

1. Let  $R$  be a ring with no zero divisors such that for all  $r, s \in R$ , there are  $a, b \in R$ , not both zero, such that  $ar + bs = 0$ . Show that if  $R = M \oplus N$  as  $R$ -modules, then one of  $M$  or  $N$  is the 0-module,  $\{0\}$ . Use this to show that  $R$  has the invariant dimension property.

Suppose that  $0 \neq m \in M$ , so that  $M \neq \{0\}$ . Let  $n \in N$ . Then there exist  $a, b \in R$  with not both zero, such that  $0 = am + bn$ , or rather  $am = -bn$ . Since  $am \in M$ ,  $\pm bn \in N$ , and  $M \cap N = \{0\}$ , we must have that  $am = 0 = bn$ . As  $m \neq 0$  and  $R$  has no zero divisors,  $a = 0$ . But then  $b \neq 0$ , and as  $bn = 0$ , we conclude that  $n = 0$ . As  $n \in N$  was arbitrary, this implies that  $N = \{0\}$ .

**This was too hard.** Let  $m, n$  be nonnegative integers. We claim that if a map  $\varphi: R^n \rightarrow R^m$  of free modules is injective, then  $n \leq m$ . Thus if  $\varphi$  is an isomorphism, then  $m = n$ .

Suppose by way of contradiction that  $n > m$  and  $\varphi$  is injective. We use this to express  $\varphi$  in a normal form, and then deduce that this normal form implies  $\varphi$  is not injective. Note that if  $\psi: R^m \rightarrow R^m$  is injective, then  $\ker \varphi = \ker \psi \circ \varphi$ . Thus we will freely replace  $\varphi$  by compositions  $\psi \circ \varphi$ , with  $\psi: R^m \rightarrow R^m$  injective.

We will express  $\psi$  as a composition of two types of injective maps. The first is simply a permutation of  $R^m$ , which amounts to reordering its basis, and the second is an *elementary map*. Let  $X := \{x_1, \dots, x_m\}$  be the (implied) basis of  $R^m$ ,  $a, b \in R$  and  $1 \leq i < j \leq m$ . Define  $E_{ij}(a, b)$  by

$$E_{ij}(a, b)(x_i) = x_i + ax_j, \quad E_{ij}(a, b)(x_j) = bx_j, \quad E_{ij}(a, b)(x_k) = x_k, \text{ if } k \notin \{i, j\}.$$

Let us compute  $E_{ij}(a, b)(x)$ , where  $x := r_1x_1 + \dots + r_mx_m$ . This is

$$r_1x_1 + \dots + r_{j-1}x_{j-1} + (ar_i + br_j)x_j + r_{j+1}x_{j+1} + \dots + r_mx_m.$$

Thus if  $b \neq 0$ , then  $E_{ij}(a, b)$  is injective, for if  $x$  lies in its kernel, then this expression and the independence of  $X$  implies that if  $k \neq j$ , then  $r_k = 0$  and thus also  $br_j = 0$ . Since  $b \neq 0$ , we conclude that  $r_j = 0$  and thus  $x = 0$ .

Now let  $y_1, \dots, y_n$  be the implied basis for  $R^n$ . Let  $k \in [n]$  and let us expand  $\varphi(y_k)$  in the basis  $X$ ,

$$\varphi(y_k) = f_{1,k}x_1 + f_{2,k}x_2 + \dots + f_{m,k}x_m.$$

Then  $F = (f_{i,k})_{i \in [m], k \in [n]}$  is a  $m \times n$  matrix with entries in  $R$  that represents the map  $\varphi$  in the given bases.

Composing  $\varphi$  with a permutation of  $X$  gives the matrix obtained by the corresponding permutation of the rows of  $F$ . Composing  $\varphi$  with an elementary map  $E_{ij}(a, b)$  gives a matrix that is the same as  $F$  in rows  $\ell \neq j$ , but the entry in row  $j$  and column  $\ell$  is replaced by  $af_{i,\ell} + bf_{j,\ell}$ . That is, the matrix for  $E_{ij}(a, b) \circ \varphi$  is the result of an elementary row operation on  $F$ .

**Lemma.** Let  $p < q$  be positive integers and suppose that  $B: R^q \rightarrow R^p$  is a module homomorphism such that its matrix  $B = (b_{i,j})$  in the implied bases is upper triangular with non-zero elements along its diagonal. Then  $B$  has a non-trivial kernel.

**Proof.** Let  $\{w_1, \dots, w_q\}$  and  $\{v_1, \dots, v_p\}$  be the ordered bases for  $R^q$  and  $R^p$ . Let  $r_p, s \in R$ . As  $B$  is triangular, the coefficient of  $v_p$  in  $B(r_pw_p + sw_q)$  is  $r_pb_{p,p} + sb_{p,q}$ . We may choose  $r_p, s \in R$ , not both zero so that this vanishes. As  $b_{p,p} \neq 0$ , we have that  $s \neq 0$ .

Let  $r_{p-1}$  and  $s'$  be elements of  $R$ , and consider the coefficient of  $v_{p-1}$  in  $B(r_{p-1}v_{p-1} + s'(r_pw_p + sw_q))$ . This is

$$r_{p-1}b_{p-1,p-1} + s'(r_pb_{p-1,1} + sb_{p-1,q}).$$

As before, we may choose  $r_{p-1}, s'$  with this sum equal equal to zero, and as  $b_{p-1,p-1} \neq 0, s' \neq 0$ . Note that by the previous case, the coefficient of  $v_p$  in  $B(r_{p-1}v_{p-1} + s'(r_p w_p + s w_q))$  is already 0. Replace  $w_p$  by  $s'w_p$  and  $s$  by  $s's$ , and observe that we can continue in this fashion to obtain a nonzero element  $r_1 w_1 + \cdots + r_p w_p + s w_q$  with  $s \neq 0$  in the kernel of  $B$ .  $\square$

**Claim:** There is a composition  $\psi$  of elementary maps such that the matrix for  $\psi \circ \varphi$  is upper triangular.

This will complete the proof.

Since  $\psi(y_1) \neq 0$ , there is some entry  $f_{j,1}$  that is nonzero. Applying a permutation of  $X$ , we may assume that  $f_{1,1} \neq 0$ . For every  $j > 1$ , our assumptions on  $R$  imply that there are  $r, s \in R$ , not both zero, so that  $r f_{1,1} + s f_{j,1} = 0$ . We do not have  $s = 0$ , for then  $r f_{1,1} = 0$ . As  $f_{1,1} \neq 0$ , this would imply that  $r = 0$ , a contradiction. The matrix for  $E_{i,j}(r, s) \circ \varphi$  is the same as that for  $\varphi$ , except in row  $j$ . Its entry in column 1 of row  $j$  is  $r f_{1,1} + s f_{j,1} = 0$ .

Repeating this for each nonzero entry in the first column below  $f_{1,1}$ , we may assume that  $\varphi$  is an injection such that the corresponding matrix  $F$  has  $f_{1,1} \neq 0$ , but  $f_{j,1} = 0$  for  $j > 1$ .

Suppose that we have found an injective map  $\psi: R^m \rightarrow R^m$  so that the matrix  $F$  for  $\psi \circ \varphi$  has the block form

$$F = \begin{pmatrix} T_{p \times p} & A_{p \times (n-p)} \\ 0_{(m-p) \times p} & B_{(m-p) \times (n-p)} \end{pmatrix},$$

where the subscripts indicate the sizes of the blocks,  $T_{p \times p}$  is an upper triangular matrix with nonzero entries on its diagonal, and  $A_{p \times (n-p)}$  and  $B_{(m-p) \times (n-p)}$  are matrices of the indicated dimensions with entries in  $R$ .

We claim that the first column of  $B$  is nonzero. Indeed, if not, then applying the lemma to the map  $g: R^{p+1} \rightarrow R^p$  given by the upper left  $p \times (p+1)$  principal submatrix of  $F$ , there is a nonzero element  $y = r_1 y_1 + \cdots + r_p y_p + s y_{p+1}$  with  $s \neq 0$  such that  $g(y) = 0$ . Then  $\varphi(y) = s(b_{p+1,p+1} x_{p+1} + \cdots + b_{m,p+1} x_m)$ , which must be nonzero, as  $\varphi$  is injective. (I am indexing the elements of  $B$  by their position in  $F$ .) Then the same arguments as before, but on  $B$ , show that we may compose  $\varphi$  with an injective map so that the resulting matrix has this block form, where the first column of  $B$  has a unique nonzero entry in position  $(p+1, p+1)$ . Thus  $F$  now has a block form with the principal  $(p+1) \times (p+1)$  submatrix upper triangular.

Continuing in this fashion completes the proof.

2. Suppose that  $M$  is an  $R$ -module and that for  $i = 1, 2$ , we have short exact sequences  $0 \rightarrow N_i \rightarrow P_i \rightarrow M \rightarrow 0$  with  $P_1$  and  $P_2$  projective. Show that  $P_1 \oplus N_2 \simeq P_2 \oplus N_1$  as  $R$ -modules.

Thanks to Josh Crouch.

**Proof.** Consider the following diagram:

$$\begin{array}{ccccccc} 0 & \longrightarrow & N_1 & \xrightarrow{i_1} & P_1 & \xrightarrow{f_1} & M \longrightarrow 0 \\ & & & & & & \downarrow 1_M \\ 0 & \longrightarrow & N_2 & \xrightarrow{i_2} & P_2 & \xrightarrow{f_2} & M \longrightarrow 0 \end{array}$$

We will first show that there exist  $R$ -module homomorphisms  $\phi: P_1 \rightarrow P_2$  and  $\psi: N_1 \rightarrow N_2$  such that the diagram commutes. Since  $P_1$  is projective, there exists an  $R$ -module homomorphism  $\phi: P_1 \rightarrow P_2$  such that  $f_2 \phi = f_1$ . Since  $f_1 = 1_M f_1$ , the above diagram with  $\phi$  is commutative. Next, since  $i_1$  is injective, there is an isomorphic copy of  $N_1$  in  $P_1$ . Identifying  $N_1$  with this isomorphic copy, define  $\psi = \phi|_{N_1}$ . Since  $\psi$  is the restriction of an  $R$ -module homomorphism to an  $R$ -submodule,  $\psi$  is an  $R$ -module homomorphism. Now, we will show  $\text{Im } \psi \subset N_2$ . If  $n \in N_1$ , then  $\psi(n) = \phi(n)$ . Since  $f_1 = f_2 \phi$  and the top row is exact,  $\text{Im } i_1 = \text{Ker } f_2 \phi$ . Thus,  $f_2(\phi(i_1(n))) = 0$ , so  $\phi(i_1(n)) \in \text{Ker } f_2 = \text{Im } i_2$ . Since we can identify  $N_1$  and  $i_1(N_1)$  as well as  $N_2$  and  $i_2(N_2)$ , we see that  $\phi(n) \in N_2$ . Thus,  $\psi: N_1 \rightarrow N_2$

is an  $R$ -module homomorphism. Since  $i_2(\psi(n)) = i_2(\phi(n)) = \phi(n) = \phi(i_1(n))$ , the following diagram commutes:

$$\begin{array}{ccccccccc} 0 & \longrightarrow & N_1 & \xrightarrow{i_1} & P_1 & \xrightarrow{f_1} & M & \longrightarrow & 0 \\ & & \downarrow \psi & & \downarrow \phi & & \downarrow 1_M & & \\ 0 & \longrightarrow & N_2 & \xrightarrow{i_2} & P_2 & \xrightarrow{f_2} & M & \longrightarrow & 0 \end{array}$$

We will now show that

$$0 \rightarrow N_1 \xrightarrow{\alpha} P_1 \oplus N_2 \xrightarrow{\beta} P_2 \rightarrow 0$$

is exact where  $\alpha(n) = (i_1(n), \psi(n))$  and  $\beta(x, y) = \phi(x) - i_2(y)$ . Note that  $\alpha$  and  $\beta$  are  $R$ -module homomorphisms since  $i_1, \psi, \phi$ , and  $i_2$  are  $R$ -module homomorphisms. Thus, since  $P_2$  is projective, the exactness of the above sequence will imply  $P_1 \oplus N_2 \cong P_2 \oplus N_1$  by Theorem 3.4.

If  $\alpha(n) = (0, 0)$ , then  $i_1(n) = 0$ . The injectivity of  $i_1$  implies  $n = 0$ , so  $\alpha$  is injective.

Next, consider  $\beta(\alpha(n)) = \beta(i_1(n), \psi(n)) = \phi(i_1(n)) - i_2(\psi(n))$ . Since the diagram commutes,  $\phi i_1 = i_2 \psi$ , so we see that  $\beta(\alpha(n)) = i_2(\psi(n)) - i_2(\psi(n)) = 0$ , and this implies  $\text{Im } \alpha \subset \text{Ker } \beta$ .

Next, suppose  $(x, y) \in \text{Ker } \beta$ . Then,  $\phi(x) - i_2(y) = 0$ , so  $\phi(x) = i_2(y)$ . Thus,  $f_2 \phi(x) = f_2 i_2(y) = 0$  since the bottom row is exact. This means  $x \in \text{Ker } f_2 \phi = \text{Ker } f_1 = \text{Im } i_1$ . So,  $x = i_1(m)$  for some  $m \in N_1$ . Making a substitution gives  $i_2(y) = \phi(i_1(m)) = i_2 \psi(m)$ . Since  $i_2$  is injective,  $y = \psi(m)$ . Thus,  $\alpha(m) = (i_1(m), \psi(m)) = (x, y)$ , so  $\text{Ker } \beta \subset \text{Im } \alpha$ .

Finally, we must show that  $\beta$  is a surjection. Suppose  $q \in P_2$ . Then,  $f_2(q) = m$  for some  $m \in M$ . Since  $f_1$  is a surjection, we have  $m = f_1(p)$  for some  $p \in P_1$ . Thus,  $f_2(q) = f_1(p) = f_2 \phi(p)$ , so  $f_2(q - \phi(p)) = 0$  since  $f_2$  is an  $R$ -module homomorphism. Since the bottom row is exact, it follows that  $q - \phi(p) = i_2(n')$  for some  $n' \in N_2$ , so  $q = \phi(p) + i_2(n') = \phi(p) - i_2(-n')$  since  $i_2$  is an  $R$ -module homomorphism. Thus,  $q = \beta(p, -n')$ , so  $q \in \text{Im } \beta$ . Therefore, the sequence is exact, and  $P_1 \oplus N_2 \cong P_2 \oplus N_1$ .  $\square$