CHAPTER **S**

SOLVING PROBLEMS BY SEARCHING

In which we see how an agent can look ahead to find a sequence of actions that will eventually achieve its goal.

When the correct action to take is not immediately obvious, an agent may need to plan ahead: to consider a sequence of actions that form a path to a goal state. Such an agent is called a **problem-solving agent**, and the computational process it undertakes is called **search**.

Problem-solving agents use atomic representations, as described in Section 2.4.7—that is, states of the world are considered as wholes, with no internal structure visible to the problem-solving algorithms. Agents that use **factored** or **structured** representations of states are called **planning agents** and are discussed in Chapters 7 and 11.

We will cover several search algorithms. In this chapter, we consider only the simplest environments: episodic, single agent, fully observable, deterministic, static, discrete, and known. We distinguish between informed algorithms, in which the agent can estimate how far it is from the goal, and **uninformed** algorithms, where no such estimate is available. Chapter 4 relaxes the constraints on environments, and Chapter 6 considers multiple agents.

This chapter uses the concepts of asymptotic complexity (that is, O(n) notation). Readers unfamiliar with these concepts should consult Appendix A.

3.1 Problem-Solving Agents

Imagine an agent enjoying a touring vacation in Romania. The agent wants to take in the sights, improve its Romanian, enjoy the nightlife, avoid hangovers, and so on. The decision problem is a complex one. Now, suppose the agent is currently in the city of Arad and has a nonrefundable ticket to fly out of Bucharest the following day. The agent observes street signs and sees that there are three roads leading out of Arad: one toward Sibiu, one to Timisoara, and one to Zerind. None of these are the goal, so unless the agent is familiar with the geography of Romania, it will not know which road to follow.¹

If the agent has no additional information—that is, if the environment is **unknown**—then the agent can do no better than to execute one of the actions at random. This sad situation is discussed in Chapter 4. In this chapter, we will assume our agents always have access to information about the world, such as the map in Figure 3.1. With that information, the agent can follow this four-phase problem-solving process:

• Goal formulation: The agent adopts the goal of reaching Bucharest. Goals organize Goal formulation behavior by limiting the objectives and hence the actions to be considered.

Problem-solving

We are assuming that most readers are in the same position and can easily imagine themselves to be as clueless as our agent. We apologize to Romanian readers who are unable to take advantage of this pedagogical device.

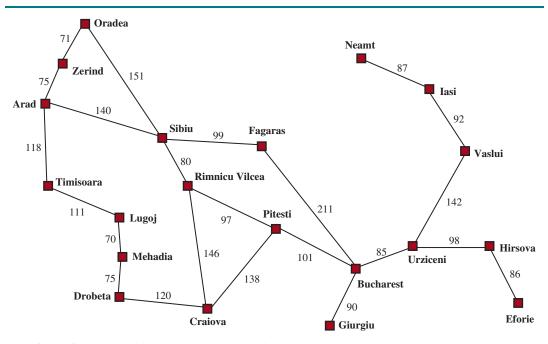


Figure 3.1 A simplified road map of part of Romania, with road distances in miles.

Problem formulation

• **Problem formulation**: The agent devises a description of the states and actions necessary to reach the goal—an abstract model of the relevant part of the world. For our agent, one good model is to consider the actions of traveling from one city to an adjacent city, and therefore the only fact about the state of the world that will change due to an action is the current city.

Search

• **Search**: Before taking any action in the real world, the agent simulates sequences of actions in its model, searching until it finds a sequence of actions that reaches the goal. Such a sequence is called a **solution**. The agent might have to simulate multiple sequences that do not reach the goal, but eventually it will find a solution (such as going from Arad to Sibiu to Fagaras to Bucharest), or it will find that no solution is possible.

Solution

• Execution: The agent can now execute the actions in the solution, one at a time.

Execution

It is an important property that in a fully observable, deterministic, known environment, *the solution to any problem is a fixed sequence of actions:* drive to Sibiu, then Fagaras, then Bucharest. If the model is correct, then once the agent has found a solution, it can ignore its percepts while it is executing the actions—closing its eyes, so to speak—because the solution is guaranteed to lead to the goal. Control theorists call this an **open-loop** system: ignoring the percepts breaks the loop between agent and environment. If there is a chance that the model is incorrect, or the environment is nondeterministic, then the agent would be safer using a **closed-loop** approach that monitors the percepts (see Section 4.4).

Open-loop

Closed-loop

In partially observable or nondeterministic environments, a solution would be a branching strategy that recommends different future actions depending on what percepts arrive. For example, the agent might plan to drive from Arad to Sibiu but might need a contingency plan in case it arrives in Zerind by accident or finds a sign saying "Drum Închis" (Road Closed).

3.1.1 Search problems and solutions

A search **problem** can be defined formally as follows:

- A set of possible **states** that the environment can be in. We call this the **state space**.
- The **initial state** that the agent starts in. For example: *Arad*.

• A set of one or more **goal states**. Sometimes there is one goal state (e.g., *Bucharest*), sometimes there is a small set of alternative goal states, and sometimes the goal is defined by a property that applies to many states (potentially an infinite number). For example, in a vacuum-cleaner world, the goal might be to have no dirt in any location, regardless of any other facts about the state. We can account for all three of these possibilities by specifying an Is-GOAL method for a problem. In this chapter we will sometimes say "the goal" for simplicity, but what we say also applies to "any one of the possible goal states."

• The actions available to the agent. Given a state s, ACTIONS(s) returns a finite² set of Action actions that can be executed in s. We say that each of these actions is **applicable** in s. Applicable An example:

 $ACTIONS(Arad) = \{ToSibiu, ToTimisoara, ToZerind\}.$

• A transition model, which describes what each action does. RESULT(s, a) returns the Transition model state that results from doing action a in state s. For example,

Result(Arad, ToZerind) = Zerind.

• An action cost function, denoted by ACTION-COST(s, a, s') when we are programming Action cost function or c(s,a,s') when we are doing math, that gives the numeric cost of applying action a in state s to reach state s'. A problem-solving agent should use a cost function that reflects its own performance measure; for example, for route-finding agents, the cost of an action might be the length in miles (as seen in Figure 3.1), or it might be the time it takes to complete the action.

A sequence of actions forms a **path**, and a **solution** is a path from the initial state to a goal Path state. We assume that action costs are additive; that is, the total cost of a path is the sum of the individual action costs. An optimal solution has the lowest path cost among all solutions. In Optimal solution this chapter, we assume that all action costs will be positive, to avoid certain complications.³

The state space can be represented as a graph in which the vertices are states and the Graph directed edges between them are actions. The map of Romania shown in Figure 3.1 is such a graph, where each road indicates two actions, one in each direction.

Problem

States

State space Initial state

Goal states

² For problems with an infinite number of actions we would need techniques that go beyond this chapter.

³ In any problem with a cycle of net negative cost, the cost-optimal solution is to go around that cycle an infinite number of times. The Bellman-Ford and Floyd-Warshall algorithms (not covered here) handle negative-cost actions, as long as there are no negative cycles. It is easy to accommodate zero-cost actions, as long as the number of consecutive zero-cost actions is bounded. For example, we might have a robot where there is a cost to move, but zero cost to rotate 90°; the algorithms in this chapter can handle this as long as no more than three consecutive 90° turns are allowed. There is also a complication with problems that have an infinite number of arbitrarily small action costs. Consider a version of Zeno's paradox where there is an action to move half way to the goal, at a cost of half of the previous move. This problem has no solution with a finite number of actions, but to prevent a search from taking an unbounded number of actions without quite reaching the goal, we can require that all action costs be at least ϵ , for some small positive value ϵ .

3.1.2 Formulating problems

Our formulation of the problem of getting to Bucharest is a **model**—an abstract mathematical description—and not the real thing. Compare the simple atomic state description *Arad* to an actual cross-country trip, where the state of the world includes so many things: the traveling companions, the current radio program, the scenery out of the window, the proximity of law enforcement officers, the distance to the next rest stop, the condition of the road, the weather, the traffic, and so on. All these considerations are left out of our model because they are irrelevant to the problem of finding a route to Bucharest.

Abstraction

The process of removing detail from a representation is called **abstraction**. A good problem formulation has the right level of detail. If the actions were at the level of "move the right foot forward a centimeter" or "turn the steering wheel one degree left," the agent would probably never find its way out of the parking lot, let alone to Bucharest.

Level of abstraction

Can we be more precise about the appropriate **level of abstraction**? Think of the abstract states and actions we have chosen as corresponding to large sets of detailed world states and detailed action sequences. Now consider a solution to the abstract problem: for example, the path from Arad to Sibiu to Rimnicu Vilcea to Pitesti to Bucharest. This abstract solution corresponds to a large number of more detailed paths. For example, we could drive with the radio on between Sibiu and Rimnicu Vilcea, and then switch it off for the rest of the trip.

The abstraction is *valid* if we can elaborate any abstract solution into a solution in the more detailed world; a sufficient condition is that for every detailed state that is "in Arad," there is a detailed path to some state that is "in Sibiu," and so on.⁴ The abstraction is *useful* if carrying out each of the actions in the solution is easier than the original problem; in our case, the action "drive from Arad to Sibiu" can be carried out without further search or planning by a driver with average skill. The choice of a good abstraction thus involves removing as much detail as possible while retaining validity and ensuring that the abstract actions are easy to carry out. Were it not for the ability to construct useful abstractions, intelligent agents would be completely swamped by the real world.

3.2 Example Problems

Standardized problem

Real-world problem

The problem-solving approach has been applied to a vast array of task environments. We list some of the best known here, distinguishing between *standardized* and *real-world* problems. A **standardized problem** is intended to illustrate or exercise various problem-solving methods. It can be given a concise, exact description and hence is suitable as a benchmark for researchers to compare the performance of algorithms. A **real-world problem**, such as robot navigation, is one whose solutions people actually use, and whose formulation is idiosyncratic, not standardized, because, for example, each robot has different sensors that produce different data.

3.2.1 Standardized problems

Grid world

A **grid world** problem is a two-dimensional rectangular array of square cells in which agents can move from cell to cell. Typically the agent can move to any obstacle-free adjacent cell—horizontally or vertically and in some problems diagonally. Cells can contain objects, which

⁴ See Section 11.4.

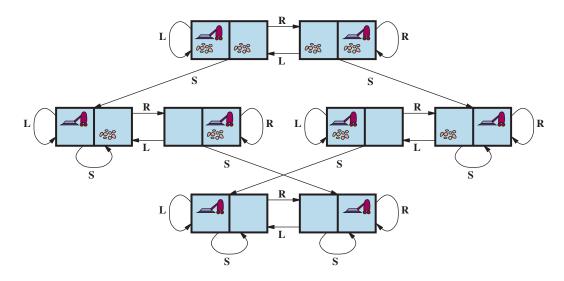


Figure 3.2 The state-space graph for the two-cell vacuum world. There are 8 states and three actions for each state: L = Left, R = Right, S = Suck.

the agent can pick up, push, or otherwise act upon; a wall or other impassible obstacle in a cell prevents an agent from moving into that cell. The vacuum world from Section 2.1 can be formulated as a grid world problem as follows:

- States: A state of the world says which objects are in which cells. For the vacuum world, the objects are the agent and any dirt. In the simple two-cell version, the agent can be in either of the two cells, and each cell can either contain dirt or not, so there are $2 \cdot 2 \cdot 2 = 8$ states (see Figure 3.2). In general, a vacuum environment with n cells has $n \cdot 2^n$ states.
- Initial state: Any state can be designated as the initial state.
- Actions: In the two-cell world we defined three actions: Suck, move Left, and move Right. In a two-dimensional multi-cell world we need more movement actions. We could add *Upward* and *Downward*, giving us four **absolute** movement actions, or we could switch to **egocentric actions**, defined relative to the viewpoint of the agent—for example, Forward, Backward, TurnRight, and TurnLeft.
- Transition model: Suck removes any dirt from the agent's cell; Forward moves the agent ahead one cell in the direction it is facing, unless it hits a wall, in which case the action has no effect. Backward moves the agent in the opposite direction, while TurnRight and TurnLeft change the direction it is facing by 90°.
- Goal states: The states in which every cell is clean.
- Action cost: Each action costs 1.

Another type of grid world is the sokoban puzzle, in which the agent's goal is to push a Sokoban puzzle number of boxes, scattered about the grid, to designated storage locations. There can be at most one box per cell. When an agent moves forward into a cell containing a box and there is an empty cell on the other side of the box, then both the box and the agent move forward.

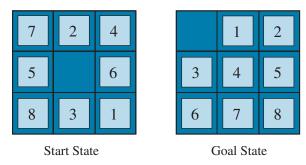


Figure 3.3 A typical instance of the 8-puzzle.

The agent can't push a box into another box or a wall. For a world with n non-obstacle cells and b boxes, there are $n \times n!/(b!(n-b)!)$ states; for example on an 8×8 grid with a dozen boxes, there are over 200 trillion states.

In a **sliding-tile puzzle**, a number of tiles (sometimes called blocks or pieces) are arranged in a grid with one or more blank spaces so that some of the tiles can slide into the blank space. One variant is the Rush Hour puzzle, in which cars and trucks slide around a 6×6 grid in an attempt to free a car from the traffic jam. Perhaps the best-known variant is the **8-puzzle** (see Figure 3.3), which consists of a 3×3 grid with eight numbered tiles and one blank space, and the **15-puzzle** on a 4×4 grid. The object is to reach a specified goal state, such as the one shown on the right of the figure. The standard formulation of the 8 puzzle is as follows:

- **States**: A state description specifies the location of each of the tiles.
- **Initial state**: Any state can be designated as the initial state. Note that a parity property partitions the state space—any given goal can be reached from exactly half of the possible initial states (see Exercise 3.PART).
- Actions: While in the physical world it is a tile that slides, the simplest way of describing an action is to think of the blank space moving *Left*, *Right*, *Up*, or *Down*. If the blank is at an edge or corner then not all actions will be applicable.
- **Transition model**: Maps a state and action to a resulting state; for example, if we apply *Left* to the start state in Figure 3.3, the resulting state has the 5 and the blank switched.
- **Goal state**: Although any state could be the goal, we typically specify a state with the numbers in order, as in Figure 3.3.
- Action cost: Each action costs 1.

Note that every problem formulation involves abstractions. The 8-puzzle actions are abstracted to their beginning and final states, ignoring the intermediate locations where the tile is sliding. We have abstracted away actions such as shaking the board when tiles get stuck and ruled out extracting the tiles with a knife and putting them back again. We are left with a description of the rules, avoiding all the details of physical manipulations.

Our final standardized problem was devised by Donald Knuth (1964) and illustrates how infinite state spaces can arise. Knuth conjectured that starting with the number 4, a sequence

Sliding-tile puzzle

8-puzzle 15-puzzle of square root, floor, and factorial operations can reach any desired positive integer. For example, we can reach 5 from 4 as follows:

$$\left\lfloor \sqrt{\sqrt{\sqrt{\sqrt{(4!)!}}}} \right\rfloor = 5.$$

The problem definition is simple:

• States: Positive real numbers.

• Initial state: 4.

• Actions: Apply square root, floor, or factorial operation (factorial for integers only).

• **Transition model**: As given by the mathematical definitions of the operations.

• Goal state: The desired positive integer.

• Action cost: Each action costs 1.

The state space for this problem is infinite: for any integer greater than 2 the factorial operator will always yield a larger integer. The problem is interesting because it explores very large numbers: the shortest path to 5 goes through (4!)! = 620,448,401,733,239,439,360,000. Infinite state spaces arise frequently in tasks involving the generation of mathematical expressions, circuits, proofs, programs, and other recursively defined objects.

3.2.2 Real-world problems

We have already seen how the **route-finding problem** is defined in terms of specified locations and transitions along edges between them. Route-finding algorithms are used in a variety of applications. Some, such as Web sites and in-car systems that provide driving directions, are relatively straightforward extensions of the Romania example. (The main complications are varying costs due to traffic-dependent delays, and rerouting due to road closures.) Others, such as routing video streams in computer networks, military operations planning, and airline travel-planning systems, involve much more complex specifications. Consider the airline travel problems that must be solved by a travel-planning Web site:

- States: Each state obviously includes a location (e.g., an airport) and the current time. Furthermore, because the cost of an action (a flight segment) may depend on previous segments, their fare bases, and their status as domestic or international, the state must record extra information about these "historical" aspects.
- Initial state: The user's home airport.
- Actions: Take any flight from the current location, in any seat class, leaving after the current time, leaving enough time for within-airport transfer if needed.
- **Transition model**: The state resulting from taking a flight will have the flight's destination as the new location and the flight's arrival time as the new time.
- Goal state: A destination city. Sometimes the goal can be more complex, such as "arrive at the destination on a nonstop flight."
- Action cost: A combination of monetary cost, waiting time, flight time, customs and immigration procedures, seat quality, time of day, type of airplane, frequent-flyer reward points, and so on.

Touring problem

Traveling salesperson problem (TSP)

VLSI layout

Robot navigation

Automatic assembly sequencing

Protein design

Commercial travel advice systems use a problem formulation of this kind, with many additional complications to handle the airlines' byzantine fare structures. Any seasoned traveler knows, however, that not all air travel goes according to plan. A really good system should include contingency plans—what happens if this flight is delayed and the connection is missed?

Touring problems describe a set of locations that must be visited, rather than a single goal destination. The **traveling salesperson problem** (**TSP**) is a touring problem in which every city on a map must be visited. The aim is to find a tour with $\cos t < C$ (or in the optimization version, to find a tour with the lowest cost possible). An enormous amount of effort has been expended to improve the capabilities of TSP algorithms. The algorithms can also be extended to handle fleets of vehicles. For example, a search and optimization algorithm for routing school buses in Boston saved \$5 million, cut traffic and air pollution, and saved time for drivers and students (Bertsimas *et al.*, 2019). In addition to planning trips, search algorithms have been used for tasks such as planning the movements of automatic circuit-board drills and of stocking machines on shop floors.

A **VLSI layout** problem requires positioning millions of components and connections on a chip to minimize area, minimize circuit delays, minimize stray capacitances, and maximize manufacturing yield. The layout problem comes after the logical design phase and is usually split into two parts: **cell layout** and **channel routing**. In cell layout, the primitive components of the circuit are grouped into cells, each of which performs some recognized function. Each cell has a fixed footprint (size and shape) and requires a certain number of connections to each of the other cells. The aim is to place the cells on the chip so that they do not overlap and so that there is room for the connecting wires to be placed between the cells. Channel routing finds a specific route for each wire through the gaps between the cells. These search problems are extremely complex, but definitely worth solving.

Robot navigation is a generalization of the route-finding problem described earlier. Rather than following distinct paths (such as the roads in Romania), a robot can roam around, in effect making its own paths. For a circular robot moving on a flat surface, the space is essentially two-dimensional. When the robot has arms and legs that must also be controlled, the search space becomes many-dimensional—one dimension for each joint angle. Advanced techniques are required just to make the essentially continuous search space finite (see Chapter 26). In addition to the complexity of the problem, real robots must also deal with errors in their sensor readings and motor controls, with partial observability, and with other agents that might alter the environment.

Automatic assembly sequencing of complex objects (such as electric motors) by a robot has been standard industry practice since the 1970s. Algorithms first find a feasible assembly sequence and then work to optimize the process. Minimizing the amount of manual human labor on the assembly line can produce significant savings in time and cost. In assembly problems, the aim is to find an order in which to assemble the parts of some object. If the wrong order is chosen, there will be no way to add some part later in the sequence without undoing some of the work already done. Checking an action in the sequence for feasibility is a difficult geometrical search problem closely related to robot navigation. Thus, the generation of legal actions is the expensive part of assembly sequencing. Any practical algorithm must avoid exploring all but a tiny fraction of the state space. One important assembly problem is **protein design**, in which the goal is to find a sequence of amino acids that will fold into a three-dimensional protein with the right properties to cure some disease.

3.3 Search Algorithms

A search algorithm takes a search problem as input and returns a solution, or an indication of Search algorithm failure. In this chapter we consider algorithms that superimpose a search tree over the statespace graph, forming various paths from the initial state, trying to find a path that reaches a goal state. Each **node** in the search tree corresponds to a state in the state space and the edges in the search tree correspond to actions. The root of the tree corresponds to the initial state of the problem.

It is important to understand the distinction between the state space and the search tree. The state space describes the (possibly infinite) set of states in the world, and the actions that allow transitions from one state to another. The search tree describes paths between these states, reaching towards the goal. The search tree may have multiple paths to (and thus multiple nodes for) any given state, but each node in the tree has a unique path back to the root (as in all trees).

Figure 3.4 shows the first few steps in finding a path from Arad to Bucharest. The root node of the search tree is at the initial state, Arad. We can **expand** the node, by considering

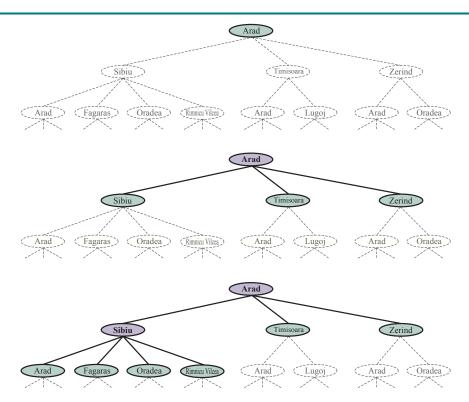


Figure 3.4 Three partial search trees for finding a route from Arad to Bucharest. Nodes that have been expanded are lavender with bold letters; nodes on the frontier that have been generated but not yet expanded are in green; the set of states corresponding to these two types of nodes are said to have been reached. Nodes that could be generated next are shown in faint dashed lines. Notice in the bottom tree there is a cycle from Arad to Sibiu to Arad; that can't be an optimal path, so search should not continue from there.

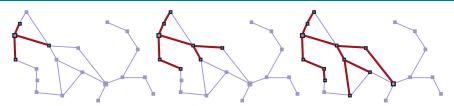


Figure 3.5 A sequence of search trees generated by a graph search on the Romania problem of Figure 3.1. At each stage, we have expanded every node on the frontier, extending every path with all applicable actions that don't result in a state that has already been reached. Notice that at the third stage, the topmost city (Oradea) has two successors, both of which have already been reached by other paths, so no paths are extended from Oradea.

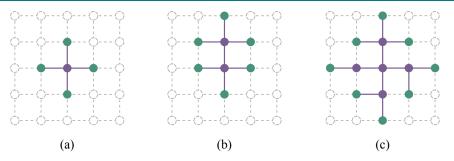


Figure 3.6 The separation property of graph search, illustrated on a rectangular-grid problem. The frontier (green) separates the interior (lavender) from the exterior (faint dashed). The frontier is the set of nodes (and corresponding states) that have been reached but not yet expanded; the interior is the set of nodes (and corresponding states) that have been expanded; and the exterior is the set of states that have not been reached. In (a), just the root has been expanded. In (b), the top frontier node is expanded. In (c), the remaining successors of the root are expanded in clockwise order.

the available ACTIONS for that state, using the RESULT function to see where those actions lead to, and **generating** a new node (called a **child node** or **successor node**) for each of the resulting states. Each child node has *Arad* as its **parent node**.

Now we must choose which of these three child nodes to consider next. This is the essence of search—following up one option now and putting the others aside for later. Suppose we choose to expand Sibiu first. Figure 3.4 (bottom) shows the result: a set of 6 unexpanded nodes (outlined in bold). We call this the **frontier** of the search tree. We say that any state that has had a node generated for it has been **reached** (whether or not that node has been expanded). Figure 3.5 shows the search tree superimposed on the state-space graph.

Note that the frontier **separates** two regions of the state-space graph: an interior region where every state has been expanded, and an exterior region of states that have not yet been reached. This property is illustrated in Figure 3.6.

Successor node

Generating

Child node

Reached

Separator

Parent node
Frontier

⁵ Some authors call the frontier the **open list**, which is both geographically less evocative and computationally less appropriate, because a queue is more efficient than a list here. Those authors use the term **closed list** to refer to the set of previously expanded nodes, which in our terminology would be the *reached* nodes minus the *frontier*.

```
function BEST-FIRST-SEARCH(problem, f) returns a solution node or failure
  node \leftarrow Node(State = problem.Initial)
  frontier \leftarrow a priority queue ordered by f, with node as an element
  reached ← a lookup table, with one entry with key problem. INITIAL and value node
  while not IS-EMPTY(frontier) do
    node \leftarrow Pop(frontier)
    if problem.IS-GOAL(node.STATE) then return node
    for each child in EXPAND(problem, node) do
       s \leftarrow child.STATE
       if s is not in reached or child.PATH-COST < reached[s].PATH-COST then
          reached[s] \leftarrow child
          add child to frontier
  return failure
function EXPAND(problem, node) yields nodes
  s \leftarrow node.STATE
  for each action in problem.ACTIONS(s) do
    s' \leftarrow problem.RESULT(s, action)
     cost \leftarrow node.PATH-COST + problem.ACTION-COST(s, action, s')
    yield NODE(STATE=s', PARENT=node, ACTION=action, PATH-COST=cost)
```

Figure 3.7 The best-first search algorithm, and the function for expanding a node. The data structures used here are described in Section 3.3.2. See Appendix B for yield.

3.3.1 Best-first search

How do we decide which node from the frontier to expand next? A very general approach is called **best-first search**, in which we choose a node, n, with minimum value of some Best-first search evaluation function, f(n). Figure 3.7 shows the algorithm. On each iteration we choose Evaluation function a node on the frontier with minimum f(n) value, return it if its state is a goal state, and otherwise apply EXPAND to generate child nodes. Each child node is added to the frontier if it has not been reached before, or is re-added if it is now being reached with a path that has a lower path cost than any previous path. The algorithm returns either an indication of failure, or a node that represents a path to a goal. By employing different f(n) functions, we get different specific algorithms, which this chapter will cover.

3.3.2 Search data structures

Search algorithms require a data structure to keep track of the search tree. A **node** in the tree is represented by a data structure with four components:

- node.STATE: the state to which the node corresponds;
- node.PARENT: the node in the tree that generated this node;
- node. ACTION: the action that was applied to the parent's state to generate this node;
- node.PATH-COST: the total cost of the path from the initial state to this node. In mathematical formulas, we use g(node) as a synonym for PATH-COST.

Following the PARENT pointers back from a node allows us to recover the states and actions along the path to that node. Doing this from a goal node gives us the solution.

Chapter 3 Solving Problems by Searching

Queue

We need a data structure to store the **frontier**. The appropriate choice is a **queue** of some kind, because the operations on a frontier are:

- Is-EMPTY(frontier) returns true only if there are no nodes in the frontier.
- POP(frontier) removes the top node from the frontier and returns it.
- TOP(frontier) returns (but does not remove) the top node of the frontier.
- ADD(node, frontier) inserts node into its proper place in the queue.

Three kinds of queues are used in search algorithms:

- A **priority queue** first pops the node with the minimum cost according to some evaluation function, f. It is used in best-first search.
- A **FIFO queue** or first-in-first-out queue first pops the node that was added to the queue first; we shall see it is used in breadth-first search.
- A **LIFO queue** or last-in-first-out queue (also known as a **stack**) pops first the most recently added node; we shall see it is used in depth-first search.

The reached states can be stored as a lookup table (e.g. a hash table) where each key is a state and each value is the node for that state.

3.3.3 Redundant paths

The search tree shown in Figure 3.4 (bottom) includes a path from Arad to Sibiu and back to Arad again. We say that *Arad* is a **repeated state** in the search tree, generated in this case by a **cycle** (also known as a **loopy path**). So even though the state space has only 20 states, the complete search tree is *infinite* because there is no limit to how often one can traverse a loop.

A cycle is a special case of a **redundant path**. For example, we can get to Sibiu via the path Arad–Sibiu (140 miles long) or the path Arad–Zerind–Oradea–Sibiu (297 miles long). This second path is redundant—it's just a worse way to get to the same state—and need not be considered in our quest for optimal paths.

Consider an agent in a 10×10 grid world, with the ability to move to any of 8 adjacent squares. If there are no obstacles, the agent can reach any of the 100 squares in 9 moves or fewer. But the number of paths of length 9 is almost 8^9 (a bit less because of the edges of the grid), or more than 100 million. In other words, the average cell can be reached by over a million redundant paths of length 9, and if we eliminate redundant paths, we can complete a search roughly a million times faster. As the saying goes, *algorithms that cannot remember the past are doomed to repeat it.* There are three approaches to this issue.

First, we can remember all previously reached states (as best-first search does), allowing us to detect all redundant paths, and keep only the best path to each state. This is appropriate for state spaces where there are many redundant paths, and is the preferred choice when the table of reached states will fit in memory.

Second, we can not worry about repeating the past. There are some problem formulations where it is rare or impossible for two paths to reach the same state. An example would be an assembly problem where each action adds a part to an evolving assemblage, and there is an ordering of parts so that it is possible to add A and then B, but not B and then A. For those problems, we could save memory space if we don't track reached states and we don't check for redundant paths. We call a search algorithm a graph search if it checks for redundant paths and a tree-like search⁶ if it does not check. The BEST-FIRST-SEARCH algorithm in

Priority queue

FIFO queue

LIFO queue Stack

Repeated state
Cycle
Loopy path
Redundant path

Graph search
Tree-like search

Figure 3.7 is a graph search algorithm; if we remove all references to reached we get a treelike search that uses less memory but will examine redundant paths to the same state, and thus will run slower.

Third, we can compromise and check for cycles, but not for redundant paths in general. Since each node has a chain of parent pointers, we can check for cycles with no need for additional memory by following up the chain of parents to see if the state at the end of the path has appeared earlier in the path. Some implementations follow this chain all the way up, and thus eliminate all cycles; other implementations follow only a few links (e.g., to the parent, grandparent, and great-grandparent), and thus take only a constant amount of time, while eliminating all short cycles (and relying on other mechanisms to deal with long cycles).

3.3.4 Measuring problem-solving performance

Before we get into the design of various search algorithms, we will consider the criteria used to choose among them. We can evaluate an algorithm's performance in four ways:

• Completeness: Is the algorithm guaranteed to find a solution when there is one, and to Completeness correctly report failure when there is not?

• Cost optimality: Does it find a solution with the lowest path cost of all solutions?⁷

• Time complexity: How long does it take to find a solution? This can be measured in Time complexity seconds, or more abstractly by the number of states and actions considered.

• **Space complexity**: How much memory is needed to perform the search?

To understand completeness, consider a search problem with a single goal. That goal could be anywhere in the state space; therefore a complete algorithm must be capable of systematically exploring every state that is reachable from the initial state. In finite state spaces that is straightforward to achieve: as long as we keep track of paths and cut off ones that are cycles (e.g. Arad to Sibiu to Arad), eventually we will reach every reachable state.

In infinite state spaces, more care is necessary. For example, an algorithm that repeatedly applied the "factorial" operator in Knuth's "4" problem would follow an infinite path from 4 to 4! to (4!)!, and so on. Similarly, on an infinite grid with no obstacles, repeatedly moving forward in a straight line also follows an infinite path of new states. In both cases the algorithm never returns to a state it has reached before, but is incomplete because wide expanses of the state space are never reached.

To be complete, a search algorithm must be **systematic** in the way it explores an infinite Systematic state space, making sure it can eventually reach any state that is connected to the initial state. For example, on the infinite grid, one kind of systematic search is a spiral path that covers all the cells that are s steps from the origin before moving out to cells that are s+1 steps away. Unfortunately, in an infinite state space with no solution, a sound algorithm needs to keep searching forever; it can't terminate because it can't know if the next state will be a goal.

Time and space complexity are considered with respect to some measure of the problem difficulty. In theoretical computer science, the typical measure is the size of the state-space graph, |V| + |E|, where |V| is the number of vertices (state nodes) of the graph and |E| is

Cost optimality

Space complexity

⁶ We say "tree-like search" because the state space is still the same graph no matter how we search it; we are just choosing to treat it as if it were a tree, with only one path from each node back to the root.

⁷ Some authors use the term "admissibility" for the property of finding the lowest-cost solution, and some use just "optimality," but that can be confused with other types of optimality.

Depth

Branching factor

the number of edges (distinct state/action pairs). This is appropriate when the graph is an explicit data structure, such as the map of Romania. But in many AI problems, the graph is represented only *implicitly* by the initial state, actions, and transition model. For an implicit state space, complexity can be measured in terms of d, the **depth** or number of actions in an optimal solution; m, the maximum number of actions in any path; and b, the **branching** factor or number of successors of a node that need to be considered.

3.4 Uninformed Search Strategies

An uninformed search algorithm is given no clue about how close a state is to the goal(s). For example, consider our agent in Arad with the goal of reaching Bucharest. An uninformed agent with no knowledge of Romanian geography has no clue whether going to Zerind or Sibiu is a better first step. In contrast, an informed agent (Section 3.5) who knows the location of each city knows that Sibiu is much closer to Bucharest and thus more likely to be on the shortest path.

3.4.1 Breadth-first search

Breadth-first search

When all actions have the same cost, an appropriate strategy is **breadth-first search**, in which the root node is expanded first, then all the successors of the root node are expanded next, then *their* successors, and so on. This is a systematic search strategy that is therefore complete even on infinite state spaces. We could implement breadth-first search as a call to BEST-FIRST-SEARCH where the evaluation function f(n) is the depth of the node—that is, the number of actions it takes to reach the node.

However, we can get additional efficiency with a couple of tricks. A first-in-first-out queue will be faster than a priority queue, and will give us the correct order of nodes: new nodes (which are always deeper than their parents) go to the back of the queue, and old nodes, which are shallower than the new nodes, get expanded first. In addition, *reached* can be a set of states rather than a mapping from states to nodes, because once we've reached a state, we can never find a better path to the state. That also means we can do an **early goal test**, checking whether a node is a solution as soon as it is *generated*, rather than the **late goal test** that best-first search uses, waiting until a node is popped off the queue. Figure 3.8 shows the progress of a breadth-first search on a binary tree, and Figure 3.9 shows the algorithm with the early-goal efficiency enhancements.

Breadth-first search always finds a solution with a minimal number of actions, because when it is generating nodes at depth d, it has already generated all the nodes at depth d-1, so if one of them were a solution, it would have been found. That means it is cost-optimal

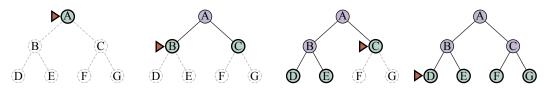


Figure 3.8 Breadth-first search on a simple binary tree. At each stage, the node to be expanded next is indicated by the triangular marker.

Early goal test Late goal test

```
function BREADTH-FIRST-SEARCH(problem) returns a solution node or failure
  node \leftarrow Node(problem.INITIAL)
  if problem.IS-GOAL(node.STATE) then return node
  frontier ← a FIFO queue, with node as an element
  reached \leftarrow \{problem.INITIAL\}
   while not IS-EMPTY(frontier) do
     node \leftarrow Pop(frontier)
    for each child in EXPAND(problem, node) do
       s \leftarrow child.STATE
       if problem.IS-GOAL(s) then return child
       if s is not in reached then
         add s to reached
         add child to frontier
  return failure
function UNIFORM-COST-SEARCH(problem) returns a solution node, or failure
```

return BEST-FIRST-SEARCH(*problem*, PATH-COST)

Figure 3.9 Breadth-first search and uniform-cost search algorithms.

for problems where all actions have the same cost, but not for problems that don't have that property. It is complete in either case. In terms of time and space, imagine searching a uniform tree where every state has b successors. The root of the search tree generates b nodes, each of which generates b more nodes, for a total of b^2 at the second level. Each of these generates b more nodes, yielding b^3 nodes at the third level, and so on. Now suppose that the solution is at depth d. Then the total number of nodes generated is

$$1 + b + b^2 + b^3 + \dots + b^d = O(b^d)$$

All the nodes remain in memory, so both time and space complexity are $O(b^d)$. Exponential bounds like that are scary. As a typical real-world example, consider a problem with branching factor b = 10, processing speed 1 million nodes/second, and memory requirements of 1 Kbyte/node. A search to depth d = 10 would take less than 3 hours, but would require 10 terabytes of memory. The memory requirements are a bigger problem for breadth-first search than the execution time. But time is still an important factor. At depth d=14, even with infinite memory, the search would take 3.5 years. In general, exponential-complexity search problems cannot be solved by uninformed search for any but the smallest instances.





3.4.2 Dijkstra's algorithm or uniform-cost search

When actions have different costs, an obvious choice is to use best-first search where the evaluation function is the cost of the path from the root to the current node. This is called Diikstra's algorithm by the theoretical computer science community, and uniform-cost search Uniform-cost search by the AI community. The idea is that while breadth-first search spreads out in waves of uniform depth—first depth 1, then depth 2, and so on—uniform-cost search spreads out in waves of uniform path-cost. The algorithm can be implemented as a call to BEST-FIRST-SEARCH with PATH-COST as the evaluation function, as shown in Figure 3.9.

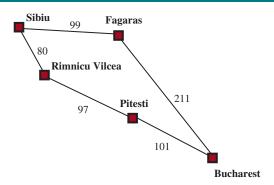


Figure 3.10 Part of the Romania state space, selected to illustrate uniform-cost search.

Consider Figure 3.10, where the problem is to get from Sibiu to Bucharest. The successors of Sibiu are Rimnicu Vilcea and Fagaras, with costs 80 and 99, respectively. The least-cost node, Rimnicu Vilcea, is expanded next, adding Pitesti with cost 80 + 97 = 177. The least-cost node is now Fagaras, so it is expanded, adding Bucharest with cost 99 + 211 = 310. Bucharest is the goal, but the algorithm tests for goals only when it expands a node, not when it generates a node, so it has not yet detected that this is a path to the goal.

The algorithm continues on, choosing Pitesti for expansion next and adding a second path to Bucharest with cost 80 + 97 + 101 = 278. It has a lower cost, so it replaces the previous path in *reached* and is added to the *frontier*. It turns out this node now has the lowest cost, so it is considered next, found to be a goal, and returned. Note that if we had checked for a goal upon generating a node rather than when expanding the lowest-cost node, then we would have returned a higher-cost path (the one through Fagaras).

The complexity of uniform-cost search is characterized in terms of C^* , the cost of the optimal solution, 8 and ϵ , a lower bound on the cost of each action, with $\epsilon > 0$. Then the algorithm's worst-case time and space complexity is $O(b^{1+\lfloor C^*/\epsilon\rfloor})$, which can be much greater than b^d . This is because uniform-cost search can explore large trees of actions with low costs before exploring paths involving a high-cost and perhaps useful action. When all action costs are equal, $b^{1+\lfloor C^*/\epsilon\rfloor}$ is just b^{d+1} , and uniform-cost search is similar to breadth-first search.

Uniform-cost search is complete and is cost-optimal, because the first solution it finds will have a cost that is at least as low as the cost of any other node in the frontier. Uniform-cost search considers all paths systematically in order of increasing cost, never getting caught going down a single infinite path (assuming that all action costs are $> \epsilon > 0$).

3.4.3 Depth-first search and the problem of memory

Depth-first search

Depth-first search always expands the *deepest* node in the frontier first. It could be implemented as a call to BEST-FIRST-SEARCH where the evaluation function f is the negative of the depth. However, it is usually implemented not as a graph search but as a tree-like search that does not keep a table of reached states. The progress of the search is illustrated in Figure 3.11; search proceeds immediately to the deepest level of the search tree, where the nodes have no successors. The search then "backs up" to the next deepest node that still has

⁸ Here, and throughout the book, the "star" in C^* means an optimal value for C.

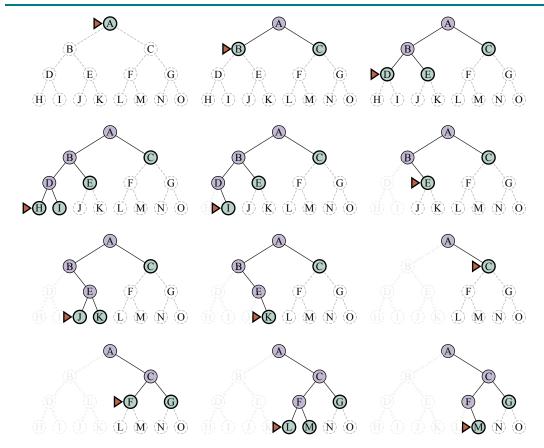


Figure 3.11 A dozen steps (left to right, top to bottom) in the progress of a depth-first search on a binary tree from start state A to goal M. The frontier is in green, with a triangle marking the node to be expanded next. Previously expanded nodes are lavender, and potential future nodes have faint dashed lines. Expanded nodes with no descendants in the frontier (very faint lines) can be discarded.

unexpanded successors. Depth-first search is not cost-optimal; it returns the first solution it finds, even if it is not cheapest.

For finite state spaces that are trees it is efficient and complete; for acyclic state spaces it may end up expanding the same state many times via different paths, but will (eventually) systematically explore the entire space.

In cyclic state spaces it can get stuck in an infinite loop; therefore some implementations of depth-first search check each new node for cycles. Finally, in infinite state spaces, depth-first search is not systematic: it can get stuck going down an infinite path, even if there are no cycles. Thus, depth-first search is incomplete.

With all this bad news, why would anyone consider using depth-first search rather than breadth-first or best-first? The answer is that for problems where a tree-like search is feasible, depth-first search has much smaller needs for memory. We don't keep a *reached* table at all, and the frontier is very small: think of the frontier in breadth-first search as the surface of an ever-expanding sphere, while the frontier in depth-first search is just a radius of the sphere.

For a finite tree-shaped state-space like the one in Figure 3.11, a depth-first tree-like search takes time proportional to the number of states, and has memory complexity of only O(bm), where b is the branching factor and m is the maximum depth of the tree. Some problems that would require exabytes of memory with breadth-first search can be handled with only kilobytes using depth-first search. Because of its parsimonious use of memory, depth-first tree-like search has been adopted as the basic workhorse of many areas of AI, including constraint satisfaction (Chapter 5), propositional satisfiability (Chapter 7), and logic programming (Chapter 9).

Backtracking search

A variant of depth-first search called **backtracking search** uses even less memory. (See Chapter 5 for more details.) In backtracking, only one successor is generated at a time rather than all successors; each partially expanded node remembers which successor to generate next. In addition, successors are generated by *modifying* the current state description directly rather than allocating memory for a brand-new state. This reduces the memory requirements to just one state description and a path of O(m) actions; a significant savings over O(bm) states for depth-first search. With backtracking we also have the option of maintaining an efficient set data structure for the states on the current path, allowing us to check for a cyclic path in O(1) time rather than O(m). For backtracking to work, we must be able to *undo* each action when we backtrack. Backtracking is critical to the success of many problems with large state descriptions, such as robotic assembly.

3.4.4 Depth-limited and iterative deepening search

Depth-limited search

To keep depth-first search from wandering down an infinite path, we can use **depth-limited search**, a version of depth-first search in which we supply a depth limit, ℓ , and treat all nodes at depth ℓ as if they had no successors (see Figure 3.12). The time complexity is $O(b^{\ell})$ and the space complexity is $O(b\ell)$. Unfortunately, if we make a poor choice for ℓ the algorithm will fail to reach the solution, making it incomplete again.

Since depth-first search is a tree-like search, we can't keep it from wasting time on redundant paths in general, but we can eliminate cycles at the cost of some computation time. If we look only a few links up in the parent chain we can catch most cycles; longer cycles are handled by the depth limit.

Sometimes a good depth limit can be chosen based on knowledge of the problem. For example, on the map of Romania there are 20 cities. Therefore, $\ell=19$ is a valid limit. But if we studied the map carefully, we would discover that any city can be reached from any other city in at most 9 actions. This number, known as the **diameter** of the state-space graph, gives us a better depth limit, which leads to a more efficient depth-limited search. However, for most problems we will not know a good depth limit until we have solved the problem.

Diameter

Iterative deepening search

Iterative deepening search solves the problem of picking a good value for ℓ by trying all values: first 0, then 1, then 2, and so on—until either a solution is found, or the depth-limited search returns the *failure* value rather than the *cutoff* value. The algorithm is shown in Figure 3.12. Iterative deepening combines many of the benefits of depth-first and breadth-first search. Like depth-first search, its memory requirements are modest: O(bd) when there is a solution, or O(bm) on finite state spaces with no solution. Like breadth-first search, iterative deepening is optimal for problems where all actions have the same cost, and is complete on finite acyclic state spaces, or on any finite state space when we check nodes for cycles all the way up the path.

```
function ITERATIVE-DEEPENING-SEARCH(problem) returns a solution node or failure
  for depth = 0 to \infty do
     result \leftarrow DEPTH-LIMITED-SEARCH(problem, depth)
    if result \neq cutoff then return result
function DEPTH-LIMITED-SEARCH(problem, \ell) returns a node or failure or cutoff
  frontier ← a LIFO queue (stack) with NODE(problem.INITIAL) as an element
  result \leftarrow failure
  while not IS-EMPTY(frontier) do
    node \leftarrow Pop(frontier)
    if problem.IS-GOAL(node.STATE) then return node
    if Depth(node) > \ell then
       result \leftarrow cutoff
    else if not IS-CYCLE(node) do
       for each child in EXPAND(problem, node) do
          add child to frontier
  return result
```

Figure 3.12 Iterative deepening and depth-limited tree-like search. Iterative deepening repeatedly applies depth-limited search with increasing limits. It returns one of three different types of values: either a solution node; or *failure*, when it has exhausted all nodes and proved there is no solution at any depth; or *cutoff*, to mean there might be a solution at a deeper depth than ℓ . This is a tree-like search algorithm that does not keep track of *reached* states, and thus uses much less memory than best-first search, but runs the risk of visiting the same state multiple times on different paths. Also, if the IS-CYCLE check does not check *all* cycles, then the algorithm may get caught in a loop.

The time complexity is $O(b^d)$ when there is a solution, or $O(b^m)$ when there is none. Each iteration of iterative deepening search generates a new level, in the same way that breadth-first search does, but breadth-first does this by storing all nodes in memory, while iterative-deepening does it by repeating the previous levels, thereby saving memory at the cost of more time. Figure 3.13 shows four iterations of iterative-deepening search on a binary search tree, where the solution is found on the fourth iteration.

Iterative deepening search may seem wasteful because states near the top of the search tree are re-generated multiple times. But for many state spaces, most of the nodes are in the bottom level, so it does not matter much that the upper levels are repeated. In an iterative deepening search, the nodes on the bottom level (depth d) are generated once, those on the next-to-bottom level are generated twice, and so on, up to the children of the root, which are generated d times. So the total number of nodes generated in the worst case is

$$N(IDS) = (d)b^{1} + (d-1)b^{2} + (d-2)b^{3} \cdots + b^{d}$$

which gives a time complexity of $O(b^d)$ —asymptotically the same as breadth-first search. For example, if b=10 and d=5, the numbers are

```
N(IDS) = 50 + 400 + 3,000 + 20,000 + 100,000 = 123,450

N(BFS) = 10 + 100 + 1,000 + 10,000 + 100,000 = 111,110.
```

If you are really concerned about the repetition, you can use a hybrid approach that runs

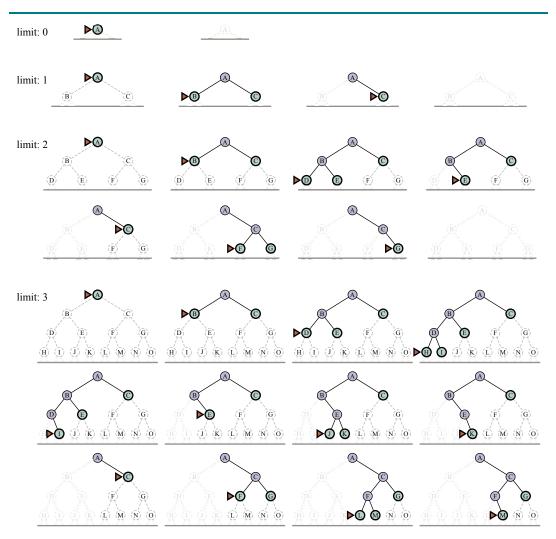


Figure 3.13 Four iterations of iterative deepening search for goal M on a binary tree, with the depth limit varying from 0 to 3. Note the interior nodes form a single path. The triangle marks the node to expand next; green nodes with dark outlines are on the frontier; the very faint nodes provably can't be part of a solution with this depth limit.

breadth-first search until almost all the available memory is consumed, and then runs iterative deepening from all the nodes in the frontier. *In general, iterative deepening is the preferred uninformed search method when the search state space is larger than can fit in memory and the depth of the solution is not known.*

3.4.5 Bidirectional search

Bidirectional search

The algorithms we have covered so far start at an initial state and can reach any one of multiple possible goal states. An alternative approach called **bidirectional search** simultaneously searches forward from the initial state and backwards from the goal state(s), hoping that the two searches will meet. The motivation is that $b^{d/2} + b^{d/2}$ is much less than b^d (e.g., 50,000 times less when b = d = 10).

```
function BIBF-SEARCH(problem<sub>F</sub>, f_F, problem<sub>B</sub>, f_B) returns a solution node, or failure
  node_F \leftarrow Node(problem_F.INITIAL)
                                                                // Node for a start state
  node_B \leftarrow Node(problem_B.INITIAL)
                                                                // Node for a goal state
  frontier_F \leftarrow a priority queue ordered by f_F, with node_F as an element
  frontier_B \leftarrow a priority queue ordered by f_B, with node_B as an element
  reached_F \leftarrow a lookup table, with one key node_F. STATE and value node_F
  reached_B \leftarrow a lookup table, with one key node_B. STATE and value node_B
  solution \leftarrow failure
   while not TERMINATED(solution, frontier<sub>F</sub>, frontier<sub>B</sub>) do
     if f_F(\text{ToP}(frontier_F)) < f_B(\text{ToP}(frontier_B)) then
        solution \leftarrow PROCEED(F, problem_F, frontier_F, reached_F, reached_B, solution)
     else solution \leftarrow PROCEED(B, problem_B, frontier_B, reached_B, reached_F, solution)
  return solution
function PROCEED(dir, problem, frontier, reached, reached<sub>2</sub>, solution) returns a solution
          // Expand node on frontier; check against the other frontier in reached<sub>2</sub>.
          // The variable "dir" is the direction: either F for forward or B for backward.
  node \leftarrow Pop(frontier)
  for each child in EXPAND(problem, node) do
     s \leftarrow child.State
     if s not in reached or PATH-COST(child) < PATH-COST(reached[s]) then
        reached[s] \leftarrow child
        add child to frontier
        if s is in reached<sub>2</sub> then
           solution_2 \leftarrow Join-Nodes(dir, child, reached_2[s]))
           if PATH-COST(solution_2) < PATH-COST(solution) then
              solution \leftarrow solution_2
  return solution
```

Figure 3.14 Bidirectional best-first search keeps two frontiers and two tables of reached states. When a path in one frontier reaches a state that was also reached in the other half of the search, the two paths are joined (by the function Join-Nodes) to form a solution. The first solution we get is not guaranteed to be the best; the function Terminated determines when to stop looking for new solutions.

For this to work, we need to keep track of two frontiers and two tables of reached states, and we need to be able to reason backwards: if state s' is a successor of s in the forward direction, then we need to know that s is a successor of s' in the backward direction. We have a solution when the two frontiers collide.

There are many different versions of bidirectional search, just as there are many different unidirectional search algorithms. In this section, we describe bidirectional best-first search. Although there are two separate frontiers, the node to be expanded next is always one with a minimum value of the evaluation function, across either frontier. When the evaluation

⁹ In our implementation, the *reached* data structure supports a query asking whether a given state is a member, and the frontier data structure (a priority queue) does not, so we check for a collision using *reached*; but conceptually we are asking if the two frontiers have met up. The implementation can be extended to handle multiple goal states by loading the node for each goal state into the backwards frontier and backwards reached table.

function is the path cost, we get bidirectional uniform-cost search, and if the cost of the optimal path is C^* , then no node with cost $> \frac{C^*}{2}$ will be expanded. This can result in a considerable speedup.

The general best-first bidirectional search algorithm is shown in Figure 3.14. We pass in two versions of the problem and the evaluation function, one in the forward direction (subscript F) and one in the backward direction (subscript B). When the evaluation function is the path cost, we know that the first solution found will be an optimal solution, but with different evaluation functions that is not necessarily true. Therefore, we keep track of the best solution found so far, and might have to update that several times before the TERMINATED test proves that there is no possible better solution remaining.

3.4.6 Comparing uninformed search algorithms

Figure 3.15 compares uninformed search algorithms in terms of the four evaluation criteria set forth in Section 3.3.4. This comparison is for tree-like search versions which don't check for repeated states. For graph searches which do check, the main differences are that depth-first search is complete for finite state spaces, and the space and time complexities are bounded by the size of the state space (the number of vertices and edges, |V| + |E|).

Criterion	Breadth- First	Uniform- Cost	Depth- First	Depth- Limited	Iterative Deepening	Bidirectional (if applicable)
Complete? Optimal cost? Time Space	$egin{array}{l} { m Yes^1} \ { m Yes^3} \ O(b^d) \ O(b^d) \end{array}$	$egin{array}{c} \operatorname{Yes}^{1,2} & \operatorname{Yes} & O(b^{1+\lfloor C^*/\epsilon floor}) & O(b^{1+\lfloor C^*/\epsilon \rfloor}) & O($	No No $O(b^m)$ $O(bm)$	No No $O(b^\ell)$ $O(b\ell)$	Yes^1 Yes^3 $O(b^d)$ $O(bd)$	${ m Yes}^{1,4} \ { m Yes}^{3,4} \ O(b^{d/2}) \ O(b^{d/2})$

Figure 3.15 Evaluation of search algorithms. b is the branching factor; m is the maximum depth of the search tree; d is the depth of the shallowest solution, or is m when there is no solution; ℓ is the depth limit. Superscript caveats are as follows: ¹ complete if b is finite, and the state space either has a solution or is finite. ² complete if all action costs are $\geq \epsilon > 0$; ³ cost-optimal if action costs are all identical; ⁴ if both directions are breadth-first or uniform-cost.

3.5 Informed (Heuristic) Search Strategies

Informed search

Heuristic function

This section shows how an **informed search** strategy—one that uses domain-specific hints about the location of goals—can find solutions more efficiently than an uninformed strategy. The hints come in the form of a **heuristic function**, denoted h(n):¹⁰

h(n) =estimated cost of the cheapest path from the state at node n to a goal state.

For example, in route-finding problems, we can estimate the distance from the current state to a goal by computing the straight-line distance on the map between the two points. We study heuristics and where they come from in more detail in Section 3.6.

¹⁰ It may seem odd that the heuristic function operates on a node, when all it really needs is the node's state. It is traditional to use h(n) rather than h(s) to be consistent with the evaluation function f(n) and the path cost g(n).

Arad	366	Mehadia	241
Bucharest	0	Neamt	234
Craiova	160	Oradea	380
Drobeta	242	Pitesti	100
Eforie	161	Rimnicu Vilcea	193
Fagaras	176	Sibiu	253
Giurgiu	77	Timisoara	329
Hirsova	151	Urziceni	80
Iasi	226	Vaslui	199
Lugoj	244	Zerind	374

Figure 3.16 Values of h_{SLD} —straight-line distances to Bucharest.

3.5.1 Greedy best-first search

Greedy best-first search is a form of best-first search that expands first the node with the lowest h(n) value—the node that appears to be closest to the goal—on the grounds that this is likely to lead to a solution quickly. So the evaluation function f(n) = h(n).

Let us see how this works for route-finding problems in Romania; we use the **straight-line distance** heuristic, which we will call h_{SLD} . If the goal is Bucharest, we need to know the straight-line distances to Bucharest, which are shown in Figure 3.16. For example, $h_{SLD}(Arad) = 366$. Notice that the values of h_{SLD} cannot be computed from the problem description itself (that is, the ACTIONS and RESULT functions). Moreover, it takes a certain amount of world knowledge to know that h_{SLD} is correlated with actual road distances and is, therefore, a useful heuristic.

Figure 3.17 shows the progress of a greedy best-first search using h_{SLD} to find a path from Arad to Bucharest. The first node to be expanded from Arad will be Sibiu because the heuristic says it is closer to Bucharest than is either Zerind or Timisoara. The next node to be expanded will be Fagaras because it is now closest according to the heuristic. Fagaras in turn generates Bucharest, which is the goal. For this particular problem, greedy best-first search using h_{SLD} finds a solution without ever expanding a node that is not on the solution path. The solution it found does not have optimal cost, however: the path via Sibiu and Fagaras to Bucharest is 32 miles longer than the path through Rimnicu Vilcea and Pitesti. This is why the algorithm is called "greedy"—on each iteration it tries to get as close to a goal as it can, but greediness can lead to worse results than being careful.

Greedy best-first graph search is complete in finite state spaces, but not in infinite ones. The worst-case time and space complexity is O(|V|). With a good heuristic function, however, the complexity can be reduced substantially, on certain problems reaching O(bm).

3.5.2 A* search

The most common informed search algorithm is A^* search (pronounced "A-star search"), a A^* search best-first search that uses the evaluation function

$$f(n) = g(n) + h(n)$$

where g(n) is the path cost from the initial state to node n, and h(n) is the *estimated* cost of the shortest path from n to a goal state, so we have

f(n) =estimated cost of the best path that continues from n to a goal.

Greedy best-first search

Straight-line