# Algorithms and Data Structures

Algorithm Analysis (Ch. 2)

Morgan Ericsson

#### **Today**

- » Introduction to algorithm analysis
  - » Growth
  - » Algorithm steps
  - » O (big Oh / Omicron) notation
- » Analysis

# Growth

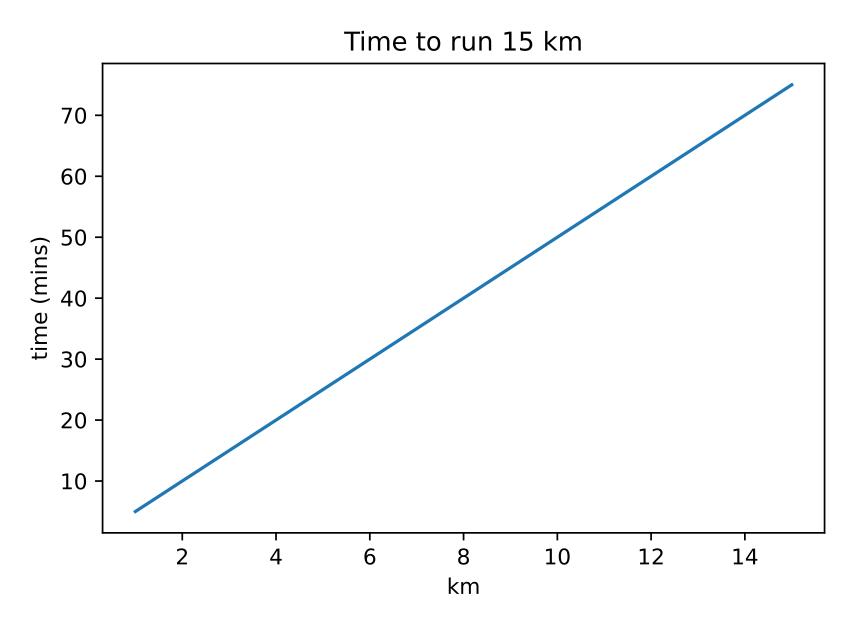
#### Getting familiar with growth

- » Assume you are planning to run 15 km.
- » You know you can run a km in at most 5 minutes.
- » How long will it take you?
  - » Easy, at most  $5 \times 15 = 75$  mins.

#### We can plot it

```
import matplotlib.pyplot as plt
   import numpy as np
 3
 4 \text{ tpkm} = 5
  dist = np.arange(1, 16)
 6
  plt.plot(dist, dist * tpkm)
  plt.title('Time to run 15 km')
  plt.xlabel('km')
10 plt.ylabel('time (mins)')
11 plt.show()
```

# We can plot it



#### Linear growth

- » A dependent quantity (variable) changes at a constant rate with respect to an independent variable
  - » On our example, time depends on the independent variable distance
- » Linear function or linear model
- » Can be expressed as y = mx + b
  - » In our example, y = 5x

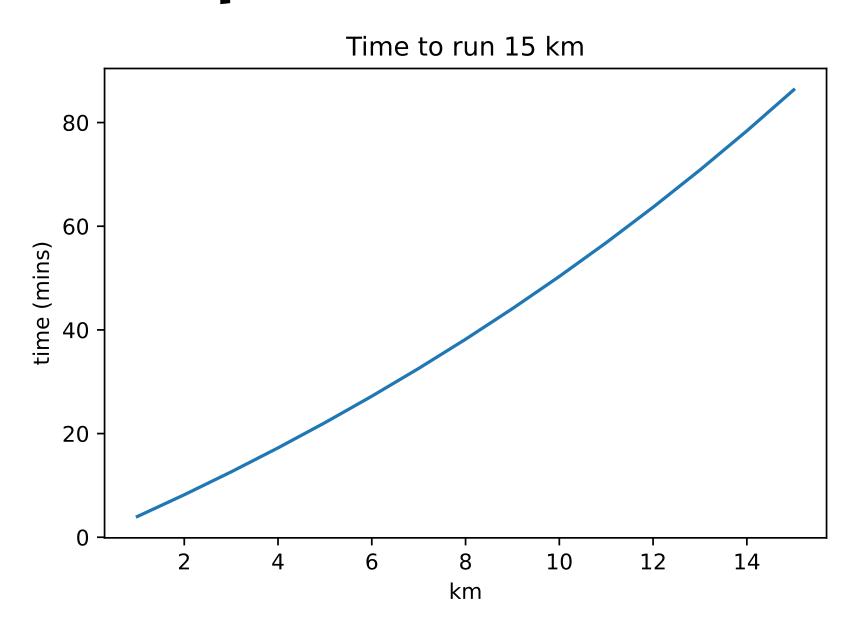
# Explaining y = 5x

- - m is the slope, and
  - » b is the y-intercept, e.g., the offset in y when x is 0
- » We take two points from our example, e.g., (0,0) and (2,10)
- $m = \frac{10-0}{2-0} = \frac{10}{2} = 5$
- b is 0, since y is 0 when x is 0.
- > So, y = mx + b = 5x + 0 = 5x

#### **Going faster?**

- » Assume that you can run a bit faster, but if you do, you get tired and slow down
  - » If you run at a pace of 4 minutes per km,
  - » each km takes 5% longer
- » So, if the first km takes 4 minutes, the second will take 4 minutes and 12 seconds.

# We can plot it

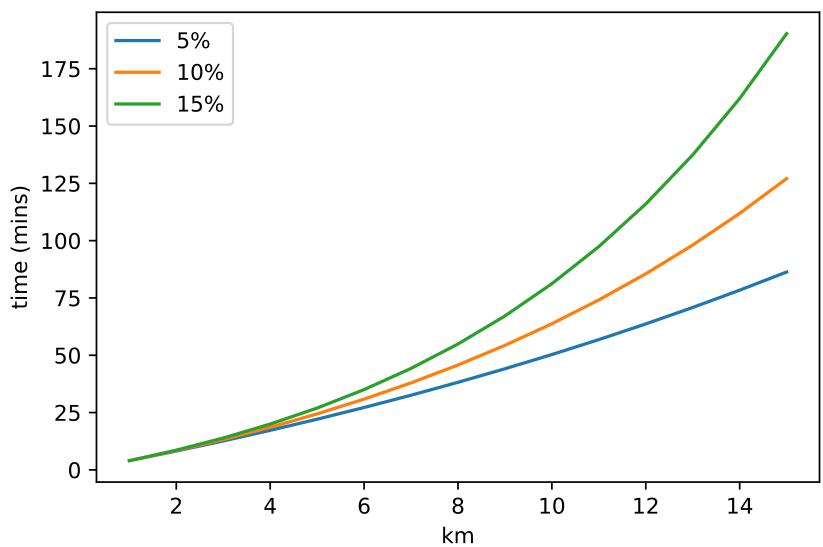


#### No longer linear

- » The time no longer change by a fixed quantity
- » The second km took 4 minutes 12 seconds and the last took almost 8 minutes.
- » An exponential relationship ...
  - $y = speed_0(increase)^x$
  - $y = 4(1.05)^x$
- » ... describes the pace
  - $(1.05)^0 = 4$
  - $(1.05)^{14} \approx 7.92$

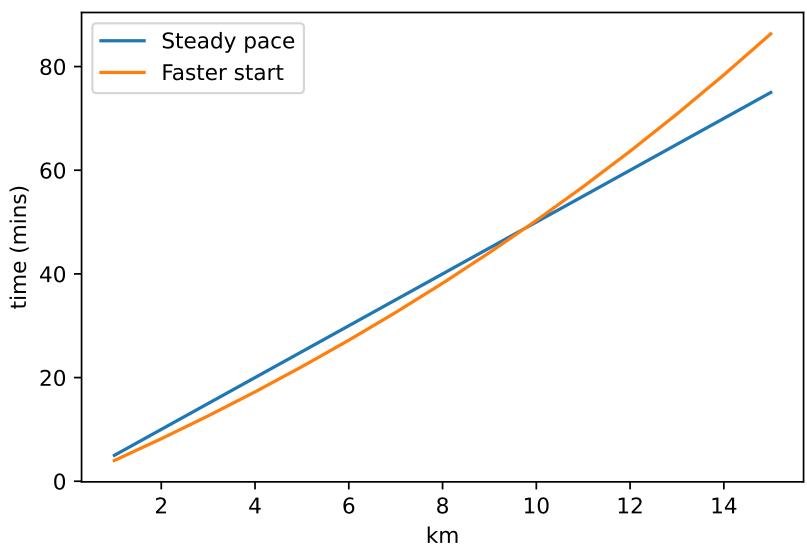
#### Different slowdown rates?





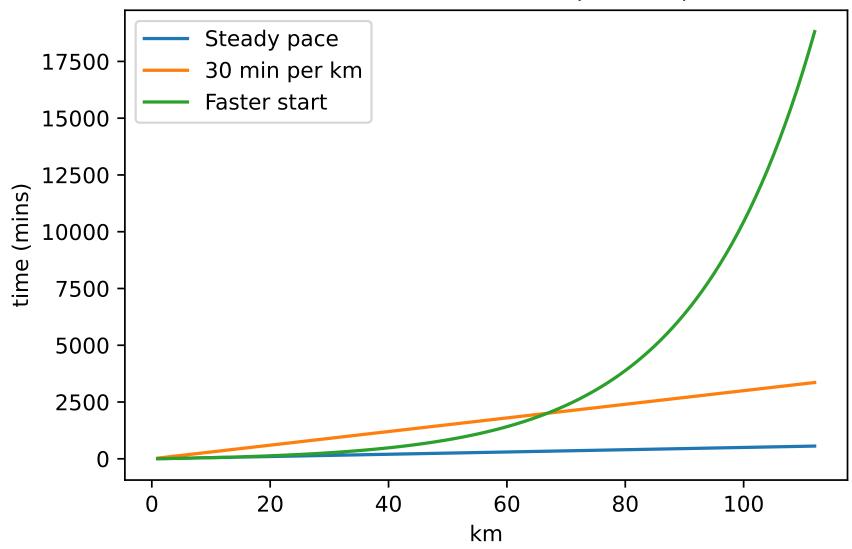
#### **Faster?**





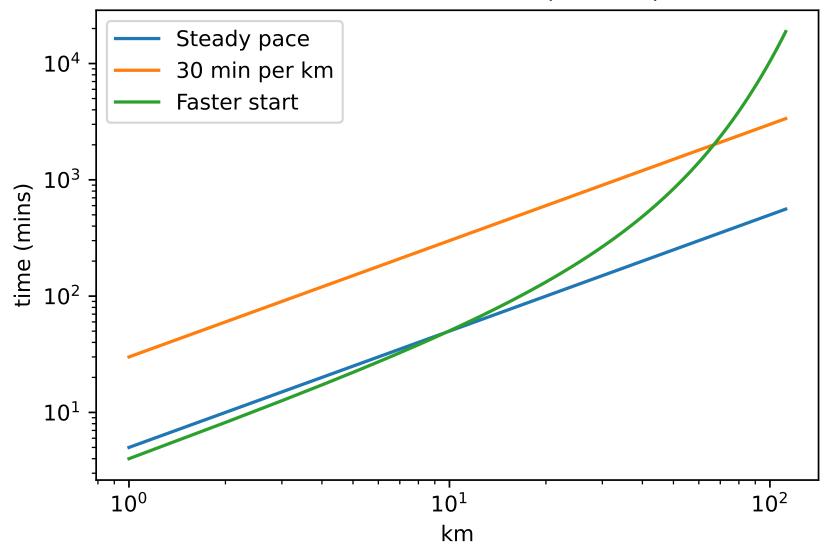
## To infinty (and beyond)

Time to run to Kalmar (113 km)

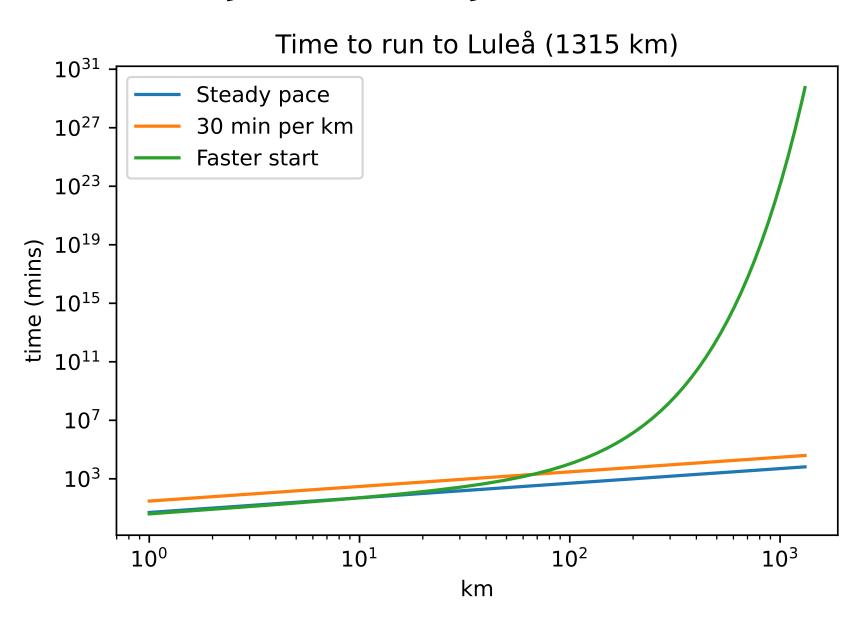


## To infinty (and beyond)

Time to run to Kalmar (113 km)



## To infinty (and beyond)



# Growth of algorithms

#### Computing the slope

```
1 xy0 = (0,0)
2 xy2 = (2,10)
3 tx = xy2[0] - xy0[0]
4 ty = xy2[1] - xy0[1]
5 m = ty / tx
```

How many instructions are required to complete the program above?

#### How many instructions?

- $\rightarrow$  Consider a = b + c
  - 1. Fetch a
  - 2. Fetch b
  - 3. Add a and b
  - 4. Store the result in c
- » So, 4 instructions

That this is a simple high-level model, but it is sufficient for our needs.

#### Computing the slope

```
1 xy0 = (0,0)  # 2 instructions
2 xy2 = (2,10)  # 2 instructions
3 tx = xy2[0] - xy0[0] # 4 instructions
4 ty = xy2[1] - xy0[1] # 4 instructions
5 m = ty / tx  # 4 instructions
```

Using our simple model, the program has 16 instructions.

#### What is the growth?

- » Assume we want to model like we did in the running example
  - » How does the program grow?
- » No growth, the program always requires 16 instructions
  - » Constant

#### Refactor to function and check

```
1 def slope(c1, c2):
2    x0,y0 = c1
3    x1,y1 = c2
4
5    return (y1 - y0) / (x1 - x0)
6
7    slope((0, 0), (2, 10))
```

5.0

#### Timing things in python

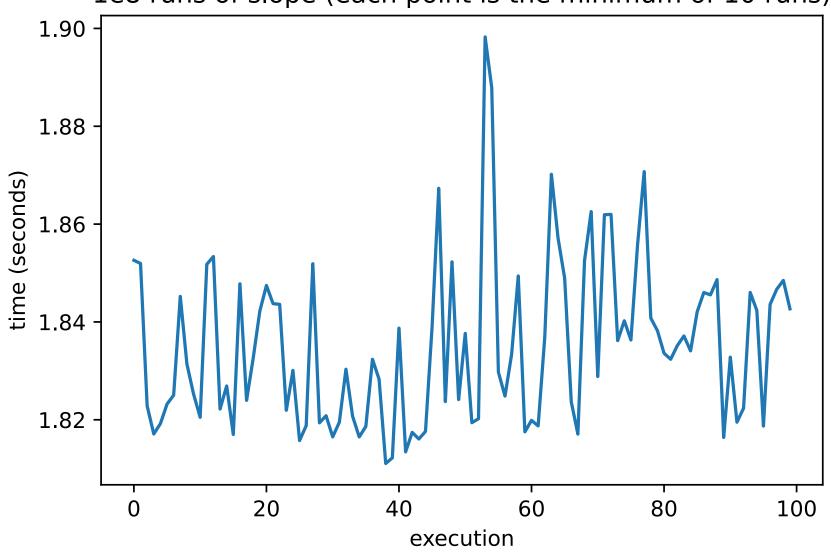
- » Use the timeit module
- » Or the %timeit magic command in ipython/jupyter
  - » %timeit slope((0, 0), (2, 10))

#### Timing a single run of slope

```
1 %timeit slope((0, 0), (2, 10))
117 ns ± 2.11 ns per loop (mean ± std. dev. of 7 runs,
10,000,000 loops each)
```

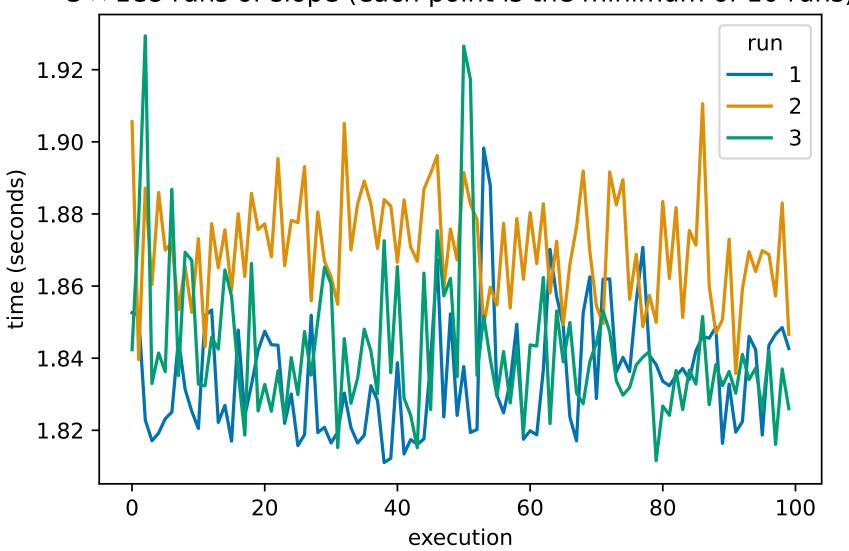
#### Is slope constant?

1e8 runs of slope (each point is the minimum of 10 runs)



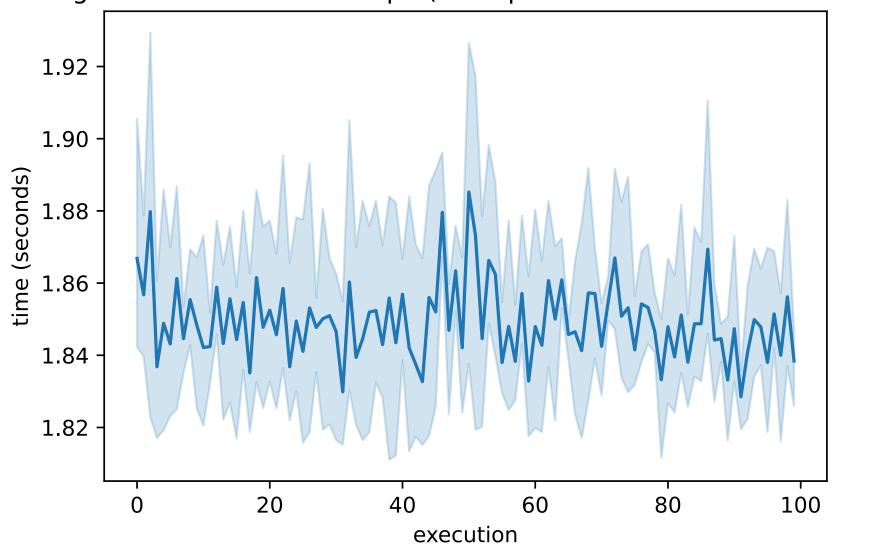
#### More runs?

 $3 \times 1e8$  runs of slope (each point is the minimum of 10 runs)

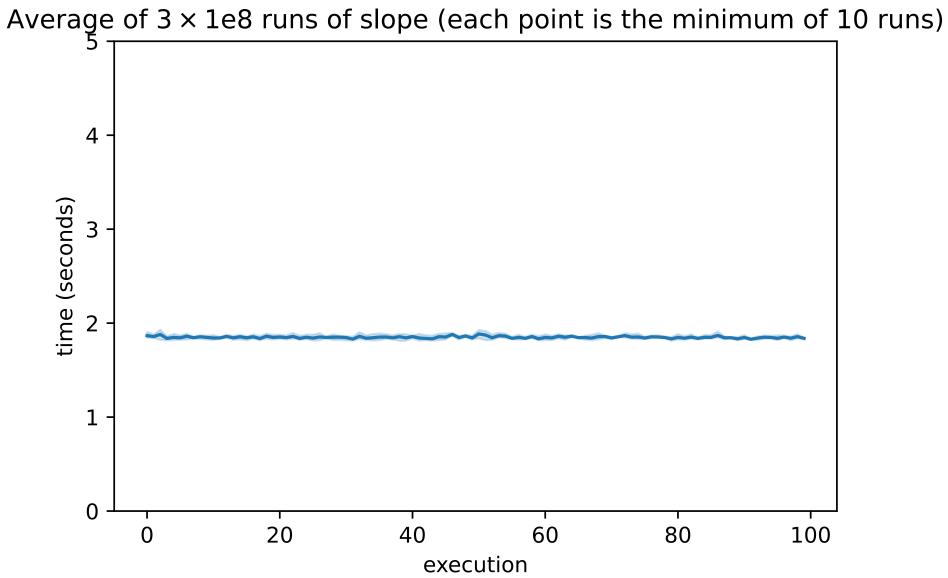


#### More runs?

Average of  $3 \times 1e8$  runs of slope (each point is the minimum of 10 runs)



#### If we zoom out?



#### Computing the average

» Assume we want to compute the average of two numbers:

```
» def avg(a:int, b:int) -> float
```

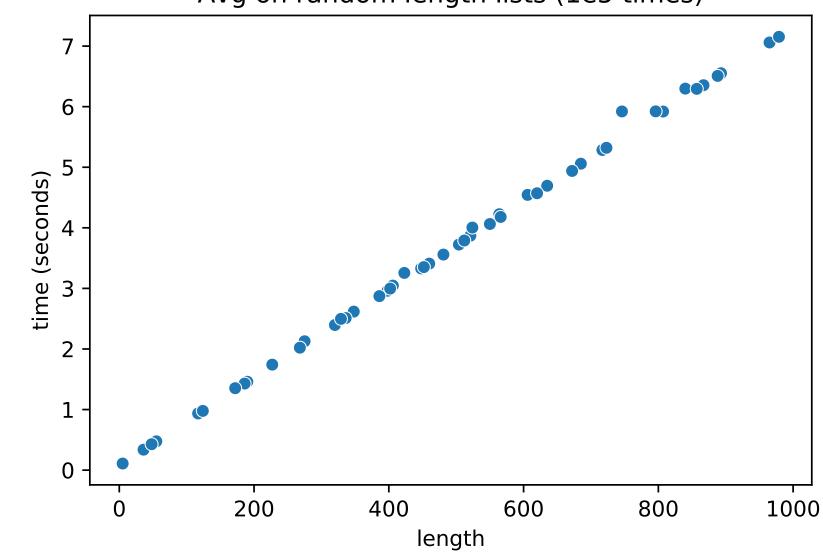
- » We can deduce that avg has a constant runtime
- » What about if we want the average of a list of numbers?
  - » def avg(l:list[int]) -> float
- » Also constant? If not, what controls the growth?

#### Let's try it

```
1 def avg(l:list[int]) -> float:
2    s = 0
3    for v in 1:
4        s += v
5
6    return s/len(1)
7
8 assert avg([1,2,3,4]) == 2.5
```

#### How long?





## What is the slope?

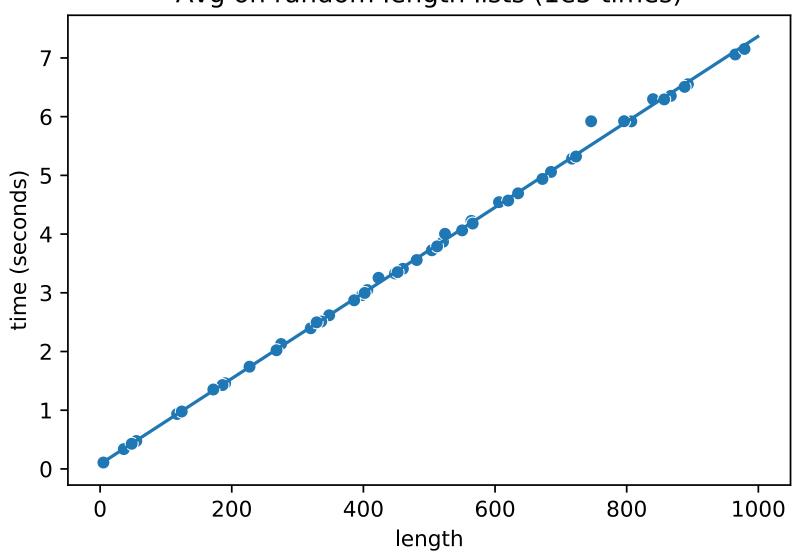
n	exctime
5	0.10884
36	0.337602
48	0.428082
55	0.475625
117	0.935349
124	0.977824
172	1.35286

#### **Better tools**

Model: 0.00729 \* x + 0.08164

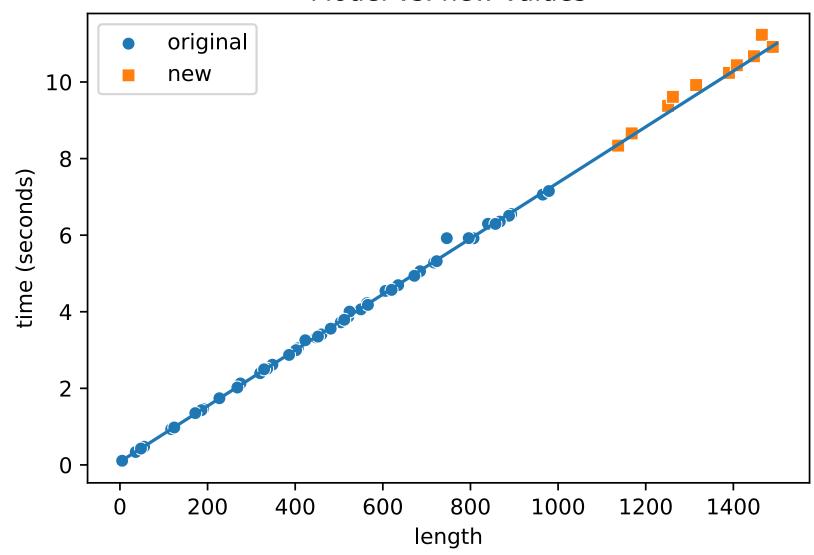
#### Prediction vs empirical data

Avg on random length lists (1e5 times)



#### Prediction vs empirical data

Model vs. new values



# Prediction vs empirical data

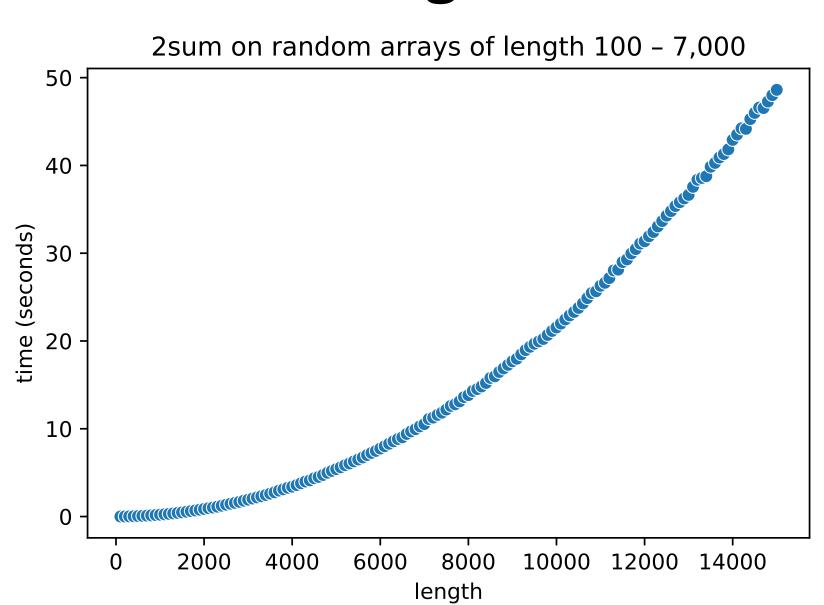
n	exctime	pred	diff
1315	9.921	9.667	0.255
1465	11.232	10.760	0.472
1251	9.377	9.200	0.177
1262	9.612	9.280	0.332
1390	10.239	10.213	0.025

#### k-sum

- » Assume we have a list of n integers
- » How many sequences of k numbers in this list sum to 0?
- $\rightarrow$  Given the list [-2, 0, 2, 1]:
  - » If k = 2, (-2, 2) sum to 0
  - » If k = 3, (-2, 0, 2) sum to 0

### k-sum when k=2 (2sum)

### How does 2sum grow?



# How does 2sum grow?

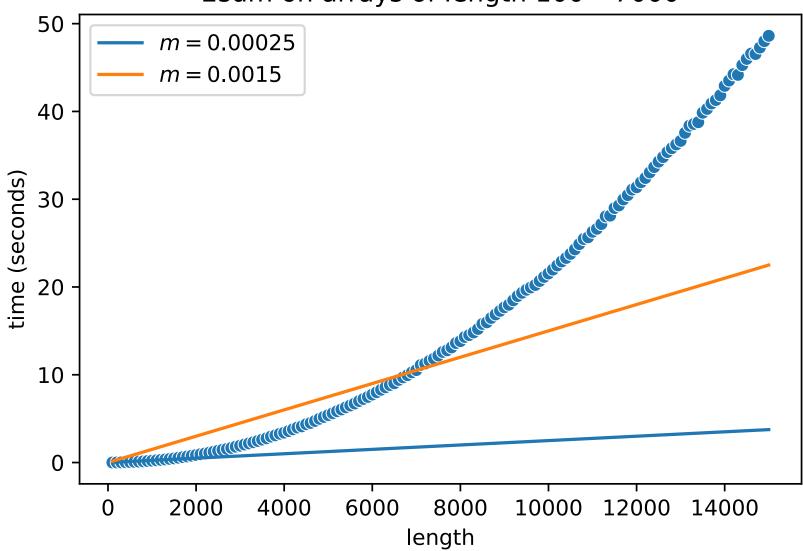
SZ	time
100	0.002
200	0.008
400	0.033
800	0.134
1600	0.547
3200	2.182
6400	8.830

# What is the slope?

	SZ	time
•	100	0.002
•	200	0.008
•	400	0.033
•	800	0.134
•	1600	0.547
-	3200	2.182
-	6400	8.830

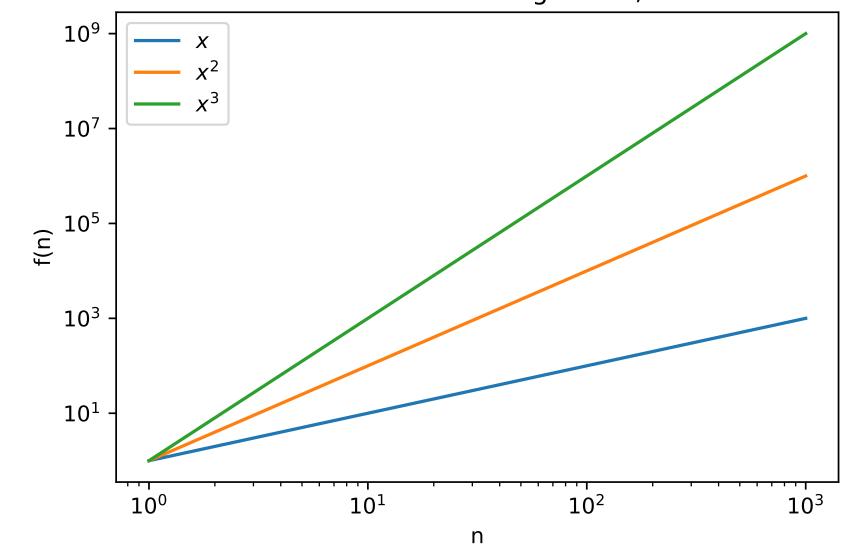
# **Trying the slopes**

2sum on arrays of length 100 – 7000



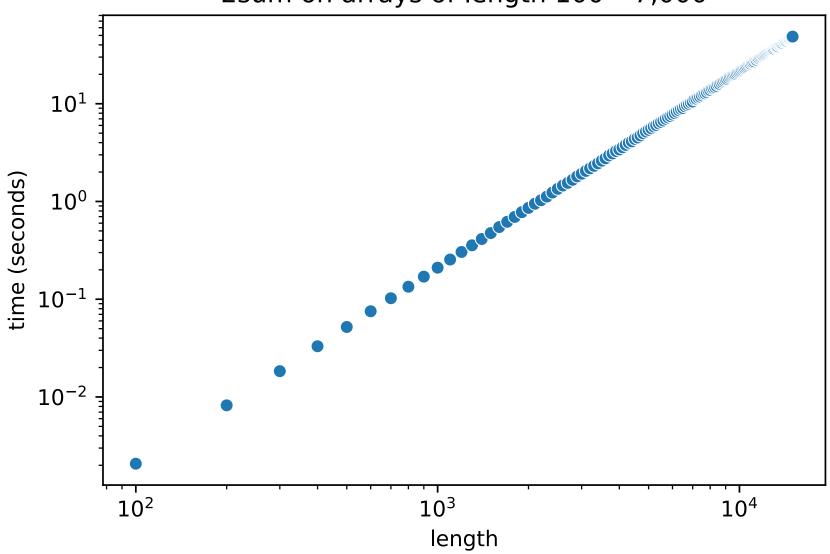
### Powerlaws and log-log

Powerlaws in the range 1 - 1,000



# Log-log plot (log10)

2sum on arrays of length 100 - 7,000

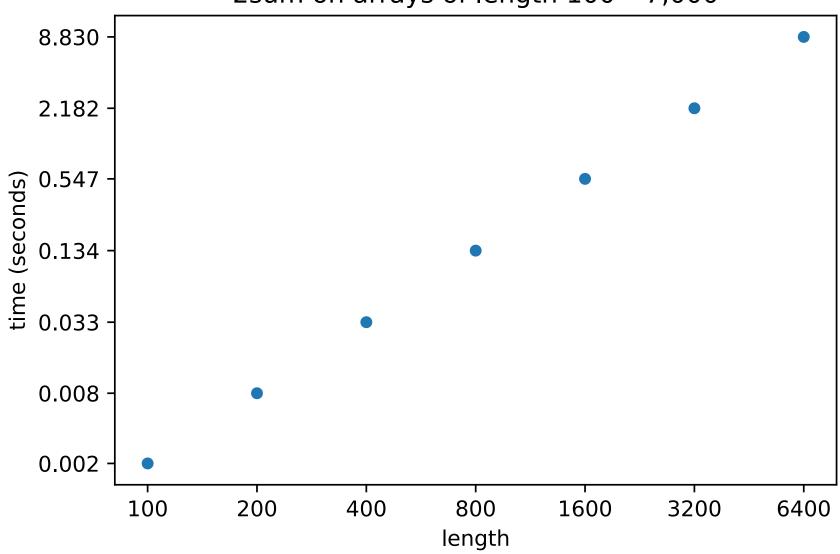


# Looking at the growth

	SZ	time	ratio	log2 ratio
	100	0.002	0.000	-inf
-	200	0.008	3.956	1.984
-	400	0.033	4.022	2.008
-	800	0.134	4.064	2.023
-	1600	0.547	4.079	2.028
-	3200	2.182	3.986	1.995
•	6400	8.830	4.046	2.017

## Log2-log2





#### Growth

» We can use the slope and intercept to determine the growth:

$$\log_2 time(x) = b \cdot \log_2 x + c$$

» We compute the slope (b) as previously, but use the log2:

$$b = \frac{\log_2 y_1 - \log_2 y_0}{\log_2 x_1 - \log_2 x_0}$$

» And we can then determine c:

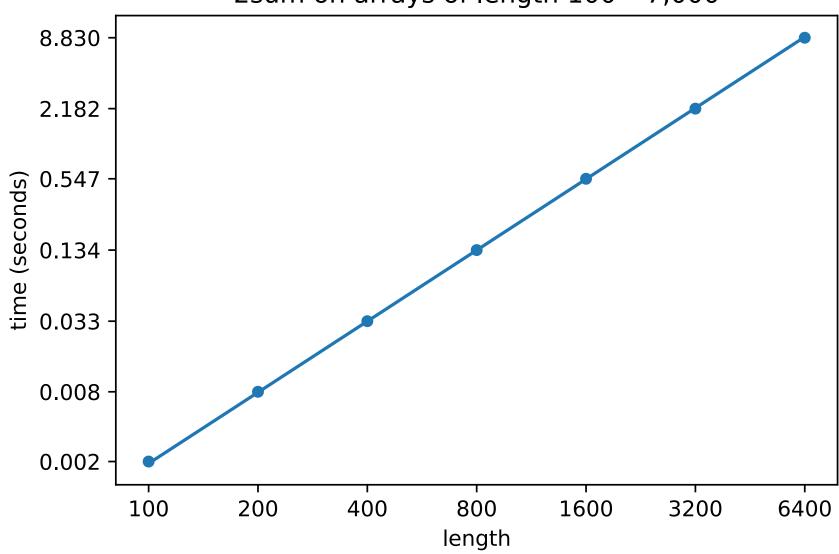
$$c = \log_2 time(x) - b \cdot \log_2 x$$

### **Example from 2sum**

- $c = \log_2 0.134 2.022 \cdot \log_2 800 \approx -22.4$
- **»** SO
  - $\log_2 time(x) = 2.022 \cdot \log_2 x 22.4$
- » or
  - $\sim 2.022 \cdot \log_2 3200 22.4 \approx 1.14$
  - $\log_2 3200 \approx 1.13$

# **Trying it out**



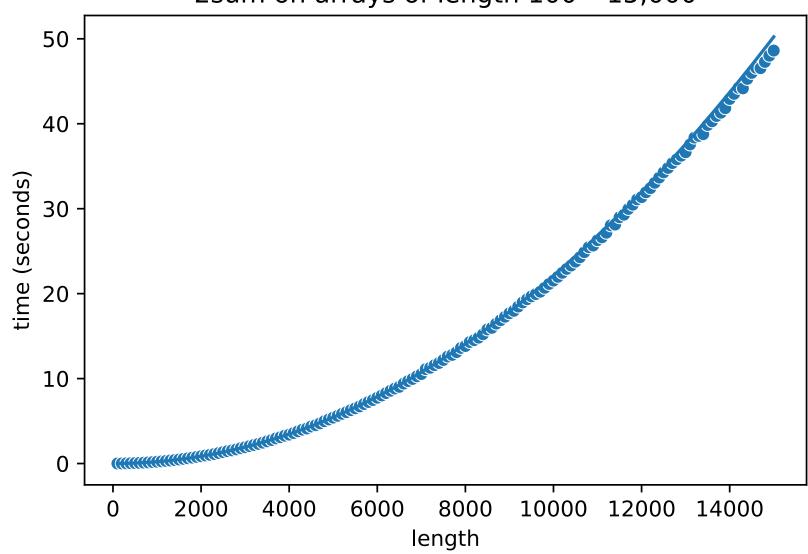


# Moving to a powerlaw

- $\Rightarrow a \cdot x^b$
- $a = 2^{c}$
- **»** So, about  $2^{-22.4} \cdot x^{2.022}$

# **Plotting**





# Checking the fit

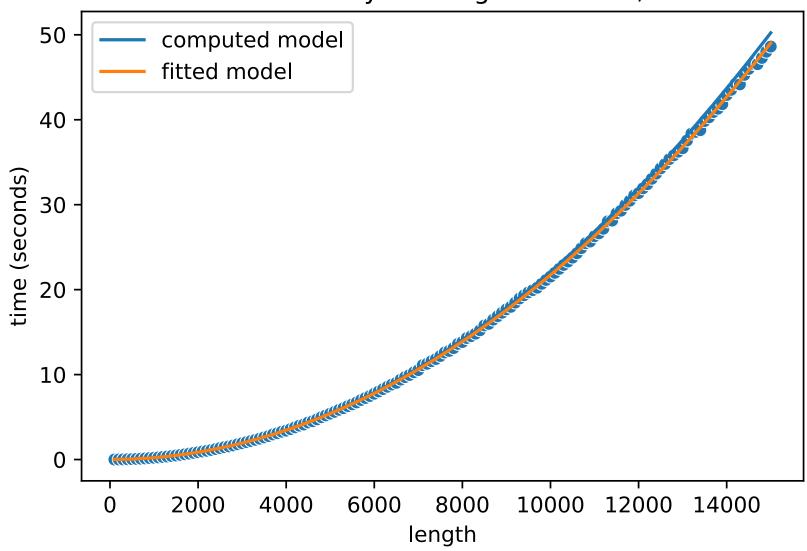
```
from scipy.optimize import curve fit
  def powmod(x, a, b):
      return a * x ** b
  [(a2, b2), ] = curve fit(powmod, df 2s.n,
                          df 2s.exctime)
8
  # Ignore the following lines
  display(Markdown(f'$\\mathrm{{Fitted\ model:}}\\ {a2:.5e}
  display(Markdown(f'$\\mathrm{{Computed\ model:}}\\ {2**-22
```

Fitted model:  $2.04235e - 07 \cdot x^{2.00671}$ 

Computed model :  $1.80687e - 07 \cdot x^{2.022}$ 

# **Plotting**

2sum on arrays of length 100 – 15,000



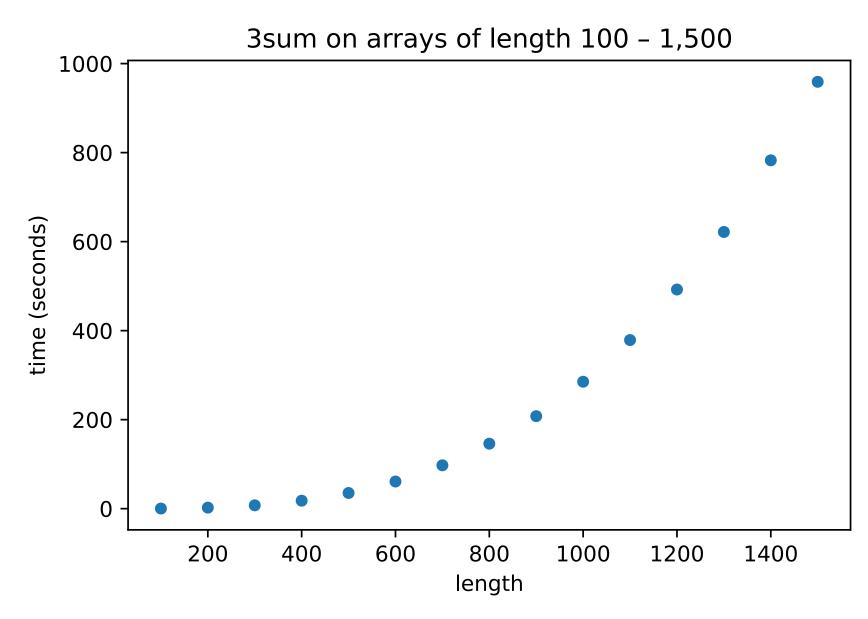
### Reasoning about the growth

```
If len(l) == 100, then line 5 is executed 100^2 times.
```

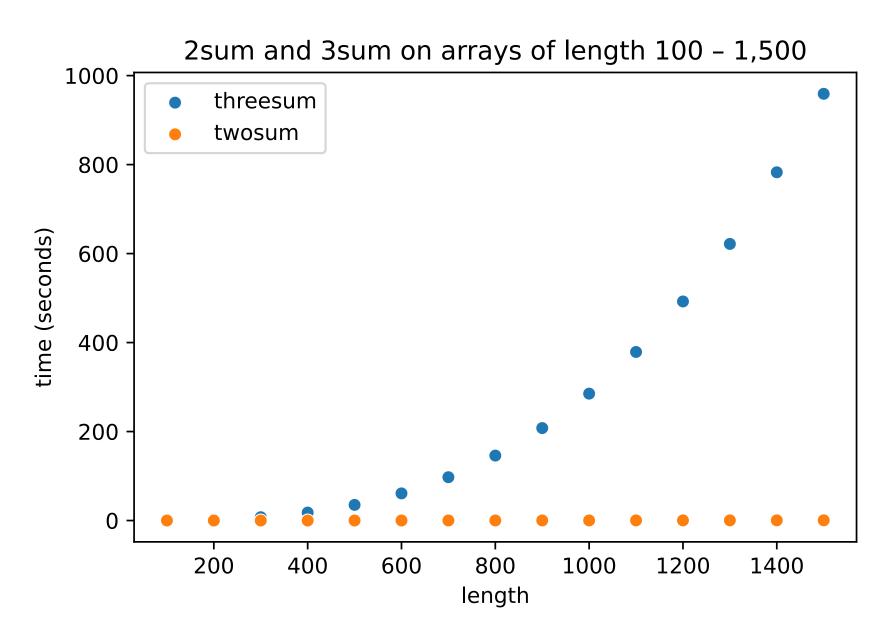
### So, what about 3sum?

```
def threesum(l:list[int]) -> list[tuple[int, int, int]]:
       res = []
       for i, vi in enumerate(1):
           for j, vj in enumerate(1):
                for k, vk in enumerate(1):
                    if i == j or i == k or j == k:
                        continue
                    if vi + vj + vk == 0:
                        res.append((vi, vj, vk))
10
11
       return res
```

#### As bad as we think?

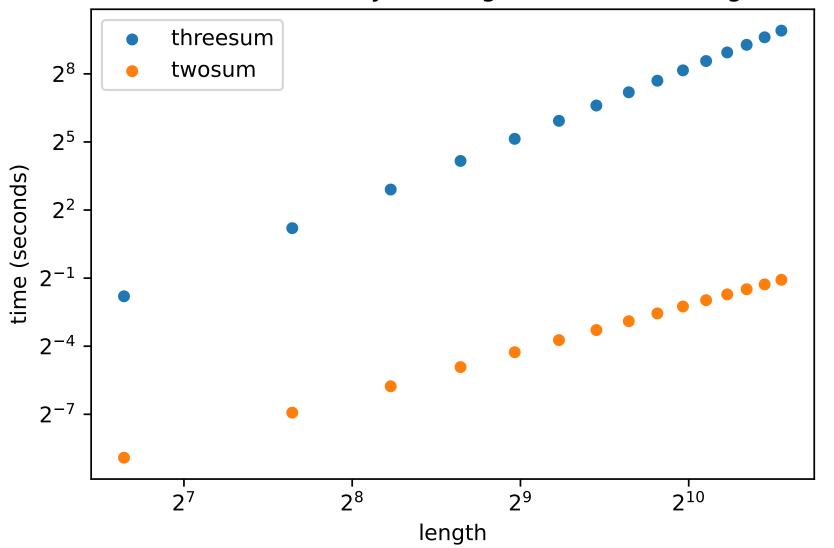


#### As bad as we think?



#### As bad as we think?

2sum and 3sum on arrays of length 100 – 1,500 (log2 scale)

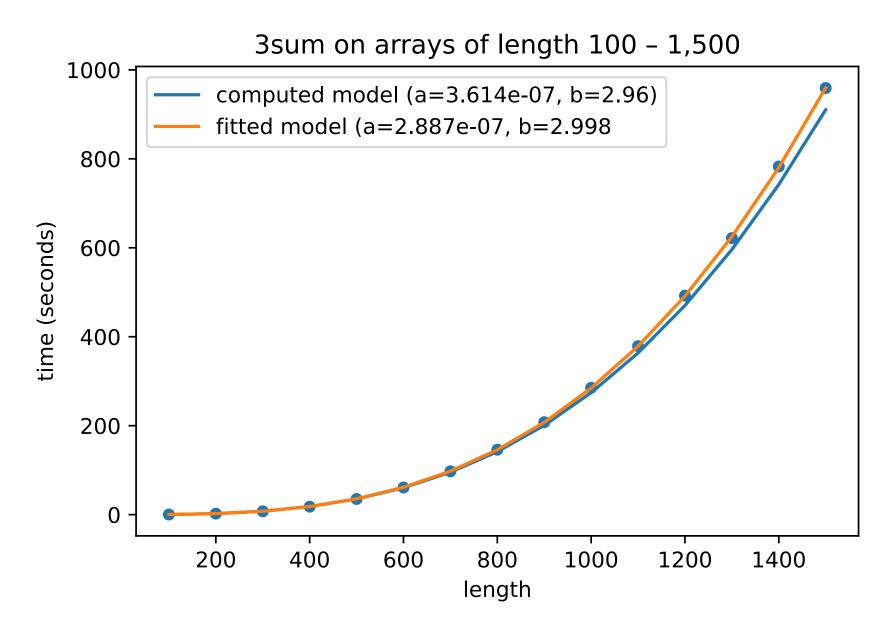


# **Quick analysis**

SZ	time
100	0.287
200	2.301
400	17.903
800	145.976

$$\frac{\log_2 17.9 - \log_2 2.3}{\log_2 400 - \log_2 200} \approx 2.96$$
$$\log_2 17.9 - 2.96 \cdot \log_2 400$$
$$\approx -21.4$$

#### **Cubic?**



» We estimate the time for larger arrays using our models:

SZ	1sum	2sum	3sum
25000	0.004	137	4416875
50000	0.009	549	35284054
100000	0.018	2206	281865439

2,206 seconds is about 40 minutes and 281,865,439 seconds is about 9 years

# Upper or lower bound?

### Can we do better (or worse)?

- » We have looked at a few algorithms to get a feeling for how the runtime increases when the input size increases
- » We have used "obvious" algorithms and random input
  - » Are there easy improvements to the algorithms
  - » Are there special cases in the data
  - » (remember union find)
- » We will return to these ideas when we discuss algorithm design

### Average

```
1 def avg(l:list[int]) -> float:
2    s = 0
3    for v in 1:
4     s += v
5
6    return s/len(l)
```

- » Are there any obvious improvements?
  - » Maybe, but as we will see later, they do not matter very much
  - » Can actually be slower!

#### 1sum

```
1 def onesum(l:list[int]) -> list[int]:
2    res = []
3    for vi in l:
4         if vi == 0:
5             res.append(vi)
6    return res
```

- » Tempting to think that we can improve this by sorting
  - » Maybe in an implementation, but not generally

#### 2sum

» Many possible improvments!

#### **Smarter iteration**

» We iterate over the whole list every time

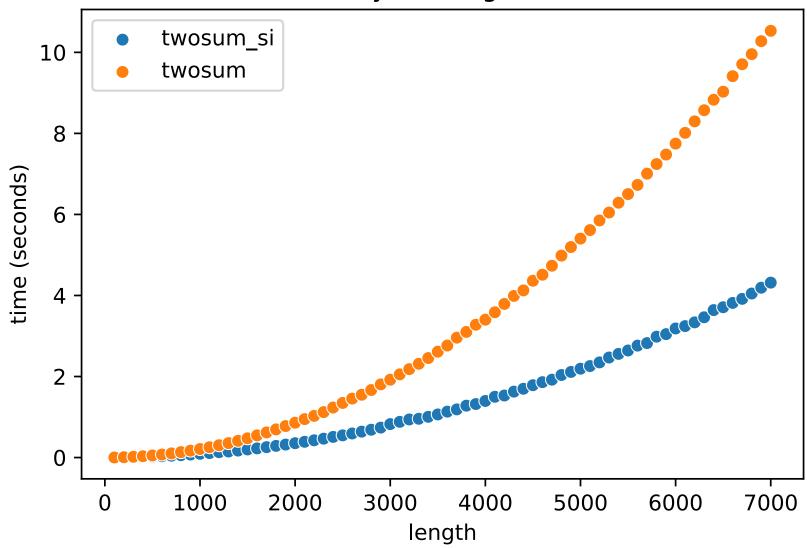
```
for i, vi in enumerate(1):
    for j, vj in enumerate(1):
```

- We have already processed [0:i] so j can start at i+1
- » This also solves the problem of having both (i, j) and (j, i) in the results

#### **Smarter iteration**

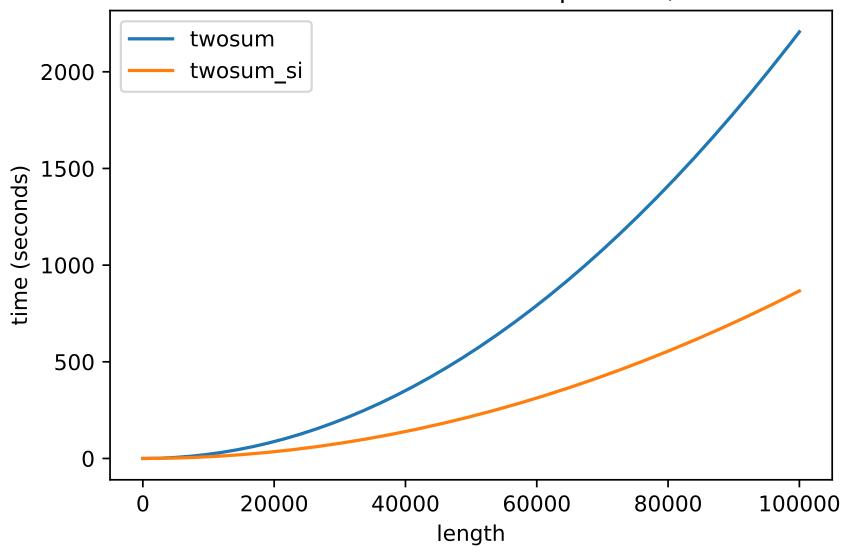
```
1 def twosum_si(l:list[int]) -> list[tuple[int, int]]:
2    res = []
3    for i, vi in enumerate(l):
4        for vj in l[i+1:]:
5             if vi + vj == 0:
6                  res.append((vi, vj))
7
8    return res
```

2sum on arrays of length 100 - 7,000



- $\Rightarrow$  twosum model:  $2.04235e 07 \cdot x^{2.00671}$
- \* twosum\_si model :  $9.11327e 08 \cdot x^{1.99562}$

twosum models on sizes up to 100,000



# 2sum with caching

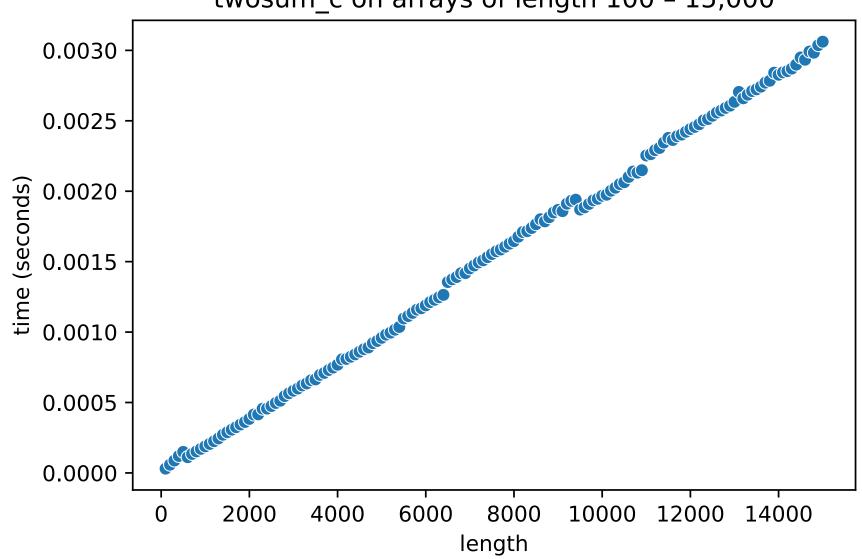
- » Simple idea
  - » Store numbers we have already seen
  - » If we have seen the current negative number, then it is a match
- » Should make it faster, but ...
  - » Increases memory usage (cache)

## 2sum with caching

```
1 def twosum_c(l:list[int]) -> list[tuple[int, int]]:
2    cache = {}
3    res = []
4
5    for i, vi in enumerate(l):
6         if -vi in cache:
7               res.append((i, cache[-vi]))
8               cache[vi] = i
9
10    return res
```

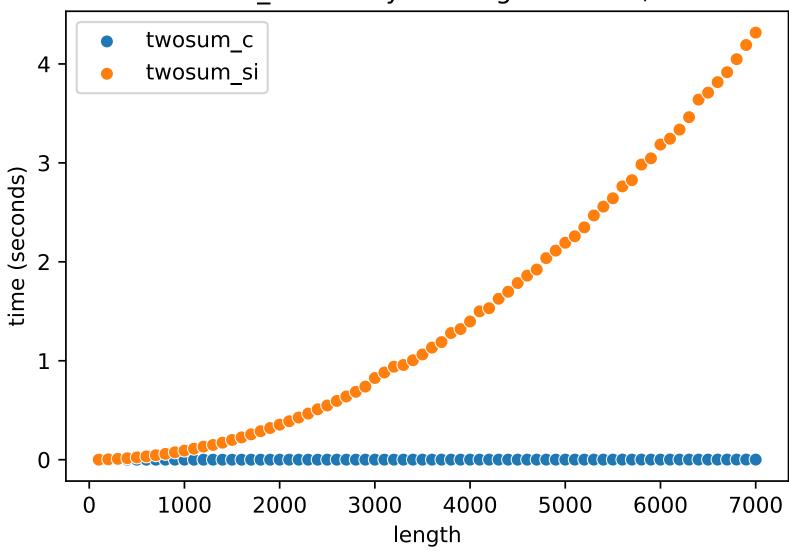
## **Expected improvement?**

twosum\_c on arrays of length 100 - 15,000



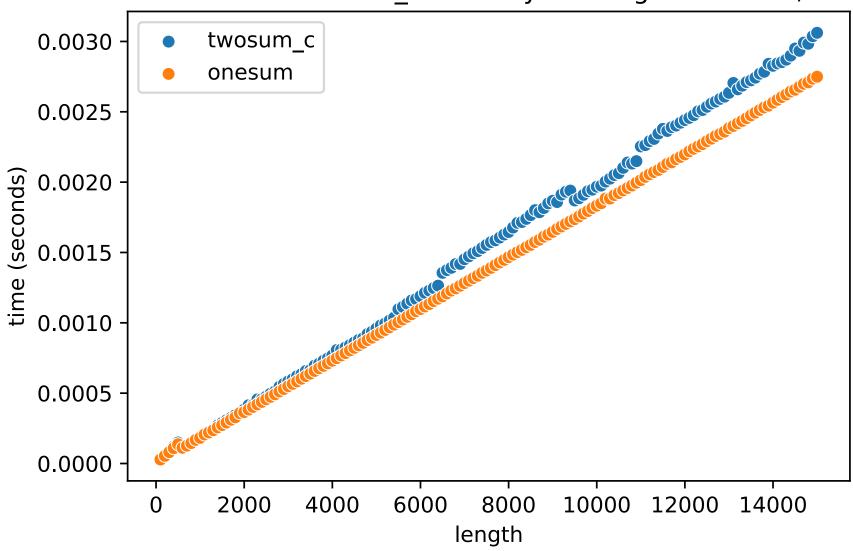
## **Expected improvement?**

twosum\_c on arrays of length 100 - 7,000

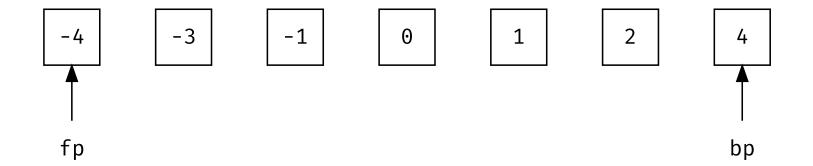


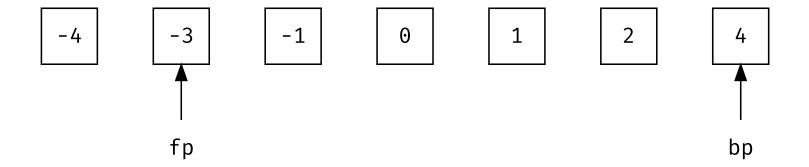
## **Expected improvement?**

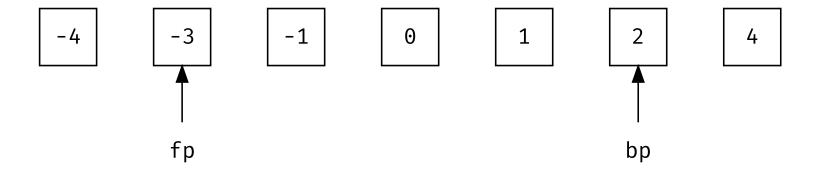
onesum and twosum\_c on arrays of length 100 - 15,000

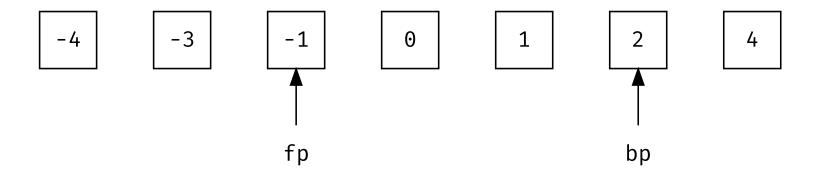


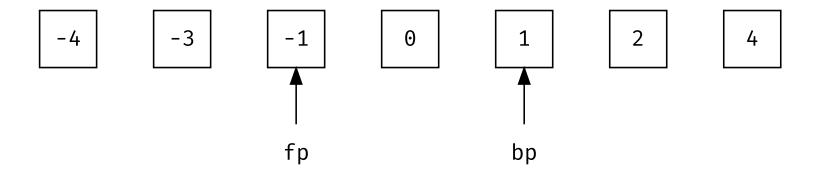
- » We can also sort the input and add numbers from the two ends of the list
  - » If too small, pick a larger number in the front
  - » If too larger, pick a smaller number in the end

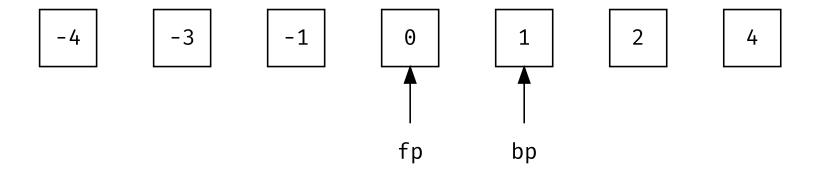


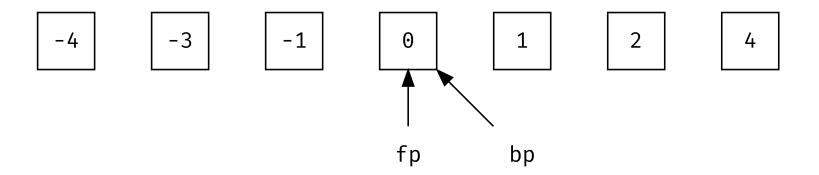




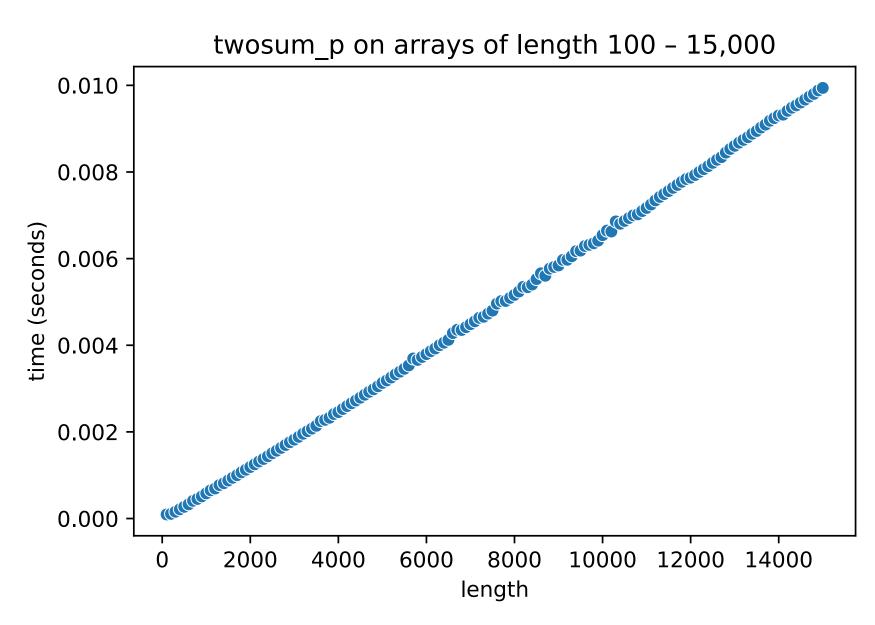




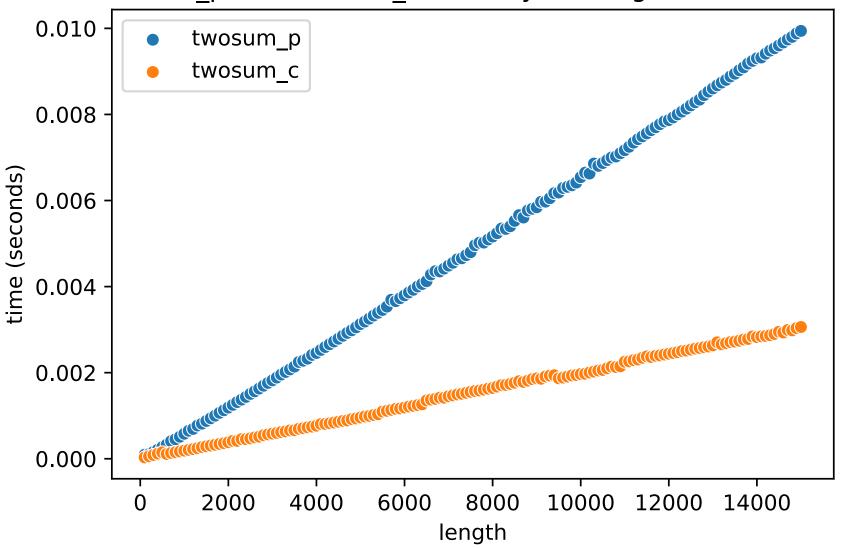




```
def twosum p(l:list[int]) -> list[tuple[int, int]]:
       res = []
       s = sorted(1)
       fp, bp = 0, len(s) -1
       while fp < bp:</pre>
           p = s[fp] + s[bp]
            if p == 0:
8
                res.append((s[fp], s[bp]))
 9
                fp += 1
           elif p < 0:
10
                fp += 1
11
12
            else:
               bp -= 1
13
14
       return res
```

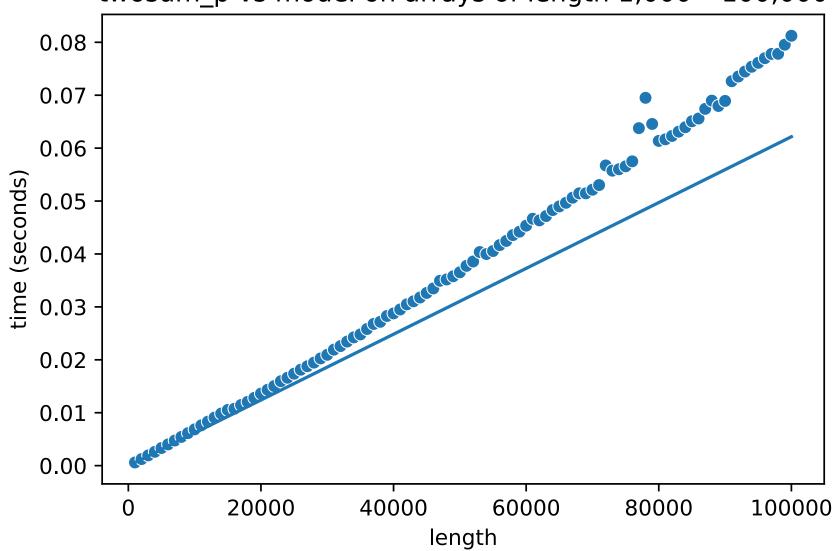


twosum\_p and twosum\_c on arrays of length 100 - 15,000



- » No, we cannot generally sort in linear time
  - » Requires that we compare elements
- » However, sorted() is fast and hides the cost well





#### What about 3sum?

## Mathematical models

#### Remember

```
1 xy0 = (0,0)
2 xy2 = (2,10)
3 tx = xy2[0] - xy0[0]
4 ty = xy2[1] - xy0[1]
5 m = ty / tx
```

#### We can estimate runtimes

```
1 %timeit 500 - 32
5.55 ns ± 0.0689 ns per loop (mean ± std. dev. of 7 runs,
100,000,000 loops each)
```

#### We can estimate runtimes

- » How long should tx = xy2[0] xy0[0] take?
  - » Array/tuple access: 26.6ns
  - » Subtraction (int): 5.48ns
  - » Storing an int: 8.64ns
- » So, 26.6 + 26.6 + 5.48 + 8.64 = 67.32
- » Takes about 62.8 ns (according to %timeit)

#### We can estimate runtimes

- » Can be done, but annoying if we have a large number of possible operations
- » More exact the closer we get to "the machine"

## **Simplifications**

Alan Turing, "Rounding-off errors in matrix processes":

It is convenient to have a *measure of the amount of work involved in a computing process*, even though it be a very *crude* one. We may count up the number of times that various elementary operations are applied in the whole process and then given them various weights. We might, for instance, count the number of additions, subtractions, multiplications, divisions, recording of numbers, and extractions of figures from tables. In the case of computing with matrices most of the work consists of multiplications and writing down numbers, and *we shall therefore only attempt to count the number of multiplications and recordings*.

#### **Another 2sum**

#### **Another 2sum**

```
1  # freq
2 count = 0  # 1
3 i = 0  # 1
4 while i < N:  # N + 1
5 j = i+1  # N
6 while j < N:  # 0.5 * (N+2) * (N+1)
7  if a[i] + a[j] == 0: # N * (N-1) 2 * (0+1+2+...+(N-1))
8  count += 1  # 0 to 0.5 * N * (N-1)
9  j += 1  # 0.5 * N * (N-1)
10 i += 1  # N</pre>
```

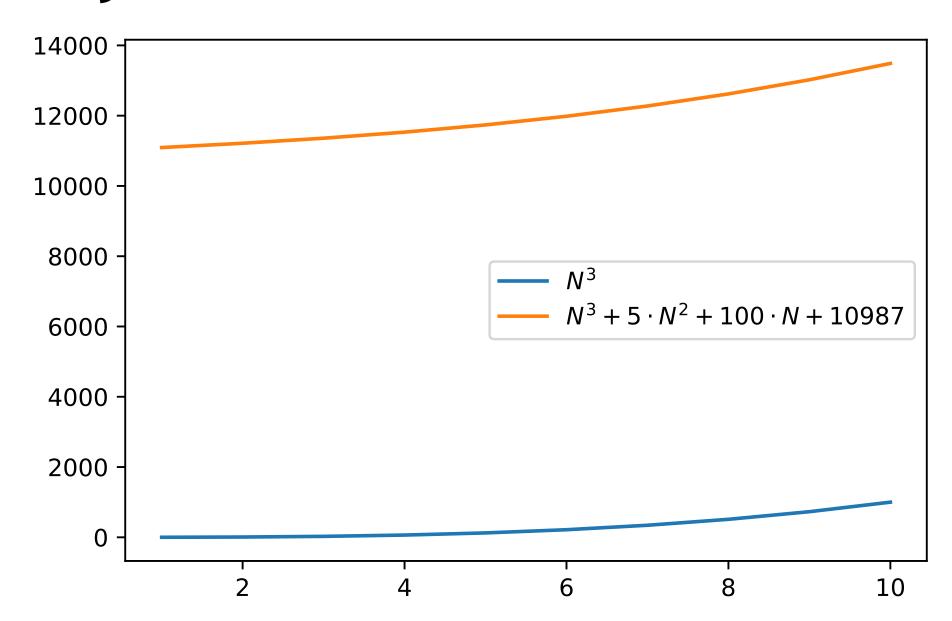
## Simplification: decide a cost model

- » Use some basic operation as a proxy for running time
  - » Too much effort (and guessing) to determine exactly how many times each operation is performed
- » We can for example use array accesses (N \* (N 1))

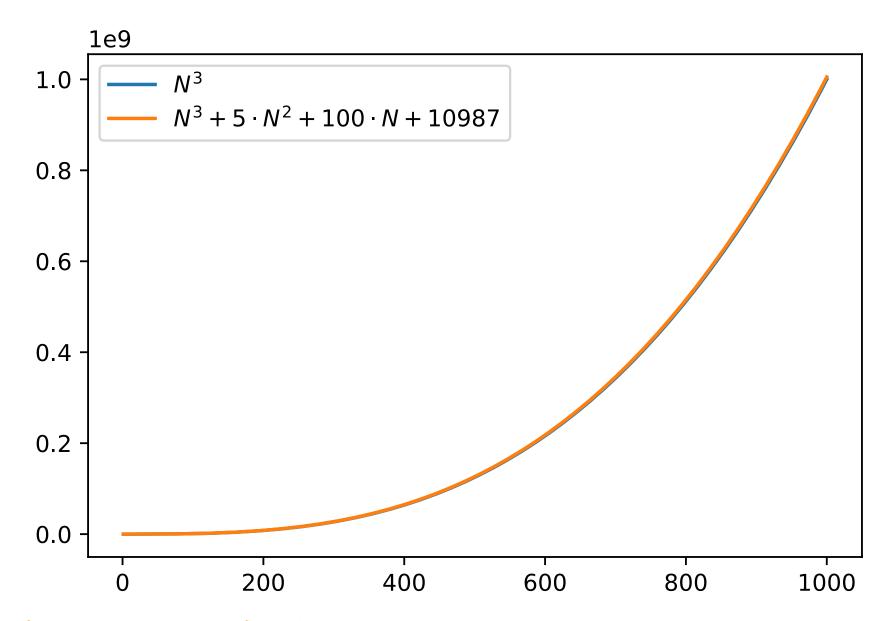
## Simplification: tilde notation

- » We estimate runtime or memory use as a function of input size N
  - » Remember the array length for twosum and threesum, for example
- » If we add things together, we will get a number of terms
  - » As N grows, the lower order terms are negligible
  - » And if N is small, we do not care
- » So,  $N^3 + 5 \cdot N^2 + 100 \cdot N + 10987 \sim N^3$

## Why can we not care?



### Why can we not care?



## **Technically**

$$f(N) \sim g(N)$$
 means  $\lim_{N \to \infty} \frac{f(N)}{g(N)} = 1$ 

## Why can we not care?

	N	$N^3$	original/N <sup>3</sup>
	100	1000000	1.07099
	1000	100000000	1.00511
	10000	100000000000	1.0005
10	0000	100000000000000	1.00005
100	0000	100000000000000000	1.00001

## So, putting it all together

» We use array access for our cost model to analyze twosum:

» 
$$N \cdot (N-1)$$
 accesses

» We use tilde notation to approximate

$$N \cdot (N-1) \sim N^2$$

» So, twosum is quadratic with respect to input size

## **Trying 3sum**

Remember (a bit changed, to simplify):

» How many times is line 5 executed?

$$\sim \frac{N \cdot (N-1) \cdot (N-2)}{3!} \sim \frac{1}{6} N^3$$

» Three array accesses in line 5, so

$$> 1/2 \cdot N^3$$

#### **Mathematical models**

- » There are accurate mathematical models available
- » In practice:
  - » The formulas can be complicated
  - » Advanced mathematics might be required
  - » So, we leave it for a more advanced course (or experts)
- » We use approximate models:  $T(N) \sim c \cdot N^3$

# Classifying the order of growth

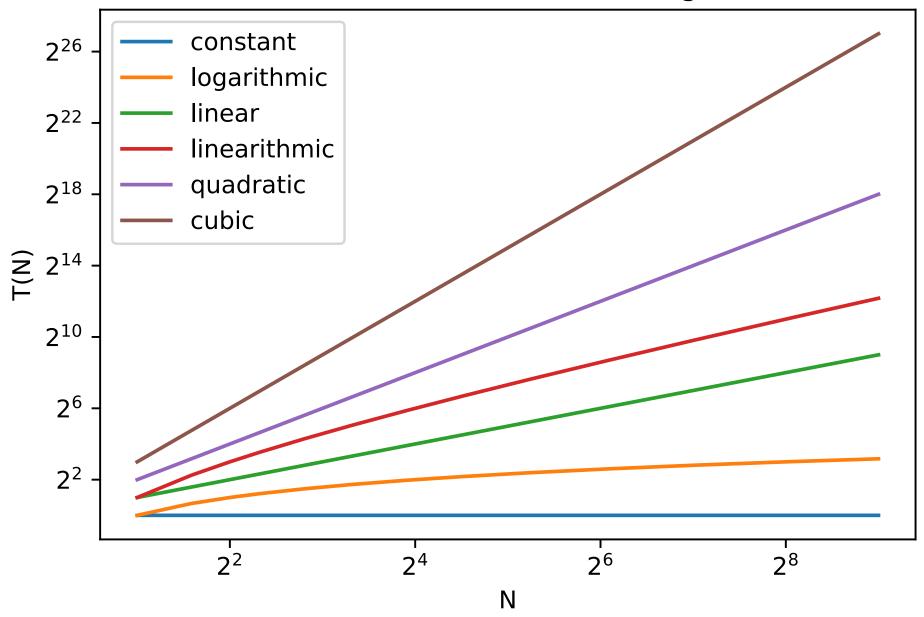
#### So far

- » We have seen the following orders of growth:
  - » Constant, 1
  - $\gg$  Linear, N
  - » Quadratic,  $N^2$
  - » Cubic,  $N^3$
- » There are a few more, but a small set of functions is sufficient to describe typical algorithms.

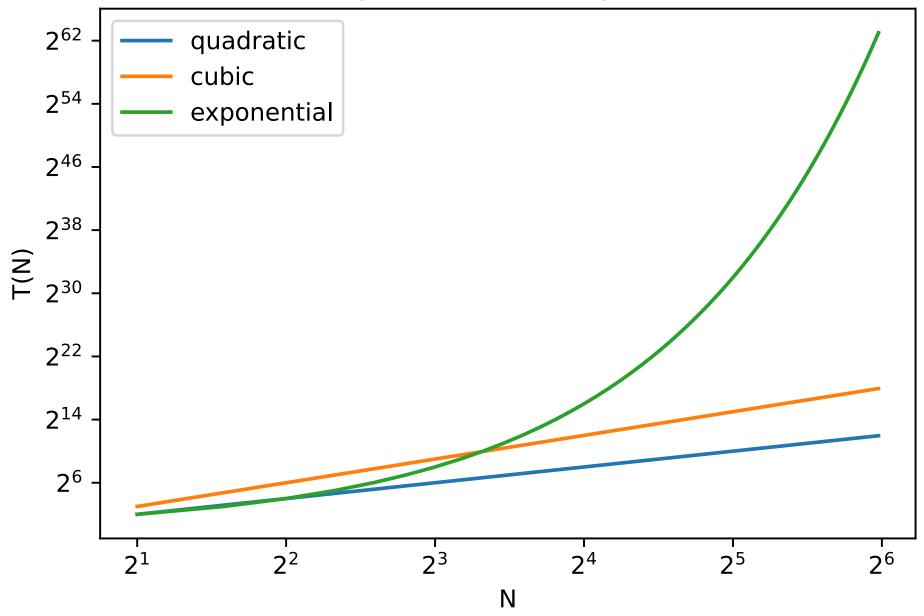
#### Functions to describe order of growth

order	name	description	T(2N)/T(N)
1	constant	statement	1
$\log N$	logarithmic	divide in half	~ 1
N	linear	loop	2
$N \log N$	linearithmic	divide and conquer	~ 2
$N^2$	quadratic	double loop	4
$N^3$	cubic	triple loop	8
$2^N$	exponential	exhaustive search	T(N)

#### Functions to describe order of growth



#### Exponential is really bad!



#### Problem solvable in minutes

rate	1970s	1980s	1990s	2000s
1	any	any	any	any
$\log N$	any	any	any	any
$\overline{N}$	1e6	1e7	1e8	1e9
$N \log N$	1e5	1e6	1e6	1e8
$N^2$	100s	1,000s	1,000s	10,000s
$N^3$	100	100s	1,000	1,000s
$2^N$	20	20s	20s	30

# Theory

#### 3sum

- » We concluded that a variant of threesum was  $\sim N^3$  using array accesses as the cost model
- » What does this mean?
  - » Best, worse or average case?
  - » What can we expect?

### Types of analyses

- » Best case, lower bound on cost
  - » "Easiest" input
  - » Provides a goal for all inputs
- » Worst case, upper bound on cost
  - » "Most difficult" input
  - » Provides a guarantee for all inputs
- » Average case, expected cost for random input
  - » Provides a wau to predict performance

#### 3sum

- » Is there better or worse input for the  $\sim N^3$  variant?
  - » Not really, we process all input
- » So, which type?
  - » worst = best = average =  $\sim N^3$

#### Not always the case

```
1 def binsearch(l:list[int], x:int) -> int None:
     low, high = 0, len(1)-1
 3
   while low <= high:</pre>
       mid = (low + high) // 2
       if l[mid] == x:
       return mid
   elif l[mid] < x:</pre>
         low = mid + 1
10
   else:
         high = mid - 1
11
12
13
     return None
```

### **Binary search**

- » We will save the analysis for later, now we just accept the following
- » Best case, constant
- » Worse case,  $\sim \log N$
- » Average case,  $\sim \log N$

#### **Commonly used notations**

notation	provides	example
Big Theta	asymptotic order of growth	$\Theta(N^2)$
Big Oh	$\Theta(N^2)$ and smaller	$O(N^2)$
Big Omega	$\Theta(N^2)$ and larger	$\Omega(N^2)$

#### **Definitions from the book**

- » T(N) = O(f(N)) if there are positive constants c and  $n_0$  such that  $T(N) \le cf(N)$  when  $N \ge n_0$ 
  - » Consider 1000N and  $N^2$ . There are values for N where  $1000 \cdot N$  is larger, but  $N^2$  grows faster
  - » There is some point,  $n_0$ , after which  $N^2$  is always larger than 1000N
- » If T(N) = 1000N and  $f(N) = N^2$ ,  $T(N) \le cf(N)$  when
  - » c = 1 and  $n_0 = 1000$ , c = 100 and  $n_0 = 10$

#### **Definitions from the book**

- »  $T(N) = \Omega(g(N))$  if there are positive constants c and  $n_0$  such that  $T(N) \ge cg(N)$  when  $N \ge n_0$
- »  $T(N) = \Theta(h(N))$  if and only if
  - T(N) = O(h(N)) and
  - $T(N) = \Omega(h(N))$

#### **Note**

»  $\Omega$  and O are lower and upper bounds

$$N^2 = O(N^3)$$

- $N^3 = \Omega(N^2)$
- » So, T(N) = O(f(N)) guarantees that T(N) grows at a rate no faster than f(N)
- » This implies that  $f(N) = \Omega(T(N))$ , i.e., that T(N) is a lower bound on f(N)

## **Tighter bounds**

- » If f(N) = 2N, then technically
  - $f(N) = O(N^2),$
  - »  $f(N) = O(N^3)$  and so on.
- » f(N) = O(N) is the best option

#### **Conventions**

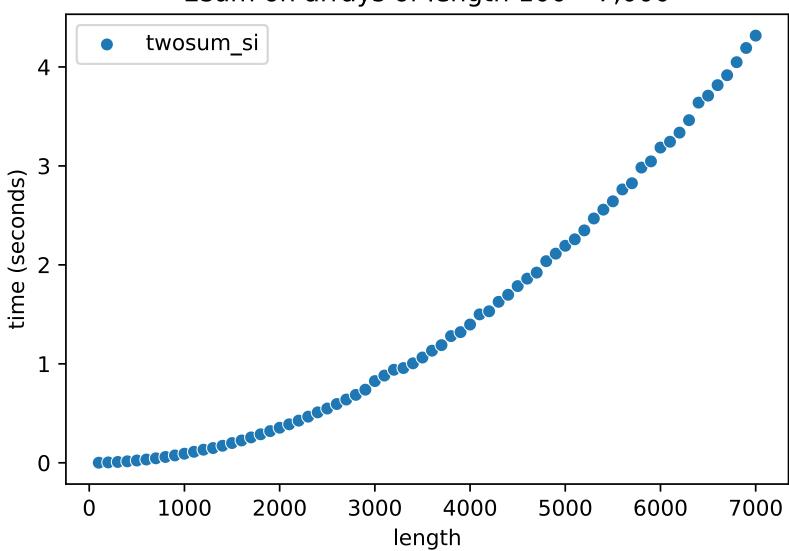
» Do not include lower order terms and constants

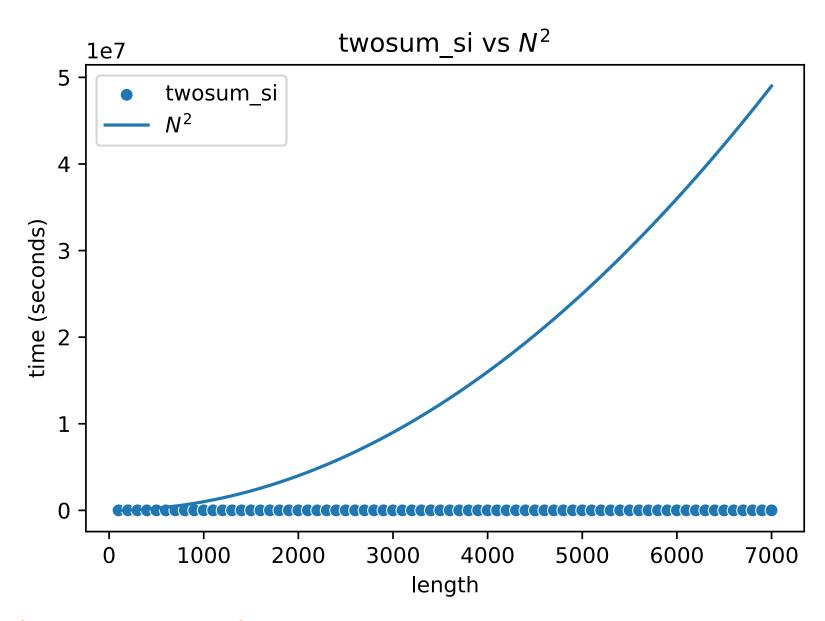
- $\Rightarrow$  if f(N) = 2N, write f(N) = O(N)
- » if  $f(N) = N^3 + N + 7$ , write  $f(N) = O(N^3)$

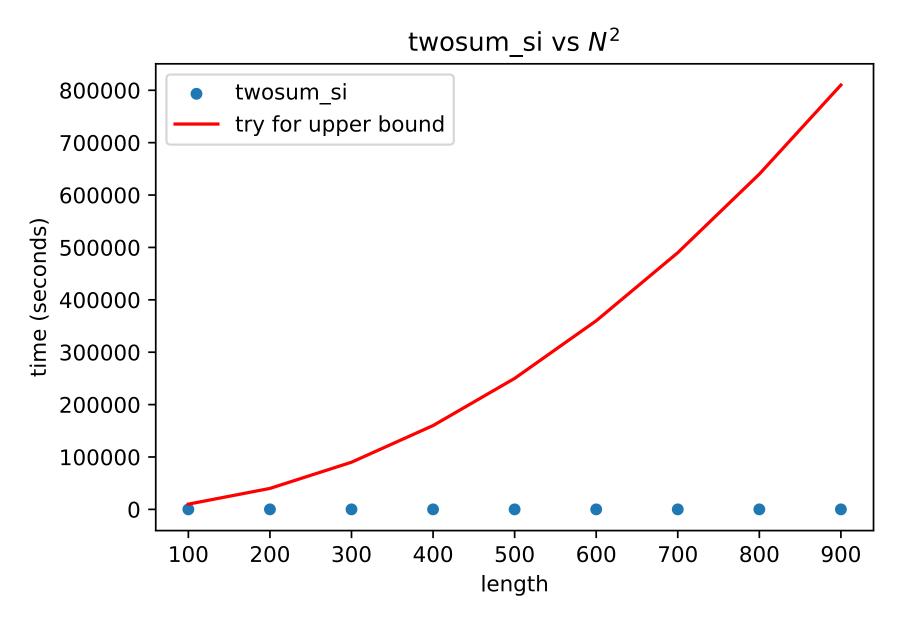
#### Remember

» We claimed that this had an quadratic runtime based on empirical estimation

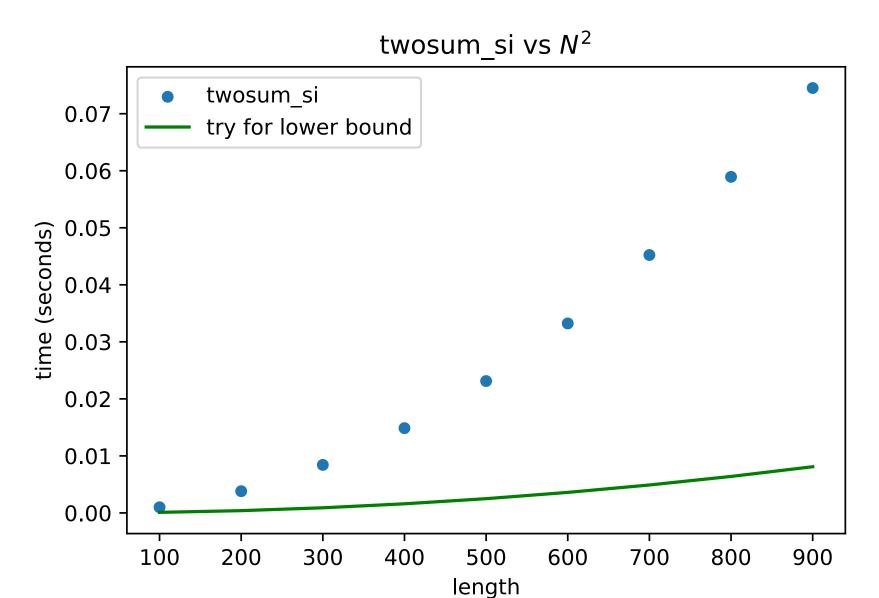
2sum on arrays of length 100 – 7,000



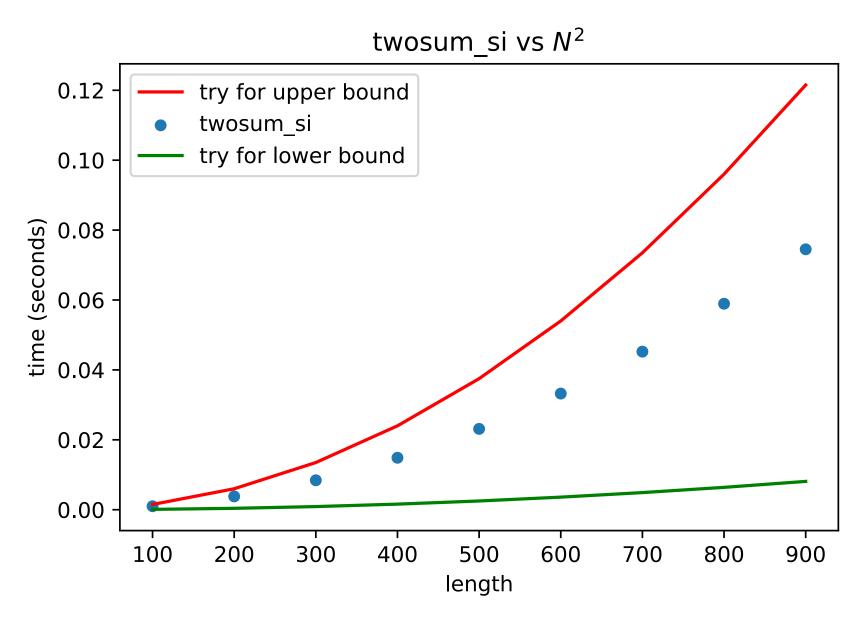




- » Based on visual analysis, we can tell that the growth of  $N^2$  is always larger than twosum\_si when  $n_0 = 100$  and c = 1.
  - » We do not have any measurements for smaller arrays, so perhaps we could find a smaller  $n_0$ , but does not matter.
- » So, twosum\_si is  $O(N^2)$



- » Again, based on the visual analysis, we can tell that the growth of  $cN^2$  is always smaller than twosum\_si when  $n_0=100$  and  $c=10^{-8}$ 
  - » We can also check with the values
    - » T(100) for twosum\_si is 0.000994
    - » T(100) for  $10^{-8} \cdot N^2$  is 0.0001
- » So, twosum\_si is  $\Omega(N^2)$



- » Since twosum\_si is  $O(N^2)$  and  $\Omega(N^2)$ 
  - » it is also  $\Theta(N^2)$
- » Does that mean that 2sum is  $\Theta(N^2)$ 
  - » No, just the algorithm for 2sum that we analyzed
  - » We know that there is a version that is O(N) (based on empirical analysis)
  - » We can still say that 2sum is  $O(N^2)$

## A grain of salt

- » We can sometimes empirically show that the analysis is an overestimation
  - » We might find a tighter bound
  - » Or, average case is much better than the worse case
- » In some cases, average case analysis is extremely complex
- » The worse case bound is the best analytical result known

# Reading instructions

## **Reading instructions**

» Ch. 2