

# What constrains food webs? A maximum entropy framework for predicting their structure with minimal biases

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**Abstract:** Food webs are complex ecological networks whose structure is both ecologically and statistically constrained, with many network properties being correlated with each other. Despite the recognition of these invariable relationships in food webs, the use of the principle of maximum entropy (MaxEnt) in network ecology is still rare. This is surprising considering that MaxEnt is a renowned and rigorous statistical tool precisely designed for understanding and predicting many different types of constrained systems. Precisely, this principle asserts that the least-biased probability distribution of a system's property, constrained by prior knowledge about that system, is the one with maximum information entropy. MaxEnt has been proven useful in many ecological modelling problems, but its application in food webs and other ecological networks is limited. Here we show how MaxEnt can be used to derive many food-web properties both analytically and heuristically. First, we show how the joint degree distribution (the joint probability distribution of the numbers of prey and predators for each species in the network) can be derived analytically using the number of species and the number of interactions in food webs. Second, we present a heuristic and flexible approach of finding a network's adjacency matrix (the network's representation in matrix format) based on simulated annealing and SVD entropy. We built two heuristic models using the connectance and the joint degree sequence as statistical constraints, respectively. We compared both models' predictions against corresponding null and neutral models commonly used in network ecology using open access data of terrestrial and aquatic food webs sampled globally ( $N = 257$ ). We found that the heuristic model constrained by the joint degree sequence was a good predictor of many measures of food-web structure, especially the nestedness and motifs distribution. Specifically, our results suggest that the structure of terrestrial and aquatic food webs is mainly driven by their joint degree distribution.

**Keywords:**  
ecological modelling  
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food webs  
maximum entropy  
null models

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## Introduction

**1.1. The constrained structure of ecological networks** A variety of measures of the structure of ecological networks have been used to describe the organization of species inter-

actions in a biological community (Delmas et al. 2019). These measures provide valuable information on the functioning of ecosystems and their responses to environmental change (e.g., Pascual and Dunne 2006; Gómez, Perfectti, and Jordano 2011). For instance, Bascompte et al. (2003) suggest that plant–pollinator and seed-disperser networks have a highly nested structure that can promote species persistence. Another example, in food webs, shows that a high connectance can promote the robustness of the system to species lost (Dunne, Williams, and Martinez 2002). However, despite the growing literature on the ecological implications of network structure, the association between many of these measures impedes our ability to fully understand what drives the structure and behavior of ecological networks. In particular, nestedness and modularity are strongly associated in ecological networks (Fortuna et al. 2010), and network connectance has been shown to be an important driver of many other emerging network properties (Timothée Poisot and Gravel 2014). In light of these observations, it is difficult to assess whether attributed effects of given properties are the artifacts of other, perhaps simpler, measures.

One way to tackle this issue is first to recognize that food webs and other ecological networks are constrained systems. In other words, the space of possible network configurations shrinks as we know more about a network structure. For example, there is a finite number of networks with specified numbers of nodes and edges. Indeed, the structure of ecological networks is first and above all constrained by the number of species, or nodes, present. Food webs with high species richness typically have a lower connectance (MacDonald, Banville, and Poisot 2020) than smaller networks. This is because the number of realized interactions in empirical food webs scales slower than the number of possible species pairs (MacDonald, Banville, and Poisot 2020). As shown by Timothée Poisot and Gravel (2014), connectance itself can constrain different aspects of network structure such as the degree distribution (i.e. the probability distribution of the number of interspecific interactions realized by a species). Other measures, such as the maximum trophic level, can also constrain the space of feasible networks.

Prior knowledge on the structure of ecological networks is thus especially useful in the current context of data scarcity about species interactions (Jordano 2016). Indeed, this Eltonian shortfall (Hortal et al. 2015) can be partially alleviated using known information about an ecological network. As suggested by Strydom et al. (2021), network structure can be used to improve the prediction of pairwise species interactions when data is lacking by constraining the space of feasible networks. Similarly, partial knowledge on the structure of an ecological network can also be used to predict others of its properties by constraining their range of possible values. This is important given that many aspects of network structure cannot be measured empirically without data on pairwise species interactions, a prevailing situation worldwide (Timothée Poisot et al. 2021).

Understanding the ecological constraints that shape species interactions networks and predicting their emerging structure are thus two complementary aims of network ecology. This distinction between understanding and predicting is essential when using statistical and mathematical models in network ecology and interpreting them. On one hand, null models help us identify potential ecological mechanisms that drive species interactions and constrain ecological networks. Null models generate a distribution for a target measure using a set of rules that exclude the mechanism of interest (Fortuna and Bascompte 2006; Delmas et al. 2019). The deviation between the model and empirical data helps us evaluate the effect of this ecological process in nature. On the other hand, predictive models can help fill many gaps on species interactions data. A variety of such models have recently been developed using machine learning and other statistical tools, most of which are presented in Strydom et al. (2021). However, given the constrained nature of ecological networks, it is surprising that the principle of maximum entropy, a rigorous mathematical method designed for both the analysis and prediction of constrained systems, has been barely used in network ecology.

**1.2. The principle of maximum entropy: A primer for ecologists** The principle of maximum entropy (MaxEnt) is a mathematical method of finding probability distributions, strongly rooted in statistical mechanics and information theory (Jaynes 1957a, 1957b; Harremoës and Topsøe 2001). Starting from a set of constraints given by prior knowledge of a system (i.e. what we call state variables), this method helps us find least-biased probability distributions subject to the constraints. These probability distributions are guaranteed to be unique given our prior knowledge and represent the most we can say about a system without making more assumptions. For example, if the only thing we know about a biological community is its average number of individuals per species, the least-biased inference we could make on its species abundance distribution is the exponential distribution (Frank and Smith 2011; Harte and Newman 2014). However, this does not imply that this distribution will be the best fit to empirical data. The challenge is to find the right set of constraints that would best reproduce distributions found in nature.

MaxEnt states that the least-biased probability distribution given the constraints used is the one with the highest entropy among all probability distributions that satisfy these constraints. Entropy is a measure of the average amount of information given by the outcome of a random variable. Many measures of entropy have been developed in physics (Beck 2009), but only a fraction of them could be used as an optimization measure with the principle of maximum entropy. According to Beck (2009) and Khinchin (2013), a measure of entropy  $H$  should satisfy four properties in the discrete case: (1) it should be a function of a probability distribution  $p(n)$  only; (2) it should be maximized when  $p(n)$  is uniform; (3) it should not be influenced by outcomes with a null probability; and (4) it should be independent of the order of information acquisition. The Shannon's entropy (Shannon 1948)

$$H = - \sum_n p(n) \log p(n) \quad (1)$$

satisfies all of these properties. Finding the probability distribution  $p(n)$  that maximizes  $H$  under a set of  $m$  constraints  $g$  can be done using the method of Lagrange multipliers. These constraints could include one or many properties of the probability distribution (e.g., its mean, variance, and range). However, the normalization constraint always need to be included in  $g$  in order to make sure that  $p(n)$  sums to 1. The objective is then to find the values of the Lagrange multipliers  $\lambda_i$  that optimize a function  $F$ :

$$F = H - \sum_{i=1}^m \lambda_i (g_i - c_i), \quad (2)$$

where  $g_i$  is the mathematical formulation of the constraint  $i$  and  $c_i$ , its value. Note that  $F$  is just Shannon's entropy to which we added terms that each sums to zero ( $g_i = c_i$ ).  $F$  is maximized by setting to 0 its partial derivative with respect to  $p(n)$ .

The principle of maximum entropy has been used in a wide range of disciplines, from thermodynamics, chemistry and biology (Martyushev and Seleznev 2006) to graph and network theory (e.g., Park and Newman 2004; van der Hoorn, Lippner, and Krioukov 2018). It has also been proven useful in ecology, e.g. in species distribution models (Phillips, Anderson, and Schapire 2006) and macroecological models (Harte et al. 2008; Harte and Newman 2014). In network ecology, MaxEnt has been used to predict the degree distribution of bipartite networks from the number of species and the number of interactions (Williams 2011) and to predict interaction strengths between species pairs using their relative abundances within an optimal transportation theory regularized with information entropy (Stock, Poisot, and De Baets 2021). However, to the best of our knowledge, MaxEnt has never been used to predict food-web structure directly, even though food webs are among the most documented and widespread ecological networks (Ings et al. 2009).

Food-web properties that can be derived using MaxEnt are varied and pertain to different elements of the network (i.e. at the species (node), the interaction (edge) or the community (network) levels). Because MaxEnt is a method of finding least-biased probability distributions given partial knowledge about a system, these properties need to be represented probabilistically. For example, at the species level, MaxEnt can be used to predict the distribution of trophic levels among species, as well as the distribution of species' vulnerability (number of predators) and generality (number of preys). By contrast, at the interaction level, predictions can be made on the distribution of interaction strengths in weighted food webs. At the community level, it can generate probability distributions of many measures of their emerging structure and of networks themselves (i.e. a probability distribution that specific network configurations are realized given the model and constraints). Overall, the potential of this method in the study of food webs is broad. The applicability and performance of MaxEnt mostly depend on the ecological information available and on our capacity to find the right set of state variables that best represent natural systems and to translate them into appropriate statistical constraints. Having a validated maximum entropy model for the system at hand allows us to make least-biased predictions using a minimal amount of data, as well as identify the most important ecological processes shaping that system. In other words, MaxEnt is a valuable and underexploited tool in network ecology that allows us to better understand and predict the structure of ecological networks.

**1.3. Analytical and heuristic approaches** In this contribution, we used two complementary approaches to predict the structure of food webs using the principle of maximum entropy. The first approach consists in deriving constrained probability distributions of given network properties analytically, whereas the second approach consists in finding the adjacency matrix of maximum entropy heuristically, from which network properties can be measured. We compared our predictions against empirical data and null and neutral models commonly used in network ecology. We focus on deterministic and unweighted (Boolean) food webs in both approaches for data availability reasons. However, our framework can be applied to all types of ecological networks and a wide variety of measures.

For the first approach (analytic), we focus on species level properties. Specifically, we derived the joint degree distribution (i.e. the joint probability distribution that a species has a given number of prey and predators in its network) of maximum entropy using only the number of species  $S$  and the number of interactions  $L$  as state variables. Then, we predicted the degree distribution of maximum entropy directly from the joint degree distribution since the first is the sum of the marginal distributions of the second. Because of the scarcity of empirical data on the number of interactions in food webs, we present a method to predict  $L$  from  $S$  (Box 1), thus allowing the prediction of the joint degree distribution from  $S$  solely.

For the second approach (heuristic), we focus on network level properties. We used a flexible and heuristic model based on simulated annealing (an optimization algorithm) to find the network configuration *close* to maximum entropy and measured its structure. We developed this heuristic model because the analytical derivation of a maximum entropy graph model of food webs is difficult, and because this model is readily applicable to other types of ecological networks and measures. Indeed, the mathematical representation of food webs (i.e. directed simple graphs frequently having self-loops) makes the optimization of maximum entropy graph models more complicated than with many other types of (non-ecological) networks. In other words, deriving a probability distribution on the graphs themselves is difficult when working with food webs. We built two types of heuristic MaxEnt models depending on the constraint used. Our type I MaxEnt model uses the connectance of the network (i.e. the ratio  $L/S^2$ ) as a constraint, whereas our type II MaxEnt model uses the whole joint degree sequence as a constraint.

## Data and code

We tested our analytical and heuristic MaxEnt models against open food-web data queried from three different sources and integrated into what we call our *complete dataset*. These sources include (1) terrestrial and aquatic food webs sampled globally and archived on the ecological interactions database Mangal; (2) freshwater stream food webs from New Zealand; and (3) aquatic food webs from Tuesday Lake (Michigan, United States). First, all food webs archived on mangal.io (Timothée Poisot et al. 2016; Banville, Vissault, and Poisot 2021) were directly queried from the database ( $N = 235$ ). Most ecological networks archived on Mangal are multi-layer networks, i.e. networks that describe different types of interactions. We kept all networks whose interactions were mainly of predation and herbivory types, and removed the largest network ( $S = 714$ ) for computational efficiency reasons. Then, to this set we added food webs from two different sources: the New Zealand dataset ( $N = 21$ ; Pomeranz et al. 2018) and the Tuesday Lake dataset ( $N = 2$ ; Cohen, Jonsson, and Carpenter 2003). Our complete dataset thus contained a total of 257 food webs. Of the New Zealand and Tuesday Lake datasets, 19 networks had data on species' relative abundances that were used in the neutral model (Box 2). These 19 food webs constitute our *abundance dataset*, which is a subset of our complete dataset.

All code and data to reproduce this article are available at the Open Science Framework. Data cleaning, simulations and analyses were conducted in Julia v1.6.1.

## 3

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### Analytical maximum entropy models

Our analytical approach is the most common way to use and develop maximum entropy models. As shown above, starting from a defined set of constraints, a mathematical expression for the target distribution is derived using the method of Lagrange multipliers. The derived distribution of maximum entropy is unique and least biased given the constraints used. Although we refer to this approach as analytic, finding the values of the Lagrange multipliers usually requires the use of numerical methods. Here we use MaxEnt to derive two species level properties in food webs: the joint degree distribution and the degree distribution. The degree distribution has driven the attention of ecologists because of its role in determining the assembly of ecological networks (Vázquez 2005), shaping their emerging structure (Fortuna et al. 2010), and understanding interaction mechanisms (Williams 2011). As noted above, although the degree distribution of maximum entropy has already been derived in bipartite networks (Williams 2011), we show in much greater details its mathematical derivation in food webs. But first, we derive the joint degree distribution, a related property that holds significantly more ecological information than the degree distribution.

**3.1. Joint degree distribution** The joint degree distribution  $p(k_{in}, k_{out})$  of a food web with  $S$  species is a joint discrete probability distribution describing the probability that a species has  $k_{in}$  predators and  $k_{out}$  preys, with  $k_{in}$  and  $k_{out} \in [0, S]$ . Basal species (e.g., plants) have a  $k_{out}$  of 0, whereas top predators have a  $k_{in}$  of 0. In contrast, the maximum number of preys and predators a species can have is set by the number of species in the food web. Here we show how the joint degree distribution of maximum entropy can be obtained given knowledge of the number of species  $S$  and the number of interactions  $L$ .

We want to maximize Shannon's entropy

$$H = - \sum_{k_{in}=0}^S \sum_{k_{out}=0}^S p(k_{in}, k_{out}) \log p(k_{in}, k_{out}) \quad (3)$$

subject to the following constraints:

$$g_1 = \sum_{k_{in}=0}^S \sum_{k_{out}=0}^S p(k_{in}, k_{out}) = 1; \quad (4)$$

$$g_2 = \sum_{k_{in}=0}^S \sum_{k_{out}=0}^S k_{in} p(k_{in}, k_{out}) = \langle k_{in} \rangle = \frac{L}{S}; \quad (5)$$

$$g_3 = \sum_{k_{in}=0}^S \sum_{k_{out}=0}^S k_{out} p(k_{in}, k_{out}) = \langle k_{out} \rangle = \frac{L}{S}. \quad (6)$$

The first constraint  $g_1$  is our normalizing constraint, whereas the other two ( $g_2$  and  $g_3$ ) fix the average of the marginal distributions of  $k_{in}$  and  $k_{out}$  to the linkage density  $L/S$ . It is important to notice that  $\langle k_{in} \rangle = \langle k_{out} \rangle$  because every edge is associated to a predator and a prey. Therefore, without using any further constraints, we would expect the joint degree distribution of maximum entropy to be a symmetric probability distribution with regards to  $k_{in}$  and  $k_{out}$ . However, this does not mean that the joint degree *sequence* will be symmetric, since the joint degree sequence is essentially a random realization of its probabilistic counterpart.

The joint probability distribution of maximum entropy given these constraints is found using the method of Lagrange multipliers. To do so, we seek to maximize the following expression:

$$F = H - \lambda_1(g_1 - 1) - \lambda_2 \left( g_2 - \frac{L}{S} \right) - \lambda_3 \left( g_3 - \frac{L}{S} \right), \quad (7)$$

where  $\lambda_1$ ,  $\lambda_2$ , and  $\lambda_3$  are the Lagrange multipliers. The probability distribution that maximizes entropy is obtained by finding these values. As pointed out above,  $F$  is just Shannon's entropy to which we added terms that each sums to zero (our constraints).  $F$  is maximized by setting to 0 its partial derivative with respect to  $p(k_{in}, k_{out})$ . Because the derivative of a constant is zero, this gives us:

$$\frac{\partial H}{\partial p(k_{in}, k_{out})} = \lambda_1 \frac{\partial g_1}{\partial p(k_{in}, k_{out})} + \lambda_2 \frac{\partial g_2}{\partial p(k_{in}, k_{out})} + \lambda_3 \frac{\partial g_3}{\partial p(k_{in}, k_{out})}. \quad (8)$$

Evaluating the partial derivatives with respect to  $p(k_{in}, k_{out})$ , we obtain:

$$-\log p(k_{in}, k_{out}) - 1 = \lambda_1 + \lambda_2 k_{in} + \lambda_3 k_{out}. \quad (9)$$

Then, solving eq. 9 for  $p(k_{in}, k_{out})$ , we obtain:

$$p(k_{in}, k_{out}) = \frac{e^{-\lambda_2 k_{in} - \lambda_3 k_{out}}}{Z}, \quad (10)$$

where  $Z = e^{1+\lambda_1}$  is called the partition function. The partition function ensures that probabilities sum to 1 (our normalization constraint). It can be expressed in terms of  $\lambda_2$  and  $\lambda_3$  as follows:

$$Z = \sum_{k_{in}=0}^S \sum_{k_{out}=0}^S e^{-\lambda_2 k_{in} - \lambda_3 k_{out}}. \quad (11)$$

After substituting  $p(k_{in}, k_{out})$  in eq. 5 and eq. 6, we get a nonlinear system of two equations and two unknowns:

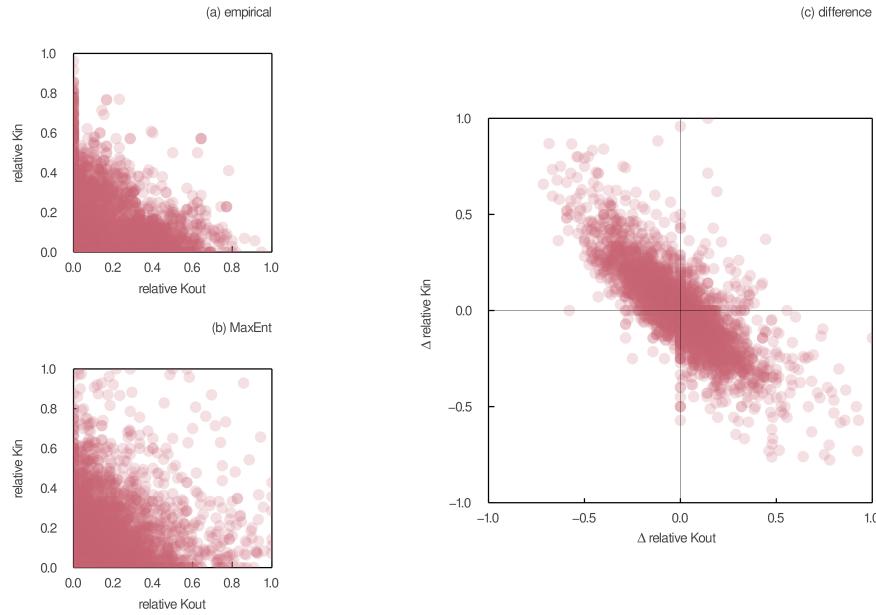
$$\frac{1}{Z} \sum_{k_{in}=0}^S \sum_{k_{out}=0}^S k_{in} e^{-\lambda_2 k_{in} - \lambda_3 k_{out}} = \frac{L}{S}; \quad (12)$$

$$\frac{1}{Z} \sum_{k_{in}=0}^S \sum_{k_{out}=0}^S k_{out} e^{-\lambda_2 k_{in} - \lambda_3 k_{out}} = \frac{L}{S}. \quad (13)$$

We solved eq. 12 and eq. 13 numerically using the Julia library JuMP.jl v0.21.8 (Dunning, Huchette, and Lubin 2017). JuMP.jl supports nonlinear optimization problems by providing exact second derivatives that increase the accuracy and performance of its solvers. The estimated values of  $\lambda_2$  and  $\lambda_3$  can be substituted in eq. 10 to have a more workable expression for the joint degree distribution.

We assessed the empirical support of this expression using all food webs in our complete dataset. First, we predicted the joint degree distribution of maximum entropy for each of these food webs, i.e. using their number of species and number of interactions as state variables. Then, we sampled one realization of the joint degree sequence for each network using the probabilities given by the joint degree distribution of maximum entropy, while fixing the total number of interactions. This gave us a random realization of the number of prey and predators for each species in each network. We standardized the predicted  $k_{out}$  and  $k_{in}$  of each species by the total number of species in their network to generate relative values, which can be compared across networks. In fig. 1 (left panels), we show the relationship between these relative  $k_{out}$  and  $k_{in}$  obtained from the joint degree distributions of maximum entropy (bottom panel) and this relationship using empirical values (top panel). We observe that our model predicts higher values of generality and vulnerability compared to empirical food webs (i.e. relative values of  $k_{out}$  and  $k_{in}$  both closer to 1) for many species. In other words, our model predicts that species that have many predators also have more prey than what is observed empirically (and conversely). This is not surprising, given that our model did not include biological factors preventing generalist predators from having many prey. Nevertheless, with the exception of these generalist species, MaxEnt adequately predicts that most species have low generality and vulnerability values.

Examining the difference between predicted and empirical values for each species gives a slightly different perspective (right panel of fig. 1). To do so, we must first associate each of our predictions to a specific species in a network in order to make that comparison. Indeed, our predicted joint degree sequences have the same number of species (elements) as their empirical counterparts, but they are species agnostic. In other words, instead of predicting a value for each species directly, we predicted the entire joint degree sequence without taking into account species' identity. The challenge is thus to adequately associate predictions with empirical data. In fig. 1, we present these differences when species are ordered by their total degree in their respective networks (i.e. by the sum of their in and out-degrees). This means that the species with the highest total degree in its network will be associated with the highest prediction, and so forth. Doing so, we see that species predicted to have a higher number of predators than what is observed generally have a lower number of prey than what is observed (and conversely). This is because the difference in total degree ( $k_{out} + k_{in}$ ) between predictions and empirical data is minimized when species are ranked by their total degree (i.e. the average deviation of the sum of relative  $k_{out}$  and  $k_{in}$  is close to 0 across all species). This result thus shows that the difference between predicted and empirical total degrees is low for most species when ordered by their total degrees. There are no apparent biases towards in or out degrees. In fig. S1, we show how these differences change when species are instead ordered by their out-degrees (left panel) and in-degrees (right panel), respectively.



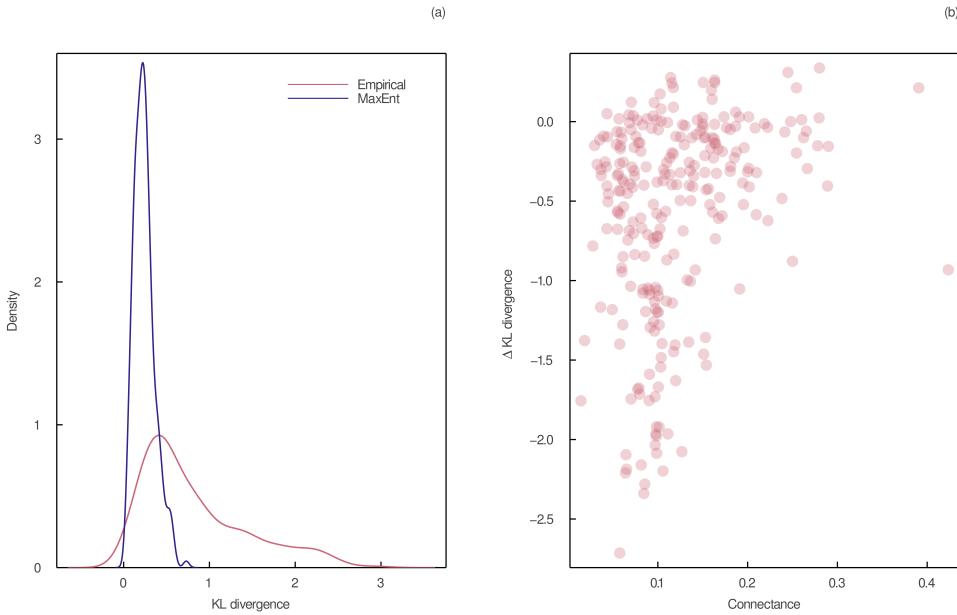
**Figure 1** Relative number of predators ( $k_{in}$ ) as a function of their relative number of prey ( $k_{out}$ ) for each species in (a) empirical and (b) joint degree sequences obtained from the analytical MaxEnt model. Empirical networks include most food webs archived on Mangal, as well as the New Zealand and Tuesday Lake datasets (our complete dataset). The predicted joint degree sequences were obtained after sampling one realization of the joint degree distribution of maximum entropy for each network, while keeping the total number of interactions constant. (c) Difference between predicted and empirical values when species are ordered according to their total degrees. In each panel, each dot corresponds to a single species in one of the networks.

Another way to evaluate the empirical support of the predicted joint degree sequences is to compare their shape with the ones of empirical food webs. We can describe the shape of a joint degree sequence by comparing its marginal distributions with one another. To do so, we calculated the Kullback–Leibler (KL) divergence between the in and out-degree sequences sampled from the joint degree distribution of maximum entropy. Similarly, we calculated the divergence between the in and out-degree sequences obtained empirically. This allows us to compare the symmetry of empirical and predicted joint degree sequences (left panel of fig. 2). As we expected, our model predicts more similar in-degree and out-degree sequences than empirical data (shown by lower KL divergence values). However, this difference decreases with connectance (right panel of fig. 2). This might be due to the fact that food webs with a low connectance are harder to predict than food webs with a high connectance. Indeed, in low connectance systems, what makes two species interact might be more important for prediction than in high connectance systems, in which what prevents species from interacting might be more meaningful. This implies that more ecological information might be needed in food webs with a low connectance because more ecological processes determine interactions compared to non-interactions. Therefore, other ecological constraints might be needed to account for the asymmetry of the joint degree distribution, especially for networks with a lower connectance. However, our MaxEnt model was able to capture quite well the shape of the joint degree sequence for networks having a high connectance.

**3.2. Degree distribution** The degree distribution  $p(k)$  represents the probability that a species has  $k$  interactions in a food web, with  $k = k_{in} + k_{out}$ . It can thus be directly obtained from the joint degree distribution:

$$p(k) = \sum_{i=0}^k p(k_{in} = k - i, k_{out} = i).$$

In fig. S2, we show that the degree distribution of maximum entropy, given  $S$  and  $L$ , predicts very low probabilities that a species will be isolated in its food web (i.e. having  $k = 0$ ). As MacDonald, Banville, and Poisot (2020) pointed out, the size of food webs should at least be



**Figure 2** (a) Probability density of KL divergence between in and out-degree sequences of empirical and predicted joint degree sequences. (b) Difference between the KL divergence of empirical and predicted joint degree sequences as a function of connectance. In both panels, empirical networks include most food webs archived on Mangan, as well as the New Zealand and Tuesday Lake datasets (our complete dataset). The predicted joint degree sequences were obtained after sampling one realization of the joint degree distribution of maximum entropy for each network, while keeping the total number of interactions constant.

of  $S - 1$  interactions, since a lower number would yield isolated species, i.e. species without any predators or preys. Our results show that, under our purely information-theoretic model, the probability that a species is isolated is quite high when the total number of interactions is below this threshold. The expected proportion of isolated species rapidly declines by orders of magnitude with increasing numbers of species and interactions.

The degree distribution could also have been obtained directly using the principle of maximum entropy, as discussed in Williams (2011). This gives the following distribution:

$$p(k) = \frac{e^{-\lambda_2 k}}{Z}, \quad (14)$$

with  $Z = \sum_{k=0}^S e^{-\lambda_2 k}$ .

This can be solved numerically using the constraint of the average degree  $\langle k \rangle = \frac{2L}{S}$  of a species. Note that the mean degree is twice the value of the linkage density, because every link must be counted twice when we add in and out-degrees together.

$$\frac{1}{Z} \sum_{k=0}^S k e^{-\lambda_2 k} = \frac{2L}{S} \quad (15)$$

The numerical solution is identical to the one we obtained using the joint degree distribution as an intermediate. Ecologists wanting to model a system without considering isolated species could simply change the lower limit of  $k$  to 1 and solve the resulting equation numerically.

In this section, we showed how important measures of food-web structure, namely the degree distribution and the joint degree distribution, could be derived with the principle of maximum entropy using minimal knowledge on a biological community. This type of models, although useful to make least-biased predictions on many network properties, can be hard to apply for other measures. Indeed, there are dozens of measures of network structure (Delmas et al. 2019) and many are not calculated with mathematical equations, but with algorithms. Moreover, the

applicability of this method to empirical systems is limited by the state variables we can actually measure and use. In the next section, we propose a more flexible method to predict many measures of network structure simultaneously, i.e. by finding heuristically the configuration of the network having maximum entropy given partial knowledge of its emerging structure.

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## 4

### **Box 1 - Working with predicted numbers of interactions**

Our models need information on the number of species and the number of interactions. However, since the later is rarely estimated empirically, ecologists might need to use predictive methods to estimate the total number of interactions in a food web.

We used the flexible links model of MacDonald, Banville, and Poisot (2020) to predict the number of interactions from the number of species. The flexible links model, in contrast to other predictive models of the number of interactions, incorporates meaningful ecological constraints into the prediction of  $L$ , namely the minimum  $S - 1$  and maximum  $S^2$  numbers of interactions in food webs. It estimates the proportion of the  $S^2 - (S - 1)$  *flexible links* that are realized. More precisely, this model states that the number of *realized* flexible links (or interactions)  $L_{FL}$  in a food web represents the number of realized interactions above the minimum (i.e.  $L = L_{FL} + S - 1$ ) and is obtained from a beta-binomial distribution with  $S^2 - (S - 1)$  trials and parameters  $\alpha = \mu e^\phi$  and  $\beta = (1 - \mu)e^\phi$ :

$$L_{FL} \sim \text{BB}(S^2 - (S - 1), \mu e^\phi, (1 - \mu)e^\phi), \quad (16)$$

where  $\mu$  is the average probability across food webs that a flexible link is realized, and  $\phi$  is the concentration parameter around  $\mu$ .

We fitted the flexible links model on all food webs in our complete dataset, and estimated the parameters of eq. 16 using a Hamiltonian Monte Carlo sampler with static trajectory (1 chain and 3000 iterations):

$$[\mu, \phi | \mathbf{L}, \mathbf{S}] \propto \prod_{i=1}^m \text{BB}(L_i - (S_i - 1) | S_i^2 - (S_i - 1)), \mu e^\phi, (1 - \mu)e^\phi \times \text{B}(\mu | 3, 7) \times \mathcal{N}(\phi | 3, 0.5), \quad (17)$$

where  $m$  is the number of food webs ( $m = 257$ ) and  $\mathbf{L}$  and  $\mathbf{S}$  are respectively the vectors of their numbers of interactions and numbers of species. Our weakly-informative prior distributions were chosen following MacDonald, Banville, and Poisot (2020), i.e. a beta distribution for  $\mu$  and a normal distribution for  $\phi$ . The Monte Carlo sampling of the posterior distribution was conducted using the Julia library Turing v0.15.12.

The flexible links model is a generative model, i.e. it can generate plausible values of the predicted variable. We thus simulated 1000 values of  $L$  for different values of  $S$  using the joint posterior distribution of our model parameters (eq. 17), and calculated the mean degree for each simulated values. The resulting distributions are shown in the left panel of fig. 3 for three different values of species richness. In the right panel of fig. 3, we show how the probability distribution for the mean degree constraints can be used to generate a distribution of maximum entropy degree distributions, since each simulated value of mean degree generates a different maximum entropy degree distribution (eq. 14 and eq. 15).

## Box 2 - Corresponding null and neutral models

**5.1. Null models (types I and II)** Our heuristic MaxEnt models, predicting adjacency matrices, were compared with two topological null models. The first is the type I null model of Fortuna and Bascompte (2006), in which the probability that a species  $i$  predares on another species  $j$  is given by

$$p(i \rightarrow j) = \frac{L}{S^2}. \quad (18)$$

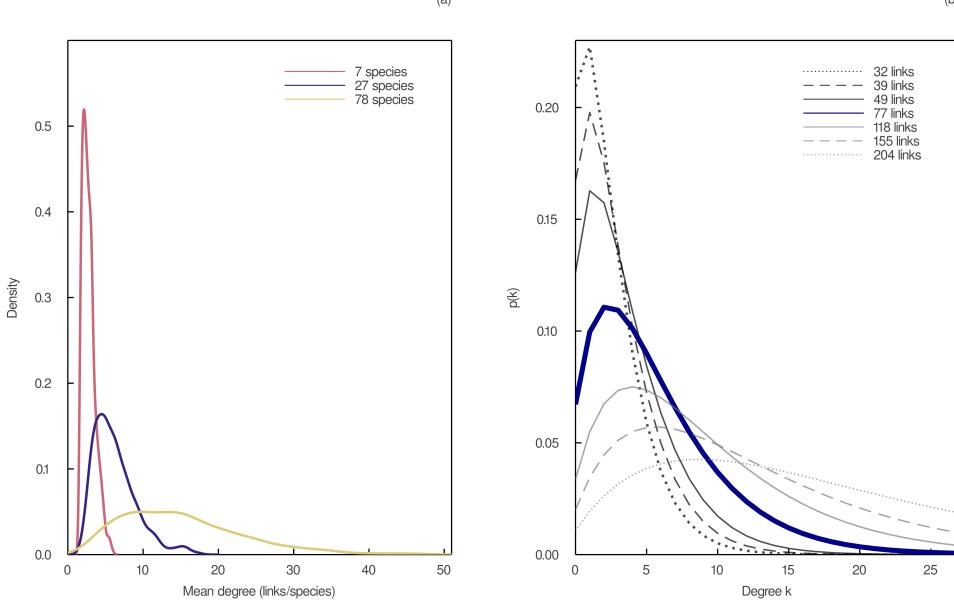
The second is the type II null model of Bascompte et al. (2003), in which the probability of interaction is instead given by

$$p(i \rightarrow j) = \frac{1}{2} \left( \frac{k_{in}(j)}{S} + \frac{k_{out}(i)}{S} \right), \quad (19)$$

where  $k_{in}$  and  $k_{out}$  are the in and out-degrees, respectively. The type I null model is based on connectance, whereas the type II null model is based on the joint degree sequence. Therefore, the type I and II topological null models correspond with our type I and II MaxEnt network models, respectively, since they use similar constraints.

We predicted both types of null networks for all empirical networks in our complete dataset ( $n = 257$ ). We converted all probabilistic networks to Boolean networks by generating 100 random Boolean networks for each of these probabilistic webs. Then, we counted the number of times each interaction was sampled, and kept the  $L$  entries that were drawn the most amount of time, with  $L$  given by the number of interactions in each food web. This ensured that the resulting null networks had the same number of interactions as their empirical counterparts.

**5.2. Neutral model** We also compared our MaxEnt network models with a neutral model of relative abundances, in which the probabilities of interaction are given by



**Figure 3** (a) Probability density of the predicted mean degree for different values of species richness. The number of interactions was predicted using the flexible links model fitted to all empirical networks in our complete dataset (eq. 17). (b) Degree distributions of maximum entropy for a network of  $S = 27$  species and different numbers of interactions  $L$ . The numbers of interactions correspond to the lower and upper bounds of the 67, 89, and 97 percentile intervals (PI), as well as the median (in blue), of the counterfactuals of the flexible links model. Each degree distribution was obtained using eq. 14 after solving numerically eq. 15 using different values for the mean degree constraint  $2L/S$ .

$$p(i \rightarrow j) \propto \frac{n_i}{N} \times \frac{n_j}{N}, \quad (20)$$

where  $n_i$  and  $n_j$  are the abundances (or biomass) of both species, and  $N$  is the total abundance (or biomass) of all species in the network. We predicted neutral abundance matrices for all empirical networks in our abundance dataset ( $n = 19$ ), and converted these weighted matrices to Boolean networks using an approach analogue to the one we used for our null models.

## 6

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### Heuristic maximum entropy models

**6.1. From Shannon's to SVD entropy** The principle of maximum entropy can be applied on the network itself if we decompose its adjacency matrix into a non-zero vector of relative values. This is a necessary step when working with food webs, which are frequently expressed as a matrix  $A = [a_{ij}]$  of Boolean values representing the presence ( $a_{ij} = 1$ ) or absence ( $a_{ij} = 0$ ) of an interaction between two species  $i$  and  $j$ . Knowing one or many properties of a food web of interest (e.g., its number of species and number of interactions), we can simulate its adjacency matrix randomly by using these known ecological information to constrain the space of potential networks. The entropy of this hypothetical matrix can then be measured after decomposing it into appropriate values. Simulating a series of networks until we find the one having the highest entropy allows us to search for the most complex food-web configuration given the ecological constraints used. This configuration is the least biased one considering the information we had. In other words, the most we can say about a network's adjacency matrix, without making more assumptions than the ones given by our incomplete knowledge of its structure, is the one of maximum entropy. Generating the most complex network that corresponds to this structure allows us to explore more easily other properties of food webs under MaxEnt.

Shannon's entropy can only be calculated on conventional probability distributions such as the joint degree distribution. This is an issue when working with ecological networks, which are represented as adjacency matrices. For this reason, we need to use another measure of entropy if we want to predict the network itself. We used the SVD entropy as our measure of entropy, which is an application of Shannon's entropy to the relative non-zero singular values of a truncated singular value decomposition (t-SVD; Strydom, Dalla Riva, and Poisot 2021) of a food web's Boolean adjacency matrix. We measured SVD entropy as follows:

$$J = - \sum_{i=1}^R s_i \log s_i, \quad (21)$$

where  $s_i$  are the relative singular values of the adjacency matrix ( $s_i = \sigma_i / \sum_{i=1}^R \sigma_i$ , where  $\sigma_i$  are the singular values). Note that the distribution of relative singular values is analogous to a probability distribution, with  $0 < s_i < 1$  and  $\sum s_i = 1$ . Thus, this measure also satisfies all four properties of an appropriate entropy measure (see introduction), while being a proper measure of the internal complexity of food webs (Strydom, Dalla Riva, and Poisot 2021). Following Strydom, Dalla Riva, and Poisot (2021), we standardized this measure with the rank  $R$  of the matrix (i.e.  $J / \ln(R)$ ) to account for the difference in dimensions between networks (*sensu* Pielou's evenness; Pielou 1975). We used SVD entropy to predict the network configuration of maximum entropy (i.e. of maximum complexity) heuristically given a predetermined set of constraints.

**6.2. MaxEnt network models (types I and II)** We built two types of MaxEnt network models: one based on connectance (type I MaxEnt network model) and the other based on the joint degree sequence (type II MaxEnt network model). They are based on the same constraints as the types I and II null models presented above. For both models, we used a simulated annealing algorithm with 4 chains, 2000 steps and an initial temperature of 0.2. For each chain, we first generated one random Boolean matrix with the same order (number of species) as empirical webs, while maintaining the total number of interactions (type I MaxEnt network model) or rows and columns sums (type II MaxEnt network model). These are our initial configurations. Then, we swapped interactions sequentially while maintaining the original connectance or the joint degree sequence for types I and II MaxEnt network model, respectively. Configurations with a higher SVD entropy than the previous one in the chain were always accepted, whereas they were accepted with a probability conditional to a decreasing temperature when lower. The final configuration with the highest SVD entropy among the four chains constitute our estimated MaxEnt network. Even though we decided to work with point estimates, it is possible to have a (non MaxEnt) probability distribution of networks when working with the entire chains (or part of the chains). For each network in our complete and abundance datasets, we estimated their configuration with maximum entropy using both types of MaxEnt network models.

**6.3. Structure of MaxEnt networks** We compared different measures of the structure of empirical food webs with the ones of null, neutral, and MaxEnt networks [tbl. 1](#), and [2](#). For instance, we measured the nestedness  $\rho$  according to Staniczenko, Kopp, and Allesina (2013), i.e. using the spectral radius of the adjacency matrix. Nestedness indicates how much the diet of specialist species is a subset of the one of generalists, and is strongly associated with network modularity (Fortuna et al. 2010). We also measured the maximum trophic level *maxtl*, network diameter *diam* (i.e. the longest of the shortest paths between all species pairs; Albert and Barabasi 2002), the average maximum similarity between species pairs *MxSim*, the proportion of cannibal species *Cannib* (i.e. the proportion of self loops), and the proportion of omnivorous species *Omniv* (i.e. species whose preys are of different trophic levels). *MxSim*, *Cannib*, and *Omniv* are more deeply defined in Williams and Martinez (2000).

Overall, we found that models based on the joint degree sequence (type II null and MaxEnt network models) reproduced the structure of empirical networks much better than the ones based on connectance and the neutral model of relative abundances. On average, the type II MaxEnt network model was better at predicting nestedness ( $0.62 \pm 0.08$ ) than its corresponding null model ( $0.73 \pm 0.05$ ; empirical networks:  $0.63 \pm 0.09$ ), as well as the proportion of cannibal species. This might in part be due because nestedness was calculated using the spectral radius of the adjacency matrix, which leverages information on the network itself just like the MaxEnt network models. However, the type II null model was better at predicting network diameter and average maximum similarity between species pairs. Predictions were similar between both type II models for the maximum trophic level and the proportion of omnivorous species.

**Table 1** Standardized mean differences of predicted network measures with empirical data for all networks ( $n = 257$ ). Positive (negative) values indicate that the measure is overestimated (underestimated) on average. Empirical networks are most food webs archived on Mangal, as well as the New Zealand and Tuesday Lake food webs (our complete dataset). Null 1: Type I null model based on connectance. MaxEnt 1: Type I MaxEnt network model based on connectance. Null 2: Type II null model based on the joint degree sequence. MaxEnt 2: Type II MaxEnt network model based on the joint degree sequence.  $\rho$ : nestedness measured by the spectral radius of the adjacency matrix. *maxtl*: maximum trophic level. *diam*: network diameter. *MxSim*: average maximum similarity between species pairs. *Cannib*: proportion of cannibal species (self loops). *Omniv*: proportion of omnivorous species. *entropy*: SVD entropy.

model	rho	maxtl	diam	MxSim	Cannib	Omniv	entropy
null 1	-0.167	0.980	1.428	-0.502	2.007	1.493	0.056

model	rho	maxtl	diam	MxSim	Cannib	Omniv	entropy
MaxEnt 1	-0.226	0.831	1.274	-0.524	1.982	1.863	0.106
null 2	0.160	-0.125	0.016	0.007	1.078	0.559	-0.023
MaxEnt 2	-0.015	0.178	0.565	-0.282	0.698	0.589	0.058

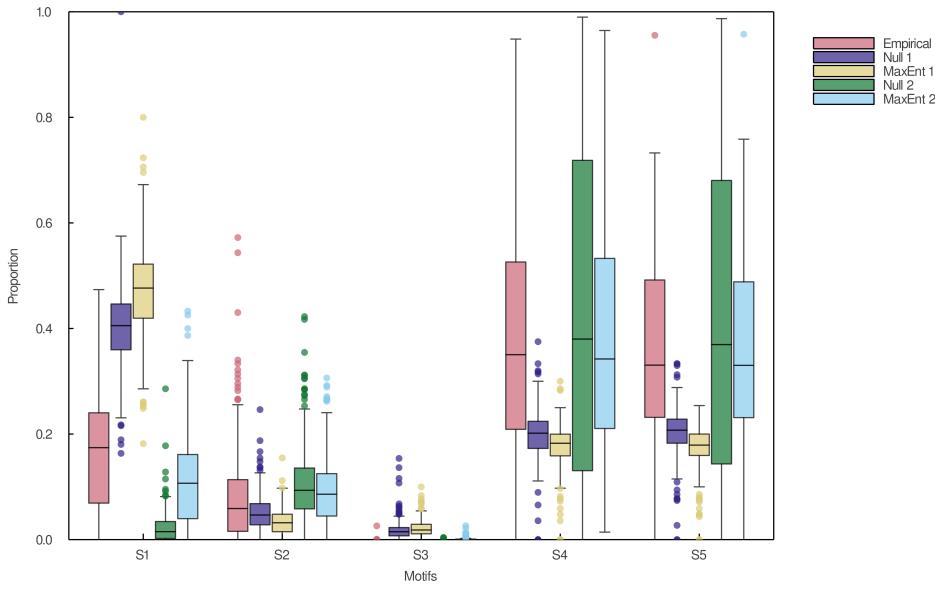
**Table 2** Standardized mean differences of predicted network measures with empirical data for networks having abundance data ( $n = 19$ ). Positive (negative) values indicate that the measure is overestimated (underestimated) on average. Empirical networks are all New Zealand and Tuesday Lake food webs with abundance data (our abundance dataset). Null 1: Type I null model based on connectance. MaxEnt 1: Type I MaxEnt network model based on connectance. Null 2: Type II null model based on the joint degree sequence. MaxEnt 2: Type II MaxEnt network model based on the joint degree sequence.  $\rho$ : nestedness measured by the spectral radius of the adjacency matrix. *maxtl*: maximum trophic level. *diam*: network diameter. *MxSim*: average maximum similarity between species pairs. *Cannib*: proportion of cannibal species (self loops). *Omniv*: proportion of omnivorous species. *entropy*: SVD entropy.

model	rho	maxtl	diam	MxSim	Cannib	Omniv	entropy
neutral	0.367	-0.090	0.027	0.266	6.870	0.576	-0.083
null 1	-0.134	0.950	1.919	-0.369	2.077	0.614	0.068
MaxEnt 1	-0.229	1.020	1.946	-0.355	2.215	0.801	0.121
null 2	0.128	-0.115	-0.135	0.157	1.444	0.029	-0.021
MaxEnt 2	-0.010	0.054	0.243	-0.062	-0.038	0.083	0.038

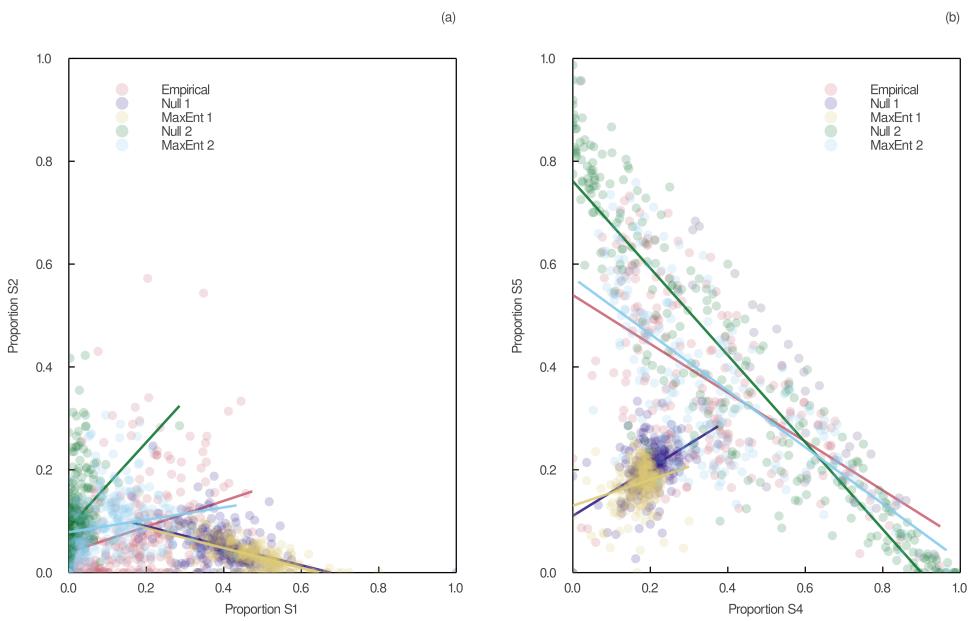
The picture slightly changes when we consider another important property of ecological networks, i.e. their motifs profile. We measured the proportion of three-species motifs, which can be considered as simple building blocks of ecological networks (Milo et al. 2002; Stouffer et al. 2007). Motifs are the backbone of complex ecological networks from which network structure is built upon and play a crucial role in community dynamics and assembly (Bastolla et al. 2009; Stouffer and Bascompte 2011). In fig. 4, we show that the motifs profile of networks generated using the type II MaxEnt network model was very close to the one of empirical data, and that they made better predictions than the type II null model. This is also shown in fig. 5, where we see that relationships between motifs pairs of empirical food webs are very similar to the ones of the type II MaxEnt networks. Overall, these results suggest that the type II MaxEnt network model can reproduce many aspects of network structure. This highlights the importance of the joint degree sequence in shaping ecological networks.

Moreover, we found that empirical networks are close to their maximum entropy considering a fixed joint degree sequence (fig. S3). Empirical networks had an SVD entropy of  $0.89 \pm 0.04$ , compared to type II MaxEnt networks which had values of  $0.94 \pm 0.03$ . The relationship between the SVD entropy of empirical food webs and their maximum entropy configuration is plotted in the last panel of fig. 6. We also found no relationship between the difference in SVD entropy between empirical and type II MaxEnt networks and species richness, the number of interactions, or connectance (fig. S4). Similarly, we found no correlation between the difference in SVD entropy and the Jaccard distance of empirical and type II MaxEnt networks.

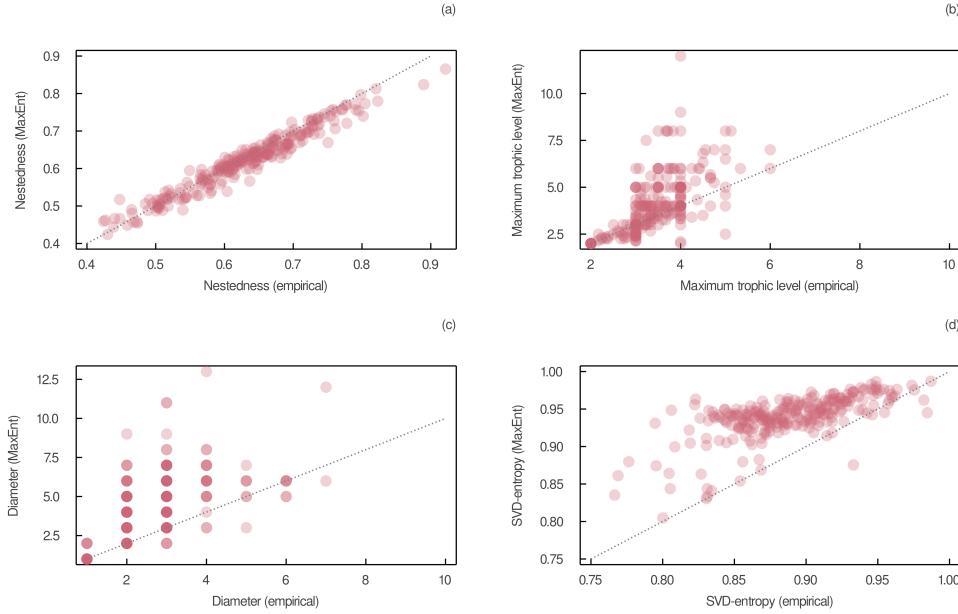
Finally, in fig. 6 we also show how well empirical measures are predicted using the type II MaxEnt network model. In accordance with our previous results, we found that nestedness was very well predicted by our model. However, the type II MaxEnt network model overestimated the maximum trophic level and network diameter, especially for networks with high empirical values. In fig. S5, we show that the pairwise relationships between these four measures and species richness in empirical food webs are similar (in magnitude and sign) to the ones in type II MaxEnt networks.



**Figure 4** Motifs profile of empirical, maximum entropy, and null food webs. Empirical networks include most food webs archived on Mangal, as well as the New Zealand and Tuesday Lake datasets (our complete dataset). Maximum entropy networks were estimated using a simulated annealing algorithm to find the network of maximum SVD entropy while maintaining the connectance (type I MaxEnt network model) or the joint degree sequence (type II MaxEnt network model). The predictions of the types I and II null models are also plotted. Boxplots display the median proportions of each motif (middle horizontal lines), as well as the first (bottom horizontal lines) and third (top horizontal lines) quartiles. Vertical lines encompass all data points that fall within 1.5 times the interquartile range from both quartiles, and dots are data points that fall outside this range. Motifs names are from Stouffer et al. (2007).



**Figure 5** Relationship between motifs proportions of empirical, maximum entropy, and null food webs. Empirical networks include most food webs archived on Mangal, as well as the New Zealand and Tuesday Lake datasets (our complete dataset). Maximum entropy networks were estimated using a simulated annealing algorithm to find the network of maximum SVD entropy while maintaining the connectance (type I MaxEnt network model) or the joint degree sequence (type II MaxEnt network model). The predictions of the types I and II null models are also plotted. Regression lines are plotted in each panel. Motifs names are from Stouffer et al. (2007).



**Figure 6** Relationship between the structure of empirical and maximum entropy food webs. Empirical networks include most food webs archived on Mangal, as well as the New Zealand and Tuesday Lake datasets (our complete dataset). Maximum entropy networks were estimated using a simulated annealing algorithm to find the network of maximum SVD entropy while maintaining the joint degree sequence (type II MaxEnt network model). (a) Nestedness (estimated using the spectral radius of the adjacency matrix), (b) the maximum trophic level, (c) the network diameter, and (d) the SVD entropy were measured on these empirical and maximum entropy food webs. The identity line is plotted in each panel.

## 7

### Discussion

The principle of maximum entropy is a robust mathematical method of finding least-biased probability distributions that have some desired properties given by prior knowledge on a system. We first used MaxEnt to predict the joint degree distribution and the degree distribution of maximum entropy given known numbers of species and of interactions. We found that the resulting joint degree distributions were more symmetric than the ones of empirical food webs, which suggests that other constraints might be needed to improve those predictions. We also used MaxEnt to predict networks of maximum entropy with a specified structure. These networks are the most complex, or random, given the constraints used. Likewise, we found that knowledge of species richness and of the number of interactions were not sufficient to reproduce many aspects of network structure found in nature. However, a model based on the entire joint degree sequence, i.e. on the number of preys and predators for each species, gave more convincing results. Our type II MaxEnt network model yielded better or similar predictions than the type II null model, also based on the joint degree sequence, for most measures considered including the motifs profile. This suggests that the joint degree sequence drives many aspects of network structure. Indeed, considering our findings that empirical networks are close to maximum entropy for a given joint degree sequence, our results suggest that food-web topology is configured almost entirely randomly around these marginal numbers of predators and preys.

Our results bring to the forefront the role of the joint degree distribution in shaping food-web structure. This echoes the work of Williams (2011), which shows using MaxEnt how the degree distribution can drive the structure of bipartite networks. Fortuna et al. (2010) also found that the degree distribution of ecological networks is associated with some of their emerging properties such as nestedness and modularity. Network ecologists tend to focus on several emerging properties of food webs (Delmas et al. 2019), although we show that food-web structure is very well predicted by the joint degree distribution. In the light of our results, there is a lot more ecological information in the difference between properties of empirical networks and MaxEnt predictions than in these properties themselves.

**7.1. Alternative MaxEnt models** There are different ways to generate food webs using MaxEnt. In this contribution, we used a method based on simulated annealing to find the network configuration with the highest SVD entropy while fixing some aspects of its structure. Another technique, also based on simulated annealing, could start by generating a food web randomly with fixed numbers of species and interactions and calculating its joint degree distribution. Pairs of interactions could be swapped sequentially until we minimize the divergence between the calculated joint degree distribution and the one of maximum entropy obtained analytically. In that case, this is the entropy of the joint degree distribution that would be maximized, not the one of the network's topology. To a certain extent, this method would thus bridge the gap between the two approaches presented in this article (i.e. analytical and heuristic MaxEnt models). More research is needed to compare the quality of different methods generating adjacency matrices of food webs using MaxEnt.

Another method generating adjacency matrices are maximum entropy graph models, which predict a probability distribution of networks under soft or hard constraints (e.g., Park and Newman 2004; Cimini et al. 2019). Under hard constraints, every network with a non-zero probability exactly satisfies the constraints on its structure. This is in contrast with soft constraints, which require that networks satisfy them on average (i.e. many networks with a non-zero probability do not have the exact structure set by the constraints). Maximum entropy graph models are helpful because they can provide probability distributions for many network properties, by measuring the structure of all adjacency matrices with a non-zero probability. However, we consider that our approach based on simulated annealing is more flexible and more computationally efficient. Indeed, many measures of food-web structure are hard to translate into mathematical constraints. Moreover, because food webs are directed networks with self-loops, it makes the mathematical derivation of maximum entropy graph models difficult. We believe that identifying heuristically what really constrains the topology of food webs is a useful first step before attempting to derive the mathematical formulation of a maximum entropy graph model adapted to food webs.

**7.2. Applications** Our method and results could be used for different purposes. First, they could be used as first-order approximations of network structure when only state variables are known. This could prove useful when predicting network structure at large spatial scales, where few ecological information is known at that scale. Second, they could be used as informative priors in Bayesian analyses of the structure of ecological networks (e.g., Cirtwill et al. 2019). Third, they could be used to make better predictions of pairwise species interactions by constraining the space of feasible networks, as discussed in Strydom et al. (2021). Finally, they could be used as alternative null models of ecological networks to better understand ecological mechanisms driving food-web structure. In that case, our model might need to be slightly adapted to give a probability distribution of Boolean networks (in contrast with point estimates of maximum entropy networks).

**7.3. Conclusion** One of the biggest challenges in using the principle of maximum entropy is to identify the set of state variables that best reproduce empirical data. We found that the numbers of preys and predators for each species are important state variables for the prediction of maximum entropy networks. However, our predictions overestimated some measures of network structure, especially the maximum trophic level and network diameter. Therefore, we should continue playing the ecological detective to find these other topological constraints that would improve the predictions of our MaxEnt network models.

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