

# Fox ML Infrastructure — Acceptable Use Policy (AUP)

This Acceptable Use Policy (“AUP”) defines acceptable and prohibited uses of Fox ML Infrastructure software.

Violation of this policy may result in termination of the commercial license.

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## 1. Acceptable Use

### 1.1 Permitted Uses

Fox ML Infrastructure may be used for:

- **Research and development** — ML research, quantitative research, and model development
- **Internal operations** — Internal business operations, analytics, and decision-making
- **Trading and investment** — Trading, investment analysis, and portfolio management (subject to compliance with applicable laws)
- **Academic research** — Academic research and educational purposes (under AGPL-3.0 for non-commercial use)
- **Integration** — Integration with proprietary systems and workflows
- **Customization** — Customization and modification for internal use

All uses must comply with the **Commercial License Agreement** and applicable laws.

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## 2. Prohibited Uses

### 2.1 Illegal Activities

Fox ML Infrastructure may **NOT** be used for:

- **Illegal trading** — Trading activities that violate securities laws, exchange rules, or financial regulations
- **Market manipulation** — Manipulation of markets, prices, or trading volumes
- **Fraud** — Fraudulent activities, misrepresentation, or deceptive practices
- **Money laundering** — Money laundering or other financial crimes
- **Sanctions violations** — Activities that violate economic sanctions or embargoes
- **Any illegal purpose** — Any use that violates applicable laws or regulations

### 2.2 Sanctioned Entities

Fox ML Infrastructure may **NOT** be used by:

- **Sanctioned entities** — Entities subject to economic sanctions or embargoes (e.g., OFAC, UN, EU sanctions)
- **Prohibited countries** — Entities in countries subject to comprehensive sanctions
- **Terrorist organizations** — Entities designated as terrorist organizations
- **Prohibited persons** — Individuals or entities on prohibited persons lists

See `legal/EXPORT_COMPLIANCE.md` for export control compliance requirements.

## 2.3 High-Risk Environments

Fox ML Infrastructure may NOT be used in:

- **Medical diagnosis** — Medical diagnosis, treatment, or life-critical medical systems
- **Critical infrastructure** — Critical infrastructure systems where failure could cause significant harm
- **Weapons systems** — Weapons systems, defense systems, or military applications
- **Life support systems** — Life support systems or systems where failure could result in death
- **Nuclear systems** — Nuclear systems or nuclear facility control systems
- **Aviation safety** — Aviation safety systems or flight control systems
- **Any high-risk environment** — Any environment where failure could result in death, serious injury, or significant property damage

See `COMMERCIAL_LICENSE.md` Section 4 (Restrictions) for detailed high-risk environment restrictions.

## 2.4 Exchange Rule Violations

Fox ML Infrastructure may NOT be used to:

- **Violate exchange rules** — Violate exchange rules, trading regulations, or market regulations
- **Circumvent restrictions** — Circumvent trading restrictions, position limits, or regulatory requirements
- **Manipulate markets** — Manipulate markets, prices, or trading volumes
- **Front-running** — Engage in front-running or other prohibited trading practices
- **Insider trading** — Use non-public information for trading (if applicable)

Licensee is responsible for ensuring compliance with all applicable exchange rules and regulations.

## 2.5 Unauthorized Redistribution

Fox ML Infrastructure may NOT be:

- **Redistributed** — Redistributed, sold, or provided to third parties
- **Hosted as SaaS** — Hosted as a Software-as-a-Service (SaaS) or Platform-as-a-Service (PaaS)
- **Sublicensed** — Sublicensed, rented, or leased to third parties
- **Published** — Published or disclosed publicly (source code or derivatives)
- **Resold** — Resold or transferred to third parties without authorization

See `COMMERCIAL_LICENSE.md` Section 4 (Restrictions) for detailed redistribution restrictions.

## 2.6 Unauthorized Access

Fox ML Infrastructure may NOT be used to:

- **Unauthorized access** — Gain unauthorized access to systems, networks, or data
- **Security breaches** — Breach security measures or access controls
- **Data theft** — Steal, copy, or misappropriate data or intellectual property
- **System disruption** — Disrupt, damage, or interfere with systems or networks

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### 3. Compliance Requirements

#### 3.1 Legal Compliance

Licensee must:

- **Comply with laws** — Comply with all applicable laws, regulations, and export controls
- **Obtain licenses** — Obtain all necessary licenses, permits, and authorizations
- **Regulatory compliance** — Comply with securities regulations, exchange rules, and financial regulations
- **Export compliance** — Comply with export control laws and regulations

See `legal/EXPORT_COMPLIANCE.md` for export control compliance requirements.

#### 3.2 Exchange and Regulatory Compliance

Licensee is responsible for:

- **Exchange rules** — Ensuring compliance with all applicable exchange rules and trading regulations
- **Regulatory requirements** — Meeting all regulatory requirements for trading, investment, or financial operations
- **Reporting obligations** — Fulfilling all reporting obligations to regulatory authorities
- **Audit requirements** — Meeting audit and compliance requirements

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### 4. Monitoring and Enforcement

#### 4.1 Licensee Responsibility

Licensee is responsible for:

- **Monitoring use** — Monitoring use of the Software within its organization
- **Enforcing compliance** — Enforcing compliance with this AUP within its organization
- **Reporting violations** — Reporting any violations of this AUP to Licensor
- **Remediation** — Taking prompt action to remediate any violations

#### 4.2 Licensor Rights

Fox ML Infrastructure LLC reserves the right to:

- **Investigate violations** — Investigate suspected violations of this AUP
  - **Request information** — Request information about Licensee's use of the Software
  - **Terminate license** — Terminate the license for material violations
  - **Legal action** — Pursue legal action for violations
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## 5. Violations and Consequences

### 5.1 Material Violations

Material violations include:

- **Illegal use** — Use for illegal purposes or in violation of applicable laws
- **Sanctions violations** — Use by sanctioned entities or in violation of export controls
- **High-risk use** — Use in prohibited high-risk environments
- **Unauthorized redistribution** — Redistribution, hosting as SaaS, or unauthorized transfer
- **Exchange violations** — Violations of exchange rules or trading regulations

### 5.2 Consequences

Violations may result in:

- **Immediate termination** — Immediate termination of the commercial license
- **Cessation of use** — Requirement to cease all use of the Software
- **Destruction of copies** — Requirement to destroy all copies of the Software
- **Legal action** — Legal action for breach of contract or copyright infringement
- **Indemnification** — Licensee remains liable for indemnification obligations

See `COMMERCIAL_LICENSE.md` Section 5 (Termination) for termination procedures.

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## 6. Reporting Violations

### 6.1 Reporting Process

To report violations:

- **Email** — jenn.lewis5789@gmail.com
- **Subject** — AUP Violation Report — Fox ML Infrastructure
- **Include** — Description of violation, evidence, and contact information

### 6.2 Confidentiality

Reports are handled confidentially:

- **Privacy** — Reporter information is kept confidential (unless required by law)
  - **Investigation** — Reports are investigated promptly and thoroughly
  - **Remediation** — Appropriate action is taken to remediate violations
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## 7. Updates to This Policy

### 7.1 Policy Updates

This AUP may be updated:

- **Notification** — Commercial licensees will be notified of material updates
- **Effective date** — Updates become effective on the date specified
- **Continued use** — Continued use of the Software implies acceptance of updated policy

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## 8. Summary

### Key AUP Principles:

1. **Legal compliance required** — All uses must comply with applicable laws and regulations
2. **No illegal activities** — Prohibited: illegal trading, fraud, market manipulation
3. **No sanctioned entities** — Prohibited: use by sanctioned entities or in prohibited countries
4. **No high-risk environments** — Prohibited: medical, critical infrastructure, weapons systems
5. **No unauthorized redistribution** — Prohibited: redistribution, SaaS hosting, sublicensing
6. **Exchange compliance** — Licensee responsible for exchange rule and regulatory compliance
7. **Violations result in termination** — Material violations result in immediate license termination

**This policy protects both parties and ensures responsible use of the Software.**

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## Contact

For questions about acceptable use or to report violations:

**Jennifer Lewis**

Fox ML Infrastructure LLC

Email: [jenn.lewis5789@gmail.com](mailto:jenn.lewis5789@gmail.com)

Subject: *Acceptable Use Policy Inquiry — Fox ML Infrastructure*

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## Related Documents

- `COMMERCIAL_LICENSE.md` — Commercial license terms (Section 4: Restrictions)
- `legal/CLA.md` — Commercial License Agreement (Section 3: Restrictions)
- `legal/EXPORT_COMPLIANCE.md` — Export control compliance requirements
- `legal/INDEMNIFICATION.md` — Indemnification obligations