

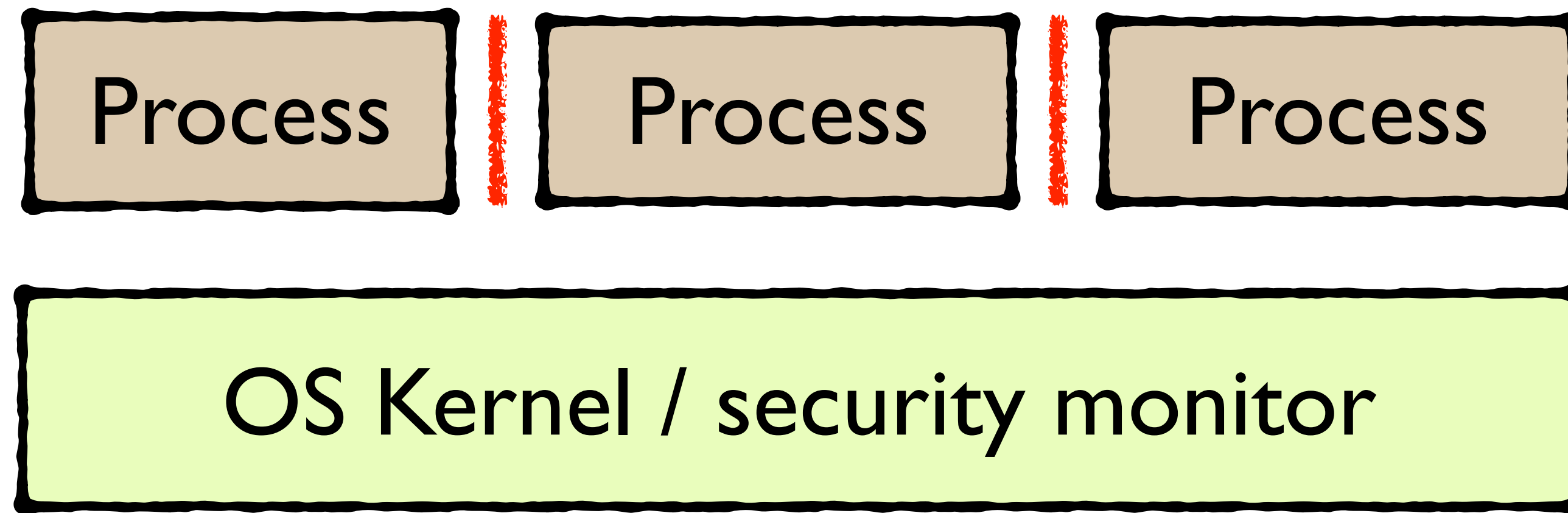
# Scaling symbolic evaluation for automated verification of systems code with Serval

**Luke Nelson**<sup>1</sup>, James Bornholt<sup>1</sup>, Ronghui Gu<sup>2</sup>, Andrew Baumann<sup>3</sup>, Emina Torlak<sup>1</sup>, Xi Wang<sup>1</sup>

<sup>1</sup>University of Washington, <sup>2</sup>Columbia University, <sup>3</sup>Microsoft Research

# Eliminating bugs with formal verification

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seL4 (SOSP'09)  
Ironclad Apps (OSDI'14)  
FSCQ (SOSP'15)  
CertiKOS (PLDI'16)  
Komodo (SOSP'17)



# Eliminating bugs with formal verification

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Process

OS K

- Strong correctness guarantees
- Require manual proofs
- CertiKOS 200k lines of proof
- Multiple person-years

DI'14)

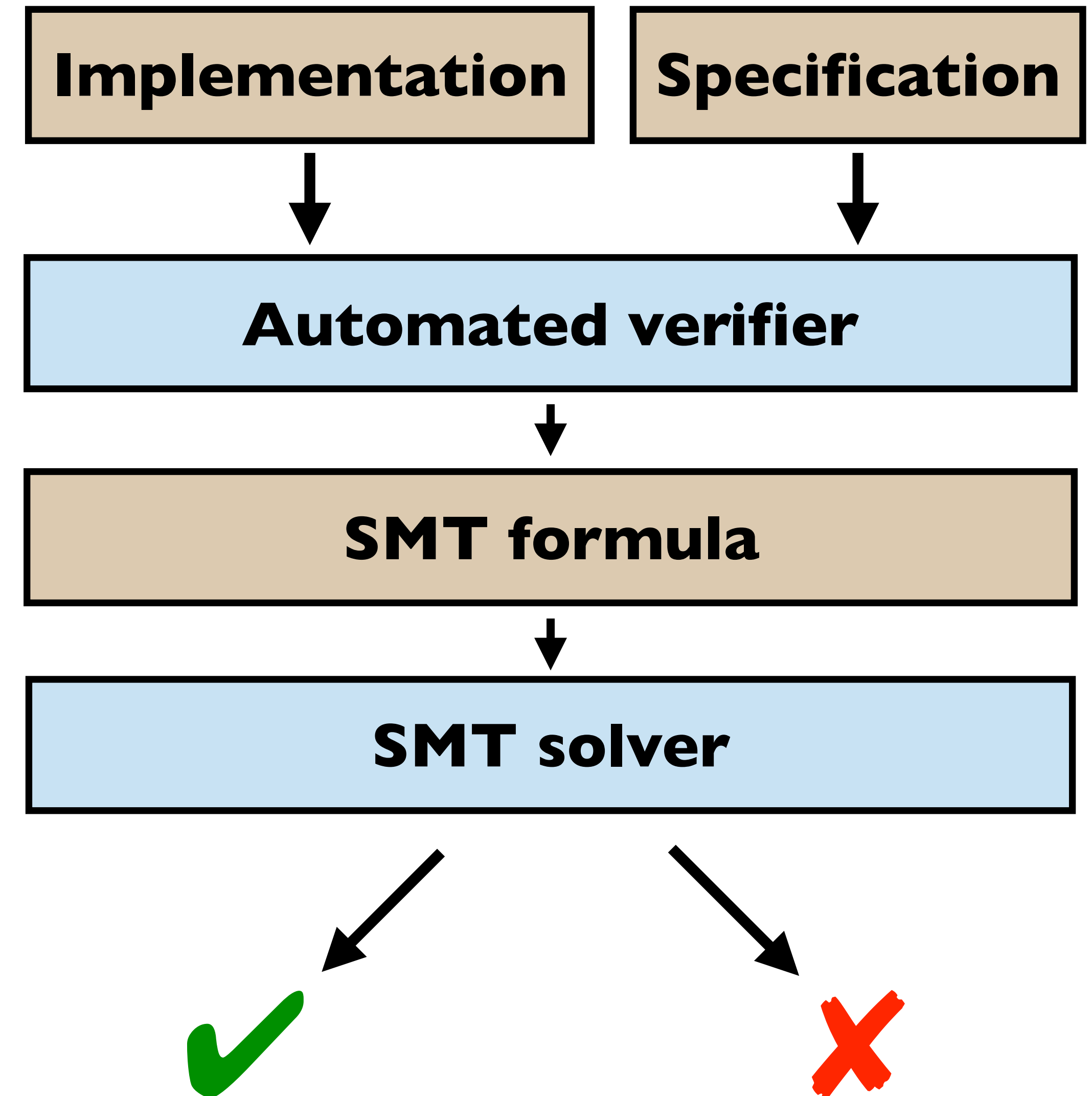
6)

7)

# Prior work: automated (push-button) verification

- No proofs on implementation
- Requires bounded implementation
- Restricts specification

Example: Hyperkernel (SOSP'17)

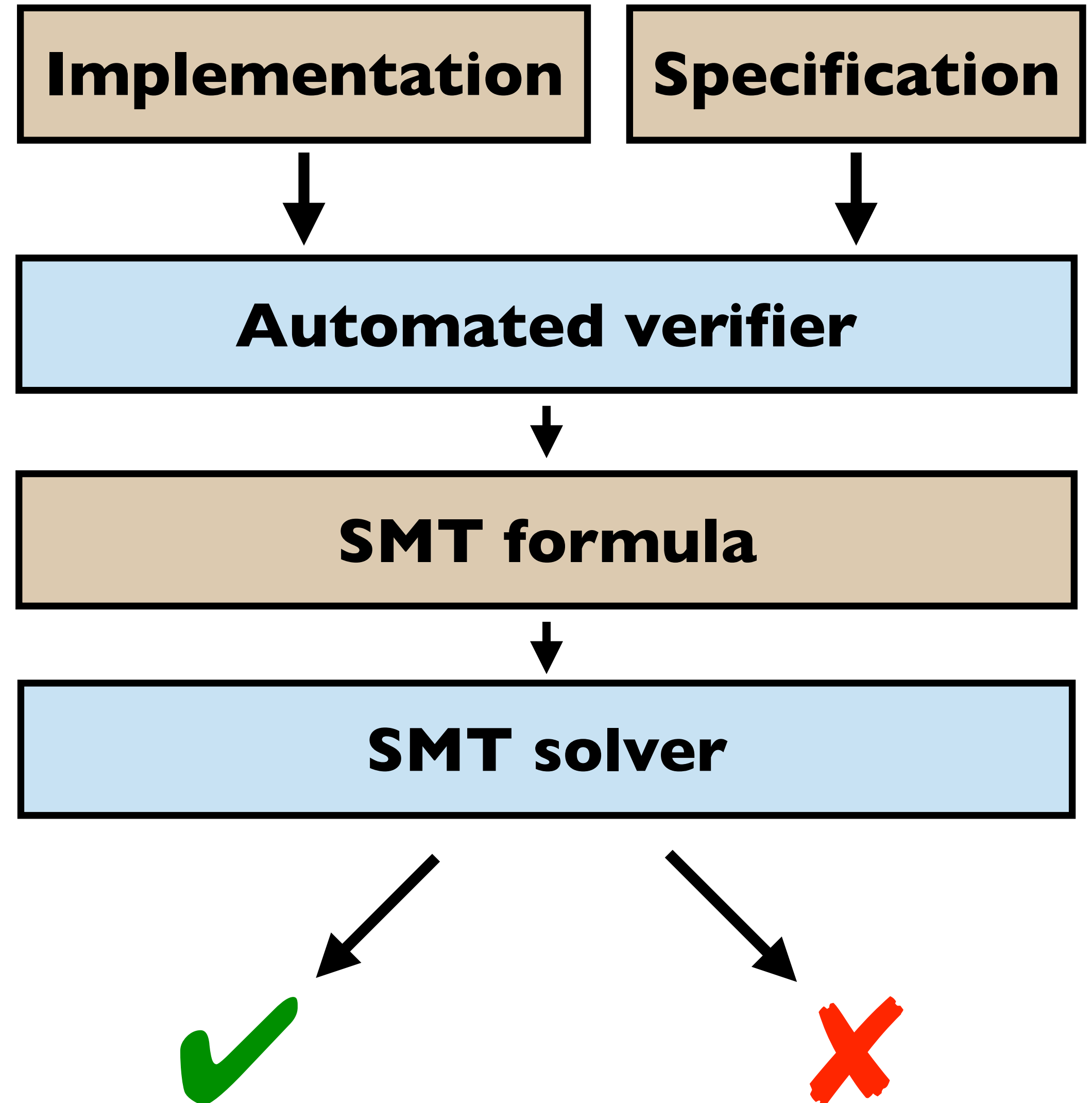


# Challenges

How to lower effort of writing automated verifiers?

How to find and fix performance bottlenecks?

How to retrofit to existing systems?



# Contributions

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- Serval: a framework for writing automated verifiers
  - RISC-V, x86-32, LLVM, BPF
  - Scaling via symbolic optimizations
- Experience
  - Retrofitted CertiKOS and Komodo for Serval
  - Found 15 new bugs in Linux BPF JIT

# Contributions

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- Serval: a framework for writing automated verifiers
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no guarantees on concurrency or side channels

# Verifying a system with Serval

System specification

RISC-V instructions

RISC-V verifier



**Serval**



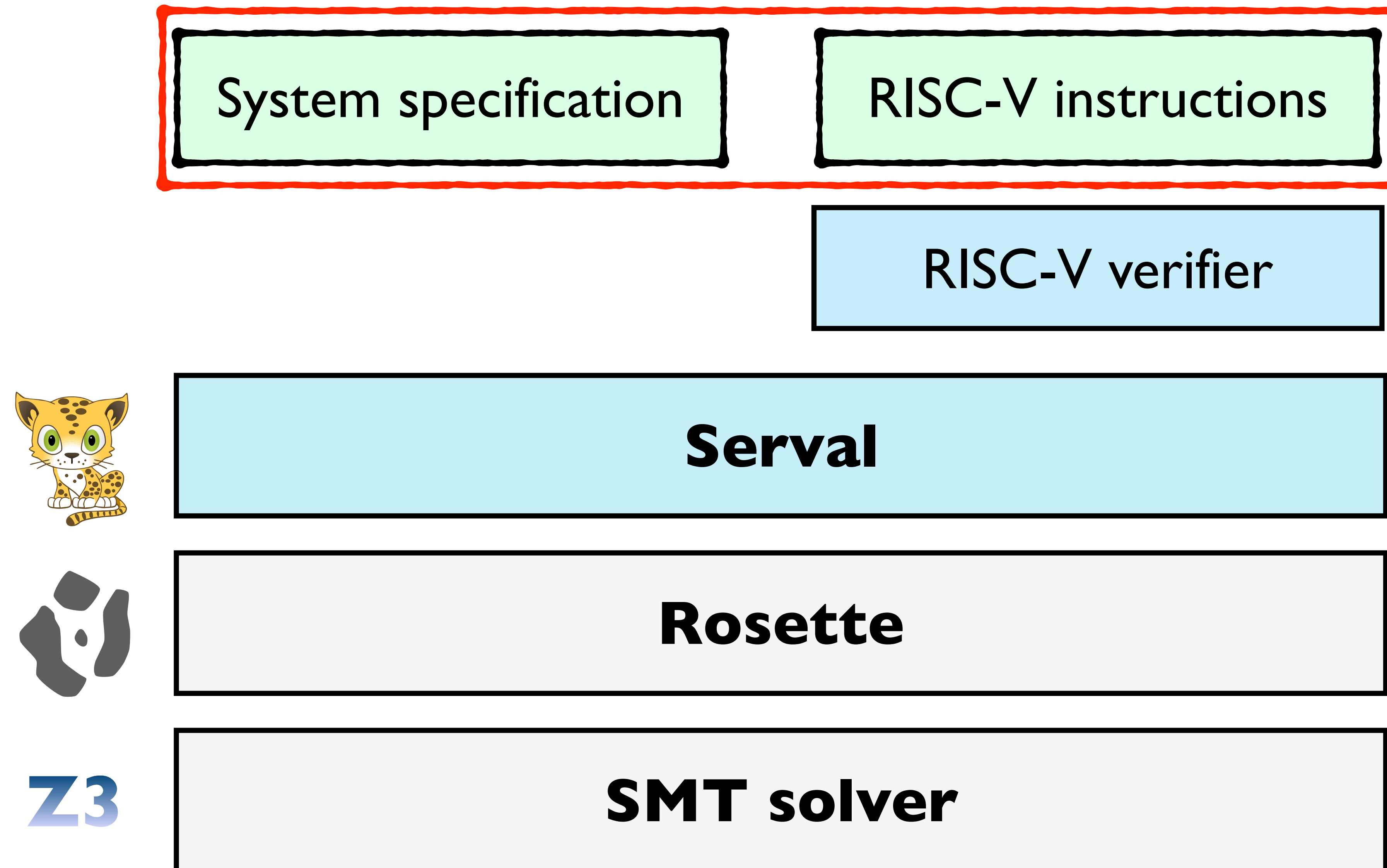
**Rosette**

**Z3**

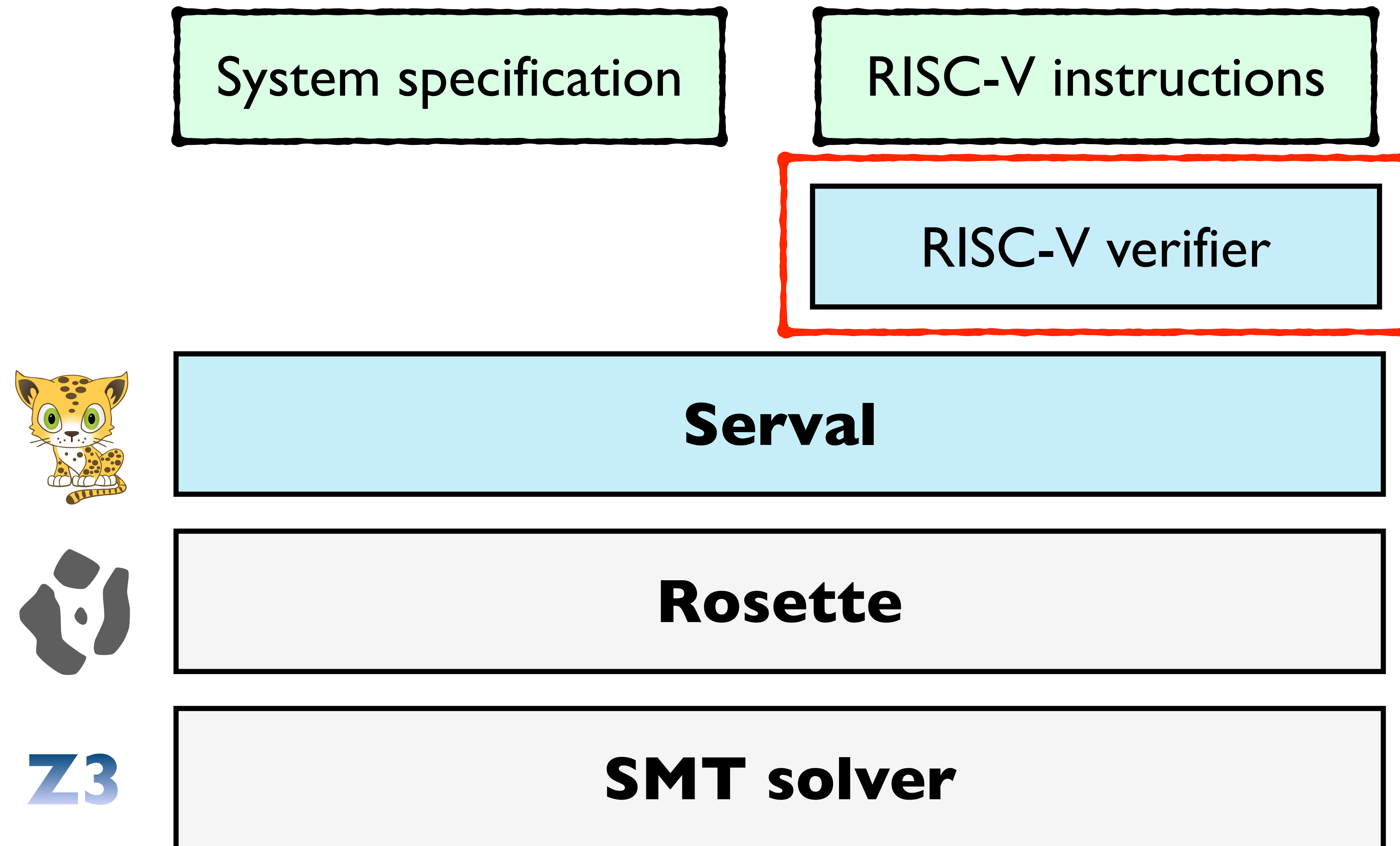
**SMT solver**



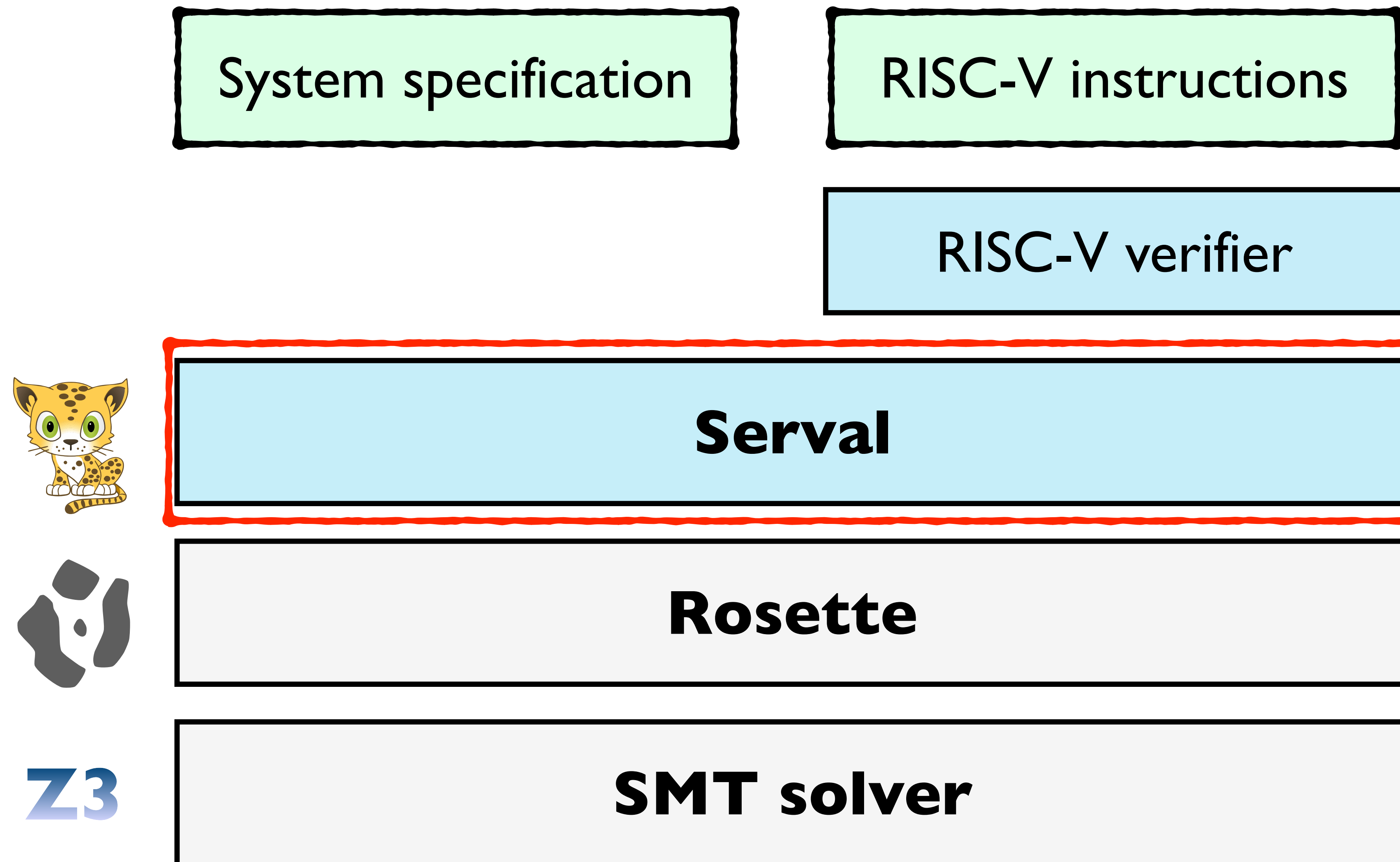
# Verifying a system with Serval



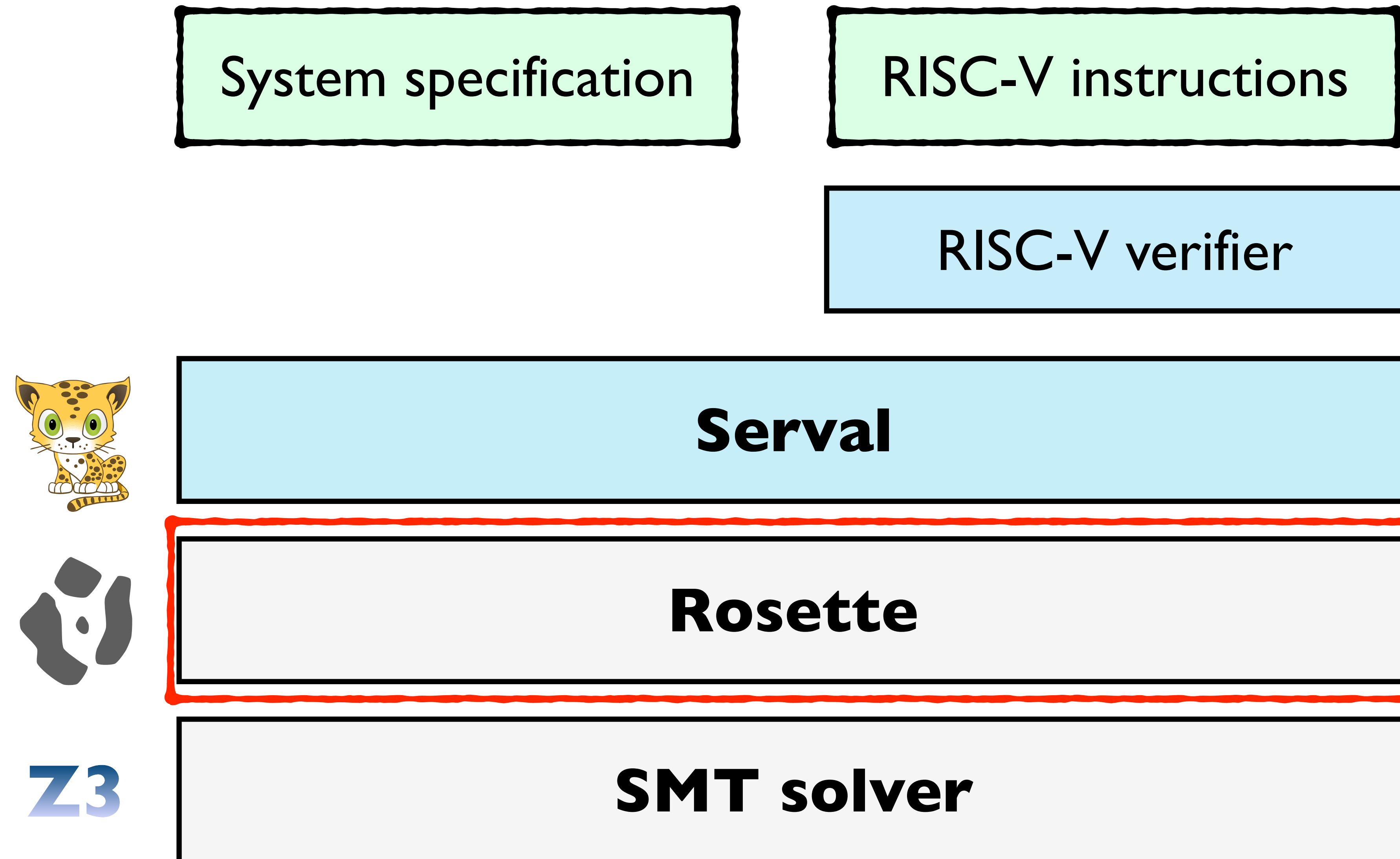
# Verifying a system with Serval



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# Verifying a system with Serval

System specification

RISC-V instructions

RISC-V verifier



**Serval**



**Rosette**

**Z3**

**SMT solver**

# Example: proving refinement for sign

```
(define (sign x)
  (cond
    [(negative? x) -1]
    [(positive? x) 1]
    [(zero? x) 0]))
```

```
0: sltz a1 a0
1: bnez a1 4
2: sgtz a0 a0
3: ret
4: li   a0 -1
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```

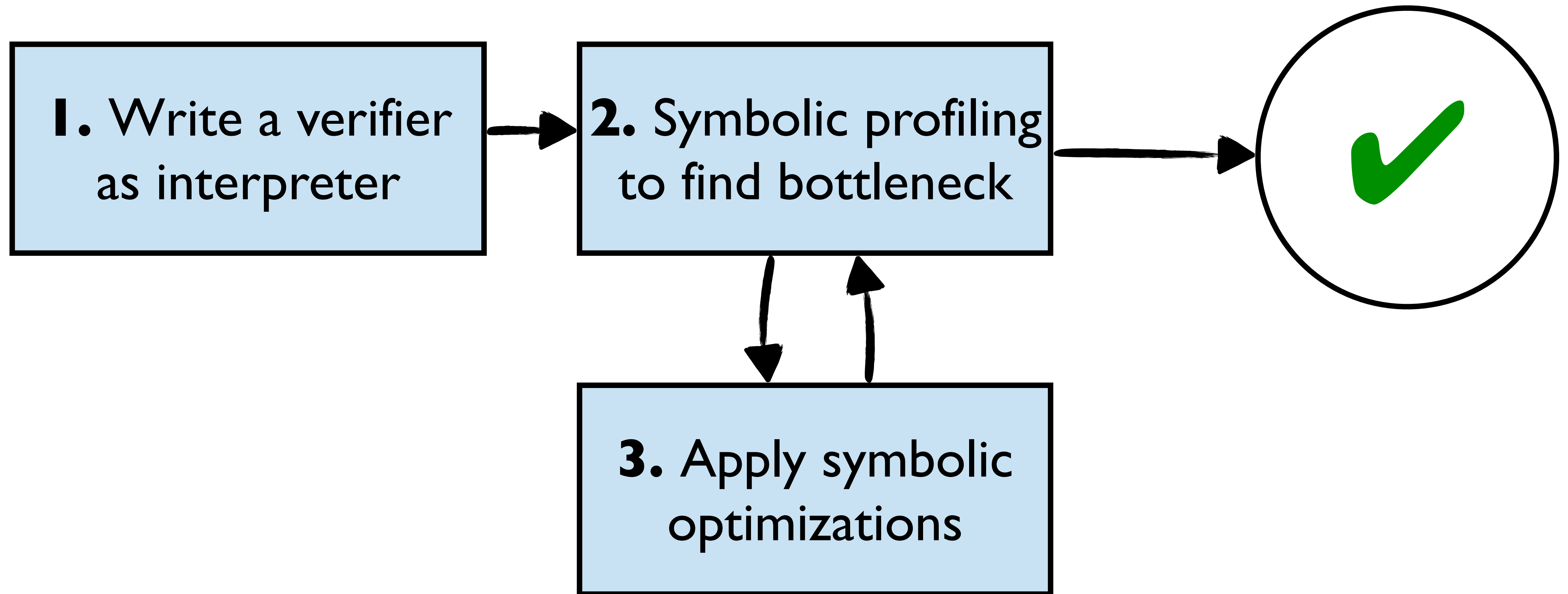
RISC-V verifier

**Serval**



# Verifier = interpreter + symbolic optimization

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# Verifier [1/3]: writing an interpreter

```
(struct cpu (pc regs ...) #:mutable)

(define (interpret c program)
  (define pc (cpu-pc c))
  (define insn (fetch pc program))
  (match insn
    [( 'li rd imm)
      (set-cpu-pc! c (+ 1 pc))
      (set-cpu-reg! c rd imm)]
    [( 'bnez rs imm)
      (if (! (= (cpu-reg c rs) 0))
          (set-cpu-pc! c imm)
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    ...))
```



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    ...))
```

- Easy to write
- Reuse CPU test suite

# Verifier [2/3]: identifying bottlenecks in symbolic evaluation



```
(define (sign x)
  (cond
    [(negative? x) -1]
    [(positive? x) 1]
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```

```
0: sltz a1 a0
1: bnez a1 4
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4: li   a0 -1
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```

RISC-V verifier

**Serval**



# Verifier [2/3]: identifying bottlenecks in symbolic evaluation



```
(define (sign x)
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```

**Slow/Timeout**

```
0: sltz a1 a0
1: bnez a1 4
2: sgtz a0 a0
3: ret
4: 1: a0 -1
```

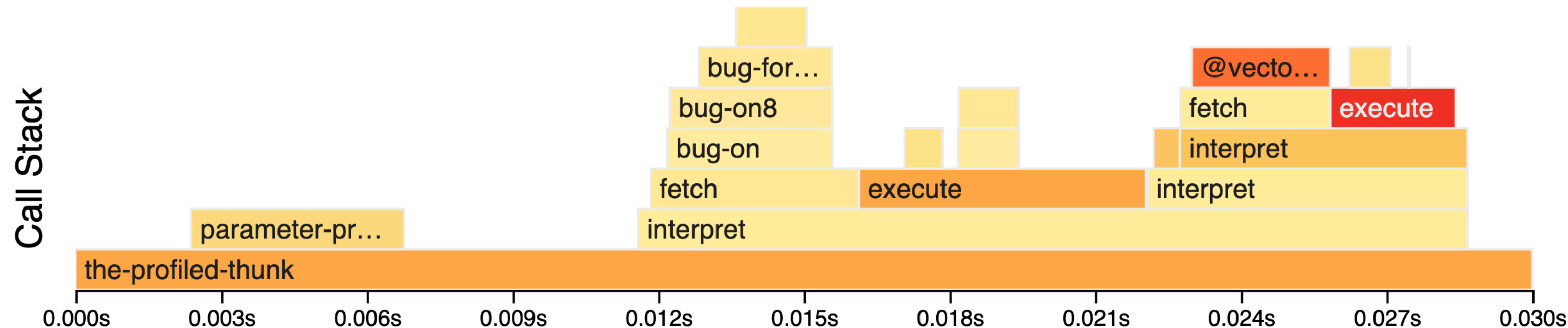
RISC-V verifier



**Serval**



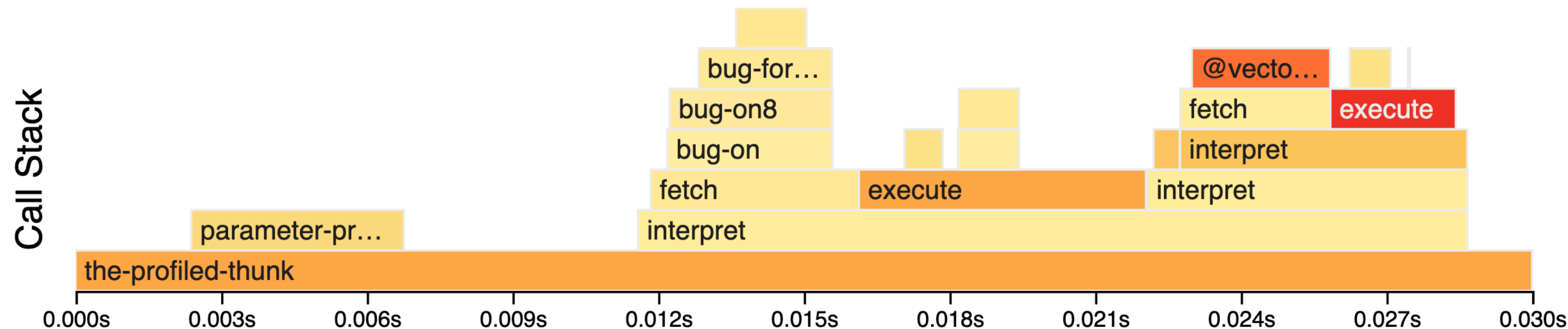
# Verifier [2/3]: identifying bottlenecks in symbolic evaluation



☒ Aggregate ? [More]    Caller Context:  1 ?    ☐ Collapse solver time ?    ☐ Signatures

Function	Score	Time (ms)	Term Count	Unused Terms	Union Size	Merge Cases
execute run.rkt:42 3 calls └─ interpret run.rkt:10	3.7	6	13	13	0	22
@vector-ref 1 call └─ fetch run.rkt:25	2.0	3	0	0	6	14

# Verifier [2/3]: identifying bottlenecks in symbolic evaluation



☒ Aggregate ? [More]    Caller Context:  1 ?    ☐ Collapse solver time ?    ☐ Signatures

Function		Term Count	Unused Terms	Union Size	Merge Cases	
execute	run.rkt:42 3 calls	6	13	13	0	22
↑	interpret run.rkt:10					
@vector-ref	1 call	3	0	0	6	14
↑	fetch run.rkt:25					

fetch

3.7

2.0



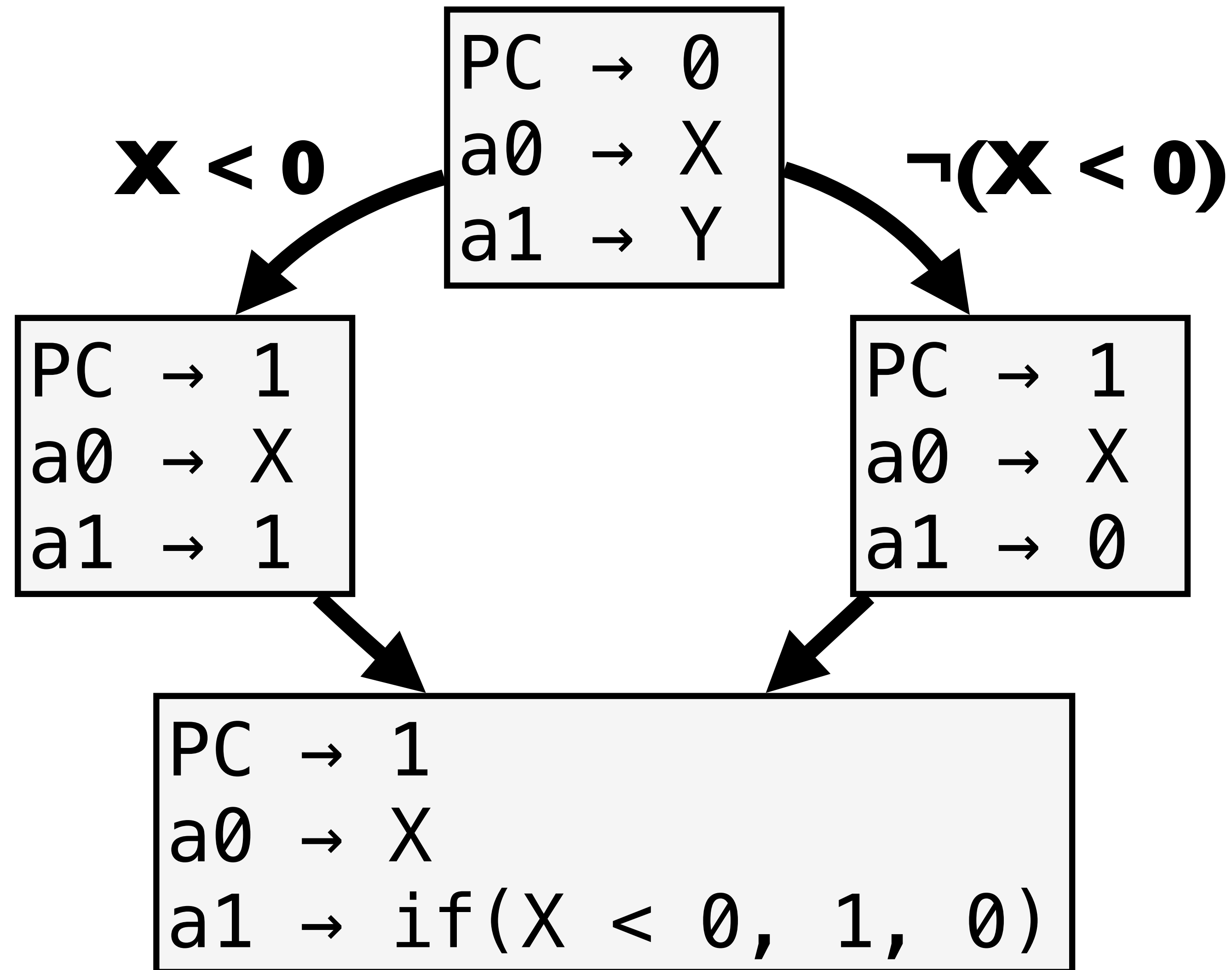
# Verifier [2/3]: identifying bottlenecks in symbolic evaluation

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```

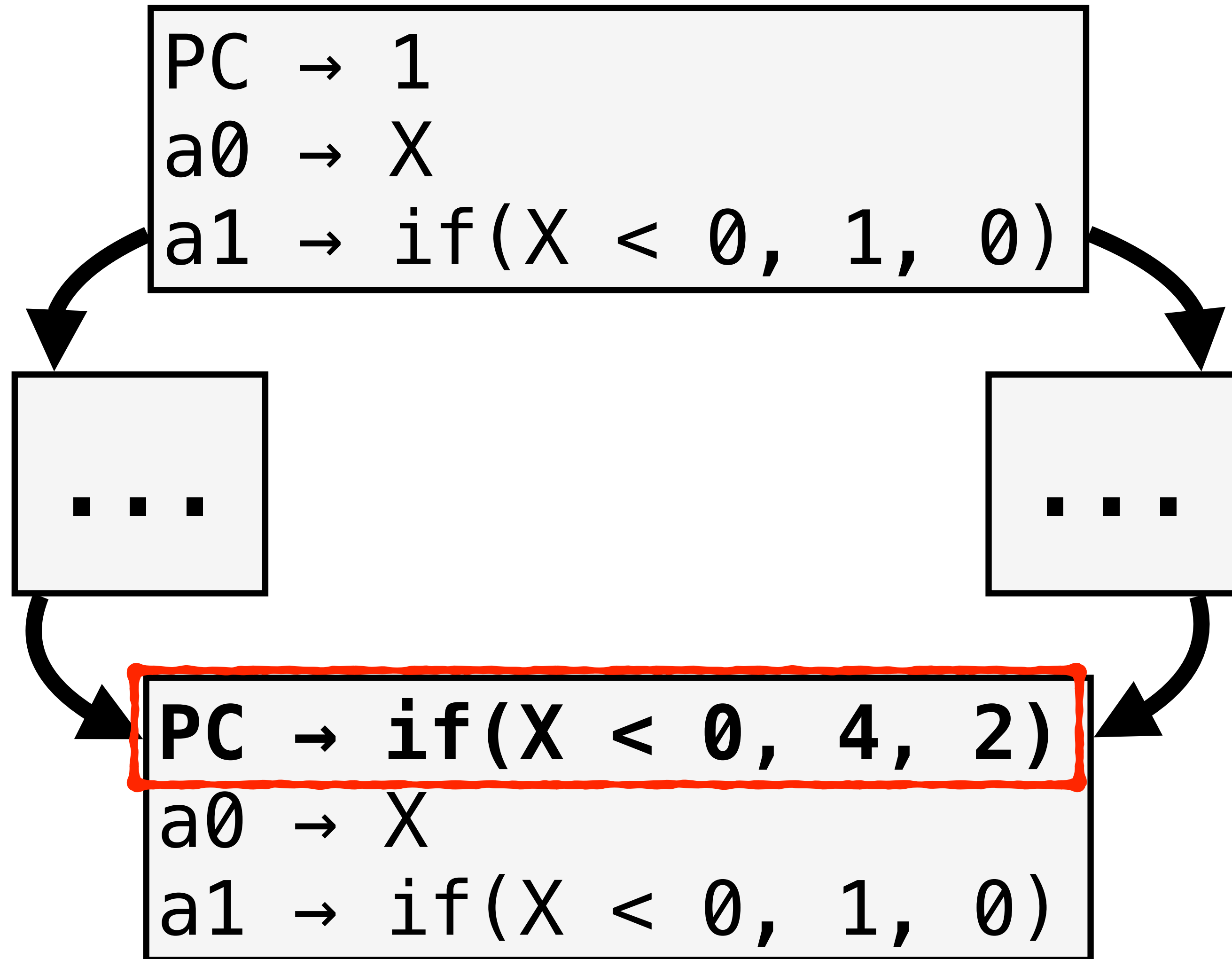
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0: sltz a1 a0
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4: li   a0 -1
5: ret
```

# Merge states to avoid path explosion



```
0: sltz a1 a0
1: bnez a1 4
2: sgtz a0 a0
3: ret
4: li    a0 -1
5: ret
```

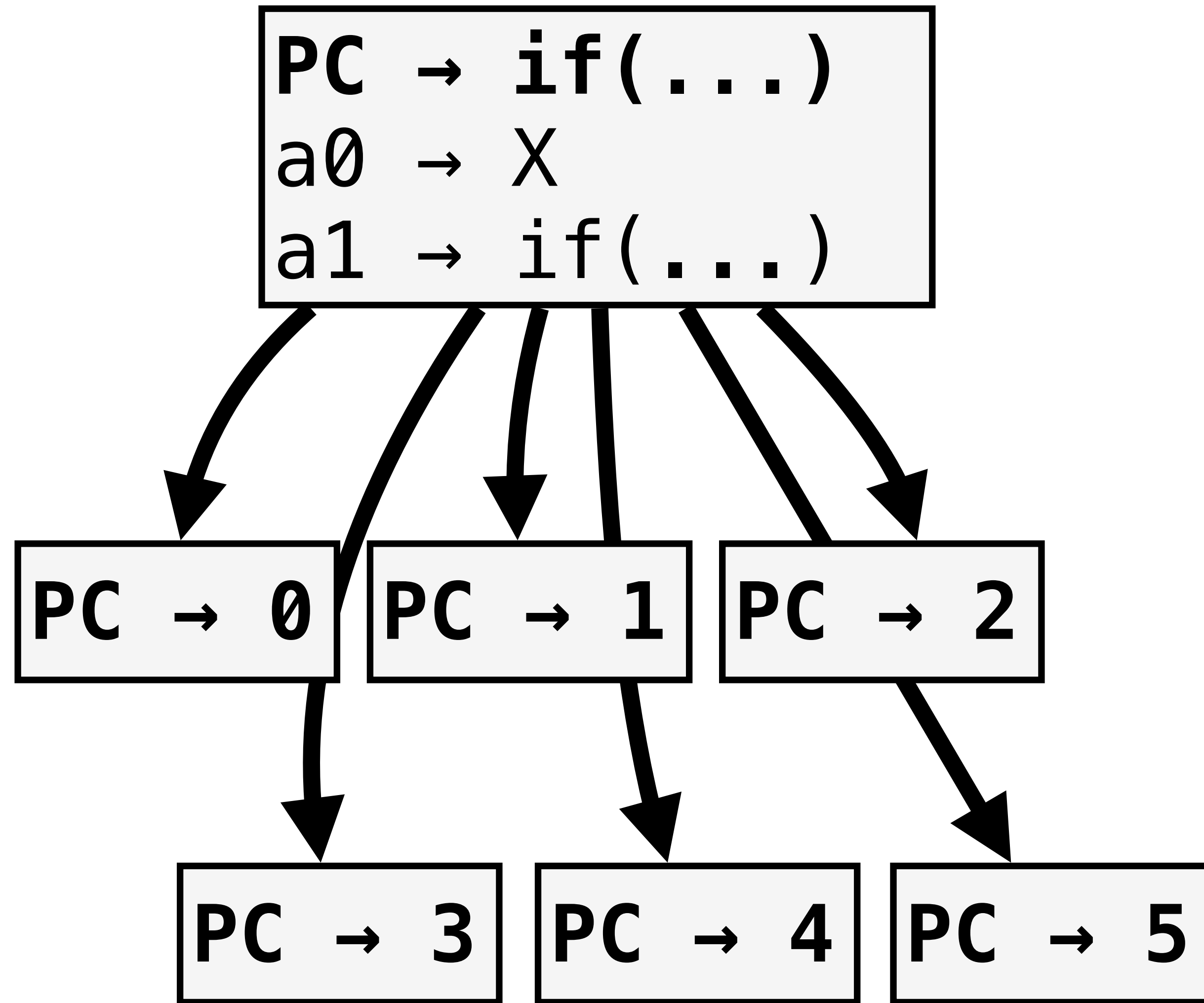
# Bottleneck: state explosion due to symbolic PC



Conditional jump

```
0: sltz a1 a0
1: bnez a1 4
2: sgtz a0 a0
3: ret
4: li    a0 -1
5: ret
```

# Bottleneck: state explosion due to symbolic PC



Conditional jump

```
0: sltz a1 a0  
1: bnez a1 4  
2: sgtz a0 a0  
3: ret  
4: li    a0 -1  
5: ret
```

# Verifier [3/3]: Repairing with symbolic optimizations

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- Symbolic optimization:
  - “Peephole” optimization on symbolic state
  - Fine-tune symbolic evaluation
  - Use domain knowledge
- Serval provides set of symbolic optimizations for verifiers

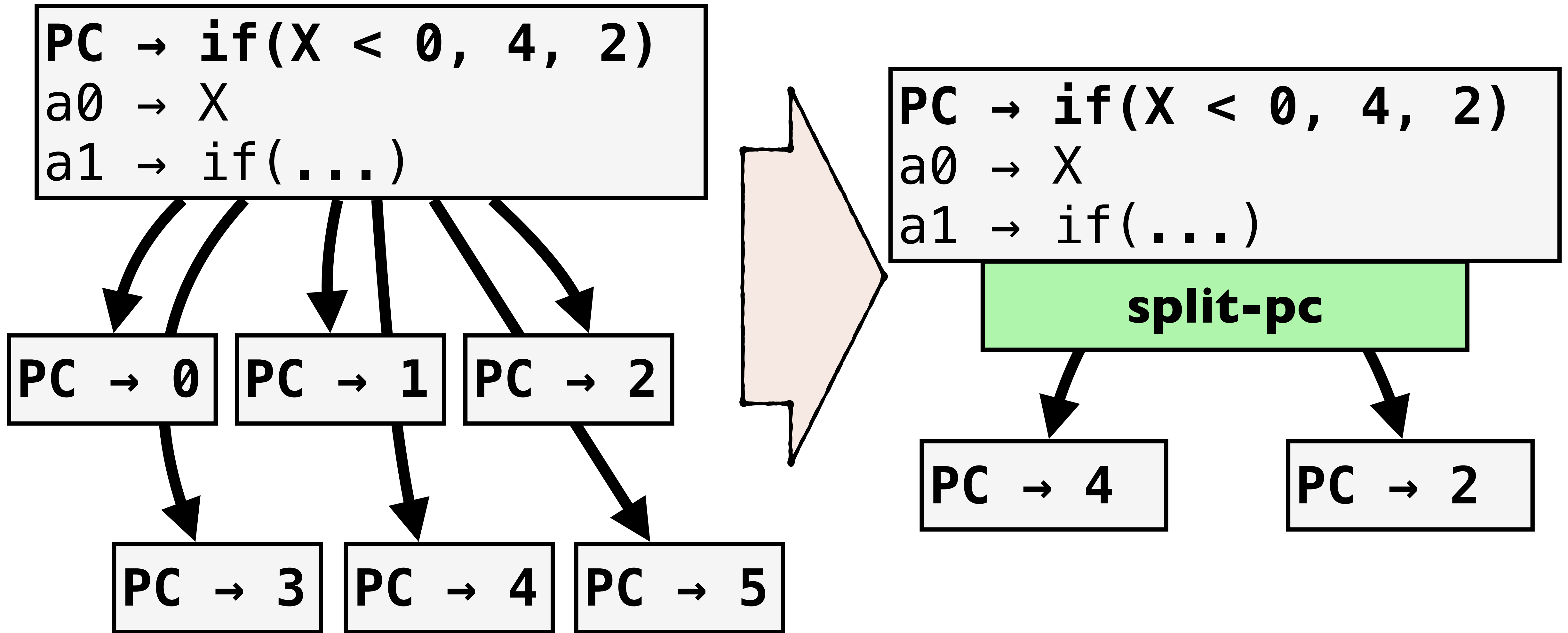
# Verifier [3/3]: Repairing with symbolic optimizations

```
(define (interpret c program)
- (define pc (cpu-pc c))
  (define insn (fetch pc program))
  (match insn
    ...))
```

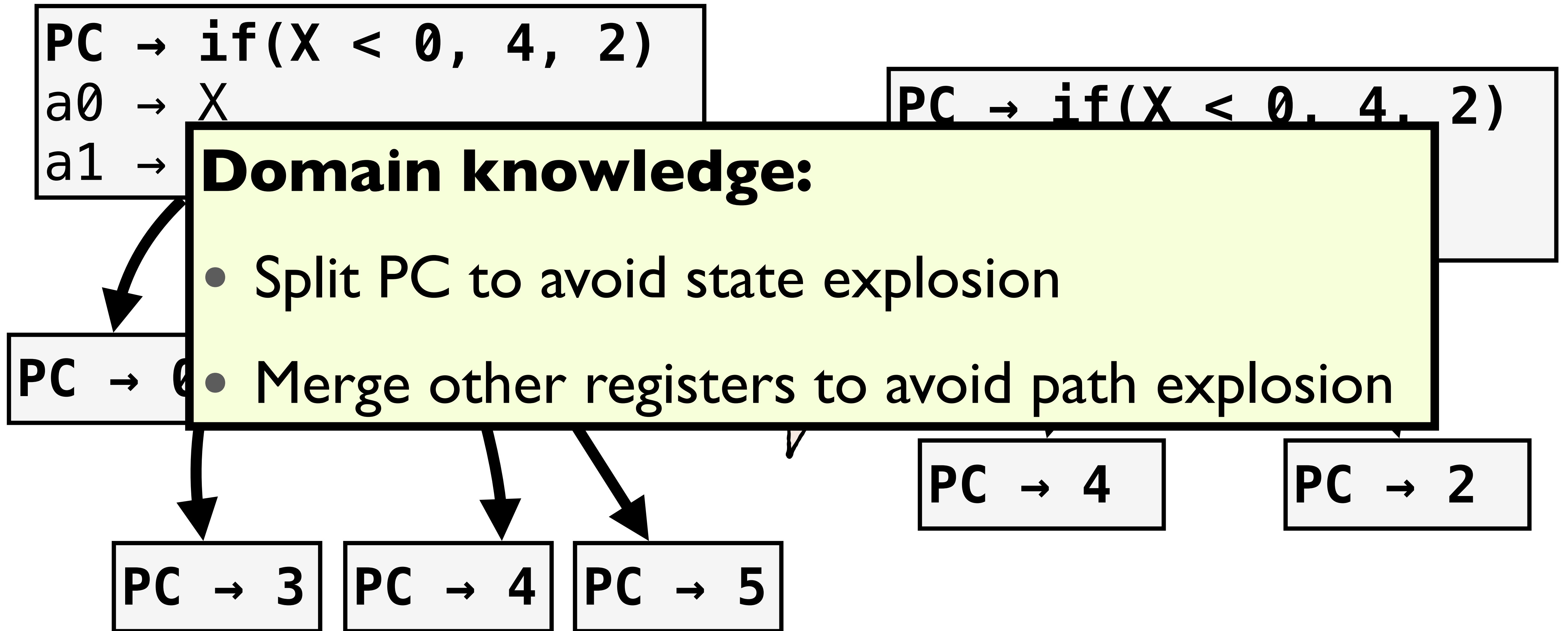
```
(define (interpret c program)
+ (serval:split-pc [cpu pc] c
  (define insn (fetch pc program))
  (match insn
    ...)))
```

- Match on symbolic structure of PC
- Evaluate separately using each concrete PC value
- Merge states afterwards

# Verifier [3/3]: Repairing with symbolic optimizations



# Verifier [3/3]: Repairing with symbolic optimizations





# Verifier summary

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- Verifier = interpreter + symbolic optimizations
- Easy to test verifiers
- Systematic way to scale symbolic evaluation
- Caveats:
  - Symbolic profiling cannot identify expensive SMT operations
  - Repair requires expertise

# Implementation

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RISC-V  
verifier

x86-32  
verifier

LLVM  
verifier

BPF  
verifier



**Serval**



**Rosette**

**Z3**

**SMT solver**

# Experience

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- Can existing systems be retrofitted for Serval?
- Are Serval's verifiers reusable?

# Retrofitting previously verified security monitors

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- Port CertiKOS (PLDI'16) and Komodo (SOSP'17) to RISC-V
- Retrofit the systems to automated verification
- Apply the RISC-V verifier to binary image
- Prove functional correctness and noninterference
- $\approx 4$  weeks each

# Retrofitting overview

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Is the implementation free of unbounded loops?

System implementation

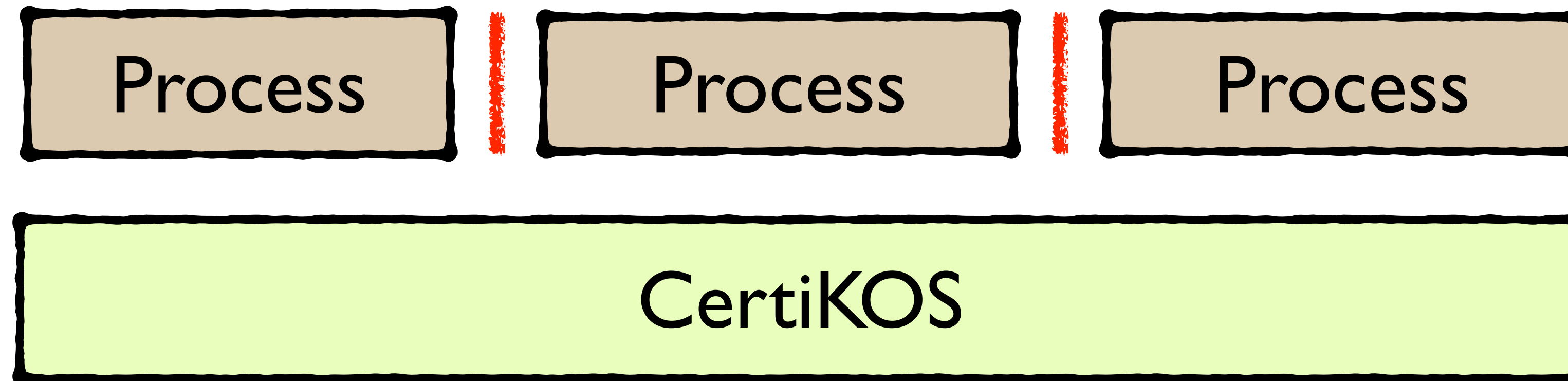
Is the specification expressible in Serval?

System specification

# Example: retrofitting CertiKOS

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- OS kernel providing strict isolation
- Physical memory quota, partitioned PIDs
- Security specification: noninterference



# Example: retrofitting CertiKOS

---

- Implementation
  - Already free of unbounded loops
  - Tweak spawn to close two potential information leaks
- Specification
  - Noninterference using traces of unbounded length
  - Broken down into 3 properties of individual “actions”

# Retrofitting summary

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- Security monitors good fit for automated verification
- No unbounded loops
- No inductive data structures



# Reusing verifiers to find bugs

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- Combine RISC-V, x86-32, and BPF verifiers
- Found 15 bugs in the Linux kernel's BPF JIT compiler
- Bug fixes and new tests upstreamed

# Conclusion

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- Writing automated verifiers using lifting
- A systematic method for scaling symbolic evaluation
- Retrofit Serval to verify existing systems
- For paper and more info:
  - <https://serval.unsat.systems>

