

# IMPERIAL

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## Quadratic Integer Rings in Lean 4 Formalization Report

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## 1. Introduction

This report documents a Lean 4 formalization of results from the algebraic-integers chapter (Lecture 2) of Boxer’s notes [1], building on Mathlib [2]. Every definition, lemma, and theorem listed below has been formally verified; the corresponding Lean source is included inline for reference.

The mathematical scope is the quadratic field

$$\mathbb{Q}(\sqrt{d}) = \{a + b\sqrt{d} \mid a, b \in \mathbb{Q}\},$$

and the ring-of-integers prerequisites needed for the classification split by  $d \pmod{4}$ . The central goal is to formalize the standard result (cf. [1, Lecture 2]):

$$\mathcal{O}_{\mathbb{Q}(\sqrt{d})} = \begin{cases} \mathbb{Z}[\sqrt{d}] & \text{if } d \not\equiv 1 \pmod{4}, \\ \mathbb{Z}\left[\frac{1 + \sqrt{d}}{2}\right] & \text{if } d \equiv 1 \pmod{4}. \end{cases}$$

**Structure.** section 2 sets up the ambient quadratic field. section 3 records the trace, norm, and minimal-polynomial identity. section 4 proves non-isomorphism of distinct quadratic fields. sections 5 and 6 develop the half-integral normal form and the mod-4 parity criterion. section 7 combines these into the element-level classification for the  $d \not\equiv 1 \pmod{4}$  branch.

**Build status (February 27, 2026):** lake build succeeds. Two sorry markers remain in ClassificationToZsqtrd.lean<sup>1</sup> (the forward and reverse directions linking the ring-of-integers isomorphism to the mod-4 condition); all other files are sorry-free.

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<sup>1</sup>It is not in submission ZIP

**Development note (motivation and current strategy).** The current formalization strategy is intentionally pragmatic. Historically, while preparing and submitting PR work around `Zsqrtd`, I noticed that `QuadraticAlgebra` provides a workable ambient path for this project stage. So for the half-integral classification workflow I currently use `QuadraticAlgebra` and define

$$\mathbb{Q}\text{sqrtd}(d) := \text{QuadraticAlgebra } \mathbb{Q} (d : \mathbb{Q}) 0.$$

This is a coarse-grained but effective bridge for now. The reason is that the integral model needed for the  $d \equiv 1 \pmod{4}$  branch, especially the  $\mathbb{Z}[\frac{1+\sqrt{1+4k}}{2}]$ -style object, is not yet packaged as a ready drop-in component in the way this project needs; see the related discussion: Zulip discussion.

## 2. Quadratic setup and basic structures (Base.lean)

This section sets up the type-level infrastructure for working with  $\mathbb{Q}(\sqrt{d})$ . Following [1, Lecture 2, §1], we first pin down the admissible parameters  $d$ , then construct the ambient field together with its trace, norm, and embedding.

**2.1. Definition 2.1 (quadratic parameter package).** Lean name: `IsQuadraticParam`.

For  $d \in \mathbb{Z}$ , we define a proposition requiring

$$d \neq 0, \quad d \neq 1, \quad d \text{ squarefree}.$$

These are the standard hypotheses ensuring that  $\mathbb{Q}(\sqrt{d})$  is a genuine quadratic extension of  $\mathbb{Q}$  (cf. [1, Lecture 2, Definition 2.1]).

```

21 class IsQuadraticParam (d : ℤ) : Prop where
22   /-- `d ≠ 0` and `d ≠ 1` ensure `ℚ(√d)` is not just `ℚ`. -/
23   ne_zero : d ≠ 0
24   ne_one : d ≠ 1
25   /-- `Squarefree d` gives a canonical representative for the field. -/
26   squarefree : Squarefree d

```

**2.2. Definition 2.2 (ambient type).** Lean name: `Qsqrtd`.

The type

$$\mathbb{Q}\text{sqrtd}(d) := \text{QuadraticAlgebra } \mathbb{Q} (d : \mathbb{Q}) 0$$

serves as the formal model of  $\mathbb{Q}(\sqrt{d})$ .

```

29 abbrev Qsqrtd (d : ℤ) : Type := QuadraticAlgebra ℚ (d : ℚ) 0

```

**2.3. Definition 2.3 (rescaling equivalence).** Lean name: `rescale`.

Given  $a \in \mathbb{Q}^\times$ , there is an algebra isomorphism

$$\mathbb{Q}(\sqrt{d}) \cong \mathbb{Q}(\sqrt{a^2 d}).$$

In coordinates this is

$$(r, s) \longmapsto (r, sa^{-1}), \quad (r, t) \longmapsto (r, ta).$$

This captures the classical fact that replacing  $d$  by  $a^2d$  does not change the underlying quadratic field.

```

35 def rescale (d : ℚ) (a : ℚ) (ha : a ≠ 0) :
36   QuadraticAlgebra ℚ d 0 ≈a[ℚ] QuadraticAlgebra ℚ (a ^ 2 * d) 0 := by
37   have h1d : (1 : QuadraticAlgebra ℚ d 0) = ⟨1, 0⟩ := by ext <;> rfl
38   have h1a : (1 : QuadraticAlgebra ℚ (a ^ 2 * d) 0) = ⟨1, 0⟩ := by
39     ext <;> rfl
40   exact AlgEquiv.ofLinearEquiv
41     { toFun := fun x => ⟨x.re, x.im * a-1⟩
42       invFun := fun y => ⟨y.re, y.im * a⟩
43       map_add' := by intro x y; ext <;> simp [add_mul]
44       map_smul' := by intro c x; ext <;> simp [mul_assoc]
45       left_inv := by
46         intro x; ext <;> simp [mul_assoc, inv_mul_cancel₀ ha]
47       right_inv := by
48         intro y; ext <;> simp [mul_assoc, mul_inv_cancel₀ ha] }
49   (by simp [h1d, h1a])
50   (by intro x y; ext <;> simp <;> field_simp)

```

**2.4. Definition 2.4** (trace and norm abbreviations). Lean names: `trace`, `norm'`.

For  $x \in \mathbb{Q}\text{sqrt}d(d)$ , define

$$\text{tr}(x) := x + \bar{x} \in \mathbb{Q}, \quad N(x) := x\bar{x} \in \mathbb{Q}.$$

These correspond to the trace and norm of the quadratic extension  $\mathbb{Q}(\sqrt{d})/\mathbb{Q}$ , computed via the Galois conjugation  $\sqrt{d} \mapsto -\sqrt{d}$ .

```

53 abbrev trace {d : ℤ} (x : ℚsqrtd d) : ℚ := x.re + (star x).re
54
55 /-- Norm on `ℚsqrtd d`. -/
56 abbrev norm' {d : ℤ} (x : ℚsqrtd d) : ℚ := QuadraticAlgebra.norm x

```

**2.5. Definition 2.5** (rational embedding). Lean name: `embed`.

The canonical inclusion

$$\mathbb{Q} \hookrightarrow \mathbb{Q}(\sqrt{d})$$

is implemented by the algebra map.

```

59 abbrev embed (r : ℚ) : ℚsqrtd d := algebraMap ℚ (ℚsqrtd d) r

```

**2.6. Definition 2.6** (nonsquare rational condition). Lean name: `IsNonsquareRat`.

For integer  $d$ , define

$$\forall r \in \mathbb{Q}, \quad r^2 \neq d.$$

```

62 class IsNonsquareRat (d : ℤ) : Prop where
63   nonsquare : ∀ r : ℚ, r ^ 2 ≠ (d : ℚ)

```

**2.7. Proposition 2.7** (squarefree nontrivial implies nonsquare in  $\mathbb{Z}$ ). **Lean name:** `not_isSquare_int`.

Under `IsQuadraticParam` hypotheses,

$$\neg \text{IsSquare}(d) \quad \text{in } \mathbb{Z}.$$

This excludes degeneration of the quadratic extension.

```

66 lemma not_isSquare_int (d : ℤ) [IsQuadraticParam d] : ¬ IsSquare d := by
67   intro hdSq
68   rcases hdSq with <z, hz>
69   by_cases huz : IsUnit z
70   · rcases Int.isUnit_iff.mp huz with hz1 | hz1
71     · have : d = 1 := by simp [hz1] using hz
72       exact (IsQuadraticParam.ne_one (d := d)) this
73     · have : d = 1 := by simp [hz1] using hz
74       exact (IsQuadraticParam.ne_one (d := d)) this
75   · have hsqz2 : Squarefree (z ^ 2) := by
76     simp [hz, pow_two] using (IsQuadraticParam.squarefree (d := d))
77   have h01 : (2 : ℕ) = 0 ∨ (2 : ℕ) = 1 :=
78     Squarefree.eq_zero_or_one_of_pow_of_not_isUnit (x := z) (n := 2)
79   ↪ hsqz2 huz
   norm_num at h01

```

**2.8. Proposition 2.8** (parameter hypothesis gives rational nonsquare). **Lean instance:** `instance (d) [IsQuadraticParam d] : IsNonsquareRat d`.

From the integer nonsquare result and transfer lemmas between  $\mathbb{Z}$  and  $\mathbb{Q}$ , one obtains

$$\forall r \in \mathbb{Q}, r^2 \neq d.$$

```

81 instance (d : ℤ) [IsQuadraticParam d] : IsNonsquareRat d := by
82   refine <?_>
83   intro r hr
84   have hsqQ : IsSquare ((d : ℤ) : ℚ) := <r, by simp [pow_two] using
85     ↪ hr.symm>
86   have hsqZ : IsSquare d := (Rat.isSquare_intCast_iff).1 hsqQ
87   exact (not_isSquare_int d) hsqZ

```

**2.9. Proposition 2.9** (field structure). **Lean instance:** `Field (Qsqrtd d)` under `IsNonsquareRat d`.

If  $d$  is rationally nonsquare, then  $\mathbb{Q}(\sqrt{d})$  is a field. This is the key structural fact that upgrades the ring `Qsqrtd(d)` to a number field.

```

88 instance {d : ℤ} [IsNonsquareRat d] : Field (Qsqrtd d) := by
89   letI : Fact (∀ r : ℚ, r ^ 2 ≠ (d : ℚ) + 0 * r) := <by
90     intro r hr
91     exact (IsNonsquareRat.nonsquare (d := d) r) (by simp [hr])
92   >
93   infer_instance

```

### 3. Trace, norm, and quadratic identity (MinimalPolynomial.lean)

With the ambient field in place, we now establish the explicit trace and norm formulas and verify the characteristic polynomial identity. These are the algebraic prerequisites for the integrality criterion used in section 6.

#### 3.1. Theorem 3.1 (trace formula). Lean name: trace\_eq\_two\_re.

For  $x = a + b\sqrt{d} \in \mathbb{Q}(\sqrt{d})$ ,

$$\text{tr}(x) = 2a.$$

```

8 theorem trace_eq_two_re {d : ℤ} (x : Qsqrtd d) : trace x = 2 * x.re := by
9   have star_re : (star x).re = x.re := by
10     simp [star, star]
11     simp [trace, star_re]
12   ring

```

#### 3.2. Theorem 3.2 (norm formula). Lean name: norm\_eq\_sqr\_minus\_d\_sqr.

Writing  $x = a + b\sqrt{d}$ , one has

$$N(x) = a^2 - db^2.$$

```

15 theorem norm_eq_sqr_minus_d_sqr {d : ℤ} (x : Qsqrtd d) :
16   norm' x = x.re ^ 2 - (d : ℚ) * x.im ^ 2 := by
17     simp [norm', QuadraticAlgebra.norm]
18   ring
19

```

#### 3.3. Theorem 3.3 (quadratic polynomial annihilation). Lean name: aeval\_eq\_zero\_of\_quadratic.

Each  $x \in \mathbb{Q}(\sqrt{d})$  satisfies

$$x^2 - \text{tr}(x)x + N(x) = 0.$$

In other words, every element of the quadratic extension is a root of the polynomial  $T^2 - \text{tr}(x)T + N(x)$ , which is its minimal polynomial over  $\mathbb{Q}$  when  $x \notin \mathbb{Q}$ .

```

21 theorem aeval_eq_zero_of_quadratic (d : ℤ) (x : Qsqrtd d) :
22   x * x - (algebraMap ℚ (Qsqrtd d) (x.trace)) • x + (algebraMap ℚ (Qsqrtd
23     ↪ d) (norm' x)) = 0 := by
24   ext <;> simp [trace, norm', QuadraticAlgebra.norm, star, smul_eq_mul] <;>
25   ↪ ring

```

### 4. Non-isomorphism of distinct quadratic fields (NonIso.lean)

Before turning to the ring-of-integers classification, we address a natural prerequisite: distinct squarefree parameters really do give distinct fields. This section formalizes the classical result that if  $d_1 \neq d_2$  are both valid quadratic parameters, then  $\mathbb{Q}(\sqrt{d_1})$  and  $\mathbb{Q}(\sqrt{d_2})$  are not isomorphic as  $\mathbb{Q}$ -algebras.

**4.1. Lemma 4.1. Lean name:** `not_isSquare_neg_one_rat`.

$-1$  is not a square in  $\mathbb{Q}$ .

```

8 lemma not_isSquare_neg_one_rat : ¬ IsSquare (- (1 : ℚ)) := by
9   rintro <r, hr>
10   have hnonneg : 0 ≤ r ^ 2 := sq_nonneg r
11   nlinarith [hr, hnonneg]

```

**4.2. Lemma 4.2. Lean name:**

`nat_eq_one_of_squarefree_intcast_of_isSquare`.

If  $m \in \mathbb{N}$ ,  $(m : \mathbb{Z})$  is squarefree, and  $(m : \mathbb{Z})$  is a square, then

$$m = 1.$$

```

14 lemma nat_eq_one_of_squarefree_intcast_of_isSquare (m : ℕ)
15   (hsm : Squarefree (m : ℤ)) (hsq : IsSquare (m : ℤ)) : m = 1 := by
16   rcases hsq with <z, hz>
17   by_cases huz : IsUnit z
18   · rcases Int.isUnit_iff.mp huz with hz1 | hz1
19     · have hmq : (m : ℤ) = 1 := by simpa [hz1] using hz
20       norm_num at hmq
21       exact hmq
22     · have hmq : (m : ℤ) = 1 := by simpa [hz1] using hz
23       norm_num at hmq
24       exact hmq
25   · have hsqz2 : Squarefree (z ^ 2) := by simpa [hz, pow_two] using hsm
26     have h01 : (2 : ℕ) = 0 ∨ (2 : ℕ) = 1 :=
27       Squarefree.eq_zero_or_one_of_pow_of_not_isUnit (x := z) (n := 2)
28     ↪ hsqz2 huz
29     norm_num at h01

```

**4.3. Lemma 4.3. Lean name:** `int_dvd_of_ratio_square`.

Let  $d_2 \neq 0$ , with  $d_2$  squarefree. If

$$\frac{d_1}{d_2} \in \mathbb{Q}$$

is a square in  $\mathbb{Q}$ , then

$$d_2 \mid d_1.$$

This is the key divisibility extraction used in the non-isomorphism argument.

```

31 lemma int_dvd_of_ratio_square (d1 d2 : ℤ) (hd2 : d2 ≠ 0)
32   (hsq_d2 : Squarefree d2) (hr : IsSquare ((d1 : ℚ) / (d2 : ℚ))) : d2 ∣
33   ↪ d1 := by
34   have hsq_den_nat : IsSquare (((d1 : ℚ) / (d2 : ℚ)).den) :=
35     ↪ (Rat.isSquare_iff.mp hr).2
36   have hsq_den_int : IsSquare (((d1 : ℚ) / (d2 : ℚ)).den : ℤ) := by
37     rcases hsq_den_nat with <n, hn>
38     refine <(n : ℤ), by exact_mod_cast hn>
39   have hden_dvd : (((d1 : ℚ) / (d2 : ℚ)).den : ℤ) ∣ d2 := by
40     simpa [← Rat.divInt_eq_div] using (Rat.den_dvd d1 d2)
41   have hsqf_den_int : Squarefree (((d1 : ℚ) / (d2 : ℚ)).den : ℤ) :=

```

```

40 Squarefree.squarefree_of_dvd hden_dvd hsq_d2
41 have hden1_nat : ((d1 : ℚ) / (d2 : ℚ)).den = 1 :=
42   nat_eq_one_of_squarefree_intcast_of_isSquare _ hsqf_den_int hsq_den_int
43   exact (Rat.den_div_intCast_eq_one_iff d1 d2 hd2).1 hden1_nat
44

```

**4.4. Theorem 4.4 (distinct parameters give non-isomorphic fields).** Lean name: `quadratic_fields_not_iso`.

Assume  $d_1, d_2$  satisfy `IsQuadraticParam` and  $d_1 \neq d_2$ . Then

$$\mathbb{Q}(\sqrt{d_1}) \not\cong_{\mathbb{Q}} \mathbb{Q}(\sqrt{d_2}).$$

The proof follows a standard reduction: an assumed isomorphism forces square-ratio conditions implying divisibility both ways (via Lemma 4.3); associatedness yields either equality or sign flip; the sign-flip branch reduces to  $-1$  being a rational square (Lemma 4.1), contradiction.

```

46 theorem quadratic_fields_not_iso
47   (d1 d2 : ℤ) [IsQuadraticParam d1] [IsQuadraticParam d2]
48   (hneq : d1 ≠ d2) :
49   ¬ Nonempty (Qsqrtd d1 ≈a[ℚ] Qsqrtd d2) := by
50   rintro ⟨e⟩
51   let x : Qsqrtd d2 := e ⟨0, 1⟩
52   have hx : x * x = (d1 : Qsqrtd d2) := by
53     change e ⟨0, 1⟩ * e ⟨0, 1⟩ = (d1 : Qsqrtd d2)
54   calc
55     e ⟨0, 1⟩ * e ⟨0, 1⟩ = e ((⟨0, 1⟩ : Qsqrtd d1) * ⟨0, 1⟩) := by
56       symm
57       exact e.map_mul _ _
58     _ = e (d1 : Qsqrtd d1) := by
59       congr 1
60     ext <;> simp [Qsqrtd]
61     _ = (d1 : Qsqrtd d2) := by simp
62   have him0 : (x * x).im = 0 := by
63     have him := congrArg QuadraticAlgebra.im hx
64     simp [Qsqrtd] using him
65   have hsum : x.re * x.im + x.im * x.re = 0 := by
66     simp [Qsqrtd, mul_assoc, mul_comm, mul_left_comm] using him0
67   have hprod : x.re * x.im = 0 := by nlinarith [hsum]
68   have hratio : IsSquare ((d1 : ℚ) / (d2 : ℚ)) := by
69     rcases mul_eq_zero.mp hprod with hre | him
70     · refine ⟨x.im, ?_⟩
71     have hre0 : (x * x).re = d1 := by
72       have hre' := congrArg QuadraticAlgebra.re hx
73       simp [Qsqrtd] using hre'
74     have hmain : (d2 : ℚ) * (x.im ^ 2) = d1 := by
75       simp [Qsqrtd, hre, pow_two, mul_assoc, mul_comm, mul_left_comm]
76       ↪ using hre0
77     have hd2Q : (d2 : ℚ) ≠ 0 := by
78       exact_mod_cast (IsQuadraticParam.ne_zero (d := d2))
79     calc
80       (d1 : ℚ) / (d2 : ℚ) = (((d2 : ℚ) * (x.im ^ 2)) / (d2 : ℚ)) := by
81         ↪ simp [hmain]
82       _ = x.im ^ 2 := by field_simp [hd2Q]
83       _ = x.im * x.im := by ring

```

```

82 · exfalse
83   have hre0 : (x * x).re = d1 := by
84     have hre' := congrArg QuadraticAlgebra.re hx
85     simp [Qsqrtd] using hre'
86   have hmain : x.re ^ 2 = d1 := by
87     simp [Qsqrtd, him, pow_two, mul_assoc, mul_comm, mul_left_comm]
88     ↪ using hre0
89   exact (IsNonsquareRat.nonsquare (d := d1) x.re) hmain
90   have hd1 : d1 ≠ 0 := IsQuadraticParam.ne_zero (d := d1)
91   have hd2 : d2 ≠ 0 := IsQuadraticParam.ne_zero (d := d2)
92   have hratio' : IsSquare ((d2 : ℚ) / (d1 : ℚ)) := by
93     rcases hratio with ⟨r, hr⟩
94     refine ⟨r-1, ?_⟩
95     have hd1Q : (d1 : ℚ) ≠ 0 := by exact_mod_cast hd1
96     have hd2Q : (d2 : ℚ) ≠ 0 := by exact_mod_cast hd2
97     have h1 : (r-1 * r-1) = (((d1 : ℚ) / (d2 : ℚ)))-1 := by
98       simp [hr]
99     calc
100       ((d2 : ℚ) / (d1 : ℚ)) = (((d1 : ℚ) / (d2 : ℚ)))-1 := by
101         field_simp [hd1Q, hd2Q]
102         _ = r-1 * r-1 := h1.symm
103   have hd21 : d2 | d1 :=
104     int_dvd_of_ratio_square d1 d2 hd2 (IsQuadraticParam.squarefree (d :=
105       ↪ d2)) hratio
106   have hd12 : d1 | d2 :=
107     int_dvd_of_ratio_square d2 d1 hd1 (IsQuadraticParam.squarefree (d :=
108       ↪ d1)) hratio'
109   have hassoc : Associated d1 d2 := associated_of_dvd_dvd hd12 hd21
110   rcases (Int.associated_iff.mp hassoc) with hEq | hNeg
111   · exact hNeg hEq
112   · have hd2Q : (d2 : ℚ) ≠ 0 := by exact_mod_cast hd2
113     have hratio_neg1 : ((d1 : ℚ) / (d2 : ℚ)) = (-1 : ℚ) := by
114       rw [hNeg]
115       simp
116       field_simp [hd2Q]
117     have hsq_neg1 : IsSquare (- (1 : ℚ)) := by rwa [hratio_neg1] at hratio
118     exact not_isSquare_neg_one_rat hsq_neg1
119 end Qsqrtd

```

## 5. Half-integral normal form (HalfInt.lean)

Every element of  $\mathbb{Q}(\sqrt{d})$  that is integral over  $\mathbb{Z}$  can be written in the form  $\frac{a' + b'\sqrt{d}}{2}$  with  $a', b' \in \mathbb{Z}$  (cf. [1, Lecture 2, proof of Theorem 2.5]). This section sets up the half-integral representation and computes its trace and norm explicitly.

### 5.1. Definition 5.1. Lean name: halfInt.

For integers  $a', b', d$ , define

$$\text{halfInt}(d, a', b') := \frac{a' + b'\sqrt{d}}{2} \in \mathbb{Q}(\sqrt{d}).$$

```

8 def halfInt (d : ℤ) (a' b' : ℤ) : Qsqrtd d :=
9   ⟨a' / 2, b' / 2⟩

```

**5.2. Theorem 5.2** (trace of half-integral element). Lean name: `trace_halfInt`.

$$\text{tr}\left(\frac{a' + b'\sqrt{d}}{2}\right) = a'.$$

```

12 theorem trace_halfInt (d a' b' : ℤ) : trace (halfInt d a' b') = a' := by
13   have : (halfInt d a' b').re = a' / 2 := rfl
14   rw [trace_eq_two_re, this]
15   ring
16

```

**5.3. Theorem 5.3** (norm of half-integral element). Lean name: `norm_halfInt`.

$$N\left(\frac{a' + b'\sqrt{d}}{2}\right) = \frac{a'^2 - db'^2}{4}.$$

An element  $\frac{a'+b'\sqrt{d}}{2}$  is integral over  $\mathbb{Z}$  if and only if both  $\text{tr}$  and  $N$  are integers, which by the formulas above reduces to  $a' \in \mathbb{Z}$  (automatic) and  $4 \mid (a'^2 - db'^2)$ . This divisibility condition is the starting point for the mod-4 analysis in section 6.

```

18 theorem norm_halfInt (d a' b' : ℤ) :
19   norm' (halfInt d a' b') = (a' ^ 2 - (d : ℚ) * b' ^ 2) / 4 := by
20   have re_eq : (halfInt d a' b').re = a' / 2 := rfl
21   have im_eq : (halfInt d a' b').im = b' / 2 := rfl
22   rw [norm_eq_sqr_minus_d_sqr, re_eq, im_eq]
23   ring
24

```

## 6. Mod-4 analysis and parity classification (ModFourCriteria.lean)

This section reduces the integrality condition  $4 \mid (a'^2 - db'^2)$  to explicit congruence constraints on  $a'$ ,  $b'$ , and  $d$ . The analysis mirrors the key exercise pattern from [1, Lecture 2]: a case split on the parities of  $a'$  and  $b'$ , combined with the mod-4 residue of  $d$ .

**6.1. Lemma 6.1.** Lean name: `squarefree_int_not_dvd_four`.

If  $d \in \mathbb{Z}$  is squarefree, then

$$4 \nmid d.$$

Indeed,  $4 = 2^2$  dividing  $d$  would contradict squarefreeness.

```

9 lemma squarefree_int_not_dvd_four (d : ℤ) (hd : Squarefree d) : ¬ (4 : ℤ) ∣
  ↪ d := by
10   intro h
11   have h22 : (2 : ℤ) ^ 2 ∣ d := by
12     obtain <k, hk> := h
13     exact <k, by omega>
14   have hunit : IsUnit (2 : ℤ) := hd 2 h22
15   exact absurd (Int.isUnit_iff.mp hunit) (by omega)

```

**6.2. Lemma 6.2. Lean name:** `squarefree_int_emod_four`.

If  $d$  is squarefree, then

$$d \bmod 4 \in \{1, 2, 3\}.$$

This is an immediate corollary of Lemma 6.1.

```

18 lemma squarefree_int_emod_four (d : ℤ) (hd : Squarefree d) :
19   d % 4 = 1 ∨ d % 4 = 2 ∨ d % 4 = 3 := by
20   have hnd : ¬ (4 : ℤ) ∣ d := squarefree_int_not_dvd_four d hd
21   omega

```

**6.3. Lemma 6.3. Lean name:** `Int.sq_emod_four_of_even`.

If  $2 \mid n$ , then

$$n^2 \equiv 0 \pmod{4}.$$

```

24 lemma Int.sq_emod_four_of_even (n : ℤ) (h : 2 ∣ n) : n ^ 2 % 4 = 0 := by
25   obtain ⟨k, rfl⟩ := h
26   ring_nf
27   omega

```

**6.4. Lemma 6.4. Lean name:** `Int.sq_emod_four_of_odd`.

If  $2 \nmid n$ , then

$$n^2 \equiv 1 \pmod{4}.$$

Lemmas 6.3 and 6.4 together show that the mod-4 residue of a square is determined entirely by its parity, which is the key arithmetic input for the main criterion.

```

30 lemma Int.sq_emod_four_of_odd (n : ℤ) (h : ¬ 2 ∣ n) : n ^ 2 % 4 = 1 := by
31   set k := n / 2
32   have hk : n = 2 * k + 1 := by omega
33   rw [hk]
34   ring_nf
35   omega

```

**6.5. Lemma 6.5 (internal equivalence). Lean name:** `div4_iff_mod` (private).

For integers  $a', b', d$ ,

$$4 \mid (a'^2 - db'^2) \iff (a'^2 - db'^2) \bmod 4 = 0.$$

```

37 private lemma div4_iff_mod (a' b' d : ℤ) :
38   4 ∣ (a' ^ 2 - d * b' ^ 2) ↔ (a' ^ 2 - d * b' ^ 2) % 4 = 0 := by
39   omega

```

**6.6. Theorem 6.6 (main mod-4 criterion). Lean name:** `dvd_four_sub_sq_iff_even_even_or_odd_odd_mod_four_one`.

Assume  $d$  squarefree. Then

$$4 \mid (a'^2 - db'^2) \iff (2 \mid a' \ \& \ 2 \mid b') \vee (2 \nmid a' \ \& \ 2 \nmid b' \ \& \ d \equiv 1 \pmod{4}).$$

The proof proceeds by exhaustive case analysis on the parities of  $a'$  and  $b'$ : the mixed-parity cases are ruled out by Lemmas 6.3–6.4 and the constraint  $d \bmod 4 \in \{1, 2, 3\}$ ; the odd–odd case forces  $d \equiv 1 \pmod{4}$ .

```

42 theorem dvd_four_sub_sq_iff_even_even_or_odd_odd_mod_four_one (d a' b' : ℤ)
43   ↪ (hd : Squarefree d) :
44     4 ∣ (a' ^ 2 - d * b' ^ 2) ↔
45     (2 ∣ a' ^ 2 ∣ b') ∨ (¬ 2 ∣ a' ∧ ¬ 2 ∣ b' ∧ d % 4 = 1) := by
46   have hd4 := squarefree_int_emod_four d hd
47   constructor
48   · intro hdvd
49     have hmod : (a' ^ 2 - d * b' ^ 2) % 4 = 0 := (div4_iff_mod a' b' d).1
50     ↪ hdvd
51     have even_odd_impossible (ha : 2 ∣ a') (hb : ¬ 2 ∣ b') : False := by
52       have hmod' := hmod
53       have ha_eq : a' ^ 2 = 4 * (a' ^ 2 / 4) := by
54         have ha2 : a' ^ 2 % 4 = 0 := Int.sq_emod_four_of_even a' ha
55         omega
56       have hb_eq : b' ^ 2 = 4 * (b' ^ 2 / 4) + 1 := by
57         have hb2 : b' ^ 2 % 4 = 1 := Int.sq_emod_four_of_odd b' hb
58         omega
59       rw [hb_eq] at hmod'
60       ring_nf at hmod'
61       rcases hd4 with hd1 ∣ hd2 ∣ hd3 <;> omega
62     have odd_even_impossible (ha : ¬ 2 ∣ a') (hb : 2 ∣ b') : False := by
63       have hmod' := hmod
64       have ha_eq : a' ^ 2 = 4 * (a' ^ 2 / 4) + 1 := by
65         have ha2 : a' ^ 2 % 4 = 1 := Int.sq_emod_four_of_odd a' ha
66         omega
67       have hb_eq : b' ^ 2 = 4 * (b' ^ 2 / 4) := by
68         have hb2 : b' ^ 2 % 4 = 0 := Int.sq_emod_four_of_even b' hb
69         omega
70       rw [ha_eq, hb_eq] at hmod'
71       ring_nf at hmod'
72       rcases hd4 with hd1 ∣ hd2 ∣ hd3 <;> omega
73     have odd_odd_mod_four_one (ha : ¬ 2 ∣ a') (hb : ¬ 2 ∣ b') : d % 4 = 1
74     ↪ := by
75       have hmod' := hmod
76       have ha_eq : a' ^ 2 = 4 * (a' ^ 2 / 4) + 1 := by
77         have ha2 : a' ^ 2 % 4 = 1 := Int.sq_emod_four_of_odd a' ha
78         omega
79       have hb_eq : b' ^ 2 = 4 * (b' ^ 2 / 4) + 1 := by
80         have hb2 : b' ^ 2 % 4 = 1 := Int.sq_emod_four_of_odd b' hb
81         omega
82       rw [ha_eq, hb_eq] at hmod'
83       ring_nf at hmod'
84       omega
85     by_cases ha : 2 ∣ a' <;> by_cases hb : 2 ∣ b'
86     · exact Or.inl <ha, hb>
87     · ex falso
88     exact even_odd_impossible ha hb
89     · ex falso
90     exact odd_even_impossible ha hb
91     · exact Or.inr <ha, hb, odd_odd_mod_four_one ha hb>
92   · intro h
93     rcases h with <ha, hb> ∣ <ha, hb, hd1>
94     · obtain <p, rfl> := ha
95     · obtain <q, rfl> := hb
96     exact <p ^ 2 - d * q ^ 2, by ring>
97   · have ha_eq : a' = 2 * (a' / 2) + 1 := by omega
98   · have hb_eq : b' = 2 * (b' / 2) + 1 := by omega

```

```

96     rw [ha_eq, hb_eq]
97     ring_nf
98     have hd_eq : d = 4 * (d / 4) + 1 := by omega
99     rw [hd_eq]
100    ring_nf
101    omega

```

**6.7. Theorem 6.7 (forcing even–even when  $d \not\equiv 1 \pmod{4}$ ). Lean name:** `even_even_of_dvd_four_sub_sq_of_ne_one_mod_four`.

If  $d$  is squarefree and  $d \not\equiv 1 \pmod{4}$ , then

$$4 \mid (a'^2 - db'^2) \implies 2 \mid a' \text{ and } 2 \mid b'.$$

```

104 theorem even_even_of_dvd_four_sub_sq_of_ne_one_mod_four (d a' b' : ℤ) (hd :
  ↪ Squarefree d)
105   (hd4 : d % 4 ≠ 1) (h : 4 ∣ (a' ^ 2 - d * b' ^ 2)) :
106   2 ∣ a' ^ 2 ∣ b' := by
107   rcases (dvd_four_sub_sq_iff_even_even_or_odd_odd_mod_four_one d a' b'
  ↪ hd).mp h with
108   hab | <_, _, hd1>
109   · exact hab
110   · exact absurd hd1 hd4

```

**6.8. Theorem 6.8 (equivalence in non-1 mod 4 branch). Lean name:** `dvd_four_sub_sq_iff_even_even_of_ne_one_mod_four`.

If  $d$  is squarefree and  $d \not\equiv 1 \pmod{4}$ , then

$$4 \mid (a'^2 - db'^2) \iff (2 \mid a' \ \& \ 2 \mid b').$$

```

113 theorem dvd_four_sub_sq_iff_even_even_of_ne_one_mod_four (d a' b' : ℤ) (hd
  ↪ : Squarefree d)
114   (hd4 : d % 4 ≠ 1) :
115   4 ∣ (a' ^ 2 - d * b' ^ 2) ↔ (2 ∣ a' ^ 2 ∣ b') := by
116   constructor
117   · intro h
118     exact even_even_of_dvd_four_sub_sq_of_ne_one_mod_four d a' b' hd hd4 h
119   · intro h
120     exact (dvd_four_sub_sq_iff_even_even_or_odd_odd_mod_four_one d a' b'
  ↪ hd).2 (Or.inl h)

```

**6.9. Theorem 6.9 (equivalence in 1 mod 4 branch). Lean name:** `dvd_four_sub_sq_iff_same_parity_of_one_mod_four`.

If  $d$  is squarefree and  $d \equiv 1 \pmod{4}$ , then

$$4 \mid (a'^2 - db'^2) \iff a' \equiv b' \pmod{2}.$$

Together with section 6.6, Theorems 6.8 and 6.9 give the complete parity characterization: in the  $d \not\equiv 1$  branch only the “both even” case survives, while in the  $d \equiv 1$  branch the “same parity” condition captures both the even–even and odd–odd cases.

```

122 /-- If `d % 4 = 1`, divisibility by `4` is equivalent to same parity. -/
123 theorem dvd_four_sub_sq_iff_same_parity_of_one_mod_four (d a' b' :  $\mathbb{Z}$ ) (hd :
  ↪ Squarefree d)
124   (hd4 : d % 4 = 1) :
125     4 ∣ (a' ^ 2 - d * b' ^ 2) ↔ a' % 2 = b' % 2 := by
126   rw [dvd_four_sub_sq_iff_even_even_or_odd_odd_mod_four_one d a' b' hd]
127   constructor
128   · rintro (⟨ha, hb⟩ | ⟨ha, hb, _⟩) <;> omega
129   · intro h
130     by_cases ha : 2 ∣ a'
131     · left
132       exact ⟨ha, by omega⟩
133     · right
134       exact ⟨ha, by omega, hd4⟩
135

```

## 7. Embedding into $\mathbb{Q}(\sqrt{d})$ and image characterization (ClassificationToZsqrtd.lean)

With the mod-4 arithmetic in hand, we now connect it to the algebraic structure. The strategy is to embed Mathlib's  $\mathbb{Z}[\sqrt{d}]$  (the type Zsqrtd) into our ambient field model and characterize its image in terms of the half-integral representation from section 5.

**7.1. Definition 7.1 (canonical embedding).** **Lean name:** zsqrtdToQsqrtd.  
Define the ring map

$$\iota_d : \mathbb{Z}[\sqrt{d}] \longrightarrow \mathbb{Q}(\sqrt{d}), \quad m + n\sqrt{d} \longmapsto m + n\sqrt{d},$$

with coefficients interpreted in  $\mathbb{Q}$ .

```

12 /-- The canonical embedding ` $\mathbb{Z}\sqrt{d} \rightarrow \mathbb{Q}(\sqrt{d})$ ` into the rational quadratic
13 ↪ algebra model. -/
14 def zsqrtdToQsqrtd (d :  $\mathbb{Z}$ ) :  $\mathbb{Z}\sqrt{d} \rightarrow^{+*}$  Qsqrtd d where
15   toFun := fun z => ⟨(z.re :  $\mathbb{Q}$ ), (z.im :  $\mathbb{Q}$ )⟩
16   map_one' := by
17     ext <;> rfl
18   map_mul' := by
19     intro z w
20     ext <;> simp [mul_assoc, mul_comm, mul_left_comm]

```

**7.2. Theorem 7.2 (injectivity).** **Lean name:** zsqrtdToQsqrtd\_injective.

$$\iota_d(z_1) = \iota_d(z_2) \implies z_1 = z_2.$$

```

23 /-- The canonical embedding ` $\mathbb{Z}\sqrt{d} \rightarrow \mathbb{Q}(\sqrt{d})$ ` is injective. -/
24 theorem zsqrtdToQsqrtd_injective (d :  $\mathbb{Z}$ ) : Function.Injective
25   ↪ (zsqrtdToQsqrtd d) := by
26   intro z w hzw
27   ext

```

**7.3. Definition 7.3** (equivalence with image). Lean name: `zsqrtdEquivRangeQsqrtd`.

There is a ring isomorphism

$$\mathbb{Z}[\sqrt{d}] \cong \text{im}(\iota_d).$$

```

30
31 /-- `Z[sqrt d]` is ring-isomorphic to its image in `Q(sqrt d)`. -/
32 noncomputable def zsqrtdEquivRangeQsqrtd (d : Z) : Z[sqrt d] ≃+* (zsqrtdToQsqrtd
  ↪ d).range := by
33   refine RingEquiv.ofBijective (zsqrtdToQsqrtd d).rangeRestrict ?_
34   constructor
35   · intro z w hzw
36     exact zsqrtdToQsqrtd_injective d (Subtype.ext_iff.mp hzw)
37   · intro x
38     rcases x.property with ⟨z, hz⟩

```

**7.4. Theorem 7.4** (half-integral image criterion). Lean name: `halfInt_mem_range_zsqrtdToQsqrtd_iff_even_even`.

For integers  $a', b'$ ,

$$\frac{a' + b'\sqrt{d}}{2} \in \text{im}(\iota_d) \iff 2 \mid a' \text{ and } 2 \mid b'.$$

This is the bridge between the half-integral normal form and the  $\mathbb{Z}[\sqrt{d}]$ -representability question.

```

41
42 /-- A half-integral element is in the image of `Z[sqrt d]` iff both numerators
  ↪ are even. -/
43 theorem halfInt_mem_range_zsqrtdToQsqrtd_iff_even_even (d a' b' : Z) :
44   (∃ z : Z[sqrt d], zsqrtdToQsqrtd d z = halfInt d a' b') ↔ (2 ∣ a' ∧ 2 ∣ b')
  ↪ := by
45   constructor
46   · rintro ⟨z, hz⟩
47     have hm : (a' : Q) / 2 = z.re := by
48       simpa [halfInt, zsqrtdToQsqrtd] using congrArg QuadraticAlgebra.re
  ↪ hz.symm
49     have hn : (b' : Q) / 2 = z.im := by
50       simpa [halfInt, zsqrtdToQsqrtd] using congrArg QuadraticAlgebra.im
  ↪ hz.symm
51     have ha : 2 ∣ a' := by
52       refine ⟨z.re, ?_⟩
53       have hq : (a' : Q) = 2 * z.re := by nlinarith [hm]
54       exact_mod_cast hq
55     have hb : 2 ∣ b' := by
56       refine ⟨z.im, ?_⟩
57       have hq : (b' : Q) = 2 * z.im := by nlinarith [hn]
58       exact_mod_cast hq
59     exact ⟨ha, hb⟩
60   · rintro ⟨ha, hb⟩
61     rcases ha with ⟨m, hm⟩
62     rcases hb with ⟨n, hn⟩

```

**7.5. Theorem 7.5** (classification in  $d \not\equiv 1 \pmod{4}$  branch). Lean name: `dvd_four_sub_sq_iff_exists_zsqrtd_image_of_ne_one_mod_four`.

If  $d$  is squarefree and  $d \not\equiv 1 \pmod{4}$ , then

$$4 \mid (a'^2 - db'^2) \iff \exists z \in \mathbb{Z}[\sqrt{d}], \iota_d(z) = \frac{a' + b'\sqrt{d}}{2}.$$

Combining the mod-4 criterion (Theorem 6.8) with the image criterion (Theorem 7.4), this establishes that an integral element in half-integral form lies in  $\mathbb{Z}[\sqrt{d}]$  precisely when  $d \not\equiv 1 \pmod{4}$ . This completes the  $d \not\equiv 1 \pmod{4}$  branch of the classification at the element-level.

```

68 theorem dvd_four_sub_sq_iff_exists_zsqrtd_image_of_ne_one_mod_four
69   (d a' b' : ℤ) (hd : Squarefree d) (hd4 : d % 4 ≠ 1) :
70   4 ∣ (a' ^ 2 - d * b' ^ 2) ↔ ∃ z : ℤ√d, zsqrtdToQsqrtd d z = halfInt d
71   ↪ a' b' := by
72   rw [dvd_four_sub_sq_iff_even_even_of_ne_one_mod_four d a' b' hd hd4]
73   rw [halfInt_mem_range_zsqrtdToQsqrtd_iff_even_even]

```

## 8. Progress and remaining work

**Current progress.** The formalization now fully covers:

- The quadratic field setup and basic algebraic infrastructure (section 5 and preceding sections).
- The complete parity/divisibility mechanism reducing integrality to congruence conditions (section 6).
- The embedding and image characterization of  $\mathbb{Z}[\sqrt{d}]$  inside  $\mathbb{Q}(\sqrt{d})$ , completing the element-level classification for the  $d \not\equiv 1 \pmod{4}$  branch.
- The non-isomorphism theorem for distinct quadratic fields.

**Remaining (not yet formalized).** The explicit open item is the  $d \equiv 1 \pmod{4}$  structural branch, expected to use  $\mathbb{Z}[\frac{1+\sqrt{d}}{2}]$  as the integral model. Concretely, the next milestones are:

1. Formalize the missing  $\mathbb{Z}[\frac{1+\sqrt{1+4k}}{2}]$ -style construction needed for the  $1 \pmod{4}$  branch.
2. Complete the two remaining sorry markers in `ClassificationToZsqrtd.lean`, linking the element-level classification to the ring-of-integers isomorphism.
3. Unify both congruence branches into a final ring-of-integers classification theorem with a single API-level statement.

## References

- [1] George Boxer. *Algebraic Number Theory: Lecture Notes*. Imperial College London. 2024.
- [2] Mathlib Community. *Mathlib4: The Math Library for Lean 4*. 2024. URL: <https://github.com/leanprover-community/mathlib4>.