

# Contents

<b>Fox ML Infrastructure – Penetration Testing Statement</b>	<b>1</b>
1. Executive Summary . . . . .	1
2. Penetration Testing Approach . . . . .	2
2.1 Planned Penetration Testing . . . . .	2
2.2 Testing Scope . . . . .	2
3. Customer-Requested Penetration Testing . . . . .	2
3.1 Third-Party Testing Authorization . . . . .	2
3.2 Authorization Requirements . . . . .	2
3.3 Authorization Process . . . . .	3
3.4 Testing Restrictions . . . . .	3
4. Security Assessments . . . . .	3
4.1 Regular Security Assessments . . . . .	3
4.2 Vulnerability Assessments . . . . .	3
5. Remediation and Follow-Up . . . . .	4
5.1 Remediation Process . . . . .	4
5.2 Follow-Up Testing . . . . .	4
6. Reporting and Disclosure . . . . .	4
6.1 Testing Reports . . . . .	4
6.2 Report Distribution . . . . .	4
6.3 Disclosure Policy . . . . .	4
7. Continuous Improvement . . . . .	5
7.1 Process Improvement . . . . .	5
7.2 Maturity Progression . . . . .	5
8. Compliance and Standards . . . . .	5
8.1 Testing Standards . . . . .	5
8.2 Tester Qualifications . . . . .	5
9. Contact . . . . .	6
10. Related Documents . . . . .	6
11. Summary . . . . .	6

## Fox ML Infrastructure – Penetration Testing Statement

This document outlines Fox ML Infrastructure's approach to penetration testing and security assessments.

This statement is essential for enterprise security reviews and SOC2-adjacent compliance.

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### 1. Executive Summary

**Fox ML Infrastructure recognizes the importance of penetration testing and security assessments for maintaining a strong security posture.**

**Current status:** - **Penetration testing:** Planned for 2026 (timeline to be determined) - **Third-party testing:** Customers may request approval for third-party penetration testing - **Security assessments:** Regular security reviews and vulnerability assessments conducted - **Continuous improvement:** Security practices continuously improved based on assessments

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## **2. Penetration Testing Approach**

### **2.1 Planned Penetration Testing**

**Penetration testing is planned for 2026:**

- **Scope:** Code repositories, infrastructure (if applicable), and security controls
- **Frequency:** Annual penetration testing (once established)
- **Methodology:** Industry-standard penetration testing methodologies
- **Third-party engagement:** Engagement of qualified third-party penetration testing firm

**Timeline:** - **Planning:** Q1-Q2 2026 (assessment of requirements and vendor selection) - **Execution:** Q2-Q3 2026 (penetration testing execution) - **Remediation:** Q3-Q4 2026 (remediation of identified issues) - **Reporting:** Q4 2026 (final report and recommendations)

**Note:** Timeline is subject to change based on business priorities and resource availability.

### **2.2 Testing Scope**

**Planned penetration testing scope:**

- **Code repositories** – Security assessment of code repositories and access controls
- **Authentication and authorization** – Testing of authentication and authorization mechanisms
- **Network security** – Network security assessment (if applicable)
- **Application security** – Security assessment of software components
- **Infrastructure security** – Infrastructure security assessment (if applicable)

**Exclusions:** - **Client infrastructure** – Client infrastructure is excluded (client-hosted software)  
- **Client data** – Client data is excluded (vendor does not process client data) - **Third-party services** – Third-party services (GitHub, email) are excluded

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## **3. Customer-Requested Penetration Testing**

### **3.1 Third-Party Testing Authorization**

**Customers may request authorization for third-party penetration testing:**

- **Request process** – Customers submit penetration testing requests in writing
- **Authorization required** – Written authorization required before testing
- **Scope definition** – Testing scope must be clearly defined and approved
- **Scheduling** – Testing scheduled to minimize disruption

### **3.2 Authorization Requirements**

**Authorization requirements:**

- **Written request** – Written request specifying testing scope and methodology
- **Third-party qualifications** – Third-party tester qualifications and certifications
- **Testing methodology** – Detailed testing methodology and approach

- **Timeline** – Proposed testing timeline and schedule
- **Liability and insurance** – Liability and insurance requirements

### 3.3 Authorization Process

**Authorization process:**

1. **Request submission** – Customer submits written penetration testing request
2. **Review** – Fox ML Infrastructure reviews request and scope
3. **Authorization** – Written authorization provided (if approved)
4. **Testing execution** – Third-party conducts testing per approved scope
5. **Results sharing** – Testing results shared with Fox ML Infrastructure
6. **Remediation** – Remediation of identified issues (if applicable)

**Response time:** Authorization requests reviewed within 30 days.

### 3.4 Testing Restrictions

**Testing restrictions:**

- **No production impact** – Testing must not impact production systems or services
  - **No client data access** – Testing must not access client data
  - **No destructive testing** – No destructive testing without explicit authorization
  - **Compliance** – Testing must comply with applicable laws and regulations
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## 4. Security Assessments

### 4.1 Regular Security Assessments

**Regular security assessments conducted:**

- [OK] **Code reviews** – Regular code reviews for security issues
- [OK] **Dependency scanning** – Regular scanning of dependencies for vulnerabilities
- [OK] **Configuration reviews** – Review of security configurations
- [OK] **Access reviews** – Regular review of access permissions

**Assessment frequency:** - **Code reviews** – Continuous (as part of development process) - **Dependency scanning** – Weekly (automated) - **Configuration reviews** – Quarterly - **Access reviews** – Quarterly

### 4.2 Vulnerability Assessments

**Vulnerability assessments:**

- [OK] **Automated scanning** – Automated vulnerability scanning of dependencies
- [OK] **Manual assessment** – Manual security assessment of code and configurations
- [OK] **Third-party assessments** – Third-party security assessments (if applicable)
- [OK] **Client reporting** – Assessment of client-reported security concerns

**Assessment methodology:** - **OWASP Top 10** – Assessment against OWASP Top 10 vulnerabilities - **Industry standards** – Assessment against industry security standards - **Best practices** – Assessment against security best practices

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## 5. Remediation and Follow-Up

### 5.1 Remediation Process

**Remediation process:**

1. **Issue identification** – Security issues identified through testing or assessment
2. **Severity assessment** – Severity of issues assessed (Critical, High, Medium, Low)
3. **Remediation planning** – Remediation plan developed
4. **Remediation execution** – Issues remediated per plan
5. **Verification** – Remediation verified through retesting
6. **Documentation** – Remediation documented

**Remediation timelines:** - **Critical issues** – Remediated within 7 days - **High issues** – Remediated within 30 days - **Medium issues** – Remediated within 90 days - **Low issues** – Remediated within 180 days

### 5.2 Follow-Up Testing

**Follow-Up testing:**

- **Retesting** – Retesting of remediated issues
  - **Verification** – Verification that remediation is effective
  - **Continuous monitoring** – Continuous monitoring for recurrence
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## 6. Reporting and Disclosure

### 6.1 Testing Reports

**Penetration testing reports include:**

- **Executive summary** – High-level summary of findings
- **Methodology** – Testing methodology and approach
- **Findings** – Detailed findings and vulnerabilities
- **Risk assessment** – Risk assessment of identified issues
- **Remediation recommendations** – Recommendations for remediation
- **Appendices** – Supporting documentation and evidence

### 6.2 Report Distribution

**Report distribution:**

- **Internal** – Reports distributed internally for review and remediation
- **Client sharing** – Reports may be shared with clients upon request (subject to confidentiality)
- **Public disclosure** – Public disclosure only if required by law or if issues are publicly known

### 6.3 Disclosure Policy

**Disclosure policy:**

- **Responsible disclosure** – Follow responsible disclosure practices
  - **Client notification** – Notify clients of critical issues immediately
  - **Public disclosure** – Public disclosure only after remediation (if applicable)
  - **Regulatory reporting** – Report to regulatory authorities if required
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## 7. Continuous Improvement

### 7.1 Process Improvement

**Process improvement:**

- **Lessons learned** – Learn from penetration testing and security assessments
- **Process updates** – Update security processes based on findings
- **Tooling enhancements** – Enhance security tooling based on recommendations
- **Training** – Security training based on identified gaps

### 7.2 Maturity Progression

**Security maturity progression:**

- **Current state** – Regular security assessments and vulnerability scanning
  - **Near-term** – Annual penetration testing (planned for 2026)
  - **Long-term** – Continuous penetration testing program
  - **Advanced** – SOC2 certification (if applicable)
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## 8. Compliance and Standards

### 8.1 Testing Standards

**Penetration testing follows:**

- **OWASP Testing Guide** – OWASP Testing Guide methodology
- **PTEs** – Penetration Testing Execution Standard (if applicable)
- **Industry best practices** – Industry-standard penetration testing practices
- **Regulatory requirements** – Compliance with regulatory requirements

### 8.2 Tester Qualifications

**Penetration testers must have:**

- **Certifications** – Relevant security certifications (e.g., OSCP, CEH, GPEN)
  - **Experience** – Experience in penetration testing and security assessments
  - **References** – Professional references and track record
  - **Insurance** – Professional liability insurance
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## 9. Contact

**For penetration testing requests or questions:**

Jennifer Lewis

Fox ML Infrastructure LLC

Email: [jenn.lewis5789@gmail.com](mailto:jenn.lewis5789@gmail.com)

Subject: *Penetration Testing Request – Fox ML Infrastructure*

**For authorization requests, include:** - Testing scope and methodology - Third-party tester qualifications - Proposed timeline - Liability and insurance information

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## 10. Related Documents

- **LEGAL/SECURITY.md** – Security statement
  - **LEGAL/INCIDENT\_RESPONSE\_PLAN.md** – Incident response plan
  - **LEGAL/SECURITY\_CONTROLS\_MATRIX.md** – Security controls matrix
  - **LEGAL/INFOSEC\_SELF\_ASSESSMENT.md** – Information security self-assessment
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## 11. Summary

**Key Penetration Testing Principles:**

1. **Planned testing** – Annual penetration testing planned for 2026
2. **Third-party authorization** – Customers may request authorization for third-party testing
3. **Regular assessments** – Regular security assessments and vulnerability scanning
4. **Rapid remediation** – Rapid remediation of identified issues
5. **Continuous improvement** – Continuous improvement based on findings
6. **Responsible disclosure** – Responsible disclosure of security issues

**This statement demonstrates commitment to security and provides transparency for enterprise security reviews.**