

**Homework 01 (due 09/26)**  
**COMP 5030: Algorithms**  
Computer Science Department, UMass Lowell  
Fall 2023

**Solved problem. (From Jeff Erickson)** A **shuffle** of two strings  $X$  and  $Y$  is formed by interspersing the characters into a new string, keeping the characters of  $X$  and  $Y$  in the same order. For example, the string BANANAANANAS is a shuffle of the strings **BANANA** and **ANANAS** in several different ways.

**BANANAANANAS    BANANAANANAS    BANANAANANAS**

Similarly, the strings PRODGYRNAMAMMIINCG and DYPRONGARMAMMICING are both shuffles of **DYNAMIC** and **PROGRAMMING**:

**PRODGYRNAMAMMIINCG    DYPRONGARMAMMICING**

Given three strings  $A[1..m]$ ,  $B[1..n]$ , and  $C[1..m+n]$ , we wish to determine whether  $C$  is a shuffle of  $A$  and  $B$ .

- (a) Define subproblems and give a recurrence that computes a subproblem based on smaller subproblems. (English description: 1pt; which subproblem corresponds to final answer: 1pt; base case: 1pt; recursive cases: 3pts.)

**Solution.**

(English description) We define a boolean function  $Shuf(i, j)$ , which is **true** if and only if the prefix  $C[1..i+j]$  is a shuffle of the prefixes  $A[1..i]$  and  $B[1..j]$ . (Final answer) The value of  $Shuf(m, n)$  is what we want to compute.

$$Shuf(i, j) = \begin{cases} \text{true} & \text{if } i = j = 0 \text{ (Base case)} \\ Shuf(0, j-1) \wedge (B[j] = C[j]) & \text{if } i = 0 \text{ and } j > 0 \\ Shuf(i-1, 0) \wedge (A[i] = C[i]) & \text{if } i > 0 \text{ and } j = 0 \\ Shuf(i-1, j) \wedge (A[i] = C[i+j]) & \\ \vee Shuf(i, j-1) \wedge (B[j] = C[i+j]) & \text{if } i > 0 \text{ and } j > 0 \end{cases}$$

- (b) Describe and analyze the dynamic programming algorithm. (Describe table: 1pt; dependency DAG: 2pt; time analysis: 1pt)

**Solution.**

(Table description) We can memoize all function values into a two-dimensional array  $Shuf[0..m][0..n]$ .

(Dependency DAG) Each array entry  $Shuf[i, j]$  depends only on the entries immediately below and immediately to the right:  $Shuf[i-1, j]$  and  $Shuf[i, j-1]$ . Thus, we can fill the array in standard row-major order. (Runtime) There are  $O(mn)$  subproblems, each can be computed in  $O(1)$  time. Thus, the total runtime is  $O(mn)$ .

1. (10pts) Solve the following variant of the coin change problem. As input you are given an array  $V = \langle v_1, \dots, v_k \rangle$  with the values of  $k$  types of coins, an array  $Q = \langle q_1, \dots, q_k \rangle$  with the available quantity of each type of coin, and a value  $t$  which is the target change. The objective is to find the minimum number of coins whose values sum up to exactly  $t$ . In other words, we want to find an array  $S = \langle s_1, \dots, s_k \rangle$  such that  $s_i \leq q_i$ ,  $\sum_{i=1}^k s_i v_i = t$ , and  $\sum_{i=1}^k s_i$  is minimized. Try to find a solution that runs in  $O(tk \max_{i \in \{1, \dots, k\}}(q_i))$ .

**Solution.** We define the subproblem  $C[t'][i]$  as the minimum number of coins with values in the prefix  $\langle v_1, \dots, v_i \rangle$  of  $V$  that make up the change amount  $t'$  exactly.

$$C[t'][i] = \begin{cases} 0 & \text{if } t' = 0 \text{ (Base case)} \\ \infty & \text{if } t' < 0 \text{ or } i < 1 \text{ (Base case)} \\ \min_{q' \in \{1, \dots, q_i\}} (N[t' - q' \cdot v_i][i - 1] + q') & \text{otherwise} \end{cases}$$

There are  $t \cdot k$  subproblems each can be solved in  $O(\max_{i \in \{1, \dots, k\}}(q_i))$  time. Thus the runtime is  $O(tk \max_{i \in \{1, \dots, k\}}(q_i))$ .

- English definition of the subproblem **1pt**

"We define the subproblem  $C[t'][i]$  as the minimum number of coins with values in the prefix  $\langle v_1, \dots, v_i \rangle$  of  $V$  that make up the change amount  $t'$  exactly"

- Position of the final answer **1pt**

"The final position will be at  $C[t][k]$ "

- Base cases **1pt (.5pt each)**

**1/2)** 0 if  $t' = 0$

**1/2)**  $\infty$  if  $t' < 0$  or  $i < 1$

- Recursive Case **5pt**

**Math:**  $\min_{q' \in \{1, \dots, q_i\}} (N[t' - q' \cdot v_i][i - 1] + q_i)$

**Explanation:** We try all possible quantities  $q_i$  of a given coin type  $v_i$  plus the solutions to all previous values of  $i$  and find the minimum

**Grading:** Only the math is needed, if their math isn't correct, then give partial credit based on how close they get to the spirit of the recursion

**Note:** There are more efficient solutions, there is a solution that uses binary encodings of  $q_i$  to reduce the runtime of this one by a log factor. There is also a "*very complicated solution*" - *Hugo 2023* that is linear. So if their case is different check the runtime and make sure it's not a better answer. If it's the linear answer be wary of a copy-pasted answer.

- Size of the table **1pt**

$t \times k$

- Runtime **1pt**

$O(tk \max_{i \in \{1, \dots, k\}}(q_i))$ , maybe  $O(tk \max_{i \in \{1, \dots, k\}} \log(q_i))$

- (10pts) Solve exercise 15.1-3 from CLRS. (English description: 1pt; which subproblem corresponds to final answer: 1pt; base case: 1pt; recursive cases: 6pts; Describe table: 1pt; dependency DAG: 2pt; time and space analysis: 1pt.)

Consider a modification of the rod-cutting problem in which, in addition to a price  $p_i$  for each rod, each cut incurs a fixed cost of  $c$ . The revenue associated with a solution is now the sum of the prices of the pieces minus the costs of making the cuts. Give a dynamic-programming algorithm to solve this modified problem.

**Solution.** We can modify the recurrence from the book by simply adding the cost of each cut as follows:

$$r_n = \begin{cases} 0 & \text{if } n = 0 \text{ (Base case)} \\ \max(p_n, \max_{1 \leq i \leq n-1} (p_i + r_{n-i} - c)) & \text{otherwise.} \end{cases}$$

Note that the case when we don't cut and sell the piece for  $p_n$  must be handled separately since there is no cutting cost.

- English definition of the subproblem **1pt**

We define  $r_i$  as the maximum profit for cutting a rod of length  $i$

- Subproblem of the final answer **1pt**

The subproblem  $r_n$  where  $n$  is the target rod length

- Base cases **1pt**

0 if  $n = 0$  (this was given in the solution template)

- Recursive Case **4pt**

**Math:**  $\max(p_n, \max_{1 \leq i \leq n-1} (p_i + r_{n-i} - c))$

**Explanation:** "modify the recurrence from the book by simply adding the cost of each cut + the case when we don't cut and sell the piece for  $p_n$  must be handled separately"

- Describe table **1pt**

The table is a one-dimensional array

- Dependency Dag **1pt**

Really interested in traversal of the table, in this case in each element of our DP table relies solely, on the element to its left

Each subproblem  $r_n$  depends on all smaller subproblems  $r_i$ ,  $i \in \{1, \dots, n-1\}$ . Then, in a bottom-up DP we can fill in the table from left to right.

- Runtime **1pt**

There are  $n$  entries in the table and they take  $O(n)$  time to compute hence  $\Theta(n^2)$