VISVESVARAYA TECHNOLOGICAL UNIVERSITY

"Jnana Sangama", Belagavi-590018, Karnataka



Technical Seminar Report on

"Brain-Computer Interface Controlled Functional Electrical Stimulation: Evaluation With Healthy Subjects and Spinal Cord Injury Patients

Submitted in partial fulfillment of the requirements for the award of the degree of Bachelor of Engineering

in

Artificial Intelligence and Machine Learning

Submitted by

USN Name
1BI20AI055 Vishal S B

Under the Guidance of **Prof. Yamini Sahukar P**

Assistant Professor
Department of AI&ML, BIT

Bengaluru-560004



DEPARTMENT OF ARTIFICIAL INTELLIGENCE AND MACHINE LEARNING BANGALORE INSTITUTE OF TECHNOLOGY

K.R. Road, V.V. Pura, Bengaluru-560 004 **2023-24**

VISVESVARAYA TECHNOLOGICAL UNIVERSITY

"Jnana Sangama", Belagavi-590018, Karnataka

BANGALORE INSTITUTE OF TECHNOLOGY

Bengaluru-560 004



DEPARTMENT OF ARTIFICIAL INTELLIGENCE AND MACHINE LEARNING

CERTIFICATE

Certified that the Technical Seminar entitled "Brain-Computer Interface Controlled Functional Electrical Stimulation: Evaluation With Healthy Subjects and Spinal Cord Injury Patients" carried out by

USN Name
1BI20AI055 Vishal S B

a bonafide students of VIII semester in partial fulfillment for the award of Bachelor of Engineering in Artificial Intelligence & Machine Learning of the VISVESVARAYA TECHNOLOGICAL UNIVERSITY, Belagavi during the academic year 2023-24. It is certified that all corrections/suggestions indicated for Internal Assessment have been incorporated in the report deposited in the departmental library. The Technical Seminar report has been approved as it satisfies the academic requirements in respect of Technical Seminar prescribed for the said degree.

Name & Signature of the Guide

Name & Signature of the HOD

ACKNOWLEDGEMENT

The satisfaction and euphoria that accompanies the successful completion of any task would be incomplete without complementing those who made it possible and whose guidance and encouragement made my efforts successful. And my sincere thanks to all those who have supported me in completing this technical Seminar successfully.

My sincere thanks to **Dr. M. U. Aswath**, Principal, BIT and **Dr. D G Jyothi**, HOD, Department of AI & ML, BIT for their encouragement, support and guidance to the student community in all fields of education. I am grateful to our institution for providing us a congenial atmosphere to carry out the Technical Seminar successfully.

I would not forget to remember **Prof. Shobha Y**, Associate Professor and Technical Seminar Coordinator, for her encouragement and more over for her timely support and guidance till the completion of the Technical Seminar Coordinator.

I avail this opportunity to express my profound sense of deep gratitude to my esteemed guide **Prof. Yamini Sahukar P**, Assistant Professor, Department of AI & ML, BIT, for their moral support, encouragement and valuable suggestions throughout the Technical Seminar Coordinator.

I sincerely thank all the department faculty members and non-teaching staff for supporting me directly or indirectly in completing this Technical Seminar.

VISHAL S B

1BI20AI055

ABSTRACT

Brain-computer interface (BCI) controlled functional electrical stimulation (FES) represents a promising integration of technologies aimed at enhancing the quality of life for individuals with disabilities resulting from spinal cord injuries (SCI). This study evaluates the efficacy and feasibility of a BCI-FES system in both healthy subjects and SCI patients to restore voluntary motor control and promote neuroplasticity.

The study was divided into two primary phases. The first phase involved training and testing with twenty healthy participants to calibrate the BCI system and refine the FES protocols. Participants engaged in a series of tasks that involved imagining or attempting specific limb movements while their brain activity was recorded via EEG. The BCI system was designed to detect these intent-driven neural patterns and trigger corresponding FES to induce the desired limb movement.

In the second phase, ten patients with varying degrees of SCI were enrolled to assess the therapeutic potential of the BCI-FES system. Participants underwent a six-week intervention where they used the BCI to control FES-assisted movements. Key outcomes measured included the accuracy of BCI decoding, the functional improvement in limb movements as quantified by the Fugl-Meyer Assessment (FMA) and changes in muscle strength.

This study underscores the potential of BCI-FES systems in rehabilitation and the restoration of function. By providing real-time feedback and enabling active participation of patients in their recovery, such technologies not only enhance motor recovery but also facilitate cortical reorganization. Future research should focus on long-term usage and integration of BCI-FES systems in everyday life activities, exploring adaptive algorithms that can cope with the day-to-day variations in EEG signals and refining the system for better individual customization.

TABLE OF CONTENTS

CHAPTER 1 - INTRODUCTION		1-2
1.1	Preamble	1
1.2	An insight into domain	1
1.3	Objectives	2
CHAPTER 2 - REVIEW OF LITERATURE		3-14
2.1	Preamble	3
2.2	Literature Survey	3
2.3	Drawbacks of Existing System	12
2.4	Problem Statement	13
2.5	Objectives	13
2.6	Proposed System	14
CHAPTER 3 - ARCHITECTURE AND METHODOLOGIES		15-19
3.1	Overview	15
3.2	Architectural Design	15
3.3	Module Description	16
3.4	Results	28
CHA	APTER 4 - APPLICATIONS	20-21
CHA	APTER 5 - CONCLUSION	21-23
5.1	Conclusion	22
5.2	Scope and Limitations	22
5.3	Future Enhancement	23
REE	FRENCES	24

LIST OF FIGURES

Fig No.	Title of Figures	Page No.
2.1	FES Activation Time for Left MI vs Right MI	4
2.2	EEG-ME for one electrode channel data of a sample	5
2.3	Electrodes distribution in 10-20 system	6
2.4	System diagram of the hybrid BCI	7
2.5	Block diagram of the proposed method	8
2.6	Flow chart of task-discriminant component analysis	9
2.7	The architecture of the CNN used for cross-subject P300 detection	11
3.1	Architecture diagram of Brain Computer Interface	16
3.3	Significant event-related desynchronization/synchronization	18

Chapter 1

INTRODUCTION

1.1 Preamble

Brain-computer interfaces (BCIs) are emerging as a transformative technology with significant potential in medical rehabilitation, particularly for individuals affected by severe neurological conditions such as spinal cord injuries (SCIs). SCIs can lead to partial or complete loss of motor functions, significantly impacting an individual's ability to perform everyday tasks and reducing their quality of life. Traditional rehabilitation techniques often focus on compensating for lost functions through physical therapy and assistive devices, but they do not always facilitate the recovery of actual motor control or promote underlying neural recovery.

1.2 An Insight into the domain

The domain of brain-computer interfaces (BCIs) combined with functional electrical stimulation (FES) exists at the intersection of neuroscience, rehabilitation medicine, and biomedical engineering. This interdisciplinary field aims to explore and harness the capabilities of BCIs to interpret human intent from brain signals, and use this information to activate FES systems that stimulate muscles to produce desired movements. This synergy between BCIs and FES presents a cutting-edge approach to rehabilitative care, particularly for individuals suffering from severe motor impairments such as those caused by spinal cord injuries (SCIs).

BCIs are systems that facilitate a direct communication pathway between the brain and an external device. Traditionally used in assistive technologies to help individuals with disabilities communicate or interact with their environment, BCIs are increasingly being explored for their potential in motor recovery. The basic premise involves detecting specific patterns of brain activity, which signify user intent, and translating these into commands that can operate a computer or other devices, including FES systems.

FES involves the application of short electrical pulses to paralyzed or weakened muscles, causing them to contract and perform functions. In the context of SCI, FES can help perform essential movements like standing, walking, or grasping, depending on the muscles

Introduction 1BI20AI055

targeted. When controlled by a BCI, the stimulation can be directly linked to the patient's neural commands, thereby aligning intended actions with physical output.

1.3 Objectives

- To calibrate and refine the BCI system using EEG data collected from healthy participants, ensuring the system's ability to accurately detect and interpret user intent.
- To assess the functionality of the FES system controlled by BCI outputs in initiating and sustaining muscle contractions as intended by the user.
- To evaluate the integration of the BCI with FES in terms of response time and accuracy, ensuring that the system can provide immediate and appropriate feedback to user commands.
- To measure improvements in motor function among SCI patients using standard clinical assessments (e.g., Fugl-Meyer Assessment) before and after intervention periods.
- To analyze changes in neuroplasticity by observing alterations in EEG patterns before
 and after using the BCI-FES system, providing insights into the brain's adaptive
 responses to the technology.
- To gather subjective feedback from users regarding the usability and comfort of the BCI-FES system, incorporating user experience into system refinement.
- To explore the therapeutic potential of continuous use of BCI-controlled FES for long-term rehabilitation and recovery in SCI patients.
- To contribute to the development of personalized rehabilitation protocols that adapt to individual needs and progress, optimizing recovery outcomes.
- To test the scalability and adaptability of the BCI-FES system for potential use in other neurological conditions where motor control is compromised.

Chapter 2

REVIEW OF LITERATURE

2.1 Preamble

In this section, we embark on a meticulous review of existing literature pertaining to Brain-Computer Interface (BCI) technologies, inspired by the comprehensive review methodology employed in the previous chapter focusing on frictionless user authentication systems. Our examination delves into a thorough analysis of research efforts, methodologies, and technologies employed in the domain of BCI systems, with a particular emphasis on advancements related to BCI-controlled Functional Electrical Stimulation (FES). The aim is to identify gaps, challenges, and potential opportunities inherent in current approaches to BCI-FES integration, facilitating a deeper understanding of the state-of-the-art in this field.

2.2 Literature Survey

1. Title: "Brain-Computer Interface Controlled Functional Electrical Stimulation: Evaluation with Healthy Subjects and Spinal Cord Injury Patients"

Author: Luis G. Hernandez-Rojas; Jessica Cantillo-Negrete

Methodology: The study presents the design, implementation, and feasibility evaluation of a Motor Imagery (MI) based Brain-Computer Interface (BCI) developed to control a Functional Electrical Stimulation (FES) device. The aim of this system is to assist the upper limb motor recovery of patients with spinal cord injury (SCI). With this BCI-controlled FES system, the user performs open and close MI with either the left or right hand, which if detected is used to provide visual feedback and electrostimulation to muscles in the forearm to perform the corresponding grasping movement. The system was evaluated with seven healthy subjects (HS group) and two SCI patients (SC group) in several experimental sessions across different days. Each experimental session consisted of a training routine devoted to collect calibration EEG data to train the BCI machine learning model, and of a validation routine devoted to validate system in online operation.

Limitation: The study does not explicitly mention any limitations. However, it's important to note that the sample size for SCI patients was small (only two participants), which may limit the generalizability of the results. Additionally, the accuracy of the recognition of the MI task varied between participants, indicating that individual differences may affect the effectiveness of the BCI-controlled FES system.

Summary: The study presents a Motor Imagery (MI) based Brain-Computer Interface (BCI) developed to control a Functional Electrical Stimulation (FES) device. The system aims to assist the upper limb motor recovery of patients with spinal cord injury (SCI). The user performs open and close MI with either the left or right hand, which if detected is used to provide visual feedback and electrostimulation to muscles in the forearm to perform the corresponding grasping movement. The system was evaluated with seven healthy subjects and two SCI patients. The online system validation showed an accuracy of the recognition of the MI task that ranged between 78% and 81% for HS participants and between 63% and 93% for SCI participants.

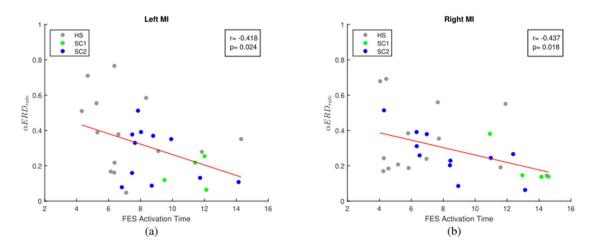


Fig 2.1. FES Activation Time for Left MI vs Right MI

2.Title: "A Novel Data Augmentation Approach Using Mask Encoding for Deep Learning-Based Asynchronous SSVEP-BCI"

Authors: W. Ding, A. Liu, L. Guan and X. Chen

Methodology: The study proposes an effective data augmentation approach called EEG mask encoding (EEG-ME) to mitigate overfitting in Deep Learning (DL)-based methods for asynchronous classification algorithms in the steady-state visual evoked potential (SSVEP)-based brain-computer interface (BCI) system. EEG-ME forces models to learn more robust features by masking partial EEG data, leading to enhanced generalization capabilities of models. Three different network architectures, including an architecture integrating convolutional neural networks (CNN) with Transformer (CNN-Former), time domain-based CNN (tCNN), and a lightweight architecture (EEGNet) are utilized to validate the effectiveness of EEG-ME on publicly available benchmark and BETA datasets.

Limitation: The study does not explicitly mention any limitations. However, it's important to note that the effectiveness of the proposed method (EEG-ME) might vary depending on the individual differences in EEG data and the specific requirements of the BCI system. Also, the performance of the proposed method might be influenced by the choice of the deep learning model and the quality of the EEG data.

Summary: The study presents a novel data augmentation approach called EEG mask encoding (EEG-ME) for mitigating overfitting in Deep Learning (DL)-based methods used in asynchronous SSVEP-BCI systems. The proposed method enhances the generalization capabilities of models by forcing them to learn more robust features through the masking of partial EEG data. The effectiveness of EEG-ME was validated using three different network architectures on two public datasets. The results demonstrate that EEG-ME significantly enhances the average classification accuracy of various DL-based methods with different data lengths of time windows. The enhanced performance of SSVEP classification with EEG-ME promotes the implementation of the asynchronous SSVEP-BCI system, leading to improved robustness and flexibility in human-machine interaction.

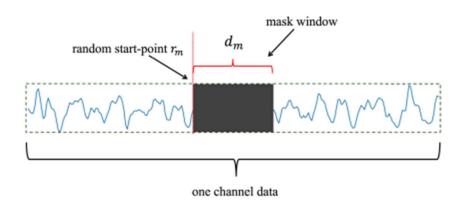


Fig 2.2: EEG-ME for one electrode channel data of a sample.

3.Title: "Implementation of a Brain-Computer Interface on a Lower-Limb Exoskeleton"

Authors: C. Wang, X. Wu, Z. Wang and Y. Ma

Methodology: The study proposes the use of a Brain-Computer Interface (BCI) to control a lower-limb exoskeleton. The exoskeleton follows the wearer's motion intention through

decoding of electroencephalography (EEG) signals and multi-modal cognition. The researchers implemented two types of BCIs: one based on steady-state visual evoked potentials (SSVEP), which used canonical correlation analysis (CCA) to extract the frequency the subject focused on, and the other BCI is based on motor imagery, where the common spatial patterns (CSP) method was employed to extract the features from the EEG signal. These features were then classified by a support vector machine (SVM) to recognize the intention of the subject. The system was evaluated with four healthy subjects in both offline and online experiments.

Limitation: The study presents a novel approach to controlling a lower-limb exoskeleton using a Brain-Computer Interface (BCI). The exoskeleton follows the wearer's motion intention through decoding of electroencephalography (EEG) signals and multi-modal cognition. The researchers implemented two types of BCIs, one based on steady-state visual evoked potentials (SSVEP) and the other based on motor imagery. The system was evaluated with four healthy subjects in both offline and online experiments. The results showed high accuracy rate in motion intention classification tasks for both BCIs.

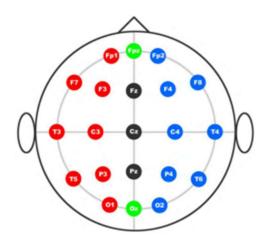


Fig 2.3 Electrodes distribution in 10-20 system.

4.Title: "Design and Implementation of an Asynchronous BCI System With Alpha Rhythm and SSVEP"

Authors: LEI ZHANG , XIAOPEI WU, XIAOJING GUO, JINGFENG LIU AND BANGYAN ZHOU

Methodology: The study presents the design and implementation of an asynchronous Brain-Computer Interface (BCI) system based on steady-state visual evoked potentials (SSVEPs) and alpha rhythm. The system outputs continuous, stable, and smooth control commands in the up, down, left, and right directions. Four stimulus sources flickering at different

frequencies were sequentially fixed around the computer monitor to allow for subjects to complete control tasks by gazing at different stimulus sources. The subjects autonomously switched between the idle and working states by controlling the alpha amplitude. A sliding window voting discrimination (SWVD) strategy was incorporated into the canonical correlation analysis (CCA) algorithm for asynchronous classification.

Limitation: The study does not explicitly mention any limitations. However, it's important to note that the effectiveness of the proposed method might vary depending on the individual differences in EEG data and the specific requirements of the BCI system. Also, the performance of the proposed method might be influenced by the choice of the deep learning model and the quality of the EEG data.

Summary of the Paper: The study presents the design and implementation of an asynchronous Brain-Computer Interface (BCI) system based on steady-state visual evoked potentials (SSVEPs) and alpha rhythm. The system outputs continuous, stable, and smooth control commands in the up, down, left, and right directions. Real-time feedback is presented on the computer screen to enhance collaborative participation in the human-computer interaction. The proposed design scheme is feasible for the online asynchronous BCI system. By applying the SWVD strategy and optimizing the experimental paradigm, the classical CCA algorithm was successfully applied for continuous control in an asynchronous BCI system. With the developed system, obvious improvements in the information transmission rate (ITR) and sensitivity were achieved, which will be beneficial for the development of practical BCI systems.

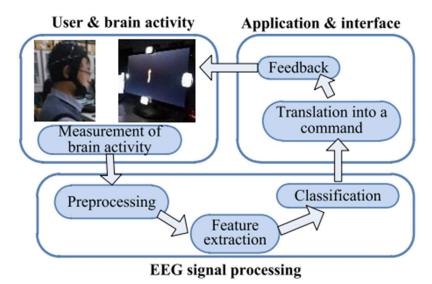


Fig 2.4 System diagram of the hybrid BCI.

5.Title: "Trial Regeneration with Sub band Signals for Motor Imagery Classification in BCI Paradigm"

Authors: M. K. I. Molla, S. K. Saha, S. Yasmin, M. R. Islam and J. Shin **Methodology:**

- The EEG signal is bandpass-filtered into multiple frequency bands1.
- The CSP features are then extracted from each of these bands1.
- The narrowband (sub band) signals derived from the recorded EEG channels are integrated into the original trial as a spatial component, and hence, an extended trail is obtained2.
- The CSP is applied to the newly generated trial to obtain the spatial feature.

Limitation: The paper does not explicitly mention its limitations. However, it's important to note that the effectiveness of the methodology can vary based on the quality of the EEG signals and the specific motor imagery tasks represented by EEG trials.

Summary of the Paper: This paper introduces a unique method for classifying EEG during motor imagery. This method combines the common spatial pattern (CSP) and linear discriminant analysis (LDA). The methodology involves bandpass-filtering the EEG signal into multiple frequency bands. CSP features are then extracted from each of these bands. The narrowband (sub band) signals derived from the recorded EEG channels are integrated into the original trial as a spatial component, creating an extended trial. The CSP is then applied to the newly generated trial to obtain the spatial features. The paper does not explicitly mention its limitations. However, it's important to note that the effectiveness of the methodology can vary based on the quality of the EEG signals and the specific motor imagery tasks represented by EEG trials. The paper concludes by studying the effectiveness of two classifiers – linear discriminant analysis (LDA) and support vector machine (SVM). For a more comprehensive understanding, it's recommended to read the full paper.

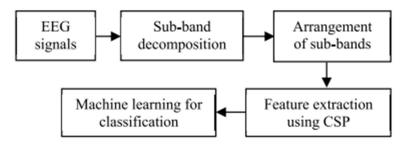


Fig 2.5 Block diagram of the proposed method

6.Title: "Improving the Performance of Individually Calibrated SSVEP-BCI by Task Discriminant Component Analysis

Authors: B. Liu, X. Chen, N. Shi, Y. Wang, S. Gao and X. Gao

Methodology: The paper proposes a novel method called Task-Discriminant Component Analysis (TDCA) to improve the performance of individually calibrated Steady-State Visual Evoked Potential based Brain-Computer Interface (SSVEP-BCI). The existing method, Task-Related Component Analysis (TRCA), has limitations as the spatial filter learned from each stimulus may be redundant and temporal information is not fully utilized. TDCA addresses these issues and was evaluated using two publicly available benchmark datasets.

Limitation: While the paper does not explicitly mention its limitations, it's important to note that the performance of TDCA is based on the datasets used for evaluation. The effectiveness of TDCA might vary with different datasets or real-world applications. Also, the spatial filter learned from each stimulus in TRCA, which TDCA aims to improve, might still have some redundancy and may not fully utilize temporal information.

Summary of the Paper: The paper presents TDCA as a new method to improve the performance of individually calibrated SSVEP-BCI. It addresses the limitations of the existing TRCA method by better utilizing spatial and temporal information. The performance of TDCA outperformed ensemble TRCA and other competing methods by a significant margin, as demonstrated by evaluations using two benchmark datasets. The study provides a new perspective for designing decoding methods in individually calibrated SSVEP-BCI and presents insight for its implementation in high-speed brain speller applications.

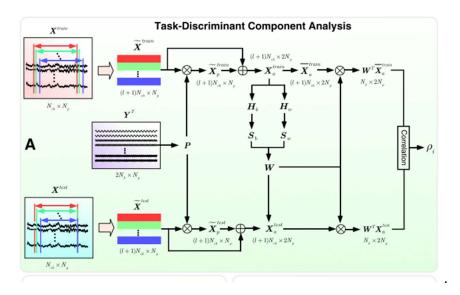


Fig 2.6 Flow chart of task-discriminant component analysis

7.Title: "A Time-Local Weighted Transformation Recognition Framework for Steady State Visual Evoked Potentials Based Brain-Computer Interfaces"

Authors: N. Jamil, A. N. Belkacem, S. Ouhbi and C. Guger

Methodology: In the field of Brain-Computer Interfaces (BCI), particularly those based on Steady State Visual Evoked Potentials (SSVEP), various methodologies have been proposed. For instance, some studies have proposed the use of complex valued convolutional neural networks (CVCNN) to overcome the limitations of SSVEP-based BCIs. Others have suggested the use of multi-task learning with denoising and classification tasks to develop a robust SSVEP-based BCI.

Limitation: The limitations of these methodologies often include the need for large amounts of training data, the limitation of the stimulation frequency, and the performance dependency on the datasets used for evaluation. The effectiveness of these methodologies might vary with different datasets or real-world applications.

Summary of the Paper: While I couldn't find the specific summary for the paper you mentioned, generally, these studies aim to improve the performance of SSVEP-based BCIs by proposing novel methodologies and overcoming existing limitations. They often demonstrate that their proposed methods outperform conventional SSVEP feature extraction methods and can help people communicate with others.

8.Title: "Eliminating or Shortening the Calibration for a P300 Brain-Computer Interface Based on a Convolutional Neural Network and Big Electroencephalography Data"

Authors: Wei Gao, Weichen Huang, Man Li

Methodology: The study proposes an online P300 BCI spelling system with zero or shortened calibration based on a Convolutional Neural Network (CNN) and big Electroencephalography (EEG) data. The methodology involves three methods to train CNNs for the online detection of P300 potentials:

- 1. Training a subject-independent CNN with data collected from 150 subjects.
- 2. Adapting the CNN online via a semi supervised learning/self-training method based on unlabeled data collected during the user's online operation.
- 3. Fine-tuning the CNN with a transfer learning method based on a small quantity of labeled data collected before the user's online operation.

Limitation: The effectiveness of the proposed methods could vary depending on the individual's brain activity, the quality of the EEG data, and the amount of data available for training the CNN.

Summary: The study presents an online P300 BCI spelling system that either eliminates or shortens the calibration process. This is achieved by using a Convolutional Neural Network (CNN) and large Electroencephalography (EEG) data. The CNN is trained using three methods, allowing for the online detection of P300 potentials. An online P300 spelling system is developed based on these methods. The study reports average accuracies of 89%, 94%, and 93% obtained from online experiments involving twenty subjects.

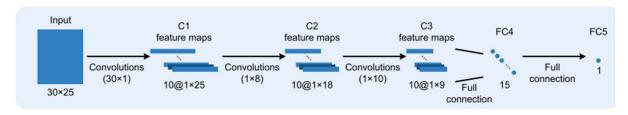


Fig 2.7: The architecture of the CNN used for cross-subject P300 detection

9.Title: "Ternary Near-Infrared Spectroscopy Brain-Computer Interface with Increased Information Transfer Rate Using Prefrontal Hemodynamic Changes During Mental Arithmetic, Breath-Holding, and Idle State

Authors: J. Shin, J. Kwon, J. Choi and C

Methodology: The methodology involves the use of a hybrid BCI for the classification of three brain activation patterns elicited by mental arithmetic, motor imagery, and idle state. The aim is to elevate the information transfer rate (ITR) of the hybrid BCI by increasing the number of classes while minimizing the loss of accuracy.

Limitation: While the paper does not explicitly mention its limitations, it's important to note that BCIs based on functional near-infrared spectroscopy (fNIRS) signals are highly subject-specific and have low test-retest reliability. Therefore, individual calibration sessions need to be employed before each use of fNIRS-based BCI to achieve a sufficiently high performance for practical BCI applications. This could be seen as a limitation in the practical application of the methodology presented in the paper.

Summary: The paper presents a ternary Near-Infrared Spectroscopy (NIRS) BCI that uses prefrontal hemodynamic changes during mental arithmetic, breath-holding, and idle state to increase the information transfer rate1. The implementation of a multi-class BCI is an efficient way to increase the ITR.

10. Title: "Challenges and Perspectives on Impulse Radio-Ultra-Wideband Transceivers

for Neural Recording Applications"

Authors: R. Eskandari and M. Sawan

Methodology: The methodology involves examining in detail the working principle, design methodology, performance, and implementations of different architectures of IR-UWB transceivers2. The aim is to draw a deep comparison and extract the bottlenecks and possible solutions concerning the dedicated application.

Limitation: It's important to note that the design and implementation of IR-UWB transceivers for neural recording applications is a complex task with many challenges. These challenges include the need for high precision and accuracy, the complexity of the neural signals, and the need for low power consumption and miniaturization for implantable devices. Furthermore, the performance of the IR-UWB transceivers can be affected by various factors such as the quality of the neural recordings, the signal processing algorithms used, and the hardware and software implementation.

Summary: The paper presents a detailed study on the design and implementation of IR-UWB transceivers for neural recording applications1. It provides a quantitative comparison of different architectures of IR-UWB transceivers and identifies the challenges and possible solutions for the dedicated application.

2.3 Drawbacks of Existing System

- Muscle Fatigue: One of the main problems of FES is the increased fatigue of stimulated muscles. The propensity to early fatigue can be reduced by proper muscle training and the associated transformation of fast- into slow-fatiguing muscle fibers. However, fatigue represents an inherent problem of FES and cannot be completely avoided.
- Limited Evidence: There is moderate evidence for positive effects of BCI-FES on gait and weak evidence for positive effects of BCI-FES on balance post-stroke. To date, no evidence for the positive effects of BCI-FES on spasticity was found.
- Need for Larger Sample Size: Further randomized controlled trials with a larger sample size are strongly warranted to confirm the findings.
- Limited Interventions for Severe Paresis: Contrary to patients with some upper limb function who can participate in interventions such as constraint-induced movement therapy or task-specific training, there is a paucity of evidence-based and

effective interventions for patients with severe paresis.

• Quality of Life: Patients with Spinal Cord Injury (SCI) suffer a significant loss of neuronal functions, especially in their sensorimotor functions, which significantly diminishes their quality of life.

2.4 Problem Statement

"There is a critical need to assess the effectiveness and adaptability of Brain-Computer Interface (BCI) controlled Functional Electrical Stimulation (FES) in the rehabilitation of patients with spinal injuries, Amyotrophic Lateral Sclerosis (ALS), nerve damage, and paralysis. The goal is to optimize the system for these conditions to improve patient mobility, independence, and overall quality of life."

2.5 Objectives

- 1. **Restoration of Motor Function:** The primary goal of BCI-FES is to restore motor function in individuals with neurological conditions such as stroke, spinal cord injury, and traumatic brain injury.
- 2. **Direct Brain Control:** BCI-FES enables direct brain control of foot dorsiflexion in able-bodied individuals. A BCI translates brain activity into a control signal and can provide real-time feedback on the status of motor-related activity during rehabilitation.
- 3. **Promotion of Independence:** BCIs can help people communicate and control devices and applications without using peripheral nerves and muscle pathways. This can promote the independence of physically disabled people by bypassing nonfunctional neural pathways.
- 4. **Neurorehabilitation:** Researchers are exploring the use of BCI-FES as a neurorehabilitation system. It necessitates active participation and establishes a temporal association between efferent information, from cortical activation due to attempted movement, and afferent information due to peripheral stimulation.

13

5. **Facilitation of Neuroplasticity:** BCI systems may help to induce or facilitate neuroplasticity by strengthening connections between damaged areas of the nervous system, and to create a demand for reorganization of neuronal network functions.

6. **Home-Based Rehabilitation:** Based on experimental results, there is a concept of researcher-therapist-caregiver knowledge transfer and a concept of caregiver and patient self-managed BCI-FES for home-based rehabilitation.

2.6 Proposed System

The proposed system is designed and implemented based on Motor Imagery (MI), a Brain-Computer Interface (BCI) developed to control a Functional Electrical Stimulation (FES) device. Its primary aim is to aid in the upper limb motor recovery of patients with spinal cord injury (SCI). This system seamlessly integrates a non-invasive EEG-based BCI with a non-invasive FES system for foot dorsiflexion. It enables real-time analysis and classification of EEG data during online BCI operation, allowing for direct brain control of foot dorsiflexion in able-bodied individuals. Feasibility evaluation of the system is conducted with both healthy subjects and spinal cord injury patients. This comprehensive rehabilitation system employs BCI-triggered FES and avatar mirroring to enhance the function of paretic limbs. By attending to tasks necessitating the activation or deactivation of specific brain regions, the BCI system facilitates the induction of plasticity. Moreover, it proposes a framework for researcher-therapist-caregiver knowledge transfer and self-managed BCI-FES rehabilitation for use in home settings.

Chapter 3

ARCHITECTURE AND METHODOLOGIES

3.1 Overview

The proposed system utilizes a Brain-Computer Interface (BCI) combined with Functional Electrical Stimulation (FES) to facilitate rehabilitation for patients with spinal cord injuries and other neurological disorders. This system is designed to interpret brain signals captured via EEG, focusing primarily on those generated by Motor Imagery (MI) of limb movements. These signals are processed in real-time to extract meaningful patterns that are then translated into commands to control the FES device. The FES unit stimulates the corresponding muscles, aiding in the execution of intended movements and thus contributing to motor recovery.

Methodologically, the system involves rigorous training sessions for users to control their brain signals effectively, adaptive algorithms that personalize the interface for individual needs, and stringent integration protocols to ensure seamless interaction between the BCI and the FES device. Extensive usability testing with targeted demographics ensures the system is user-friendly and effective, tailored to enhance user independence and improve quality of life through facilitated motor function restoration and home-based rehabilitation capabilities. This comprehensive approach promises to advance rehabilitation practices and offer a novel solution for those impacted by severe motor impairments.

3.2 Architectural Design

The architecture integrates advanced signal processing, machine learning for feature extraction and intent classification, and a feedback loop that provides users with real-time sensory or visual feedback. This feedback is crucial for reinforcing motor learning through neuroplasticity. Extensive usability testing with targeted demographics ensures the system is user-friendly and effective, tailored to enhance user independence and improve quality of life through facilitated motor function restoration and home-based rehabilitation capabilities.

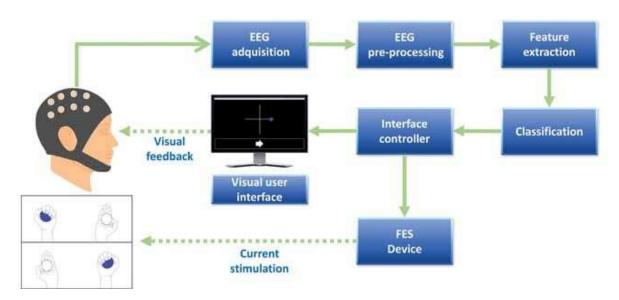


Fig 3.1: Architecture diagram of Brain Computer Interface

3.3 Module Description

Brain Computer Interface's architecture is designed to facilitate effective decision-making in reinforcement learning scenarios, enabling agents to master complex environments without prior knowledge of the environment's dynamics.

- Data Acquisition and Preprocessing Module: The Data Acquisition and Preprocessing Module serves as the initial stage of the system, responsible for capturing EEG signals from the user's scalp using non-invasive electrodes. Once acquired, these signals undergo amplification and digitization to prepare them for further processing. Subsequently, the module employs various preprocessing techniques such as band-pass filtering and artifact rejection to eliminate noise and unwanted signals, ensuring that only relevant brain activity is retained for subsequent analysis and interpretation.
- Feature Extraction and Classification Module: Following the preprocessing stage, the Feature Extraction and Classification Module extracts salient features from the pre-processed EEG data that correspond to motor intentions, particularly focusing on Motor Imagery (MI). Leveraging machine learning algorithms such as support vector machines (SVM), convolutional neural networks (CNN), or recurrent neural networks (RNN), this module classifies EEG patterns in real-time. Through continuous adaptation based on user feedback, the classification model enhances its accuracy and

adaptability over time, enabling more precise interpretation of user intent.

- Control and Command Generation Module: The Control and Command Generation Module acts as the intermediary between the decoded EEG patterns and the Functional Electrical Stimulation (FES) device. Upon classification of EEG signals, this module translates the identified motor intentions into stimulation commands tailored to the FES device. It determines the timing, intensity, and duration of stimulation based on the decoded user intent, ensuring precise synchronization between neural detection and muscle stimulation to facilitate accurate and coordinated limb movement.
- Functional Electrical Stimulation (FES) Module: The Functional Electrical Stimulation (FES) Module is responsible for executing the stimulation commands generated by the Control and Command Generation Module. Upon receiving these commands, the FES device delivers electrical impulses to targeted muscles through electrode arrays. By inducing muscle contractions that mimic natural movement patterns, the FES module aids in the execution of desired motor tasks. This direct brain control of limb movements not only facilitates motor rehabilitation but also promotes neuroplasticity and motor recovery over time.
- Rehabilitation Protocol Module: The Rehabilitation Protocol Module customizes rehabilitation protocols based on individual user needs, goals, and progress. Incorporating evidence-based rehabilitation strategies and motor learning principles, this module optimizes rehabilitation outcomes. Additionally, it facilitates home-based rehabilitation by providing guided exercise routines and progress tracking functionalities, empowering users to take control of their rehabilitation journey.
- User Interface Module: The User Interface Module offers a user-friendly interaction platform for seamless engagement with the BCI-FES system. Users can initiate training sessions, monitor their progress, and adjust system settings through intuitive interfaces. Visualizations of EEG signals, classification results, and stimulation parameters enhance user understanding, fostering active participation in the rehabilitation process.

3.4 Results

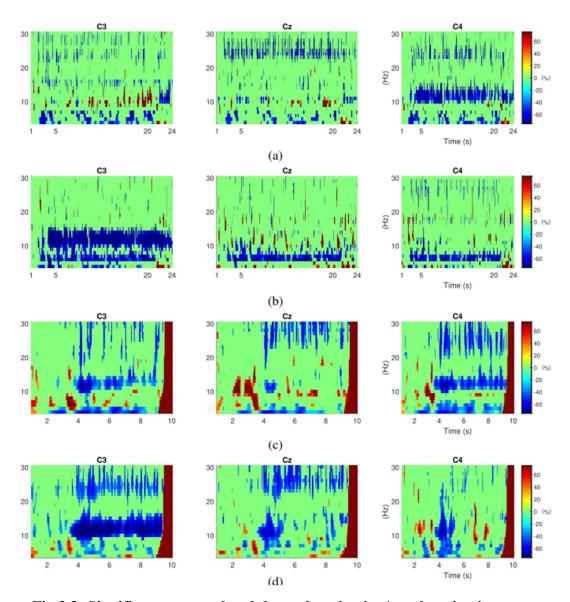


Fig 3.3: Significant event-related desynchronization/synchronization

Those electrodes were the only ones that showed significant correlation. For the channel C3 there was a significant negative correlation for α ERDSrate and β ERDSrate indexes in the online validation stage for Right MI (p = 0.002, r = -0.543 and p = 0.019, r = 0.434, respectively). For the Cz channel there was only a significant correlation for θ ERDSrate (p = 0.035, r = -0.486) in the calibration stage for Left MI. For C4 there were significant negative correlations for α ERDSrate and β ERDSrate in the online validation stage during Left MI (p = 0.024,r = -0.418 and p = 0.023, r = 0.422, respectively). For the channel C4 in the training stage, there were not significant

correlations for all computed indexes. Note that significant correlations in channels C3 and C4 are related to the respective contralateral MI condition. Figure 6 displays the Pearson's correlations computed between C3 and C4 rERDSrate scores, calculated across all participants data, with FES activation time, separately for Right and Left MI, in the online validation trials. Figure 6a corresponds to α ERDSrate correlations between channel C3 with FES activation time in the Left MI trials. For this case, a negative significant correlation (p = 0.002, r = -0.543) was observed. Figure 6b corresponds to α ERDSrate correlations between channel C4 and FES activation time in Right MI trials. Also, a negative significant correlation was obtained (p = 0.024, r = -0.418) in this case. In general terms, these results show that higher scores of α ERDSrate (near to 1) were related to shorter activation times of the FES routines.

Chapter 4

APPLICATIONS

The Brain-Computer Interface controlled Functional Electrical Stimulation (BCI-FES) system offers a variety of applications across medical rehabilitation and assistive technology domains. Below, we explore some of the primary applications:

- 1. **Stroke Rehabilitation:** One of the most prominent applications of BCI-FES is in stroke rehabilitation. Stroke survivors often suffer from motor impairments, and BCI-FES can be used to facilitate the recovery of motor function. By interpreting the patient's intention to move from their brain signals and translating it into electrical stimulation of the affected muscles, the system provides a way to practice and improve motor functions, potentially enhancing recovery speed and outcomes.
- 2. **Spinal Cord Injury Recovery:** BCI-FES has significant implications for individuals with spinal cord injuries. It can enable control of limb movement in patients with partial or complete paralysis by bypassing damaged pathways and directly stimulating muscles based on brain activity. This not only helps in restoring some degree of functional movement but also aids in preventing muscle atrophy and improving circulation.
- 3. **Traumatic Brain Injury Rehabilitation:** For patients recovering from traumatic brain injuries, BCI-FES can support the re-establishment of neural connections and motor function. The system's ability to facilitate motor tasks through electrical stimulation guided by brain activity helps in reinforcing neural pathways involved in motor control, thereby promoting neuroplasticity.
- 4. Neurodegenerative Disease Management: In conditions like multiple sclerosis and Parkinson's disease, where motor control deteriorates over time, BCI-FES can help maintain muscle activity and strength. Regular use of the system may also contribute to better management of symptoms and slowing the progression of motor function decline.

Applications 1BI20AI055

5. Elderly Care and Fall Prevention: BCI-FES can be adapted for elderly care, particularly in improving balance and muscle strength to prevent falls. By providing targeted muscle stimulation while also engaging the user's brain, the system can help maintain muscle tone and improve neuromuscular coordination in older adults.

- 6. **Research and Development in Neuroprosthetics:** BCI-FES systems are crucial in advancing research in neuroprosthetics. They provide a platform for testing new technologies and methodologies that integrate brain-computer interfaces with artificial limbs, enhancing the functionality and user control of prosthetic devices.
- 7. **Personalized Physical Therapy:** With its ability to be customized to individual needs, BCI-FES can offer personalized physical therapy sessions. It can adapt the intensity, duration, and specific muscles targeted by the FES based on real-time brain activity and feedback, optimizing rehabilitation efforts tailored to each patient's recovery progress.
- 8. **Assistive Technology for Independent Living:** For individuals with severe mobility restrictions, BCI-FES systems can be integrated into assistive technologies that help control devices in their environment, such as wheelchairs, computers, and home appliances, fostering greater independence and quality of life.

Chapter 5

CONCLUSION

5.1 Conclusion

In conclusion, the integration of Brain-Computer Interface (BCI) technology with Functional Electrical Stimulation (FES) holds immense promise in revolutionizing rehabilitation practices for individuals with neurological conditions such as stroke, spinal cord injury, and traumatic brain injury. By enabling direct brain control of limb movements, BCI-FES systems offer a novel approach to restoring motor function, promoting neuroplasticity, and enhancing overall quality of life for patients. Through a comprehensive architecture encompassing data acquisition, signal processing, real-time analysis, and feedback mechanisms, these systems facilitate personalized and adaptive rehabilitation protocols tailored to individual needs and progress. With applications spanning from clinical rehabilitation to assistive technology and neuroprosthetics, BCI-FES systems represent a significant advancement in the field of medical technology, offering hope for improved outcomes and increased independence for those affected by neurological impairments. Continued research and development in this area promise to further expand the capabilities and accessibility of BCI-FES technology, paving the way for a future where individuals with motor disabilities can lead fuller and more active lives.

5.2 Scope and Limitations

- **Broad Application in Rehabilitation:** BCI-FES systems are highly versatile and can be adapted for various neurological conditions, such as stroke, spinal cord injuries, and traumatic brain injuries, significantly expanding the scope of their application.
- Research Advancements: These systems provide a robust platform for exploring new methodologies in neurorehabilitation, neuroprosthetics, and neural plasticity, contributing to cutting-edge research in neuroscience and biomedical engineering.

Conclusion 1BI20AI055

Customization and Adaptability: The ability to customize stimulation
parameters and rehabilitation protocols based on real-time brain activity and
user feedback allows for personalized treatment plans, enhancing therapeutic
outcomes.

5.3 Future Enhancement

- Miniaturization and Wearable Technology: Advancements in miniaturization and wearable technology could lead to the development of smaller, more portable BCI-FES devices that are comfortable for long-term use and suitable for home-based rehabilitation.
- 2. **Closed-Loop Systems:** Further integration of closed-loop systems, where feedback from the user's physiological responses informs real-time adjustments in stimulation parameters, could enhance the efficacy and adaptability of BCI-FES therapy.
- 3. Advanced Signal Processing Techniques: Continued research into advanced signal processing techniques, such as deep learning algorithms and brain-computer interface decoding methods, could improve the accuracy and speed of EEG signal analysis, leading to more precise control of FES devices.
- 4. **Neurofeedback and Brain Plasticity Training:** Incorporating neurofeedback mechanisms into BCI-FES systems could enable users to actively engage in shaping their brain activity, facilitating neuroplasticity and enhancing rehabilitation outcomes over time.
- 5. **Hybrid BCI Systems:** Combining BCI technology with other modalities, such as functional near-infrared spectroscopy (fNIRS) or transcranial magnetic stimulation (TMS), could offer complementary approaches to neural stimulation and monitoring, expanding the therapeutic options available within BCI-FES systems.
- 6. **Personalized Treatment Algorithms:** Development of personalized treatment algorithms that adapt in real-time based on individual user responses and progress could optimize therapy outcomes and minimize the need for manual adjustment by clinicians.

REFERENCES

- [1] Ramadan, R.A., Altamimi, A.B. Unraveling the potential of brain-computer interface technology in medical diagnostics and rehabilitation: A comprehensive literature review. Health Technol. 14, 263–276 (2024)
- [2] W. Ding, A. Liu, L. Guan and X. Chen, "A Novel Data Augmentation Approach Using Mask Encoding for Deep Learning-Based Asynchronous SSVEP-BCI," in IEEE Transactions on Neural Systems and Rehabilitation Engineering, vol. 32, pp. 875-886, 2024
- [3] C. Wang, X. Wu, Z. Wang and Y. Ma, "Implementation of a Brain-Computer Interface on a Lower-Limb Exoskeleton," in IEEE Access, vol. 6, pp. 38524-38534, 2018
- [4] J. Shin, J. Kwon, J. Choi and C. -H. Im, "Ternary Near-Infrared Spectroscopy Brain-Computer Interface With Increased Information Transfer Rate Using Prefrontal Hemodynamic Changes During Mental Arithmetic, Breath-Holding, and Idle State," in IEEE Access, vol. 6, pp. 19491-19498, 2018
- [5] Maiseli, B., Abdalla, A.T., Massawe, L.V. et al. Brain-computer interface: trend, challenges, and threats. Brain Inf. 10, 20 (2023)
- [6] M. K. I. Molla, S. K. Saha, S. Yasmin, M. R. Islam and J. Shin, "Trial Regeneration With Subband Signals for Motor Imagery Classification in BCI Paradigm," in IEEE Access, vol. 9, pp. 7632-7642, 2021
- [7] H. Yaacob, F. Hossain, S. Shari, S. K. Khare, C. P. Ooi and U. R. Acharya, "Application of Artificial Intelligence Techniques for Brain-Computer Interface in Mental Fatigue Detection: A Systematic Review (2011–2022)," in IEEE Access, vol. 11, pp. 74736-74758, 2023
- [8] L. G. Hernandez-Rojas et al., "Brain-Computer Interface Controlled Functional Electrical Stimulation: Evaluation With Healthy Subjects and Spinal Cord Injury Patients," in IEEE Access, vol. 10, pp. 46834-46852, 2022
- [9] S. Park, H. -S. Cha and C. -H. Im, "Development of an Online Home Appliance Control System Using Augmented Reality and an SSVEP-Based Brain-Computer Interface," in IEEE Access, vol. 7, pp. 163604-163614, 2019
- [10] M. Hadjiaros, K. Neokleous, A. Shimi, M. N. Avraamides and C. S. Pattichis, "Virtual Reality Cognitive Gaming Based on Brain Computer Interfacing: A Narrative Review," in IEEE Access, vol. 11, pp. 18399-18416, 2023