

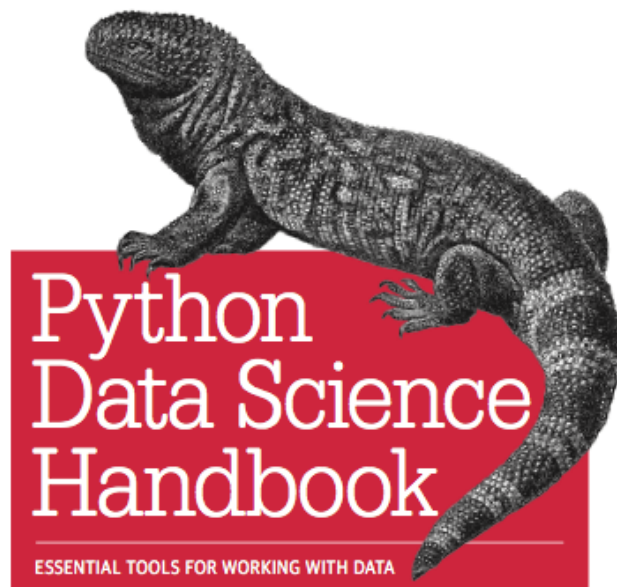


NumPy Tutorial

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Jake VanderPlas

<https://jakevdp.github.io/PythonDataScienceHandbook/>

NumPy

NumPy is the primary array programming library for the Python language.

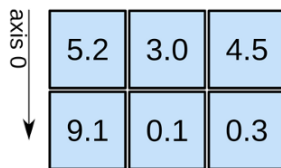
1D array



axis 0 →

shape: (4,)

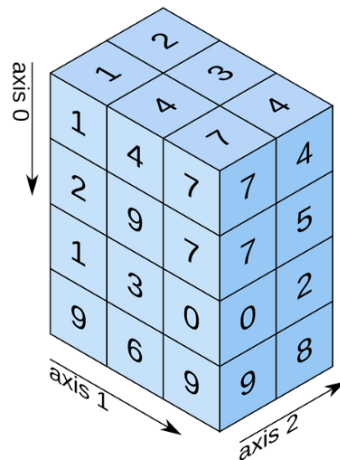
2D array



axis 1 →

shape: (2, 3)

3D array

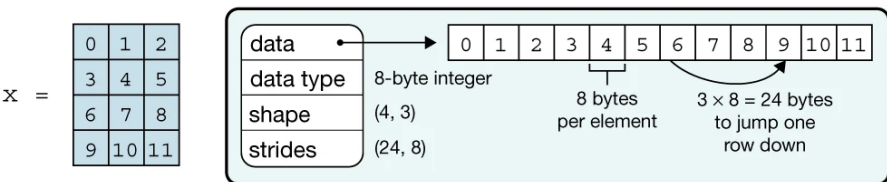


shape: (4, 3, 2)

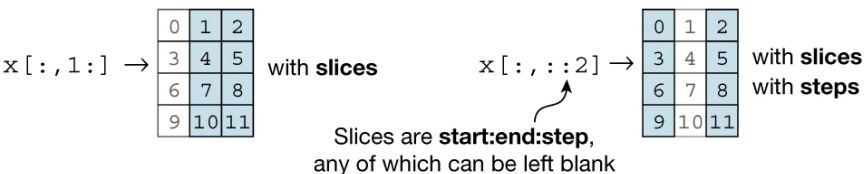
numpy.ndarray

ndarray

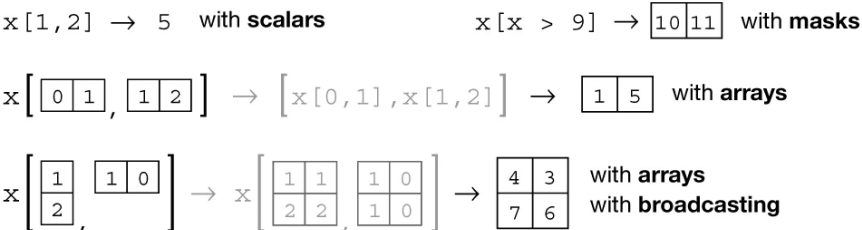
a Data structure



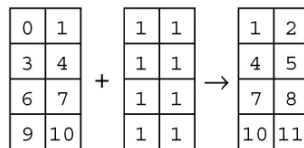
b Indexing (view)



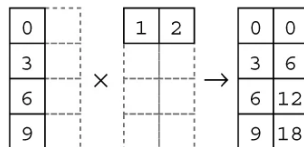
c Indexing (copy)



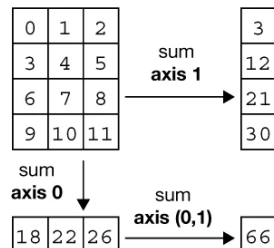
d Vectorization



e Broadcasting



f Reduction



g Example

```
In [1]: import numpy as np
```

```
In [2]: x = np.arange(12)
```

```
In [3]: x = x.reshape(4, 3)
```

```
In [4]: x
```

```
Out[4]:
```

```
array([[ 0,  1,  2],
       [ 3,  4,  5],
       [ 6,  7,  8],
       [ 9, 10, 11]])
```

```
In [5]: np.mean(x, axis=0)
```

```
Out[5]: array([4.5, 5.5, 6.5])
```

```
In [6]: x = x - np.mean(x, axis=0)
```

```
In [7]: x
```

```
Out[7]:
```

```
array([[ -4.5,  -4.5,  -4.5],
       [ -1.5,  -1.5,  -1.5],
       [  1.5,   1.5,   1.5],
       [  4.5,   4.5,   4.5]])
```

```
>>> import numpy as np
>>> np.__version__
'1.20.1'
```

```
>>> dir(np)
['ALLOW_THREADS', 'AxisError', 'BUFSIZE', ... 'array', ... ,
'transpose', ... , 'vstack', 'warnings', 'where', 'who',
'zeros', 'zeros_like']
```

```
>>> dir(np.ndarray)
['T', ... , 'astype', ... , 'max', 'mean', 'min', ... , 'reshape',
'resize', 'round', ..., 'trace', 'transpose', 'var', 'view']
```

创建一个ndarray对象

创建ndarray对象

#从序列创建一个ndarray

```
>>> np.array([1,2,3])  
array([1, 2, 3])
```

#指定数组元素的类型

```
>>> np.array([1,2,3],dtype = 'float')  
array([1., 2., 3.])
```

#创建多维数组

```
>>> np.array([[1,2,3],[4,5,6]])  
array([[1, 2, 3],  
       [4, 5, 6]])
```

#创建多维数组

```
>>> np.array([[[1],[2],[3]],[[4],[5],[6]]])  
array([[[1],  
        [2],  
        [3]],  
       [[4],  
        [5],  
        [6]]])
```

方法1:从序列创建一个ndarray

创建ndarray对象

```
>>> np.zeros((3,4))  
array([[0., 0., 0., 0.],  
       [0., 0., 0., 0.],  
       [0., 0., 0., 0.]])
```

```
>>> np.ones((2,3))  
array([[1., 1., 1.],  
       [1., 1., 1.]])
```

```
>>> np.ones((2,2,3))  
array([[[1., 1., 1.],  
        [1., 1., 1.]],  
       [[1., 1., 1.],  
        [1., 1., 1.]])
```

```
[[1., 1., 1.],  
 [1., 1., 1.]])
```

方法2:创建特殊的ndarray

```
>>> np.eye(3)  
array([[1., 0., 0.],  
       [0., 1., 0.],  
       [0., 0., 1.]])
```

```
>>> np.identity(3)  
array([[1., 0., 0.],  
       [0., 1., 0.],  
       [0., 0., 1.]])
```


创建ndarray对象

```
>>> np.random.rand(2,3)
array([[0.56694182, 0.99506098, 0.51948246],
       [0.15170283, 0.80824191, 0.87334274]])
```

```
>>> np.random.randint(-5,5,(2,3))
array([[ 1,  4,  1],
       [-3, -2,  0]])
```

```
>>> np.random.normal(0,1,(2,3))
array([[ 2.78230738, -1.51830277, -0.70990982],
       [-0.25289697, -0.96932164,  0.30035351]])
```

方法3:用随机数函数创建ndarray

创建ndarray对象

```
>>> np.empty((2,3))
array([[1.37961370e-306, 1.37960012e-306, 4.22802739e-307],
       [1.24611470e-306, 8.34423493e-308, 1.44635488e-307]])
```

```
>>> x = np.random.rand(2,3)
>>> x.shape
(2, 3)
```

```
>>> np.empty_like(x)
array([[1.37961370e-306, 1.37960012e-306, 4.22802739e-307],
       [1.24611470e-306, 8.34423493e-308, 1.44635488e-307]])
```

```
>>> np.full((2,3),fill_value = 0)
array([[0, 0, 0],
       [0, 0, 0]])
```

```
>>> np.full((2,3),fill_value = np.inf)
array([[inf, inf, inf],
       [inf, inf, inf]])
```

```
>>> np.full_like(x,fill_value = 255)
array([[255., 255., 255.],
       [255., 255., 255.]])
```

方法4:用np.empty,np.full等函数创建ndarray

创建ndarray对象

```
>>> np.arange(10)
array([0, 1, 2, 3, 4, 5, 6, 7, 8, 9])
```

```
>>> np.linspace(1,5,10)
array([1.          , 1.44444444, 1.88888889, 2.33333333, 2.77777778,
       3.22222222, 3.66666667, 4.11111111, 4.55555556, 5.          ])
```

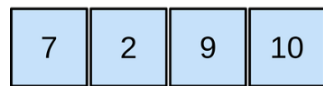
```
>>> x = np.logspace(1,5,10)
>>> x
array([1.00000000e+01, 2.78255940e+01, 7.74263683e+01, 2.15443469e+02,
       5.99484250e+02, 1.66810054e+03, 4.64158883e+03, 1.29154967e+04,
       3.59381366e+04, 1.00000000e+05])
```

```
>>> np.log10(x)
array([1.          , 1.44444444, 1.88888889, 2.33333333, 2.77777778,
       3.22222222, 3.66666667, 4.11111111, 4.55555556, 5.          ])
```

方法5:用np.arange等函数创建一维ndarray

ndarray对象的属性

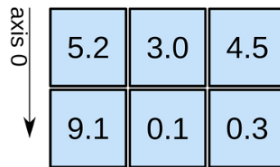
1D array



axis 0 →

shape: (4,)

2D array

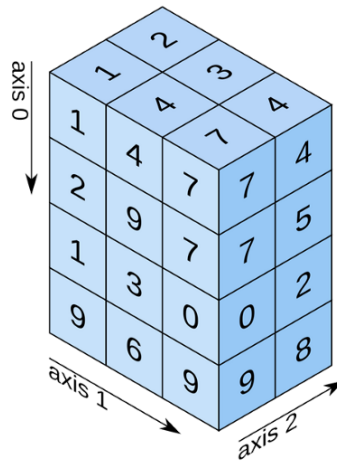


axis 0 ↓

axis 1 →

shape: (2, 3)

3D array



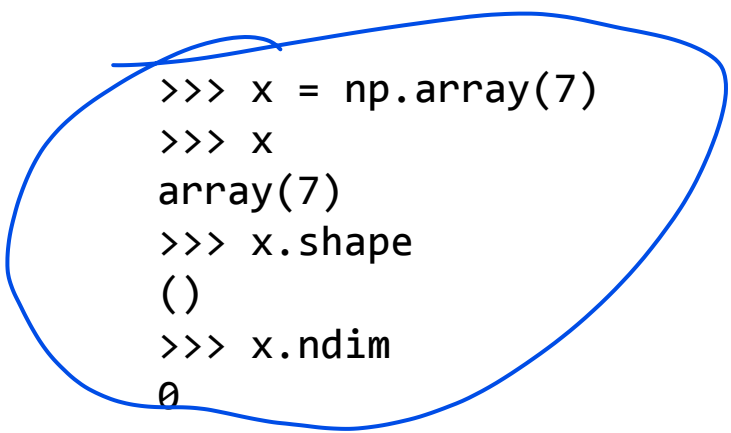
shape: (4, 3, 2)

维度: ndarray.ndim
形状: ndarray.shape
跨度: ndarray.strides

```
>>> x = np.random.rand(2)
>>> x
array([0.25814068, 0.89113847])
>>> x.shape
(2,)
>>> x.ndim
1
```

```
>>> y = np.random.rand(2,3)
>>> y.shape
(2, 3)
>>> y.ndim
2
>>> y
array([[0.34426797, 0.7299161 , 0.12344461],
       [0.26655586, 0.44549793, 0.29565539]])
```

```
>>> z = np.random.randint(-5,5,(2,2,3))
>>> z.shape
(2, 2, 3)
>>> z.ndim
3
```



```
>>> x = np.array(7)
>>> x
array(7)
>>> x.shape
()
>>> x.ndim
0
```

```
>>> x = np.array([[1,2,3],[4,5,6]])
```

```
>>> x
```

```
array([[1, 2, 3],  
       [4, 5, 6]])
```

```
>>> x.dtype
```

```
dtype('int32')
```

```
>>> x.itemsize
```

```
4
```

```
>>> x.size
```

```
6
```

```
>>> y = np.array([[1,2,3],[4,5,6]],dtype=np.float64)
```

```
>>> y
```

```
array([[1., 2., 3.],  
       [4., 5., 6.]])
```

```
>>> y.dtype
```

```
dtype('float64')
```

```
>>> y.itemsize
```

```
8
```

```
>>> y.size
```

```
6
```

ndarray元素的存储结构

```
>>> x = np.array([[1,2,3],[4,5,6]])
```

```
>>> x.itemsize
```

```
4
```

```
>>> x.size
```

```
6
```

```
>>> x.data
```

```
<memory at 0x0000014CEA1125F0>
```

```
>>> x.strides
```

```
(12, 4)
```

```
>>> np.array(x.strides)//x.itemsize
```

```
array([3, 1], dtype=int32)
```

1	2	3	4	5	6
---	---	---	---	---	---

默认按行存储(C语言数组的存储方式)

ndarray元素的存储结构

```
>>> x = np.array([[[1,2,3],[4,5,6]], [[7,8,9],[10,11,12]]])
```

```
>>> x
```

```
array([[[ 1,  2,  3],  
        [ 4,  5,  6]],  
       [[ 7,  8,  9],  
        [10, 11, 12]]])
```

```
>>> x.shape
```

```
(2, 2, 3)
```

```
>>> x.strides
```

```
(24, 12, 4)
```

```
>>> x.size
```

```
12
```

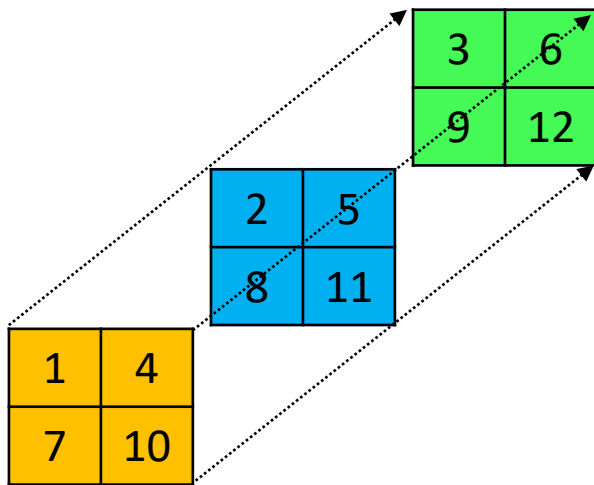
```
>>> x.itemsize
```

```
4
```

```
>>> x.data
```

```
<memory at 0x0000014CEA0BEA90>
```

1	2	3	4	5	6	7	8	9	10	11	12
---	---	---	---	---	---	---	---	---	----	----	----



访问数组元素

用下标访问数组元素

```
>>> x = np.arange(10)
>>> x
array([0, 1, 2, 3, 4, 5, 6, 7, 8, 9])
>>> x[2]
2
>>> x[1:9:2]
array([1, 3, 5, 7])

>>> x = np.array([[1,2,3,4,5,6],[7,8,9,10,11,12]])
>>> x
array([[ 1,  2,  3,  4,  5,  6],
       [ 7,  8,  9, 10, 11, 12]])
>>> x[:,::-1]
array([[ 6,  5,  4,  3,  2,  1],
       [12, 11, 10,  9,  8,  7]])
>>> x[:,4:0:-1]
array([[ 5,  4,  3,  2],
       [11, 10,  9,  8]])
```

用下标访问数组元素

$X[i_0, i_1, \dots, i_{d-1}], i_j \in [0, X.shape[j] - 1], d = X.ndim$

$X[i_0, i_1, \dots, i_{d-1}]$ 在内存中的位置是: $X.data + \sum_{j=0}^{d-1} i_j \times X.strides[j]$

```
>>> x = np.array([[[1,2,3],[4,5,6]], [[7,8,9],[10,11,12]]])
```

```
>>> x
```

```
array([[[ 1,  2,  3],
        [ 4,  5,  6]],
       [[ 7,  8,  9],
        [10, 11, 12]]])
```

```
>>> x.shape
```

```
(2, 2, 3)
```

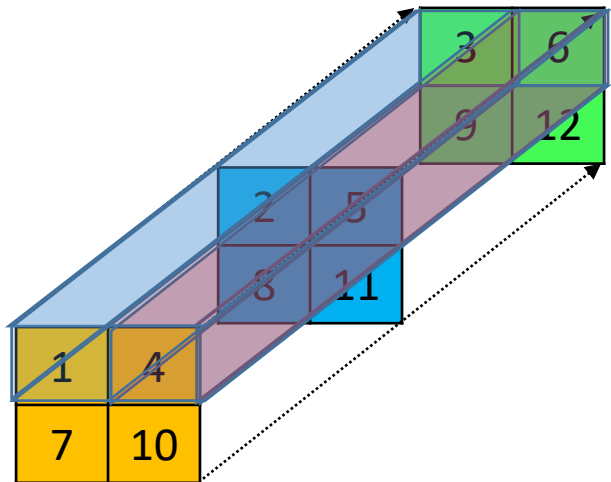
```
>>> x.strides
```

```
(24, 12, 4)
```

```
>>> x[0,1,2]
```

```
6
```

1	2	3	4	5	6	7	8	9	10	11	12
---	---	---	---	---	---	---	---	---	----	----	----



数组切片(Slicing)

```
>>> x = np.array([[[1,2,3],[4,5,6]], [[7,8,9],[10,11,12]]])
```

```
>>> x
```

```
array([[[ 1,  2,  3],  
        [ 4,  5,  6]],  
       [[ 7,  8,  9],  
        [10, 11, 12]]])
```

```
>>> x[0]
```

```
array([[1, 2, 3],  
       [4, 5, 6]])
```

```
>>> x[0].shape
```

```
(2, 3)
```

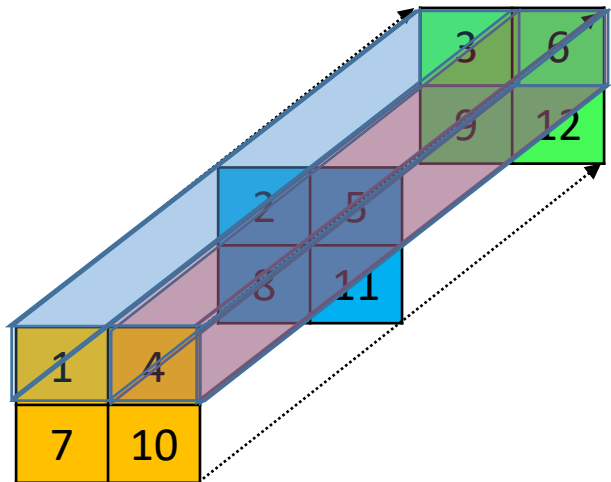
```
>>> x[0][1]
```

```
array([4, 5, 6])
```

```
>>> x[0,1]
```

```
array([4, 5, 6])
```

1	2	3	4	5	6	7	8	9	10	11	12
---	---	---	---	---	---	---	---	---	----	----	----



数组切片

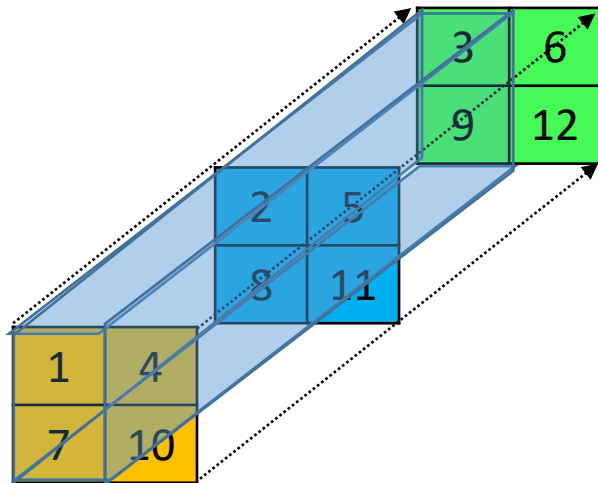
```
>>> x = np.array([[[1,2,3],[4,5,6]], [[7,8,9],[10,11,12]]])
```

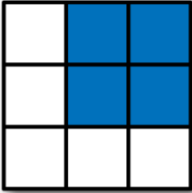
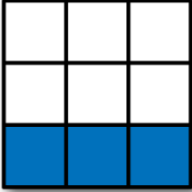
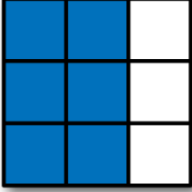
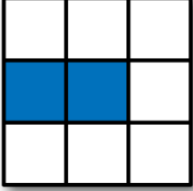
```
>>> x
```

```
array([[[ 1,  2,  3],  
        [ 4,  5,  6]],  
       [[ 7,  8,  9],  
        [10, 11, 12]]])
```

```
>>> x[:, :1, :]  
array([[[1, 2, 3]],  
       [[7, 8, 9]]])
```

```
>>> x[:, :1, :2]  
array([[[1, 2]],  
       [[7, 8]]])
```



	Expression	Shape
	<code>arr[:2, 1:]</code>	<code>(2, 2)</code>
	<code>arr[2]</code> <code>arr[2, :]</code> <code>arr[2:, :]</code>	<code>(3,)</code> <code>(3,)</code> <code>(1, 3)</code>
	<code>arr[:, :2]</code>	<code>(3, 2)</code>
	<code>arr[1, :2]</code> <code>arr[1:2, :2]</code>	<code>(2,)</code> <code>(1, 2)</code>

布尔索引(Boolean Indexing)

```
>>> x = np.random.randint(-5,5,(5,10))
>>> x
array([[ 3,  4,  2, -5, -1, -5, -5, -5,  4,  0],
       [ 3, -2,  1, -2,  0,  2, -3, -4,  3, -2],
       [ 2, -1, -4,  1, -3, -2,  2, -2,  1,  2],
       [ 1,  1, -4,  4,  3, -1,  1,  4,  4,  2],
       [-1, -1,  1,  4,  2,  3,  0,  3, -1,  2]])
>>> y = np.random.rand(5)
>>> y
array([0.31187091, 0.52794402, 0.85590955, 0.16405146, 0.52598888])
>>> y>0.5
array([False,  True,  True, False,  True])
>>> x[y>0.5,:]
array([[ 3, -2,  1, -2,  0,  2, -3, -4,  3, -2],
       [ 2, -1, -4,  1, -3, -2,  2, -2,  1,  2],
       [-1, -1,  1,  4,  2,  3,  0,  3, -1,  2]])
```


布尔索引(Boolean Indexing)

```
>>> x = np.random.randn(4,5)
>>> x
array([[ -0.01311172, -0.42492337,  1.70629494,  0.09026107, -1.57295181],
       [-1.47649472,  1.57279371, -0.3945336 , -0.549308  ,  0.31950348],
       [ 0.13884932,  0.54295891, -0.2315363 ,  0.44926544,  1.08650511],
       [-0.83692313,  0.14914834,  0.55520105, -0.00895871,  0.98064664]])
>>> x>1
array([[False, False,  True, False, False],
       [False,  True, False, False, False],
       [False, False, False, False,  True],
       [False, False, False, False, False]])
>>> x[x>1]
array([1.70629494, 1.57279371, 1.08650511])
```

布尔索引(Boolean Indexing)

```
>>> x = np.random.randn(4,5)
>>> x
array([[ -0.01311172, -0.42492337,  1.70629494,  0.09026107, -1.57295181],
       [ -1.47649472,  1.57279371, -0.3945336 , -0.549308  ,  0.31950348],
       [  0.13884932,  0.54295891, -0.2315363 ,  0.44926544,  1.08650511],
       [ -0.83692313,  0.14914834,  0.55520105, -0.00895871,  0.98064664]])
#把x截断到[-1,1]范围
>>> x[x>1] = 1
>>> x[x<-1] = -1
>>> x
array([[ -0.01311172, -0.42492337,  1.          ,  0.09026107, -1.          ],
       [ -1.          ,  1.          , -0.3945336 , -0.549308  ,  0.31950348],
       [  0.13884932,  0.54295891, -0.2315363 ,  0.44926544,  1.          ],
       [ -0.83692313,  0.14914834,  0.55520105, -0.00895871,  0.98064664]])
```

布尔索引(Boolean Indexing)

```
>>> x = np.log(np.random.randint(-5,5,(3,5)))
>>> x
array([[0.          , -inf,          nan, 0.          , 1.09861229],
       [          nan,          nan, -inf, 1.09861229, 1.38629436],
       [          nan, 0.          ,          nan,          nan,          nan]])
```

#清洗异常值

```
>>> x[np.isnan(x)] = 0
>>> x[np.isinf(x)] = 1
>>> x
array([[0.          , 1.          , 0.          , 0.          , 1.09861229],
       [0.          , 0.          , 1.          , 1.09861229, 1.38629436],
       [0.          , 0.          , 0.          , 0.          , 0.          ]])
```

高级(花样)索引(Fancy Indexing)

用整数数组作为索引

```
>>> x = np.empty((5,8))
>>> for i in range(8):
...     x[:,i] = i
>>> x
array([[0., 1., 2., 3., 4., 5., 6., 7.],
       [0., 1., 2., 3., 4., 5., 6., 7.],
       [0., 1., 2., 3., 4., 5., 6., 7.],
       [0., 1., 2., 3., 4., 5., 6., 7.],
       [0., 1., 2., 3., 4., 5., 6., 7.]])
>>> x[:,[5,3,7,0]]
array([[5., 3., 7., 0.],
       [5., 3., 7., 0.],
       [5., 3., 7., 0.],
       [5., 3., 7., 0.],
       [5., 3., 7., 0.]])
```

```
>>> x[:,[-1,-3,-5]]
array([[7., 5., 3.],
       [7., 5., 3.],
       [7., 5., 3.],
       [7., 5., 3.]])
```

高级(花样)索引(Fancy Indexing)

用整数数组作为索引

```
>>> x = np.random.randint(-5,5,(5,10))
>>> x
array([[ -4,   1,  -4,   0,   1,   3,   1,   2,  -3,  -4],
       [ -5,   4,   3,   4,   1,  -3,   3,   1,   2,  -1],
       [ -2,   1,   3,   3,  -2,  -4,   4,  -1,   3,  -1],
       [  3,  -2,  -4,   2,  -3,  -4,   4,   2,  -4,   0],
       [  4,   2,  -1,  -2,   4,   2,  -3,   4,   0,   4]])

>>> x[[1,2,3],[4,6,7]]
array([1, 4, 2])
```

高级(花样)索引(Fancy Indexing)

```
>>> y = np.random.randint(0,4,(10,))
>>> y
array([1, 3, 2, 0, 0, 2, 1, 1, 2, 2])
#把整数label转换为one-hot vector
>>> h = np.zeros((4,y.shape[0]))#h的每一列表示一个one-hot vector
>>> h
array([[0., 0., 0., 0., 0., 0., 0., 0., 0., 0.],
       [0., 0., 0., 0., 0., 0., 0., 0., 0., 0.],
       [0., 0., 0., 0., 0., 0., 0., 0., 0., 0.],
       [0., 0., 0., 0., 0., 0., 0., 0., 0., 0.]])
>>> h[y, np.arange(y.shape[0])] = 1
>>> h
array([[0., 0., 0., 1., 1., 0., 0., 0., 0., 0.],
       [1., 0., 0., 0., 0., 0., 1., 1., 0., 0.],
       [0., 0., 1., 0., 0., 1., 0., 0., 1., 1.],
       [0., 1., 0., 0., 0., 0., 0., 0., 0., 0.]])
```

数组操作

ndarray.reshape

```
>>> x = np.arange(15)
>>> x
array([ 0,  1,  2,  3,  4,  5,  6,  7,  8,  9, 10, 11, 12, 13, 14])

>>> x.reshape((3,5))
array([[ 0,  1,  2,  3,  4],
       [ 5,  6,  7,  8,  9],
       [10, 11, 12, 13, 14]])

>>> x.reshape((1,3,5))
array([[[[ 0,  1,  2,  3,  4],
          [ 5,  6,  7,  8,  9],
          [10, 11, 12, 13, 14]]]])
```


ndarray.reshape

```
>>> x = np.arange(15).reshape((1,3,5))
```

```
>>> x
```

```
array([[[ 0,  1,  2,  3,  4],
        [ 5,  6,  7,  8,  9],
        [10, 11, 12, 13, 14]]])
```

```
>>> x.reshape((-1,5))
```

```
array([[ 0,  1,  2,  3,  4],
       [ 5,  6,  7,  8,  9],
       [10, 11, 12, 13, 14]])
```

```
>>> x.reshape((5,-1))
```

```
array([[ 0,  1,  2],
       [ 3,  4,  5],
       [ 6,  7,  8],
       [ 9, 10, 11],
       [12, 13, 14]])
```

```
>>> x.reshape(-1)
```

```
array([ 0,  1,  2,  3,  4,  5,  6,
        7,  8,  9, 10, 11, 12, 13, 14])
```

ndarray.transpose

```
>>> x = np.arange(15).reshape(3,5)
```

```
>>> x
```

```
array([[ 0,  1,  2,  3,  4],  
       [ 5,  6,  7,  8,  9],  
       [10, 11, 12, 13, 14]])
```

```
>>> x.transpose()
```

```
array([[ 0,  5, 10],  
       [ 1,  6, 11],  
       [ 2,  7, 12],  
       [ 3,  8, 13],  
       [ 4,  9, 14]])
```

```
>>> x.T
```

```
array([[ 0,  5, 10],  
       [ 1,  6, 11],  
       [ 2,  7, 12],  
       [ 3,  8, 13],  
       [ 4,  9, 14]])
```

ndarray.transpose

```
>>> x = np.arange(24).reshape((2,3,4))
```

```
>>> x
array([[[ 0,  1,  2,  3],
        [ 4,  5,  6,  7],
        [ 8,  9, 10, 11]],

       [[12, 13, 14, 15],
        [16, 17, 18, 19],
        [20, 21, 22, 23]]])
```

```
>>> x[1,2,3]
```

```
23
```

```
>>> y[3,1,2]
```

```
23
```

```
>>> y = x.transpose((2,0,1))
```

```
>>> y
array([[[ 0,  4,  8],
        [12, 16, 20]],
```

```
       [[ 1,  5,  9],
        [13, 17, 21]],
```

```
       [[ 2,  6, 10],
        [14, 18, 22]],
```

```
       [[ 3,  7, 11],
        [15, 19, 23]])])
```

ndarray.squeeze, ndarray.newaxis

```
>>> x = np.arange(24).reshape((2,3,4))
```

```
>>> x
```

```
array([[[ 0,  1,  2,  3],
        [ 4,  5,  6,  7],
        [ 8,  9, 10, 11]],

       [[12, 13, 14, 15],
        [16, 17, 18, 19],
        [20, 21, 22, 23]]])
```

```
>>> x.shape
```

```
(2, 3, 4)
```

```
>>> y = x[:,np.newaxis,:,:]
```

```
>>> y.shape
```

```
(2, 1, 3, 4)
```

```
>>> z = y.squeeze()
```

```
>>> z.shape
```

```
(2, 3, 4)
```

ndarray.flatten, ndarray.ravel

```
>>> x = np.arange(15).reshape((3,5))
>>> x
array([[ 0,  1,  2,  3,  4],
       [ 5,  6,  7,  8,  9],
       [10, 11, 12, 13, 14]])
>>> y = x.flatten()
>>> y
array([ 0,  1,  2,  3,  4,  5,  6,  7,  8,  9, 10, 11, 12, 13, 14])
>>> z = x.ravel()
>>> z
array([ 0,  1,  2,  3,  4,  5,  6,  7,  8,  9, 10, 11, 12, 13, 14])
>>> x[0] = -1
>>> y
array([ 0,  1,  2,  3,  4,  5,  6,  7,  8,  9, 10, 11, 12, 13, 14])
>>> z
array([-1, -1, -1, -1, -1,  5,  6,  7,  8,  9, 10, 11, 12, 13, 14])
```

ndarray.repeat

```
>>> x = np.random.randint(0,5,(2,3))
```

```
>>> x
```

```
array([[3, 0, 4],  
       [1, 2, 0]])
```

```
>>> x.repeat(2,axis = 0)
```

```
array([[3, 0, 4],  
       [3, 0, 4],  
       [1, 2, 0],  
       [1, 2, 0]])
```

```
>>> x.repeat(2,axis = 1)
```

```
array([[3, 3, 0, 0, 4, 4],  
       [1, 1, 2, 2, 0, 0]])
```

np.split

```
>>> x = np.arange(9.0)
>>> np.split(x, 3)
[array([0., 1., 2.]), array([3., 4., 5.]), array([6., 7., 8.])]
```

```
>>> x = np.arange(8.0)
>>> np.split(x, [3, 5, 6, 10])
[array([0., 1., 2.]),
 array([3., 4.]),
 array([5.]),
 array([6., 7.]),
 array([], dtype=float64)]
```

np.hsplit, np.vsplit

```
>>> x = np.arange(16.0).reshape(4, 4)
```

```
>>> x
```

```
array([[ 0.,  1.,  2.,  3.],
       [ 4.,  5.,  6.,  7.],
       [ 8.,  9., 10., 11.],
       [12., 13., 14., 15.]])
```

```
>>> np.vsplit(x, 2)
```

```
[array([[0., 1., 2., 3.],
       [4., 5., 6., 7.]])],
 array([[ 8.,  9., 10., 11.],
       [12., 13., 14., 15.]])]
```

```
>>> np.vsplit(x, np.array([3, 6]))
```

```
[array([[ 0.,  1.,  2.,  3.],
       [ 4.,  5.,  6.,  7.],
       [ 8.,  9., 10., 11.]])],
 array([[12., 13., 14., 15.]])],
 array([], shape=(0, 4), dtype=float64)]
```

```
>>> np.hsplit(x, 2)
```

```
[array([[ 0.,  1.],
       [ 4.,  5.],
       [ 8.,  9.],
       [12., 13.]])],
 array([[ 2.,  3.],
       [ 6.,  7.],
       [10., 11.],
       [14., 15.]])]
```

```
>>> np.hsplit(x, np.array([3, 6]))
```

```
[array([[ 0.,  1.,  2.],
       [ 4.,  5.,  6.],
       [ 8.,  9., 10.],
       [12., 13., 14.]])],
 array([[ 3.],
       [ 7.],
       [11.],
       [15.]])],
 array([], shape=(4, 0), dtype=float64)]
```


np.dsplit

```
>>> x = np.arange(16.0).reshape(2, 2, 4)
```

```
>>> x
```

```
array([[[ 0.,  1.,  2.,  3.],
        [ 4.,  5.,  6.,  7.]],
       [[ 8.,  9., 10., 11.],
        [12., 13., 14., 15.]])
```

```
>>> np.dsplit(x, 2)
```

```
[array([[[ 0.,  1.],
        [ 4.,  5.]],
       [[ 8.,  9.],
        [12., 13.]])],
 array([[[ 2.,  3.],
        [ 6.,  7.]],
       [[10., 11.],
        [14., 15.]])])
```

```
>>> np.dsplit(x, np.array([3, 6]))
```

```
[array([[[ 0.,  1.,  2.],
        [ 4.,  5.,  6.]],
       [[ 8.,  9., 10.],
        [12., 13., 14.]])],
 array([[[ 3.],
        [ 7.]],
       [[11.],
        [15.]])],
 array([], shape=(2, 2, 0), dtype=float64)]
```

np.stack

```
>>> a = np.array([1, 2, 3])
>>> b = np.array([2, 3, 4])
>>> np.stack((a, b))#np.stack((a, b), axis=0)
array([[1, 2, 3],
       [2, 3, 4]])

>>> np.stack((a, b), axis=-1)#np.stack((a, b), axis=1)
array([[1, 2],
       [2, 3],
       [3, 4]])
```

np.stack

```
>>> arrays = [np.random.randn(3, 4) for _ in range(10)]  
>>> np.stack(arrays, axis=0).shape  
(10, 3, 4)
```

```
>>> np.stack(arrays, axis=1).shape  
(3, 10, 4)
```

```
>>> np.stack(arrays, axis=2).shape  
(3, 4, 10)
```

np.hstack, np.vstack

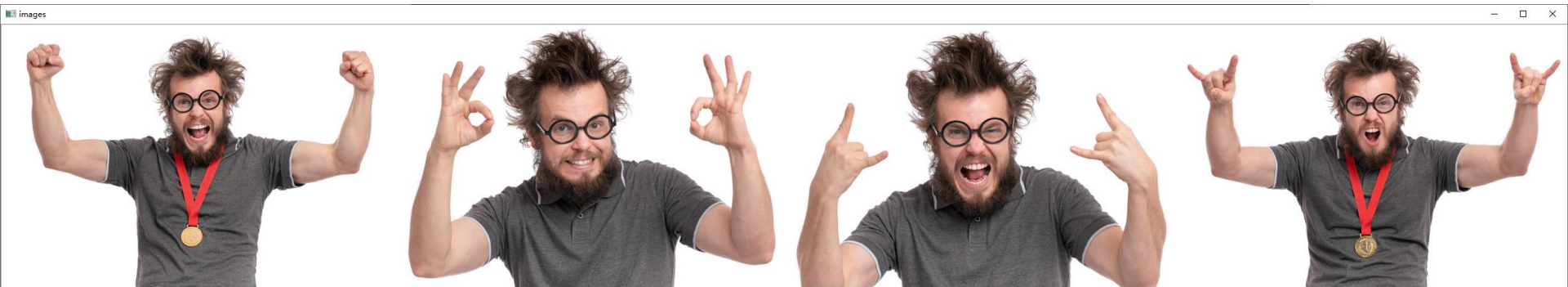
```
>>> a = np.array([1, 2, 3])  
>>> b = np.array([2, 3, 4])
```

```
>>> np.hstack((a,b))  
array([1, 2, 3, 2, 3, 4])
```

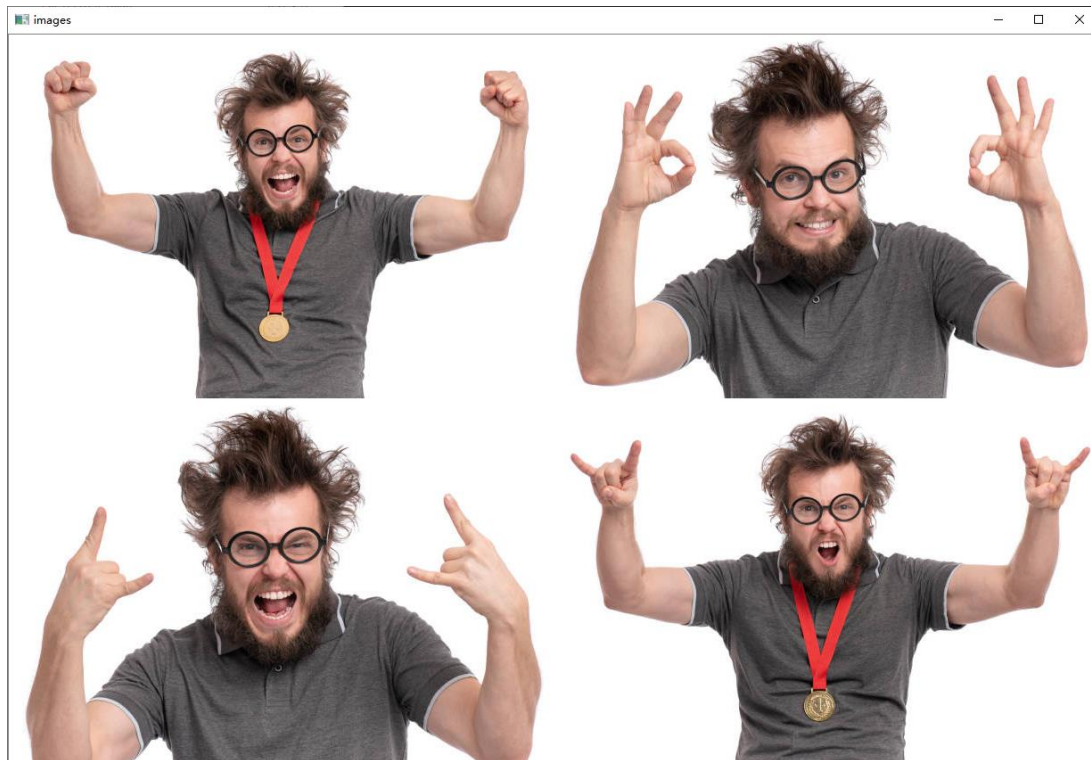
```
>>> np.vstack((a,b))  
array([[1, 2, 3],  
       [2, 3, 4]])
```

np.hstack, np.vstack

```
>>> import cv2 as cv
>>> imgs = [cv.imread('%d.jpg'%(i)) for i in range(4)]
>>> M = np.hstack(imgs)
>>> cv.namedWindow('images'),cv.imshow('images',M),cv.waitKey(0),cv.destroyAllWindows()
```



np.hstack, np.vstack



```
M = np.vstack((np.hstack(imgs[:2]), np.hstack(imgs[2:])))
```



```
>>> import cv2 as cv
>>> im = cv.imread('sunflower.jpg')
>>> im.shape
(854, 1280, 3)
>>> im = im[:, :, [2, 1, 0]]
>>> r, g, b = np.dsplit(im)
>>> M = np.hstack((r, g, b))
```





```
>>> r,g,b = np.dsplit(im)
>>> r.shape
(854, 1280, 1)
>>> r = r.squeeze()
>>> r.shape
(854, 1280)
>>> zeros = np.full_like(r,fill_value = 0)
>>> R = np.stack((r,zeros,zeros), axis = 2)
>>> G = np.stack((zeros,g,zeros), axis = 2)
>>> B = np.stack((zeros,zeros,b), axis = 2)
>>> M = np.hstack((R,G,B))
```





```
>>> R = im.copy()
>>> R[:, :, [1, 2]] = 0

>>> G = im.copy()
>>> G[:, :, [0, 2]] = 0

>>> B = im.copy()
>>> B[:, :, [0, 1]] = 0

>>> M = np.hstack((R, G, B))
```



数组的视图(view)

视图

```
>>> x = np.array([[1,2,3],[4,5,6]])
```

```
>>> x
```

```
array([[1, 2, 3],  
       [4, 5, 6]])
```

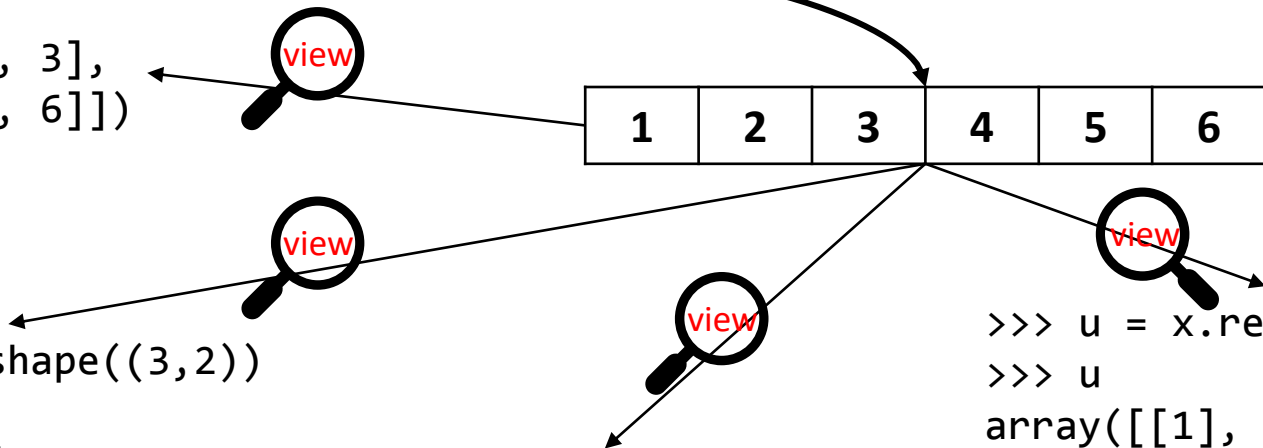
```
>>> y = x.reshape((3,2))
```

```
>>> y  
array([[1, 2],  
       [3, 4],  
       [5, 6]])
```

```
>>> z = x.reshape((1,-1))  
>>> z  
array([[1, 2, 3, 4, 5, 6]])
```

```
>>> u = x.reshape((-1,1))
```

```
>>> u  
array([[1],  
       [2],  
       [3],  
       [4],  
       [5],  
       [6]])
```

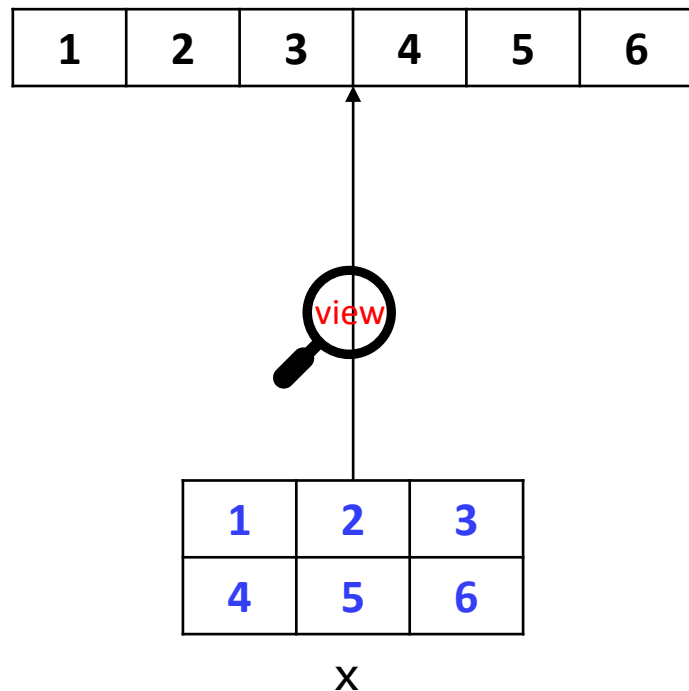


视图

```
>>> x = np.array([[1,2,3],[4,5,6]])  
>>> x.shape  
(2, 3)  
>>> x.strides  
(12, 4)  
>>> x.itemsize  
4  
>>> np.array(x.strides)//x.itemsize  
array([3, 1], dtype=int32)
```

$\&(x[i+1,j]) - \&(x[i,j]) == x.strides[0]$

$\&(x[i,j+1]) - \&(x[i,j]) == x.strides[1]$

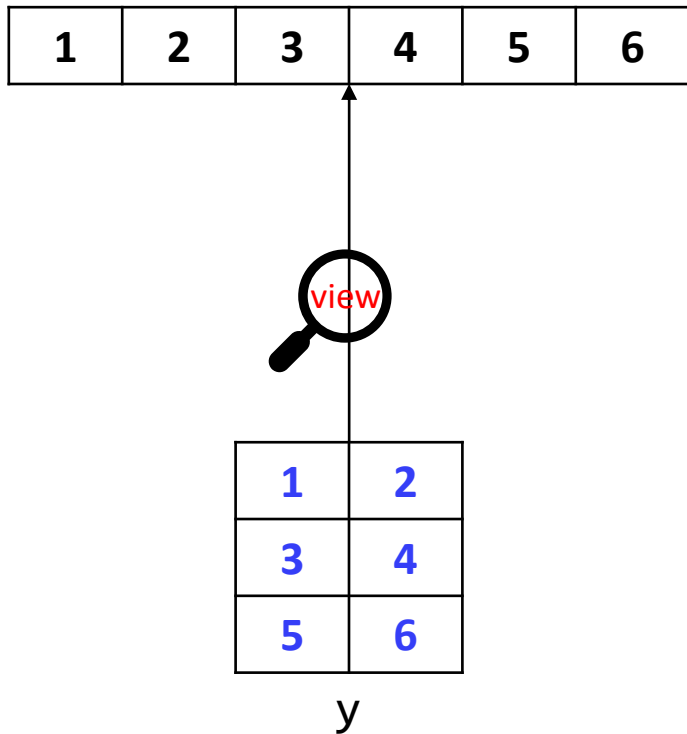


视图

```
>>> y = x.reshape((3,2))  
>>> y.strides  
(8, 4)  
>>> np.array(y.strides)//y.itemsize  
array([2, 1], dtype=int32)
```

$\&(y[i+1,j]) - \&(y[i,j]) == y.strides[0]$

$\&(y[i,j+1]) - \&(y[i,j]) == y.strides[1]$



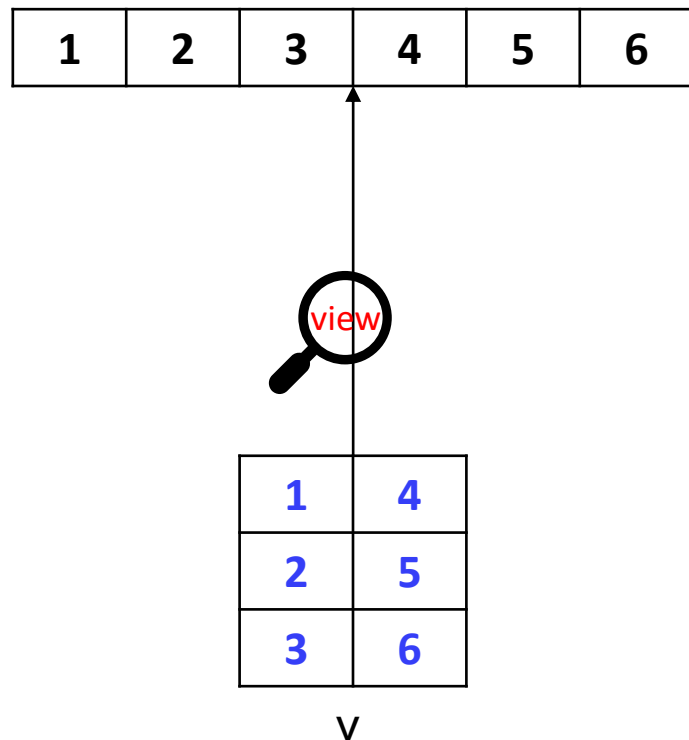
视图

```
>>> x = np.array([[1,2,3],[4,5,6]])  
>>> x  
array([[1, 2, 3],  
       [4, 5, 6]])
```

```
>>> v = x.T  
>>> v.strides  
(4, 12)  
>>> np.array(v.strides)//v.itemsize  
array([1, 3], dtype=int32)
```

$\&(v[i+1,j]) - \&(v[i,j]) == v.strides[0]$

$\&(v[i,j+1]) - \&(v[i,j]) == v.strides[1]$



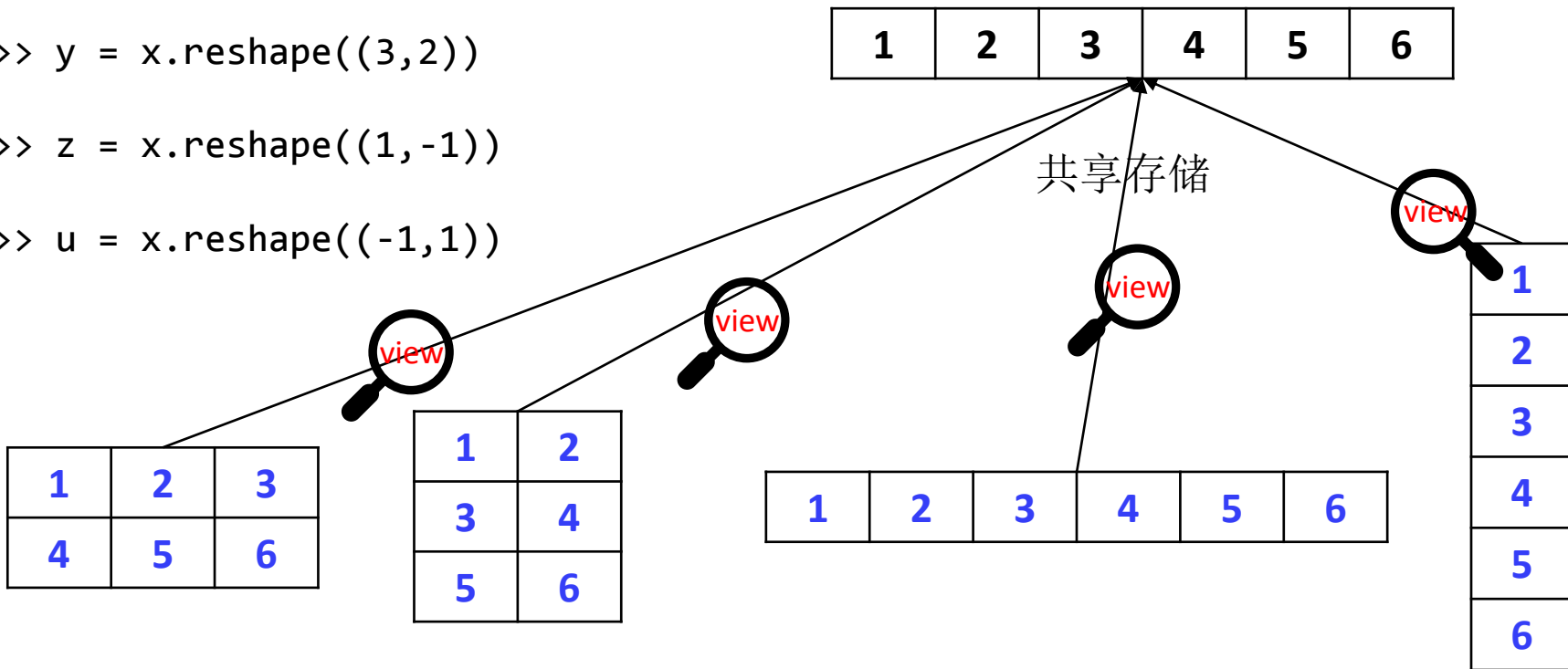
视图之间共享存储

```
>>> x = np.array([[1,2,3],[4,5,6]])
```

```
>>> y = x.reshape((3,2))
```

```
>>> z = x.reshape((1,-1))
```

```
>>> u = x.reshape((-1,1))
```



视图之间共享存储

#创建数组x,x"拥有"数组的存储空间

```
>>> x = np.array([[1,2,3],[4,5,6]])
```

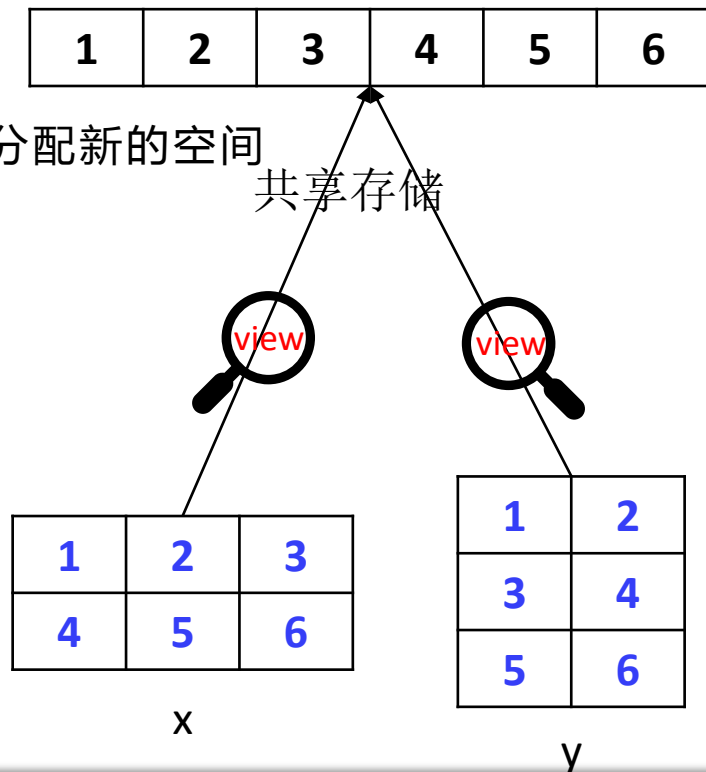
#获取数组的一个新视图,不需要为数组元素分配新的空间

```
>>> y = x.reshape((3,2))
```

```
>>> x.base is None  
True
```

```
>>> y.base  
array([[ -1,   2,   3],  
       [  4,   5,   6]])
```

```
>>> y.base is x  
True
```



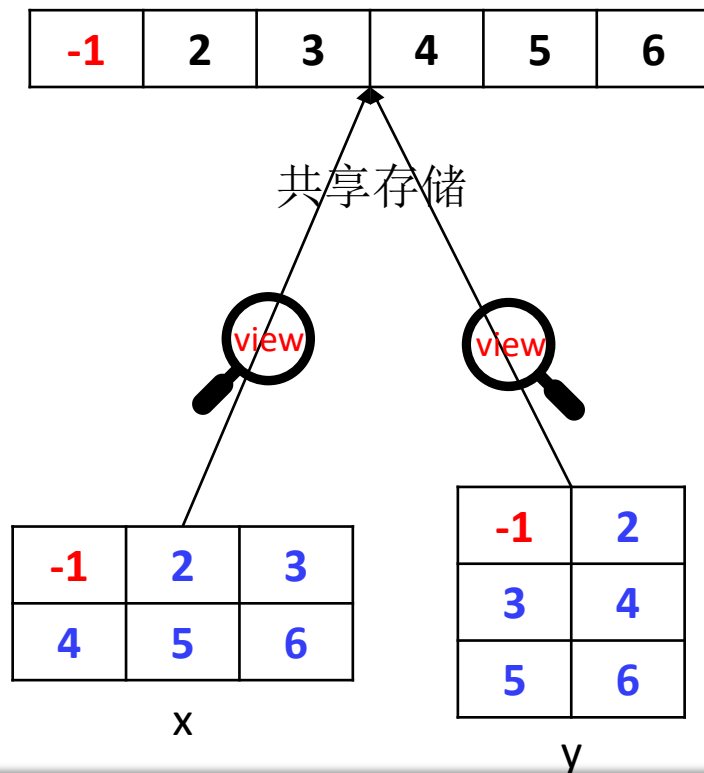
视图之间共享存储

```
>>> x = np.array([[1,2,3],[4,5,6]])
```

```
>>> y = x.reshape((3,2))
```

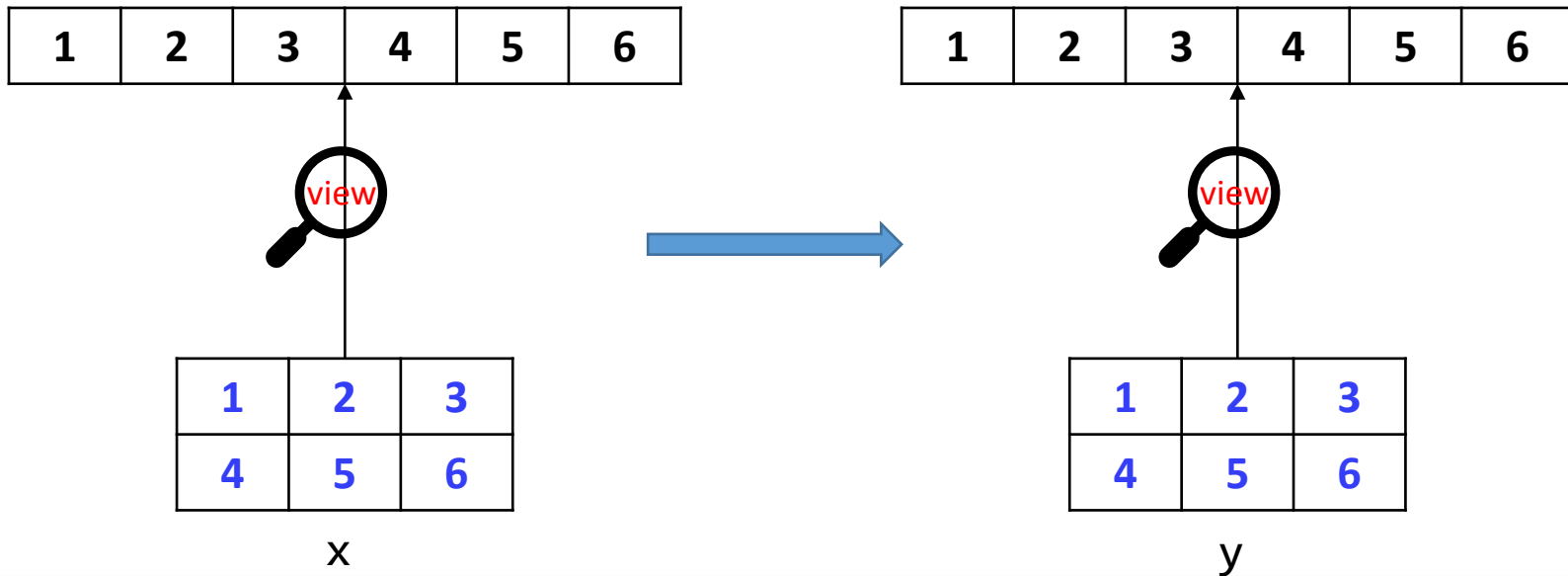
```
>>> x[0,0] = -1
```

```
>>> y  
array([[ -1,  2],  
       [ 3,  4],  
       [ 5,  6]])
```



ndarray.copy

```
>>> x = np.array([[1,2,3],[4,5,6]])  
>>> y = x.copy()  
>>> y.base is x  
False
```



ndarray.copy

```
>>> x = np.array([[1,2,3],[4,5,6]])  
>>> y = x.copy().reshape((3,2))  
>>> x[0,0] = -1  
>>> y  
array([[1, 2],  
       [3, 4],  
       [5, 6]])
```

-1	2	3	4	5	6
----	---	---	---	---	---



-1	2	3
4	5	6

x

1	2	3	4	5	6
---	---	---	---	---	---



1	2
3	4
5	6

y



视图

```
>>> x = np.random.rand(2,3)
```

```
>>> y = x[:,np.newaxis,:]
```

```
>>> y.base is x
```

```
True
```

```
>>> z = y.squeeze()
```

```
>>> z.base is x
```

```
True
```

```
>>> u = x.flatten()
```

```
>>> u.base is x
```

```
False
```

```
>>> v = x.ravel()
```

```
>>> v.base is x
```

```
True
```

```
>>> w = x.transpose((1,0))
```

```
>>> w.base is x
```

```
True
```

```
>>> r = x.repeat(2,0)
```

```
>>> r.base is x
```

```
False
```

数组上的运算

Universal function (ufunc)

```
from math import sqrt
for _ in range(1000):
    sum([sqrt(x) for x in range(10000)])
```

1.627s

```
import numpy as np
for _ in range(1000):
    np.sqrt(np.arange(10000)).sum()
```

0.857s

Universal function (ufunc)

```
>>> x = np.arange(10)
>>> x
array([0, 1, 2, 3, 4, 5, 6, 7, 8, 9])

>>> np.sqrt(x)
array([0.          , 1.          , 1.41421356, 1.73205081, 2.          ,
       2.23606798, 2.44948974, 2.64575131, 2.82842712, 3.          ])
```

ufunc: A universal function is a function that operates on ndarrays in an element-by-element fashion, supporting array broadcasting, type casting, and several other standard features. That is, a ufunc is a “vectorized” wrapper for a function that takes a fixed number of specific inputs and produces a fixed number of specific outputs.

算术运算

Operator	Equivalent ufunc	Description
+	<code>np.add</code>	Addition (e.g., $1 + 1 = 2$)
-	<code>np.subtract</code>	Subtraction (e.g., $3 - 2 = 1$)
-	<code>np.negative</code>	Unary negation (e.g., -2)
*	<code>np.multiply</code>	Multiplication (e.g., $2 * 3 = 6$)
/	<code>np.divide</code>	Division (e.g., $3 / 2 = 1.5$)
//	<code>np.floor_divide</code>	Floor division (e.g., $3 // 2 = 1$)
**	<code>np.power</code>	Exponentiation (e.g., $2 ** 3 = 8$)
%	<code>np.mod</code>	Modulus/remainder (e.g., $9 \% 4 = 1$)

算术运算

```
>>> x = np.random.randint(1,5,(2,3))
>>> y = np.random.randint(1,5,(2,3))
>>> x
array([[2, 3, 1],
       [1, 4, 1]])
>>> y
array([[4, 4, 3],
       [2, 2, 4]])

>>> -x
array([[ -2,  -3,  -1],
       [ -1,  -4,  -1]])

>>> x + y
array([[6, 7, 4],
       [3, 6, 5]])

>>> x - y
array([[ -2,  -1,  -2],
       [ -1,   2,  -3]])
```

```
>>> x / y
array([[0.5      , 0.75     , 0.33333333],
       [0.5      , 2.       , 0.25      ]])

>>> x // y
array([[0, 0, 0],
       [0, 2, 0]], dtype=int32)

>>> x * y
array([[ 8, 12,  3],
       [ 2,  8,  4]])

>>> x ** y
array([[16, 81,  1],
       [ 1, 16,  1]], dtype=int32)

>>> x % y
array([[2, 3, 1],
       [1, 0, 1]], dtype=int32)
```

关系运算与逻辑运算(bitwise)

Operator	Equivalent ufunc
<code>==</code>	<code>np.equal</code>
<code>!=</code>	<code>np.not_equal</code>
<code><</code>	<code>np.less</code>
<code><=</code>	<code>np.less_equal</code>
<code>></code>	<code>np.greater</code>
<code>>=</code>	<code>np.greater_equal</code>

Operator	Equivalent ufunc
<code>&</code>	<code>np.bitwise_and</code>
<code> </code>	<code>np.bitwise_or</code>
<code>^</code>	<code>np.bitwise_xor</code>
<code>~</code>	<code>np.bitwise_not</code>

关系运算

```
>>> x = np.arange(4)
>>> x
array([0, 1, 2, 3])

>>> x<=2
array([ True,  True,  True, False])

>>> x>3
array([False, False, False, False])

>>> x != 2
array([ True,  True, False,  True])

>>> x*2 == x**2
array([ True, False,  True, False])

>>> np.sqrt(x) == x
array([ True,  True, False, False])
```

```
>>> x = np.random.randint(-5,5,(2,3))
>>> y = np.random.randint(-5,5,(2,3))
>>> x
array([[ 3, -2, -4],
       [-5, -5,  2]])
>>> y
array([[ 1, -3, -1],
       [ 4,  1, -5]])
>>> x<=y
array([[False, False,  True],
       [ True,  True, False]])
>>> x[x<=y]
array([-4, -5, -5])

>>> (y>0) & (y<x)
array([[ True, False, False],
       [False, False, False]])
```

例：判断闰年

```
>>> year = 2000
>>> ((year % 4 == 0) and (year % 100 != 0)) or (year % 400 == 0)
True
```

```
>>> years = np.arange(1990,2023)
>>> years
array([1990, 1991, 1992, 1993, 1994, 1995, 1996, 1997, 1998, 1999, 2000,
       2001, 2002, 2003, 2004, 2005, 2006, 2007, 2008, 2009, 2010, 2011,
       2012, 2013, 2014, 2015, 2016, 2017, 2018, 2019, 2020, 2021, 2022])
>>> isLeap = (((years % 4 ==0) & (years % 100 != 0)) | (years % 400 ==0))
>>> years[isLeap]
array([1992, 1996, 2000, 2004, 2008, 2012, 2016, 2020])
```

```
>>> isLeap = (((years % 4 ==0) and (years % 100 != 0)) or (years % 400 ==0))
Traceback (most recent call last):
  File "<stdin>", line 1, in <module>
ValueError: The truth value of an array with more than one element is ambiguous.
Use a.any() or a.all()
```

例：肤色检测

肤色像素判别规则： $20 < R - G < 80$

```
>>> im = cv.imread('0.jpg')
>>> B,G,R = im[:, :, 0], im[:, :, 1], im[:, :, 2]
>>> skinMask = (R-G>20) & (R-G<80)
>>> skinImg = im.copy();
>>> skinImg[~skinMask, :] = 0
```



np.where

C++:

```
int x = 2;  
int y = x>0?1:-1;
```

numpy:

```
>>> x = np.random.randn(3,2)  
>>> x  
array([[ 0.40217239, -0.29639506],  
       [-1.19111173,  1.38473396],  
       [-0.66014868, -0.31229027]])
```

```
>>> np.where(x>0,1,-1)  
array([[ 1, -1],  
       [-1,  1],  
       [-1, -1]])
```

```
>>> def relu(x):  
...     return np.where(x>0,x,0)  
...  
>>> x = np.random.randint(-5,5,(2,3))  
>>> x  
array([[ 0,  2, -4],  
       [ 3,  0, -2]])  
>>> relu(x)  
array([[0, 2, 0],  
       [3, 0, 0]])
```

例：肤色检测

肤色像素判别规则： $20 < R - G < 80$

```
>>> im = cv.imread('0.jpg')  
>>> B,G,R = np.dsplit(im,3)  
>>> skinMask = (R-G>20) & (R-G<80)  
>>> skinImg = np.where(skinMask,im,0)
```



就地操作(in-place operation)

```
>>> x = np.random.randint(-5,5,(1,6))
```

```
>>> x
```

```
array([[ -5,  2,  0, -3, -3, -5]])
```

```
>>> y = np.random.randint(-5,5,(1,6))
```

```
>>> y
```

```
array([[ 1, -4,  4,  1,  1, -3]])
```

```
>>> z = x + y
```

```
>>> z
```

```
array([[ -4, -2,  4, -2, -2, -8]])
```

```
>>> np.add(x,y,out = y)
```

```
array([[ -4, -2,  4, -2, -2, -8]])
```

```
>>> y
```

```
array([[ -4, -2,  4, -2, -2, -8]])
```

```
>>> x = np.arange(8).reshape((2,4))
```

```
>>> x
```

```
array([[0, 1, 2, 3],  
       [4, 5, 6, 7]])
```

```
>>> np.power(2,x[0],out=x[1])
```

```
array([1, 2, 4, 8])
```

```
>>> x
```

```
array([[0, 1, 2, 3],  
       [1, 2, 4, 8]])
```


数组运算中的广播 (Broadcasting)

广播(Broadcasting)

```
>>> x = np.random.randint(-5,5,(2,3))
```

```
>>> x
```

```
array([[ -5,  2,  3],  
       [ -1,  1,  3]])
```

```
>>> y = np.random.randint(-5,5,(2,1))
```

```
>>> y
```

```
array([[ -1],  
       [  0]])
```

```
>>> x + y
```

```
array([[ -6,  1,  2],  
       [ -1,  1,  3]])
```

```
>>> x + 2
```

```
array([[ -3,  4,  5],  
       [  1,  3,  5]])
```

广播(Broadcasting)

1	1	1
---	---	---

2	2	2
---	---	---

3	3	3
---	---	---

广播(Broadcasting)

1	1	1
1	1	1
1	1	1

0	1	2
0	1	2
0	1	2

1	2	3
1	2	3
1	2	3

广播(Broadcasting)

1	1	1
1	1	1
1	1	1

0	1	2
0	1	2
0	1	2

1	2	3
1	2	3
1	2	3

广播(Broadcasting)

```
>>> x = np.arange(12).reshape(3,4)
>>> y = np.arange(12).reshape(4,3)
>>> x + y
Traceback (most recent call last):
  File "<stdin>", line 1, in <module>
ValueError: operands could not be broadcast together with shapes (3,4) (4,3)
```

例：彩色图像灰度化

$$\text{GRAY} = 0.2989 * R + 0.5870 * G + 0.1140 * B$$



```
>>> im = cv.imread('sunflower.jpg').astype(cv.float32)/255  
>>> W = np.array([0.1140, 0.5870, 0.2989])  
>>> gray = (im * W).sum(axis = 2)
```

数组元素的聚合运算

Function Name	NaN-safe Version	Description
<code>np.sum</code>	<code>np.nansum</code>	Compute sum of elements
<code>np.prod</code>	<code>np.nanprod</code>	Compute product of elements
<code>np.mean</code>	<code>np.nanmean</code>	Compute median of elements
<code>np.std</code>	<code>np.nanstd</code>	Compute standard deviation
<code>np.var</code>	<code>np.nanvar</code>	Compute variance
<code>np.min</code>	<code>np.nanmin</code>	Find minimum value
<code>np.max</code>	<code>np.nanmax</code>	Find maximum value
<code>np.argmin</code>	<code>np.nanargmin</code>	Find index of minimum value
<code>np.argmax</code>	<code>np.nanargmax</code>	Find index of maximum value
<code>np.median</code>	<code>np.nanmedian</code>	Compute median of elements
<code>np.percentile</code>	<code>np.nanpercentile</code>	Compute rank-based statistics of elements
<code>np.any</code>	N/A	Evaluate whether any elements are true
<code>np.all</code>	N/A	Evaluate whether all elements are true

min, max, sum

```
>>> x = np.random.randint(0,10,(5,10))
>>> x
array([[2, 7, 6, 8, 5, 1, 2, 7, 2, 7],
       [8, 2, 3, 4, 6, 9, 6, 3, 0, 5],
       [6, 2, 1, 8, 5, 7, 3, 0, 9, 6],
       [6, 3, 7, 5, 6, 8, 8, 8, 1, 6],
       [7, 3, 8, 1, 4, 0, 2, 6, 8, 1]])
>>> x.sum()
238
>>> x.sum(axis = 0)
array([29, 17, 25, 26, 26, 25, 21, 24, 20, 25])
>>> x.sum(axis = 0).ndim
1
>>> x.sum(axis = 0, keepdims = True)
array([[29, 17, 25, 26, 26, 25, 21, 24, 20, 25]])
```

np.maximum, np.minimum

```
>>> x = np.random.randint(0,10,(3,10))
>>> y = np.random.randint(0,10,(3,10))
>>> x
array([[0, 6, 3, 5, 2, 4, 8, 2, 7, 7],
       [0, 0, 5, 5, 6, 0, 8, 9, 2, 4],
       [8, 6, 9, 9, 5, 5, 0, 1, 4, 0]])
>>> y
array([[0, 6, 8, 7, 8, 8, 9, 0, 1, 9],
       [9, 3, 1, 9, 9, 0, 2, 7, 7, 8],
       [4, 0, 5, 9, 1, 4, 8, 9, 9, 3]])
>>> z = np.maximum(x,y)
>>> z
array([[0, 6, 8, 7, 8, 8, 9, 2, 7, 9],
       [9, 3, 5, 9, 9, 0, 8, 9, 7, 8],
       [8, 6, 9, 9, 5, 5, 8, 9, 9, 3]])
```

prod

```
>>> x = np.random.randint(1,5,(2,3))
```

```
>>> x
```

```
array([[4, 1, 2],  
       [2, 3, 2]])
```

```
>>> x.prod()
```

```
96
```

```
>>> x.prod(axis = 0)
```

```
array([8, 3, 4])
```

```
>>> x.prod(axis = 1)
```

```
array([ 8, 12])
```

```
>>> x.prod(initial = 5)
```

```
480
```

argmin, argmax

```
>>> x = np.random.randint(1,5,(3,5))
```

```
>>> x
```

```
array([[3, 4, 1, 1, 1],  
       [1, 4, 4, 3, 3],  
       [1, 4, 1, 1, 1]])
```

```
>>> x.argmin(axis = 0)
```

```
array([1, 0, 0, 0, 0], dtype=int64)
```

```
>>> x.argmax(axis = 1)
```

```
array([1, 1, 1], dtype=int64)
```

```
>>> x.argmin()
```

```
2
```

any, all

```
>>> x = np.random.randint(-5,5,(3,5))
```

```
>>> x
```

```
array([[ -4,  -1,  -5,   1,   0],  
       [  1,  -5,   0,  -5,  -3],  
       [-5,  -3,   4,  -4,   4]])
```

```
>>> x.any()
```

```
True
```

```
>>> x.all()
```

```
False
```

```
>>> (x>0).any()
```

```
True
```

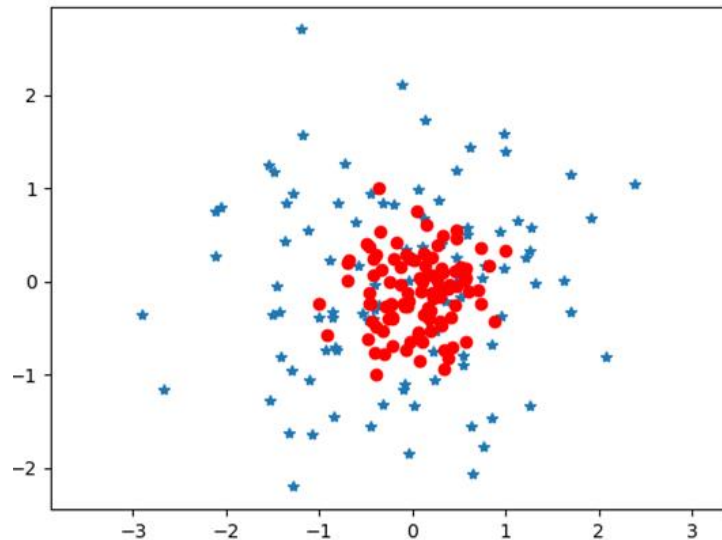
```
>>> (x>0).all()
```

```
False
```

例：特征归一化

```
>>> x = np.random.randn(2,100)

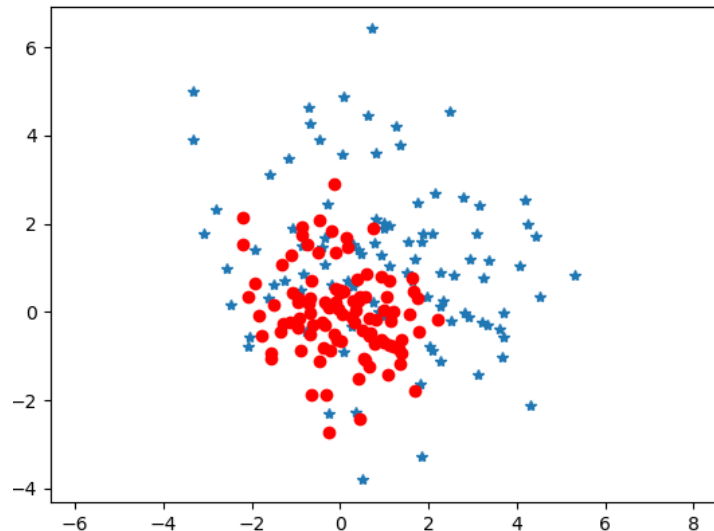
>>> minx = x.min(axis = 1, keepdims = True)
>>> maxx = x.max(axis = 1, keepdims = True)
>>> minx
array([[ -2.90092376],
       [ -2.20297544]])
>>> maxx
array([[ 2.38442347],
       [ 2.70580991]])
>>> y = 2*(x - minx)/(maxx - minx) - 1
>>> y.min(axis = 1)
array([-1., -1.])
>>> y.max(axis = 1)
array([1., 1.])
```



例：特征标准化

```
>>> x = np.random.randn(2,100)*2 + 1
>>> std = x.std(axis = 1, keepdims = True)
>>> std
array([[1.96023919],
       [1.81613181]])
>>> miu = x.mean(axis = 1, keepdims = True)
>>> miu
array([[0.99654516],
       [1.13055334]])

>>> y = (x - miu) / std
>>> y.std(axis = 1)
array([1., 1.])
>>> y.mean(axis = 1)
array([-2.22044605e-18,  3.08086889e-17])
```



矩阵乘积运算

np.dot, @

```
>>> x = np.random.rand(2,3)
>>> y = np.random.rand(3,4)
>>> x.dot(y)
array([[0.65404399, 0.51001177, 0.2614941 , 0.15208298],
       [0.98426831, 0.93180514, 0.5526937 , 0.51949789]])
```

```
>>> x@y
array([[0.65404399, 0.51001177, 0.2614941 , 0.15208298],
       [0.98426831, 0.93180514, 0.5526937 , 0.51949789]])
```

```
>>> np.dot(x,y)
array([[0.65404399, 0.51001177, 0.2614941 , 0.15208298],
       [0.98426831, 0.93180514, 0.5526937 , 0.51949789]])
```

例：sigmoid神经元

```
>>> def sigmoid(z):  
...     return 1 / (1 + np.exp(-z))  
...  
>>> def sigmoidCell(X,W,b):  
...     Z = W.T @ X + b  
...     return sigmoid(Z)  
...  
>>> X = np.random.randn(2,5)  
>>> W = np.random.randn(2,1)  
>>> b = 0  
>>> rho = sigmoidCell(X,W,b)  
>>> rho  
array([[0.71146343, 0.88812752, 0.28855789, 0.43348845, 0.68182186]])
```

例：softmax神经元

```
>>> def softmax(z):  
...     z = z - z.max(axis = 0,keepdims = True)  
...     expz = np.exp(z)  
...     rho = expz / expz.sum(axis = 0,keepdims = True)  
...     return rho  
...  
>>> def softmaxCell(X,W,b):  
...     Z = W.T@X + b  
...     return softmax(Z)  
...  
>>> X = np.random.randn(2,5)  
>>> W = np.random.randn(2,3)  
>>> b = np.zeros((3,1))  
>>> rho = softmaxCell(X,W,b)  
>>> rho  
array([[0.38445404, 0.87811646, 0.08823561, 0.04816973, 0.83945355],  
       [0.0857069 , 0.00572758, 0.72731163, 0.34196217, 0.05975182],  
       [0.52983905, 0.11615596, 0.18445276, 0.6098681 , 0.10079462]])  
>>> rho.sum(axis = 0)  
array([1., 1., 1., 1., 1.]
```

编写神经元模型

sigmoid神经元

```
class SigmoidNeuron:
    def __init__(self, in_features):
        self.in_features = in_features
        self.W = np.zeros((self.in_features,1))
        self.b = 0

    def sigmoid(z):
        return 1/(1 + np.exp(-z))

    def predict(self, x):
        z = self.W.T @ x + self.b
        a = SigmoidNeuron.sigmoid(z)
        return a

    def evaluate(self, x,y):
        rho = self.predict(x)
        yhat = np.where(rho>=0.5,1,0)
        err = (yhat!=y).astype('float').mean()
        return err
```

sigmoid神经元

```
def fit(self, X, Y, lr = 0.1):
    self.W, self.b = np.zeros((self.in_features,1)),0 #initialize params
    loss0 = np.Inf
    epsilon = 1e-6

    while(True):
        #prediction
        rho = self.predict(X) #1 x n
        #loss
        loss = -(np.log(rho[Y==1]).sum() + np.log(1 - rho[Y==0]).sum())/X.shape[1]
        if np.abs(loss - loss0) < epsilon:
            break
        loss0 = loss
        #error:
        e = rho - Y#1 x n
        #dw,db:
        dw = X @ e.T /n
        db = e.mean()
        #update params:
        self.W = self.W - lr * dw
        self.b = self.b - lr * db
```

测试SigmoidNeuron

```
cell = SigmoidNeuron(in_features = 5)
print('W = ',cell.W)
print('b = ',cell.b)
```

```
x = np.random.randn(5,10)
a = cell.predict(x)
print(a)
```

```
W =  [[0.]
      [0.]
      [0.]
      [0.]
      [0.]]
b =  0
[[0.5 0.5 0.5 0.5 0.5 0.5 0.5 0.5 0.5 0.5]]
```


二维点云分类

#生成一组2维随机样本

n = 200

x1 = np.random.normal([[1],[1]],0.8,(2,n))

x0 = np.random.normal([[-1],[-1]],0.8,(2,n))

x = np.hstack((x0,x1))

y = np.hstack((np.zeros((n,)),np.ones((n,))))

x_train,y_train,x_test,y_test = splitData(x,y)

lr = SigmoidNeuron(in_features = 2)

lr.fit(x_train,y_train,lr=1)

#计算训练误差:

err = lr.evaluate(x_train,y_train)

print('training error = %.2f%%'%(err*100))

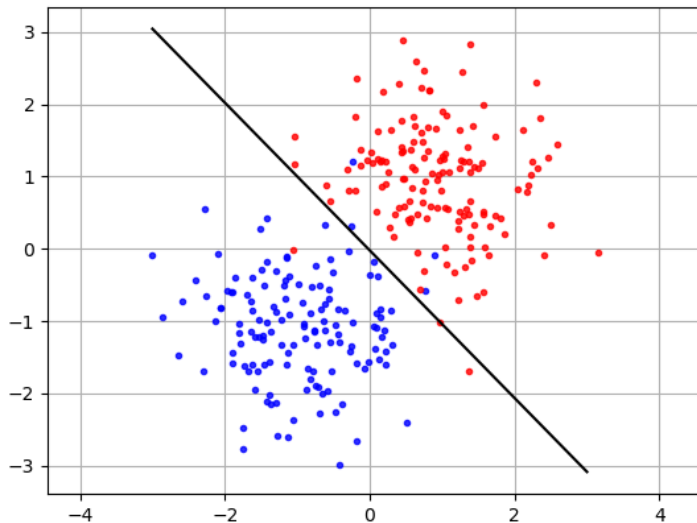
#计算测试误差

err = lr.evaluate(x_test,y_test)

print('test error = %.2f%%'%(err*100))

training error = 2.14%

test error = 2.50%



MNIST 0-1分类

```
def testMNIST():
    data = pd.read_csv('data/MNIST/mnist_test.csv').to_numpy().astype(np.float32)
    y,x = data[:,0],data[:,1:]
    zero_ones = y<2
    x = x[zero_ones,:].T
    y = y[zero_ones]

    x = 2*x/255 - 1

    x_train,y_train,x_test,y_test = splitData(x,y)

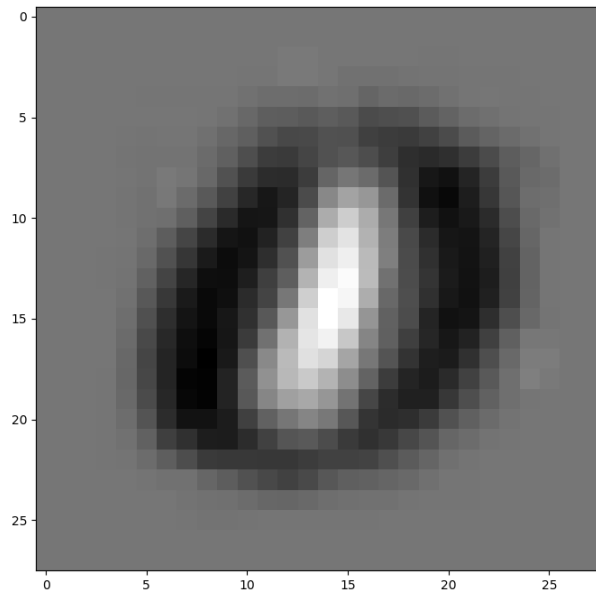
    lr = SigmoidNeuron(in_features = x_train.shape[0])
    lr.fit(x_train,y_train,lr=1)

    #计算训练误差:
    err = lr.evaluate(x_train,y_train)
    print('training error = %.2f%%'%(err*100))

    #计算测试误差
    err = lr.evaluate(x_test,y_test)
    print('test error = %.2f%%'%(err*100))
```

training error = 0.00%

test error = 0.00%



乳腺癌细胞识别

Wisconsin Diagnostic Breast Cancer, WDBC数据:

baohan 569个样本（恶性212，良性357），每个样本有三十个特征。

```
import pandas as pd
df = pd.read_csv('data/breast-cancer-wisconsin/wdbc.data')
data = np.vstack((df.columns.to_numpy(),df.to_numpy()))
print(data.shape)
print(data[0])

(569, 32)
['842302' 'M' '17.99' '10.38' '122.8' '1001' '0.1184' '0.2776' '0.3001'
 '0.1471' '0.2419' '0.07871' '1.095' '0.9053' '8.589' '153.4' '0.006399'
 '0.04904' '0.05373' '0.01587' '0.03003' '0.006193' '25.38' '17.33'
 '184.6' '2019' '0.1622' '0.6656' '0.7119' '0.2654' '0.4601' '0.1189']
```

乳腺癌细胞识别

```
y = np.where(data[:,1]=='M',1.0,0.0).T  
x = data[:,2:].astype(np.float32).T
```

#归一化到[-1,1]区间

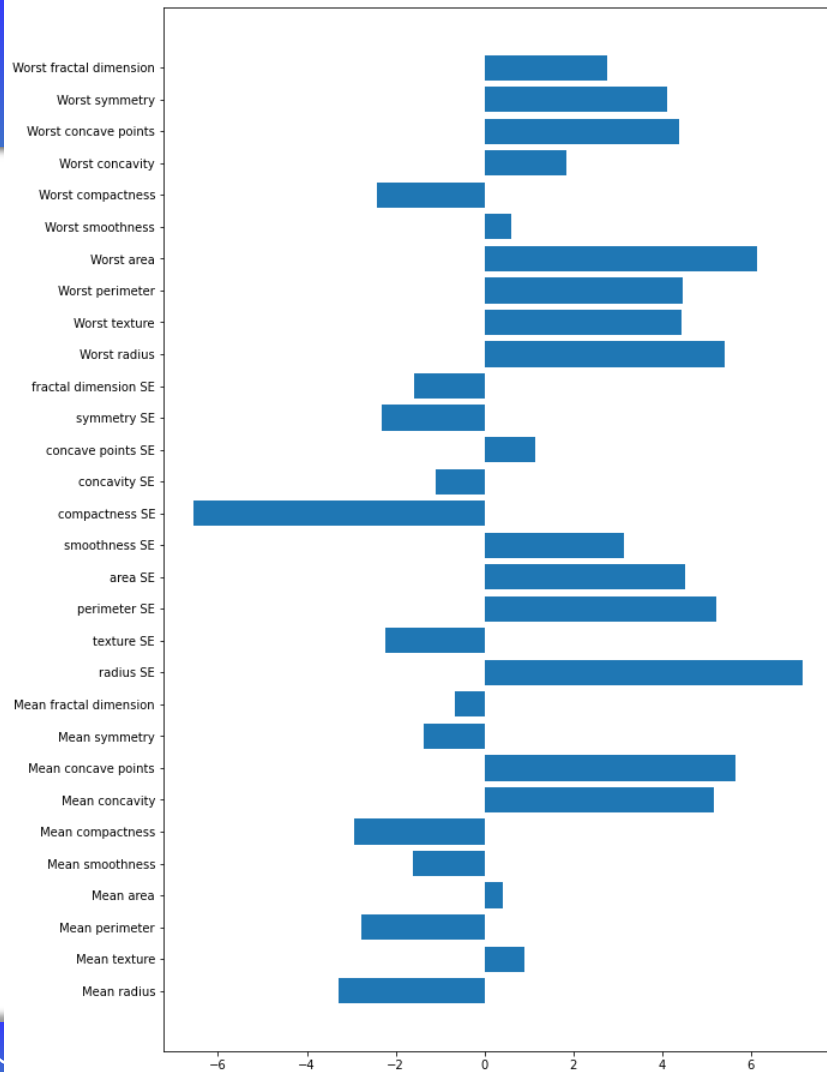
```
maxV = x.max(axis = 1, keepdims = True)  
minV= x.min(axis = 1, keepdims = True)  
x = 2*(x - minV) / (maxV - minV)
```

```
lr = SigmoidNeuron(in_features = x.shape[0])  
lr.fit(x_train,y_train, lr = 1)
```

```
err = lr.evaluateErrorRate(x_train,y_train)  
print('training error = %.2f%%'%(err*100))
```

```
err = lr.evaluateErrorRate(x_test,y_test)  
print('test error = %.2f%%'%(err*100))
```

training error = 1.26%
test error = 1.75%



练习

- 1.编写一个用于线性回归的神经元模型，并用于拟合以下线性方程： $y = 2x + 1$ 。请根据该方程生成带噪声的训练样本，用于训练你编写的模型。
- 2. 用上面的神经元模型，拟合以下多项式方程： $y = x^3 + 2x^2 + x - 1$ 。请根据该方程生成带噪声的训练样本，用于训练你编写的模型。
- 3.编写一个Softmax回归器模型，并用于识别MNIST手写体数字。手写体数字样本见mnist_train.csv, mnist_test.csv。