

Support technologies

Symbolic execution

Symbolic execution for SIMP

Assertions for program analysis

JML Dafny

Theme 5. Support technologies

Programming Languages Technologies and Paradigms (LTP)

DSIC, ETSInf

Support

Symboli

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Assertions for program analysis

Main goals

- Basic knowledge about semantics-based techniques for program analysis, testing and verification
- Understanding the impact of support technologies in software development
- Identifying the basic ingredients in the automation of software processes

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Motivation

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Developing good software

- Using tools and technologies for automatic support of some processes is essential to guarantee the quality of developed software
- Two main strategies can be considered:
 - Prevention: invest in a good design; use analysis, testing, and debugging techniques from the beginning, etc.
 - Correction: errors and malfunctions are detected and then corrected by using formal methods and analysis, verification, testing, and debugging techniques.

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Developing good software

- Using tools and technologies for automatic support of some processes is essential to guarantee the quality of developed software
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 - Prevention: invest in a good design; use analysis, testing, and debugging techniques from the beginning, etc.
 - Correction: errors and malfunctions are detected and then corrected by using formal methods and analysis, verification, testing, and debugging techniques.

Learn more...

ISW (3A) and/or the Software Engineering branch (MFI, AVD, ...)

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Support tools and technologies for software development:

- Rely on the semantics of the programming languages
- Can be *static* (compilation time) or *dynamic*
- Can be formal methods or not
- Can be automatic or semiautomatic

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Applications

- Check the system for correctness (it fulfils user's requirements),
- Guarantee the absence of unexpected runtime errors
- Analyze system's efficiency (execution time, resource consumption, etc.),
- Automatic code optimization,
- . . .

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Examples (Software testing)

Software testing

- Main goal: detect possible bugs in code
 - The absence of failures is not guaranteed
- The program is executed on a test set
 - It is not exhaustive
- The challenge: test set design
 - there are methods for the automatic generation of test sets, for instance by using symbolic execution

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Examples (Software testing)

Software testing step-by-step

- · Test set design
- Execution of the program on the test sets
- Evaluating the result
 - If an error is found: correct and test again
 - No error detected: more tests required?
- Managing test sets

Test set design is the costly step

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Examples (Static analysis)

Static program analysis

Techniques to predict *in compilation-time* the dynamic (i.e., runtime) behavior of the program.

- Undecidable problem: absolute precision is incompatible with effectiveness.
- Semantics-based

Used in

- Compiler optimization
- Verification
- Integrated Development Environments (IDEs)

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Examples (Static analysis)

Static program analysis

- Example: constant propagation
- Goal: code optimization

```
y:=4;
x:=1;
while (y>x) do
   (z := y;
        x := y*y);
x:=z
```

Analysis:

For each instruction: have the variables used in this program point a constant value?

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Examples (Static analysis)

Static program analysis

- Example: constant propagation
- · Goal: code optimization

```
y:=4;
x:=1;
while (y>x) do
   (z := y;
        x := y*y);
x:=z
```

- variable y is constant in the loop
- variable x is NOT constant in the loop

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Examples (Static analysis)

Static program analysis

- Example: constant propagation
- · Goal: code optimization

```
y:=4;
x:=1;
while (4>x) do
(z:=4;
x:=4*4);
```

 optimize the code to avoid memory accesses and wasteful computations Assertions for program analysis JML

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Examples (Debugging)

Debugging

- Main goal: bug detection
- Main approaches:
 - handcrafted: tracers, print debugging
 - directed: algorithmic debugging
 - automatic: assertions, abstract debugging

The usual approach is handcrafted or semi-automatic debugging

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Symbolic execution

Many support tools rely on *symbolic execution*, which is based on the *small-step* semantics of the language.

Idea

- Symbolic values are used as inputs so that
- a tree is built to represent all possible program executions,
- each execution *path* is given appropriate *conditions* to be fulfilled by the inputs in order to follow such a path

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Symbolic vs concrete execution Example

Let X1 and X2 be input parameters for program

if
$$X1 > X2$$
 then $X1 := X1 - X2$
else $X2 := X2 - X1$

Concrete execution. Possible traces:

$$\langle \mathbf{if} \dots, \{X1 \mapsto 3, X2 \mapsto 6\} \rangle \to \dots \to \langle \checkmark, \{X1 \mapsto 3, X2 \mapsto 3\} \rangle$$

$$\langle \mathbf{if} \dots, \{X1 \mapsto 3, X2 \mapsto 8\} \rangle \to \dots \to \langle \checkmark, \{X1 \mapsto 3, X2 \mapsto 5\} \rangle$$

$$\langle \mathbf{if} \dots, \{X1 \mapsto 4, X2 \mapsto 2\} \rangle \to \dots \to \langle \checkmark, \{X1 \mapsto 2, X2 \mapsto 2\} \rangle$$

$$\vdots$$

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Symbolic vs concrete execution Example

Let X1 and X2 be input parameters for program

if
$$X1 > X2$$
 then $X1 := X1 - X2$
else $X2 := X2 - X1$

• Symbolic execution Symbolic values ree:

path condition

$$\langle \textbf{if } X1 \rangle X2 \dots, \{X1 \mapsto ?X1, X2 \mapsto ?X2\}, \textbf{true} \rangle$$

$$\langle X1 := X1 - X2, \{X1 \mapsto ?X1, X2 \mapsto ?X2\}, ?X1 \rangle ?X2 \rangle$$

$$\langle \checkmark, \{X1 \mapsto ?X1 - ?X2, X2 \mapsto ?X2\}, ?X1 \rangle ?X2 \rangle$$

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Symbolic vs concrete execution

Let X1 and X2 be input parameters for program

if
$$X1 > X2$$
 then $X1 := X1 - X2$
else $X2 := X2 - X1$

Symbolic execution. Execution tree:

$$\langle \text{if } X1 > X2 \dots, \{X1 \mapsto ?X1, X2 \mapsto ?X2\}, \text{true} \rangle$$
 $\langle X2 := X2 - X1, \{X1 \mapsto ?X1, X2 \mapsto ?X2\}, ?X1 \le ?X2 \rangle$
 $\langle X1 := X1 - X2, \{X1 \mapsto ?X1, X2 \mapsto ?X2\}, ?X1 > ?X2 \rangle$
 $\langle \checkmark, \{X1 \mapsto ?X1, X2 \mapsto ?X2\}, ?X1 > ?X2 \rangle$
 $\langle \checkmark, \{X1 \mapsto ?X1 - ?X2, X2 \mapsto ?X2\}, ?X1 > ?X2 \rangle$

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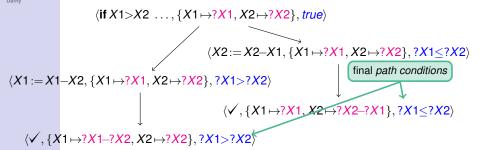
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Symbolic vs concrete execution Example

Let X1 and X2 be input parameters for program

if
$$X1 > X2$$
 then $X1 := X1 - X2$
else $X2 := X2 - X1$

Symbolic execution. Execution tree:



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Symbolic execution for SIMP

A configuration of the concrete machine is a pair:

$$\langle \textit{instr}, s \rangle$$

- a state s is a mapping from variables to values, e.g., $\{X \mapsto 0, Y \mapsto 5\}.$
- A configuration of the symbolic machine is a triple:

$$\langle instr, ss, pc \rangle$$

- a symbolic state ss is a mapping from variables to symbolic expressions, e.g., $\{X \mapsto ?X, Y \mapsto ?X+?Y\}$.
- A path condition pc is a boolean condition on the initial symbolic values of the input parameters.

analysis

Symbolic operational semantics for SIMP

- Similar to the *small-step* semantics for SIMP (Theme 2)
- Initial state: a symbolic value (beginning with '?') is given to each input variable
- When reaching a forking point (e.g., a conditional or loop), the guard is conjoined to the corresponding path condition

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Symbolic operational semantics

Evaluation of symbolic expressions

· Evaluation of expressions:

 $\langle a, ss \rangle \Rightarrow sexp$ represents the symbolic evaluation of arithmetic expression a.

The evaluation of subexpressions in *a* is attempted and their (symbolic) values are then used to obtain the symbolic value of *a*.

Any concrete value is used to further simplify the expression.

Examples:

- $\langle X + Y, \{X \mapsto ?X, Y \mapsto 3\} \rangle \Rightarrow ?X + 3$
- $\langle X + Y, \{X \mapsto 5, Y \mapsto 3\} \rangle \Rightarrow 8$
- $\langle (X * X) + (Y * Y), \{X \mapsto ?X, Y \mapsto 3\} \rangle \Rightarrow (?X * ?X) + 9$

Symbolic execution

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Symbolic operational semantics Execution rules

• Sequence:

$$egin{aligned} \overline{\langle \checkmark; \emph{i}_{1}, \emph{ss}, \emph{p}
angle} & \rightarrow \langle \emph{i}_{1}, \emph{ss}, \emph{p}
angle \ & \langle \emph{i}_{0}, \emph{ss}, \emph{p}
angle} & \rightarrow \langle \emph{i}'_{0}, \emph{ss}', \emph{p}'
angle \ & \langle \emph{i}_{0}; \emph{i}_{1}, \emph{ss}, \emph{p}
angle} & \rightarrow \langle \emph{i}'_{0}; \emph{i}_{1}, \emph{ss}', \emph{p}'
angle \end{aligned}$$

Assignment:

$$\frac{\langle \textit{a}, \textit{ss} \rangle \Rightarrow \textit{sexp}}{\langle \textit{X} := \textit{a}, \textit{ss}, \textit{p} \rangle \rightarrow \langle \checkmark, \textit{ss}[\textit{X} \mapsto \textit{sexp}], \textit{p} \rangle}$$

In general, the computed result in *sexp* is a symbolic expression rather than a value.

Symbolic

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Symbolic operational semantics Execution rules

 Conditional: A fork is introduced in the tree by using the following two rules:

$$egin{array}{ll} \langle b,ss
angle \Rightarrow sb \ \hline \langle ext{if } b ext{ then } i_0 ext{ else } i_1,ss,
ho
angle
ightarrow \langle i_0,ss,
ho\wedge sb
angle \ \hline \langle b,ss
angle \Rightarrow sb \ \hline \langle ext{if } b ext{ then } i_0 ext{ else } i_1,ss,
ho
angle
ightarrow \langle i_1,ss,
ho\wedge \neg sb
angle \end{array}$$

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Symbolic operational semantics Execution rules

 Conditional: A fork is introduced in the tree by using the following two rules:

$$egin{array}{ll} \langle b,ss
angle\Rightarrow sb \ \hline \langle ext{if } b ext{ then } i_0 ext{ else } i_1,ss,p
angle
ightarrow \langle i_0,ss,p\wedge sb
angle \ \hline \langle b,ss
angle\Rightarrow sb \ \hline \langle ext{if } b ext{ then } i_0 ext{ else } i_1,ss,p
angle
ightarrow \langle i_1,ss,p\wedge \neg sb
angle \end{array}$$

 We can use a *logical engine* to check p ∧ sb (y p ∧ ¬sb) for satisfiability. In this way, we can *prune* unfeasible executions.

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Symbolic operational semantics Execution rules

 Loop: As for the conditional, the following rules are used to fork the tree.

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Symbolic operational semantics Execution rules

 Loop: As for the conditional, the following rules are used to fork the tree.

Warning!

The loop can make the symbolic execution tree infinite due to the possibility of infinitely many executions.

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Write the symbolic execution tree for this program:

if
$$X > 3$$
 then $(Y := 2; X := X - 2)$ else $Y = 6$

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Symbolic execution Application: test set generation

 Symbolic execution can be used to automatically generate test sets for program testing

Idea

- 1 If the tree is infinite, we bound it. Two main approaches:
 - Put limits to the depth of the tree, or
 - · Put limits to the iterations of the loop
- 2 The path conditions are obtained from the leaves of the tree; we call them **final** path conditions
- 3 Use a logical engine to define the *test sets* as *values* for the variables that satisfy each path condition

Symbolic execution

Application: test set generation

collic patients (if
$$X1 > X2$$
 ..., $\{X1 \mapsto ?X1, X2 \mapsto ?X2\}$, $true$)

Table execution in the execution in the

 If values for ?X1 and ?X2 satisfying the final path conditions are found, we can use them to define test sets for the corresponding paths.

> Case 1: ?X1 = 2, ?X2 = 1Case 2: ?X1 = 2, ?X2 = 2

 $\langle \checkmark, \{X1 \mapsto ?X1 - ?X2, X2 \mapsto ?X2\}, ?X1 > ?X2 \rangle$

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Symbolic execution

Application: test set generation

Automation is important:

- We save development time
- Defining the test sets can be costly
- This is the reason why there are tools to automatically generate test sets using symbolic execution

Example

Define test sets to cover all (feasible) execution paths

if
$$(X1 >= X2)\&\&(X3 < X2 + X1)$$

then if $(X1 < 256)$ then $X1 := X2/(X1 - X2)$
else $X1 := 7$

else X1 := 0

Assertions analysis

Testing support tools

Based on symbolic execution

- Klee: a project of the University of Illinois at Urbana-Champaign (UIUC)
 - test set generation
 - for C
 - https://klee.github.io/
 - installed in all computers at DSIC labs (linux version)
 - Demo available here:

```
https://poliformat.upv.es/access/content/group/GRA
11557 2015/TEORIA/Tema%205/media/klee-small.mp4
```

- Java Pathfinder: a NASA project with many functionalities, in particular:
 - test set generation (JUnit format)
 - for Java
 - http://babelfish.arc.nasa.gov/trac/jpf
 - Demo available here:

https://poliformat.upv.es/access/content/group/GRA_ 11557_2015/TEORIA/Tema%205/media/jpf-small.mp4

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Other tools

Based on symbolic execution

- KeY: Analysis tool for Java
 - JML annotations are used
 - Deduction based on symbolic execution
 - Test set generation
 - Debugger based on symbolic execution
 - http://www.key-project.org/

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Testing support tools

Relying on other technologies

- PEX: Microsoft's tool
 - Automatic test set generation
 - For .NET
 - http://research.microsoft.com/en-us/projects/pex/
- QuickCheck: Developed in Chalmers University, then exported to other languages and business models
 - Random generation based on property specifications
 - for Haskell, then adapted to other languages like C, Java, JavaScript, Erlang, etc. (see

https://en.wikipedia.org/wiki/QuickCheck)

https://hackage.haskell.org/package/QuickCheck

Analysis of program properties

Specification and properties

Program analysis and verification always concern the specification of a *property*. Examples of properties:

- Hoare triples in axiomatic semantics establish properties on the basis of the pre- and postconditions
- for concurrent (and/or reactive) programs, properties like deadlock freedom or the need for a machine to react on an event like pressing a button can be specified
- methods to check specific properties (e.g., the absence of null pointers or divisions-by-zero) can be implemented

Assertions for program analysis

Analysis of program properties Analysis techniques

There are several approaches to program analysis. Two important examples:

- Model checking: properties concerning the temporal behavior of programs are specified. For instance, is it possible for a program to run into a deadlock? The analysis checks whether one such property holds for the program.
- By adding assertions (i.e., formulas of some logic) in the code, we can use a number of tools to check in compilation (or execution) time whether the assertions are violated.

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Analysis of program properties Analysis techniques

There are several approaches to program analysis. Two important examples:

- Model checking: properties concerning the temporal behavior of programs are specified. For instance, is it possible for a program to run into a deadlock? The analysis checks whether one such property holds for the program.
 - Learn more: MFI (IS branch)
- By adding assertions (i.e., formulas of some logic) in the code, we can use a number of tools to check in compilation (or execution) time whether the assertions are violated.
 - See the two forthcoming examples

Symboli

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Analysis of program properties

Use of assertions

- Remind: analysis should rely on a formal semantics
- With an axiomatic semantics we can
 - analyze program properties
 - use information about these properties to improve other analysis, verification or testing techniques

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JML: Java Modeling Language

- It is a notation that can be used in several tools. These tools can be
 - dynamic (runtime assertion checking):
 - runtime checking: jmlc
 (http://www.dc.fi.udc.es/ai/tp/practica/
 jml/JML/docs/man/jmlc.html)
 - test set generation: jmlunit (http://www.eecs.ucf.edu/~leavens/ JML-release/docs/man/jmlunit.html)
 - static (static verification):
 - assertion checking: ESC/Java2 (http://kindsoftware.com/products/opensource/ESCJava2/),
 - based on the weakest precondition calculus: JACK (http://www-sop.inria.fr/everest/soft/ Jack/jack.html),
 - deductive verification: Krakatoa (http://krakatoa.lri.fr/)

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JML: Java Modeling Language

 Properties related with concepts like aliasing, inheritance, side effects, etc. can be specified.

JML annotations are introduced as special comments in Java programs:

```
//@ <JML specification>
/*@ <JML specification> @*/
```

for SIMP

Assertions
for program

for program analysis

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JML: Java Modeling Language Notation

keyword	use
requires	precondition
ensures	postcondition
assert	assertion
pure	the method introduces no side effect
invariant	class invariant
loop_invariant	loop invariant
signals	postcondition when exception
signals_only	possible exceptions given a precondition
assignable	attributes that can be modified by methods
also	combine specifications
spec_public	makes a variable public (to the spec.)

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JML: Java Modeling Language

Available expressions:

expression	meaning
\result	value returned by the method
\old(<expression>)</expression>	value of the expression when
_	entering the method
a ==> b	implication
a <== b	b implies a
a <==> b	if and only if

Besides, universal and existential quantification:

```
expression
(\forall <decl>; <range-exp>; <body-exp>;)
(\exists <decl>; <range-exp>; <body-exp>;)
```

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JML: Java Modeling Language Example 1

```
public class TickTockClock {
  ...some code here ...
  //@ protected invariant 0<=second && second<=59;
  protected int second;
  ...some code here ...
  //@ ensures 0 <= \result;</pre>
  //@ ensures \result <= 59;
  public /*@ pure @*/ int getSecond() {
    return second;
```

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JML: Java Modeling Language Example 2

```
public class BankingExample {
 public static final int MAX_BALANCE = 1000;
 private int balance;
 //@ private invariant balance >= 0 && balance <= MAX BALANCE;
 //@ ensures balance == 0:
 public BankingExample() { balance = 0; }
 //@ requires 0<amount && amount + balance<MAX_BALANCE;</pre>
 public void credit(int amount) { balance += amount; }
 //@ requires 0<amount && amount <= balance;
 public void debit(int amount) { balance -= amount; }
```

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Dafny: analysis within .NET

A JML-like notation + analysis

- · Tool for the static analysis of programs
- A specification language for assertions, preconditions, postconditions,... is used
- Specifications are used for verification

Specific of Dafny

- It is a hybrid language: functional and object-oriented
- Executed as part of the compiler
- Programmers interact with the tool to modify the program
 - similar to type or error correction during an IDE interaction session
- web version available; there also is a standalone version to be used within Visual Studio

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Dafny: analysis within .NET

Some constructs:

keyword	use
requires	precondition
ensures	postcondition
modifies	modifiable element
assert	assertion

expression	meaning
multiset	manages a set of values
old(exp)	initial value of exp in a method
predicate	defines a predicate
forall	universal quantification
exists	existential quantification
if [thenelse]	conditional

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Dafny: analysis within .NET Example

```
var x: int;
var y: int;
var tmp: int;
method Swap()
  modifies this;
  ensures x==old(y) && y==old(x);
  { tmp := x;
    x := y;
    y := tmp;
}
```

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