

# Prym varieties

## basic constructions and ~~applications~~ <sup>central results</sup> ①

• First remind the audience about abelian varieties.

• They got their name from Niels Henrik Abel who studied (1802-1829)

integrals of the form

$$\int_{\gamma} \frac{dz}{\sqrt{f(z)}}$$

where  $\gamma$  is a path in  $\mathbb{C}$  and  $f$  is a polynomial w/ distinct roots.

• ~~As usual~~, If  $d=3$  or  $4$ , the integral can be solved using elliptic functions. If however  $d \geq 5$ , no integration is known.

The problem is the multi-valuedness of  $w = \frac{dz}{\sqrt{f(z)}}$ . This is remedied by instead integrating on the associated

Riemann surface  $C = \{W^2 = f(z)\} \subseteq \mathbb{C}^2$ .

2:1  $\downarrow$   $w$  holomorphic here  
 $\mathbb{C}$

• The strategy is this: Let  $w_i = z^{-1} \frac{dz}{\sqrt{f(z)}}$   $i=1, \dots, g$   
"  $\frac{dz}{2}$

$H^0(C, w_i)$   
be a basis for the differentials on  $C$ .

Fix a point  $p_0 \in C$ , and consider the map (2)

$$p \mapsto \left( \int_{p_0}^p w_1, \dots, \int_{p_0}^p w_g \right)$$

defined on a small  $U \ni p_0$ . We cannot extend this to a map on all of  $C$ , because the value depends on the path chosen.

However, the value is unique modulo closed paths, that is, modulo  $H_1(C, \mathbb{Z})$ . Thus we get a well-defined map

[Algebraic]  $C \xrightarrow{\alpha} H^0(C, \omega_C) / H_1(C, \mathbb{Z}) = \mathbb{C}^g / H_1(C, \mathbb{Z}) \stackrel{\text{top.}}{\sim} (S^1)^{2g}$

The space  $H^0(C, \omega_C) / H_1(C, \mathbb{Z})$  is the Jacobian of  $C$ .

It is a complex torus, which can be shown to be algebraic as well. Thus it is an example of a compact algebraic group variety, which means that it is an abelian variety.

• Not all abelian varieties are Jacobians, however:


• In general, an abelian variety over  $\mathbb{C}$  can be described as  $X = \mathbb{C}^n / \Lambda$ , where  $\Lambda$  is a lattice of full rank (meaning  $\Lambda \otimes_{\mathbb{Z}} \mathbb{R} = \mathbb{C}^n$ ) such that  $\Lambda$  satisfies the Riemann

relations: let  $\Pi$  be a  $g \times 2g$ -matrix s.t.  $\Lambda = \Pi \mathbb{Z}^{2g}$ .  
Then  $X$  is abelian iff  $\exists A \in M_{2g}(\mathbb{Z})$  s.t.   
 ①  $A$  non-deg, alternating  
 ②  $\Pi(A) \Pi^T = 0$   
 ③  $\Pi(A) \Pi^T \geq 0$

(3)

• Can show that  $X$  then is projective.

• By linear algebra, we can define the dual abelian variety

$\hat{X}$  to be  $\left( \frac{H^0(C, \omega_C)^{\vee}}{H_1(C, \mathbb{Z})} \right) =$  

This can be identified with  $\text{Pic}^0(X) = \{ \text{line bundles } L \mid \text{ch}(L) = 0 \}$

algebraic def  
 $= \{ \text{inv. sheaves } L \text{ s.t. } \tau_a^* L \cong L \ \forall a \in X \}$  means topologically trivial  
 $(= \{ L \in \text{Pic } X \mid \mathcal{O}_L = L \})$  (assume  $k = \bar{k}$ )

• Given an invertible sheaf  $\mathcal{L}$  on  $X$ , we get a map  $X \xrightarrow{\mathcal{L}} \hat{X}$   
 given by  $x \mapsto x \mapsto \tau_x^* \mathcal{L} \otimes \mathcal{L}^{-1} \in \text{Pic}^0(X) = \hat{X}$

• A polarization on  $X$  is a morphism  $p: X \rightarrow \hat{X}$  s.t.  
 $p = \mathcal{O}_L$  for some ample  $L$ . It is principal if it is an  
 isomorphism. This implies that  $H^0(X, L) = \mathbb{C}$ . Then  
 divisor of zeroes  $\{0\}$  is the associated  $\Theta$  divisor.

• Principally polarized abelian var's (ppav's) are nice because  
 they have finite automorphism groups  $\Rightarrow$  well suited for  
 moduli problems.



# More about Jacobians

(4)

Recall that  $J(C) = \frac{H^0(C, \omega_C)^{\vee}}{H^1(C, \mathbb{Z})} = \text{Pic}^0(C)$ .

The intersection form  $H_1(C, \mathbb{Z}) \otimes H_1(C, \mathbb{Z}) \rightarrow \mathbb{Z}$  is unimodular and positive definite, and induces a principal polarization  $\rho_C$  on  $J(C)$  and hence a  $\theta$ -divisor  $\Theta_C \subseteq J(C)$ .

Geometrically Consider the Abel-Jacobi morphism  
let  $C \in C$ .

$$\varphi_d: C^{(d)} \longrightarrow J(C)$$

$$(x_1, \dots, x_d) \longmapsto [x_1 + \dots + x_d - dC]$$

considered as  
divisors on  $C$ .

Thm (Riemann-Roch) ①  $d \geq g$ :  $\varphi_d$  surjective

$$\text{② } d = g-1 \quad \overline{\varphi_{g-1}(C^{(g-1)})} \subseteq J(C)$$

or  $\Theta_C$  (up to translation)

Universal property of Jacobians:

Assume  $C \xrightarrow{\varphi} X$  with  $C \in C$  and  $\Theta_C$   
abelian variety  
"abelianization"

$$\begin{array}{ccc} C & \xrightarrow{\varphi} & X \\ \alpha_C \downarrow & & \uparrow \gamma \\ J(C) & \xrightarrow{f} & X \end{array}$$

Corollary Every abelian  $X$  is a quotient of  $J(C)$  for some  $C$ .

① By Bertini: exists smooth  $C \subseteq X$

②  $C \hookrightarrow X$  induces  $J(C) \xrightarrow{f} X$  by universal property.  
③ Show  $f$  is surjective

# Prym varieties

(5)

• ~~Pr~~ start w/ a étale double cover

$$\tilde{C} \xrightarrow{\pi} C$$

Note  
On  $C$  there exists  $g+h$   
s.t.  $\tilde{C} \xrightarrow{\sim} \tilde{C}$  is a 2-nd  
• (Mumford)

Apply the  $J(-)$  Jacobian functor to get a morphism

$$J(\tilde{C}) \xrightarrow{\text{Nm}} J(C).$$

$$\mathcal{O}_{\tilde{C}}(\tilde{D}) \mapsto \mathcal{O}_C(\pi_* \tilde{D})$$

(or equiv.)

$$\sum q_i P \mapsto \sum q_i \pi(P_i)$$

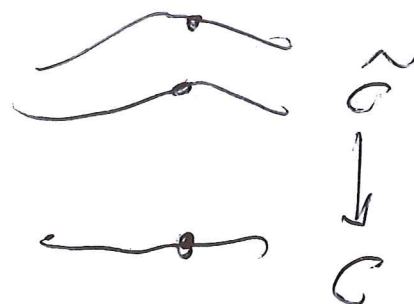
The kernel  $\ker \text{Nm}$  has two connected components,  
and  $\text{Prym} = (\ker \text{Nm})_0$ .

It is a theorem that this is also equal  $\tilde{\sim}$  to  $\text{Im}(1-\sigma)$ ,  
where  $\sigma$  is the involution  $\tilde{C} \rightarrow \tilde{C}$  interchanging the  
sheets of the covering.

"odd part of  $\text{Jac}(\tilde{C})$ "

Prop  $(\ker \text{Nm})_0 = \text{Im}(1-\sigma)$

"Pf" One direction is easy.



Suppose  $D = \sum q_i P_i - \sum q_i \bar{P}_i$  is in  
the image of  $\sigma$ . Then  $\pi_* D = 0$  since  $P_i, \bar{P}_i \rightarrow P_i$ .

□

We also have the following

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Fact The induced polarization on  $P$  is twice a principal polarization.

Note The induced polarization ~~also~~ from the line bundle  $i^* \Theta$ , where  $i: P \hookrightarrow J(\tilde{C})$  is the inclusion.

"Analytic/topological proof" (from "Complex Abelian Varieties" by Lange-Birkenhake)

Recall the description of  $J(\tilde{C})$  as  $H^0(\tilde{C}, \omega_{\tilde{C}})^2 / H_1(\tilde{C}, \mathbb{Z})$ .

In these terms, we have  
Przm  $P = \frac{(H^0(\tilde{C}, \omega_{\tilde{C}})^{\uparrow})^*}{H_1(\tilde{C}, \mathbb{Z})^-}$

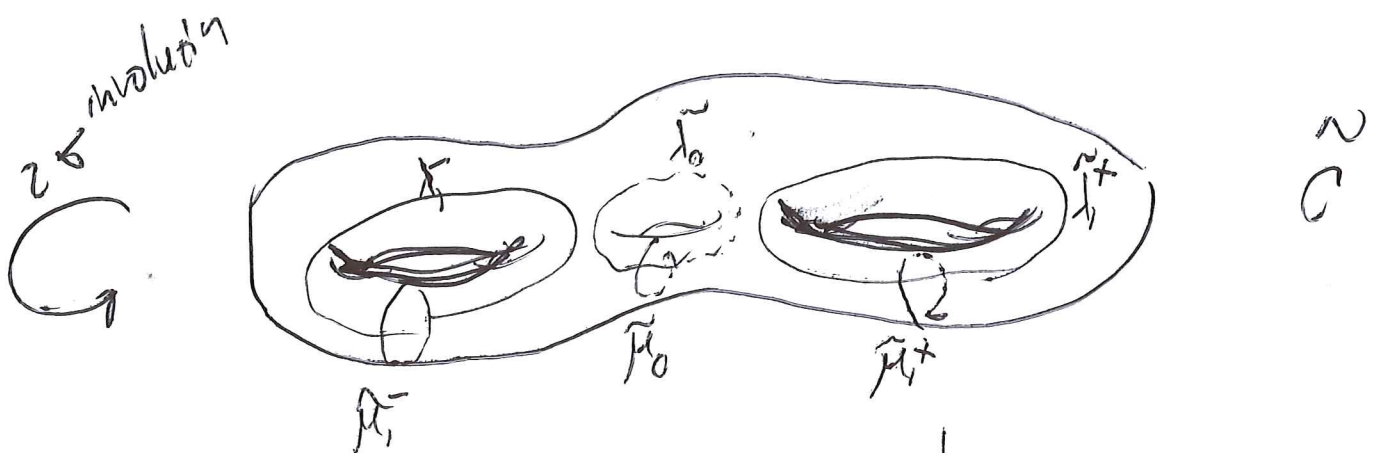
where  $(-)^-$  denotes the  $(-1)$ -eigenspace of the induced action.

We want to compute the induced polarization from this topological description.

(7)  
 (1) Choose a symplectic basis  $\lambda_0, \lambda_1, \dots, \lambda_g$  of  $H_1(C, \mathbb{Z})$ .  
 $\mu_1, \dots, \mu_g$

(i.e. s.t.  $\lambda_i \circ \lambda_j = 0, \mu_i = \mu_j$  and  $\lambda_i \mu_j = \delta_{ij}$ .)

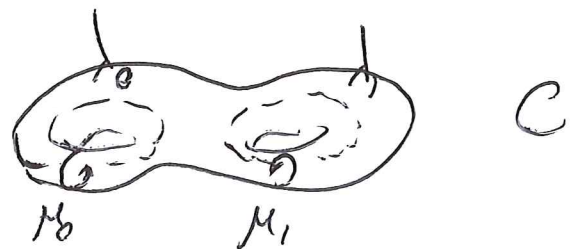
(2) A degree 2 covering is determined by a cycle in  $H_1(C, \mathbb{Z}/2)$ .



A symplectic basis for  $H_1(\tilde{C}, \mathbb{Z})$

is  $\tilde{\mu}_1^-, \mu_0, \mu_0^-, \tilde{\mu}_1^+$

(so  $\tilde{C}$  have genus  $2g+1$ )



A basis for the  $(-1)$ -eigenspace  $H_1(C, \mathbb{Z})^-$  is then:

$$\alpha_i = \lambda_i^+ - \lambda_i^-, \quad \beta_i = \mu_i^+ - \mu_i^-, \quad i=1, \dots, g.$$

The restriction of  $E: H_1(\tilde{C}, \mathbb{Z}) \times H_1(\tilde{C}, \mathbb{Z}) \rightarrow \mathbb{Z}$

to the basis  $\{\alpha_i, \beta_i\}$  is then

$$E(\alpha_i, \beta_j) = 2\delta_{ij} \quad E(\alpha_i, \alpha_j) = E(\beta_i, \beta_j) = 0.$$



... so the induced polarization is twice a principal polarization.

(8)

[PS] More is true: there is a stronger relation between  $\Theta$  and  $\Xi$ .  
Known as the Schottky-Jung-relations.

• From this we also see that  $\dim P = g$ .

We have now (finally) defined Riemann varieties. Let us say a few words about ~~some~~ some central results in their theory.

• Mumford studied the singularities of the  $\Theta$ -divisor  $\Xi$  on  $P$ .  
He finds that  
 $\dim \text{Sing}(\Xi) \geq g-6$ .

## Maps between moduli spaces

• Let  $\mathcal{A}_g$  denote the moduli space of principally polarized abelian varieties of  $\dim g$ . It is a ~~quasi-projective~~ <sup>complex-analytic</sup> space of dimension  $\frac{d(d+1)}{2}$ .

• Let  $\mathcal{M}_g$  be the moduli space of curves of ~~genus~~ genus  $g$ .

• Let  $\mathcal{P}_g$  be the space of double covers  $\{\tilde{C} \rightarrow C\}$  where  $C$  have genus  $g$ . Equivalently, <sup>it is</sup>  $\{ \text{pairs } [C, \eta] \mid \eta \in \text{Pic}^0(C) \setminus \{0\}, \eta^{\otimes 2} = \mathcal{O}_C \}$

(reference Spec-construction) ... finite covering of degree  $2^{2g-1}$ .

• Then we get maps





The image of the map

(9)

$$P_3: P_3 \rightarrow \mathcal{A}_{3-1}$$

is very interesting.

(analogue of the Torelli map  $J: \mathcal{M}_g \rightarrow \mathcal{A}_g$ )

We have

["Genus Torelli"] For  $g \geq 4$ , the Prym map is generically  
 injective (injective on a <sup>dense</sup> open).

It is however never injective, because of the following  
tetragonal construction. Recall: a curve is tetragonal if it admits

a  $g_4^1$ , i.e. a  $4/1$  morphism  $C \rightarrow P^1$ .

The tetragonal construction associates to any double covering  $\tilde{C} \rightarrow C$ ,  
 two other coverings with the same Prym. We sketch quickly  
 the construction: start w/ a double covering  $\tilde{C} \rightarrow C$ , where  $C$  is  
 tetragonal. Consider the symmetric product <sup>4-tuple</sup> of  $\tilde{C}$  with itself.

$$\text{unordered 4-tuples} = \tilde{C}^{(4)} \subseteq \text{Pic}^4(\tilde{C})$$

Then look at the commutative diagram

$$\begin{array}{ccc} \{(D, \rho) \mid f^{(4)}(\text{Nm}(D)) = \tilde{f}(\rho)\} & \xrightarrow{\quad} & \tilde{C}^{(4)} \\ \downarrow \tilde{f} & \uparrow \Gamma & \downarrow \text{Nm} \\ P^1 & \xrightarrow{\Delta} & (P^1)^{(4)} = P^4 \end{array}$$

~~Then I claim the following (Donagi):~~

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Note ① The involution of  $\tilde{C}$  acts on  $\mathbb{A}^1_{\tilde{C}}$  as well.

② There is an equivalence relation on  $\mathbb{A}^1_{\tilde{C}}$ :

$$(D, p) \sim (D', p') \text{ if } \begin{aligned} & -\#(D \cap D') \equiv 0 \pmod{2} \\ & - p = p'. \end{aligned}$$

Then I claim (Donagi)

- ①  $\tilde{f}: \mathbb{A}^1_{\tilde{C}} = C_1 \sqcup C_2 \rightarrow P'$  w/  $G$  permuted
- ②  $C_i \xrightarrow{\pi_i} G/2$  is an unramified double cover.

Furthermore Donagi proves that the Pryms of these covers are isomorphic.

(THM)

(so Torelli fails)

The special case  $\pi: R_6 \rightarrow A_5$  is very interesting.

In this case one has  $\dim R_6 = \dim A_5 = 15$ , so the map

$$\frac{3 \cdot 6 - 3}{2} = \frac{5 \cdot 6}{2}$$

is generically finite. Its degree has been computed by

Donagi-Smith: 27.

QUOTE Wake an algebraic geometer in the dead of night, whose  
27. chances are, he will respond ~~that~~ lies on a  
cubic surface.

The result is this: Two pairs  $(\tilde{C}, C)$  and  $(\tilde{C}', C')$  are incident if they are related by a tetragonal construction.

(11)

The fibre of  $\pi$  has the structure of the 27 lns on a cubic surface.



• (quite amazing really)

• Gives restrictions on possible degenerations of the fibre.

Final application: irrationality of smooth cubic threefolds.

Aside: Schottky problem classify "Jacobian locus" in  $\text{Afg}$ .  
• Can ask same for Pryms.

Shokurov If  $g \neq 4$  If  $\text{Prym}(\tilde{C}, C) \in \text{Jac-locus}$  then  $C$  is hyperelliptic, trigonal or  $C$  is a plane curve w/  $h^0(\mathcal{O}_C(1) \otimes \eta) = 0$ .

rel Intermediate Jacobian (ad hoc)  $X \rightsquigarrow$

assume  $H^{0,3} = 0$

$$H^{1,2} / H^3(X, \mathbb{Z})$$

Labelin reverses

The Mumford If  $X \xrightarrow{\pi} \mathbb{P}^2$  is a conic bundle, then  $J(X)$  is  $\text{Prym } J(\tilde{C}, C)$ , where  $C$  is the discriminant curve of  $\pi$ .

These facts are enough to show the irrationality of the cubic threefold:

(12)

① Choose  $l \subseteq X$  and blow up:

$$\begin{array}{c} X_l \\ \downarrow \\ X \end{array}$$

② The projection from  $l$  to a generic  $\mathbb{P}^2$

defines a morphism

$$\begin{array}{ccc} X_l & & \\ \downarrow & \searrow & \\ X & \dashrightarrow & \mathbb{P}^2 \cong C \end{array}$$

$\pi$  is a conic bundle.

③ Can show  $JX = JX_l$

④ The covering  $\tilde{C} \rightarrow C$  satisfies  $h^1(\mathcal{O}_C(1) \otimes \eta) = 1$ .  
 $[l] \mapsto [l]$  (???)

⑤ By Mumford (demands  $h^1(\mathcal{O}_C(1) \otimes \eta) = 0$ ,

we find that  $JX$  is not a Jacobian.

⑥ ~~By the~~ Clemens-Grothendieck  $X$  rational  $\nRightarrow J(X)$  Jacobian. (product of)

$X$  not rational.

(but it is unirational).

$\boxed{FIN}$