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Corresponding Author:	Alin Olteanu, PhD University of Tartu Tartu, ESTONIA	
Corresponding Author Secondary Information:		
Corresponding Author's Institution:	University of Tartu	
Corresponding Author's Secondary Institution:		
First Author:	Alin Olteanu, PhD	
First Author Secondary Information:		
Order of Authors:	Alin Olteanu, PhD	
	Cary Campbell, PhD	
	Sebastian Feil, MA	
Order of Authors Secondary Information:		
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1. Introduction

1 Naturalizing Models: New Perspectives in a Peircean Key 2 Alin Olteanu, Cary Campbell & Sebastian Feil 3 4 **Abstract** 5 This paper reconsiders semiotic modelling in light of recent scholarship on Charles Peirce, particularly regarding his concept of proposition. Conceived in the vein of Peirce's phenomenological cat-6 7 egories as well as of his taxonomy of signs, semiotic modelling has mostly been thought of as as-8 cending from simple, basic sign types to complex ones. This constitutes the backbone of most cur-9 rently accepted semiotic modelling theories and entails the further acceptance of an unexamined a priori coherence between complexity of cognition and complexity of signification. Following recent 10 readings of Peirce's post-1900 semiotic, we engage in a discussion as to what are the limits of this 11 approach. From Stjernfelt's conception of the dicisign in nature, we derive a perspective that af-12 13 fords understanding the practice of modelling as a reciprocal interplay between (top-down) decomposition of complexity and (bottom-up) recombination into further complexity. This discussion is 14 15 facilitated by the recent extrapolation of the (initially) constructivist concept of scaffolding in biose-16 miotics research. Cognition, we argue, begins with a fundamental irritation of trying to make sense 17 of a structure that is more complex than what can directly be derived from experience and, in so do-18 ing, urges meaning-seeking (abductive) processes. The yet unknown object is decomposed into 19 more tangible objects and is subsequently reassembled from these more manageable conceptions of 20 the object. In support of our argument, we discuss the notions of semiotic competences and re-21 sources in light of such a naturalized account of meaning-making. 22 23 **Keywords:** Modelling; C. S. Peirce; Synechism; Scaffolding; Cognition; Complexity; Proposition; 24 Iconicity; Diagrammatic Reasoning; 25 Author info: Alin Olteanu, Department of Semiotics, University of Tartu (Estonia); Kaunas Univer-26 sity of Technology (Lithuania); 27 Cary Campbell, Faculty of Education, Simon Fraser University (Canada); 28 29 Sebastian Feil, Philologisch-Historische Fakultät, University of Augsburg (Germany). 30 31 **Corresponding Author:** Alin Olteanu 32 alin.olteanu@ut.ee

The recent adoption of the socioconstructivist notion of *scaffolding* in biosemiotics (Hoffmeyer 2007, 2015a, 2015b; Kull 2015) reveals new possibilities for research into learning, cognition, and

environmental modelling generally. Scaffolding, in this usage, refers to a process by which structures and processes left over from previous interactions, are utilized by the organism (or species, or ecological network) to channel learning/knowing more adaptively in the environment: "assuring that an organism's activities become tuned to that organism's needs" (Hoffmeyer, 2007: 154). Here knowledge and learning are understood as dependent upon an organism's competency to differentiate simple signification structures from complex ones. From out of a *complex* reality, the organism 'learns' to (re)use found simple structures toward the creation of new semiotic compositions (e.g., Hoffmeyer and Stjernfelt, 2016). While biosemiotics was in large part developed in view of Charles Peirce's semiotics, it diverges in some essential regards to what Peirce's pragmatism entails for (semiotic) modelling. The view brought about by the concept of semiotic scaffolding is, we argue, more akin to Peirce's semiotics than the classic view that originated in biosemiotics, which we would here like to call *bottom-up-modelling*. In this article, we aim to elaborate how the philosophical perspective behind such conceptions and theories (like scaffolding), challenges, in a nuanced way, the classic view of semiotic modelling as invariably proceeding from simple to complex signs (e.g., Sebeok and Danesi 2000), as well as the implications of this for future research.

In general, pragmatism, both in its empirical and in its 'linguistic turn' stages (see Koopman 2009), illustrates a *mereological understanding of knowledge* (represented by part-whole hermeneutic theories of knowing) which we here advocate for, albeit on a (bio)semiotic account. For instance, in the first chapter of his 1925 *Experience and Nature*, John Dewey discusses his idea of experience in relation to a perceived philosophical 'common sense':

Now the notion of experience, however devoid of differential subject-matter – since it includes all subject-matters –, at least tells us that we must not start with arbitrarily selected simples, and from them deduce the complex and varied, assigning what cannot be thus deduced to an inferior realm of being. It warns us that the tangled and complex is what we primarily find; that we work from and within it to discriminate, reduce, analyze; and that we must keep track of these activities, pointing to them, as well as to the things upon which they are exercised, and to their refined conclusions. (Dewey 1925: 13)

Empiricist 'common sense', Dewey tells us, is convinced that to create an orderly universe, we merely need to identify the most basic elements of experience from which we can *construct* the broad framework of 'experience' in all its breadth and depth. Quite contrary to this, according to Dewey, actual experience will inevitably confront us with the complexity of life in general, and it is only by starting from such a complex and tangled signification, and by relating our knowledge to it, that we can hope to make sense of anything at all.

¹ Complex in the sense that it is dynamic and not totally reducible to production rules, that is, computations, see Campbell (2017); Kull (2018); Nadin (2014; 2017).

While this idea is, at least in principle, quite intuitive to almost anyone post Dewey, the general target of its criticism, what we call bottom-up-modelling, is nevertheless a common theme in numerous areas of knowledge, including semiotics – suggesting that habits of 'classical' empiricism are to this day deeply ingrained in the structure of epistemology. Taking inspiration from Peirce's phenomenological categories (and the taxonomy of signs that these entail), modelling has mostly been considered as proceeding from simple, basic sign types to complex ones. This assumption constitutes the backbone of most currently accepted semiotic modelling theories (Sebeok and Danesi 2000) and has inspired numerous insightful developments in many cognate areas of research (e.g. Pietarinen 2004, Queiroz and Atã 2016). This is particularly the case of biosemiotics. Our aim is not to evaluate the relevance of these developments, but to explore and reconsider semiotic modelling in view of recent arguments, advanced mainly by Stjernfelt (2012, 2014, 2015, 2016), which claim a different (complementary and exclusive), non-linear complexity, particularly in regard to cognition. This avenue of research is also approached by Winfried Nöth (2018), who recently laid out an interesting proposal of mapping modelling in view of Peirce's ten sign classes (CP 2.254-2.564, "Syllabus", 1903), instead of a direct and linear correspondence to the threefold categorization of sign types. Actually, we argue that the recent uptake in biosemiotics of the scaffolding concept (Hoffmeyer 2007) is rather harmonious with this multi-directional view on modelling, which results from Stjernfelt's (2014) understanding of Peirce's sign taxonomy. In his development of Peircean logic, Stjernfelt did not address the issue of scaffolding explicitly. He suggested, though, together with Cobley, that the notion of semiotic scaffolding builds up to the mereological perspective in semiotics (2015). By explicating the implications of the semiotic account of scaffolding and bridging biosemiotics with state-of-the-art scholarship on Peirce's logic, we advance a multi-layered account of modelling.

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Our article proceeds as follows: We begin with briefly reviewing the development of the two main strands of modern semiotic theories of modelling (one culture-oriented, the other biology-oriented), mapping their interrelations and deviations (section 2). We will then consider how new readings of Peirce's late (post-1900) semiotic may aid in exposing the limits of modelling conceived as a (more-or-less) linear progression from iconic to symbolic modelling (sections 3 and 4). We argue that Peirce's later philosophy affords understanding modelling as both the decomposition of signs (top-down) and the recombination of simple signs, discovered via decomposition, into complex ones (bottom-up), thus advancing what has been called, in the context of a biosemiotic account of musical-cognition, a "hybrid" account (Reybrouck, 2012; 2015) of modelling processes. To aid us in this discussion, we introduce the notions of *semiotic competences* and *resources* (as derived from, mainly, social and literary semiotics) and elaborate them in light of our Peircean/biosemiotic account of meaning-making. We conclude by briefly reiterating our argument and findings, while considering implications for future research (section 5).

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2. A review of semiotic modelling

114 It is probably because Peirce's semiotics contains a theory of evolution that it inspired Thomas Sebeok to develop a theory of zoosemiotics (1965a; 1965b) and, shortly after, of biosemiotics 115 (1976; 1991; 2001a; 2001b). These two thriving branches of semiotic theory – which can now be 116 117 labelled post-Peircean semiotics (see Wheeler 2008, Salthe 2010, Olteanu 2019, Kull 2019: 100) – 118 stem from Sebeok's discovery (see Kull 2003) of the compatibility between Peirce's semiotics and 119 Jakob von Uexküll's theoretical biology (e.g., 1926, 2010). For Peirce, the sign, the basic instrument of logic, is an evolving phenomenon (CP 2.302, "The Art of Reasoning", 1894; CP 2.303, 120 121 "Baldwin's Dictionary", 1902; Merrell 1996; Stjernfelt 2007: 17, 25; Sharov et al. 2016; Tønnessen 122 et al. 2018: 327). The meaning of a sign resides in some possible future interpretation. This is expressed in Peirce's semiotic formulation of pragmatism by which a "sign is only a sign in actu by 123 124 virtue of receiving an interpretation, that is, by virtue of it determining another sign of the same object" (CP 5.569, "Truth", 1901). In this sense, signs are necessarily underdetermined and general. In 125 126 one of Peirce's simplest definitions, "a sign is something by knowing which we know something 127 more" (CP 8.332, "Letters to Lady Welby", 1904). This future orientation of the sign – that it grows 128 and evolves and thus cannot terminate in individual psychological states – is representative of one 129 of the most misunderstood aspects of Peirce's anti-psychologism (see Stjernfelt, 2014: 13-48). In this regard, Peirce argued that "a sign should leave its interpreter to supply a part of its meaning" 130 131 (CP 5.448n, "The Basis of Pragmaticism in the Normative Sciences", 1906) but that no single inter-132 pretation can possibly exhaust this meaning, for the simple reason that reality itself is always indeterminate – continually changing and unfolding. This is perhaps the central point in Peirce's princi-133 ple of continuity, termed Synechism: "A true continuum is something whose possibilities of deter-134 mination no multitude of individuals can exhaust" (CP 6.170, "Synechism", 1902). Interpretation 135 136 that proceeds along a continuum can always be subject to error, to falsity, and it is precisely this fact that makes this a semiotic (triadic) process and not a mere mechanical (dyadic) one. 137

Another cornerstone of Sebeok's modelling theory, besides Peirce and Uexküll, is his critical addition to Juri Lotman's (e.g., 1977, 1990) theory of cultural modelling. Given Lotman's concern with the creation of texts (meaningful artefacts) in human cultures, he considered two hierarchically related systems in human modelling: a linguistic one and a supra-linguistic one, residing in culture, art, science and any domain of human knowledge and activity that relies upon linguistic communication. While Sebeok embraced the idea of language, culture and knowledge in general as semiotic modelling, he pointed out that since verbal communication is rather rare in nature, human affairs as well must be conceived in acknowledgement of a nonverbal modelling system, underpinning the development of verbal linguistic capabilities (Sebeok 2001a: 26-27, 2001b). In support of this argument, he invoked the innovative, at the time (by now widely accepted, see MacLarnon 2012: 225), observation that, in humans, verbal language evolved not by means of an adaptation, in the Darwinian sense, but of what Gould and Vrba (1982) termed exaptation (e.g. Sebeok 1991: 56). An exaptation is an innovative use of existing physiological features, the emergence of which initially served different purposes than later co-opted for. Hominids developed verbal language by using certain, typically mammalian features for respiration and ingestion to produce phonemes. Thus, it is safe to

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assume that a pre-linguistic modelling system is at work in humans, just like in non-human animals. The language and culture of humans is, in a view compatible with Gibson's theory (1979), shaped to a high extent by the biological and embodied constitution of humans and, more implicitly, through our perception and interpretation of environmental *affordances*. In this view, Sebeok and Danesi argued that the "ability to make models is [...] a derivative of *semiosis*" (2000: 5), and described it further as "the capacity of a species to produce and comprehend the specific types of models it requires for processing and codifying perceptual input in its own way" (2000: 5). Semiosis, it should not be overlooked, is a distinctively Peircean concept (CP 5.484, "A Survey of Pragmaticism", 1907).

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This comprehensive notion of modelling generated a rich framework for semiotic understandings of knowledge as transcending nature-culture dichotomies. Particularly, a characteristic of this framework consists in the hypothesis that various features of organisms as well as relations between organisms and landscapes co-evolve (see Scalia 2019, Olteanu, Stables 2018: 425-428). This is to say that a biological feature does not evolutionarily emerge as an individual offshoot but always in a tight complex of physiological and environmental relations. While it supports the highly relevant idea of co-evolution, the stratified conception of three modelling systems also opens the pathway for a tempting reduction of Peirce's semiotics, in view of its typical three categories (CP 7.528, "Consciousness", 1893). This three-steps modelling theory is often used to justify (implicitly or explicitly) a direct correspondence between complexity of cognition and complexity of signification, resulting in the construction of cognitive models according to sign-hierarchies and sign dependencies.² While very considerate in using Peircean concepts and highly relevant to this day, the Sebeokian theory of modelling popularized a reading of Peirce where "representational activities" are "undergirded by three different, but interrelated, modeling systems present in the human brain, corresponding grosso modo to what Charles Peirce (1839-1914) called firstness, secondness, and thirdness." (Sebeok and Danesi 2000: 9-10) Sebeok and Danesi further illustrated what they mean through the example of early childhood development. They argued that the development and enculturation of an infant (1) starts from early strategies of knowing through the senses, which they termed primary modeling system, (2) proceeds by vocal imitation, gestures and indexing, and other strategies for joint attention, a secondary modeling system and (3) further develops to comprehend the use and production of culture-specific names and symbols (in a not fully Peircean understanding, as signs abstracted from their contexts of enactment), hence mastering a tertiary modeling system. These three developmental stages are, once more grosso modo, claimed to correspond to iconic, indexical and symbolic signification, as per Peirce's celebrated trichotomy (CP 2.247-2.249, "Syllabus", 1903).

² Note how recent research in cognitive semiotics and cognitive linguistics often models and observes some developmental progression from firstness to secondness to thirdness, or iconic modelling to indexical modelling to symbolic modelling. For example, see the cognitive/ontogenetic perspectives of Zlatev (2009, 2013), Zlatev and Andrén (2009). See Campbell (2019), who addresses the relevance of Sebeok and Danesi's Peircean influenced modelling for education and edusemiotics.

This excellent illustration of semiosis, in the Peircean sense, opened up a multitude of fertile research pathways. However, the approximate correspondence between Peirce's phenomenal categories and (what appear to be) cognitive developmental stages deviates, in some regards, from Peirce's actual theory. On a fully Peircean account, cognition is an instance of semiosis, not the other way around. Besides, as the example of the enculturation process particularly illustrates, such a theory of modelling can potentially underpin a kind of culturalism, namely the ideological theory claiming that cognition and behaviour are thoroughly determined by culture (Eriksen and Stjernfelt 2012). Culturalism is a widespread presumption in (early) cultural anthropology, which we avoid by claiming a common frame of animal-learning, as the discovery and sustainment of affordances, due to embodied (which includes cognitive) competences of knowing the environment. Notice, how such an emphasis on environmental modelling as a common frame of understanding does not reject the role of cultural processes and activities outright. Rather, these processes are seen as continuous with embodied ways of modelling and knowing the environment, representative of, in particular, highly social animals (such as humans), that have developed through evolution and cultural transmission, a high degree of collaboration and social bonding, features which can be fostered and enhanced through the symbolic restructuring of reality.

While Sebeok and Danesi stressed that the three modeling systems are, like Peirce's categories, interrelated and inseparable, their localization "in the human brain" endorses a cognitivist, potentially psychologistic derailing of semiotics. From our perspective, the parallelization of cognition and semiosis endorses an *overly strict* differentiation of meaning-categories (at least developmentally). Peirce, after defining semiosis as the irreducible "cooperation" of three distinct elements (i.e. sign, object and interpretant) in the 1907 "Pragmatism" manuscript, explicitly addresses this view, noticing that because we, humans, only know semiosis as a cognitive phenomenon, we can easily miss that the former is not only inclusive of, but also more comprehensive than the latter:

Although the definition [of semiosis] does not require the [...] interpretant [...] to be a modification of consciousness, yet our lack of experience of any semiosis in which this is not the case, leaves us no alternative to beginning our inquiry into its general nature with a provisional assumption that the interpretant is, at least, in all cases, a sufficiently close analogue of a modification of consciousness to keep our conclusion pretty near to the general truth. We can only hope that, once that conclusion is reached, it may be susceptible of such a generalization as will eliminate any possible error due to the falsity of that assumption. The reader may well wonder why I do not simply confine my inquiry to psychical semiosis, since no other seems to be of much importance. My reason is that the too frequent practice, by those logicians who do not go to work [with] any method at all [or who follow] the method of basing propositions in the science of logic upon results of the science of psychology – as contradistinguished from common-sense observations concerning the workings of the mind, observations well-known even if little noticed, to all grown men and women, that are of sound minds – that practice is to my apprehension as unsound and insecure [...]; seeing that, for the firm establishment of the truths of the science of psychology, almost incessant appeals to the results of the science

of logic – as contradistinguished from natural perceptions that one relation evidently involves another – are peculiarly indispensable. Those logicians continually confound *psychical* truths with *psychological* truths, although the distinction between them is of that kind that takes precedence over all others as calling for the respect of anyone who would tread the strait and narrow road that leadeth unto exact truth. (CP 5.485, "A Survey of Pragmaticism", 1907)

Peirce's argument is that the resolution of any interdependency of psychology and logic in the direction of psychology glosses over the fact that logic, being more general and thus, more all-encompassing, is to be treated logically prior, so to speak, if one wishes to avoid the pitfalls of relativism and conceptual solipsism implicit in any such forms of psychologism, i.e. "the idea that the study of the content and structure of thought and signs forms part of the domain of psychology – so that the empirical investigation of minds and brains forms the primary, or even *the only way*, of accessing these issues" (Stjernfelt 2014: 14). In our view, the idea that cognition, psychologically rendered, is

capable of framing semiosis as a whole, is an extension of this psychologistic point of view.

3. New readings of Peirce

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Arguably, Sebeok and Danesi (among others) also often appealed to supposing, like Peirce, an "analogue of a modification of consciousness" (CP 5.485, "A Survey of Pragmaticism", 1907) when developing modelling theory, for pragmatic purposes and, perhaps, also for the sake of brevity, clarity and thus wider empirical applications of semiotic insights. However, any use of the Sebeokian modelling theory requires careful consideration precisely because "[s]emiotics is impossible without anti-psychologism" (Stjernfelt 2014: 47). This explains, at least in part why Sebeok, while considering that cognition should be regarded as a sign-based phenomenon, did not recur to using the term cognition often (Jaroš, Maran 2019), preferring that of model, instead. Biosemiotics, developed along the lines set by Sebeok, has recently begun to embrace Peircean anti-psychologism wholesale and currently manifests an interest for instances of semioses that do not require cognition (Hoffmeyer, Stjernfelt 2016). This did not come without amendments to Sebeok's modeling theory though: Hoffmeyer and Stjernfelt argue that semiosis is evolutionary prior and a prerequisite to perception, in contrast to its construal in the Sebeok-Danesi modelling theory (2000: 6). Hoffmeyer and Stjernfelt consider perception a "high-level activity based on the integration of hundreds, thousands, or, in some cases, even millions of semiotic interactions in the body and between the body and its environment and [which] facilitates, in higher organisms, comprehensive mental maps of relevant aspects of organism surroundings... these endosemiotic tools are collectively responsible for the interaction of the organism with its social and physical world and constitute the fundament out of which so-called psychological reality, if any, of the organism will emerge" (Hoffmeyer and Stjernfelt, 2016: 9). Stjernfelt (2014: 4) also insists that, on the Peircean account, signs are vehicles for thought and cognition in general, outside of the narrow scope of perception and consciousness:

Thus, signs are not analysed as derivatives of more primary perceptions (...). Rather, many signs are indeed simpler than perceptions, as evidenced particularly by the biosemiotic sign use in simple animals without full perceptual field, sensory integration, central nervous systems, etc. Perception and

consciousness are rather to be seen as evolutionary later, more complicated phenomenon, probably evolved so as to scaffold and enhance simpler cognitive semiotic processes already functioning.

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The reduction of semiotic to cognitive types is false to Peirce's theory itself, being the seed of many popular misinterpretations of Peirce, which render his semiotics relativistic and/or language-centered³, often in opposition to Sebeok's reading. Hoffmeyer and Stjernfelt remark that psychologistic accounts of the semiosis-concept are endorsed by language-centrism (2016: 8). The idea of co-evolution is, actually, even more akin to Peirce's semiotics (e.g., Cobley, Stjernfelt 2015: 295) than the three-layered modelling view might suggest. Many instances of what we generally refer to in this paper as the idea of three-layered modelling, do not fully take into account Peirce's concept of continuity (CP 1.171; CP 6.170, "Synechism", 1902): Peirce's three phaneroscopic (read phenomenal) categories (CP 1.293, "The List of Categories: A Second Essay", 1894; CP 8.303, "Letters to William James", 1909) should be understood in view of his doctrine of synechism (CP 6.169, "Synechism", 1902; CP 6.163, "The Law of Mind", 1892; Stjernfelt 2007: 29-30), that is, of semiosic continuity. This doctrine rejects from the start the understanding of the categories as belonging to a discrete set of three aspects of reality, as often applied to discuss organisms' modelling of reality evolving from simple to complex. This criticism does not undermine the important contribution that the modelling theory (primarily attributed to Sebeok) had for semiotic scholarship. While arguably, in some instances, this view simplified Peirce's sign taxonomy for pragmatic reasons, it also revealed innovative uses of it. First of all, Sebeok's construal of nonverbality (1986, 2001a, 2001b) as a primary modelling system aligned semiotic theory to contemporary findings in evolutionary anthropology (e.g., MacLarnon, Hewitt 1999). This is one of the core reasons why Sebeokian biosemiotics refreshed the interest for Peirce in the second half of the 20th century, arguably leading to a "Peirce renaissance in semiotics" (Stjernfelt 2007: 53) which allowed semiotic research to keep the pace with advances in cognitive linguistics (e.g. Lakoff, Johnson 1980, 1999, Langacker 1990) and embodiment theories (e.g., Merleau-Ponty 1995). The interest here is how this particular modelling theory, which Peirce's semiotics inspired, resulted in a semiosis-cognition parallelism that does not reflect Peirce's initial theory. Also, in many subsequent modelling theories and their applications, the original Peircean foundation is often overlooked (e.g. Nöth 2018: 7-8). A reason for this, we observe, might be the oversimplification of Peirce's categorization of signs that infiltrated semiotic modelling theories and, in time, grew more discrepantly to both Peirce's theory and recent embodied approaches to language and communication.

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A particularly impactful theory developed in view of this hierarchical, threefold modelling theory, for instance, is Terrence Deacon's (1997, 2012a) account of language and cognitive evolution,

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³ Case in point: the interpretation of Peirce in Derrida's *On Grammatology* (1976: 49-50). Derrida was clearly fascinated with the idea of unlimited semiosis, but not receptive to the idea of the dependence of semiosis on the dynamical object and its terminus in habit. For a Peircean critique of this view, which is outside of the scope of the present paper, see Eco (1995). Some of us have previously commented on this issue, e.g. Feil (2017: 232) and Feil and Olteanu (2018: 207).

306 which entails an up-to-date conception of humans as standing out from other animals by their capa-307 bility of operating with symbols. This argumentation is a more specific version of the classical zoon logikon-view that was refreshed in the 20th century by arguments brought forth by the likes of 308 309 George Herbert Mead (1972 [1934]), Ernst Cassirer (1944) as well as John Deely (1990: 50-83). 310 While Deacon's program broadened the horizon of research on language and cognition with valua-311 ble new insights, particularly in view of co-evolution, the anthropocentrism of this doctrine can 312 nevertheless raise justified suspicions. We cannot fully discern whether Deacon's account of the hu-313 man as the symbolic species (1997) is sound or not, but what can be observed clearly, according to 314 Stjernfelt (2012), is that Deacon's use of Peirce's categories is not faithful to Peirce's doctrine. The concept of symbol that Deacon claims to be specifically human is not identical with what Peirce 315 316 termed symbol (CP 2.249, "Syllabus", 1903). Yet, Deacon (1997, 2002b) draws on Peirce's sign trichotomies to explain the evolution of cognitive capabilities for manipulating more and more com-317 318 plex sign types, culminating with the human mastery of symbolic types. Our concern is modelling 319 specifically from the perspective of Peirce's sign taxonomy, not that of undermining (or confirm-320 ing) Deacon's theory as a whole.

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In Deacon's theory learning supposes a progressive parallelism between complexity of cognition and complexity of signification, as in general in this broad framework for modelling as hierarchically mapped onto Peirce's categories. This is precisely, Stjernfelt (2012, 2014, 2015) argues, what diverges from Peirce's semiotics. Rather, in a Peircean view, the environment presents organisms with complex structures of meaning. Organisms, through their embodied *competences*, learn by decomposing these complex structures into simple sign types (Stjernfelt 2014: 156-161). From this point of view, an organism's possibilities of navigating its environment are enhanced by its competences to differentiate simple sign structures within complex ones. The newly discovered, simpler sign structures can be further used as *resources*, which when combined, result in new, complex meaning structures. In biosemiotics, these constructions have been termed *semiotic scaffoldings* (Hoffmeyer 2007, 2015), in inspiration of and analogy to the scaffolding metaphor for learning processes coming from socioconstructivist theories of education (i.e., Bruner 1957, 1960, 1966; Wood *et al.* 1976).

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336 We thus advance a definition of semiotic resources (a concept otherwise typical for social semiotic 337 approaches to multimodality, see Kress and van Leeuwen 2001, Kress 2010) as the simple sign 338 types resulting from the decomposition of complex reality. The semiotic resources that an organism 339 uses rely upon its own embodied species-specific semiotic competences (see Stjernfelt 2006) in creating new forms of *semiotic scaffolding*, so that an organism's actions in its environment become 340 341 better attuned to its evolving needs. This should offer complementary and broader insights for the 342 notion of semiotic resource in social semiotics and multimodality studies (i.e., Kress 2010: 27-28), 343 of an arguably more limited scope (at least, in the philosophical sense) than biosemiotics. In social 344 semiotics – where language-centrism is often manifest, as inherited from sociolinguistics (see 345 Randviir, Cobley 2010) – a notion of resources for meaning making was developed without much

consideration of embodiment. On a Peircean account, Stjernfelt argues for "a concept of the body which, in itself, makes evident the basic semiotic competences of an organism – thus, a body concept which entails semiotics" (2007: 257, see also Stjernfelt 2006: 14).

Semiotic competences consist in the capacities to decompose and construct; to realize *potential* semiotic resources, in the form of affordances (see Campbell, Olteanu, & Kull 2020; Kull 2018: 459, Olteanu, Stables 2018: 423, 429) for new possibilities of action and response. Through such loops of discovering sign relations anew and coupling them into novel (and in a sense, creative), pragmatic devices of meaning as scaffoldings (Cobley, Stjernfelt 2015: 292), organisms achieve new semiotic competences or, to put it simply, *they learn*. This notion of learning as the growth of semiotic competences can be said "to rest upon the ongoing differentiation, articulation and subdivision of simple Argument structure, facilitating the growth of semiotic freedom and cognitive capabilities over the course of evolution" (Stjernfelt 2014: 9). Campbell (2018a: 563) explains this notion of semiotic freedom in reference to the etymological origins of the English word learning in 'leornian', which has base roots in 'to follow or find the track', saying: "A growth in *semiotic freedom* is inevitably expressed in the capacity of an organism to model its environment in its own species-specific manner; to learn (to "find the track") *within its umwelt*". The acquisition of new semiotic competences also results in capacities for decomposing complex structures more finely (e.g. in human perception by the notion of *interstitial differentiation*, see Ingold: 2017).

To make sense of the implications of these new readings and extensions of Peircean philosophy that are emerging in biosemiotics, we must look closer at Peirce's late semiotic, specifically his theory of propositions, iconicity and diagrams.

4. Semiotic compositionality, continuity, and the primacy of iconicity

According to Stjernfelt's reading of Peirce, propositions play a pivotal role in modelling, as they imply a direct correspondence to factual states of affairs. Propositional signs, by their Subject-Pred-icate structure make claims that must be true or false (Stjernfelt 2014: 72-75, 2007: 88). Unlike other signs, which can be (closer to) neutral, a proposition forces its interpreter to make a judge-ment about its truth-value (see CP 8.337, "Letters to Lady Welby", 1904; CP 3.363, "On the Alge-bra of Logic", 1885). A proposition, or dicisign, as Peirce termed his notion of proposition in the most general sense (CP 2.309-2.310, "Syllabus", 1903), is understood as a sign wherein a predicate (or *rheme*, CP 2.250, "Syllabus", 1903) is applied to at least one (and potentiality to an infinity of) indexical sign(s), functioning as subject(s). An index is a sign that denotes its object by a direct af-fection (CP 2.247, "Syllabus", 1903). It contains an icon, that is, a sign that signifies due to similar-ity to its object (ibid.).

Also, within propositions, predicates play a critical role because, being signs interpreted as possible objects (CP 2.250, "Syllabus", 1903), predicates carry *potential* information (without conveying it).

A predicate necessarily supposes, in its constitution, an icon because "[t]he only way of communicating an idea is by means of an icon" (CP 2.278, "Categorical and Hypothetical Propositions are one in essence", 1895). These notions of propositions and predicates as schematic (iconic) developments imply a view on learning as the process of decomposing complex structures into simple sign types, which can further be used to construct new, complex signs (e.g., arguments).

According to Stjernfelt (2014, section 6.8), semiotic evolution cannot be said to begin with simple signs, which are gradually combined into more and more complex structures. Such a notion of "semiotic compositionality" when applied to understanding sign-use in general would seem to derive from anthropocentric views on evolution and learning theory (also cf. Olteanu, Stables 2018). Such conceptualizations will logically lead to a standpoint that sees symbolic cognition (which relies upon combinatorial modelling and the composition of self-referential sign-systems), as the natural apex of more primary forms of modelling (iconic and indexical) – as in Deacon's program. The problem, as this appears in Peirce's late semiotic, is that pure icons, indices, and symbols, are marginal phenomena. In isolation, they signify nothing, and thus can make no possible truth claims: "Such signs are indeed possible, but they remain limit cases, because neither the pure icon nor the pure index is able to communicate anything" (Stjernfelt 2014: 143).

According to Peirce's categories, such pure potentiality can only emerge from out of a prior, more general regularity. For Stjernfelt, this prior generality, is inchoative in the basic argument structure that even mono-cells rely upon – a basic perception-action cycle "that connects typified perception and typified action" (Stjernfelt 2014: 149). The famous biosemiotics example of *E. coli* bacteria 'interpreting' the partial outline of a sugar molecule, as a 'sign' to swim upstream towards the sugar gradient, constitutes a simple proto-proposition: the combination of a subject (index) and a predicate (icon) into a kind of rudimentary argument structure – that certainly does not pre-suppose cognition *nor* consciousness:

"This is sugar" – is followed by the action Dicisign of swimming in that direction –to form an argument: "If sugar, swim in its direction. This is sugar. So, swim in its direction". That this forms a very primitive argument – and not merely a cause-effect chain – can be induced from the fact that the E. coli may be fooled by artificial sweetener whose molecules possess the same molecular surface configuration as the active site in carbohydrates – But otherwise have a rather different chemistry without the easily releasable covalent binding energy of carbohydrates. (Stjernfelt 2014: 145-146)

Such a proto-argument, works through a basic "organizing principle whereby an element can be identified insofar as it is not the other, which by evoking it, it excludes" (Eco 2000: 111). Early on, Prodi (1988: 55) tried to articulate this kind of proto-semiotic reaction on the cellular level through the simple lock and key analogy:

An enzyme... selects its substrate from among a number of meaningless molecules with which it can collide: it reacts and forms a complex only with its partner molecule. This substrate is a sign for the

enzyme (for its enzyme). The enzyme explores reality and finds what corresponds to its own shape: it is a lock that seeks and finds its own key. In philosophical terms, an enzyme is a reader that "categorizes" reality by determining the set of all the molecules that can react with it factually... This semiotics (or proto-semiotics) is the basic feature of the entire biological organization (protein synthesis, metabolism, hormonal activity, the transmission of nervous impulses, and so on).

This is what we might call an instance of *primary iconism* as distinct from relative instances of iconism (Eco, 2000; 2014). To make sense of such a pure potentiality –which, as Peirce frequently reminded us, "every description of it must be false to it" (CP 1.357, "A Guess at the Riddle", 1887-1888) — is to speak about an imprint left by an impresser that is no longer accessible to us. It is merely the presupposition to correspond, prior to any instance of indexicality and thus any correspondence to an object. In actual reality, such pure possibility can only emerge, as an abduction (a meaning-seeking guess), because of a prior established regularity, or habit. Taking this stance allows us to understand how, in waking time, firstness is always inchoately present in thirdness, and thus acts as a reminder that our conceptualizations of semiosis (if they are truly to be in line with Peirce's doctrine of continuity) cannot be so reductively bottom-up: "Firstnesses do not spring up isolated; for if they did nothing could unite them. They spring up in reaction upon one another, and thus in a kind of existence" (CP 6.199, "The Logic of Continuity", 1898). Eco, late in his life, put this idea together rather clearly, reconstructing Peirce's ideas:

The emergence of Firstnesses through their being opposed to one another (Secondness) starting from the regularity of the habit (Thirdness) for Peirce is an event (CP 6.200), i.e. a singularity, a point at which something occurs... In this way the spontaneity of Firstness, whose irregular and singular nature Peirce underlines (CP 6.54) turns out to be nothing other than an infinitesimal deviation from the law and from the regularity on whose basis it is produced (CP 6.59). (Eco 2014: 514)

The *primary* icon (yet, unconnected to a proposition) simply reaches out into the environment, not to demonstrate that its object exists (as the index does), but rather "to demonstrate that their object is... consistent [with its own internal structure], and thus possible" (Legg 2017: 34, our italics). However, in Peirce's reading, symbols too are marginal phenomena, that properly signify nothing if bereft of iconic or indexical qualities; "in order to be understood, a symbol must bear information in the shape of an icon and relate that information to an object by means of an index" (Stjernfelt 2014: 143). In this sense, symbols are signs that might cause us to act a certain way in the future, repre-senting the prior established habitual connections that make something appear as possible according to some future orientation. Stjernfelt (2015: 142) explains further: "symbols are signs which are general as to their object, they possess an esse in futuro, referring to a potential continuity of future objects; they refer to their object by means of a habit, natural or cultural; they comprise icons for their understanding and indices for their object reference..." Nöth also admits to this, and clarifies

in interview: "The reality of symbols is not the reality of embodied signs; it is the reality of signs in their possibilities of embodiment".⁴

In a rather nuanced contrast to the 1, 2, 3 (discreet) reading of modelling discussed above, according to Peirce, it is icons and not symbols that give reality its structure and 'realness'. Peirce stated that an icon is a sign "... from which information may be derived," (CP 2.309, "Syllabus", 1903). This is what we might call *Operational Iconicity* (Stjernfelt, 2014: 8.2). Divergent from the common relativist definition that an icon signifies based on similarity or resemblance, the operational notion of iconicity asserts "icons as the only sign type able to provide information. This is why all more complex sign types must involve or lead to icons in order to convey information" (Stjernfelt 2014: 207-208). Later on, in the Syllabus, Peirce explained that "An Icon, however, is strictly a possibility involving a possibility" (CP 2.311, "Syllabus", 1903). Stjernfelt (2014: 208) elaborates on this somewhat enigmatic formula, and how it actually refers to both notions of iconism here highlighted: the *first possibility* refers to the icon as being a possible sign of what resembles it, as it is emphasized in a particular semiotic process (only later connected to an object or class of objects through an index, combined to assert a truth-claim functioning within a proposition). The second possibility however, refers to "the fact that similarity characteristics defined by the first possibility in themselves involve possibilities that are not explicit and that may be further developed" (2014: 208).

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The organism, through moving and acting in the environment, forms (and enacts prior) habits of relationship (scaffoldings), based on its own embodied morphology, that it continually projects outwards. According to Peirce it is always the primary modelling characteristic of the iconic sign that provides signification with its objective basis, or *Ground*, and this is because "what is most characteristic of it is that "its parts are related in the same way that the objects represented by those parts are themselves related" (CP 3.363). Therefore, according to operational iconicity, the essential aspect of the icon, is not in fact mere likeness, but rather *structural resemblance*. Put simply, "Icons are thus signs with implicit information that may be made explicit" (Stjernfelt 2014: 208) in future semiotic unfurling. This objective basis of iconic signification represents what Peirce calls *diagrammatic reasoning*, and it is this iconic 'mapping' of the new through the known that gives our experience shape and dimension, and allows us to derive truth from our interactions with the environment.

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This late Peircean reading of iconism helps us to clarify our definition of semiotic resources as the simple sign types resulting from the decomposition of complex reality. On this account, semiotic resources are not invented conventions, arbitrary meanings that in some way allow for shortcuts or new operations, but *discovered* relations, which existed as absent virtual phenomena, prior to their use by semiotic agents (also cf. Stjernfelt 2007: 57). Thus, from this expanded notion of iconicity we can better understand how the process from simple to complex should not be conceived of as a

⁴ See, https://philosophasters.org/blog/2018/8/13/from-plato-to-peirce-an-interview-with-winfried-noth

process of composition [as exemplified in human language and syntax]: "the overall arc of the semiotic argument process structure is there from the metabolic beginning, only in a undifferentiated, general shape —and semiotic evolution rather takes the shape of the ongoing subdivision, articulation, and sophistication of primitive signs, an ongoing refinement of parts and aspects acquiring still more autonomy" (Stjernfelt 2014: 158).

The *esse in futuro* characteristic of the symbol – with the power of bringing together *in continuity* previously unconnected signs through the establishment of habit – was there in the very beginning in the icon, albeit, in general, unarticulated shape. This is why, while any semiosis supposes or leads to growth, it is most appropriate to claim this about the *habitual use* of icons. Namely, "symbols grow" (CP 2.302, "The Art of Reasoning", 1894), meaning that "new symbols arise through diagrammatic experimentation" (Stjernfelt 2007: 115). From this understanding, semiotic compositionality, which relies upon the progressive de-structuring of the parts and components of propositional structure, to achieve greater combinatory possibilities. A fully symbolic competency, that may bypass indexical and iconic embodiments, could be seen to "find its highest degree of articulation in human language", however within such a perspective, the necessary diversity of semiotic body-forms and competences (convergent evolution) requires that we recognize this as "an important *achievement* rather than a possible starting principle" (Stjernfelt, 2014: 159).

5. Conclusions

Non-relativism is a critical feature of semiotic considerations of the biological. Peirce's theory of knowledge as the decomposition of complex structures, and the consequential (re)use of simple structures discovered in that process, does not imply epistemological relativism, as is the case with some of the more vernacular variants of (post)structuralism or some more 'continentally' informed versions of (neo-)pragmatism (e.g., Rorty 1967, Kallen 1956, Koopman 2009). While being a branch of semiotic inquiry which has treated Peirce rather attentively (Favareau 2010b: 39-41, 2010a: 115-148), at times biosemiotics also reduces what a Peircean modelling theory *might be*, by a hierarchical reading of Peirce's phenomenal categories.

Certain new directions in biosemiotics (e.g. Hoffmeyer 2007, Stjernfelt 2014, Hoffmeyer and Stjernfelt 2016) and these new ways of reading significance from Peirce's late thought, invite several interrelated observations for semiotic modelling theories:

Semiosis (sign-action) cannot be automatically deemed as co-extensive with cognition, consciousness, or perception. Rather, processes of meaning-making are expressed in any truth-seeking system, and this includes even simple organisms that do not have fully developed perceptual systems or nervous systems. Peirce observed that semiosis does not terminate within the agent's mind/body (we do not say interpreter, but interpretant!) but is expressed in the emergent patterning life-forms

continuously enact in their environments.

From this view, *modelling can neither be described psychologically nor neurologically*. Concepts like semiotic scaffolding and competences imply organism-environment co-evolution, illustrating the limits of explanations that consist solely in terms of mental/brain states. In this regard, Hoffmeyer paraphrased Peirce by claiming that "externalized signs are not mere supportive devices, instead, they undertake tasks which simply could not be performed by the brain (or body) alone" (CP 7.364 paraphrased in Hoffmeyer 2015c: 252).

The Peircean tri-relative *sign process* (sign-object-interpretant) supposes, through its basic structure, non-psychologism, as the involvement of the three elements is non-reducible to any individual element or to the interactions between any pair, being a relational phenomenon (the interpretant at once being another sign and so forth). Although not reducible to dichotomies, or binaries, semiosis is ontologically 'real'; with its unique type of causation (a re-channeling of regular physical causation). Therefore, *semiotic relations are not arbitrary, but the outcome of evolving interactions of lifeforms with evolving intentions and motivations*.

This realism of semiosis is implied in Peirce's late emphasis on the primacy of the icon being the only sign "from which information may be derived" (CP 2.309, "Syllabus", 1903). From this doctrine of icons, it is concluded that "more complex sign types must involve or lead to icons in order to convey information" (Stjernfelt 2014: 207-208). Such a perspective, we argue allows for the ability to simultaneously conceptualize the process of signification as both the decomposition of signs (top-down) and the recombination of simple signs, discovered via decomposition, into complex ones (bottom-up). Both processes are manifest in meaning-making, for meaning is inchoative (left-over as un-actualized potential) in previous semiotic interactions, but also undergoing continuous change and modification in the unfolding present.

Therefore, semiotic modelling theories need make no committing assumption about cognition, yet semiotics can be easily adopted in the cognitive sciences. Scaffolding structures and processes serve to diagram the *continuity* of organism-environment interactions for the continuation of life; a projection that requires an expanded temporality, that cannot be conceived purely sequentially, but which extends both forwards and backwards in time. This basic process is emphasized in Peirce's categories, through the way regularity and habit (thirdness) gives way to new potential (firstness). The innovation and creativity characteristic of what Peirce called abductive inference, presents us with an account of this basic semiotic unravelling. Abduction is, at its basis, a process that extends

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⁵ Olteanu and Stables (2018: 421) explain further: "from its beginning, biosemiotics was defined by Sebeok [e.g. 1991, 2001a]... as a modelling theory and, while useful for cognitive theories as well, it does not impose any particular assumption about cognition. Thus, from this perspective, a theory of learning does not necessarily imply a discussion on cognition. An educational theory and system can conceive learning in terms of signification only".

iconic forms outwards into the environment; "abduction transforms overall iconic structure into overall symbolic structure" (Pearson 2017, Sec 1.5).

Through this account of semiotic learning, and the corollary conceptions of resources and competences, we can adopt a view on modelling-as-knowing that does not impose a linear developmental progression from simple to complex: the perception of simple iconic forms, to fully conventional symbolic modelling. The reading of Peirce advocated here – explained through a notion of operational (primary) iconicity as distinct from relative iconicity –recognizes that instead, semiotic systems proceed from a state of knowing the environment *generally*, based on perceptual judgements (CP 2.327, "Syllabus", 1903; CP 4.539, "Prolegomena to an Apology for Pragmatism", 1906), a "basic presupposition to correspond" to some 'other', based on their own unique embodied-semiotic competences. Such primary iconism is the basic process by which organisms can be said to know their complex (and variable) environments in their own species-specific ways (see Campbell 2018b, for a fuller discussion). In this view, complexity of cognition does not correspond linearly to complexity of signification. Rather, simple (in Peirce's language, degenerative) signs, like icon, index, symbol, are the result of an organism's semiotic activities in an environment, understood here as the decomposition of complex structures (interpreted, in the environment) into simple (and thus, generalizable) signs, which, through the development of semiotic competences (the ability to use resources in the form of potential affordances), allow for the creation and discovery of new semiotic possibilities, which in terms of Peirce's logic-as-semeiotic, can be expressed as "the ongoing differentiation, articulation and subdivision of simple Argument structure" (Stjernfelt 2014: 9, see section 3 above).

This invites a perspective on semiotic learning that is notably embodied and phenomenological: that places semiosis within the unfurling actions and responses of an organism, and ultimately, in the presence and possibility of choice (Kull, 2018), in *a possibility that suggests a possibility*, and thus in the opening-potential of the icon. The issue with reading and applying a simple bottom-up perspective from Peirce's semiotic is that it tends to imply a hierarchy from least complex to most complex which, together with a positive valuation complexity, often plays in the hands of an anthropocentric view in which the symbolic processing competences of humans are seen as the natural apex of evolution and development. In contrast, a hybrid account of meaning-making, as implicit in Peirce's late semiotic, strongly suggests a thesis of *convergent evolution*, and naturally advocates for a wider view of how plants and animals 'know' and 'learn' in their environment. Thus, we suggest that this theoretical approach has relevance to emerging conceptualizations in the environmental humanities (e.g. Maran, 2020), as well as environmental literacy and education (e.g. Stables, Bishop 2001). This implies a research program that asks the critical questions about how different expressions of environmental modelling can be understood as continuous with one another (not distinct), in line with Peirce's doctrine of continuity.

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