A Longitudinal Analysis of University Rankings: Supplementary Material

Friso Selten¹, Cameron Neylon², Chun-Kai Huang², Paul Groth¹

 1 Informatics Institute, University of Amsterdam, Amsterdam, The Netherlands 2 Centre for Culture and Technology, Curtin University, Perth, Australia

A. SIMILARITY BETWEEN RANKING YEARS OVERALL

Table 1. Similarity between ranking years (O: Overlap; F: Spearmans correlation coefficient, M: M-measure).

Measu	re	2012			2013			2014			2015			2016			2017	
	O	F	M	О	F	M	О	F	M	О	F	M	О	F	M	О	F	M
ARWU	J																	
2013	489	0.99	0.97															
2014	467	0.95	0.96	473	0.96	0.95												
2015	468	0.94	0.96	472	0.95	0.95	485	0.99	0.98									
2016	440	0.87	0.9	446	0.88	0.92	458	0.94	0.93	459	0.95	0.93						
2017	432	0.87	0.89	438	0.88	0.89	448	0.94	0.92	449	0.94	0.92	467	0.97	0.94			
2018	432	0.86	0.88	434	0.87	0.88	443	0.93	0.91	444	0.94	0.91	459	0.96	0.93	471	0.98	0.97
THE																		
2013	338	0.98	0.91															
2014	324	0.95	0.89	334	0.97	0.93												
2015	301	0.91	0.86	303	0.92	0.88	307	0.92	0.85									
2016	297	0.9	0.78	299	0.91	0.79	303	0.91	0.77	331	0.97	0.86						
2017	289	0.89	0.72	291	0.9	0.74	297	0.9	0.71	320	0.94	0.8	335	0.98	0.92			
2018	277	0.86	0.7	278	0.87	0.72	286	0.88	0.7	307	0.93	0.78	319	0.96	0.89	342	0.97	0.95
QS																		
2013	376	0.99	0.92															
2014	370	0.97	0.89	384	0.99	0.91												
2015	368	0.95	0.83	373	0.96	0.88	371	0.96	0.85									
2016	359	0.94	0.82	365	0.95	0.84	364	0.96	0.84	381	0.99	0.94						
2017	357	0.93	0.81	361	0.94	0.83	361	0.95	0.82	375	0.98	0.91	384	0.98	0.96			
2018	354	0.91	0.8	356	0.92	0.8	357	0.93	0.8	367	0.96	0.88	374	0.97	0.93	383	0.99	0.95

All Spearmans correlations (F) were significant: p < .001

For the ARWU the top 500 and for the THE and QS the top 400 universities are analysed

When interpreting these results one has to take into account two considerations:

- 1. Results for the ARWU and THE are largely based on re-calculated ranks. These ranks are not provided by the rankings
- 2. For universities with missing data re-calculation was impossible. These were removed from the analysis.

B. SIMILARITY BETWEEN DIFFERENT RANKINGS OVERALL

 Table 2. Similarity between different rankings (O: Overlap; F: Spearman correlation coefficient; M: M-measure)

Measure	Top 400					
		ARWU		THE		
	О	F	M	О	F	M
2012						
THE	270	0.74***	0.6			
QS	301	0.67***	0.56	278	0.82***	0.57
2013						
THE	273	0.72***	0.56			
QS	309	0.68***	0.58	278	0.82***	0.57
2014						
THE	275	0.73***	0.6			
QS	302	0.67***	0.56	281	0.8***	0.56
2015						
THE	276	0.72***	0.54			
QS	299	0.63***	0.6	274	0.78***	0.59
2016						
THE	272	0.75***	0.57			
QS	291	0.66***	0.61	276	0.81***	0.6
2017						
THE	267	0.74***	0.57			
QS	288	0.67***	0.61	278	0.8***	0.6
2018						
THE	270	0.74***	0.58			
QS	290	0.67***	0.6	275	0.82***	0.61
Note: * $p < .05$, ** $p < .01$, *** $p < .001$						

When interpreting these results one has to take into account two considerations:

1. Results for the ARWU and THE are largely based on re-calculated ranks. These ranks are not provided by the rankings

2. For universities with missing data re-calculation was impossible. These were removed from the analysis.

C. RESULTS OF PRINCIPAL COMPONENT ANALYSIS

 Table 3. PCA Loadings on Components 2012

 Table 5. PCA Loadings on Components 2013

Measure	PC1	PC2	PC3	Measure	PC1	PC2	PC3
ARWU				ARWU			
1. Alumni	-0.58	0.09		1. Alumni	-0.58	0.09	
2. Award	-0.46	0.06		2. Award	-0.45	0.04	
3. HiCi	-0.60	-0.04		3. HiCi	-0.62	-0.01	
4. NS	-0.50	-0.16		4. NS	-0.52	-0.16	
5. PUB	0.00	-0.87		5. PUB	0.00	-0.88	
THE				THE			
1. Teaching	-0.93	-0.04		1. Teaching	-0.70	-0.22	
2. Research	-0.96	-0.04		2. Research	-0.75	-0.23	
3. Citations	-0.57	0.61		3. Citations	-0.68	0.44	
4. Industry Income	-0.40	-0.73		4. Industry Income	-0.19	-0.82	
5. International Outlook	-0.14	0.57		5. International Outlook	-0.38	0.54	
QS				QS			
1. Academic reputation	-0.93	0.00	-0.05	1. Academic reputation	-0.98	0.08	-0.09
2. Employer reputation	-0.70	-0.25	-0.15	2. Employer reputation	-0.90	-0.12	0.13
3. Faculty Student	-0.01	0.03	-0.99	3. Faculty Student	0.03	-0.02	-0.94
4. International Faculty	0.02	-0.95	0.10	4. International Faculty	0.03	-0.97	0.03
5. International Student	-0.02	-0.94	-0.06	5. International Student	-0.03	-0.94	-0.05
6. Citations	-0.77	0.15	0.18	6. Citations	-0.38	-0.08	-0.43
Note: Loadings larger than	.40 are in b	old		Note: Loadings larger than	.40 are in b	old	

 Table 4. PCA Loadings on Components 2014

 Table 6. PCA Loadings on Components 2015

Measure	PC1	PC2	PC3	Measure PC	C1 PC2
ARWU				ARWU	
1. Alumni	0.11	0.64		1. Alumni -0.	63 0.14
2. Award	0.02	0.47		2. Award -0.	48 0.04
3. HiCi	-0.33	0.42		3. HiCi -0.	47 -0.27
4. NS	-0.28	0.45		4. NS -0.	-0.22
5. PUB	-0.88	-0.01		5. PUB 0.0	-0.87
THE				THE	
1. Teaching	-0.79	-0.06		1. Teaching -0.	41 -0.40
2. Research	-0.85	-0.03		2. Research -0.	-0.44
3. Citations	-0.49	0.60		3. Citations -0.	86 -0.13
4. Industry Income	-0.35	-0.76		4. Industry Income 0.0)5 -1.00
5. International Outlook	-0.21	0.59		5. International Outlook -0.	88 0.22
QS				QS	
1. Academic reputation	-0.93	0.10	-0.17	1. Academic reputation -0.	94 -0.06
2. Employer reputation	-0.94	-0.11	0.15	2. Employer reputation -0.	93 0.10
3. Faculty Student	0.04	-0.01	-0.87	3. Faculty Student 0.0	0.02
4. International Faculty	0.03	-0.96	0.01	4. International Faculty 0.0	0.89
5. International Student	-0.03	-0.94	-0.04	5. International Student -0.	02 1.00
6. Citations	-0.19	-0.13	-0.58	6. Citations -0.	-0.07

Note: Loadings larger than .40 are in bold

40 44 13 00 22 -0.01 06 10 0.08 -0.99)2 89 0.00 -0.02 00 07 -0.25

Note: Loadings larger than .40 are in bold

PC3

 Table 7.
 PCA Loadings on Components 2016

Measure	PC1	PC2	PC3
ARWU			
1. Alumni	0.05	0.67	
2. Award	-0.04	0.46	
3. HiCi	-0.63	0.04	
4. NS	-0.37	0.37	
5. PUB	-0.85	-0.03	
THE			
1. Teaching	-0.33	-0.47	
2. Research	-0.46	-0.47	
3. Citations	-0.85	-0.21	
4. Industry Income	0.08	-0.90	
5. International Outlook	-0.96	0.19	
QS			
Academic reputation	-0.61	0.04	0.59
2. Employer reputation	-0.67	-0.16	0.35
3. Faculty Student	-0.93	0.01	-0.20
4. International Faculty	0.11	-0.95	0.04
5. International Student	-0.12	-0.93	-0.05
6. Citations	0.09	-0.04	0.96

Note: Loadings larger than .40 are in bold

 Table 8. PCA Loadings on Components 2018

Measure	PC1	PC2	PC3
ARWU			
1. Alumni	0.04	0.66	
2. Award	-0.03	0.48	
3. HiCi	-0.66	-0.02	
4. NS	-0.36	0.35	
5. PUB	-0.84	-0.01	
THE			
1. Teaching	-0.28	-0.45	
2. Research	-0.42	-0.45	
3. Citations	-0.89	-0.12	
4. Industry Income	0.07	-0.88	
5. International Outlook	-0.96	0.12	
os			
Academic reputation	-0.99	-0.05	0.03
2. Employer reputation	-0.92	0.04	0.10
3. Faculty Student	-0.15	0.04	0.92
4. International Faculty	0.13	0.94	-0.08
5. International Student	0.01	0.95	0.10
6. Citations	-0.55	0.93	-0.58
o. Chanons	-0.55	0.14	-0.56

Note: Loadings larger than .40 are in bold

 Table 9. PCA Loadings on Components 2017

Measure	PC1	PC2	PC3
ARWU			
1. Alumni	0.03	0.67	
2. Award	-0.05	0.47	
3. HiCi	-0.69	-0.08	
4. NS	-0.40	0.30	
5. PUB	-0.78	0.03	
THE			
1. Teaching	-0.35	-0.40	
2. Research	-0.47	-0.43	
3. Citations	-0.89	-0.11	
4. Industry Income	0.05	-0.95	
5. International Outlook	-0.95	0.14	
QS			
1. Academic reputation	-0.90	0.08	-0.27
2. Employer reputation	-0.76	-0.13	-0.34
3. Faculty Student	-0.10	-0.03	-0.92
4. International Faculty	0.02	-0.92	-0.01
5. International Student	0.00	-0.95	0.00
6. Citations	-0.88	-0.06	0.46

Note: Loadings larger than .40 are in bold

D. RESULTS OF FACTOR ANALYSIS

Measure

ARWU

1. Alumni

2. Award

3. HiCi

4. NS

THE

os

5. PUB

1. Teaching

2. Research

3. Citations

3. Faculty Student

6. Citations

Measure

4. International Faculty

5. International Student

Table 10. NIPA Loadings on Factors 2012

PA1 PA2 PA₃ Measure PA1 PA₂ PA3 ARWU 0.04 0.79 1. Alumni 0.03 0.79 0.01 0.85 2. Award 0.02 0.84 0.82 0.07 3. HiCi 0.81 0.06 0.81 0.14 4. NS 0.81 0.14 0.83 -0.155. PUB 0.82 -0.15THE 0.94 0.01 1. Teaching 0.93 0.00 0.95 0.01 2. Research 0.94 0.01 0.32 3. Citations 0.31 0.40 0.43 4. Industry Income 4. Industry Income 0.38 -0.36 0.36 -0.345. International Outlook 0.06 0.25 5. International Outlook 0.08 0.27 OS 1. Academic reputation 0.76 -0.040.18 1. Academic reputation 0.76 -0.050.23 2. Employer reputation 0.81 0.06 -0.122. Employer reputation 0.82 0.08 -0.15

3. Faculty Student

6. Citations

4. International Faculty

5. International Student

Note: Loadings larger than .40 are in bold

Table 11. NIPA Loadings on Factors 2014

PA1

0.19

-0.05

0.06

0.16

-0.02

0.78

0.76

0.07

PA2

0.09

0.02

0.00

0.41

PA3

ARWU 0.03 0.80 1. Alumni 2. Award 0.03 0.84 3. HiCi 0.87 0.03 4. NS 0.75 0.22 5. PUB 0.82 -0.14THE 1. Teaching 0.94 -0.010.95 0.02 2. Research 3. Citations 0.27 0.40 4. Industry Income 0.33 -0.355. International Outlook 0.09 0.31 **QS** 0.22 1. Academic reputation -0.17 0.78 -0.062. Employer reputation 0.82 -0.16 0.08 3. Faculty Student 0.15 0.06 0.11 4. International Faculty -0.020.77 0.00

0.03

0.08

0.77

0.15

0.03

0.50

Note: Loadings larger than .40 are in bold

5. International Student

6. Citations

Note: Loadings larger than .40 are in bold

Table 13. NIPA Loadings on Factors 2015

0.13

-0.03

0.03

0.09

0.04

0.79

0.79

0.14

0.14

0.02

0.01

0.51

Table 12. NIPA Loadings on Factors 2013

Measure	PA1	PA2	PA3
ARWU			
1. Alumni	0.04	0.80	
2. Award	0.03	0.84	
3. HiCi	0.86	0.05	
4. NS	0.75	0.22	
5. PUB	0.81	-0.16	
THE			
1. Teaching	0.95	-0.04	
2. Research	0.89	0.10	
3. Citations	0.22	0.57	
4. Industry Income	0.46	-0.18	
5. International Outlook	-0.06	0.66	
QS			
1. Academic reputation	0.87	-0.07	0.02
2. Employer reputation	0.84	0.07	-0.04
3. Faculty Student	0.39	0.10	0.14
4. International Faculty	-0.03	0.69	-0.01
5. International Student	0.03	0.70	0.01
6. Citations	0.46	-0.01	0.10

Note: Loadings larger than .40 are in bold

 Table 14.
 NIPA Loadings on Factors 2016

Measure	PA1	PA2	PA3
ARWU			
1. Alumni	0.84	-0.01	
2. Award	0.84	0.03	
3. HiCi	-0.02	0.80	
4. NS	0.37	0.60	
5. PUB	-0.07	0.75	
THE			
1. Teaching	0.95	-0.04	
2. Research	0.86	0.14	
3. Citations	0.24	0.61	
4. Industry Income	0.57	-0.18	
5. International Outlook	-0.06	0.71	
os			
Academic reputation	0.87	-0.06	0.07
2. Employer reputation	0.76	0.11	-0.07
3. Faculty Student	0.50	-0.05	-0.20
4. International Faculty	-0.06	0.73	0.03
5. International Student	0.07	0.72	-0.02
6. Citations	0.40	0.12	0.31

Note: Loadings larger than .40 are in bold

Table 15. NIPA Loadings on Factors 2018

Measure	PA1	PA2	PA3
ARWU			
1. Alumni	0.84	0.00	
2. Award	0.86	0.01	
3. HiCi	0.01	0.79	
4. NS	0.41	0.58	
5. PUB	-0.09	0.75	
THE			
1. Teaching	0.92	-0.02	
2. Research	0.86	0.15	
3. Citations	0.16	0.66	
4. Industry Income	0.63	-0.20	
5. International Outlook	-0.04	0.73	
QS			
1. Academic reputation	0.88	-0.06	0.07
2. Employer reputation	0.83	0.08	-0.08
3. Faculty Student	0.24	-0.01	-0.40
4. International Faculty	-0.02	0.75	0.07
5. International Student	0.02	0.76	-0.06
6. Citations	0.26	0.13	0.44

Note: Loadings larger than .40 are in bold

 Table 16.
 NIPA Loadings on Factors 2017

Measure	PA1	PA2	PA3
ARWU			
1. Alumni	0.84	0.00	
2. Award	0.85	0.03	
3. HiCi	-0.04	0.80	
4. NS	0.34	0.63	
5. PUB	-0.07	0.74	
THE			
1. Teaching	0.94	-0.03	
2. Research	0.88	0.13	
3. Citations	0.20	0.64	
4. Industry Income	0.59	-0.18	
5. International Outlook	-0.06	0.74	
QS			
1. Academic reputation	0.87	-0.09	0.05
2. Employer reputation	0.76	0.14	-0.05
3. Faculty Student	0.38	-0.01	-0.31
4. International Faculty	-0.03	0.69	0.01
5. International Student	0.02	0.68	0.00
6. Citations	0.36	0.11	0.35

Note: Loadings larger than .40 are in bold

E. CRONBACH'S ALPHA FOR COMPLETE RANKING

 Table 17.
 Standardized Cronbach's alpha for complete ranking

ARWU	THE	QS
0.88	0.82	0.67
0.88	0.82	0.67
0.89	0.82	0.72
0.9	0.78	0.67
0.91	0.62	0.67
0.90	0.64	0.69
0.91	0.64	0.66
	0.88 0.88 0.89 0.9 0.91	0.88 0.82 0.89 0.82 0.9 0.78 0.91 0.62 0.90 0.64

F. CORRELATIONS BETWEEN CONCEPTS

 Table 18.
 Spearman correlations between concepts

	1	2	3	4	5
2018					
1. ARWU Publication					
2. THE Publication	0.56***				
3. QS Publication	0.40***	0.40***			
4. ARWU Reputation	0.45***	0.46***	0.19***		
5. THE Reputation	0.71***	0.44***	0.53***	0.51***	
6. QS Reputation	0.56***	0.24***	0.34***	0.40***	0.74***
2017					
1. ARWU Publication					
2. THE Publication	0.69***				
3. QS Publication	0.40***	0.44***			
4. ARWU Reputation	0.64***	0.67***	0.30***		
5. THE Reputation	0.79***	0.59***	0.56***	0.59***	
6. QS Reputation	0.67***	0.47***	0.40***	0.49***	0.83***
2016					
1. ARWU Publication					
2. THE Publication	0.72***				
3. QS Publication	0.46***	0.54***			
4. ARWU Reputation	0.62***	0.65***	0.36***		
5. THE Reputation	0.77***	0.64***	0.56***	0.59***	
6. QS Reputation	0.68***	0.50***	0.46***	0.50***	0.84***
2015					
1. ARWU Publication					
2. THE Publication	0.72***				
3. QS Publication	0.40***	0.45***			
4. ARWU Reputation	0.72***	0.64***	0.39***		
5. THE Reputation	0.81***	0.67***	0.54***	0.69***	
6. QS Reputation	0.72***	0.48***	0.49***	0.60***	0.84***
2014					
1. ARWU Publication					
2. THE Publication	0.55***				
3. QS Publication	0.61***	0.60***			
4. ARWU Reputation	0.53***	0.52***	0.41***		
5. THE Reputation	0.72***	0.38***	0.58***	0.44***	
6. QS Reputation	0.53***	0.18**	0.25***	0.36***	0.70***
2013					
1. ARWU Publication					
2. THE Publication	0.52***				
3. QS Publication	0.62***	0.58***			
4. ARWU Reputation	0.56***	0.53***	0.44***		
5. THE Reputation	0.70***	0.40***	0.59***	0.44***	
6. QS Reputation	0.53***	0.23***	0.26***	0.36***	0.68***
2012					
1. ARWU Publication					
2. THE Publication	0.56***				
3. QS Publication	0.66***	0.62***			
4. ARWU Reputation	0.52***	0.51***	0.41***		
5. THE Reputation	0.74***	0.45***	0.57***	0.45***	
6. QS Reputation	0.50***	0.25***	0.22***	0.34***	0.68***

Note: *p < .05, **p < .01, ***p < .001

G. CORRELATIONS BETWEEN VARIABLES FOR THE 2018 RANKINGS

Table 19. Means, standard deviations, and correlations of variables within rankings

Measure	Mean	SD	Correlations					
		-	1.	2.	3.	4.	5.	6.
ARWU								
1. Alumni	23.54	18.50						
2. Award	27.34	23.47	0.64					
3. HiCi	33.71	14.43	0.06	0.16				
4. NS	31.93	15.10	0.46***	0.54***	0.54***			
5. PUB	57.43	12.99	0.15	0.02	0.50***	0.45***		
6. PCP	35.09	13.47	0.24*	0.38***	0.37***	0.29**	-0.15	
7. Overall Score	36.70	12.87	0.57***	0.69***	0.61***	0.84***	0.53***	0.36***
THE								
1. Teaching	54.04	16.32						
2. Research	56.12	18.00	0.82**					
3. Citations	85.69	12.00	0.18*	0.17^{*}				
4. Industry Income	59.35	20.46	0.19**	0.30***	-0.23**			
5. International Outlook	71.26	18.51	-0.19**	0.04	0.11	-0.11		
6. Overall Score	69.17	39.30	0.80***	0.87***	0.48***	0.17*	0.12	
QS								
1. Academic reputation	40.07	26.85						
2. Employer reputation	40.38	28.16	0.76***					
3. Faculty Student	51.99	30.19	0.08	0.09*				
4. International Faculty	53.98	34.99	0.19***	0.25***	-0.05			
5. International Students	47.74	32.45	0.16***	0.26***	0.03	0.67***		
6. Citations	44.19	26.88	0.41***	0.26***	-0.21***	0.32***	0.24***	
7. Overall Score	44.50	18.66	0.85***	0.73***	0.32***	0.38***	0.38***	0.55***

Note: *p < .05, **p < .01, ***p < .001