

# Constant-delay Enumeration for Lorem Ipsum

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## 6 — Abstract —

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12 2012 ACM Subject Classification Theory of computation → Database theory

13 Keywords and phrases Streams, query evaluation, enumeration algorithms.

## 14 1 Introduction

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## 22 2 Preliminaries

23 **Sets and intervals.** Given a set  $A$ , we denote by  $2^A$  the *powerset* of  $A$ . We denote by  $\mathbb{N}$   
24 the natural numbers. Given  $n, m \in \mathbb{N}$  with  $n \leq m$ , we denote by  $[n]$  the set  $\{1, \dots, n\}$  and by  
25  $[..m]$  the interval  $\{n, n+1, \dots, m\}$  over  $\mathbb{N}$ .

26 **Events and streams.** We fix a set  $\mathbf{T}$  of *event types*, a set  $\mathbf{A}$  of *attributes names*, and a  
27 set  $\mathbf{D}$  of *data values* (e.g., integers, floats, strings). An *event*  $e$  is a partial mapping  $e : \mathbf{A} \rightarrow \mathbf{D}$   
28 that maps attributes names in  $\mathbf{A}$  to data values in  $\mathbf{D}$ . We denote  $\text{att}(e)$  the domain  
29 of  $e$ , called the attributes of  $e$ , and we assume that  $\text{att}(e)$  is finite. We denote by  $e(A)$  the  
30 data value of the attribute  $A \in \mathbf{A}$  whenever  $A \in \text{att}(e)$ . Further, each event  $e$  has a type in  $\mathbf{T}$   
31 denoted by  $\text{type}(e)$ . We write  $\mathbf{E}$  to denote the set of all events over event types  $\mathbf{T}$ , attributes  
32 names  $\mathbf{A}$ , and data values  $\mathbf{D}$ . A *stream* is an (arbitrary long) sequence  $\bar{S} = e_1 e_2 \dots e_n$  of  
33 events where  $|S| = n$  is the length of the stream.

34 **Complex events.** Fix a finite set  $\mathbf{X}$  of variables and assume that  $\mathbf{T} \subseteq \mathbf{X}$ , where  $\mathbf{T}$  is the  
35 set of event types as defined earlier, this is to say that all event types are a variable. Let  $\bar{S}$   
36 be a stream of length  $n$ . A complex event of  $\bar{S}$  is a triple  $(i, j, \mu)$  where  $i, j \in [n]$ ,  $i \leq j$ , and  
37  $\mu : \mathbf{X} \rightarrow 2^{[i..j]}$  is a function with finite domain. Intuitively,  $i$  and  $j$  marks the beginning  
38 and end of the interval where the complex event happens, and  $\mu$  stores the events in the  
39 interval  $[i..j]$  that fired the complex event. In the following, we will usually use  $C$  to denote  
40 a complex event  $(i, j, \mu)$  of  $\bar{S}$  and omit  $\bar{S}$  if the stream is clear from the context. We will  
41 use  $\text{interval}(C)$ ,  $\text{start}(C)$ , and  $\text{end}(C)$  to denote the interval  $[i..j]$ , the start  $i$ , and the end  $j$

$$\begin{aligned}
\llbracket R \rrbracket(\bar{S}) &= \{ (i, i, \mu) \mid \text{type}(e_i) = R \wedge \mu(R) = \{i\} \wedge \forall X \neq R. \mu(X) = \emptyset \} \\
\llbracket \varphi \text{ AS } X \rrbracket(\bar{S}) &= \{ C \mid \exists C' \in \llbracket \varphi \rrbracket(\bar{S}). \text{interval}(C) = \text{interval}(C') \wedge C(X) = \bigcup_Y C'(Y) \\
&\quad \wedge \forall Z \neq X. C(Z) = C'(Z) \} \\
\llbracket \varphi \text{ FILTER } X[P] \rrbracket(\bar{S}) &= \{ C \mid C \in \llbracket \varphi \rrbracket(\bar{S}) \wedge C(X) \models P \} \\
\llbracket \varphi_1 \text{ OR } \varphi_2 \rrbracket(\bar{S}) &= \llbracket \varphi_1 \rrbracket(\bar{S}) \cup \llbracket \varphi_2 \rrbracket(\bar{S}) \\
\llbracket \varphi_1 \text{ AND } \varphi_2 \rrbracket(\bar{S}) &= \llbracket \varphi_1 \rrbracket(\bar{S}) \cap \llbracket \varphi_2 \rrbracket(\bar{S}) \\
\llbracket \varphi_1 ; \varphi_2 \rrbracket(\bar{S}) &= \{ C_1 \cup C_2 \mid C_1 \in \llbracket \varphi_1 \rrbracket(\bar{S}) \wedge C_2 \in \llbracket \varphi_2 \rrbracket(\bar{S}) \wedge \text{end}(C_1) < \text{start}(C_2) \} \\
\llbracket \varphi_1 : \varphi_2 \rrbracket(\bar{S}) &= \{ C_1 \cup C_2 \mid C_1 \in \llbracket \varphi_1 \rrbracket(\bar{S}) \wedge C_2 \in \llbracket \varphi_2 \rrbracket(\bar{S}) \wedge \text{end}(C_1) + 1 = \text{start}(C_2) \} \\
\llbracket \varphi^+ \rrbracket(\bar{S}) &= \llbracket \varphi \rrbracket(\bar{S}) \cup \llbracket \varphi ; \varphi^+ \rrbracket(\bar{S}) \\
\llbracket \varphi^\oplus \rrbracket(\bar{S}) &= \llbracket \varphi \rrbracket(\bar{S}) \cup \llbracket \varphi : \varphi^\oplus \rrbracket(\bar{S}) \\
\llbracket \pi_L(\varphi) \rrbracket(\bar{S}) &= \{ \pi_L(C) \mid C \in \llbracket \varphi \rrbracket(\bar{S}) \}
\end{aligned}$$

**Figure 1** Figure 1: The semantics of CEL formulas defined over a stream  $\bar{S} = e_1 e_2 \dots e_n$  where each  $e_i$  is an event.

42 of  $C$ , respectively. Further, by some abuse of notation we will also use  $C(X)$  for  $X \in \mathbf{X}$  to  
43 denote the set  $\mu(X)$  of  $C$ .

44 The following operations on complex events will be useful throughout the paper. We  
45 define the union of complex events  $C_1$  and  $C_2$ , denoted by  $C_1 \cup C_2$ , as the complex event  
46  $C'$  such that  $\text{start}(C') = \min\{\text{start}(C_1), \text{start}(C_2)\}$ ,  $\text{end}(C') = \max\{\text{end}(C_1), \text{end}(C_2)\}$ , and  
47  $C'(X) = C_1(X) \cup C_2(X)$  for every  $X \in \mathbf{X}$ . Further, we define the *projection over L* of a  
48 complex event  $C$ , denoted by  $\pi_L(C)$ , as the complex event  $C'$  such that  $\text{interval}(C') =$   
49  $\text{interval}(C)$  and  $C'(X) = C(X)$  whenever  $X \in L$ , and  $C'(X) = \emptyset$ , otherwise. Finally, we  
50 denote by  $(i, j, \mu_\emptyset)$  the complex event with trivial mapping  $\mu_\emptyset$  such that  $\mu_\emptyset(X) = \emptyset$  for  
51 every  $X \in \mathbf{X}$ .

52 **Predicate of events.** A *predicate* is a possibly infinite set  $\mathbf{P}$  of events. We say that an event  
53  $e$  satisfies predicate  $P$ , denoted  $e \models P$ , if, and only if,  $e \in P$ . We generalize this notation from  
54 events to a set of events  $E$  such that  $E \models P$  if, and only if,  $e \models P$  for every  $e \in E$ . We assume  
55 a fixed set of predicates  $\mathbf{P}$ . Further, we assume that there is a basic set of predicates  $P_{basic}$   
56  $\subseteq \mathbf{P}$  and  $\mathbf{P}$  is the closure of  $P_{basic}$  under intersection and negation (i.e.,  $P_1 \cap P_2 \in \mathbf{P}$  and  
57  $\mathbf{E} P \in \mathbf{P}$  for every  $P, P_1, P_2 \in \mathbf{P}$ ) where  $\mathbf{E}$  is a predicate in  $\mathbf{P}$ , that we usually denote by true.

58 **Complex event logic.** In this work, we use the Complex Event Logic (CEL) introduced in  
59 [21] and implemented in CORE [11] as our basic query language for CER. The syntax of a  
60 CEL formula  $\varphi$  is given by the grammar:

$$61 \quad \varphi ::= R \mid \varphi \text{ AS } X \mid \varphi \text{ FILTER } X[P] \mid \varphi \text{ OR } \varphi \mid \varphi \text{ AND } \varphi \mid \varphi ; \varphi \mid \varphi : \varphi \mid \varphi^+ \mid \varphi^\oplus \mid \pi_L(\varphi)$$

62 where  $R \in \mathbf{T}$  is an event type,  $X \in \mathbf{X}$  is a variable,  $P \in \mathbf{P}$  is a predicate, and  $L \subseteq \mathbf{X}$  is a set  
63 of variables. We define the semantics of a CEL formula  $\varphi$  over a stream  $\bar{S}$ , recursively, as a  
64 set of complex events over  $\bar{S}$ . In Figure 1, we define the semantics of each CEL operator like  
65 in [11, 21].

66

### 3 Main results

In this section we introduce an extension to the semantics of CEL, namely we introduce a new operator using [allen interval algebra] *overlap*. We then extend the formal computational model for evaluating CEL formulas and prove its correctness. We start by recalling the notion of a CEA to later extend the proof. **Complex Event Automata.** A *Complex Event Automata* (CEA) is a tuple  $\mathcal{A} = (Q, \mathbf{P}, \mathbf{X}, \Delta, q_0, F)$  where  $Q$  is a finite set of states,  $\mathbf{P}$  is the set of predicates,  $\mathbf{X}$  is a finite set of variables,  $\Delta \subseteq Q \times \mathbf{P} \times 2^{\mathbf{X}} \times Q$  is a finite relation (called the transition relation),  $q_0 \in Q$  is the initial state, and  $F$  is the set of final states. A run  $\rho$  of  $\mathcal{A}$  over the stream  $\bar{S} = e_1 e_2 \dots e_n$  from position  $i$  to  $j$  is a sequence:

$$\rho := p_i \xrightarrow{P_i/L_i} p_{i+1} \xrightarrow{P_{i+1}/L_{i+1}} p_{i+2} \xrightarrow{P_{i+2}/L_{i+2}} \dots \xrightarrow{P_j/L_j} p_{j+1}$$

where  $p_i = q_0$ ,  $(p_k, P_k, L_k, p_{k+1}) \in \Delta$ , and  $e_k \models P_k$  for all  $k \in [i..j]$ . We say that the run is accepting if  $p_{j+1} \in F$ . A run  $\rho$  from positions  $i$  to  $j$  like above defines the complex event  $C_\rho = (i, j, \mu_\rho)$  such that  $\mu_\rho(X) = k \in [i..j] \mid X \in L_k$  for every  $X \in \mathbf{X}$ . Note that the starting and ending positions  $i, j$  of the run define the interval of the complex event, and the labels  $L_k \subseteq \mathbf{X}$  define the mapping  $\mu_\rho$  of  $C_\rho$ . We define the set of all complex events of  $\mathcal{A}$  over  $\bar{S}$  as:

$$\llbracket \mathcal{A} \rrbracket(\bar{S}) = \{C_\rho \mid \rho \text{ is an accepting run of } \mathcal{A} \text{ over } \bar{S}\}$$

We present then the overlap operator for CEL as with the following definition:

$$\begin{aligned} \llbracket \varphi_1 :o \varphi_2 \rrbracket(\bar{S}) = & \{C_1 \cup C_2 \mid C_1 \in \llbracket \varphi_1 \rrbracket(\bar{S}) \wedge C_2 \in \llbracket \varphi_2 \rrbracket(\bar{S}) \\ & \wedge \text{start}(C_1) \leq \text{start}(C_2) \leq \text{end}(C_1) \leq \text{end}(C_2)\} \end{aligned}$$

67 We also know from [11,22] the following theorem:

► **Theorem 1** (CEA and CEL equivalence). *For every CEL formula  $\varphi$  there exists a CEA  $\mathcal{A}_\varphi$  such that  $\llbracket \varphi \rrbracket(\bar{S}) = \llbracket \mathcal{A}_\varphi \rrbracket(\bar{S})$  for every stream  $\bar{S}$*

To maintain the correctness of it true, we extend the induction proof [11,22] by proving the following property: There exists a  $\mathcal{A}_{:o}$  be a CEA as defined previously. Let  $\varphi_1$  and  $\varphi_2$  formulas in CEL. Then

$$\llbracket \varphi_1 :o \varphi_2 \rrbracket(\bar{S}) = \llbracket \mathcal{A} \rrbracket(\bar{S})$$

Lets assume then that there exists an automaton that satisfies the previous property for  $\varphi_1$  and  $\varphi_2$ , therefore we know there exists  $\mathcal{A}_{\varphi_1} = (Q_1, \mathbf{P}_1, \mathbf{X}_1, \Delta_1, q_0, F_1)$  and  $\mathcal{A}_{\varphi_2} = (Q_2, \mathbf{P}_2, \mathbf{X}_2, \Delta_2, p_0, F_2)$ . Then the construction for the overlap operator is as follows: let  $\mathcal{A}_{:o}$  be a CEA such that  $\mathcal{A}_{:o} = (Q_1 \uplus Q_2 \uplus Q_1 \times Q_2, \mathbf{P}_1 \uplus \mathbf{P}_2, \mathbf{X}_1 \cup \mathbf{X}_2, \Delta_{:o} \uplus \Delta_1 \uplus \Delta_2, q_0, F_2)$  where  $\Delta_{:o}$  is defined as:

$$\begin{aligned} \Delta_{:o} = & \{(q, \text{TRUE}, \emptyset, (q, p_0)) \mid q \in Q_1, (q, p_0) \in Q_1 \times Q_2\} \cup \\ & \{((q, p), P_1 \wedge P_2, X_1 \cup X_2, (q', p')) \mid (q, P_1, X_1, q') \in \Delta_1, (p, P_2, X_2, p') \in \Delta_2, (q, p), (q', p') \in Q_1 \times Q_2\} \cup \\ & \{((q, p), \text{TRUE}, \emptyset, p) \mid q \in F_1, p \in Q_2\} \end{aligned}$$

Intuitively, given an stream  $S$  we capture the events given  $\varphi_1$ , and at some point (the overlap) we start capturing the events for  $\varphi_2$  too.

We also recall that the base construction of a CEA  $\mathcal{A}_R$  with  $R \in \mathbf{T}$  an event type, is as follows:  $\mathcal{A}_\varphi = (\{q_1, q_2\}, \Delta_\varphi, \{q_1\}, \{q_2\})$  with  $\Delta_\varphi = \{(q_1, R, \mu(R), q_2), (q_1, \text{TRUE}, \emptyset, q_1)\}$ .

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Because of this we note that in  $\Delta_{:o}$  the transitions that represent the overlapped section mark correctly when  $P_1$  and  $P_2$  are triggered simultaneously by an event, and they too mark correctly when only one of the predicates is triggered. This conclusion comes from the fact that when we define  $\{(q, p), P_1 \wedge P_2, X_1 \cup X_2, (q', p')\}$  where  $(q, P_1, X_1, q') \in \Delta_1, (p, P_2, X_2, p') \in \Delta_2$  the transitions in both  $\Delta_1$  and  $\Delta_2$  include the case  $(q, \text{TRUE}, \emptyset, q)$ , therefore when only one of the predicates is triggered there always exists a transition in  $\Delta_{:o}$  defined as  $((q, p), P_1 \cap \text{TRUE}, X_1 \cup \emptyset, (q', p))$  where  $(q, P_1, X_1, q') \in \Delta_1, (p, \text{TRUE}, \emptyset, p) \in \Delta_2$  and  $(q, p), (q', p) \in Q_1 \times Q_2$ .

The proof is by double containment.

$$\text{T.P. } [\![\varphi_1 :o \varphi_2]\!](\bar{S}) \subseteq [\![\mathcal{A}_{:o}]\!](\bar{S})$$

Let  $C_1 \cup C_2 \in [\![\varphi_1 :o \varphi_2]\!](\bar{S})$  where  $C_i \in [\![\varphi_i]\!](\bar{S}) = [\![\mathcal{A}_{\varphi_i}]\!](\bar{S})$  with  $i \in \{1, 2\}$ . From this we extend that there must exist a run on both  $\mathcal{A}_{\varphi_1}$  and  $\mathcal{A}_{\varphi_2}$  that accept  $C_1$  and  $C_2$  respectively. This is:

$$\begin{aligned} \rho_1 : q_0 &\xrightarrow{P_0/X_0} q_1 \xrightarrow{P_1/X_1} \dots \xrightarrow{P_{n-1}/X_{n-1}} q_n \\ \rho_2 : p_0 &\xrightarrow{P'_0/X'_0} p_1 \xrightarrow{P'_1/X'_1} \dots \xrightarrow{P'_{m-1}/X'_{m-1}} p_m \end{aligned}$$

With  $q_n \in F_1$  and  $p_m \in F_2$ . By the previous construction of  $\mathcal{A}_{:o}$  we can start building a run  $\rho_{:o}$  as follows:

$$\rho_{:o} : q_0 \xrightarrow{P_0/X_0} q_1 \xrightarrow{P_1/X_1} \dots \xrightarrow{P_{i-1}/X_{i-1}} q_i$$

with  $i \leq n$ . By definition we know that  $\text{start}(C_1) \leq \text{start}(C_2)$  therefore we can extend the run as such:

$$\rho_{:o} : \dots q_i \xrightarrow{\text{TRUE}/\emptyset} (q_i, p_0)$$

And then by construction:

$$\rho_{:o} : \dots (q_i, p_0) \xrightarrow{P_i \wedge P'_0/X_i \cup X'_0} (q_{i+1}, p_1) \xrightarrow{P_{i+1} \wedge P'_1/X_{i+1} \cup X'_1} \dots \xrightarrow{P_{n-1} \wedge P'_{j-1}/X_{n-1} \cup X'_{j-1}} (q_n, p_j)$$

With  $j \leq m$  and  $q_n \in F_1$ . By definition we know that  $\text{end}(C_1) \leq \text{end}(C_2)$  therefore we can extend the run once more:

$$\rho_{:o} : \dots (q_n, p_j) \xrightarrow{\text{TRUE}/\emptyset} p_j$$

Finally then, by construction:

$$\rho_{:o} : \dots p_j \xrightarrow{P'_j/X'_j} p_{j+1} \xrightarrow{P'_{j+1}/X'_{j+1}} \dots \xrightarrow{P'_{n-1}/X'_{n-1}} p_n$$

Because  $p_n \in F_2$ , then  $\rho_{:o}$  is a run of  $\mathcal{A}_{:o}$  that accepts  $C_1 \cup C_2$  over a stream  $\bar{S}$ . Then  $[\![\varphi_1 :o \varphi_2]\!](\bar{S}) \subseteq [\![\mathcal{A}_{:o}]\!](\bar{S})$ .

$$\text{T.P. } [\![\mathcal{A}_{:o}]\!](\bar{S}) \subseteq [\![\varphi_1 :o \varphi_2]\!](\bar{S})$$

Let  $\rho_{:o}$  be a run of  $\mathcal{A}_{:o}$  that accepts  $C$  over  $\bar{S}$ :

$$\rho_{:o} : q_0 \xrightarrow{P_0/X_0} \dots \xrightarrow{\text{TRUE}/\emptyset} (q_i, p_0) \xrightarrow{P_i \wedge P'_0/X_i \cup X'_0} \dots \xrightarrow{(q_n, p_{j+1})} \xrightarrow{\text{TRUE}/\emptyset} \dots \xrightarrow{P'_{n-1}/X'_{n-1}} p_m$$

By construction we can define the runs  $\rho_1$  and  $\rho_2$  of  $\mathcal{A}_1$  and  $\mathcal{A}_2$  as follows:

$$\rho_1 : q_0 \xrightarrow{P_0/X_0} q_1 \xrightarrow{P_1/X_1} \dots \xrightarrow{P_{n-1}/X_{n-1}} q_n$$

$$\rho_2 : p_0 \xrightarrow{P'_0/X'_0} p_1 \xrightarrow{P'_1/X'_1} \dots \xrightarrow{P'_{m-1}/X'_{m-1}} p_m$$

70 Where the complex event accepted by this runs we denote by  $C_1$  and  $C_2$ . By construction its  
 71 easy to see that  $\text{start}(C_1) \leq \text{start}(C_2) \leq \text{end}(C_1) \leq \text{end}(C_2)$  and  $C_1 \in \llbracket \varphi_1 \rrbracket(\bar{S}) \wedge C_2 \in \llbracket \varphi_2 \rrbracket(\bar{S})$ .  
 72 We now prove that  $C = C_1 \cup C_2$ .

73  
 74 Once again, by construction it holds true that  $\text{start}(C) = \min\{\text{start}(C_1), \text{start}(C_2)\}$ ,  $\text{end}(C) =$   
 75  $\max\{\text{end}(C_1), \text{end}(C_2)\}$ , we are left to prove that  $C(X) = C_1(X) \cup C_2(X)$  for every  $X \in \mathbf{X}$ .

76  
 77 Let  $\text{interval}(C) = [s \dots r]$  with  $0 \leq s$  and  $r \leq m$ , let  $C(X) = k \in [s \dots r] \mid X \in L_k$  for  
 78 all  $X \in \mathbf{X}$ . For  $k$  to belong to  $C(X)$  then the predicate  $P_k$  must be triggered at some point  
 79 during the run, this can happen on one of three segments of the run: when the transition are  
 80  $\Delta_1$ ,  $\Delta_2$  or  $\Delta_{:o}$ .

81  
 82 If the predicate was triggered by a transition in  $\Delta_1$  then  $k \in C_1(X)$  and  $k \in C(X)$ . Similarly  
 83 if it was a transition in  $\Delta_2$  then  $k \in C_2(X)$  and  $k \in C(X)$ .

84  
 85 If the predicate was triggered by a transition in  $\Delta_{:o}$  (i.e.  $((q, p), P_1 \wedge P_2, X_1 \cup X_2, (q', p'))$ ) then, by what was said before, there are two scenarios:

- 86
- 87   ■ both  $P_1 \neq \text{TRUE}$  and  $P_2 \neq \text{TRUE}$ , and both  $X_1 \neq \emptyset$  and  $X_2 \neq \emptyset$ : therefore  $k \in C_1(X)$ ,  $k \in$   
 88     $C_2(X)$  and  $k \in C(X)$ .
  - 89   ■  $P_1 = \text{TRUE}$  and  $X_1 = \emptyset$  or  $P_2 = \text{TRUE}$  and  $X_2 = \emptyset$ : therefore  $k \in C_2(X)$  and  $k \in C(X)$   
 90   or  $k \in C_1(X)$  and  $k \in C(X)$ .

91 From this we deduce that  $k \in C_1(X)$  if and only if  $k \in C(X)$ , and  $k \in C_2(X)$  if and only if  
 92  $k \in C(X)$ . Therefore,  $C = C_1 \cup C_2$ .

93  
 94 Then  $\llbracket \mathcal{A}_{:o} \rrbracket(\bar{S}) \subseteq \llbracket \varphi_1 :o \varphi_2 \rrbracket(\bar{S})$ .

95  
 96 Finally  $\llbracket \mathcal{A}_{:o} \rrbracket(\bar{S}) = \llbracket \varphi_1 :o \varphi_2 \rrbracket(\bar{S})$ .

97  $\square$

## 99 4 Conclusions

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**A Proofs from Section 2**

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**B Proofs of Section 3****B.1 Proof of Lemma ??**

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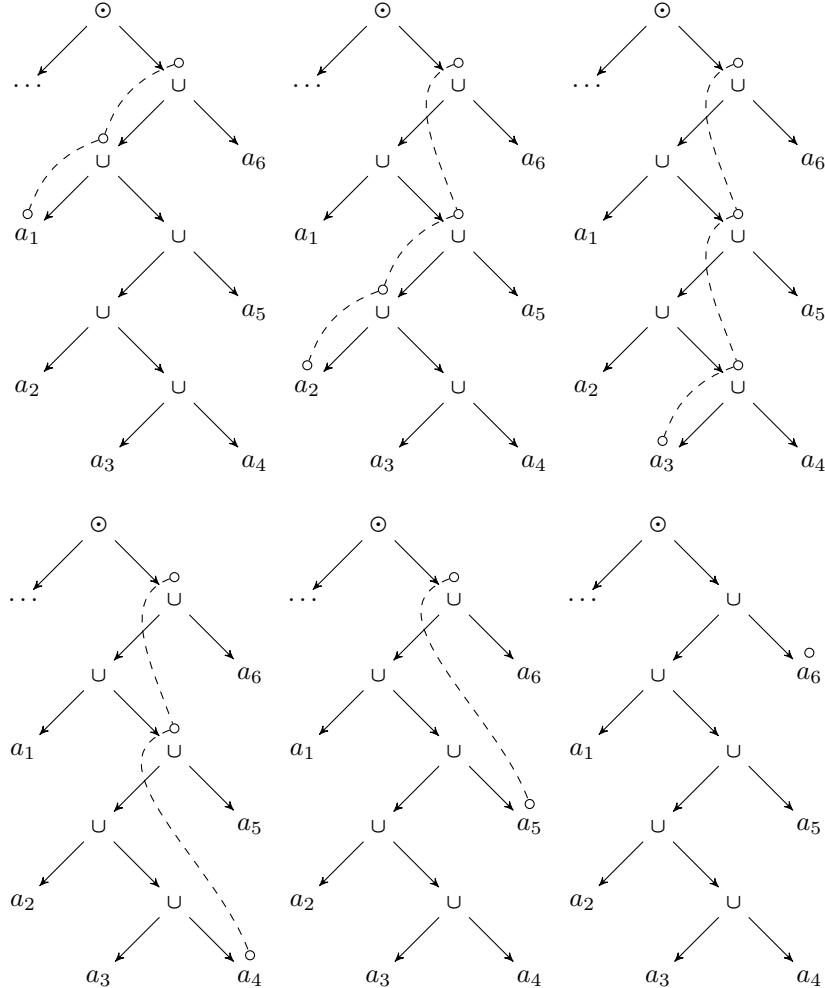
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**B.2 Proof of Theorem 1**

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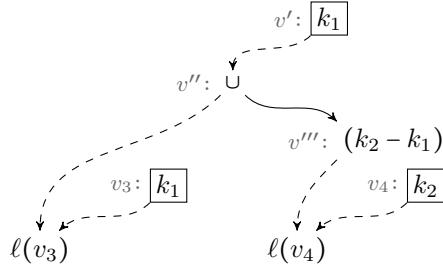


**Figure 2** An example iteration of `trav` and `move`. The sequences of nodes joined by dashed lines represent a stack `St`, where the first one was obtained after calling `trav` over the topmost union node, and the following five are obtained by repeated applications of `move(St)`.

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**Figure 3** Gadget used in Theorem 1.

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### B.3 Proof of Proposition ??

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187     ▷ **Claim 2.** Fix  $k \in \mathbb{N}$ . Let  $\mathcal{C}_k$  be the class of all duplicate-free and  $k$ -bounded  $D$  that satisfy  
 188     the  $\epsilon$  condition. Then one can solve the problem **Enum**[ $\mathcal{C}_k$ ] with output-linear delay and  
 189     without preprocessing (i.e. constant preprocessing time).

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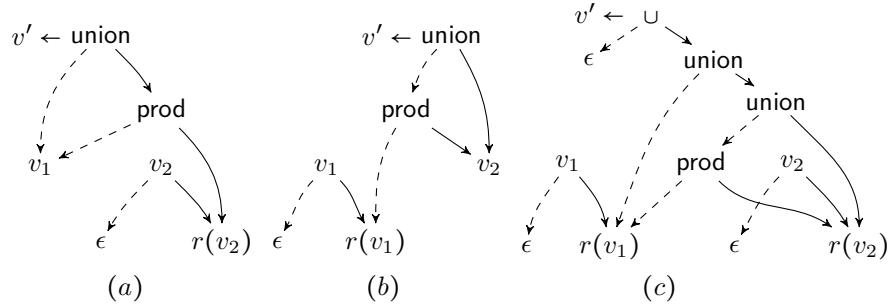


Figure 4 Gadgets for product as defined for an  $D$  with the  $\epsilon$ -node.

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