

Graphs, GraphRAG and Generative AI: An Introduction

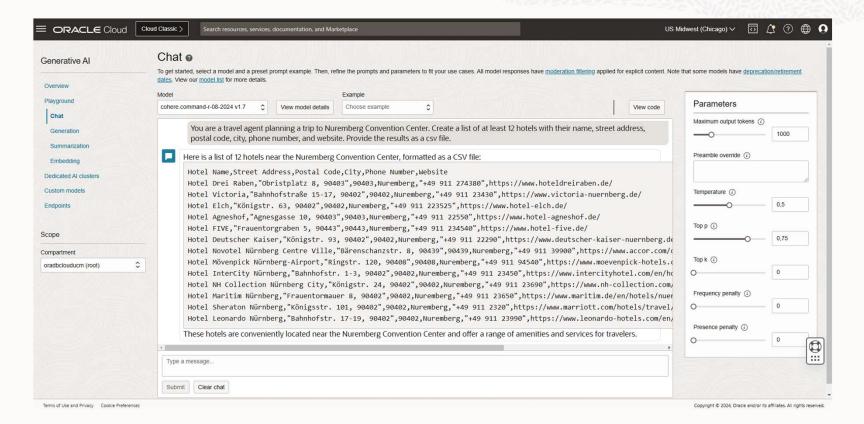
UKOUG Conference Discover 2024

Hans Viehmann

Director Product Management Oracle Spatial and Graph December 2nd, 2024 "You are a travel agent planning a trip to Nuremberg Convention Center. Create a list of at least 15 hotels with their name, street address, postal code, city, phone number, and website. Provide the results as a csv file."

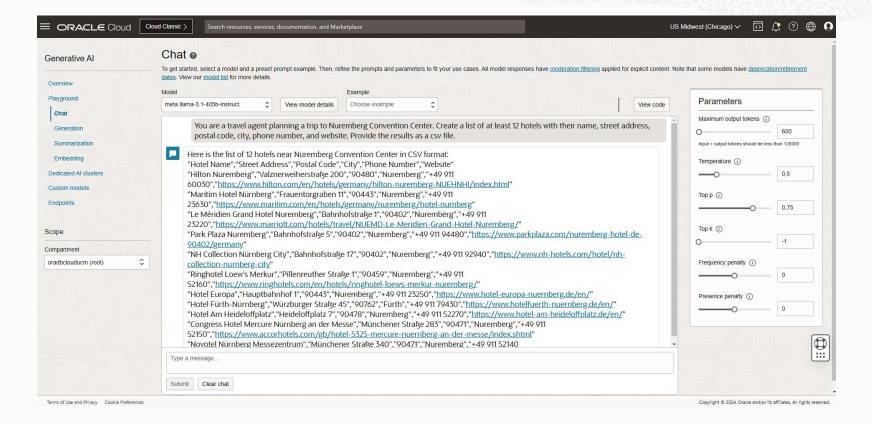
Using Chat interface in OCI GenAl

Model: cohere.command-r-08-2024 v1.7



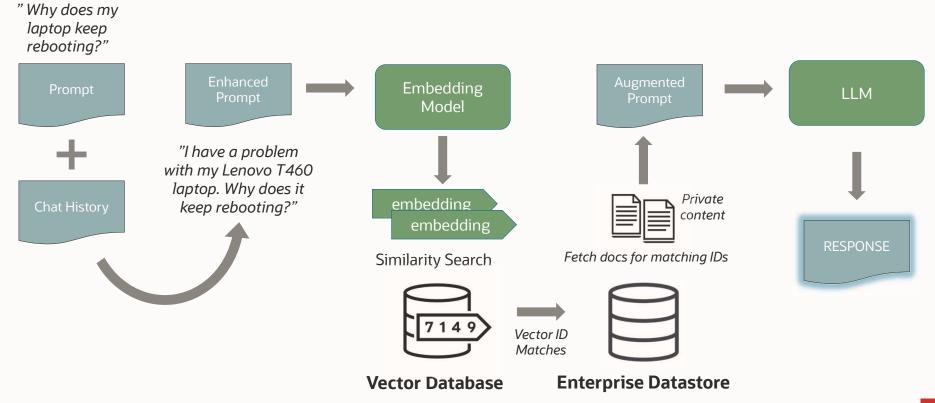
Using Chat interface in OCI GenAl

Model: meta.llama-3.1-405b-instruct



Retrieval Augmented Generation workflow

Supplying LLM-based chatbot with "enterprise knowledge"





RAG based on vector search

Development

Frameworks and tools evolving rapidly

• Langchain, LlamaIndex, ...

Comprehensive support in Oracle 23ai

- Document storage
- Vector similarity search
- OML with ONNX support
- APIs for external GenAl services
- ... All available through SQL and PL/SQL

Accessing GenAl APIs from Oracle 23ai

- Allow REST callouts
- Set up credentials
- Define GenAl profile

Accessing GenAl APIs from Oracle 23ai

```
★ File Edit Selection View Go Run
                                                                                                                                    SQL DEVELOPER

∨ CONNECTIONS

                                      C: > Daten > GenAl > DOAG_GraphRAG_notebook.sql > ..
      > ADB23 - FLIGHTS
                                            begin
                                       16
       V C ADB23 - GEN... 7 U C S 0
                                       17
                                                DBMS_NETWORK_ACL_ADMIN.APPEND_HOST_ACE(
       > C扁 Tables
                                       18
                                                    ace => xs$ace_type(privilege_list => xs$name_M\(\frac{1}{2}\)('http', 'connect', 'resolve'),
        > Pa Views
                                       20
                                                                   principal_name => 'GENAI',
       > Duality Views
                                       21
                                                                   principal_type => xs_acl.ptype_db));
        > R Indexes
                                       22
                                            end;
       > Packages
                                       23
       > Pin Procedures
      ∨ SQL SNIPPETS
                                            -- Continuing with user GENAI
                                       25
      > Aggregate Functions
                                       26
       > Analytic Functions
                                       27
                                            -- Definition of GenAI profile (provider, model, parameters)
       > Character Functions
                                       28
                                       29
       > Conversion Functions
                                       30
                                            BEGIN
       > Date Formats
                                       31
                                                DBMS_CLOUD_AI.DROP_PROFILE('OCI_GENAI', force => TRUE);
       > Date/Time Functions
                                                DBMS_CLOUD_AI.CREATE_PROFILE('OCI_GENAI', '{
                                       32
      > Number Formats
                                       33
                                                 "provider": "oci",
       > Numeric Functions
                                                 "credential_name": "OCI_CRED",
       > Optimizer Hints
                                                 "temperature": 0.5
       > PL/SQL Programming Techniques
                                               OUTPUT DEBUG CONSOLE TERMINAL PORTS QUERY RESULT
       > Predictive Analytics
       > Pseudocolumns
       > Flashback
       > SQL Developer Tips
× ⊗0∆0 ₩0
                                                                                                        Ln 28, Col 1 Spaces: 4 UTF-8 LF PL/SQL O ADB23 - GENAI Q
```

Demo

Graph RAG

Improving baseline RAG with graphs

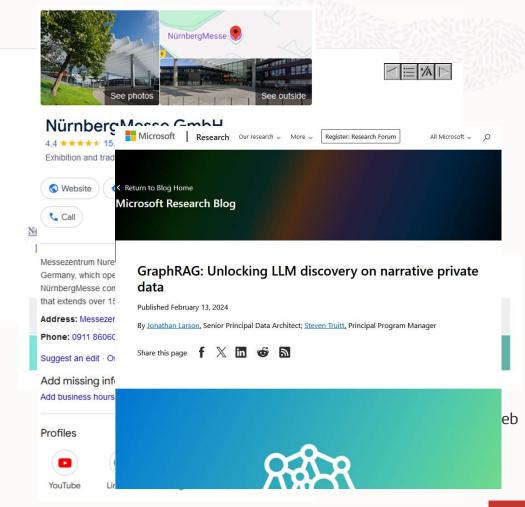


Evolution of search

- Keyword search (~1994)
- Pagerank (~1999)
- Semantic web (~1999)
- Google Knowledge Graph (2012)
- Graphs with LLMs (GraphRAG, 2024)

GraphRAG

- Includes graph retrieval step as part of RAG workflow
- Not necessarily graph retrieval only

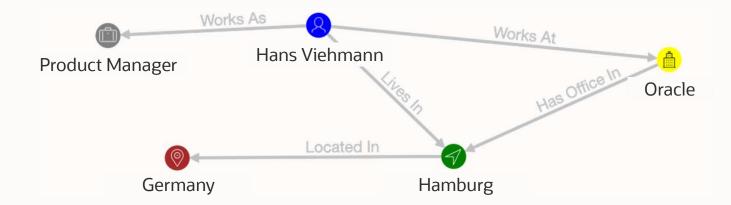




What are Knowledge Graphs?

Knowledge graphs use a graph structure to represent information about entities and their relations

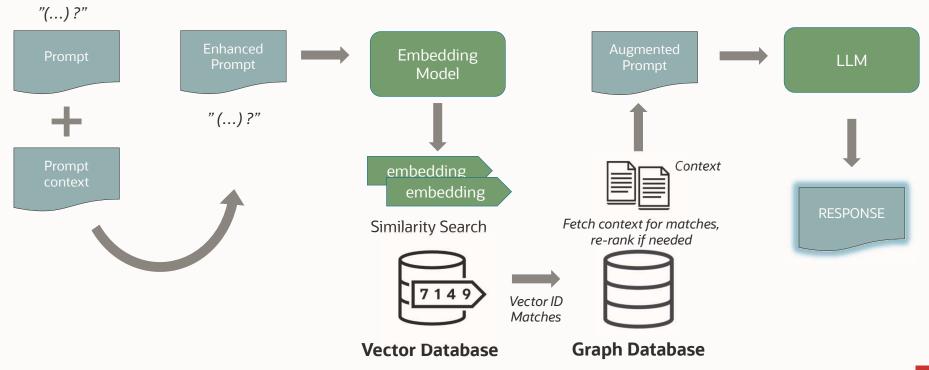
Schema-flexible model



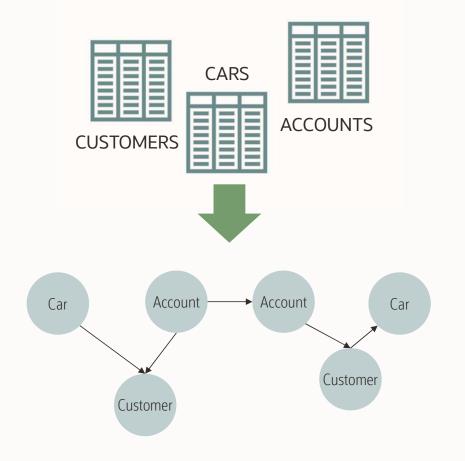
- Typical use cases include <u>improving search engines</u>, <u>smart assistants</u>, <u>detecting fraud and analyzing financial crime</u>, <u>predicting diagnoses in healthcare</u>.
- Expressive data model, powerful tool for data integration, unification, and analysis.

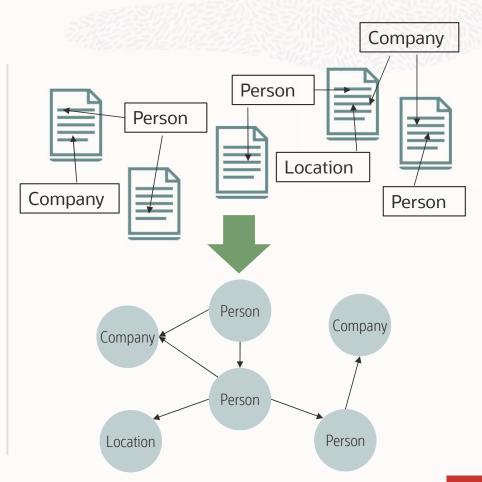


GraphRAG Workflow: LLM-based chatbot using Knowledge Graph

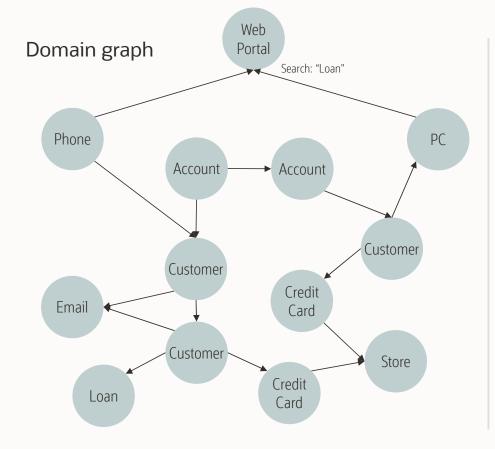


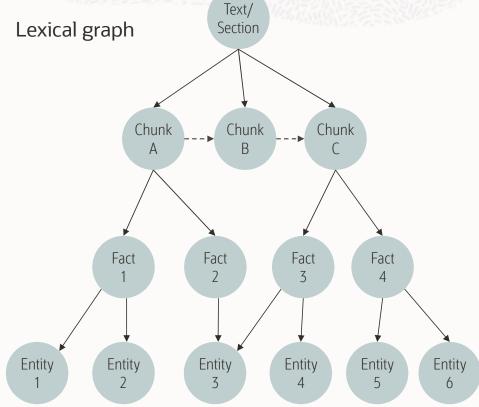
Where do graphs come from?





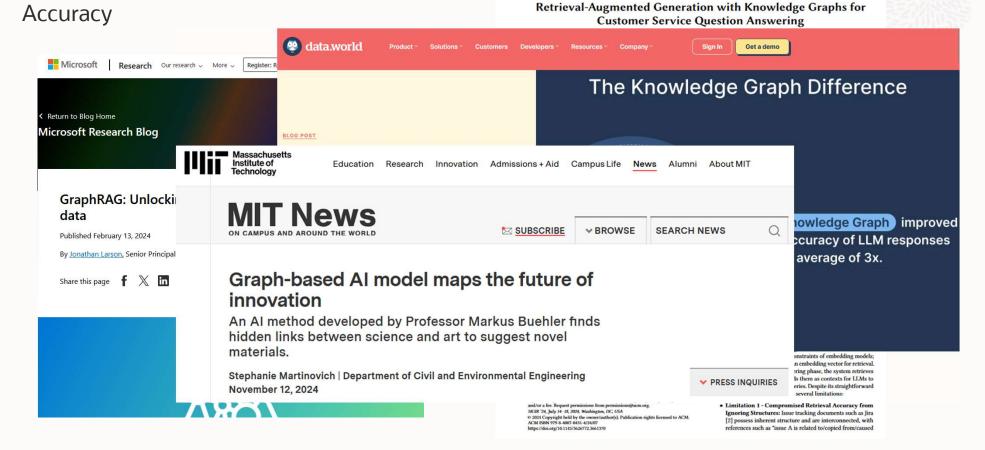
Exatracting graphs from documents







Benefits of using graphs for RAG



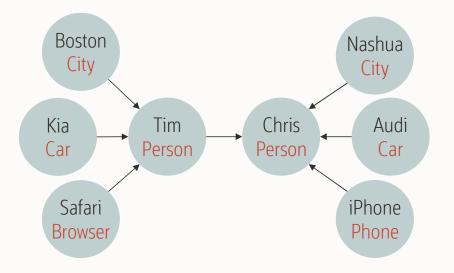


Benefits of using graphs for RAG

Explainability

Graph query

- Explicit representation of relationships
- Takes semantics into account
- Search based on graph traversal



Vector similarity search

- Opaque data structure
- Different distance metrics
- Search based on approximate nearest neighbor analysis

Tim: [0.247, 0.889, 0.144, 0.183,...]

Chris: [0.551, 0.795, 0.201, 0.229,...]

Why use Oracle for GraphRAG?

Converged database

- Graph support natively in the database kernel
 - Graph visualization for validation, debugging
- · Vector similarity search built in
- Document store (Text, JSON, XML, ...)

Integration of external services

- Secure REST calls from database
- PL/SQL APIs

OCI GenAl Services

- Various LLM services and options
- Comfortable tooling

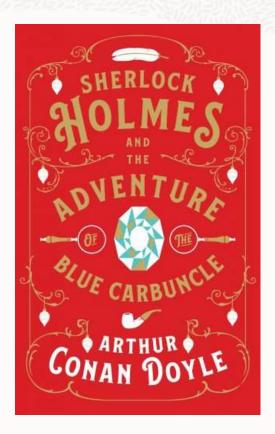




Demo scenario

Analysis of Sherlock Holmes story Demo flow:

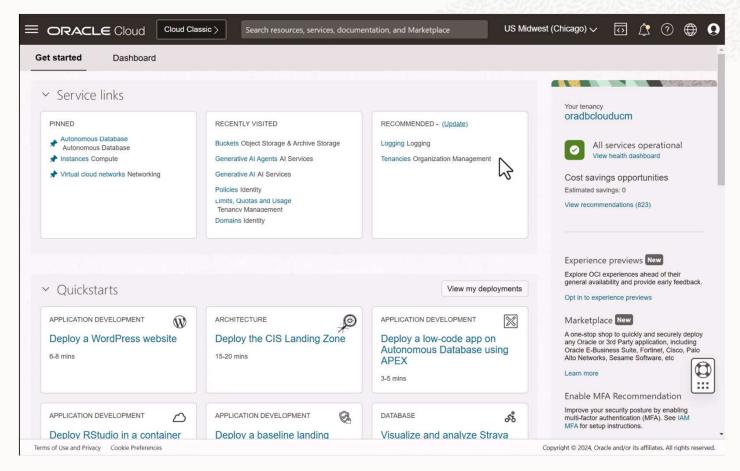
- Load text from object storage
- Split into chunks
- Extract relationships from each chunk in JSON format using LLM with self-defined prompt
- Extract entities, relationships and respective types from each JSON string
- Compute embedding for JSON string using embedding model, create vector index
- Create property graph from entities and relationships for graph queries
- Compute query embedding and select most relevant chunks
- Use LLM to generate answer





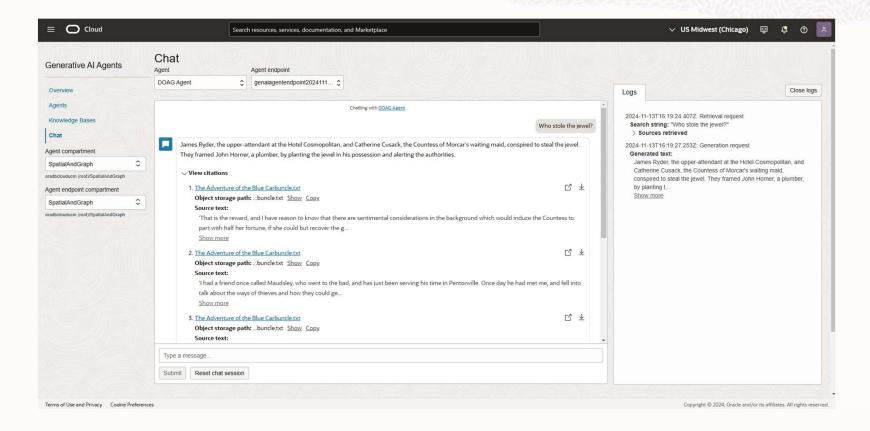
Demo

Using the new Generative AI Agents in OCI GenAI





Using the new Generative AI Agents in OCI GenAI





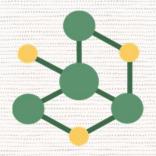
Wrap-up

Summary

GraphRAG produces more accurate, explainable results than baseline RAG

Using Oracle 23ai and Oracle Graph simplifies development of GraphRAG workflows

GraphRAG as a technique offers huge potential and is evolving rapidly



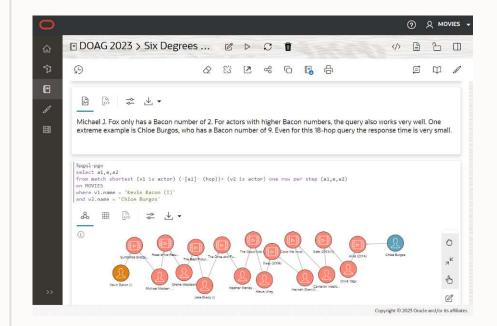
Further information on Oracle Graph

Oracle Graph technologies landing page on oracle.com

- www.oracle.com/database/graph
 Videos on Youtube
- www.youtube.com/c/OracleSpatialandGraph
 Oracle LiveLabs: Search for "graph" on
- livelabs.oracle.com/Blogs
- Database Insider (category: Graph)
- medium.com (tag: Oracle Graph)

Documentation

Oracle Property Graph documentation





Appendix

GraphRAG use case in Financial Services

Use Case

Case Graph Construction from Suspicious Activity Report (SAR)

Introduction:

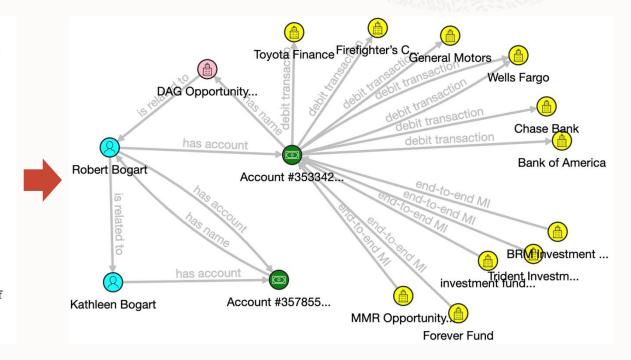
This case was referred for investigation by the MyBank AML Detection unit. The referral identifies potential cash deposit structuring activity in account number 353342287 in the name of DAG Opportunity Fund, LLC.

This investigation, which covers the time period of 1/1/20 through 7/6/20 (account closure), revealed suspicious activity totaling \$1,399,185.00, occurring between 1/8/20 and 6/18/20.

Details of Investigation:

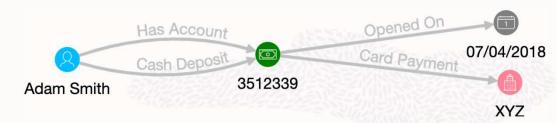
According to internal bank records, DAG Opportunity Fund, LLC is a pooled | investment account. The account signer, Robert Bogart, age 55, along with his spouse, Kathleen, age 48, are listed as an investment broker and schoolteacher respectively, and maintain the following account relationship with MyBank:

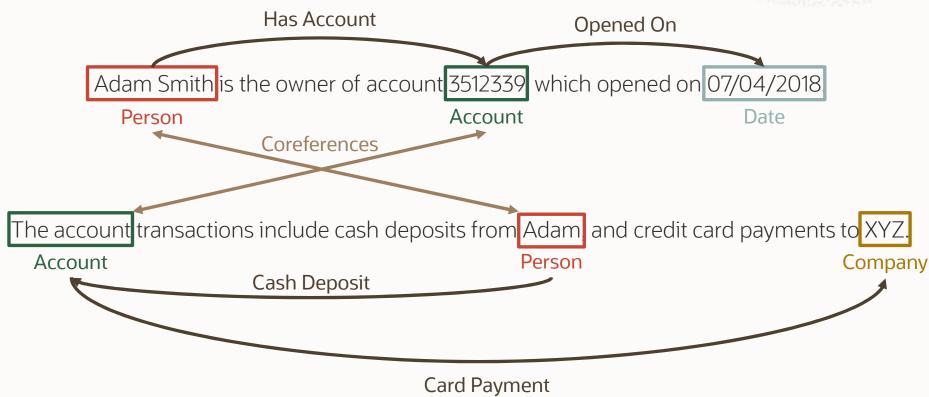
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Tasks to Solve Example

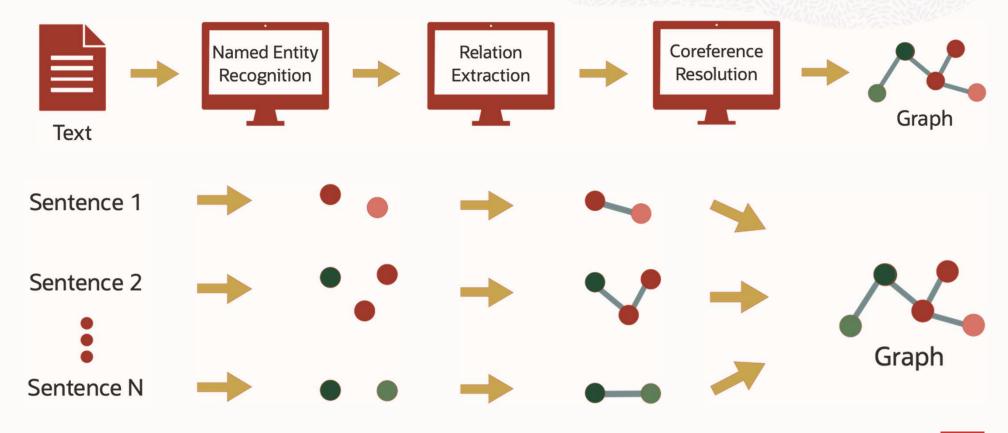






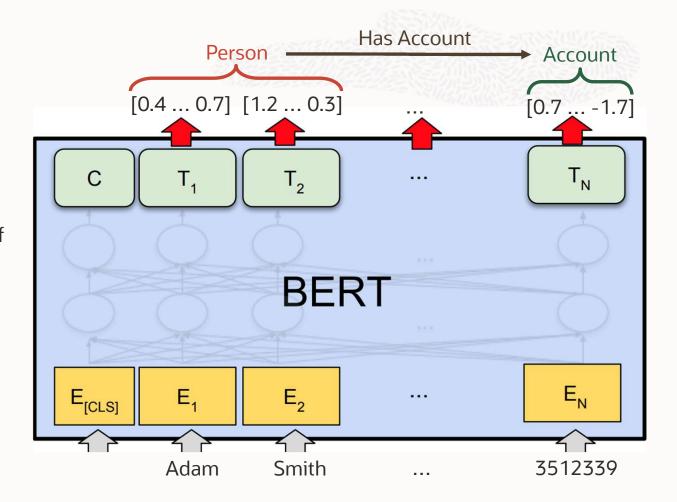
Pipeline Approach

Overview



Pipeline Approach Models

- We built three models, one for each of the tasks in the pipeline.
- All models are based on pretrained transformer models.
- Transformer models have 100s of millions of parameters, pretrained on a language modeling task using large datasets.
- They capture information about syntax and semantics of natural language.
- Simple classifiers on generated embeddings are enough to solve all three tasks after fine-tuning.





Pipeline Approach

Data Annotation

Introduction:

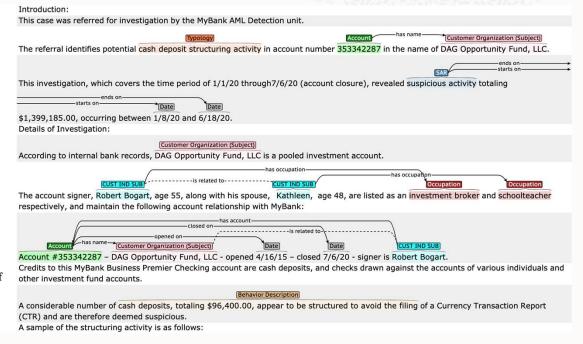
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To train the pipeline, entity + relation + coreference annotations are needed to be done manually on a number of documents using an annotation tool, such as:





Pipeline Approach

Results

On benchmark dataset SciERC (**Sci**entific **E**ntity **R**elation **C**oreference):

NAMED ENTITY	RELATION	COREFERENCE
RECOGNITION	EXTRACTION	RESOLUTION
70.1	50.5	60.2

F1 Scores

There are now better scores published for individual tasks on this dataset, e.g.:

- NER: 71.1 (Jeong and Kim, 2022)
- **RE:** 51.3 (<u>Santosh et al., 2021</u>) But the state-of-the-art has not progressed too far.

On our financial dataset SAR (more structured documents):

NAMED ENTITY	RELATION	COREFERENCE
RECOGNITION	EXTRACTION	RESOLUTION
88.82	81.26	87.06

F1 Scores

SAR Graph Quality Metrics:

NODES	EDGES
83.12	82.13

F1 Scores



Generalizability & Annotation Requirements

While the pipeline approach works well, it requires fine-tuning three models on many manuallyannotated documents. SAR dataset has 105 annotated documents and SciERC has 500.

Fine-tuned models end up being specific to the domain and schema they were trained with. This means for each new use case we need to retrain on a set of annotated documents for that use case.

Most stakeholders don't have such a dataset and would prefer not to go through the annotation **process.** So we have been focusing our research on reducing these annotation requirements.



Appendix

Other Ways of Using Graphs with GenAl



Generate Graph Queries

- Use LLMs to translate human language queries into graph query language
- If the LLM is not trained to formulate such queries, they can have the capacity to learn with a few examples ('few-shot' learning)
- Eliminates the need for developers to learn new syntax

Steps

- Embed as many queries as possible in a vector database
- From a user query identify the top k matches and retrieve the corresponding graph queries
- Use these related pairs as prompt examples to instruct our LLM to generate SPARQL queries



Semantic Knowledge Graphs based on RDF in RAG

Generating SPARQL queries using OCI GenAl Service

- Graphs can be complex to navigate, often requiring the use of query languages like SPARQL
- Typically, LLMs are not directly trained to formulate these queries. However, their capacity for learning from a few examples, or 'few-shot learning,' especially through prompt engineering, is a game-changer.
- By adopting prompt-based few-shot learning, we can teach LLMs to write SPARQL queries with a high level of proficiency.

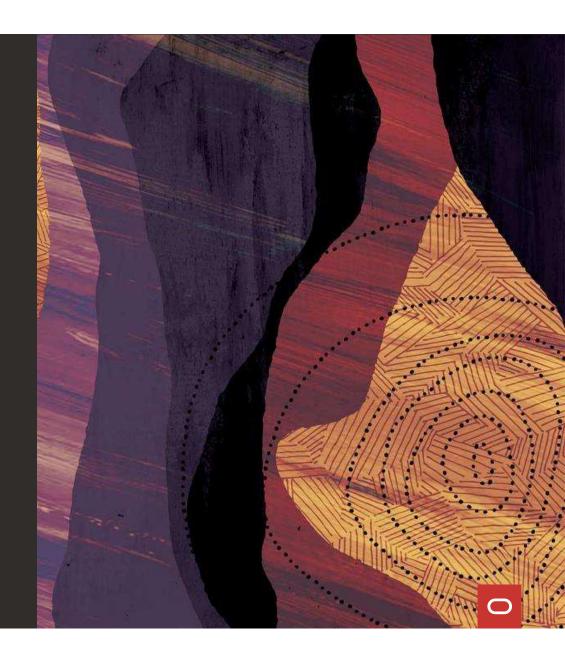
Strategy:

- 1. **Indexing**: Cataloging all the queries in our dataset into a vector store to streamline the retrieval process.
- 2. Match User Queries: When a user submits a query, we identify the most closely related queries in our index.
- **3. Retrieval:** We then extract the corresponding SPARQL queries for these matches.
- **4. Educate the LLM:** Finally, we use these related pairs as prompt examples to instruct our LLM in generating accurate SPARQL queries.
- **5. Final Answer Generation:** After obtaining the SPARQL query, we run it against the graph to gather the necessary results. These results, combined with the initial user query are then fed to the LLM to craft a well-rounded final response.



Thank you

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