

# Fox ML Infrastructure — Acceptable Use Policy (AUP)

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This Acceptable Use Policy (“AUP”) defines acceptable and prohibited uses of Fox ML Infrastructure software.

Violation of this policy may result in termination of the commercial license.

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## 1. Acceptable Use

### 1.1 Permitted Uses

**Fox ML Infrastructure may be used for:**

- **Research and development** — ML research, quantitative research, and model development
- **Internal operations** — Internal business operations, analytics, and decision-making
- **Trading and investment** — Trading, investment analysis, and portfolio management (subject to compliance with applicable laws)
- **Academic research** — Academic research and educational purposes (under AGPL-3.0 for non-commercial use)
- **Integration** — Integration with proprietary systems and workflows
- **Customization** — Customization and modification for internal use

All uses must comply with the Commercial License Agreement and applicable laws.

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## 2. Prohibited Uses

### 2.1 Illegal Activities

**Fox ML Infrastructure may NOT be used for:**

- **Illegal trading** — Trading activities that violate securities laws, exchange rules, or financial regulations
- **Market manipulation** — Manipulation of markets, prices, or trading volumes
- **Fraud** — Fraudulent activities, misrepresentation, or deceptive practices
- **Money laundering** — Money laundering or other financial crimes
- **Sanctions violations** — Activities that violate economic sanctions or embargoes
- **Any illegal purpose** — Any use that violates applicable laws or regulations

## **2.2 Sanctioned Entities**

**Fox ML Infrastructure may NOT be used by:**

- **Sanctioned entities** — Entities subject to economic sanctions or embargoes (e.g., OFAC, UN, EU sanctions)
- **Prohibited countries** — Entities in countries subject to comprehensive sanctions
- **Terrorist organizations** — Entities designated as terrorist organizations
- **Prohibited persons** — Individuals or entities on prohibited persons lists

See [LEGAL/EXPORT\\_COMPLIANCE.md](#) for export control compliance requirements.

## **2.3 High-Risk Environments**

**Fox ML Infrastructure may NOT be used in:**

- **Medical diagnosis** — Medical diagnosis, treatment, or life-critical medical systems
- **Critical infrastructure** — Critical infrastructure systems where failure could cause significant harm
- **Weapons systems** — Weapons systems, defense systems, or military applications
- **Life support systems** — Life support systems or systems where failure could result in death
- **Nuclear systems** — Nuclear systems or nuclear facility control systems
- **Aviation safety** — Aviation safety systems or flight control systems
- **Any high-risk environment** — Any environment where failure could result in death, serious injury, or significant property damage

See [COMMERCIAL\\_LICENSE.md](#) Section 4 (Restrictions) for detailed high-risk environment restrictions.

## **2.4 Exchange Rule Violations**

**Fox ML Infrastructure may NOT be used to:**

- **Violate exchange rules** — Violate exchange rules, trading regulations, or market regulations
- **Circumvent restrictions** — Circumvent trading restrictions, position limits, or regulatory requirements
- **Manipulate markets** — Manipulate markets, prices, or trading volumes
- **Front-running** — Engage in front-running or other prohibited trading practices
- **Insider trading** — Use non-public information for trading (if applicable)

Licensee is responsible for ensuring compliance with all applicable exchange rules and regulations.

## **2.5 Unauthorized Redistribution**

**Fox ML Infrastructure may NOT be:**

- **Redistributed** — Redistributed, sold, or provided to third parties
- **Hosted as SaaS** — Hosted as a Software-as-a-Service (SaaS) or Platform-as-a-Service (PaaS)
- **Sublicensed** — Sublicensed, rented, or leased to third parties
- **Published** — Published or disclosed publicly (source code or derivatives)
- **Resold** — Resold or transferred to third parties without authorization

See `COMMERCIAL_LICENSE.md` Section 4 (Restrictions) for detailed redistribution restrictions.

## 2.6 Unauthorized Access

Fox ML Infrastructure may NOT be used to:

- **Unauthorized access** — Gain unauthorized access to systems, networks, or data
  - **Security breaches** — Breach security measures or access controls
  - **Data theft** — Steal, copy, or misappropriate data or intellectual property
  - **System disruption** — Disrupt, damage, or interfere with systems or networks
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## 3. Compliance Requirements

### 3.1 Legal Compliance

Licensee must:

- **Comply with laws** — Comply with all applicable laws, regulations, and export controls
- **Obtain licenses** — Obtain all necessary licenses, permits, and authorizations
- **Regulatory compliance** — Comply with securities regulations, exchange rules, and financial regulations
- **Export compliance** — Comply with export control laws and regulations

See `LEGAL/EXPORT_COMPLIANCE.md` for export control compliance requirements.

### 3.2 Exchange and Regulatory Compliance

Licensee is responsible for:

- **Exchange rules** — Ensuring compliance with all applicable exchange rules and trading regulations
  - **Regulatory requirements** — Meeting all regulatory requirements for trading, investment, or financial operations
  - **Reporting obligations** — Fulfilling all reporting obligations to regulatory authorities
  - **Audit requirements** — Meeting audit and compliance requirements
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## 4. Monitoring and Enforcement

### 4.1 Licensee Responsibility

Licensee is responsible for:

- **Monitoring use** — Monitoring use of the Software within its organization
- **Enforcing compliance** — Enforcing compliance with this AUP within its organization
- **Reporting violations** — Reporting any violations of this AUP to Licensor
- **Remediation** — Taking prompt action to remediate any violations

## **4.2 Lessor Rights**

**Fox ML Infrastructure LLC reserves the right to:**

- **Investigate violations** — Investigate suspected violations of this AUP
  - **Request information** — Request information about Licensee's use of the Software
  - **Terminate license** — Terminate the license for material violations
  - **Legal action** — Pursue legal action for violations
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## **5. Violations and Consequences**

### **5.1 Material Violations**

**Material violations include:**

- **Illegal use** — Use for illegal purposes or in violation of applicable laws
- **Sanctions violations** — Use by sanctioned entities or in violation of export controls
- **High-risk use** — Use in prohibited high-risk environments
- **Unauthorized redistribution** — Redistribution, hosting as SaaS, or unauthorized transfer
- **Exchange violations** — Violations of exchange rules or trading regulations

### **5.2 Consequences**

**Violations may result in:**

- **Immediate termination** — Immediate termination of the commercial license
- **Cessation of use** — Requirement to cease all use of the Software
- **Destruction of copies** — Requirement to destroy all copies of the Software
- **Legal action** — Legal action for breach of contract or copyright infringement
- **Indemnification** — Licensee remains liable for indemnification obligations

See **COMMERCIAL\_LICENSE.md Section 5 (Termination)** for termination procedures.

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## **6. Reporting Violations**

### **6.1 Reporting Process**

**To report violations:**

- **Email** — jenn.lewis5789@gmail.com
- **Subject** — AUP Violation Report — Fox ML Infrastructure
- **Include** — Description of violation, evidence, and contact information

### **6.2 Confidentiality**

**Reports are handled confidentially:**

- **Privacy** — Reporter information is kept confidential (unless required by law)
- **Investigation** — Reports are investigated promptly and thoroughly
- **Remediation** — Appropriate action is taken to remediate violations

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## 7. Updates to This Policy

### 7.1 Policy Updates

This AUP may be updated:

- **Notification** — Commercial licensees will be notified of material updates
  - **Effective date** — Updates become effective on the date specified
  - **Continued use** — Continued use of the Software implies acceptance of updated policy
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## 8. Summary

**Key AUP Principles:**

1. **Legal compliance required** — All uses must comply with applicable laws and regulations
2. **No illegal activities** — Prohibited: illegal trading, fraud, market manipulation
3. **No sanctioned entities** — Prohibited: use by sanctioned entities or in prohibited countries
4. **No high-risk environments** — Prohibited: medical, critical infrastructure, weapons systems
5. **No unauthorized redistribution** — Prohibited: redistribution, SaaS hosting, sublicensing
6. **Exchange compliance** — Licensee responsible for exchange rule and regulatory compliance
7. **Violations result in termination** — Material violations result in immediate license termination

This policy protects both parties and ensures responsible use of the Software.

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## Contact

For questions about acceptable use or to report violations:

**Jennifer Lewis**

Fox ML Infrastructure LLC

Email: [jenn.lewis5789@gmail.com](mailto:jenn.lewis5789@gmail.com)

Subject: *Acceptable Use Policy Inquiry — Fox ML Infrastructure*

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## Related Documents

- COMMERCIAL\_LICENSE.md — Commercial license terms (Section 4: Restrictions)
- LEGAL/CLA.md — Commercial License Agreement (Section 3: Restrictions)
- LEGAL/EXPORT\_COMPLIANCE.md — Export control compliance requirements
- LEGAL/INDEMNIFICATION.md — Indemnification obligations