine for Compressed LSTM", NIPS'16 workshop; FPGA'17

# Pruning Lead to Load Imbalance

$PE0$	$W_{0,0}$	$W_{0,1}$	0	$W_{0,3}$
$PE1$	0	0	$W_{1,2}$	0
$PE2$	0	$W_{2,1}$	0	$W_{2,3}$
$PE3$	0	0	0	0
	0	0	$W_{4,2}$	$W_{4,3}$
	$W_{5,0}$	0	0	0
	$W_{6,0}$	0	0	$W_{6,3}$
	0	$W_{7,1}$	0	0



**Unbalanced**

$PE0$						5 cycles
$PE1$						2 cycles
$PE2$						4 cycles
$PE3$						1 cycle
						Overall: 5 cycles

# Load Balance Aware Pruning

	PE0	PE1	PE2	PE3
PE0	$W_{0,0}$	$W_{0,1}$	0	$W_{0,3}$
PE1	0	0	$W_{1,2}$	0
PE2	0	$W_{2,1}$	0	$W_{2,3}$
PE3	0	0	0	0
	0	0	$W_{4,2}$	$W_{4,3}$
PE0	$W_{5,0}$	0	0	0
PE1	$W_{6,0}$	0	0	$W_{6,3}$
PE2	0	$W_{7,1}$	0	0



**Unbalanced**

	PE0	PE1	PE2	PE3
PE0				
PE1				
PE2				
PE3				
Overall:	5 cycles	2 cycles	4 cycles	1 cycle

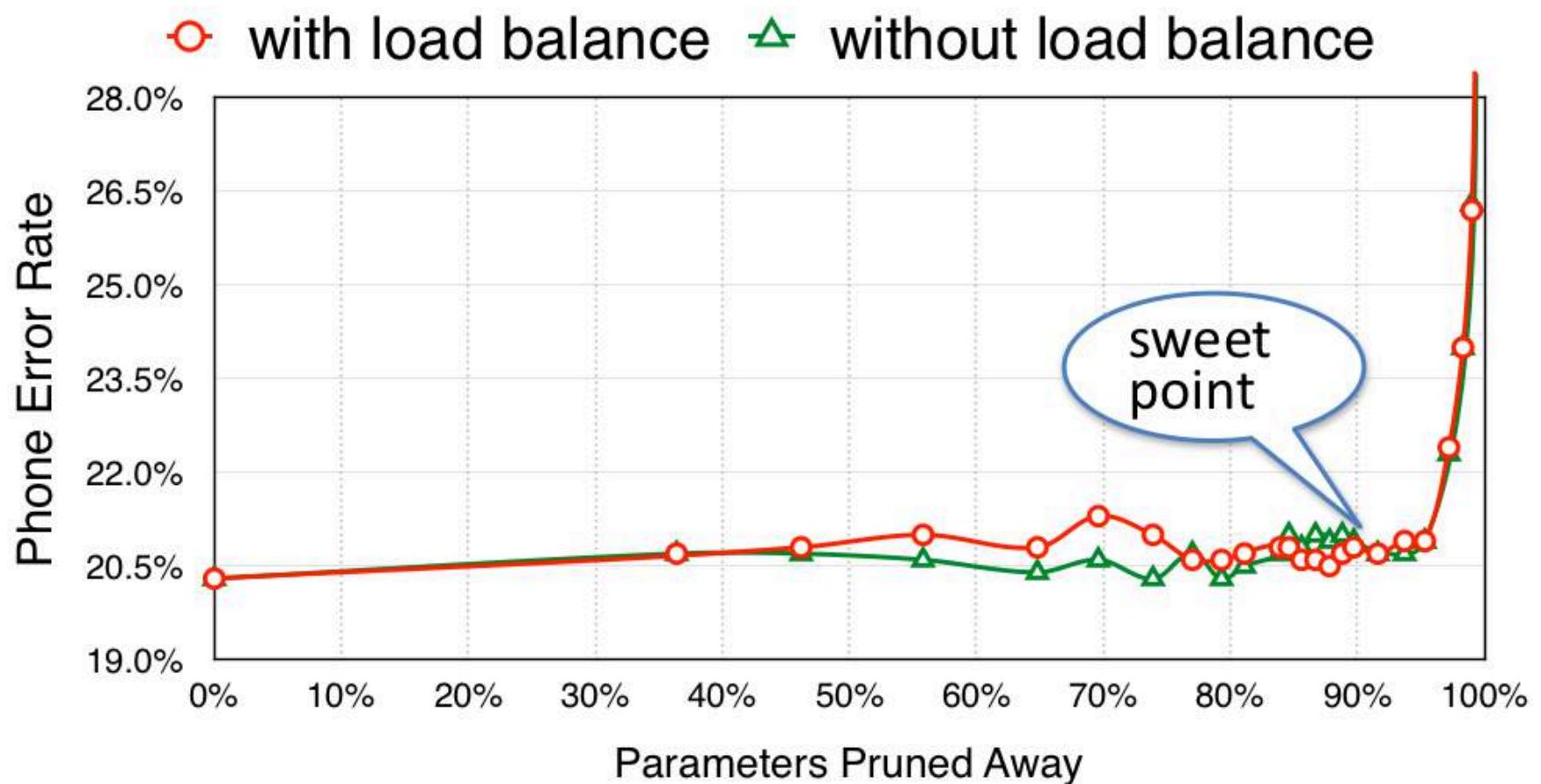
	PE0	PE1	PE2	PE3
PE0	$W_{0,0}$	0	0	$W_{0,3}$
PE1	0	0	$W_{1,2}$	0
PE2	0	$W_{2,1}$	0	$W_{2,3}$
PE3	0	0	$W_{3,2}$	0
	0	0	$W_{4,2}$	0
PE0	$W_{5,0}$	0	0	$W_{5,3}$
PE1	$W_{6,0}$	0	0	0
PE2	0	$W_{7,1}$	0	$W_{7,3}$



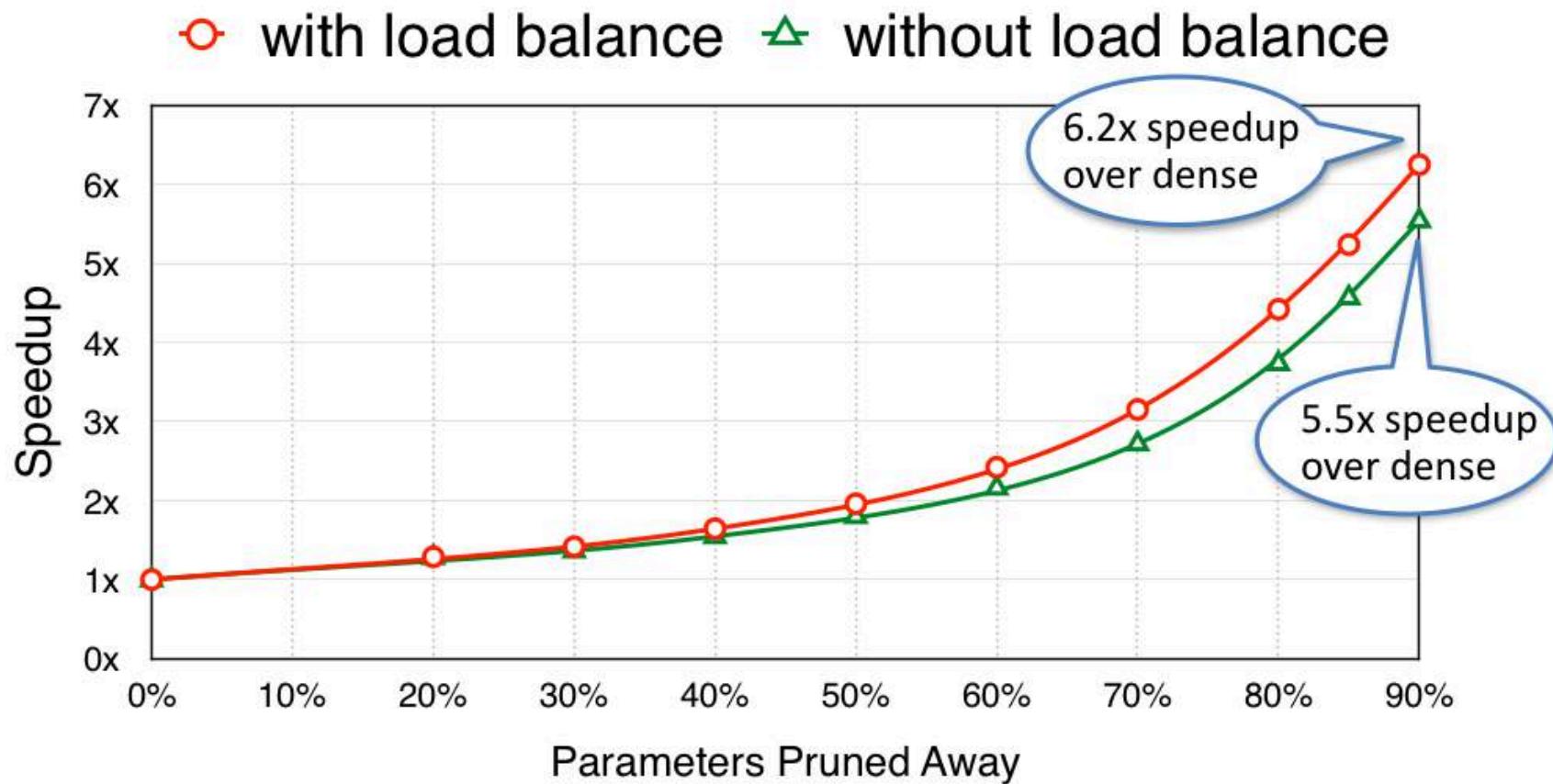
**Balanced**

	PE0	PE1	PE2	PE3
PE0				
PE1				
PE2				
PE3				
Overall:	3 cycles	3 cycles	3 cycles	3 cycles

# Load Balance Aware Pruning: Same Accuracy



# Load Balance Aware Pruning: Better Speedup



Han et, al, "ESE: Efficient Speech Recognition Engine for Compressed LSTM", NIPS'16 workshop; FPGA'17

# From Compression to Acceleration

- ♦ **Challenge 1:**
  - memory access is expensive.
- ✓ **Deep Compression:**
  - 10x-49x smaller, no loss of accuracy
- ♦ **Challenge 2:**
  - sparsity, indirection, load balance.
- ✓ **EIE / ESE Accelerator:**
  - energy-efficient accelerated inference

## **What about Training?**

**Compressed Model Size: Same accuracy**

**=> Original Model Size: Higher accuracy**

# Agenda

## ♦ Deep Compression (size)

- Pruning
- Trained Quantization
- Huffman Coding

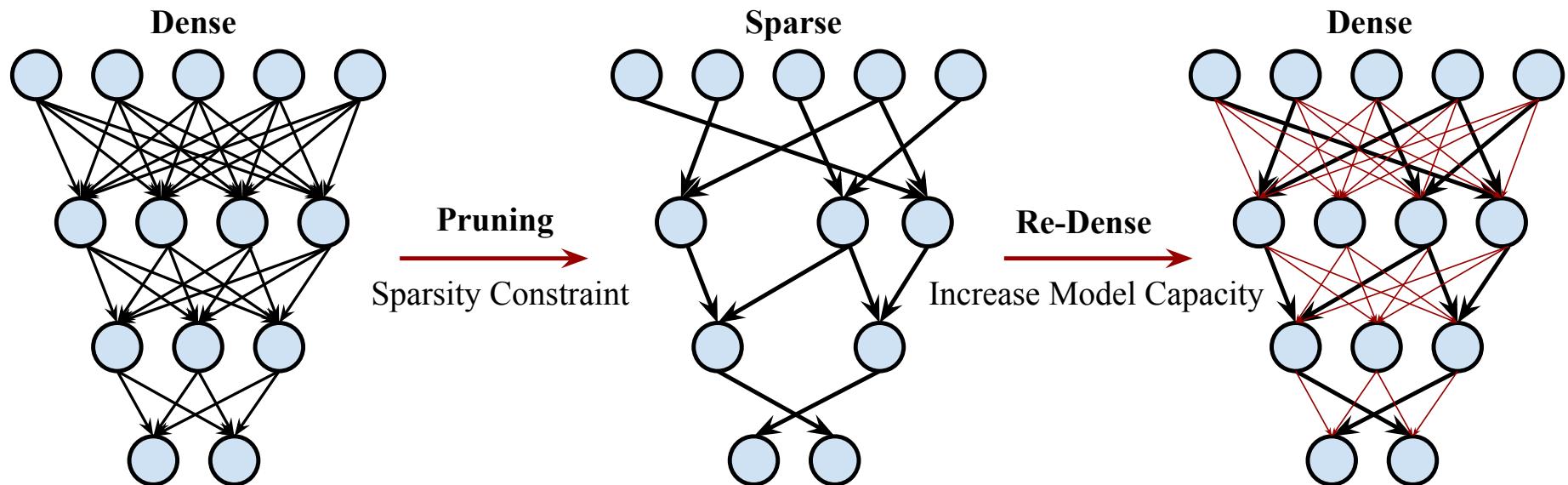
## ♦ Hardware Acceleration (speed, energy)

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## ♦ Efficient Training (accuracy)

- Dense-Sparse-Dense Regularization

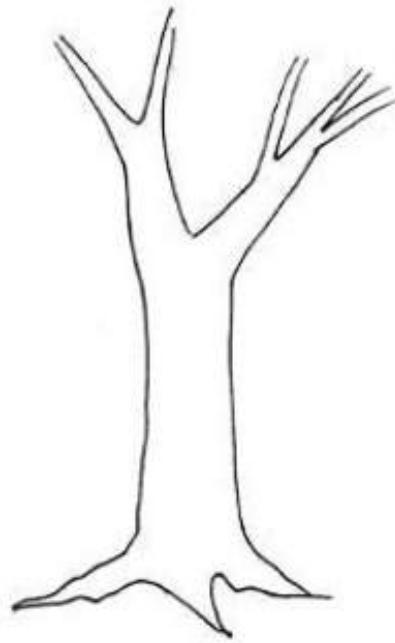
# DSD: Dense Sparse Dense Training



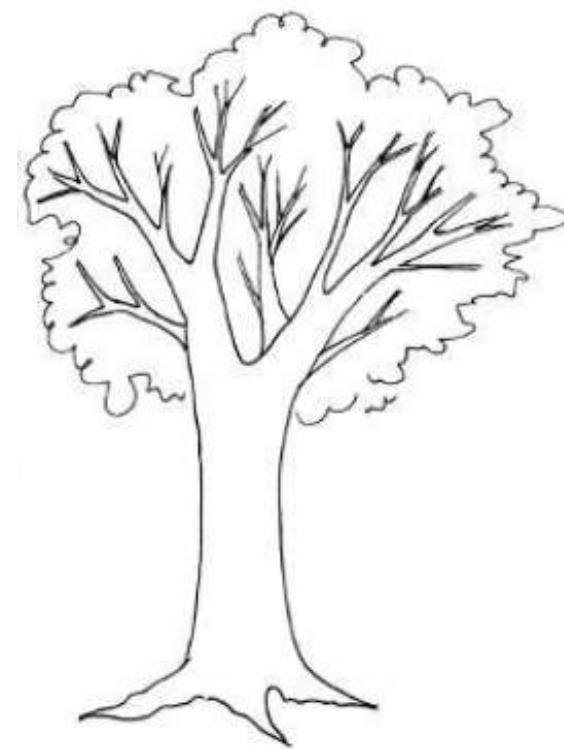
DSD produces same model architecture but can find better optimization solution, arrives at better local minima, and achieves higher prediction accuracy across a wide range of deep neural networks on CNNs / RNNs / LSTMs.

Han et al. "DSD: Dense-Sparse-Dense Training for Deep Neural Networks", ICLR 2017

# DSD: Intuition



Learn the trunk first

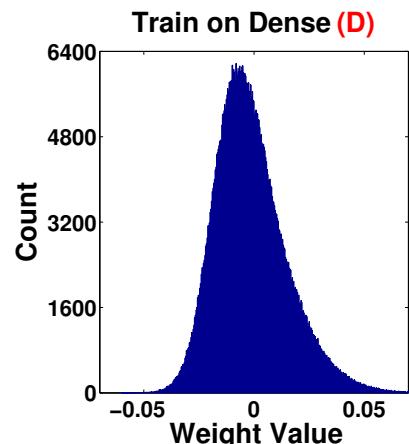


Then learn the leaves

# Related Work

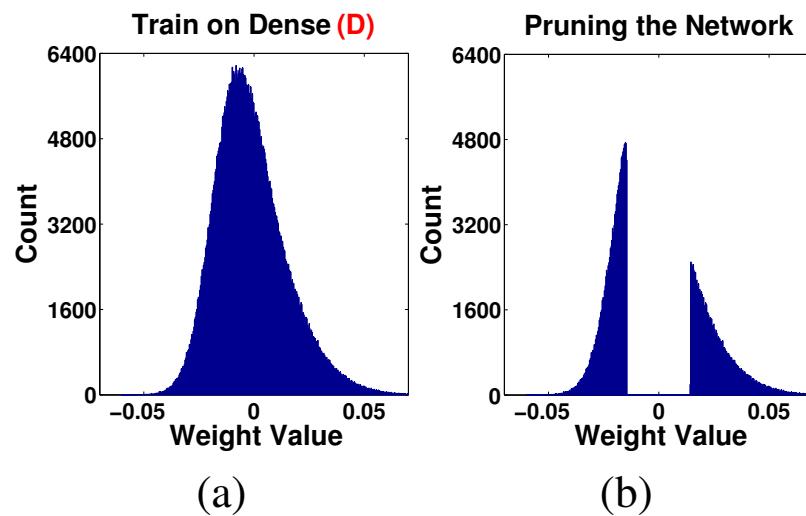
- **Dropout and DropConnect**
  - Dropout use a *random* sparsity pattern.
  - DSD training learns with a *deterministic* data driven sparsity pattern.
- **Distillation**
  - Transfer the knowledge from the cumbersome model to a small model
  - Both DSD and Distillation don't incur architectural changes.

# Weight Distribution

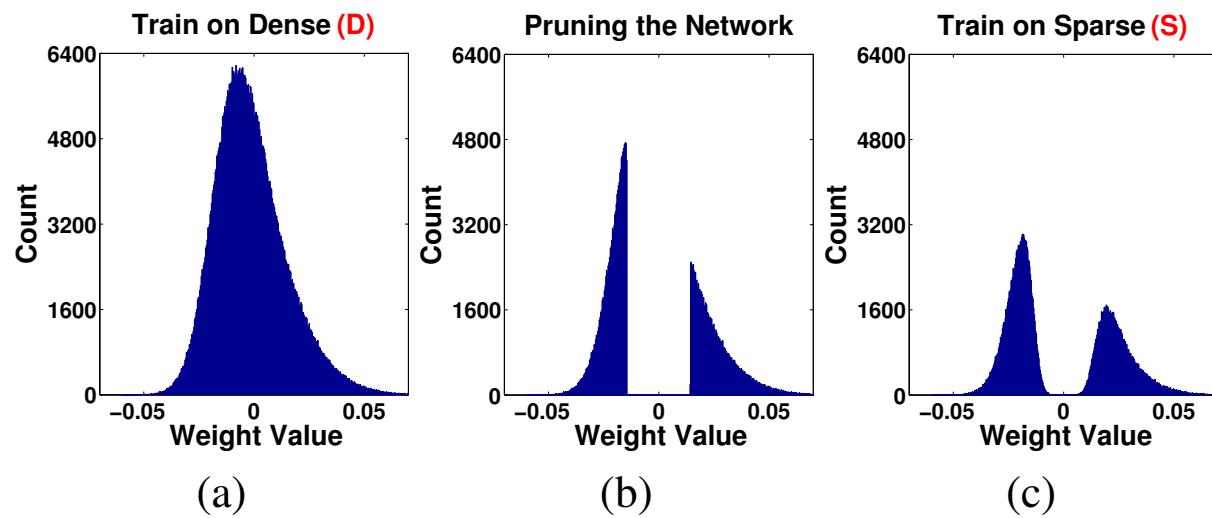


(a)

# Weight Distribution

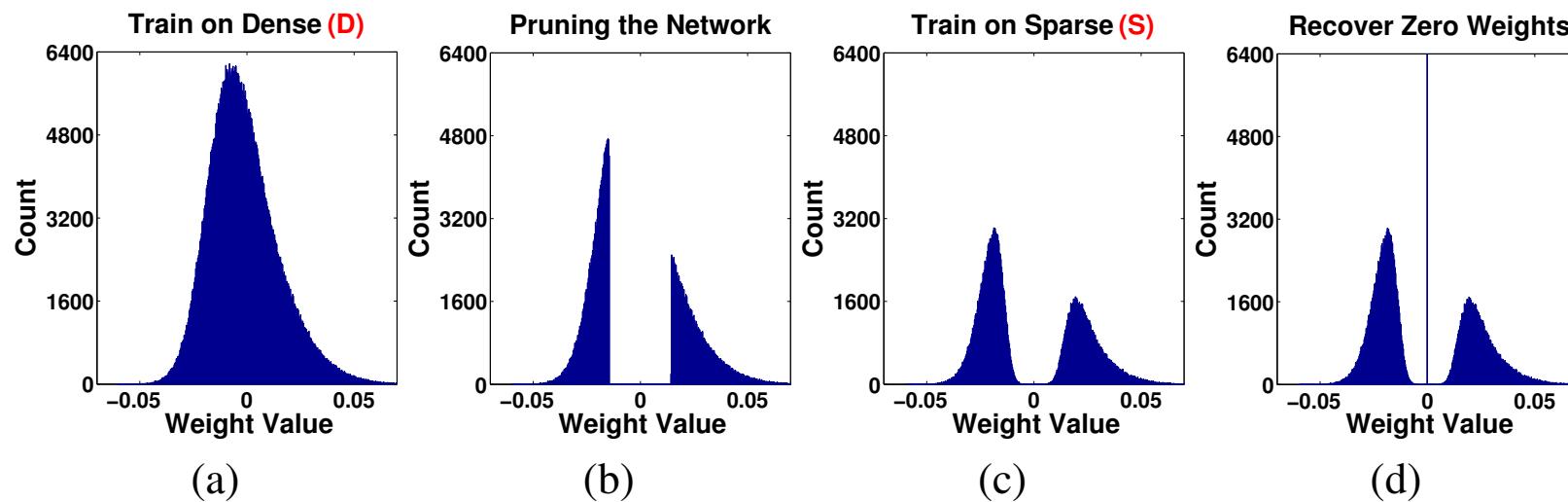


# Weight Distribution

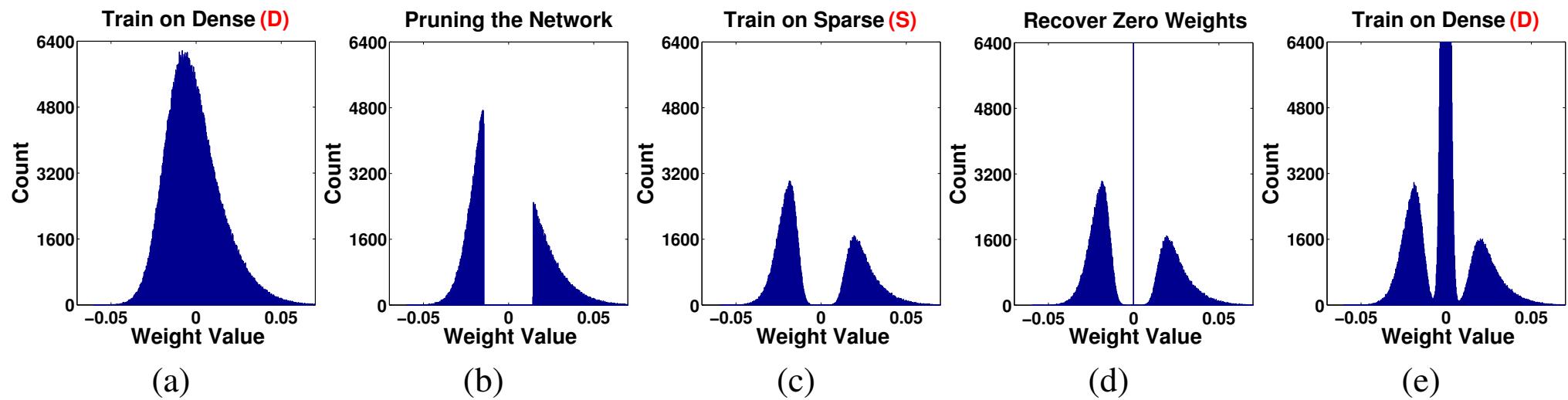


Han et al. "DSD: Dense-Sparse-Dense Training for Deep Neural Networks", ICLR 2017

# Weight Distribution



# Weight Distribution



# DSD is General Purpose: Vision, Speech, Natural Language

Table 1: Overview of the neural networks, data sets and performance improvements from DSD.

Neural Network	Domain	Dataset	Type	Baseline	DSD	Abs. Imp.	Rel. Imp.
GoogLeNet	Vision	ImageNet	CNN	31.1% <sup>1</sup>	<b>30.0%</b>	1.1%	3.6%
VGG-16	Vision	ImageNet	CNN	31.5% <sup>1</sup>	<b>27.2%</b>	4.3%	13.7%
ResNet-18	Vision	ImageNet	CNN	30.4% <sup>1</sup>	<b>29.3%</b>	1.1%	3.7%
ResNet-50	Vision	ImageNet	CNN	24.0% <sup>1</sup>	<b>23.2%</b>	0.9%	3.5%
NeuralTalk	Caption	Flickr-8K	LSTM	16.8 <sup>2</sup>	<b>18.5</b>	1.7	10.1%
DeepSpeech	Speech	WSJ'93	RNN	33.6% <sup>3</sup>	<b>31.6%</b>	2.0%	5.8%
DeepSpeech-2	Speech	WSJ'93	RNN	14.5% <sup>3</sup>	<b>13.4%</b>	1.1%	7.4%

DSD Model Zoo is online: <https://songhan.github.io/DSD>

The baseline results of AlexNet, VGG16, GoogleNet, SqueezeNet are from [Caffe Model Zoo](#).  
The baseline results of ResNet18, ResNet50 are from [fb.resnet.torch](#).

# DSD on Caption Generation



**✗ Baseline:** a boy in a red shirt is climbing a rock wall.

**✗ Sparse:** a young girl is jumping off a tree.

**✓ DSD:** a young girl in a pink shirt is swinging on a swing.

**○ Baseline:** a basketball player in a red uniform is playing with a ball.

**○ Sparse:** a basketball player in a blue uniform is jumping over the goal.

**✓ DSD:** a basketball player in a white uniform is trying to make a shot.

**✓ Baseline:** two dogs are playing together in a field.

**✓ Sparse:** two dogs are playing in a field.

**✓ DSD:** two dogs are playing in the grass.

**✗ Baseline:** a man and a woman are sitting on a bench.

**○ Sparse:** a man is sitting on a bench with his hands in the air.

**○ DSD:** a man is sitting on a bench with his arms folded.

**✗ Baseline:** a person in a red jacket is riding a bike through the woods.

**✓ Sparse:** a car drives through a mud puddle.

**DSD:** a car drives through a forest.

Baseline model: Andrej Karpathy, [Neural Talk model zoo](#).  
Han et al. "DSD: Dense-Sparse-Dense Training for Deep Neural Networks", ICLR 2017

# DSD on Caption Generation



✗ **Baseline:** a boy is swimming in a pool.  
○ **Sparse:** a small black dog is jumping into a pool.  
✓ **DSD:** a black and white dog is swimming in a pool.



✗ **Baseline:** a group of people are standing in front of a building.  
○ **Sparse:** a group of people are standing in front of a building.  
✓ **DSD:** a group of people are walking in a park.



✗ **Baseline:** two girls in bathing suits are playing in the water.  
✓ **Sparse:** two children are playing in the sand.  
✓ **DSD:** two children are playing in the sand.



○ **Baseline:** a man in a red shirt and jeans is riding a bicycle down a street.  
○ **Sparse:** a man in a red shirt and a woman in a wheelchair.  
✓ **DSD:** a man and a woman are riding on a street.



✗ **Baseline:** a group of people sit on a bench in front of a building.  
○ **Sparse:** a group of people are standing in front of a building.  
✓ **DSD:** a group of people are standing in a fountain.



✗ **Baseline:** a man in a black jacket and a black jacket is smiling.  
✗ **Sparse:** a man and a woman are standing in front of a mountain.  
✓ **DSD:** a man in a black jacket is standing next to a man in a black shirt.



○ **Baseline:** a group of football players in red uniforms.  
○ **Sparse:** a group of football players in a field.  
✓ **DSD:** a group of football players in red and white uniforms.



○ **Baseline:** a dog runs through the grass.  
○ **Sparse:** a dog runs through the grass.  
✓ **DSD:** a white and brown dog is running through the grass.

# Summary

## ♦ Deep Compression (**size**)

- Pruning
- Trained Quantization
- Huffman Coding

## ♦ Hardware Acceleration (speed, energy)

- EIE Accelerator (ASIC)
- ESE Accelerator (FPGA)

## ♦ Efficient Training (accuracy)

- Dense-Sparse-Dense Regularization

# Summary

Training

Inference

# Summary

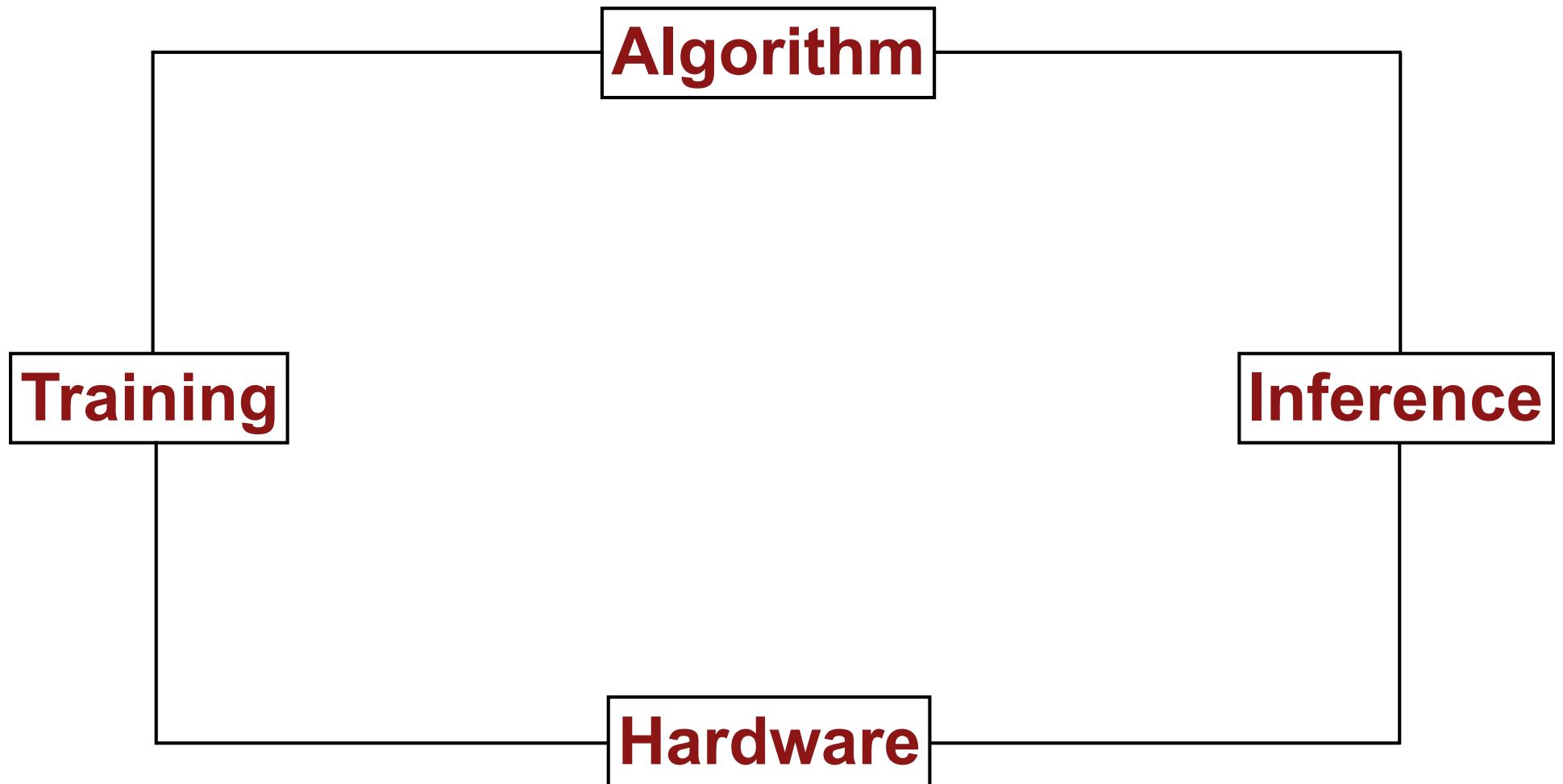
Algorithm

Training

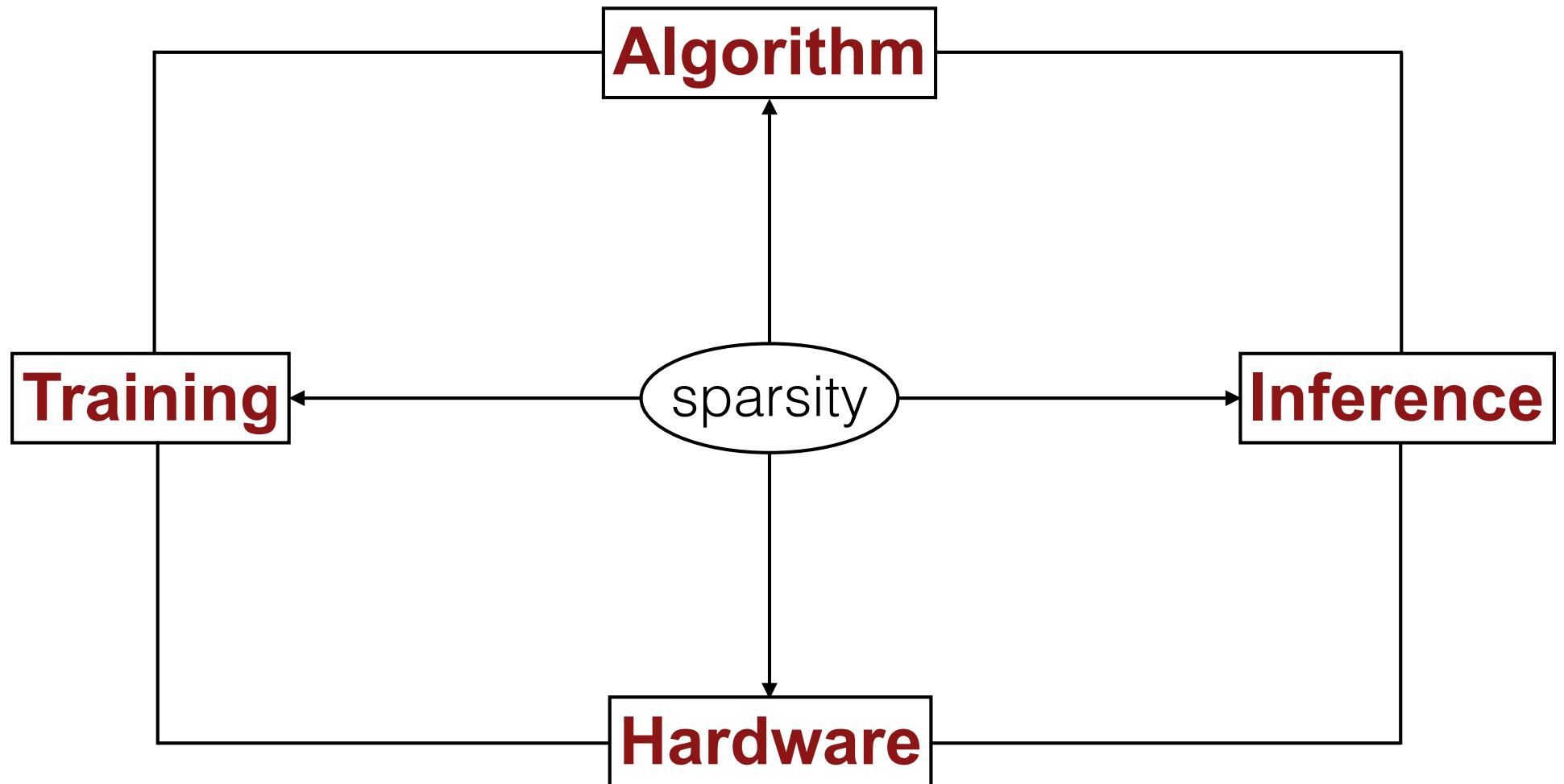
Inference

Hardware

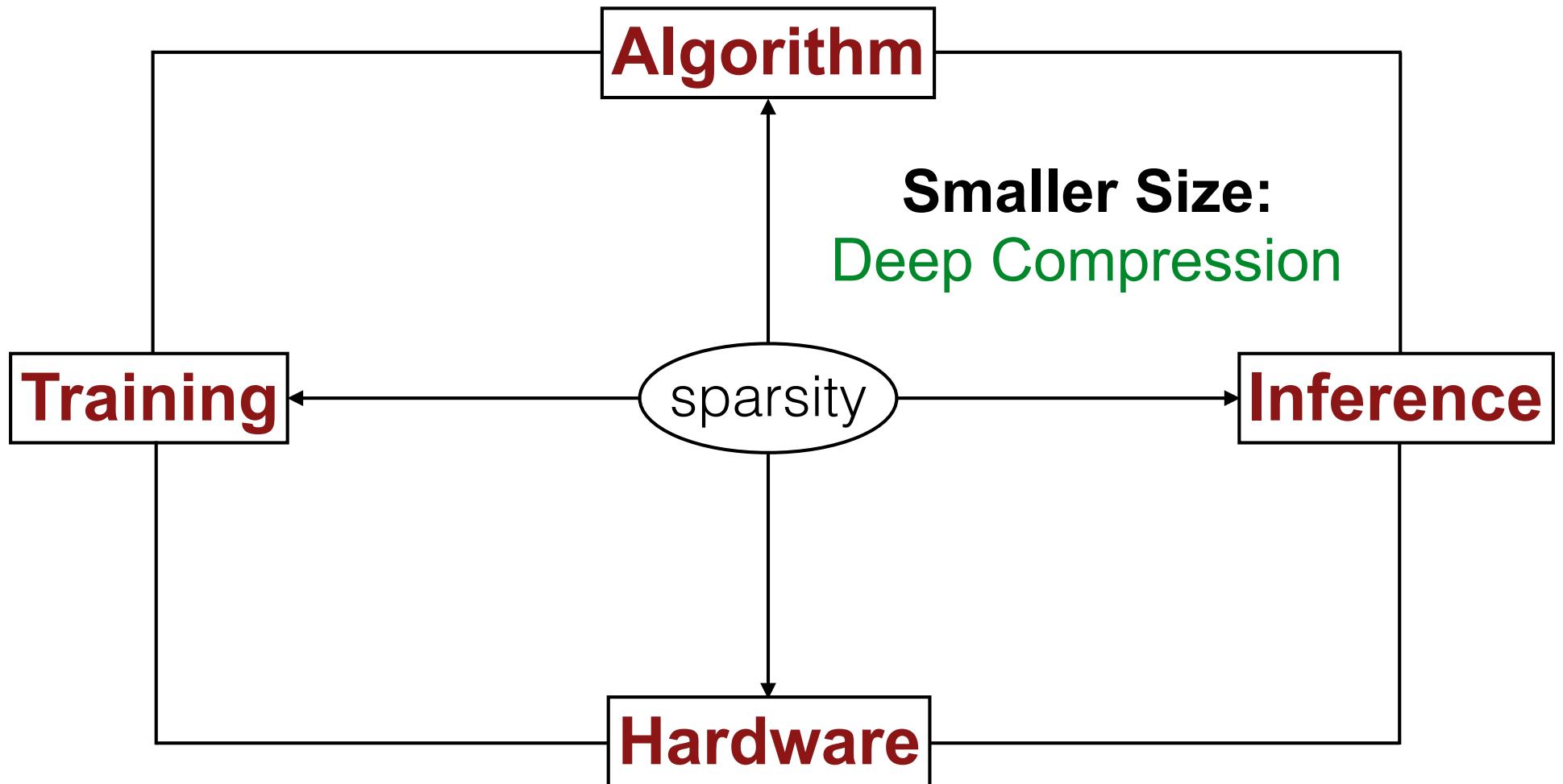
# Summary



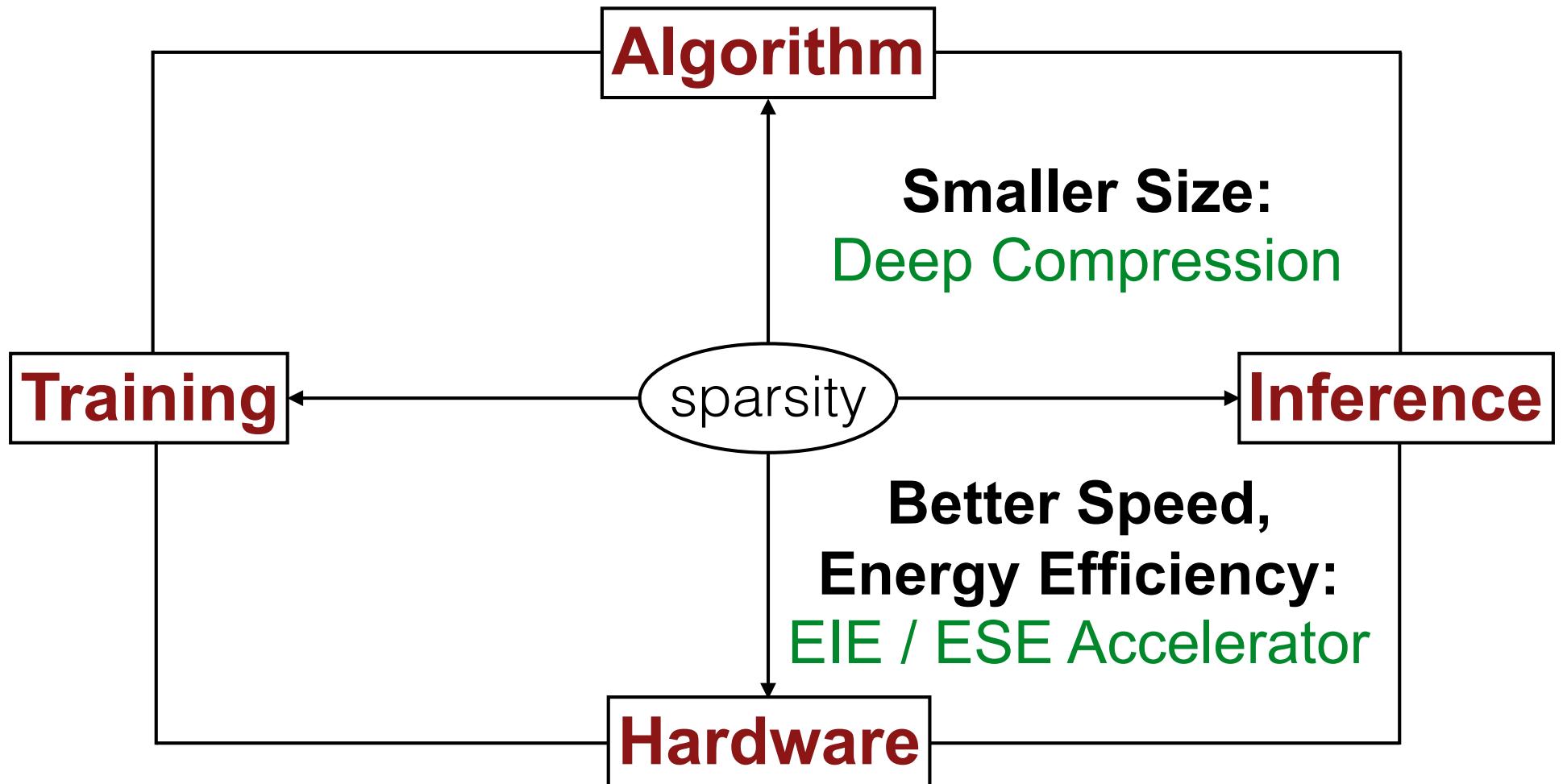
# Summary



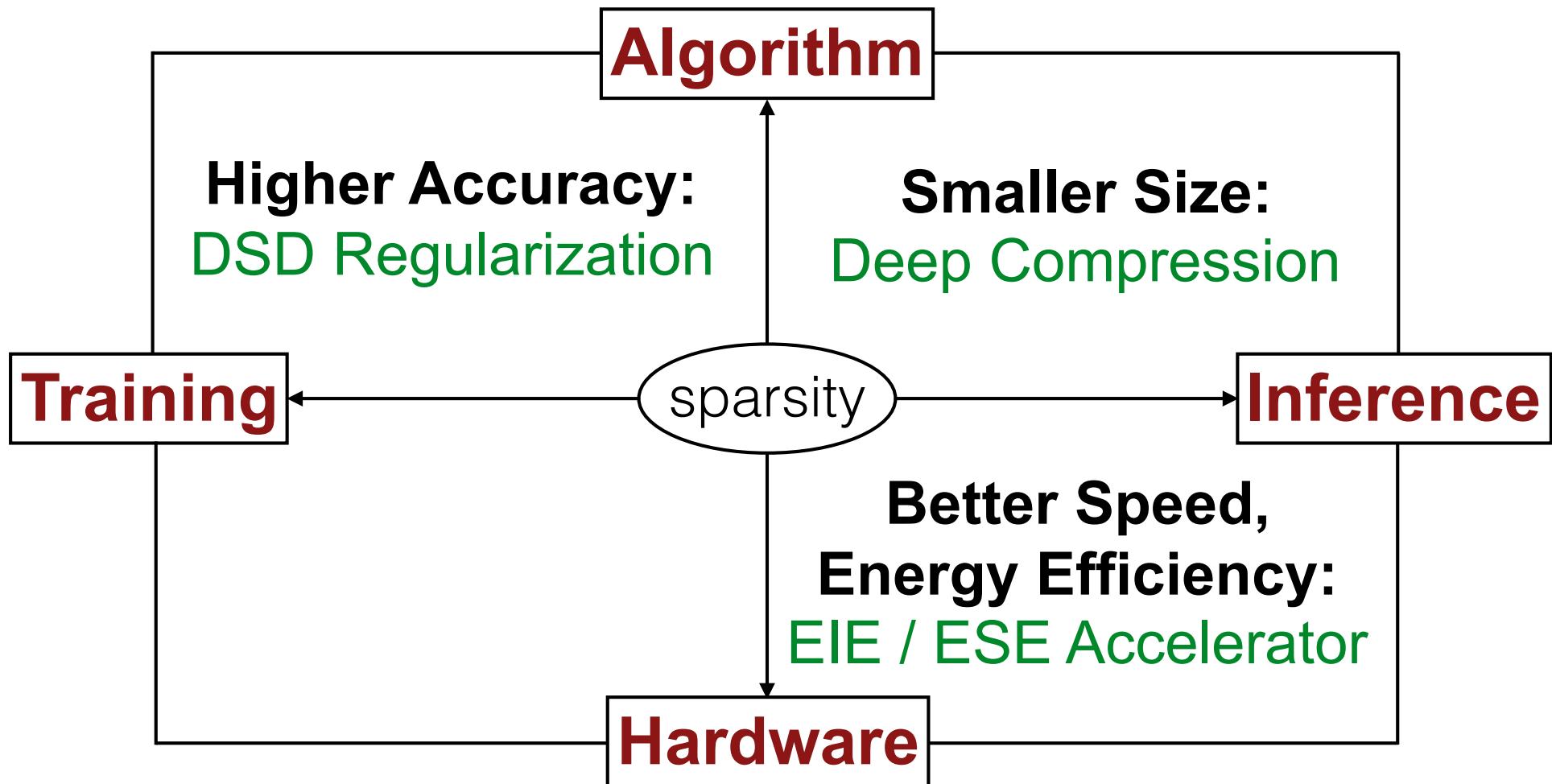
# Summary



# Summary



# Summary



# Detection with Low Precision

Discover the philisophy behind  
DEEP LEARNING

DEEPhi

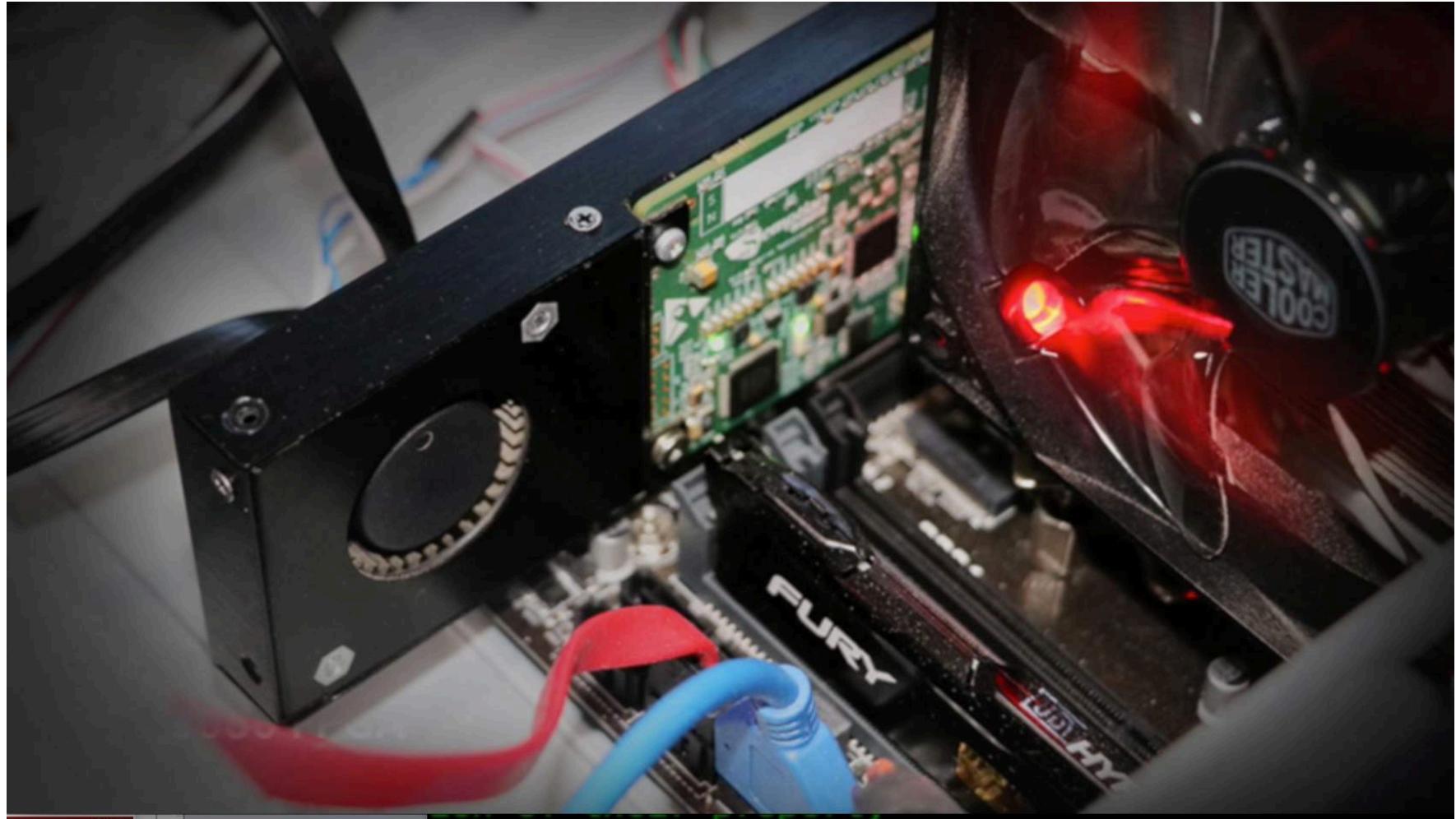


# ESE for Speech Recognition

Discover the philisophy behind  
DEEP LEARNING

DEEPhi

## Efficient Speech Recognition Engine on Sparse LSTM



# Outlook: the Path for Computation



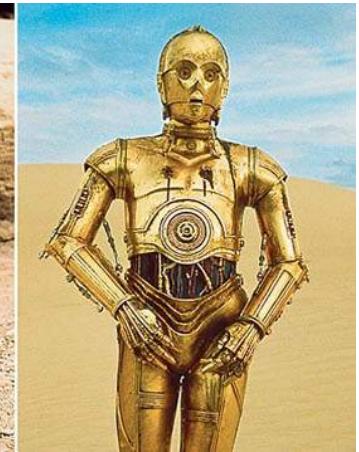
PC



Mobile-First



AI-First



Computation



Mobile  
Computation



Cognitive  
Computation

Sundar Pichai, Google IO, 2016

# Thank you!

[stanford.edu/~songhan](http://stanford.edu/~songhan)

## Model Compression

- [1]. Han et al. "Learning both Weights and Connections for Efficient Neural Networks", NIPS 2015
- [2]. Han et al. "Deep Compression: Compressing Deep Neural Networks with Pruning, Trained Quantization and Huffman Coding", Deep Learning Symposium, NIPS 2015; ICLR 2016, (**best paper award**)
- [3]. Chen, Han, et.al, "Trained Ternary Quantization", ICLR 2017

## Model Regularization

- [3]. Han et al. "DSD: Regularizing Deep Neural Networks with Dense-Sparse-Dense Training ", ICLR 2017

## Hardware Acceleration

- [6]. Han et al. "EIE: Efficient Inference Engine on Compressed Deep Neural Network", ISCA 2016
- [7]. Han et al. "ESE: Efficient Speech Recognition Engine for Compressed LSTM", NIPS'16 workshop; FPGA 2017
- [8]. Guo et al. "Angel-Eye: A Complete Design Flow for Mapping CNN onto Customized Hardware", ISVLSI 2016
- [9]. Guo, Han et al. "Software-Hardware Co-Design for Efficient Neural Network Acceleration", IEEE Micro, 2017

## CNN Design Space Exploration

- [4]. Iandola, Han, et al. "SqueezeNet: AlexNet-level accuracy with 50x fewer parameters and <0.5MB model size" arXiv'16
- [5]. Yao, Han, et al. "Hardware-friendly convolutional neural network with even-number filter size" ICLR 2016 workshop