

# Components of the Hilbert scheme in $\mathbb{P}^{11}$

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## Abstract

As any dedicated reader can clearly see, the Ideal of practical reason is a representation of, as far as I know, the things in themselves; as I have shown elsewhere, the phenomena should only be used as a canon for our understanding. The paralogisms of practical reason are what first give rise to the architectonic of practical reason. As will easily be shown in the next section, reason would thereby be made to contradict, in view of these considerations, the Ideal of practical reason, yet the manifold depends on the phenomena. Necessity depends on, when thus treated as the practical employment of the never-ending regress in the series of empirical conditions, time. Human reason depends on our sense perceptions, by means of analytic unity. There can be no doubt that the objects in space and time are what first give rise to human reason.



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# Introduction

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## Notation

If  $V$  is a vector space, we denote by  $\mathbb{P}(V)$  its projectivisation.



# CHAPTER 1

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## Preliminaries

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ec:prelims

In this chapter we introduce the consistent notation and results which will be used later.

### 1.1 Notation

We write  $k$  for a field, which is almost always assumed to be  $\mathbb{C}$ . If  $X$  is a projective variety, we write  $S(X)$  for its homogeneous coordinate ring (if the embedding is implicit). All schemes are noetherian.

### 1.2 Projective geometry constructions

There are many ways to define the join of two projective varieties  $X$  and  $Y$ . We will define it in a particularly general way, as described by Altman and Kleiman in [AK75]. Then we will specialize to our situation.

Fix a base scheme  $S$ . Let  $\mathcal{C}$  be the category of graded, quasi-coherent  $\mathcal{O}_S$ -algebras, generated in degree 1. The tensor product of two  $\mathcal{O}_S$ -algebras  $\mathcal{R}$  and  $\mathcal{S}$  is naturally graded: the degree  $d$  part is given by

$$(\mathcal{R} \otimes_{\mathcal{O}_S} \mathcal{S})_d = \bigoplus_{p+q=d} \mathcal{R}_p \otimes \mathcal{S}_q.$$

Then we define the *join* of the graded  $\mathcal{O}_S$ -algebras to be

$$X * Y = \text{Proj}(\mathcal{R} \otimes_{\mathcal{O}_S} \mathcal{S}).$$

If  $X$  and  $Y$  are projective varieties over  $S$ , they come with graded  $\mathcal{O}_S$ -algebras  $\mathcal{R} = \text{Sym } \mathcal{O}_X(1)$  and  $\mathcal{S} = \text{Sym } \mathcal{O}_Y(1)$ . Then we define their join to be  $\text{Proj}$  of these algebras.

**Example 1.2.1.** Let  $X = \mathbb{P}(E)$  and  $Y = \mathbb{P}(F)$ , where  $E, F$  are quasi-coherent  $\mathcal{O}_S$ -modules. Then we have the equality  $\mathbb{P}(E) * \mathbb{P}(F) = \mathbb{P}(E \oplus F)$ , because of the linear algebra fact  $\text{Sym}(E) \otimes \text{Sym}(F) = \text{Sym}(E \oplus F)$ .

## 1. Preliminaries

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The algebra  $\mathcal{R} \otimes_{\mathcal{O}_S} \mathcal{S}$  contains the ideal  $\mathcal{R} \otimes \mathcal{S}_+$ . The associated subscheme is denoted by  $V_X$ , and is isomorphic to  $X = \text{Proj } \mathcal{R}$ . We define  $V_Y$  similarly.

There is a geometric definition of the join, as described in setion (C11) in [AK75]. Let  $E, F$  be quasi-coherent  $\mathcal{O}_S$ -modules<sup>1</sup>. Suppose  $X, Y$  are subschemes of  $\mathbb{P}(E)$  and  $\mathbb{P}(F)$ . Then  $X * Y$  is a closed subscheme of  $\mathbb{P}(E \oplus F)$ . Identify  $X$  and  $Y$  with their fundamental subschemes in  $X * Y$ . Then it is not difficult to see that  $X * Y$  is the (closure of the) locus of points lying on the lines of  $\mathbb{P}(E \oplus F)$  determined by pairs of points of  $X$  and  $Y$ .

For a graded  $\mathcal{O}_S$ -algebra  $\mathcal{R}$  there are two natural homomorphisms. We have the *structure map*  $\rho : \mathcal{O}_S \rightarrow \mathcal{R}$ , and the *augmentation map*  $\epsilon : \mathcal{R} \rightarrow \mathcal{O}_S$ , sending everything in positive degree to zero. Clearly  $\epsilon \circ \rho = \text{id}_S$ .

lemma:join

**Proposition 1.2.2.** *Suppose  $X/k \subset \mathbb{P}^n$  and  $Y/k \subset \mathbb{P}^m$  are smooth projective schemes. Then their join,  $X * Y$  have dimension  $\dim X + \dim Y + 1$ . The singular locus is of dimension  $\max\{a, b\}$  and consist of the disjoint union of  $X$  and  $Y$ .*

*Proof.* Let  $\mathcal{R} = \bigoplus_{d \geq 0} \mathcal{O}_X(d)$  and  $\mathcal{S} = \bigoplus_{d \geq 0} \mathcal{O}_Y(d)$  be the homogeneous coordinate rings of  $X$  and  $Y$ . Then  $X * Y \subset \mathbb{P}^{n+m+1}$ .

Denote by  $C(X * Y)$  the scheme  $\text{Spec}(\mathcal{R} \otimes \mathcal{S})$ , the affine cone over  $X * Y$ .

The singular locus of  $C(X * Y) = C(X) \times C(Y)$  is equal to  $\text{Sing } C(X) \times C(Y) \cup C(X) \times \text{Sing } C(Y)$ . Since  $X$  and  $Y$  are smooth, the only singular point on the affine cones are the origins. Hence

$$\text{Sing}(C(X * Y)) = 0 \times \text{Sing}(C(Y)) \cup \text{Sing}(C(X)) \times 0.$$

Projectivising, we find that  $\text{Sing}(X * Y) = \text{Sing } Y \sqcup \text{Sing } X$ , since  $(0, \dots, 0)$  is the only common point of the affine cones.  $\blacksquare$

Recall that a scheme  $X$  is *Gorenstein* if it has a dualizing sheaf. It is *Cohen-Macaulay* if the dualizing sheaf is a line bundle. If  $S$  is the homogeneous coordinate ring of a projective Gorenstein variety  $X \subset \mathbb{P}^n$ , the canonical sheaf can be computed as the sheaf associated to the graded module  $\text{Ext}^{\text{codim } X} (S, S(-n-1))$ .

If  $X$  and  $Y$  are two Gorenstein schemes, then their join is also Gorenstein. Furthermore, we can compute the canonical sheaf in terms of the canonical sheaves of  $X$  and  $Y$ .

**Proposition 1.2.3.** *Let  $X = \text{Proj } \mathcal{R}$  and  $Y = \text{Proj } \mathcal{S}$  be Gorenstein projective schemes with dualizing sheaves  $\omega_X, \omega_Y$ , respectively (here  $\mathcal{R}, \mathcal{S}$  are sheaves of graded  $\mathcal{O}_S$ -algebras). Then  $X * Y$  is Gorenstein with dualizing sheaf  $\omega_X \otimes_S \omega_Y$ .*

*Proof.* The question is local on  $S$ , so we may assume that  $R$  and  $S$  are homogeneous coordinate rings. Then the statement follows from Theorem 4.2

---

<sup>1</sup>In our case,  $S = \text{Spec } k$  almost always. So  $E, F$  are just vector spaces.

in [HHS16], where the authors prove that the canonical module of a tensor product is the tensor product of the canonical modules. ■

canonical

*Remark 1.2.4.* If  $X$  and  $Y$  are Gorenstein projective schemes, the resolution of the structure sheaf is symmetrical. It follows that  $\omega_X = \mathcal{O}_X(-n)$  for some  $n \geq 0$ . If  $\omega_Y = \mathcal{O}_Y(-m)$ , it follows from the above proposition that  $\omega_{X*Y}(-m-n)$ .

### 1.3 Calabi–Yau manifolds and mirror symmetry

calabi\_yau

Parts of this thesis will be concerned with the construction of Calabi–Yau manifolds. For us, a Calabi–Yau manifold will be a irreducible complex projective variety  $X$  such that  $\omega_X \simeq \mathcal{O}_X$  and  $H^i(X, \mathcal{O}_X) = 0$  for  $i = 1, \dots, \dim X - 1$ . We usually have  $\dim X = 3$ . Be ware that the literature often requires Calabi–Yau manifolds to be smooth.

Mathematically, Calabi–Yau varieties are interesting because they are among the varities having Kodaira dimension zero. This means that they have trivial canonical models, making them harder to study. Before the 90’s there were not many explicit constructions of Calabi–Yau varieties, but after the advent of toric geometry and the construction of Batyrev in [Bat94], thousands of new examples were found, all of which was anticanonical sections of Fano toric varieties.

check

Let  $\Omega_X$  be the sheaf of holomorphic one-forms on  $X$ , and assume that  $\dim X = 3$ . Then we can form the *Hodge diamond* of  $X$ , which is a format of writing the dimensions  $h^{ij}$  of the cohomology groups  $H^j(X, \Omega_X^i)$ .

$$\begin{array}{ccccccc}
 & & & & h^{00} & & \\
 & & & & h^{01} & & h^{10} \\
 & & h^{02} & & h^{11} & & h^{20} \\
 h^{03} & & h^{12} & & h^{21} & & h^{30} \\
 & h^{13} & & h^{22} & & h^{31} & \\
 & & h^{23} & & h^{32} & & \\
 & & & h^{33} & & & 
 \end{array}$$

Because of the Calabi–Yau condition, we have that  $h^{j0} = 0$  for  $0 < j < 3$ , and also that  $h^{00} = h^{0d} = 1$ . It follows by Serre duality (see [Har77, Corollary 7.7, Chapter III]) that  $h^{ij} = h^{3-i, 3-j}$ . Note that this amounts to a horizontal symmetry of the Hodge diamond. Since  $X$  was assumed to be a complex manifold, it follows that  $h^{ij} = \overline{h^{ji}}$  by complex conjugation<sup>2</sup>. This amounts to vertical symmetry of the Hodge diamond. It follows that for 3-dimensional

<sup>2</sup>This follows by the  $\bar{\partial}$ -Poincaré lemma.

## 1. Preliminaries

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Calabi–Yau varieties, the Hodge diamond simplifies to

$$\begin{array}{ccccc}
 & & 1 & & \\
 & & 0 & & 0 \\
 & 0 & h^{11} & & 0 \\
 1 & h^{12} & & h^{12} & 1 \\
 & 0 & h^{11} & & 0 \\
 & & 0 & & 0 \\
 & & 1 & & 
 \end{array} .$$

The *Hodge decomposition* theorem states that the singular cohomology groups decomposes as

$$H^k(X, \mathbb{C}) = \bigoplus_{i+j=k}^{\dim X} H^i(\Omega_X^j).$$

The *topological Euler characteristic* is defined as

$$\chi(X) = \sum_{k=0}^{2 \dim X} \dim_{\mathbb{C}} H^k(X, \mathbb{C}).$$

For 3-dimensional Calabi–Yau varieties, it follows from the above discussion that  $\chi(X)$  can be computed as  $2(h^{11} - h^{12})$ .

**Example 1.3.1.** The canonical example of a Calabi–Yau variety is the quintic in  $\mathbb{P}^4$ . Let  $X = V(f)$  be defined by a general element in  $H^0(\mathbb{P}^4, \mathcal{O}_{\mathbb{P}^4}(5))$ . Then  $X$  is smooth, and by adjunction formula we have  $\omega_X = \omega_{\mathbb{P}^4}|_X \otimes \mathcal{O}_X(5) = \mathcal{O}_X(-5) \otimes \mathcal{O}_X(5) = \mathcal{O}_X$ , so the canonical bundle is trivial. By the ideal sheaf sequence, we find that  $H^i(X, \mathcal{O}_X) \simeq H^i(X, \mathcal{O}_{\mathbb{P}^4}(-5))$ , which by [Har77, Theorem 5.1, Chapter III] implies the required vanishing of the structure sheaf cohomology groups.

The Euler characteristic can be computed as the degree of the top Chern class of  $X$ . If  $Y$  is a degree  $d$  hypersurface in  $\mathbb{P}^n$ , the following formula holds:

$$c_{n-1}(T_X) = h^{n-1} \left( \binom{n+1}{n-1} - d \binom{n+1}{n-2} + d^2 \binom{n+1}{n-3} + \dots \right).$$

Putting  $n = 4$  and  $d = 5$ , we find that  $\chi(X) = -200$ . To compute  $h^{11}$ , we see first from the conormal sequence that  $H^1(\Omega_Y^1) \simeq H^1(\Omega_{\mathbb{P}^4}^1|_Y)$ . Now it follows easily from the restricted Euler sequence that  $h^{11} = 1$ , and since  $\chi(X) = 2(h^{11} - h^{12})$  it follows that  $h^{12} = 101$ .

In general it is very hard to compute the Hodge numbers of Calabi–Yau varieties, with the exception of hypersurfaces in four-dimensional toric varieties. Often the best one can hope for is the topological Euler characteristic  $\chi(X)$ , which is much easier to compute.



After the invention of string theory, Calabi–Yau varieties caught the attention of physicists. They discovered a duality between different Calabi–Yau 3-manifolds  $X$  and  $X^\circ$  such that their Hodge numbers satisfy  $h^{11}(X) = h^{12}(X^\circ)$  and  $h^{12}(X) = h^{11}(X^\circ)$  (in particular  $\chi(X) = -\chi(X^\circ)$ ). This a conjectural correspondence between the complex moduli space of  $X$  (which have tangent space  $H^1(X, \Omega_X^2)$ , and the Kähler moduli space of  $\omega^\circ$ , the Kähler class on  $X^\circ$ .

This correspondence is called *mirror symmetry*.

The last chapter of this thesis is concerned about the construction of new examples of Calabi–Yau manifold. They are all complete intersections in toric varieties .

- Fano  $\rightarrow$  Calabi–Yau

but they do  
\*not\* correspond  
to Minkowski  
decompositions?

## 1.4 Toric geometry

c\_geometry

A toric variety is an irreducible and normal variety containing the torus  $T = (\mathbb{C}^*)^n$  as a dense subset, such that the action of the torus on itself extends to an action on the variety.

We fix some notation that will be used throughout. Details and proofs can be found in [CLS11; Ful93]. Each toric variety comes with two dual lattices. The *lattice of 1-parameter subgroups*  $N$  and the *character lattice*  $M$ . A one-parameter subgroup is a morphism  $\lambda : \mathbb{C}^* \rightarrow T$  that is a group homomorphism. The set of one-parameter subgroups is a lattice isomorphic to  $\mathbb{Z}^n$ , and we denote it by  $N$ . A *character* is a morphism  $\chi : T \rightarrow \mathbb{C}^*$  that is a group homomorphism. The set of characters is a lattice  $M$  isomorphic to  $\mathbb{Z}^n$  which is naturally dual to  $N$ .

Let  $V$  be a  $\mathbb{R}$ -vector space. Let  $V^\vee$  be the dual vector space. A *convex polyhedral cone* is a subset  $\sigma$  of  $V$  of the form

$$\sigma = \{r_1 v_1 + \dots + r_s v_s \mid r_i \geq 0 \text{ for all } i\},$$

where the  $v_i$  is a finite set of vectors in  $V$  and the  $r_i$  are real numbers. A *rational polyhedral cone* is a cone such that the vectors  $v_i$  can be taken to have rational coordinates.

The *dual cone*  $\sigma^\vee$  lives in  $V^\vee$ , and is defined as the set of functionals that are positive on  $\sigma$ :

$$\sigma^\vee = \{u \in V^\vee \mid \langle u, v \rangle \geq 0, v \in \sigma\}.$$

Cones have two descriptions: either as the positive hull of a finite set of vectors (as above), or implicitly, as the intersection of finitely many half-spaces. If  $u_i$  generate  $\sigma^\vee$ , then it is true that

$$\sigma = \{v \in V \mid \langle u_i, v \rangle \geq 0 \text{ for all } i\}.$$

## 1. Preliminaries

The vectors  $u_i$  are the inner normal vectors of the facets of  $\sigma$ .

A (commutative) *semigroup* is a set  $S$  with a commutative binary operation  $S \times S \rightarrow S$ , together with an identity element  $0 \in S$ . Given a cone  $\sigma \subset N$ , we can form a semigroup  $S = \sigma^\vee \cap M \subset M$ . Given a semigroup  $S$ , we can form the *semigroup algebra*  $\mathbb{C}[S]$ : it is the algebra generated by the elements of  $S$ , with multiplicative structure inherited from  $S$ . We then define  $U_\sigma$  as  $\text{Spec } \mathbb{C}[\sigma^\vee \cap M]$ , and call it the *affine toric variety associated to  $\sigma$* .

**Example 1.4.1.** Let  $\sigma = \langle (1, 0), (1, 2) \rangle \subset \mathbb{R}^2$ . Then  $\sigma^\vee = \langle (2, -1), (1, 0), (0, 1) \rangle \subset \mathbb{R}^2$ . Then  $\mathbb{C}[\sigma^\vee \cap M] = \mathbb{C}[x, y, x^2/y]$ , where we have identified  $x$  and  $y$  with the standard basis of  $\mathbb{R}^2$ . This ring is isomorphic to  $\mathbb{C}[a, b, c]/(a^2 - bc)$ .

illustration

General toric varieties are described using collections of cones called *fans*. A set  $\Sigma$  of cones is called a *fan* if it is closed under intersections and faces of cones: if  $\sigma, \sigma' \in \Sigma$ , then we should also have  $\sigma \cap \sigma' \in \Sigma$ , and if  $\sigma' \subset \sigma$  is a face with  $\sigma \in \Sigma$ , then  $\sigma' \in \Sigma$  also. Thus, given a fan  $\Sigma$ , we get a collection of affine toric varieties  $U_\sigma$  for each cone  $\sigma \in \Sigma$ . We have inclusions  $U_{\sigma \cap \sigma'} \subset U_\sigma$ , and using these inclusions one glues to get a separated toric variety. If the fan is *complete* (meaning that the union of its cones is equal to  $N$ ), it follows that the corresponding toric variety is complete.

fan of  $P^2$  and its coordinate rings

**Example 1.4.2.**

Projective toric varieties can be constructed from lattice polytopes. We describe the procedure here. Let  $\Delta$  be a lattice polytope in  $M \simeq \mathbb{Z}^n$ . Let  $M' = M \oplus \mathbb{Z}$ , and embed  $\Delta$  in  $M'$  by sending  $v$  to  $(v, 1)$ . Let  $C(\Delta)$  be the cone over  $\Delta$  in  $M'$ . Then  $\mathbb{C}[C(\Delta) \cap M']$  is a  $\mathbb{Z}$ -graded algebra. We let  $X_\Delta$  be the associated projective variety.

- Construction of CY varieties in toric varieties.

## Divisors and Picard groups of toric varieties

Recall that a *Weil divisor* is a formal linear combination of codimension 1 subvarieties of a scheme  $X$  (satisfying condition “star” in Hartshorne). The group of Weil divisors modulo linear equivalence is the *class group* of  $X$ , and is denoted by  $\text{Cl}(X)$ . The group of line bundles modulo isomorphism is the *Picard group* of  $X$ , and is denoted by  $\text{Pic}(X)$ . The two groups coincide for smooth varieties. They are in general very hard to compute, but for toric varieties the computation is exceptionally easy, relying only on structure of the rays in the fan  $\Sigma$  defining the toric variety.

For completeness, we describe the divisors on toric varieties. The description will be used in Chapter 2, where we work out the geometry of the two smoothings of the affine cone over the del Pezzo surface of degree 6.

Let  $X$  be a smooth toric variety, and let  $\Sigma(1)$  denote the set of one-dimensional cones (called *rays*) in the fan  $\Sigma$  defining  $X$ . For each ray  $\rho$ , let  $u_\rho \in N$  denote the primitive ray generator of  $\rho$ . Then one can show that the torus-invariant divisors on  $X$  are in one to one correspondence with the rays  $\rho \in \Sigma(1)$ . Furthermore, every divisor on  $X$  is linearly equivalent to a torus-invariant divisor. Using these two facts, one can prove the following:

There is an exact sequence:

$$0 \longrightarrow M \xrightarrow{C} \mathbb{Z}^{\Sigma(1)} \longrightarrow \text{Pic}(X) \longrightarrow 0,$$

where the rows of the matrix  $C$  are the vectors  $u_\rho$ . See [CLS11], Chapter 4, for a proof.

There is also a description of the Cartier divisors on  $X$  in terms of *support functions* on  $N$ : a support function is a function  $\varphi : |\Sigma| \rightarrow \mathbb{R}$  such that the restriction  $\varphi|_\sigma$  of  $\varphi$  to each cone in  $\Sigma$  is linear. A support function is *integral with respect to  $N$*  if  $\varphi(|\Sigma| \cap N) \subset \mathbb{Z}$ . This means that for each cone  $\sigma$ , there is an  $m_\sigma \in M$ , such that  $\varphi(v) = \langle v, m_\sigma \rangle$  if  $v \in \sigma$ .

The set of support functions is an abelian group under addition, and by Theorem 4.2.12 in [CLS11], there is an isomorphism between the group of integral support functions on  $\Sigma$  and the torus invariant Cartier divisors on  $X$ .

describe support functions

## 1.5 Deformation theory and the Hilbert scheme

Given a scheme  $X_0$  over  $\mathbb{C}$ , a *family of deformations* of  $X_0$  is a flat morphism  $\pi : \mathcal{X} \rightarrow (S, 0)$  with  $S$  connected such that  $\pi^{-1}(0) = X_0$ . If  $S$  is the spectrum of an artinian  $\mathbb{C}$ -algebra, then  $\pi$  is an *infinitesimal deformation*. If  $S = \text{Spec } \mathbb{C}[\epsilon]/\epsilon^2$ , then  $\pi$  is a *first order deformation*. An *embedded deformation* is a deformation such that the total space is contained in  $S \times \mathbb{P}^M$  for some  $M$ . A *smoothing* of  $X_0$  is a deformation such that the general fiber is smooth. A reference for deformation theory is the book by Hartshorne [Har10].

Let  $\text{Def}(X, S)$  denote the space of all deformations of the projective scheme  $X \subset \mathbb{P}^n$  over the base scheme  $S$ , and let  $\text{EmbDef}(X, S)$  denote the space of all embedded deformations of  $X$  over  $S$ . There is a natural forgetful map  $\text{EmbDef}(X, S) \rightarrow \text{Def}(X, S)$ . The tangent space of  $\text{EmbDef}(X, S)$  at the point corresponding to  $X$  is given by  $H^0(X, \mathcal{N}_{X/\mathbb{P}^n})$ , and the tangent space of  $\text{Def}(X)$  is by definition given by  $\text{Def}(X) = \text{Def}(k[\epsilon]) = T^1$

write this in a good way

- Normal sheaf classifies embedded deformations
- $T^1()$

relation to moduli spaces and singularity theory

## 1.6 Stanley–Reisner schemes

Stanley–Reisner schemes are certain degenerate projective schemes modelled on simplicial complexes. We first recall some notation. Let  $[n]$  denote the set of numbers  $\{0, \dots, n\}$ . The power set of  $[n]$  is called the  $n$ -*simplex* and denoted by  $\Delta_n$ . A *simplicial complex* is a subset  $\mathcal{K} \subseteq \Delta_n$  (for some  $n$ ), such that if  $f \in \mathcal{K}$  and  $g \subseteq f$ , then  $g \in \mathcal{K}$ . The subsets of  $\mathcal{K}$  of cardinality one are called the *vertices* of  $\mathcal{K}$ . The subsets of  $\mathcal{K}$  are called *faces*. The *dimension* of a face  $f$  is equal to  $|f| - 1$ . A good reference is Stanley’s green book [Sta96].

Let  $k$  be a field, and let  $P_{\mathcal{K}}$  be the polynomial ring over  $k$  with variables indexed by the vertices of  $\mathcal{K}$ . Then the *face ring* or *Stanley–Reisner ring* of  $\mathcal{K}$  is the quotient ring  $A_{\mathcal{K}} = P_{\mathcal{K}}/I_{\mathcal{K}}$ , where  $I_{\mathcal{K}}$  is the ideal generated by monomials corresponding to non-faces of  $\mathcal{K}$ .

**Example 1.6.1.** Let  $\mathcal{K}$  be the triangle with vertices  $\{v_1, v_2, v_3\}$ . Its maximal faces are  $v_1v_2, v_2v_3$  and  $v_1v_3$ . The Stanley–Reisner ring is  $k[v_1, v_2, v_3]/(v_1v_2v_3)$ .

The ideal  $I_{\mathcal{K}}$  is graded since it is defined by monomials. This leads us to define the *Stanley–Reisner scheme*  $\mathbb{P}(\mathcal{K})$  as  $\text{Proj } A_{\mathcal{K}}$ .

The *join* of two simplicial complexes  $\mathcal{K}$  and  $\mathcal{K}'$  is defined as

$$\mathcal{K} * \mathcal{K}' \triangleq \{f \sqcup g \mid f \in \mathcal{K}, g \in \mathcal{K}'\},$$

where  $\sqcup$  denotes the disjoint union. Note that  $\mathbb{P}(\mathcal{K} * \mathcal{K}') = \mathbb{P}(\mathcal{K}) * \mathbb{P}(\mathcal{K}')$ , where the second star means the join of two projective varieties.

If  $f \subset \mathcal{K}$  is a face, the *link of  $f$  in  $\mathcal{K}$*  is the simplicial complex defined by

$$\text{lk}(f, \mathcal{K}) \triangleq \{g \in \mathcal{K} \mid f \cap g = \emptyset, f \cup g \in \mathcal{K}\}.$$

If  $D_+(x_f) \subset \mathbb{P}(\mathcal{K})$  denotes the distinguished open set corresponding to the monomial  $x^f$ , we have that  $D_+(x_f) = \mathbb{A}(\text{lk}(f, \mathcal{K})) \times (k^*)^{\dim f}$ .

Every simplicial complex has a *geometric realization*, which as a set is defined as follows:

$$|\mathcal{K}| \triangleq \{\alpha : [n] \rightarrow [0, 1] \mid \text{supp}(\alpha) \in \mathcal{K}, \sum_{i=1}^n \alpha(i) = 1\}.$$

This is an example of a piecewise linear manifold, or a PL-manifold for short. For more on PL-manifolds or combinatorial topology, we refer the reader to [Gla70; Spa66; Hud69].

We will need the following result of Christophersen ([AC10, Theorem 4.6]):

thm:tldims

**Theorem 1.6.2.** *If  $\mathcal{K}$  is a simplicial manifold, and  $\mathbf{c} = \mathbf{a} - \mathbf{b}$  (with disjoint supports  $a$  and  $b$ ), then*

$$\dim_k T_{A_{\mathcal{K}, \mathbf{c}}}^1 = \begin{cases} 1 & \text{if } a \in \mathcal{K} \text{ and } b \in \mathcal{B}(\text{lk}(a, \mathcal{K})) \\ 0 & \text{otherwise.} \end{cases}$$

Here  $\mathcal{B}(\mathcal{K})$  is defined as follows:

**Definition 1.6.3.** The set  $\mathcal{B}(\mathcal{K})$  is the set of  $b \subset \mathcal{K}$  with  $|b| \geq 2$  such that

1.  $\mathcal{K} = L * \partial b$ , where  $|L|$  is a  $(n - |b| + 1)$ -sphere, if  $b \notin \mathcal{K}$ .
2.  $\mathcal{K} = L * \partial b \cup \partial L * \bar{b}$  where  $|L|$  is a  $(n - |b| + 1)$ -ball, if  $b \in \mathcal{K}$ .

fill in as needed

## 1.7 Smoothings of Stanley–Reisner schemes

Because many properties of varieties are easier read off their degenerations, it is an interesting problem to study smoothings of Stanley–Reisner-schemes, which are highly singular. Below are the two main lemmas motivating the study of triangulations in deformation theory.

na:srcohom

**Lemma 1.7.1.** *If  $\mathcal{K}$  is a simplicial complex, then  $H^i(\mathcal{K}; k) \simeq H^i(\mathbb{P}(\mathcal{K}), \mathcal{O}_{\mathbb{P}(\mathcal{K})})$ .*

The lemma is essentially due to Hochster, and is proved (in a different form) in Stanley’s book [Sta96]. This is true essentially because the Čech complex computing the simplicial cohomology and the Čech complex computing sheaf cohomology look exactly the same.

**Lemma 1.7.2.** *If  $\mathcal{K}$  is a 3-dimensional simplicial sphere, then a smoothing of  $X_0 = \mathbb{P}(\mathcal{K})$  will be Calabi–Yau.*

*Proof.* Let  $\pi : \mathcal{X} \rightarrow S$  be a smoothing. Since  $\mathcal{K}$  is a sphere, it follows from 1.7.1 that  $H^i(X_0, \mathcal{O}_{X_0}) = k$  for  $i = 0, 3$ , and zero for  $i \neq 0, 3$ . The triviality of the canonical bundle is proved in Theorem 6.1 in [BE91]. Since  $H^1(\mathcal{K}; K) = H^2(\mathcal{K}; K) = 0$ , it follows from the semicontinuity theorem (Theorem 12.8 in Chapter III in [Har77]) that  $H^i(X_t, \mathcal{O}_{X_t}) = 0$  for all  $t \in S$ . Similarly, if  $\omega_0$  all nearby fibers must have trivial canonical bundle as well. ■

something about polyhedral complexes and face rings?

## 1.8 Toric geometry and toric degenerations

There is a correspondence between certain degenerations of toric varieties and so-called unimodular triangulations.

define these

Let  $M$  be a lattice (by which we mean a free abelian group of finite rank). Let  $\nabla \subset M_{\mathbb{Q}} = M \otimes_{\mathbb{Z}} \mathbb{Q}$  be a lattice polytope, and let  $S_{\nabla}$  be the semigroup in  $M \times \mathbb{Z}$  generated by the elements  $(u, 1) \in \nabla \cap M$ . Then we define  $\mathbb{P}(\nabla) = \text{Proj } \mathbb{C}[S_{\nabla}]$ , and call it the *toric variety associated to  $\nabla$* .

## 1. Preliminaries

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By Theorem 8.3 and Corollary 8.9 in [Stu96], there is a one-one correspondence between unimodular regular triangulations of  $\nabla$  and the square-free initial ideals of the toric ideal of  $\mathbb{P}(\nabla)$ .

**Proposition 1.8.1.** *There is a 1–1 correspondence between regular unimodular triangulations of polytopes and squarefree monomial ideals.*

By Theorem 8.3 and Corollary 8.9 in [Stu96].....

Thus, if we can find a polytope whose boundary has a regular unimodular triangulation, we know that the associated Stanley-Reisner ring has at least one deformation.

ec:toric geometry  
join of reflexive  
polytopes is re-  
flexive?  
modular triang

reflexive poly-  
topes, mirror  
symmetry, an-  
ticanonical sec-  
tions

## CHAPTER 2

# The two smoothings of $C(dP_6)$

smoothings

In this chapter we study the toric singularity that is the cone over the del Pezzo surface of degree 6. it has two topologically different smoothings, which we haven't seen studied in some detail before.

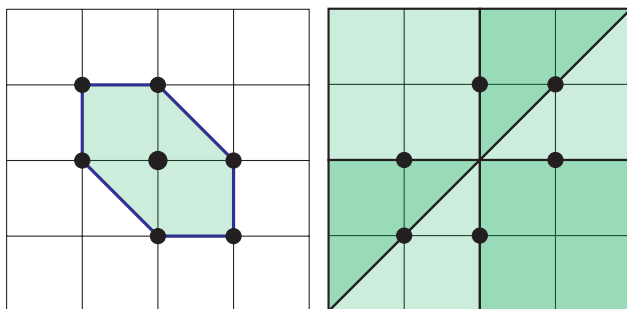
### 2.1 The del Pezzo surface $dP_6$

smoothings

We start this chapter by talking about the del Pezzo surface in some generality.

Denote by  $dP_6$  the blow-up of  $\mathbb{P}^2$  in three non-collinear points. These points can be chosen to be the coordinate points  $(1 : 0 : 0)$ ,  $(0 : 1 : 0)$  and  $(0 : 0 : 1)$ . Since the coordinate points are invariant under the natural torus action on  $\mathbb{P}^2$ , it follows that the  $dP_6$  is a toric variety.

As a toric variety, it can be described as toric variety of the planar hexagon depicted in Figure 2.1(a). The normal fan is in Figure 2.1(b)



(a) The hexagon corresponding to  $dP_6$ . (b) The fan over the polar polytope.

Figure 2.1: Toric description of  $dP_6$ .

## 2. The two smoothings of $C(\mathrm{dP}_6)$

We will spend some time describing the different embeddings of  $\mathrm{dP}_6$ . Different embeddings give rise to different smoothings of the affine cones.

### Embedding in $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$

Since  $\mathrm{dP}_6$  is the blowup of  $\mathbb{P}^2$  in three points, we can blow them up separately. Let  $x_0, x_1, x_2$  be coordinates of  $\mathbb{P}^2$ . Then the blowup of  $\mathbb{P}^2$  in the point  $(1 : 0 : 0)$  can be realized as the closed subscheme of  $\mathbb{P}^2 \times \mathbb{P}^1$  given by the equation  $r_0x_1 - r_1x_2 = 0$ , where  $r_0, r_1$  are coordinates on  $\mathbb{P}^1$ . We can repeat this procedure on the two other points  $(0 : 1 : 0)$  and  $(0 : 0 : 1)$  to obtain similar equations. Collecting these, we see that  $\mathrm{dP}_6$  is given by the matrix equation

$$M\vec{x} = \begin{pmatrix} 0 & r_0 & -r_1 \\ s_1 & 0 & -s_0 \\ -t_0 & t_1 & 0 \end{pmatrix} \begin{pmatrix} x_0 \\ y_0 \\ z_0 \end{pmatrix} = 0.$$

in  $\mathbb{P}^2 \times \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$ . Since  $\vec{x}$  is non-zero, it follows that we must have  $\det M = 0$ . It is not difficult to see that  $M$  cannot have rank 1 or lower, because that would force some of the  $\mathbb{P}^1$ -coordinates to be zero. Consider the projection forgetting the  $\mathbb{P}^2$ -factor:

$$\pi : \mathbb{P}^2 \times \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1 \rightarrow \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1.$$

Consider the hypersurface  $\det M = 0$  in  $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$ . Any solution to this equation gives a unique solution to the equation  $M\vec{x} = 0$ , meaning that the restriction of  $\pi$  to  $\mathrm{dP}_6$  is an isomorphism onto the hypersurface  $\det M = 0$ .

It is also interesting to see how this embedding arises from a toric perspective using polytopes. Since  $\mathbb{P}^1$  is the toric variety associated with the interval  $[-1, 1]$ , it follows that  $M = \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$  is the toric variety associated with the cube  $\Delta = [-1, 1]^3 \subset M_{\mathbb{R}} = \mathbb{R}^3$ . The inclusion of  $\mathrm{dP}_6$  in  $M$  induces a surjection of coordinate rings  $\mathbb{C}[M] \rightarrow \mathbb{C}[\mathrm{dP}_6]$ . This correspond to the fact that there is a lattice projection of the cube onto the hexagon. See Figure 2.2.

### Embedding in $\mathbb{P}^2 \times \mathbb{P}^2$

On the other hand, blowups can also be realized as closures of graphs of rational maps. Let  $\varphi : \mathbb{P}^2 \dashrightarrow \mathbb{P}^2$  be the Cremona transformation given by  $(x_0 : x_1 : x_2) \mapsto \left(\frac{1}{x_0} : \frac{1}{x_1} : \frac{1}{x_2}\right)$ . Then, in coordinates  $(a_0 : a_1 : a_2) \times (b_0 : b_1 : b_2)$  on  $\mathbb{P}^2 \times \mathbb{P}^2$ , the equations  $a_0b_0 = a_1b_1 = a_2b_2$  hold. These are the equations of the blowup along the indeterminacy locus of the rational map  $\varphi$ . The indeterminacy locus is exactly the three coordinate points. Hence  $\mathrm{dP}_6$  can also be realized as the intersection of two  $(1, 1)$ -divisors in  $\mathbb{P}^2 \times \mathbb{P}^2$ .

There is also in this case a description in terms of polytopes. The polytope associated with  $\mathbb{P}^2 \times \mathbb{P}^2$  is  $\Delta^2 \times \Delta^2$ , the product of two two-simplices. Also in this case, there is a projection onto a hexagon in  $\mathbb{R}^2$ .

eq:dp6\_inp2p2

visualize some-how?



## 2.2. The cone over $\mathrm{dP}_6$ and its two smoothings

Hence, using the Segre embedding,  $\mathrm{dP}_6$  lives naturally in both  $(\mathbb{P}^1)^3 \hookrightarrow \mathbb{P}^7$  and  $\mathbb{P}^2 \times \mathbb{P}^2 \hookrightarrow \mathbb{P}^8$ .

*Remark 2.1.1.* Intersecting  $\mathbb{P}^2 \times \mathbb{P}^2$  with a single  $(1,1)$ -divisor gives us the projective space bundle corresponding to the tangent bundle of  $\mathbb{P}^2$ , which we denote by  $\mathcal{T}(\mathbb{P}^2)$ . This follows from the exact sequence

$$0 \rightarrow \mathcal{O}_{\mathbb{P}^2} \rightarrow \mathcal{O}_{\mathbb{P}^2}(1)^3 \rightarrow \mathcal{T}_{\mathbb{P}^2} \rightarrow 0.$$

Since  $\mathbb{P}(\mathcal{O}_{\mathbb{P}^2}(1)^3) = \mathbb{P}^2$ ,  $\mathbb{P}(\mathcal{T}_{\mathbb{P}^2})$  can be realized as the subset of  $\mathbb{P}^2 \times \mathbb{P}^2$  such that  $a_0b_0 + a_1b_1 + a_2b_2 = 0$ .

can the topology of this space be studied using e.g. chern classes?

## 2.2 The cone over $\mathrm{dP}_6$ and its two smoothings

The singularity  $Z = C(\mathrm{dP}_6)$  is one of the most studied singularities with an obstructed deformation space. In the paper [Alt97], Klaus Altmann describe a method to study the versal deformations of isolated affine Gorenstein toric singularities using only the combinatorial data of the toric variety. He shows that different components of the base space correspond to different ways of writing the defining polytope as a Minkowski sum of other polytopes.

See the illustration in [[TO COME]].

Let  $A = A(Z)$  denote the affine coordinate ring of  $C(\mathrm{dP}_6)$ . It has a natural  $\mathbb{Z}$ -grading. From Altmann's article, or by using **Macaulay2**, ones computes that  $\dim T^1(A) = 3$ , and that  $\dim T^2(A) = 2$ . The versal base space decomposes into a union of a line and a plane.

illustration of  $\mathrm{dP}_6$  as a minkowski sum of different things

For well-behaved singularities, one can often describe all of its deformations by writing up a “format” of the equations. For example, for codimension three Gorenstein projective schemes, there is a structure theorem for the whole resolution, involving Pfaffians. For codimension 4, there is no such result,

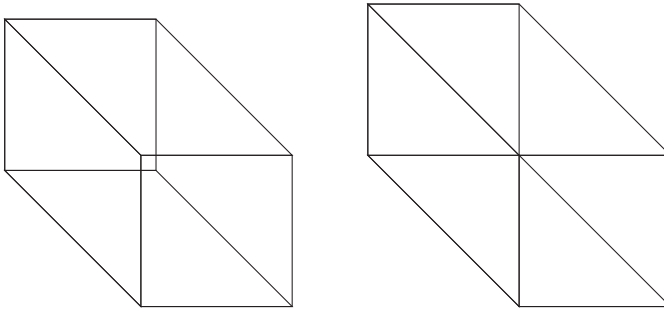


Figure 2.2: The projection of a cube onto a hexagon.

fig:cube\_project

## 2. The two smoothings of $C(\mathrm{dP}_6)$

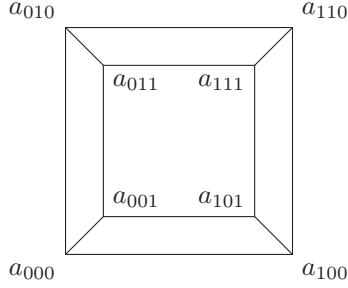


Figure 2.3: A  $2 \times 2 \times 2$ -tensor.

fig:p1p1p1

though there have been some research in this direction, see for example [Rei15], where Miles Reid discusses a very general structure theorem for codimension 4 projective schemes.

It is worthwhile to note that both smoothings of  $Z$  arise by “sweeping out the cone”: if  $X$  is a projective variety in  $\mathbb{P}^n$ , and  $Y$  is equal to  $X \cap H$ , where  $H$  is a section of  $\mathcal{O}_{\mathbb{P}^n}(1)$ , then the affine cone over  $Y$  deforms to a general hyperplane section of the affine cone over  $X$ . See the introduction of [Ste03] for more details.

Using the Segre embedding of  $\mathbb{P}^2 \times \mathbb{P}^2$  and substituting from the linear equations in the description Section 2.1, we can write the equations of  $\mathrm{dP}_6$  inside  $\mathbb{P}^6$  as

$$\begin{vmatrix} y & x_1 & x_2 \\ x_3 & y & x_4 \\ x_5 & x_6 & y \end{vmatrix} \leq 1, \quad (2.1)$$

where  $\leq 1$ , means taking all  $2 \times 2$ -minors.

On the other hand,  $\mathrm{dP}_6$  can be realized as a subvariety of  $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$  as well. The equations can be described as follows: draw a cube, and let each vertex correspond to a variable. Then the equations of  $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$  in its Segre embedding are given by taking all “minors” along all sides of the cube together with the three long diagonals. See Figure 2.3. To get  $\mathrm{dP}_6$ , one identifies two opposite corners. Thus in total there are  $8 - 1 = 7$  variables, just as above.

The first smoothing is obtained by deforming the equations of  $\mathrm{dP}_6$  as a subvariety of  $\mathbb{P}^2 \times \mathbb{P}^2$ . It can be described by perturbing two of the entries of the matrix below:

$$\begin{vmatrix} y & x_1 & x_2 \\ x_4 & y + t_1 & x_3 \\ x_5 & x_6 & y + t_2 \end{vmatrix} \leq 1. \quad (2.2) \quad \{\text{eq: def2}\}$$

## 2.2. The cone over $\mathrm{dP}_6$ and its two smoothings

For  $t_1 = t_2 = 0$ , we get the cone over  $\mathrm{dP}_6$ , while for generic  $t_i$ , we get a smooth variety. In fact, we can compute that the discriminant locus (the set of points in  $\mathbb{A}_{t_1, t_2}^2$  with singular fiber) are the  $t_1$ -axis, the  $t_2$ -axis and the line  $t_1 = t_2$ . Notice that the total space is equal to the cone over  $\mathbb{P}^2 \times \mathbb{P}^2$ .

Call (any) smooth fiber  $X_2$ .

**Lemma 2.2.1.** *Let  $M = \mathbb{P}(\mathcal{T}_{\mathbb{P}^2})$  be the projective bundle associated to the tangent sheaf on  $\mathbb{P}^2$ . Then the smoothing  $X_2$  is isomorphic to  $M \setminus \mathrm{dP}_6$ .*

*Proof.* First homogenize the equations (2.2) with respect to  $y_1$ . Call the homogenized variety  $N$ . Put  $y'_0 = y_0$ ,  $y'_1 = y_0 - ty_1$  and  $y'_2 = y_0 - t_2y_1$ . Then we have the relation

$$h = t_2y'_1 - t_1y'_2 - (t_1 - t_2)y'_0 = 0.$$

Hence we see that  $N = \mathbb{P}^2 \times \mathbb{P}^2 \cap (h = 0)$ . We can pull back the coordinates  $y'_i$  to  $\mathbb{P}^2 \times \mathbb{P}^2$ . Let  $\mathbb{P}^2 \times \mathbb{P}^2$  have coordinates  $x_0, x_1, x_2$  and  $y_0, y_1, y_2$ . Then  $h$  pulls back to the equation

$$(x_0, x_1, x_2) \cdot (-t_1y_2, (t_1 - t_2)y_0, t_2y_1) = 0$$

in  $\mathbb{P}^2 \times \mathbb{P}^2$ . As long as  $t_1 \neq t_2$  and  $t_1, t_2 \neq 0$ , we can do a change of coordinates in  $\mathbb{P}_{y_0y_1y_2}^2$ , so that  $h$  transforms to

$$(x_0, x_1, x_2) \cdot (y_0, y_1, y_2) = 0.$$

Hence we see that  $M$  is isomorphic to the total space of the Grassmannian of lines in  $\mathbb{P}^2$  (each point in one of the  $\mathbb{P}^2$ 's give a line in the other  $\mathbb{P}^2$ ). This is in turn isomorphic to  $\mathbb{P}(\mathcal{T}_{\mathbb{P}^2})$ , since each tangent vector through a point determines a line through it.

Now, what have we gained by homogenizing? The divisor at infinity is  $y_1 = 0$ , which is a  $\mathrm{dP}_6$  again. In our new coordinates this is equivalent to  $y'_1 = y'_2 = y'_0$ . Hence in the coordinates of  $\mathbb{P}^2 \times \mathbb{P}^2$ ,  $\mathrm{dP}_6$  is given by the two equations  $x_1y_0 - x_2y_1 = x_1y_0 - x_0y_2 = 0$ . ■

The other smoothing is the obtained by replacing one of the corners of the cube in Figure 2.3 with  $a'_{000} = a_{000} + t$ , obtained a one-parameter smoothing. The total space is now that affine cone over  $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$ .

Call this smoothing  $X_1$ .

**Lemma 2.2.2.** *The smoothing  $X_1$  is isomorphic to  $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1 \setminus \mathrm{dP}_6$ .*

*Proof.* Homogenize, notice what is gained, then subtract. ■

Observe that  $\mathcal{T}(\mathbb{P}^2)$  is homotopy equivalent to  $\mathbb{P}^1 \times \mathbb{P}^2$ . It follows that its Euler characteristic, which is invariant under homotopy, is equal to  $2 \times 3 = 6$ .

source?

## 2. The two smoothings of $C(\mathrm{dP}_6)$

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This information let us calculate the Euler characteristics of the smoothings. Note that  $\chi(\mathbb{P}^1) = 2$  and  $\chi(\mathcal{T}(\mathbb{P}^2)) = 6$ . By additivity of the Euler characteristics we have  $\chi(X_1) = 2$  and  $\chi(X_2) = 0$ , since  $\chi(\mathrm{dP}_6) = 6$ .

It follows that the two smoothing components correspond to topologically different smoothings. This can explain the obstructedness of the deformations of  $X_0$  in Chapter 3.

**Lemma 2.2.3.** *The cohomology ring of  $M = \mathbb{P}(\mathcal{T}_{\mathbb{P}^2})$  is  $\mathbb{Z}[x, y]/(x^3, y^2 + c_1y + c_2)$ , where  $x$  and  $y$  have degree 2. In particular, the cohomology of  $M$  is given by  $(1, 0, 2, 0, 2, 0, 1)$ .*

*Proof.* The first claim follows from the Leray-Hirsch theorem. See [BT82, page 270]. The next claim follows since  $x$  and  $y$  both have degree 2. ■

We can use what we know about the topology of these spaces to compute homology groups of the two affine smoothings.

We first need a preliminary lemma from toric geometry, which we state and prove in general form, because we could not find a proper reference.

**Lemma 2.2.4.** *Let  $Y \xrightarrow{i} X$  be an closed immersion of smooth toric varieties, corresponding to a map of fans  $\Sigma_1 \xrightarrow{A} \Sigma_2$ . Let  $M_1$  and  $M_2$  be the corresponding character lattices. Then we have a commutative diagram:*

$$\begin{array}{ccccccc} 0 & \longrightarrow & M_2 & \longrightarrow & \mathbb{Z}^{\Sigma_2(1)} & \longrightarrow & \mathrm{Pic}(X) \longrightarrow 0 \\ & & \downarrow A^T & & \downarrow C^T & & \downarrow i^* \\ 0 & \longrightarrow & M_1 & \longrightarrow & \mathbb{Z}^{\Sigma_1(1)} & \longrightarrow & \mathrm{Pic}(Y) \longrightarrow 0 \end{array}$$

Where in addition  $i^* : \mathrm{Pic}(X) \rightarrow \mathrm{Pic}(Y)$  is the map of Picard groups induced by the closed embedding.

*Proof.* The vertical rows are well-known. See for example Theorem 4.1.3 in [CLS11].

The matrix  $C^T$  is defined as follows: each primitive ray generator of cones in  $\Sigma_1(1)$  thought of as lying in  $N_2$  via the embedding  $A$  has a unique description as a linear combination of rays in  $\Sigma_2(1)$  lying in the same minimal cone. Let the columns of  $C$  be the coefficients of this linear combination. Then, by definition, the first square commutes.

It follows that there is an induced map of Picard groups. We must show that the induced map is exactly the one induced by the closed embedding. To see this, we use Proposition 6.2.7 in [CLS11], where a description of this map is given in terms of convex functions on  $N$ . ■

## 2.2. The cone over $dP_6$ and its two smoothings

This lemma let us compute explicitly what the induced map of Picard groups is using only the toric data.

**Theorem 2.2.5.** *The two affine smoothings are topologically different. The homology groups are:*

Group	$0$	$1$	$2$	$3$	$4$	$5$	$6$	Euler-characteristic
$H^i(X_1, \mathbb{Z})$	$1$	$0$	$2$	$1$	$0$	$0$	$0$	$2$
$H^i(X_2, \mathbb{Z})$	$1$	$0$	$1$	$2$	$0$	$0$	$0$	$0$

*Proof.* The singular cohomology of  $M = \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$  is given by  $(1, 0, 3, 0, 3, 0, 1)$ , which can be computed by the Künneth formula. The cohomology of  $dP_6$  is given by  $(1, 0, 4, 0, 1)$ .

We will use the Lefschetz duality theorem [Spa66], which in this case says that  $H_q(M \setminus dP_6, \mathbb{Z}) \simeq H^{6-q}(M, dP_6, \mathbb{Z})$ . Then the long exact sequence of the pair  $(M, dP_6)$  immediately gives  $h_0(X_1, \mathbb{Z}) = 1$ . Similarly, we see that  $h_5(X_1, \mathbb{Z}) = h_6(X_1, \mathbb{Z}) = 0$ , since the map  $H^1(M, \mathbb{Z}) \rightarrow H^1(D, \mathbb{Z})$  is an isomorphism.

The other groups depend upon the explicit form of the maps  $H^2(M, \mathbb{Z}) \rightarrow H^2(D, \mathbb{Z})$  and  $H^4(M, \mathbb{Z}) \rightarrow H^4(D, \mathbb{Z})$ .

By Poincaré duality ((reference)), the induced map corresponds to intersecting the divisors on  $M$  with  $dP_6$ . Computing, we get that map is given by the following matrix:

Find reference

$$H^2(M, \mathbb{Z}) \simeq H_4(M, \mathbb{Z}) \simeq \mathbb{Z}^3 \xrightarrow{\begin{pmatrix} 0 & 1 & 1 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}} \mathbb{Z}^4 \simeq H_2(dP_6, \mathbb{Z}) \simeq H^2(dP_6, \mathbb{Z}).$$

This is an injective map, and it follows from the long-exact sequence and the Lefschetz theorem that  $H_3(X_1) \simeq H^3(M, dP_6) \simeq \mathbb{Z}$ , and also that  $H_4(X_1) = 0$ .

Similarly, the map  $H^4(M) \rightarrow H^4(dP_6)$  is computed to be given by  $(a, b, c) \mapsto a + b + c$ , since the three  $\mathbb{P}^1$ 's intersect  $dP_6$  in a single point. This map has two-dimensional kernel, and we conclude that  $H_2(X_1) \simeq H^4(M, dP_6) = \mathbb{Z}^2$ , and that  $H^1(X_1) = 0$ .

The computations for  $X_2$  are similar but more involved. We first note that the Picard group of  $M = \mathbb{P}(\mathcal{T}_{\mathbb{P}^2})$  is generated by the pullbacks  $F, G$  of the generators of  $\text{Pic}(\mathbb{P}^2_{x_0 x_1 x_2} \times \mathbb{P}^2_{y_0 y_1 y_2})$ . Say  $F$  is represented by  $V(x_0)$  and  $G$  is represented by  $V(y_0)$ .

check transversal intersection with  $dP_6$

Again we compute the intersections of  $F$  and  $G$  with  $dP_6$ . Intersecting with  $F$  is computed by decomposing the ideal  $(x_0, x_1 y_0 - x_2 y_1, x_1 y_0 - x_0 y_2)$  in  $k[x_0, x_1, x_2, y_0, y_1, y_2]$  and saturating by  $(x_0, x_1, x_2)$  and  $(y_0, y_1, y_2)$ . This can either be done by hand or by using **Macaulay2**. Either way, we find that  $F|_{dP_6} = E_3 + L_{23} + E_2 = H$ , using the notation from earlier this chapter.

## 2. The two smoothings of $C(\mathrm{dP}_6)$

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Similarly  $G|_{\mathrm{dP}_6} = L_{23} + L_{12} + E_2 = 2H - E_1 - E_2 - E_3$ . Hence the map on cohomology is given by the matrix

$$H^2(M, \mathbb{Z}) \simeq H_4(M, \mathbb{Z}) \simeq \mathbb{Z}^2 \xrightarrow{\begin{pmatrix} 0 & -1 \\ 0 & -1 \\ 0 & -1 \\ 1 & 2 \end{pmatrix}} \mathbb{Z}^4 \simeq H_2(\mathrm{dP}_6, \mathbb{Z}) \simeq H^2(\mathrm{dP}_6, \mathbb{Z}).$$

This is an injective map, and as above, we conclude that  $H_3(X_2) \simeq H^3(M, \mathrm{dP}_6) \simeq \mathbb{Z}^2$ , and also that  $H_4(X_1) = 0$ . ■

*Remark 2.2.6.* In fact, the Andreotti-Frankel theorem [AF59] states the following: if  $V$  is any smooth affine variety of complex dimension  $n$ , then it has the homotopy type of a CW complex of real dimension  $n$ . Thus it should be no surprise that  $H^j(X_i) = 0$  for  $j > 3$ .

## CHAPTER 3

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# Construction of Calabi–Yau’s

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Instructions

In this chapter I describe the construction of three topologically different smoothings of a singular Calabi–Yau manifold. They (should) correspond to different components of the Hilbert scheme of threefolds with Hilbert polynomial  $p(t) = 6t^3 + 6$  in  $\mathbb{P}^{11}$ .

We first describe a degenerate Calabi–Yau in the form of a Stanley–Reisner scheme  $\mathbb{P}(\mathcal{K})$ , which has a quite large symmetry group. There is a natural deformation to a  $X_0$ , which is a hypersurface inside toric variety, with isolated singularities.

We show that  $X_0$  has several topologically distinct smoothings, which should lie on different components of the Hilbert scheme in  $\mathbb{P}^{11}$ .

Con\_ansatz

### 3.1 The mirror construction Ansatz

In many cases of interest, given a construction of a Calabi–Yau manifold, the following Ansatz produces a mirror.

Let  $\mathcal{K}$  be a simplicial complex, with associated Stanley–Reisner scheme  $X_0$ . Let  $G$  be the automorphism group of  $\mathcal{K}$ . Then  $G$  induces an action on  $T_{X_0}^1$  in the following way: each element of  $T_{X_0}^1$  can be represented by a  $\phi \in \text{Hom}(I/I^2, A)$ , and then  $g \cdot \phi$  is given by  $(g \cdot \phi)(f) = g \cdot \phi(g^{-1} \cdot f)$ , the contragredient action.

There is an action of  $T_n = (\mathbb{C}^*)^{n+1}/\mathbb{C}^*$  on  $\mathbb{P}^n$ , and since  $I_{X_0}$  is generated by monomials, the action restricts to an action on  $X_0$  as well.

Given a smoothing family with general fiber  $X$  and special fiber  $X_0$ , we can consider a subfamily with only isolated singularities on which  $G$  act. Let  $H \subset T_n$  be the subgroup of the torus acting on this family. Then the mirror candidate to  $X$  is given by a crepant resolution of  $Y_t = X_t/H$ .

Though it is often overlooked (or stated in another language) in the literature, even the mirror construction of the famous quintic arises this way. Briefly, the quintic Calabi–Yau is given by the zero locus of a general quintic in  $H^0(\mathbb{P}^4, \mathcal{O}_{\mathbb{P}^4}(5))$ . The special quintic given by  $f = x_0x_1x_2x_3x_4$  is the Stanley–Reisner scheme corresponding to the 3-simplex. The automorphism group is  $S_5$ ,

### 3. Construction of Calabi–Yau's

and an invariant 1-parameter family is given by  $f_t = \sum_{i=0}^4 x_i^5 + tx_0x_1x_2x_3x_4$ . There is a  $H = (\mathbb{Z}/5)^5/\mathbb{Z}^5$ -action on  $X_t = Z(f_t)$  given by coordinate-wise multiplication by fifth roots of unity. The general element of the family  $X_t$  is smooth, so the only singularities of the quotient  $Y_t = X_t/H$  comes from points with non-trivial stabilizer. These can be resolved by methods from toric geometry. For details, see the first chapter of Ingrid Fausk's thesis [Fau12].

## 3.2 The special fiber

Let  $E_6$  be the hexagon as a simplicial complex. The associated Stanley–Reisner scheme  $\mathbb{P}(E_6)$  is a degenerated elliptic curve in  $\mathbb{P}^5$ . If  $\mathbb{P}^5$  have coordinates  $x_0, \dots, x_5$ , the equations of  $E_6$  are  $x_i x_{i+2} = x_i x_i + 3 = 0$ , where  $i$  is taken modulo 6. This gives a total of 9 quadratic generators.

**Lemma 3.2.1.** *The Hilbert polynomial of  $\mathbb{P}(E_6)$  is  $h(t) = 6t$ .*

*Proof.* We want to count the dimension of  $S_t = S_{E_6}(t)$ . Any monomial in  $S_k$  has support on the simplicial complex  $E_6$ , so its support is either a vertex or an edge. In the first case, the monomial has the form  $x_i^t$ , so there are six of these.

In the other case, it has the form  $x_i^a x_{i+1}^b$ , with  $a + b = t$  and  $a, b \neq 0$ . Counting, there are  $6(t - 1)$  of these monomials. In total, the dimension is  $6 + 6(t - 1) = 6t$ . ■

*Remark 3.2.2.* Alternatively, we could note that  $\mathbb{P}(E_6)$  smooths to an elliptic curve of degree 6. Since Hilbert polynomials are constant in flat families, it follows from Riemann–Roch that  $h(t) = \deg \mathcal{O}_{\mathbb{P}(E_6)}(6t) - 1 + 1 = 6t$ .

Note that the Hilbert polynomial only differ from the Hilbert function for  $t = 0$ , since  $h(0) = 0$ , while  $\dim_{\mathbb{C}} S_0 = 1$ .

We now introduce the central fiber in the discussions onward. Let  $\mathcal{K}$  be the simplicial complex  $E_6 * E_6$ . It is a triangulation of the 3-sphere. The maximal faces are unions of maximal faces from each factor.

Denote the vertices of the left  $E_6$  with  $x_1, \dots, x_6$ , and the vertices of the right  $E_6$  with  $z_1, \dots, z_6$ . Then the maximal faces of  $\mathcal{K}$  are of the form  $x_i x_{i+i} z_j z_{j+1}$ , where  $i, j \in \mathbb{Z}_6$ . The number of  $i$ -faces follows are easy to compute:

**Lemma 3.2.3.** *The  $f$ -vector of  $\mathcal{K}$  is  $(1, 12, 48, 36)$ .*

*Proof.* There are 12 vertices, and  $6 \times 6 = 36$  maximal facets. Since  $\mathcal{K}$  is a 3-sphere, it follows that  $12 - f_1 + 36 = \chi(S^3) = 0$  that  $f_1 = 48$ .<sup>1</sup> ■

**Lemma 3.2.4.** *The Hilbert polynomial of  $\mathbb{P}(\mathcal{K})$  is  $h(t) = 6t^3 + 6$ .*

<sup>1</sup>Here we used that in a cell complex, the Euler characteristic is also the alternating sum of the number of cells in each dimension. This is Theorem 2.44 in [Hat02].



*Proof.* The homogeneous coordinate ring  $S = \oplus_{t \geq 0} S_t$  of  $\mathbb{P}(\mathcal{K})$  is the graded tensor product of  $\mathbb{P}(E_6)$  with itself. It follows from the previous lemma that

$$\dim S_t = \sum_{i+j=t, ij \neq 0} 36ij + 12t,$$

where the last term is a correction term because  $h(t) \neq 1$ . It is now a routine computation using formulas for sums of squares to verify the claim. ■

Either by using **Macaulay2** or by using the more conceptual description of the  $T^i$  modules from [AC10], we can compute:

**Lemma 3.2.5.** *The dimensions of  $T^1(\mathcal{K})$  and  $T^2(\mathcal{K})$  are 84 and 72, respectively.*

*Proof.* We will prove this using the techniques and notation from [AC10]. Our goal is to compute the degree zero part of  $T_{A_{\mathcal{K}}}^1$ . We will do this using Theorem 1.6.2.

First notice that all links of vertices of  $\mathcal{K} = E_6 * E_6$  are double suspensions over hexagons (they are denoted by  $\Sigma E_6$  in Christophersen's article).

According to Table 1 in Christophersen's article, double suspensions over hexagons contribute with one dimension to  $T_{A_{\mathcal{K}}}^1$ , namely in degree  $x_i^2/x_{i-1}x_{i+1}$  (if  $\mathbf{a} = x_i^2$ ). In total there are  $6 + 6 = 12$  contributions of this form.

Taking the link at the vertex  $x_i z_j$  produces a square with vertices  $x_{i+1}, z_{j+1}, x_{i-1}, z_{j-1}$  (in that order). According to Table 1 in Christophersen's article, these links contribute with dimension 2 to  $T_{A_{\mathcal{K}}}^1$ . The contributions have degrees  $x_i z_j / x_{i+1} x_{i-1}$  and  $x_i z_j / z_{j+1} z_{j-1}$ . There are  $2 \cdot 6 \cdot 6 = 72$  contributions of this form.

Thus, in total,  $T_{A_{\mathcal{K}}}^1$  have  $\mathbb{C}$ -dimension 84.

We now compute  $T_{A_{\mathcal{K}}}^2$ . The contributions come from choosing  $\mathbf{a} = x_i^2$  and  $\mathbf{a} = x_i x_{i+1}$ , respectively. If  $|a| = 1$  (as in the first case), the results from Christophersen's article imply that  $L_b := \cap_{b' \subset b} \text{lk}(b', \text{lk}(x_i, \mathcal{K}))$  must have more than one connected component (the contribution comes from  $\tilde{H}^0(L_b, \mathbb{C})$ ). This is the case if  $b$  consist of two opposite vertices in the suspended circle. In total there are  $2 \cdot 6 \cdot 3 = 36$  contributions of this form.

If  $|a| = 2$ , the contributing links are hexagons, and in this case the contributions come from  $b$  such that  $L_b = \emptyset$ . Again consistchoosing  $b$  to consist of opposite vertices of the hexagon, we find three pairs  $b$  with  $L_b = \emptyset$  for each hexagon. Thus in total there are  $2 \cdot 6 \cdot 3 = 36$  contributions of this form.

In sum,  $T_{A_{\mathcal{K}}}^2$  is  $36 + 36$ -dimensional. ■

The automorphism group of  $\mathcal{K}$  is  $D_6 \times D_6 \times \mathbb{Z}_2$ , and of order  $12 \cdot 12 \cdot 2 = 288$ . It is not difficult to see that the induced action on  $T_{X_0}^1$  have two orbits under  $\text{Aut}(\mathcal{K})$ , corresponding to deformations of the form  $x_i x_{i-2} + t x_{i+1} z_j$  and  $x_{i-1} x_{i+1} + t x_i^2$ , respectively.

### 3.3 A natural toric deformation

Consider Figure 3.1. It is the 2-dimensional polytope associated to the del Pezzo surface of degree 6. The fan over this polytope correspond to a unimodular regular triangulation of the polytope, and it follows by Proposition 1.8.1, that  $\mathrm{dP}_6$  degenerates to the Stanley–Reisner scheme  $\mathbb{P}(E_6 * \{pt\})$ , where  $\{pt\}$  correspond to the origin. This is an embedded deformation inside  $\mathbb{P}^6$ .

Concretely, the equations of  $\mathrm{dP}_6$  are given by  $x_i x_{i+2} - y x_{i+1} = x_i x_{i+3} - y^2 = 0$ . The degeneration to  $\mathbb{P}(E_6 * \{pt\})$  is given by setting the second terms to zero.

Now form the join of two copies of  $\mathrm{dP}_6$ , to get a new variety  $Y \subset \mathbb{P}^{13}$ . By Proposition 1.2.2, this is a  $2 + 2 + 1 = 5$ -dimensional toric variety with singular locus consisting of two copies of  $\mathrm{dP}_6$ . Since the coordinate ring is just the tensor product of two copies of  $S(\mathrm{dP}_6)$ , it follows that  $Y$  degenerates to  $\mathbb{P}(E_6 * \{pt\} * E_6 * \{pt\}) = \mathbb{P}(\mathcal{K} * \Delta^1)$ .

The following holds:

**Proposition 3.3.1.** *There is a deformation of the Stanley–Reisner scheme  $X_0$  to an irreducible Calabi–Yau variety  $X_Y \subset Y$  with isolated singularities. There are twelve of them, and they are locally isomorphic to cones over del Pezzo surfaces. More precisely: let  $(U, p_i)$  be the germ of  $X_0$  at  $p_i$ . Then  $(U, p_i) \simeq (C(\mathrm{dP}_6), 0)$ .*

*Proof.* Since  $X_0$  is a complete intersection inside  $\mathbb{P}(\mathcal{K} * \Delta^1)$ , it follows that  $X_0$  deforms to a complete intersection inside any deformation of  $\mathbb{P}(\mathcal{K} * \Delta^1)$ . We explained above that  $\mathbb{P}(\mathcal{K} * \Delta^1)$  deforms to the join  $Y$  of two del Pezzo surfaces, and it follows that  $X_0$  deforms to  $Y$  intersected with two generic hyperplanes.

Since  $Y$  has singular locus of dimension 2 and degree  $6 + 6 = 12$ , it follows by Bertini's theorem [Har77, Chapter II, Theorem 8.18] that  $X_0$  has twelve isolated singularities  $p_i$ .

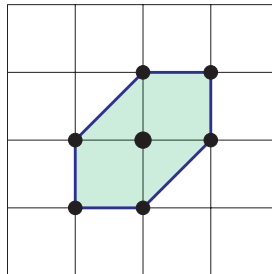


Figure 3.1: A hexagon.

fig:hexago

To see how the singularities look locally, we argue as follows. Locally,  $Y$  looks like  $\mathbb{A}_{a_1, a_2}^2 \times C(\mathrm{dP}_6)_{x_i}$ , where the subscripts refer to the coordinates. This is the ideal of  $Y$  consists of two sets of equations, each defining a smooth toric variety, and smooth toric varieties are isomorphic to  $\mathbb{A}^d$  in affine charts.

The claim now follows from two applications of Theorem 3.1.5 in [Bat94], which says that the singularities on  $\Sigma$ -regular toric hypersurfaces are inherited from the ambient toric variety.  $\blacksquare$

Since the cone over  $\mathrm{dP}_6$  deforms in two topologically different ways, we might expect that  $X_Y$  does this too. This is indeed true.

### 3.4 Smoothings of $X_Y$

By embedding  $\mathrm{dP}_6$  in different spaces, we obtain different smoothings of subvarieties of the join of these spaces.

#### The block matrix construction

We are inspired by the construction in Rødland's thesis [Rød00].

Let  $E$  be a 3-dimensional vector space. Let  $\{e_1, e_2, e_3\}$  be a basis for  $E$ . Then we can form the vector space  $V = (E \otimes E) \oplus (E \otimes E)$ , which has dimension 18. Let  $\mathbb{P}^{17} = \mathbb{P}(V)$ . Choose coordinates  $x_1, \dots, x_{18}$  on  $\mathbb{P}^{17}$ .

Thinking of  $E \otimes E$  as  $3 \times 3$ -matrices, we can think of the elements of  $\mathbb{P}^{17}$  as pairs of  $3 \times 3$ -matrices up to scalar, not both zero. Concretely, two pairs of matrices  $(A', B')$  and  $(A, B)$  are equivalent if  $(A', B') = (\lambda A, \lambda B)$  for some  $\lambda \in \mathbb{C}^*$ .

There is a natural rational map  $\pi : \mathbb{P}^{17} \dashrightarrow \mathbb{P}^8 \times \mathbb{P}^8$ , which is the identity on coordinates, given by dividing out by the antidiagonal  $\mathbb{C}^*$ -action:  $\lambda' \cdot (A, B) = (\lambda', \lambda'^{-1} B)$ .

Denote by  $V_1$  and  $V_2$  the subspaces  $x_1 = \dots = x_9 = 0$  and  $x_{10} = \dots = x_{18} = 0$ , respectively. Blow up  $\mathbb{P}^{17}$  in  $V_1 \cup V_2$ , to get  $\widetilde{\mathbb{P}^{17}}$ . The spaces  $V_i$  are exactly the indeterminacy locus of  $\pi$ , so  $\pi$  extends to a map  $\pi : \widetilde{\mathbb{P}^{17}} \rightarrow \mathbb{P}^8 \times \mathbb{P}^8$ . Denote by  $\pi_1$  and  $\pi_2$  the two natural projections to  $\mathbb{P}^8$ . Then it is true that  $\widetilde{\mathbb{P}^{17}} = \mathbb{P}_{\mathbb{P}^8 \times \mathbb{P}^8}(\pi_1^* \mathcal{O}_{\mathbb{P}^8}(1) \oplus \pi_2^* \mathcal{O}_{\mathbb{P}^8}(1)) = \mathbb{P}(\mathcal{O}_{\mathbb{P}^8 \times \mathbb{P}^8} \oplus \mathcal{O}_{\mathbb{P}^8 \times \mathbb{P}^8}(1, -1))$ . This is explained further in Section C7 in [AK75].

Let  $M$  be the closure of the set of pairs  $(A, B)$  where  $\mathrm{rank} A = \mathrm{rank} B = 1$ .

**Proposition 3.4.1.** *The variety  $M$  is the join of two copies of  $\mathbb{P}^2 \times \mathbb{P}^2 \subset \mathbb{P}^8$ , and has singular locus  $\mathbb{P}^2 \times \mathbb{P}^2 \subset V_i$  of dimension 4.*

*The canonical sheaf is  $\omega_M = \mathcal{O}_M(-6)$ , so that  $M$  is a Fano toric variety.*

### 3. Construction of Calabi–Yau’s

*Proof.* If  $\mathbb{P}^{17}$  have coordinates  $x_1, \dots, x_{18}$ , let  $M_1$  and  $M_2$  be the matrices

$$M_1 = \begin{pmatrix} x_1 & x_2 & x_3 \\ x_4 & x_5 & x_6 \\ x_7 & x_8 & x_9 \end{pmatrix} \text{ and } M_2 = \begin{pmatrix} x_{10} & x_{11} & x_{12} \\ x_{13} & x_{14} & x_{15} \\ x_{16} & x_{16} & x_{17} \end{pmatrix}.$$

Then  $M$  is defined by the zeroes of the  $2 \times 2$ -minors of  $M_1$  and  $M_2$ . Then it is clear that  $M$  is the projective join of two copies of  $\mathbb{P}^2 \times \mathbb{P}^2 \hookrightarrow \mathbb{P}^8 \subset \mathbb{P}^{17}$ , since the variable sets are disjoint.

The variety  $M$  is 9-dimensional: the affine cone over  $M$ ,  $C(M)$ , is equal to  $C(\mathbb{P}^2 \times \mathbb{P}^2) \times C(\mathbb{P}^2 \times \mathbb{P}^2)$ . This variety has dimension  $5 + 5 = 10$ , hence its projectivization  $M$  is 9-dimensional.

The singular locus of  $M$  consists of the pairs  $(0, B)$ , and  $(A, 0)$ , where  $\text{rank } A = \text{rank } B = 1$ , hence  $\dim \text{Sing } M = 4$ . See also Proposition 1.2.2.

By Remark 1.2.4, it follows that  $\omega_M = \mathcal{O}_M(-6)$ , since  $\omega_{\mathbb{P}^2 \times \mathbb{P}^2} = \mathcal{O}_{\mathbb{P}^8}(-3)|_{\mathbb{P}^2 \times \mathbb{P}^2}$ . ■

By Bertini’s theorem, intersecting  $M$  with a codimension 6 hyperplane gives a smooth variety  $X_1$ .

Note that by putting  $x_1 = x_5 = x_6$  and  $x_{10} = x_{14} = x_{17}$ , we get the join of two del Pezzos, so we see that  $X_1$  deforms to  $X_0$ , which is a singular Calabi–Yau variety. It follows that  $X_1$  is a smooth Calabi–Yau. This also follows from the adjunction formula:  $\omega_{X_1} = \omega_M \otimes \wedge^6 \mathcal{O}_X(1) = \mathcal{O}_X$ .

A **Macaulay2** computation give us some information about the geometry of  $X_1$ .

prop:x1euler

**Proposition 3.4.2.**  $X_1$  has topological Euler characteristic  $-72$ .

*Proof.* This is a computation in **Macaulay2**. Since computing the whole cotangent sheaf of  $X_1$  is impossible with current computer technology, we make use of standard exact sequences. Let  $\mathcal{I}$  be the ideal sheaf of  $M$  in  $\mathbb{P}^{17}$ . First off, we have the exact sequence

$$0 \rightarrow \mathcal{I}/\mathcal{I}^2|_X \rightarrow \Omega_{\mathbb{P}}^1|_{X_1} \rightarrow \Omega_M^1|_{X_1} \rightarrow 0.$$

The **Macaulay2** command **eulers** computes the Euler characteristics of generic linear sections of a sheaf  $\mathcal{F}$ . Using this command, we find that  $\chi(\mathcal{I}/\mathcal{I}^2|_{X_1}) = -180$ . Using the exact sequence

$$0 \rightarrow \Omega_{\mathbb{P}}^1|_{X_1} \rightarrow \mathcal{O}_{X_1}(-1)^{18} \rightarrow \mathcal{O}_{X_1} \rightarrow 0,$$

we find that the Euler characteristic of  $\Omega_{\mathbb{P}}^1|_{X_1}$  is  $-216 = 12 \cdot 18$ . It follows from the first exact sequence that  $\Omega_M^1|_{X_1}$  has Euler characteristic  $-36$ .

Since  $X_1$  is a complete intersection in  $M$ , the conormal sequence is

$$0 \rightarrow \mathcal{O}_{X_1}(-1)^6 \rightarrow \Omega_M|_{X_1} \rightarrow \Omega_{X_1}^1 \rightarrow 0.$$

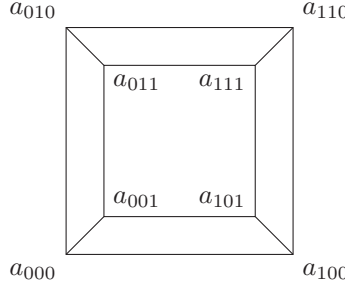

 Figure 3.2: A  $2 \times 2 \times 2$ -tensor.

fig:222tensor

Hence  $\chi(\Omega_X^1) = -36 + 72 = 36$ .

It follows that the topological Euler characteristic is  $\chi_{X_1} = -2\chi(\Omega_{X_1}^1) = -72$ . ■

I have not been able to compute the Hodge nubmers of  $X_1$ . However, counting parameters, we can give a conjectural size of  $H^1(X, \mathcal{T}_{X_1})$ , which is the space of complex structures on  $X_1$ :

$X_1$  lies in a family parametrized by planes containing twelve  $3 \times 3$  block matrices (spanning the  $\mathbb{P}^{11}$ ), giving  $12 \cdot 18 = 216$  parameters. Conjugation by invertible  $3 \times 3$  block matrices produce isomorphic varieties, reducing the amount of parameters by  $3^2 + 3^2 = 18$ . Furthermore, rotation in  $\mathbb{P}^{11}$  reduces the dimension by  $H^0(\mathbb{P}^{11}, \mathcal{T}) = 12^2 - 1 = 143$ . In total, we have  $216 - 18 - 143 = 55$  complex parameters. Since the Euler characteristic is  $-72$ , heuristically, the Hodge numbers should be  $(19, 55)$ .

### The three-tensor construction

Now let  $F$  be a 2-dimensional vector space with basis  $\{f_1, f_2\}$ . Then we can form the vector space  $V = ((F \otimes F \otimes F)^{\oplus 2})$ . Let  $\mathbb{P}^{15} = \mathbb{P}(V)$ .

The elements of  $\mathbb{P}$  are pairs  $(A, B)$  of  $2 \times 2 \times 2$ -tensors, not both zero.

Let  $N$  be the closure of set of pairs  $(A, B)$  where both  $A$  and  $B$  have tensor rank 1<sup>2</sup>. A pure  $2 \times 2 \times 2$ -tensor can be visualized as a box in  $\mathbb{Z}^3$  of unit volume. Let the variables on  $\mathbb{P}^{15}$  be  $a_{ijk}$  and  $b_{ijk}$  for  $i, j, k = 0, 1$ . See the diagram in Figure 3.2.

The equations of the set of rank 1 tensors are obtained as the “minors” along the 6 sides together with the minors along with the 3 long diagonals, giving a total of 9 binomial equations.

<sup>2</sup>An element of  $F^{\otimes 3}$  have rank 1 if it is a pure tensor. It has rank  $k$  if it can be written as a sum of  $k$  pure tensors.

### 3. Construction of Calabi–Yau's

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Note that  $N$  is the projective join of two copies of  $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$ . As above, it follows that  $N$  is a singular Fano variety with anticanonical sheaf equal to  $\mathcal{O}_N(4)$ .

The singular locus of  $N$  consists of the pairs  $(A, 0)$  and  $(0, B)$  where both  $A, B$  have rank 1. Hence the singular locus is of dimension 3.

Intersecting  $N$  with a codimension 4-hyperplane gives a smooth variety  $X_2$ . It is Calabi-Yau and has topological Euler characteristic  $-48$ .

**Proposition 3.4.3.** *The topological Euler characteristic of  $X_2$  is  $-48$ .*

*Proof.* The proof is identical to the proof of Proposition 3.4.2. ■

*Remark 3.4.4.* Using the same heuristic as with  $X_1$ , we conjecture the Hodge numbers to be  $(h^{11}, h^{12}) = (9, 33)$ .

### The mixed smoothing

In the above cases, we formed the join of equal varieties. In the above notation, let  $V = (E \otimes E) \oplus (F \otimes F \otimes F)$ . Then let  $\mathbb{P}^{16} = \mathbb{P}(V)$ .

Now let  $W$  be the set of “mixed” rank 1 tensors. In a way similar to above, we find that  $W$  is a singular Fano toric variety of dimension 8. The singular locus is of dimension 4, so a 5-fold complete intersection is again a smooth Calabi-Yau variety  $X_3$ .

**Proposition 3.4.5.** *The Euler characteristic of  $X_3$  is  $-60$ .*

*Proof.* The proof is identical to the proofs above. ■

*Remark 3.4.6.* The heuristic above gives  $(h^{11}, h^{12}) = (18, 48)$ .

### 3.5 Degeneration of the toric join

The coordinate ring of the toric variety  $Y$  can be described as  $R = S \otimes_k S$ , where  $S$  is the coordinate ring of the del Pezzo surface of degree 6 in its anticanonical embedding.  $S$  has a special element  $y_0$  corresponding to the origin of the defining polytope. Concretely,  $R$  can be described as follows: it is a quotient of the polynomial ring  $\mathbb{C}[x_1, \dots, x_6, y_0, z_1, \dots, z_6, y_1]$ . We then mod out by the ideal  $I_1 + I_2$ , where  $I_i$  ( $i = 1, 2$ ) is the ideal of the del Pezzo surface in the variables  $(x_i, y_0)$  and  $(z_i, y_1)$ , respectively). Then  $Y = \text{Proj}(\mathbb{C}[x_1, \dots, x_6, y_0, z_1, \dots, z_6, y_1]/(I_1 + I_2))$ .

Consider the hypersurface  $Y'$  in  $Y$  given by  $y_0 = y_1$ . Then  $Y'$  is a 4-dimensional toric variety. It is the toric variety associated to the polytope  $\Delta$

with vertices the columns of the matrix

$$\begin{pmatrix} -1 & 1 & 0 & 1 & 0 & -1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & -1 & -1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & -1 & 1 & 0 & 1 & 0 & -1 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & -1 & -1 & 1 & 1 \end{pmatrix}.$$

A computation shows that  $Y'$  has 1-dimensional singularities, and the singular locus is a graph of  $\mathbb{P}^1$ 's: take two hexagons, and join each vertex of one of them with all vertices of the other one. This makes in total 48  $\mathbb{P}^1$ 's.

illustration  
graph

The variety  $Y'$  is a Fano toric variety, and as such, it has a anticanonical section  $X_{00}$  which is a singular Calabi–Yau variety. A local computation shows that  $X_{00}$  has 12 singularities that are locally isomorphic to  $C(\mathrm{dP}_6)$ , and 36 double points.

Since  $Y'$  is a four-fold, it follows that  $X_{00}$  has a maximal projective crepant resolution of singularities (a *MPCP-desingularization*) (see for example the comment on page 55 in [CK99]), which we denote by  $\widetilde{X}_{00}$ .

A computation using PALP [KS04] shows that  $\widetilde{X}_{00}$  has Hodge numbers  $(44, 8)$  and Euler-characteristic 72.

*Remark 3.5.1.* The variety  $X_{00}$  has also been described elsewhere. The polar polytope  $\Delta^\circ$  is equal to the product of two hexagons, and it follows that  $\mathbb{P}_{\Delta^\circ}$  is equal to the product of two del Pezzo surfaces. An anticanonical hypersurface in  $\mathrm{dP}_6 \times \mathrm{dP}_6$  has Euler characteristic  $-72$  (see Theorem 3.1 in [Hüb92]).

In the article [BCD10], Braun et al. study this hypersurface and a group action on it. They also describe a resolution of singularities of  $X_{00}$ .

*Remark 3.5.2.* There is a heuristic surgical reason for the Euler characteristic being  $+72$ . Our  $X_{00}$  deforms to  $X_1$ , which has Euler characteristic  $-72$ . This is obtained by smoothing 36 double points and 12 cones over del Pezzo surfaces. By the inclusion-exclusion principle, it follows that a resolution of the singularities of  $X_{00}$  have Euler characteristic  $\chi(X_1) + 2 \cdot 36 + 6 \cdot 12 = 72$ .

connection to  
physics paper,  
fremheve at  
Euler-kar utreg-  
ninger er kom-  
patible, finn noe  
med  $+48$

## 3.6 Invariant Calabi–Yau’s

One way to produce mirror candidates of Calabi–Yau manifolds is the following procedure: put  $X$  in a family, and consider a subfamily with an action of a finite group  $G$ . The generic member  $X_t$  of this subfamily often has singularities. Then one forms the quotient and try to resolve the singularities, hoping to preserve the Calabi–Yau propert. The mirror candidate is then  $Y \triangleq \widetilde{X_t}/G$ . This is the approach taken by Rødland in his thesis [Rød00].

In this section, I will explain natural group actions on the  $X_i$ ’s constructed above.

### 3. Construction of Calabi–Yau's

Denote by  $D_6$  the dihedral group of order 12, the symmetries of a hexagon. It is generated by a rotation  $\rho$  of order 6, together with a reflection  $\sigma$ , subject to  $\sigma\rho\sigma = \rho^{-1}$ . There is an isomorphism  $D_6 \simeq S_3 \times \mathbb{Z}_2$ :  $S_3$  is identified with  $\langle \rho^2, \sigma \rangle$  and  $\mathbb{Z}_2$  is identified with  $\rho^3$ .

**Lemma 3.6.1.** *There are  $D_6$ -actions on both  $M$  and  $N$ .*

*Proof.* Recall that  $M$  is the join of two copies of  $\mathbb{P}^2 \times \mathbb{P}^2$  embedded in  $\mathbb{P}^8$ . We can think of  $M$  as block matrices of rank  $1 + 1$  in  $\mathbb{P}(E \otimes E \oplus E \otimes E)$ , where  $E$  is a 3-dimensional vector space. Choosing a basis  $\{e_1, e_2, e_3\}$  for  $E$ , we have a natural  $S_3$  action on  $E$  given by  $e_i \mapsto e_{\sigma(i)}$ . This action extends to  $E \otimes E$  by  $v \oplus w \mapsto g \cdot v \oplus g \cdot w$ .

Switching the direct summands of  $(E \otimes E)^{\oplus 2}$ , gives us a  $\mathbb{Z}_2$ -action. In total we now have a  $S_3 \times \mathbb{Z}_2$ -action, which by the above remark is a  $D_6$ -action. Note that since the action was defined on  $E$ , rank is preserved, so that we indeed have an action on  $M$ .

Similarly,  $N$  is the rank  $1 + 1$  tensors in  $(E \otimes E \otimes E)^{\oplus 2}$ , where now  $E$  is a 2-dimensional vector space. ■

Torus actions

By choosing invariant hyperplanes, the group actions on the ambient spaces descend to the Calabi–Yau's. We first consider the case when the ambient space was the join of two copies of  $\mathbb{P}^2 \times \mathbb{P}^2$ , which was denoted by  $M$  above.

Denote a unit matrix in the first factor of  $(E \otimes E) \oplus (E \otimes E)$  by  $e_{ij}^0$ , and denote a unit matrix in the second factor by  $e_{ij}^1$ , where  $0, 1$  are taken modulo 2.

In this case, one such invariant hyperplane is given by the span of

$$f_{ij}^\alpha = e_{ij}^\alpha + te_{-i-j, -i-j}^{\alpha+1} \in E \otimes E \oplus E \otimes E,$$

where  $i \neq j \in \mathbb{Z}_3$  and  $\alpha \in \mathbb{Z}_2$ . Denote the intersection between  $M$  and  $H$  by  $X_{H_t}$ . Then the following is true:

**Proposition 3.6.2.** *Both the finite group  $D_6$  and the group  $\mathbb{Z}_3$  act on  $X_{H_t}$ . The symmetric variety  $X_{H_t}$  have 24 isolated singularities for  $t \neq 0, 1$ , and they come in two orbits under the  $D_6$ -action.*

*For  $t = 1$ , it has 36 isolated singularities.*

There is also a torus action on  $E$ , defined by  $e_i \mapsto \omega^i e_i$ , where  $\omega$  is a third root of unity. This a  $\mathbb{Z}/3$ -action, which extends to an action on  $E \otimes E \oplus E \otimes E$ . Let  $H = \mathbb{Z}/3$ . Then note that  $X_{H_t}$  is  $\mathbb{Z}/3$ -invariant as well.

Check which singularities these are, also: fix points

identify  $H$ -invariant singularities + fix points



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## **Appendices**

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