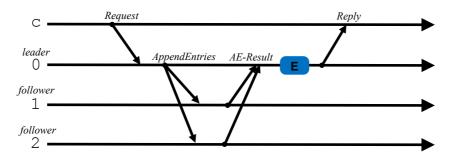
# **Raft Implementation**

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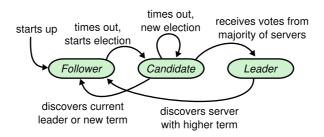
 D. Ongaro & J. Ousterhout. In Search of an Understandable Consensus Algorithm. USENIX ATC 2014.

## Raft

- A protocol designed to be easier to understand than Paxos
  - Designed around two RPC primitives
  - Information flows only from leaders to followers
- Normal case very efficient:



## **RAFT Server States**



- Each server runs in one of the three states described above
- The protocol can be summarized by the following five slides (Figure 2 of the paper)

State	
	te on all servers:
(Updated on stab	ble storage before responding to RPCs)
currentTerm	latest term server has seen (initialized to 0
	on first boot, increases monotonically)
votedFor	candidateId that received vote in current
	term (or null if none)
log[]	log entries; each entry contains command
	for state machine, and term when entry
	was received by leader (first index is 1)
Volatile state of	on all servers:
commitIndex	index of highest log entry known to be
	committed (initialized to 0, increases
	monotonically)
lastApplied	index of highest log entry applied to state
	machine (initialized to 0, increases
	monotonically)
Volatile state of	on leaders:
(Reinitialized aft	ter election)
nextIndex[]	for each server, index of the next log entry
	to send to that server (initialized to leader
	last log index + 1)
matchIndex[]	for each server, index of highest log entry
	known to be replicated on server
	(initialized to 0, increases monotonically)

### **AppendEntries RPC**

Invoked by leader to replicate log entries (§5.3); also used as heartbeat (§5.2).

#### **Arguments:**

term leader's term

leaderId so follower can redirect clients

new ones

prevLogTerm term of prevLogIndex entry

entries[] log entries to store (empty for heartbeat;

may send more than one for efficiency)

leaderCommit leader's commitIndex

Results:

term currentTerm, for leader to update itself success true if follower contained entry matching

prevLogIndex and prevLogTerm

#### Receiver implementation:

1. Reply false if term < currentTerm (§5.1)

- 2. Reply false if log doesn't contain an entry at prevLogIndex whose term matches prevLogTerm (§5.3)
- 3. If an existing entry conflicts with a new one (same index but different terms), delete the existing entry and all that follow it (§5.3)
- 4. Append any new entries not already in the log
- If leaderCommit > commitIndex, set commitIndex = min(leaderCommit, index of last new entry)

### RequestVote RPC

Invoked by candidates to gather votes (§5.2).

#### **Arguments:**

term candidate's term candidateId candidate requesting vote

lastLogIndex index of candidate's last log entry (§5.4) term of candidate's last log entry (§5.4)

#### Results:

term currentTerm, for candidate to update itself voteGranted true means candidate received vote

#### Receiver implementation:

- 1. Reply false if term < currentTerm (§5.1)
- 2. If votedFor is null or candidateId, and candidate's log is at least as up-to-date as receiver's log, grant vote (§5.2, §5.4)

### **Rules for Servers**

#### **All Servers:**

- If commitIndex > lastApplied: increment lastApplied, apply log[lastApplied] to state machine (§5.3)
- If RPC request or response contains term T > currentTerm: set currentTerm = T, convert to follower (§5.1)

#### Followers (§5.2):

- · Respond to RPCs from candidates and leaders
- If election timeout elapses without receiving AppendEntries RPC from current leader or granting vote to candidate: convert to candidate

#### Candidates (§5.2):

- On conversion to candidate, start election:
  - · Increment currentTerm
  - · Vote for self
  - · Reset election timer
  - Send RequestVote RPCs to all other servers
- If votes received from majority of servers: become leader
- If AppendEntries RPC received from new leader: convert to follower
- If election timeout elapses: start new election

### Leaders:

#### Continued...

#### Leaders:

- Upon election: send initial empty AppendEntries RPCs (heartbeat) to each server; repeat during idle periods to prevent election timeouts (§5.2)
- If command received from client: append entry to local log, respond after entry applied to state machine (§5.3)
- If last log index ≥ nextIndex for a follower: send AppendEntries RPC with log entries starting at nextIndex
  - If successful: update nextIndex and matchIndex for follower (§5.3)
  - If AppendEntries fails because of log inconsistency: decrement nextIndex and retry (§5.3)
- If there exists an N such that N > commitIndex, a majority of matchIndex[i] ≥ N, and log[N].term == currentTerm: set commitIndex = N (§5.3, §5.4).

## See Raft Visualization

https://raft.github.io/

## **RAFT Implementation**

- Main tools:
  - TCP sockets, threads, condition variables
  - Java RMI might be an option...
- The main challenge is to implement separated stacks for client and server communication and majority-quorum RPC

