#### CSci 4061

## Introduction to Operating Systems

OS Concepts and Structure

### Reading

Read Chapter 1 (R&R)

Opt:

Chapter 1 (MOS) or

Chapters 1 and 2 (S&G)

### The Kernel: core layer of the OS

- The kernel is a library of procedures shared by all user programs, but the kernel is protected:
  - User code cannot access internal kernel data structures (and associated code) directly
  - User code can invoke the kernel only at well-defined entry points, and these are? system calls
- Kernel code is like user code, but the kernel is privileged:
  - Kernel has direct access to all hardware, and handles interrupts and hardware exceptions
  - CPU is either executing OS code (kernel-mode) or your code (user-mode)

OS can be a mix of user-mode and kernel-mode

### Systems Programmer Viewpoint

- Systems programmer can use system calls directly (in assembly)
  - executed by the OS (i.e. kernel mode)
    identifies code
  - when efficiency demands it
  - assembly code: x86 "int" instruction, e.g. int 48

- Alternatively, language-specific libraries can be used to access system calls
  - C programming language libraries (libc.a)
  - **E.g.** read (...)

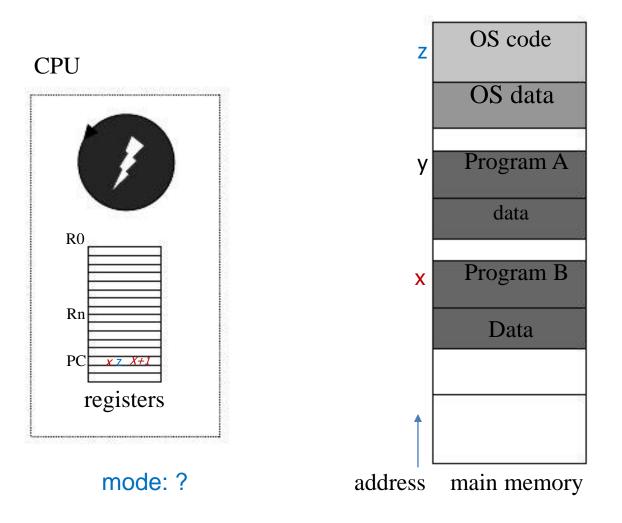
### Terminology Alert!

 I will often refer to low-level library calls as system calls

```
- e.g. read (...);
- becomes int #
```

 Library (or system calls) are not part of the C language

#### Running programs: memory and the CPU



Program B makes a system call System call completes

#### Let's Look At

## OS Concepts and **Abstractions**Above the Hardware

#### Abstraction

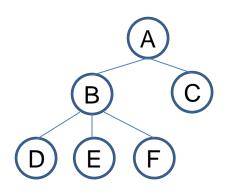
High-level construct

Useful, easy-to-use, understand

Hides lower-level details

PL: class or structure data-type

### Operating System Concepts: Process

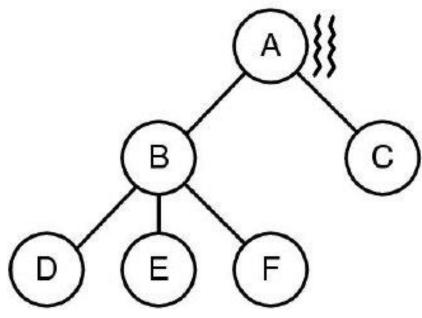


- Process is an executing program: container for computing resources (abstraction)
  - Process tree

What resources?

- A created two child processes, B and C
- B created three child processes, D, E, and F

# Operating System Concepts: Threads

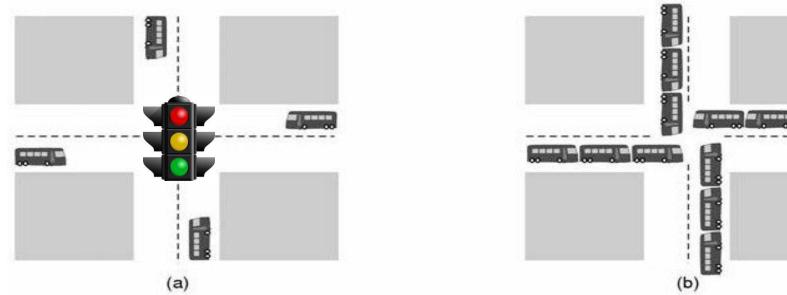


• A thread is an executing stream of instructions normally within a process main () {

i=2;

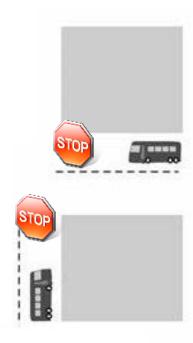
- A has two threads; share A's resources
- Every process has at least one thread
- Threads can also exist in the OS

## Operating System Concepts: Synchronization



- Concurrency (processes/threads run together) and shared resources can lead to problems:
  - (a) Race condition
  - (b) Deadlock
- Solution: Synchronization, e.g. case (a)?

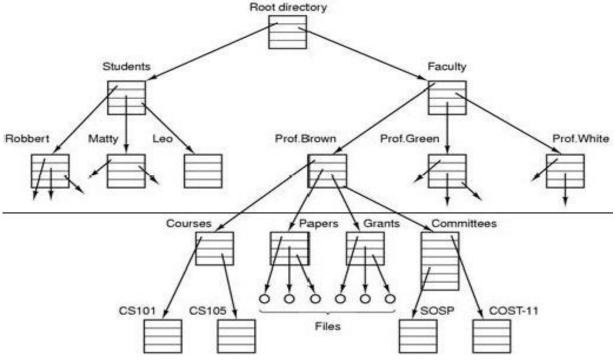
# Operating System Concepts: Synchronization Issues



Livelock! (aka "Minnesota Nice") No one makes progress

Deadlock/Livelock is often caused by poor use of synchronization

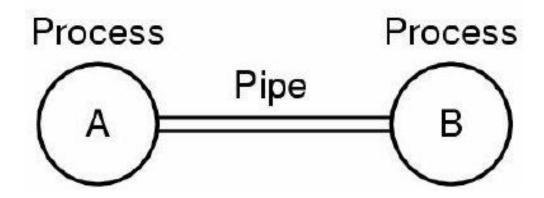
# Operating System Concepts: File system



Files/directories are an OS abstraction to make data storing and data sharing easier

What are they abstracting?

## Operating System Concepts: Communication



- Two processes connected by a "pipe", channel
- Processes need to communicate why?

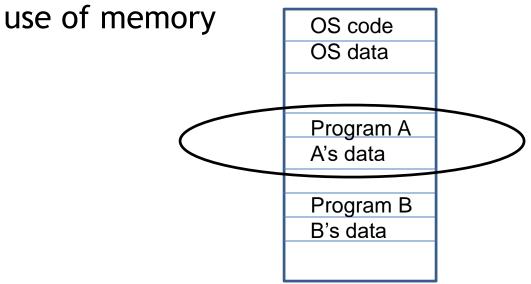
Decompose complex applications

Web browser- Web server X windows/X11 applications

## Operating System Concepts: Memory Management

- How is memory allocated to programs?
  - Largely an "inside" issue but ....

We will see how a program can make good use/bad



Abstraction = virtual memory

# Operating System Concepts: System Calls

- System calls are how user programs interact with the OS
  - Generally available as assembly-language instructions
  - C-Unix provides a library interface to system calls to avoid this messiness
  - e.g. read (...) gets compiled into the appropriate syscall linkage/assembly code
  - -a = read(b, c) VS. a = myfunc(d, e)
  - Key differences between these two calls?
    - How parameters are "passed", "address space" crossing
    - Performance

# Example: Some "System Calls" For File Management

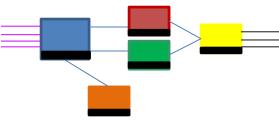
File management

Call	Description
fd = open(file, how,)	Open a file for reading, writing or both
s = close(fd)	Close an open file
n = read(fd, buffer, nbytes)	Read data from a file into a buffer
n = write(fd, buffer, nbytes)	Write data from a buffer into a file
position = lseek(fd, offset, whence)	Move the file pointer
s = stat(name, &buf)	Get a file's status information

In this course, we will use the term system call to refer to the C-Unix interface, e.g. open

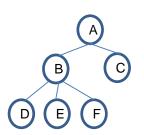
### **Systems Concepts**

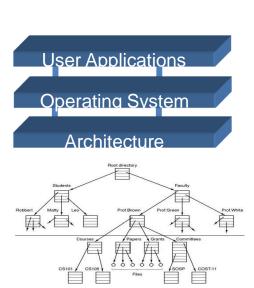
"systems": OS, Internet, ATC, ...



Granularity
Modularity
Abstraction

Layering
Hierarchy
Complexity





### Complexity?

- Different stakeholders => different metrics and requirements
  - Programmer => ease-of-problem-solving
  - End-user(s) => performance, ease-of-use
  - Owner (~ system) => fairness/priority,
    efficiency or utilization
  - Admin => security
  - OS Vendor => extensible, secure, reliable, ...

Tradeoff and conflict lead to complexity

#### Projects and Groups

- Group work repository
  - github.umn.edu
- IDE? Your favorite text editor, makefiles/gcc, gdb/ddd
- Standard 4061 environment
  - posted soon
- Group composition? Stay tuned.

#### This Weekend

- C/UNIX Refresh (or cram)
- 1. Edit and write a simple C program
- 2. Compile and run it
- 3. Look at a debugger such as DDD, GDB

#### **Next Time**

Programs and Processes in C and UNIX

Read Chapter 2,3 (R&R), opt: Chapter 2 (MOS) or Chapter 3 (S&G)

Have a great weekend

Recitation on Monday: must attend