Fox ML Infrastructure [UNICODE] Acceptable Use Policy (AUP)

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This Acceptable Use Policy ("AUP") defines acceptable and prohibited uses of Fox ML Infrastructure software.
Violation of this policy may result in termination of the commercial license.

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1. Acceptable Use

1.1 Permitted Uses

Fox ML Infrastructure may be used for:

- **Research and development** [UNICODE] Machine learning applications, cross-sectional ML workflows, and model development
- **Internal operations** [UNICODE] Internal business operations, analytics, and decision-making
- **Domain-specific applications** [UNICODE] Cross-sectional ML applications across any domain (subject to compliance with applicable laws and regulations)
- **Academic research** [UNICODE] Academic research and educational purposes (under AGPL-3.0 for non-commercial use)
- **Integration** [UNICODE] Integration with proprietary systems and workflows
- **Customization** [UNICODE] Customization and modification for internal use

All uses must comply with the Commercial License Agreement and applicable laws.

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2. Prohibited Uses

2.1 Illegal Activities

Fox ML Infrastructure may NOT be used for:

- **Illegal trading** [UNICODE] Trading activities that violate securities laws, exchange rules, or financial regulations
- **Market manipulation** [UNICODE] Manipulation of markets, prices, or trading volumes
- **Fraud** [UNICODE] Fraudulent activities, misrepresentation, or deceptive practices
- **Money laundering** [UNICODE] Money laundering or other financial crimes
- **Sanctions violations** [UNICODE] Activities that violate economic sanctions or embargoes
- **Any illegal purpose** [UNICODE] Any use that violates applicable laws or regulations

2.2 Sanctioned Entities

Fox ML Infrastructure may NOT be used by:

- **Sanctioned entities** [UNICODE] Entities subject to economic sanctions or embargoes (e.g., OFAC, UN, EU sanctions)
- **Prohibited countries** [UNICODE] Entities in countries subject to comprehensive sanctions
- **Terrorist organizations** [UNICODE] Entities designated as terrorist organizations
- **Prohibited persons** [UNICODE] Individuals or entities on prohibited persons lists

See `LEGAL/EXPORT_COMPLIANCE.md` for export control compliance requirements.

2.3 High-Risk Environments

Fox ML Infrastructure may NOT be used in:

- **Medical diagnosis** [UNICODE] Medical diagnosis, treatment, or life-critical medical systems
- **Critical infrastructure** [UNICODE] Critical infrastructure systems where failure could cause significant harm
- **Weapons systems** [UNICODE] Weapons systems, defense systems, or military applications
- **Life support systems** [UNICODE] Life support systems or systems where failure could result in death
- **Nuclear systems** [UNICODE] Nuclear systems or nuclear facility control systems
- **Aviation safety** [UNICODE] Aviation safety systems or flight control systems
- **Any high-risk environment** [UNICODE] Any environment where failure could result in death, serious injury, or significant property damage

See `COMMERCIAL_LICENSE.md` Section 4 (Restrictions) for detailed high-risk environment restrictions.

2.4 Exchange Rule Violations

Fox ML Infrastructure may NOT be used to:

- **Violate exchange rules** [UNICODE] Violate exchange rules, trading regulations, or market regulations
- **Circumvent restrictions** [UNICODE] Circumvent trading restrictions, position limits, or regulatory requirements
- **Manipulate markets** [UNICODE] Manipulate markets, prices, or trading volumes
- **Front-running** [UNICODE] Engage in front-running or other prohibited trading practices
- **Insider trading** [UNICODE] Use non-public information for trading (if applicable)

Licensee is responsible for ensuring compliance with all applicable exchange rules and regulations.

2.5 Unauthorized Redistribution

Fox ML Infrastructure may NOT be:

- **Redistributed** [UNICODE] Redistributed, sold, or provided to third parties
- **Hosted as SaaS** [UNICODE] Hosted as a Software-as-a-Service (SaaS) or Platform-as-a-Service (PaaS)
- **Sublicensed** [UNICODE] Sublicensed, rented, or leased to third parties

- **Published** [UNICODE] Published or disclosed publicly (source code or derivatives)
- **Resold** [UNICODE] Resold or transferred to third parties without authorization

See `COMMERCIAL_LICENSE.md` **Section 4 (Restrictions) for detailed redistribution restrictions.**

2.6 Unauthorized Access

Fox ML Infrastructure may NOT be used to:

- **Unauthorized access** [UNICODE] Gain unauthorized access to systems, networks, or data
- **Security breaches** [UNICODE] Breach security measures or access controls
- **Data theft** [UNICODE] Steal, copy, or misappropriate data or intellectual property
- **System disruption** [UNICODE] Disrupt, damage, or interfere with systems or networks

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3. Compliance Requirements

3.1 Legal Compliance

Licensee must:

- **Comply with laws** [UNICODE] Comply with all applicable laws, regulations, and export controls
- **Obtain licenses** [UNICODE] Obtain all necessary licenses, permits, and authorizations
- **Regulatory compliance** [UNICODE] Comply with securities regulations, exchange rules, and financial regulations
- **Export compliance** [UNICODE] Comply with export control laws and regulations

See `LEGAL/EXPORT_COMPLIANCE.md` **for export control compliance requirements.**

3.2 Exchange and Regulatory Compliance

Licensee is responsible for:

- **Exchange rules** [UNICODE] Ensuring compliance with all applicable exchange rules and trading regulations
- **Regulatory requirements** [UNICODE] Meeting all regulatory requirements for trading, investment, or financial operations
- **Reporting obligations** [UNICODE] Fulfilling all reporting obligations to regulatory authorities
- **Audit requirements** [UNICODE] Meeting audit and compliance requirements

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4. Monitoring and Enforcement

4.1 Licensee Responsibility

Licensee is responsible for:

- **Monitoring use** [UNICODE] Monitoring use of the Software within its organization

- **Enforcing compliance** [UNICODE] Enforcing compliance with this AUP within its organization
- **Reporting violations** [UNICODE] Reporting any violations of this AUP to Licensor
- **Remediation** [UNICODE] Taking prompt action to remediate any violations

4.2 Licensor Rights

Fox ML Infrastructure LLC reserves the right to:

- **Investigate violations** [UNICODE] Investigate suspected violations of this AUP
- **Request information** [UNICODE] Request information about Licensee's use of the Software
- **Terminate license** [UNICODE] Terminate the license for material violations
- **Legal action** [UNICODE] Pursue legal action for violations

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5. Violations and Consequences

5.1 Material Violations

Material violations include:

- **Illegal use** [UNICODE] Use for illegal purposes or in violation of applicable laws
- **Sanctions violations** [UNICODE] Use by sanctioned entities or in violation of export controls
- **High-risk use** [UNICODE] Use in prohibited high-risk environments
- **Unauthorized redistribution** [UNICODE] Redistribution, hosting as SaaS, or unauthorized transfer
- **Exchange violations** [UNICODE] Violations of exchange rules or trading regulations

5.2 Consequences

Violations may result in:

- **Immediate termination** [UNICODE] Immediate termination of the commercial license
- **Cessation of use** [UNICODE] Requirement to cease all use of the Software
- **Destruction of copies** [UNICODE] Requirement to destroy all copies of the Software
- **Legal action** [UNICODE] Legal action for breach of contract or copyright infringement
- **Indemnification** [UNICODE] Licensee remains liable for indemnification obligations

See `COMMERCIAL_LICENSE.md` Section 5 (Termination) for termination procedures.

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6. Reporting Violations

6.1 Reporting Process

To report violations:

- **Email** [UNICODE] jenn.lewis5789@gmail.com
- **Subject** [UNICODE] AUP Violation Report [UNICODE] Fox ML Infrastructure
- **Include** [UNICODE] Description of violation, evidence, and contact information

6.2 Confidentiality

Reports are handled confidentially:

- **Privacy** [UNICODE] Reporter information is kept confidential (unless required by law)
- **Investigation** [UNICODE] Reports are investigated promptly and thoroughly
- **Remediation** [UNICODE] Appropriate action is taken to remediate violations

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7. Updates to This Policy

7.1 Policy Updates

This AUP may be updated:

- **Notification** [UNICODE] Commercial licensees will be notified of material updates
- **Effective date** [UNICODE] Updates become effective on the date specified
- **Continued use** [UNICODE] Continued use of the Software implies acceptance of updated policy

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8. Summary

Key AUP Principles:

1. **Legal compliance required** [UNICODE] All uses must comply with applicable laws and regulations
2. **No illegal activities** [UNICODE] Prohibited: illegal trading, fraud, market manipulation
3. **No sanctioned entities** [UNICODE] Prohibited: use by sanctioned entities or in prohibited countries
4. **No high-risk environments** [UNICODE] Prohibited: medical, critical infrastructure, weapons systems
5. **No unauthorized redistribution** [UNICODE] Prohibited: redistribution, SaaS hosting, sublicensing
6. **Exchange compliance** [UNICODE] Licensee responsible for exchange rule and regulatory compliance
7. **Violations result in termination** [UNICODE] Material violations result in immediate license termination

This policy protects both parties and ensures responsible use of the Software.

Contact

For questions about acceptable use or to report violations:

Jennifer Lewis
Fox ML Infrastructure LLC
Email: **jenn.lewis5789@gmail.com**
Subject: *Acceptable Use Policy Inquiry [UNICODE] Fox ML Infrastructure*

Related Documents

- `COMMERCIAL_LICENSE.md` [UNICODE] Commercial license terms (Section 4: Restrictions)
- `LEGAL/CLA.md` [UNICODE] Commercial License Agreement (Section 3: Restrictions)
- `LEGAL/EXPORT_COMPLIANCE.md` [UNICODE] Export control compliance requirements
- `LEGAL/INDEMNIFICATION.md` [UNICODE] Indemnification obligations