

HipSpec

Automating Inductive Proofs of Program Properties

Dan Rosén

Koen Claessen, Moa Johansson, Nicholas Smallbone

Chalmers University of Technology | University of Gothenburg

July 1, 2012

What is HipSpec?

Haskell source

```
rev [] = []  
rev (x:xs)  
  = rev xs ++ [x]  
  
prop_rev xs  
  = rev (rev xs) == xs
```

What is HipSpec?

Haskell source

```
rev [] = []  
rev (x:xs)  
  = rev xs ++ [x]  
  
prop_rev xs  
  = rev (rev xs) == xs
```

Hip

Haskell Inductive Prover

- ▶ FOL translation
- ▶ Apply induction
- ▶ Success

What is HipSpec?

Haskell source

```
rev [] = []  
rev (x:xs)  
  = rev xs ++ [x]  
  
prop_rev xs  
  = rev (rev xs) == xs
```

Hip

Haskell Inductive Prover

- ▶ FOL translation
- ▶ Apply induction
- ▶ Success, or stuck!

What is HipSpec?

Haskell source

```
rev [] = []  
rev (x:xs)  
  = rev xs ++ [x]  
  
prop_rev xs  
  = rev (rev xs) == xs
```

Hip

Haskell Inductive Prover

- ▶ FOL translation
- ▶ Apply induction
- ▶ Success, or stuck!

QuickSpec

Eq-theory from testing:

```
rev (xs ++ ys)  
  = rev ys ++ rev xs  
  
xs ++ [] = []  
  
xs ++ (ys ++ zs) =  
  (xs ++ ys) ++ zs
```

What is HipSpec?

Haskell source

```
rev [] = []  
rev (x:xs)  
  = rev xs ++ [x]  
  
prop_rev xs  
  = rev (rev xs) == xs
```

Hip

Haskell Inductive Prover

- ▶ FOL translation
- ▶ Apply induction
- ▶ Success, or stuck!

QuickSpec

Eq-theory from testing:

```
rev (xs ++ ys)  
  = rev ys ++ rev xs  
xs ++ [] = []  
xs ++ (ys ++ zs) =  
  (xs ++ ys) ++ zs
```

HipSpec

*Use
these as
lemmas!!*

Example functional program and property

```
rev (x:xs) = rev xs ++ [x]  
rev []      = []
```

```
qrev (x:xs) ys = qrev xs (x:ys)  
qrev []      ys = ys
```

Goal: $\forall xs. \text{rev } xs = \text{qrev } xs []$

Structural induction

$$\frac{P([]) \quad \forall x, xs. P(xs) \implies P(x:xs)}{\forall xs. P(xs)}$$

Structural induction

$$\frac{P([]) \quad \forall x, xs. P(xs) \implies P(x:xs)}{\forall xs. P(xs)}$$

lhs: `rev (x:xs) = rev xs ++ [x]`

rhs: `qrev (x:xs) [] = qrev xs [x]`

Structural induction

$$\frac{P([]) \quad \forall x, xs. P(xs) \implies P(x:xs)}{\forall xs. P(xs)}$$

lhs: `rev (x:xs) = rev xs ++ [x]`

rhs: `qrev (x:xs) [] = qrev xs [x]`

hypothesis: `rev xs = qrev xs []`

Structural induction

$$\frac{P([]) \quad \forall x, xs. P(xs) \implies P(x:xs)}{\forall xs. P(xs)}$$

lhs: `rev (x:xs) = rev xs ++ [x]` `= qrev xs [] ++ [x]`

rhs: `qrev (x:xs) [] = qrev xs [x]`

hypothesis: `rev xs = qrev xs []`

Structural induction

$$\frac{P([]) \quad \forall x, xs. P(xs) \implies P(x:xs)}{\forall xs. P(xs)}$$

lhs: $\text{rev } (x:xs) = \text{rev } xs ++ [x] = \text{qrev } xs [] ++ [x]$

rhs: $\text{qrev } (x:xs) [] = \text{qrev } xs [x]$

hypothesis: $\text{rev } xs = \text{qrev } xs []$

Stuck!!!

How do we proceed?

```
rev (x:xs) = rev xs ++ [x]  
rev []     = []
```

```
qrev (x:xs) ys = qrev xs (x:ys)  
qrev []       ys = ys
```

lhs: $\text{rev } (x:xs) = \text{rev } xs ++ [x] = \text{qrev } xs [] ++ [x]$

rhs: $\text{qrev } (x:xs) [] = \text{qrev } xs [x]$

How do we proceed?

```
rev (x:xs) = rev xs ++ [x]  
rev []      = []
```

```
qrev (x:xs) ys = qrev xs (x:ys)  
qrev []      ys = ys
```

lhs: $\text{rev } (x:xs) = \text{rev } xs ++ [x] = \text{qrev } xs [] ++ [x]$

rhs: $\text{qrev } (x:xs) [] = \text{qrev } xs [x]$

What about...

New Goal: $\forall xs, ys. \text{rev } xs ++ ys = \text{qrev } xs \text{ } ys$

How do we proceed?

```
rev (x:xs) = rev xs ++ [x]  
rev []     = []
```

```
qrev (x:xs) ys = qrev xs (x:ys)  
qrev []      ys = ys
```

lhs: $\text{rev } (x:xs) = \text{rev } xs ++ [x] = \text{qrev } xs [] ++ [x]$

rhs: $\text{qrev } (x:xs) [] = \text{qrev } xs [x]$

What about...

New Goal: $\forall xs, ys. \text{rev } xs ++ ys = \text{qrev } xs \text{ } ys$

Then with $ys = []$, we get

$$\text{rev } xs ++ [] = \text{qrev } xs []$$

How do we proceed?

```
rev (x:xs) = rev xs ++ [x]  
rev []     = []
```

```
qrev (x:xs) ys = qrev xs (x:ys)  
qrev []      ys = ys
```

lhs: $\text{rev } (x:xs) = \text{rev } xs ++ [x] = \text{qrev } xs [] ++ [x]$

rhs: $\text{qrev } (x:xs) [] = \text{qrev } xs [x]$

What about...

New Goal: $\forall xs, ys. \text{rev } xs ++ ys = \text{qrev } xs \text{ } ys$

Then with $ys = []$, we get

$$\text{rev } xs = \text{rev } xs ++ [] = \text{qrev } xs []$$

The crucial lemma

New Goal: $\forall xs, ys. \text{rev } xs ++ ys = \text{qrev } xs \text{ } ys$

Induction on xs

The crucial lemma

New Goal: $\forall xs, ys. \text{rev } xs ++ ys = \text{qrev } xs \text{ } ys$

Induction on xs

Base, to show: $\forall ys. \text{rev } [] ++ ys = \text{qrev } xs \text{ } []$

lhs: $\text{rev } [] ++ ys = ys$

rhs: $\text{qrev } [] \text{ } ys = ys$

The crucial lemma

New Goal: $\forall xs, ys. \text{rev } xs ++ ys = \text{qrev } xs \text{ } ys$

Induction on xs

Base, to show: $\forall ys. \text{rev } [] ++ ys = \text{qrev } xs \text{ } []$

lhs: $\text{rev } [] ++ ys = ys$

rhs: $\text{qrev } [] \text{ } ys = ys$

Hooray!

The crucial lemma

Step, to show: $\forall ys. \text{rev } (x:xs) ++ ys = \text{qrev } (x:xs) ys$

Hypothesis: $\forall ys. \text{rev } xs ++ ys = \text{qrev } xs ys$

The crucial lemma

Step, to show: $\forall ys. \text{rev } (x:xs) ++ ys = \text{qrev } (x:xs) \text{ } ys$

Hypothesis: $\forall ys. \text{rev } xs ++ ys = \text{qrev } xs \text{ } ys$

$$\begin{aligned} \text{lhs} &= \text{rev } (x:xs) ++ ys &&= \{\text{definition of rev}\} \\ &(\text{rev } xs ++ [x]) ++ ys &&= \{\text{associativity of ++}\} \\ &\text{rev } xs ++ ([x] ++ ys) &&= \{\text{definition of ++}\} \\ &\text{rev } xs ++ (x:ys) &&= \{\text{induction hypothesis on } (x:ys)\} \\ &\text{qrev } xs (x:ys) &&= \{\text{definition of qrev}\} \\ &\text{qrev } (x:xs) \text{ } ys &&= \text{rhs} \end{aligned}$$

The crucial lemma

Step, to show: $\forall ys. \text{rev } (x:xs) ++ ys = \text{qrev } (x:xs) \text{ } ys$

Hypothesis: $\forall ys. \text{rev } xs ++ ys = \text{qrev } xs \text{ } ys$

$$\begin{aligned} \text{lhs} &= \text{rev } (x:xs) ++ ys &&= \{\text{definition of rev}\} \\ &(\text{rev } xs ++ [x]) ++ ys &&= \{\text{associativity of ++}\} \\ &\text{rev } xs ++ ([x] ++ ys) &&= \{\text{definition of ++}\} \\ &\text{rev } xs ++ (x:ys) &&= \{\text{induction hypothesis on } (x:ys)\} \\ &\text{qrev } xs (x:ys) &&= \{\text{definition of qrev}\} \\ &\text{qrev } (x:xs) \text{ } ys &&= \text{rhs} \end{aligned}$$

HOORAY!

Success

We managed to prove

$$\forall xs. \text{rev } xs = \text{qrev } xs []$$

Using:

- ▶ $\forall xs, ys. \text{rev } xs ++ ys = \text{qrev } xs ys$
- ▶ Induction

Success

We managed to prove

$$\forall xs. \text{rev } xs = \text{qrev } xs []$$

Using:

- ▶ $\forall xs, ys. \text{rev } xs ++ ys = \text{qrev } xs ys$
- ▶ Induction
- ▶ But we also needed associativity of $++$ and $\forall xs. xs ++ [] = xs$, which need induction to be proved

Setting

Prove properties of functional programs using rewriting and induction.

Problem: Some of these properties require lemmas, that

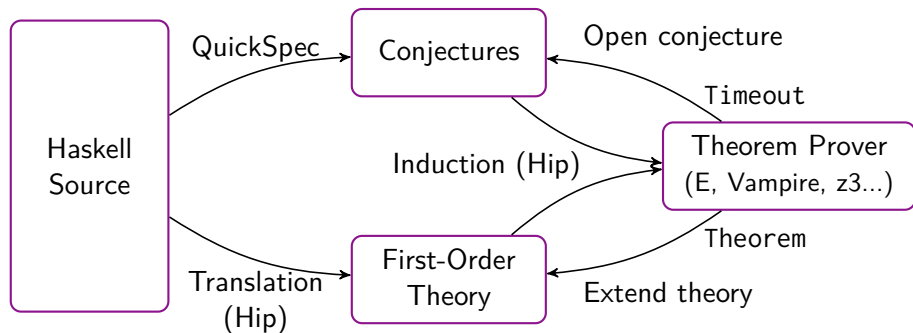
- ▶ Needs to be conjectured,
- ▶ Requires induction to be proved, and
- ▶ Might require lemmas themselves

Enter HipSpec

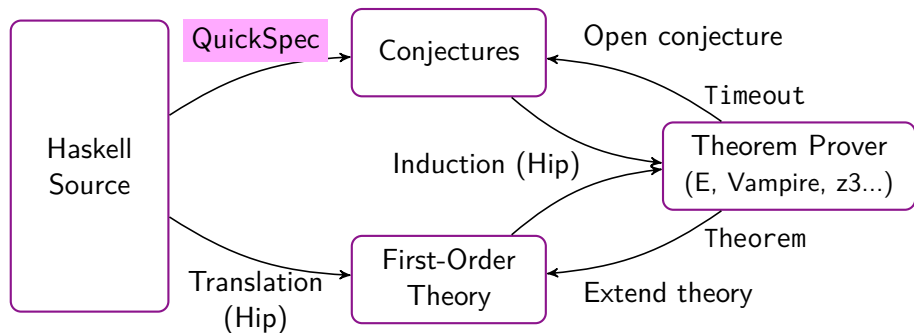
Solves this problems by:

- ▶ Generates an equational theory by counter-example testing,
- ▶ Try to prove this theory by applying induction
- ▶ Then, try to prove the user-stated properties
- ▶ Proof search with first-order theorem provers

Overview of HipSpec



Overview of HipSpec



Equivalence classes partitioning

Generates a bunch of terms:

<code>[]</code>	<code>[]++[]</code>	<code>qrev [] []</code>	<code>qrev (rev xs) []</code>
<code>qrev [] (rev xs)</code>	<code>qrev (rev xs) ys</code>	<code>qrev [] xs</code>	<code>qrev xs []</code>
<code>[]++qrev xs ys</code>	<code>qrev [] (xs++ys)</code>	<code>(x:xs)++[]</code>	<code>qrev xs ys++[]</code>
<code>qrev (x:[]) xs</code>	<code>qrev [] (x:xs)</code>	<code>rev []</code>	<code>rev (qrev ys xs)</code>
<code>rev (rev xs)</code>	<code>[]++rev xs</code>	<code>rev xs</code>	<code>rev xs++ys</code>
<code>xs</code>	<code>[]++xs</code>	<code>xs++[]</code>	<code>(xs++ys)++[]</code>
<code>[]++(xs++ys)</code>	<code>xs++ys</code>	<code>(x:[])++xs</code>	<code>xs++(x:[])</code>

Equivalence classes partitioning

```
xs  
xs++[]  
[]++xs  
qrev [] xs  
rev (rev xs)  
qrev (rev xs) []
```

```
[]  
rev []  
qrev [] []  
[]++[]
```

```
qrev xs ys  
rev (qrev ys xs)  
rev xs++ys  
[]++qrev xs ys  
qrev [] (qrev xs ys)  
qrev xs ys++[]  
qrev (qrev ys xs) []
```

```
xs++ys  
qrev (rev xs) ys  
[]++(xs++ys)  
qrev [] (xs++ys)  
(xs++ys)++[]
```

```
x:xs  
[]++(x:xs)  
qrev [] (x:xs)  
(x:xs)++[]  
(x:[])++xs  
qrev (x:[]) xs
```

```
rev xs  
qrev xs []  
[]++rev xs  
qrev [] (rev xs)
```

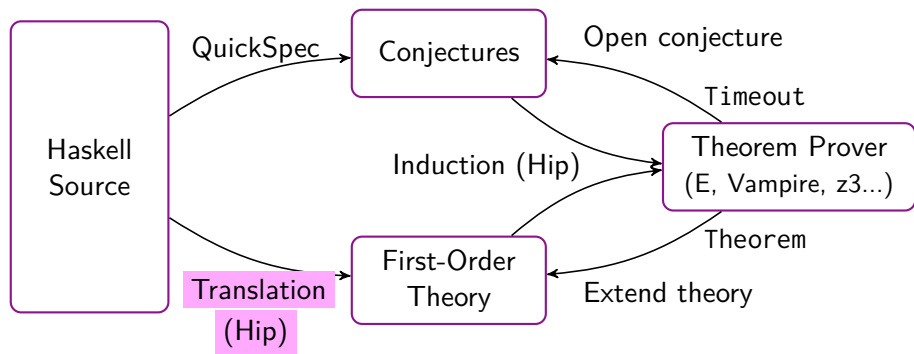
Example of pruned equations from QuickSpec

Universe has 2893 terms, 1824 classes

`== equations ==`

- 1: `xs++[] == xs`
- 2: `qrev xs [] == rev xs`
- 3: `[]++xs == xs`
- 4: `qrev [] xs == xs`
- 5: `(x:xs)++ys == x:(xs++ys)`
- 6: `(xs++ys)++zs == xs++(ys++zs)`
- 7: `qrev xs ys++zs == qrev xs (ys++zs)`
- 8: `qrev (x:xs) ys == qrev xs (x:ys)`
- 9: `qrev (xs++ys) zs == qrev ys (qrev xs zs)`
- 10: `qrev (qrev xs ys) zs == qrev ys (xs++zs)`

Overview of HipSpec



Hip : The Haskell Inductive Prover

- Translates the Haskell source definitions to first order logic

$$\begin{aligned}\text{rev } (x:xs) &= \text{rev } xs ++ [x] \\ \text{rev } [] &= []\end{aligned}$$

Function definition axioms:

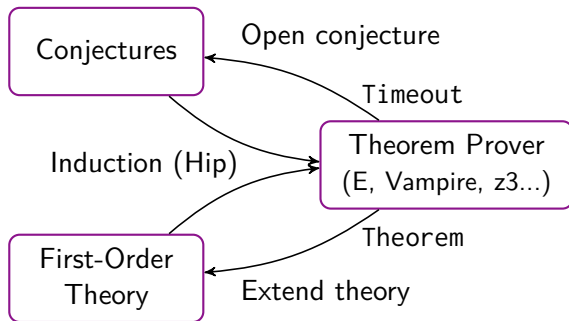
- 1 $\forall x, xs. \text{rev}(\text{cons}(x, xs)) = \text{append}(\text{rev}(xs), \text{cons}(x, \text{nil}))$
- 2 $\text{rev}(\text{nil}) = \text{nil}$

Data type axioms:

- 3 $\forall x, xs, y, ys. \text{cons}(x, xs) = \text{cons}(y, ys) \implies x = y \wedge xs = ys$
- 4 $\forall x, xs. \text{nil} \neq \text{cons}(x, xs)$

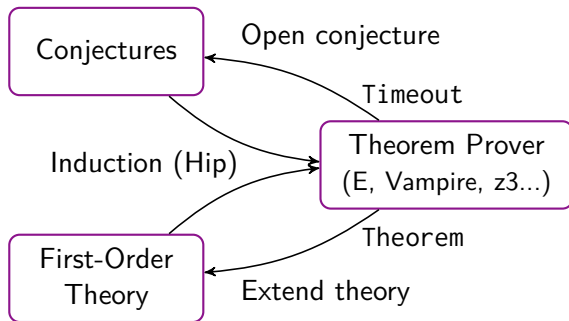
- Also supports higher-order functions and partial application
- Applies structural induction on properties

Picking a conjecture, and the main loop



1. Try to prove “smallest” unproved equation this round
2. Failure: save this for next round
3. Success: extend the theory
4. When a round did not lead to any successes, or everything proved, terminate.

Picking a conjecture, and the main loop



1. Try to prove “smallest” unproved equation this round
2. Failure: save this for next round
3. Success: extend the theory
4. When a round did not lead to any successes, or everything proved, terminate.

We use light-weight reasoning by means of a congruence closure to prune away conjecture that can be proved without induction.

Demo!

Evaluation - First Test Suite

First suite from *Case-Analysis for Rippling and Inductive Proof*
by Johansson, Dixon and Bundy (2010)

85 conjectures, 71 equational.

Evaluation - First Test Suite

First suite from *Case-Analysis for Rippling and Inductive Proof*
by Johansson, Dixon and Bundy (2010)

85 conjectures, 71 equational.

Tool	Proved conjectures (of 85)
Zeno	82
ACL2s	74
IsaPlanner	47
Dafny	45
HipSpec	67 (of 71)

Evaluation - First Test Suite

First suite from *Case-Analysis for Rippling and Inductive Proof*
by Johansson, Dixon and Bundy (2010)

85 conjectures, 71 equational.

Tool	Proved conjectures (of 85)
Zeno	82
ACL2s	74
IsaPlanner	47
Dafny	45
HipSpec	67 (of 71)

Unproved:

```
count n xs = count n (sort xs),   len (filter p xs) <= len xs
sorted (sort xs) = True,          len (delete n xs) <= len xs
```

But they require conditional lemmas!

Evaluation - First Test Suite

First suite from *Case-Analysis for Rippling and Inductive Proof*
by Johansson, Dixon and Bundy (2010)

85 conjectures, 71 equational.

Tool	Proved conjectures (of 85)
Zeno	82
ACL2s	74
IsaPlanner	47
Dafny	45
HipSpec	67 (of 71)

Two properties only proved by HipSpec!

```
rev (drop i xs) = take (len xs - i) (rev xs)
rev (take i xs) = drop (len xs - i) (rev xs)
```

Requires a bunch of quite far-fetched lemmas

Evaluation - Second Test Suite

Second test suite from *Productive Use of Failure in Inductive Proof* by Bundy and Ireland (1995)

Their tool CLAM supposedly proves all, but some properties contrived towards their tool, cf $\text{rev } (\text{rev } xs ++ []) = xs$

49 theorems, 38 equational.

Evaluation - Second Test Suite

Second test suite from *Productive Use of Failure in Inductive Proof* by Bundy and Ireland (1995)

Their tool CLAM supposedly proves all, but some properties contrived towards their tool, cf $\text{rev} (\text{rev } xs ++ []) = xs$

49 theorems, 38 equational. HipSpec proves 36!

Evaluation - Second Test Suite

Second test suite from *Productive Use of Failure in Inductive Proof* by Bundy and Ireland (1995)

Their tool CLAM supposedly proves all, but some properties contrived towards their tool, cf $\text{rev } (\text{rev } xs ++ []) = xs$

49 theorems, 38 equational. HipSpec proves 36!

Unproved:

No	Conjecture
T14	$\text{ordered } (\text{isort } xs) = \text{True}$
T50	$\text{count } x (\text{isort } xs) = \text{count } x \ xs$

Zeno?

Evaluation - Second Test Suite

Second test suite from *Productive Use of Failure in Inductive Proof* by Bundy and Ireland (1995)

Their tool CLAM supposedly proves all, but some properties contrived towards their tool, cf $\text{rev } (\text{rev } xs ++ []) = xs$

49 theorems, 38 equational. HipSpec proves 36!

Unproved:

No	Conjecture
T14	$\text{ordered } (\text{isort } xs) = \text{True}$
T50	$\text{count } x (\text{isort } xs) = \text{count } x \ xs$

Zeno? Proves 21/49

Success!

Success!?

There might be some limitations... ;)

Future work and current limitations

- ▶ Better heuristics (Equation order)
- ▶ Big theories and scalability
- ▶ Conditional properties
- ▶ Non-terminating programs and infinite values

Conclusion

Exploring the laws that hold through testing does not only help your understanding, but also helps to prove properties.

A form of completeness from QuickSpec: If there are laws up to a certain term size then QuickSpec is guaranteed to find them.

If the lemma is there, HipSpec will eventually try to prove it!

Extra slides

Obtaining HipSpec

- ▶ Clone the repository:
`git clone http://github.com/danr/hipspec`
- ▶ Installation (requires GHC):
`cd hipspec`
`git submodule update --init`
`cabal install`
- ▶ Install a theorem prover (say eprover)
- ▶ Try an example!
`cd testsuite/`
`runghc Reverse.hs`

Future work: Big theories

Taking all your functions from a big program:

- ▶ Testing takes a long time
- ▶ Lemmas become unrelated

Future work: Big theories

Taking all your functions from a big program:

- ▶ Testing takes a long time
- ▶ Lemmas become unrelated

How do we know when functions are related?

$$\text{length } (xs ++ ys) = \text{length } (ys ++ xs)$$

Future work: Conditional properties

Lemmas with implications:

$$\text{sorted } xs = \text{True} \implies \text{sorted } (\text{insert } x \text{ } xs) = \text{True}$$

Future work: Conditional properties

Lemmas with implications:

$$\text{sorted } xs = \text{True} \implies \text{sorted } (\text{insert } x \text{ } xs) = \text{True}$$

A trick: use a new data type, abstract for HipSpec:

```
data SortedList = SortedList { getSortedList :: [Nat] }
```

```
instance Arbitrary SortedList where  
  arbitrary = SortedList . scanl1 (+) `fmap` arbitrary
```

Future work: Conditional properties

Lemmas with implications:

$$\text{sorted } xs = \text{True} \implies \text{sorted } (\text{insert } x \text{ } xs) = \text{True}$$

A trick: use a new data type, abstract for HipSpec:

```
data SortedList = SortedList { getSortedList :: [Nat] }
```

```
instance Arbitrary SortedList where  
  arbitrary = SortedList . scanl1 (+) `fmap` arbitrary
```

Now, we can state the property in terms of a sorted list `sl`:

$$\text{sorted } (\text{insert } x \text{ } (\text{getSortedList } sl)) = \text{True}$$

Need a notation to HipSpec that SortedList has a sorted invariant.

Proof: $\text{rev} (\text{drop } i \text{ xs}) = \text{take } (\text{len xs}-i) (\text{rev xs})$

No	Conjecture
1	$\text{len} (\text{drop } x \text{ xs}) = \text{len xs}-x$
2	$\text{len xs} = \text{len} (\text{rev xs})$
3	$\text{xs} = \text{take } x \text{ xs}++\text{drop } x \text{ xs}$
4	$\text{rev} (\text{ys}++\text{xs}) = \text{rev xs}++\text{rev ys}$
5	$\text{xs} = \text{take } (\text{len xs}) (\text{xs}++\text{ys})$

<code>rev (drop i xs)</code>	<code>= {5}</code>
<code>take (len (rev (drop i xs))) (rev (drop i xs)++rev (take i xs))</code>	<code>= {2}</code>
<code>take (len (drop i xs)) (rev (drop i xs)++rev (take i xs))</code>	<code>= {1}</code>
<code>take (len xs-i) (rev (drop i xs)++rev (take i xs))</code>	<code>= {4}</code>
<code>take (len xs-i) (rev (take i xs++drop i xs))</code>	<code>= {3}</code>
<code>take (len xs-i) (rev xs)</code>	

Future work: Conditional properties II

What about

$$x < y = \text{True} \wedge y < z = \text{True} \implies x < z = \text{True}$$

Future work: Conditional properties II

What about

$$x < y = \text{True} \wedge y < z = \text{True} \implies x < z = \text{True}$$

Same trick?

```
data Pair = Pair { smaller :: Nat , larger :: Nat }
```

Can we state the property?

```
smaller p1 < larger p2 = True
```

Future work: Conditional properties II

What about

$$x < y = \text{True} \wedge y < z = \text{True} \implies x < z = \text{True}$$

Same trick?

```
data Pair = Pair { smaller :: Nat , larger :: Nat }
```

Can we state the property?

```
smaller p1 < larger p2 = True
```

Problem: how are p1 and p2 related?

Limitation: Expensive calculations

Imagine a program which does exponentiation, $**$, on unary nats
data Nat = Zero | Succ Nat

Too expensive to calculate $x ** (y ** z)$.

