

Fox ML Infrastructure – Risk Assessment Matrix

This document identifies and assesses risks to Fox ML Infrastructure operations and outlines mitigation strategies.

This matrix is essential for enterprise procurement reviews and risk management.

1. Risk Assessment Methodology

1.1 Risk Classification

Risks are classified by:

- **Likelihood** – Probability of occurrence (Low, Medium, High)
- **Impact** – Severity of impact (Low, Medium, High, Critical)
- **Risk Level** – Overall risk level (Low, Medium, High, Critical)

1.2 Risk Matrix

Risk levels are determined by likelihood and impact:

Impact ->	Low	Medium	High	Critical
High Likelihood	Medium	High	Critical	Critical
Medium Likelihood	Low	Medium	High	Critical
Low Likelihood	Low	Low	Medium	High

2. Risk Inventory

2.1 Operational Risks

Risk 1: GitHub Service Disruption

- **Description:** GitHub service outage or unavailability
- **Likelihood:** Low (GitHub provides 99.95%+ uptime)
- **Impact:** Medium (Code delivery disrupted, but backup options available)
- **Risk Level:** Low
- **Mitigation:**
 - Backup repositories on alternative platforms (GitLab, Bitbucket)
 - Local backups of critical repositories
 - Alternative code delivery methods (direct file transfer)
- **Residual Risk:** Low
- **Owner:** Jennifer Lewis

Risk 2: Email Service Disruption

- **Description:** Email service outage or unavailability
- **Likelihood:** Low (Email providers provide high availability)
- **Impact:** Medium (Support and communications disrupted)
- **Risk Level:** Low
- **Mitigation:**
 - Alternative email accounts
 - Support via private repository issues
 - Phone contact for critical issues (if applicable)
- **Residual Risk:** Low

- **Owner:** Jennifer Lewis

Risk 3: Internet Connectivity Loss

- **Description:** Loss of internet connectivity
- **Likelihood:** Medium (Depends on local infrastructure)
- **Impact:** High (All online services disrupted)
- **Risk Level:** Medium
- **Mitigation:**
 - Alternative internet connections (mobile hotspot)
 - Cloud-based development environments
 - Remote access to alternative resources
- **Residual Risk:** Medium
- **Owner:** Jennifer Lewis

Risk 4: Computing Resource Failure

- **Description:** Hardware failure or computing resource unavailability
 - **Likelihood:** Medium (Hardware can fail)
 - **Impact:** Medium (Development and support disrupted)
 - **Risk Level:** Medium
 - **Mitigation:**
 - Backup computing resources
 - Cloud-based development environments (GitHub Codespaces)
 - Remote access to alternative resources
 - **Residual Risk:** Medium
 - **Owner:** Jennifer Lewis
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2.2 Security Risks

Risk 5: Code Repository Compromise

- **Description:** Unauthorized access to code repositories
- **Likelihood:** Low (GitHub provides strong security)
- **Impact:** Critical (Code integrity compromised, potential client impact)
- **Risk Level:** High
- **Mitigation:**
 - Strong access controls (2FA, SSH keys)
 - Regular security audits
 - Monitoring for unauthorized access
 - Incident response plan
- **Residual Risk:** Medium
- **Owner:** Jennifer Lewis

Risk 6: Credential Compromise

- **Description:** Unauthorized access to vendor credentials
- **Likelihood:** Low (Strong credential management)
- **Impact:** High (Potential access to systems and services)
- **Risk Level:** Medium
- **Mitigation:**
 - Strong password policies
 - Two-factor authentication (2FA)
 - Regular credential rotation

- Credential monitoring
- Incident response plan
- **Residual Risk:** Low
- **Owner:** Jennifer Lewis

Risk 7: Supply Chain Compromise

- **Description:** Compromise of dependencies or third-party services
- **Likelihood:** Low (Dependencies are monitored)
- **Impact:** High (Potential security vulnerabilities in software)
- **Risk Level:** Medium
- **Mitigation:**
 - Dependency monitoring and updates
 - Security vulnerability scanning
 - Regular dependency updates
 - Supply chain integrity verification
- **Residual Risk:** Low
- **Owner:** Jennifer Lewis

Risk 8: Consulting Data Breach

- **Description:** Unauthorized access to consulting engagement data
 - **Likelihood:** Low (Strong security practices, NDA requirements)
 - **Impact:** Critical (Client data exposure, regulatory implications)
 - **Risk Level:** High
 - **Mitigation:**
 - NDA requirements for all consulting engagements
 - Client-approved secure storage
 - Limited data access (minimum required)
 - Data deletion upon project completion
 - Incident response plan
 - **Residual Risk:** Medium
 - **Owner:** Jennifer Lewis
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2.3 Business Risks

Risk 9: Key Person Dependency

- **Description:** Single-person operation creates dependency risk
- **Likelihood:** Medium (Current operation is single-person)
- **Impact:** High (Business operations disrupted if unavailable)
- **Risk Level:** High
- **Mitigation:**
 - Documentation of all processes and procedures
 - Knowledge transfer to external resources (if needed)
 - Backup support resources (if applicable)
 - Business continuity plan
- **Residual Risk:** Medium
- **Owner:** Jennifer Lewis

Risk 10: Client Dependency

- **Description:** Heavy reliance on a small number of clients
- **Likelihood:** Medium (Depends on client portfolio)

- **Impact:** Medium (Revenue impact if key client leaves)
- **Risk Level:** Medium
- **Mitigation:**
 - Diversified client portfolio
 - Long-term contracts where possible
 - Strong client relationships
 - Multiple revenue streams (licensing + consulting)
- **Residual Risk:** Medium
- **Owner:** Jennifer Lewis

Risk 11: Regulatory Changes

- **Description:** Changes in regulations affecting software or services
 - **Likelihood:** Low (Regulations change slowly)
 - **Impact:** Medium (May require compliance updates)
 - **Risk Level:** Low
 - **Mitigation:**
 - Monitor regulatory changes
 - Compliance documentation
 - Legal counsel consultation (if needed)
 - Proactive compliance updates
 - **Residual Risk:** Low
 - **Owner:** Jennifer Lewis
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2.4 Technical Risks

Risk 12: Software Vulnerabilities

- **Description:** Security vulnerabilities in software code
- **Likelihood:** Medium (Software can have vulnerabilities)
- **Impact:** High (Potential security risks for clients)
- **Risk Level:** High
- **Mitigation:**
 - Security best practices in development
 - Code review and security audits
 - Dependency vulnerability scanning
 - Regular security updates
 - Patch release process
- **Residual Risk:** Medium
- **Owner:** Jennifer Lewis

Risk 13: Compatibility Issues

- **Description:** Software compatibility issues with client environments
- **Likelihood:** Medium (Various client environments)
- **Impact:** Medium (Support burden, client dissatisfaction)
- **Risk Level:** Medium
- **Mitigation:**
 - Clear system requirements documentation
 - Testing in various environments
 - Support for compatibility issues
 - Version compatibility guidelines
- **Residual Risk:** Low
- **Owner:** Jennifer Lewis

Risk 14: Data Loss (Client Data)

- **Description:** Loss of client data (for consulting engagements)
 - **Likelihood:** Low (Strong data handling practices)
 - **Impact:** Critical (Client data loss, regulatory implications)
 - **Risk Level:** High
 - **Mitigation:**
 - Client-approved secure storage
 - Regular backups (if applicable)
 - Data deletion upon completion (reduces risk)
 - Incident response plan
 - **Residual Risk:** Low
 - **Owner:** Jennifer Lewis
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3. Risk Mitigation Summary

3.1 High-Priority Risks

High-priority risks (Risk Level: High or Critical):

1. **Code Repository Compromise** – Mitigated through strong access controls and monitoring
2. **Consulting Data Breach** – Mitigated through NDA requirements and secure practices
3. **Key Person Dependency** – Mitigated through documentation and business continuity planning
4. **Software Vulnerabilities** – Mitigated through security best practices and regular updates

3.2 Medium-Priority Risks

Medium-priority risks (Risk Level: Medium):

1. **Internet Connectivity Loss** – Mitigated through alternative connectivity
2. **Computing Resource Failure** – Mitigated through backup resources
3. **Credential Compromise** – Mitigated through strong credential management
4. **Supply Chain Compromise** – Mitigated through dependency monitoring
5. **Client Dependency** – Mitigated through client diversification
6. **Compatibility Issues** – Mitigated through testing and support

3.3 Low-Priority Risks

Low-priority risks (Risk Level: Low):

1. **GitHub Service Disruption** – Mitigated through backup repositories
 2. **Email Service Disruption** – Mitigated through alternative channels
 3. **Regulatory Changes** – Mitigated through compliance monitoring
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4. Risk Monitoring and Review

4.1 Risk Monitoring

We monitor risks through:

- **Regular reviews** – Quarterly risk assessment reviews
- **Incident tracking** – Track incidents and near-misses
- **Dependency monitoring** – Monitor dependencies and third-party services
- **Security monitoring** – Monitor for security threats and vulnerabilities

4.2 Risk Review Process

Risk review process:

1. **Identify new risks** – Identify new risks as they emerge
2. **Reassess existing risks** – Reassess likelihood and impact of existing risks
3. **Update mitigation** – Update mitigation strategies as needed
4. **Document changes** – Document changes to risk assessment

4.3 Risk Reporting

Risk reporting:

- **Internal reporting** – Internal risk assessment documentation
 - **Client reporting** – Risk information provided to clients upon request
 - **Compliance reporting** – Risk information for compliance purposes (if required)
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5. Risk Acceptance

5.1 Acceptable Risk Levels

We accept:

- **Low-risk items** – Low-risk items are generally acceptable
- **Medium-risk items** – Medium-risk items are acceptable with mitigation
- **High-risk items** – High-risk items require strong mitigation and monitoring
- **Critical-risk items** – Critical-risk items are not acceptable and must be mitigated

5.2 Risk Tolerance

Risk tolerance:

- **Operational risks** – Low to medium tolerance (mitigation required)
 - **Security risks** – Very low tolerance (strong mitigation required)
 - **Business risks** – Medium tolerance (mitigation and monitoring)
 - **Technical risks** – Low to medium tolerance (mitigation and updates)
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6. Contact

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7. Related Documents

- **LEGAL/BUSINESS_CONTINUITY_PLAN.md** – Business continuity plan
 - **LEGAL/INCIDENT_RESPONSE_PLAN.md** – Incident response plan
 - **LEGAL/SECURITY.md** – Security statement
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8. Summary

Key Risk Assessment Principles:

1. **Comprehensive identification** – Identify all significant risks
2. **Systematic assessment** – Assess likelihood and impact systematically
3. **Effective mitigation** – Implement effective mitigation strategies
4. **Regular review** – Regularly review and update risk assessment
5. **Clear documentation** – Document risks and mitigation clearly
6. **Continuous improvement** – Continuously improve risk management

This matrix provides a comprehensive risk assessment for enterprise procurement reviews.