



# Notes on Labs

## ❑ Lab submission:

- Lab report: submit by deadline individually, clear specify your group member.
- Lab check: submit your zip file of source code after checking, with a updated lab report specifying group member.

## ❑ Second check with a highest score of 90.

## ❑ Learn to write emulation code, check your lab result with the emulation result.

## Chapt 2-1: Memory hierarchy

- Memory hierarchy
- The basic of cache
- Organization of main memory





# Chapter B & 2: Memory Hierarchy

- ❑ Memory Hierarchy ABC
- ❑ Memory Technology and Optimizations
- ❑ Optimizations of Cache performance
- ❑ Virtual Memory and Virtual Machines
- ❑ The Design of Memory Hierarchies
- ❑ Memory Hierarchies in the ARM and intel core i7 6700



## 2.1 Introduction

### ❑ Why do designers need to know about memory technology?

- Processor performance is usually limited by memory bandwidth
- As IC densities increase, lots of memory will fit on processor chip

### ❑ Application requirements:

- Unlimited amounts of memory
- Faster memory, higher bandwidth
- Lower price per byte
- If for embedded systems: lower power consumption

### ❑ These requirements are **contradictory**.

- The bigger, more difficult to make it fast
- The faster, more expensive
- The faster will consume much more power.





# Memory Technologies

## ❑ Random Access Memories

- **DRAM: Dynamic Random Access Memory**
  - High density, low power, cheap, slow
  - Dynamic: needs to be "refreshed" regularly
- **SRAM: Static Random Access Memory**
  - Low density, high power, expensive, fast
  - Static: content will last "forever"(until lose power)

## ❑ What gets used where?

- Main memory is **DRAM**: you need it big, so you need it cheap
- CPU cache memory is **SRAM**: you need it fast, so it's more expensive, so it's smaller than you would usually want due to resource limitations

## ❑ Relative performance

- Size: DRAM/SRAM: 4-8x bigger for DRAM
- Cost/Cycle time: SRAM/DRAM: 8-16x faster, more \$\$\$ for SRAM





# Memory Hierarchy: a natural Solution

- ❑ How can we provide a memory with small access time, big capacity and lower price ?
- ❑ The first principle: **make the common case fast !**
  - What is the common case ?
- ❑ Recall: the **principle of locality of reference !**
  - Program access a relatively small portion of the address space at any instant of time.
  - Ok, we should make these accesses more quickly.
  - We can hold the recently accessed items in a fast memory.
- ❑ Yeah: **Smaller memories will be faster !**
  - We can use more expensive and smaller memories to hold the most recently used items.
  - The cost and power impact is lessened for small size.



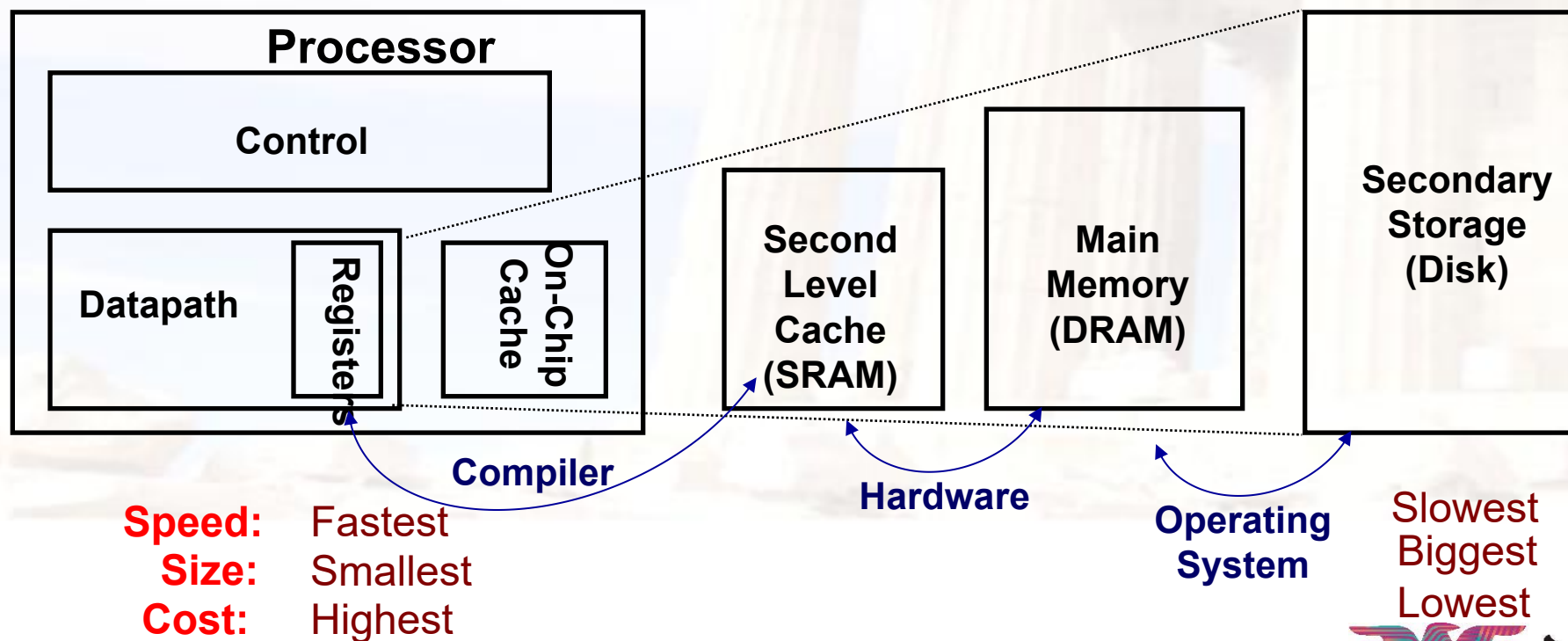
# What is Memory Hierarchy ?

- ❑ Memory hierarchy is organized into several levels:
  - Each smaller, faster, and more expensive per byte than the next lower level.
  - **Temporal Locality** (Locality in Time):
    - ⇒ Keep most recently accessed data items closer to the processor
  - **Spatial Locality** (Locality in Space):
    - ⇒ Move blocks consists of contiguous words to the faster levels



# Memory Hierarchy

- ❑ **Goal:** To provide a memory system with cost most almost as low as the cheapest level of memory and speed almost as fast as the fastest level.
- ❑ **Solution:**
  - Entire addressable memory space available in largest, slowest memory
  - Incrementally smaller and faster memories, each containing a subset of the memory below it, proceed in steps up toward the processor







# Different concerns for desktops, servers, and embedded computers

## ❑ Desktop computers:

- primarily running one application for single user
- concerned more with **average latency** from the memory hierarchy.

## ❑ Servers computers:

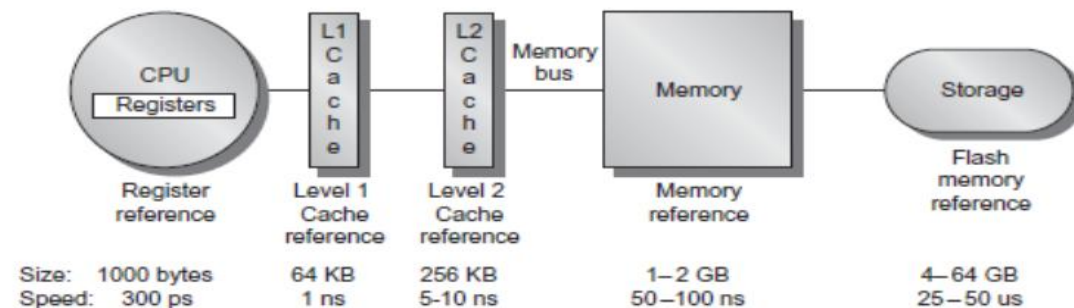
- May have hundreds of users running potentially dozens of applications simultaneously.
- concerned about memory **bandwidth**.

## ❑ Embedded computers:

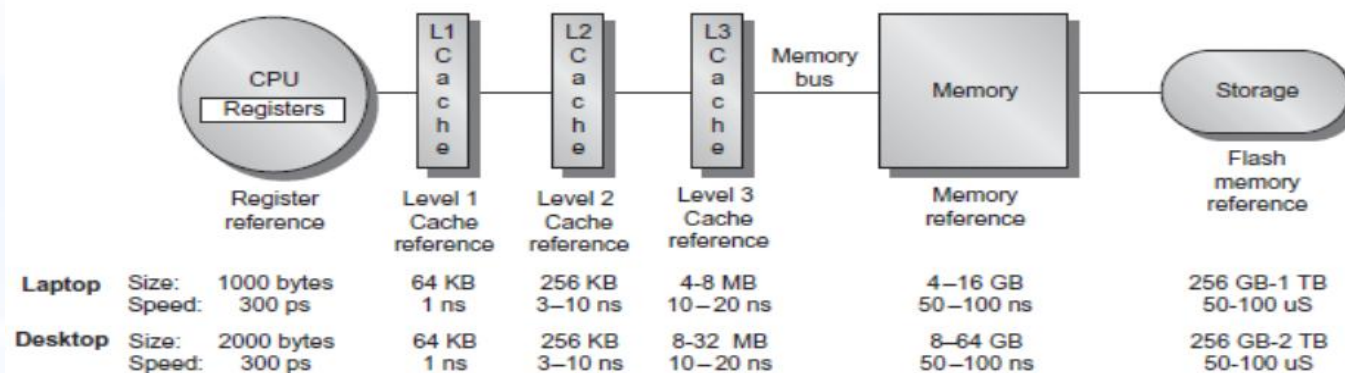
- Used for real-time applications, so **worst-case performance** is a focus
- Power and battery life, may **NOT** choose **hardware optimizations**
- Running only one application using very simple OS, so **protection** role of memory **is often diminished**.



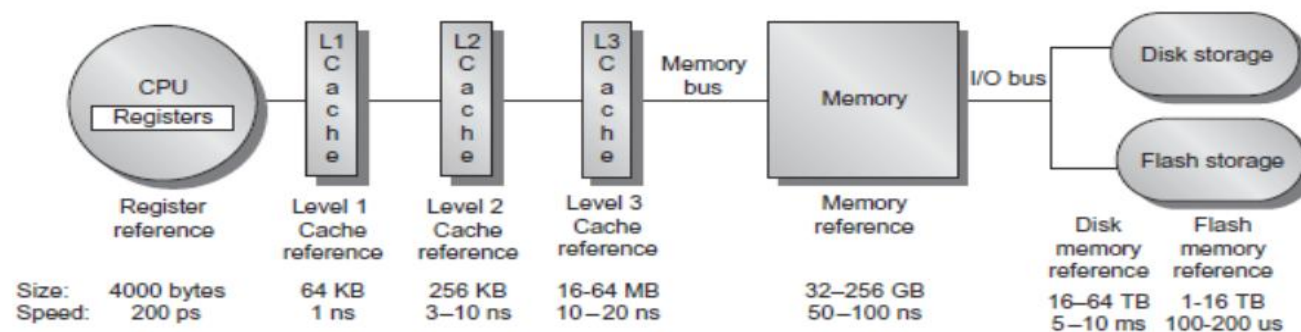
# Memory Hierarchy



(A) Memory hierarchy for a personal mobile device



(B) Memory hierarchy for a laptop or a desktop

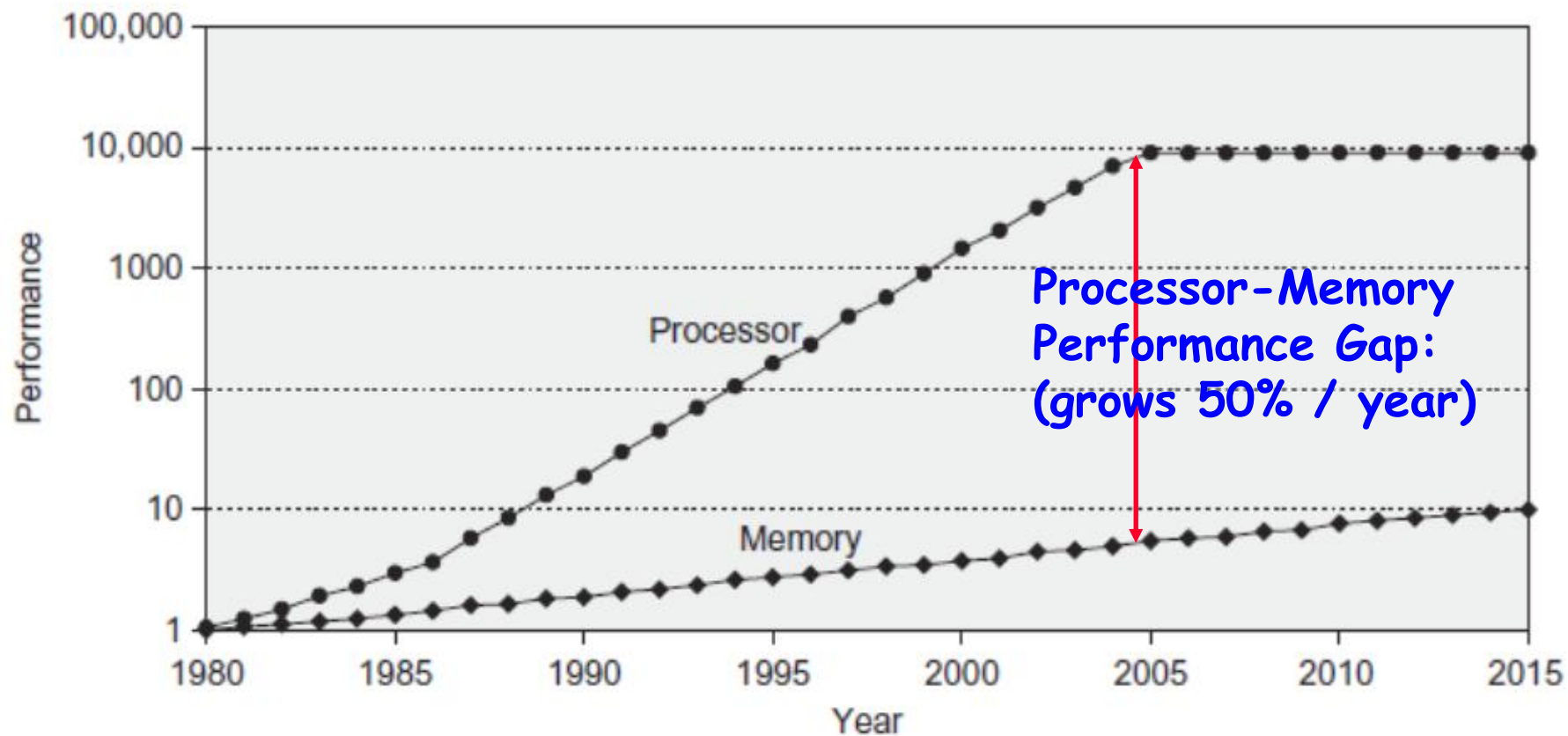


(C) Memory hierarchy for server





# Memory Performance Gap



- ❑ 1980: no cache in  $\mu$ proc;
- ❑ 1989 first Intel  $\mu$ proc with a cache on chip
- ❑ 2001: 2-level cache on chi





# Memory Hierarchy Design

❑ Memory hierarchy design becomes more crucial with recent multi-core processors:

➤ Aggregate peak bandwidth grows with # cores:

○ Intel Core i7 can generate two references per core per clock

○ Four cores and 3.2 GHz clock

- 25.6 billion 64-bit data references/second +
- 12.8 billion 128-bit instruction references/second
- = 409.6 GB/s!

○ DRAM bandwidth is only 8% of this (34.1 GB/s)

○ Requires:

- Multi-port, pipelined caches
- Two levels of cache per core
- Shared third-level cache on chip



# Performance and Power

- ❑ High-end microprocessors have  $>10$  MB on-chip cache
  - Consumes large amount of area and power budget





# Review of the ABCs of Caches

## 36 terms of Cache

Cache

data cache

block

Block address

**full associative**

**n-way set associative**

misses per instruction

**Valid bit**

cache hit

cache miss

Write through

random replacement

**Average memory access time**

Virtual memory

Instruction cache

page

index field

set associative

set

Memory stall cycles

**dirty bit**

hit time

miss rate

write back

least-recently used

write buffer

unified cache

tag field

block offset

**direct mapped**

address trace

**miss penalty**

**locality**

page fault

**write allocate**

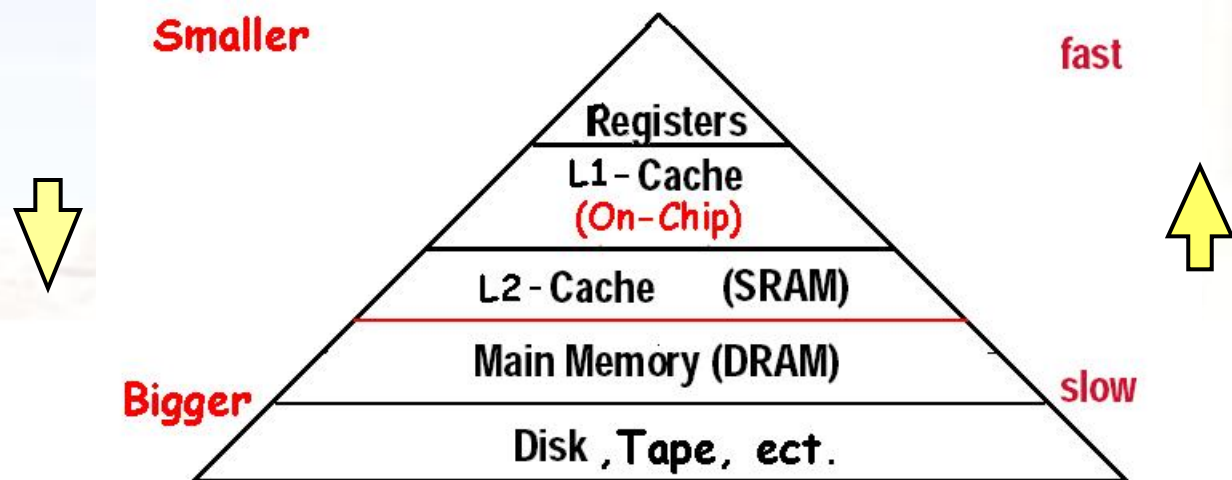
**no-write allocate**

write stall



# What is a cache?

- ❑ Small, fast storage used to improve average access time to slow memory.
- ❑ In computer architecture, almost everything is a cache!
  - Registers “a cache” on variables - software managed
  - First-level cache a cache on second-level cache
  - Second-level cache a cache on memory
  - Memory a cache on disk (virtual memory)
  - TLB a cache on page table
  - Branch-prediction a cache on prediction information?





# Four Questions for Memory Hierarchy Designers

- ❑ Q1: Where can a block be placed in the upper level?  
(*Block placement*)
  - Fully Associative, Set Associative, Direct Mapped
- ❑ Q2: How is a block found if it is in the upper level?  
(*Block identification*)
  - Tag/Block
- ❑ Q3: Which block should be replaced on a miss?  
(*Block replacement*)
  - Random, LRU, FIFO
- ❑ Q4: What happens on a write?  
(*Write strategy*)
  - Write Back or Write Through (with Write Buffer)





# Q1: Block Placement

## ❑ Direct mapped

- Block can only go in one place in the cache

Usually  $(\text{address}) \bmod (\text{Number of blocks in cache})$

## ❑ Fully associative

- Block can go anywhere in cache.

## ❑ Set associative

- Block can go in one of a set of places in the cache.
- A set is a group of blocks in the cache.

$(\text{Block address}) \bmod (\text{Number of sets in the cache})$

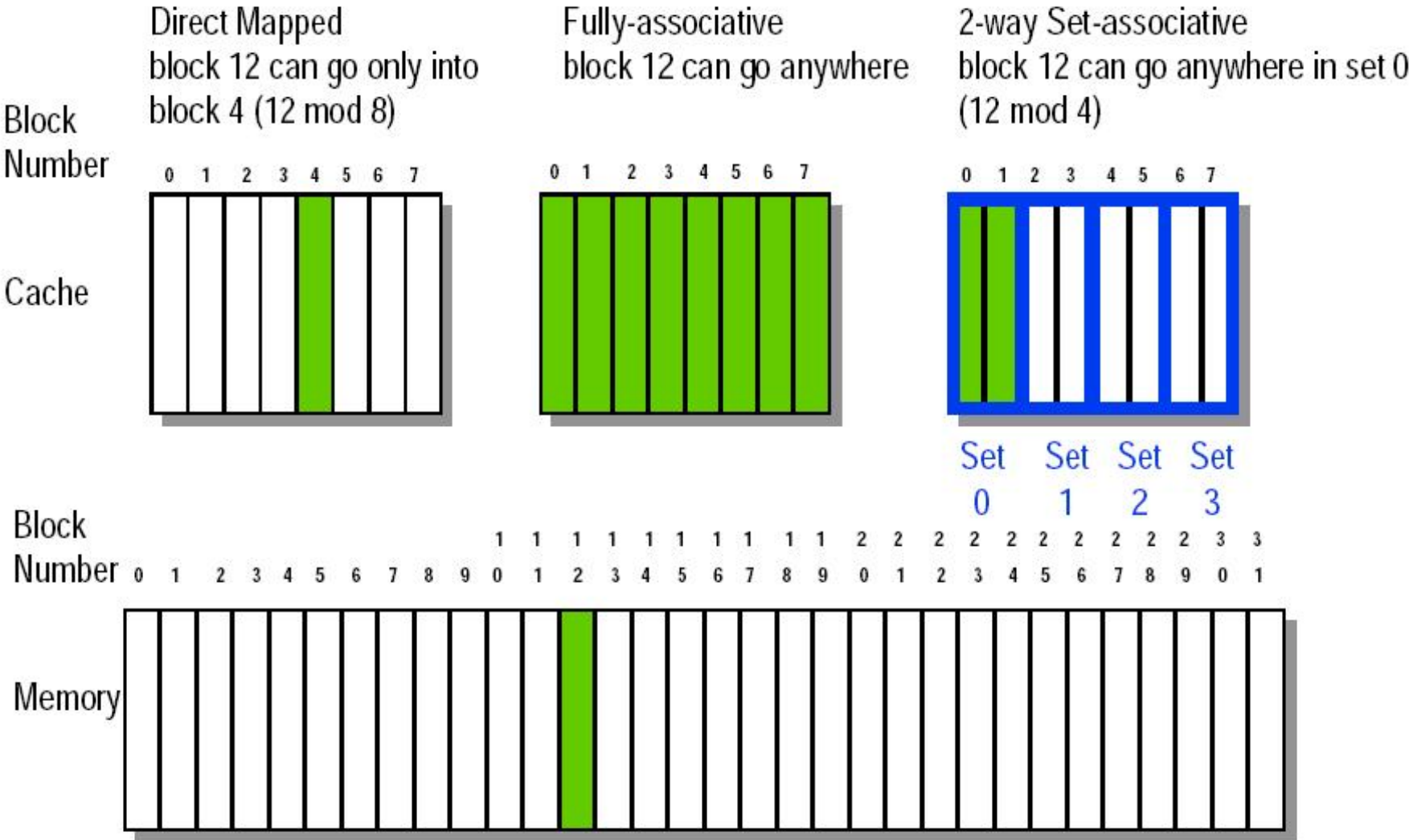
- If sets have  $n$  blocks, the cache is said to be  $n$ -way set associative.

• Note that **direct mapped** is the same as **1-way set** associative, and **fully associative** is **m-way set**-associative (for a cache with  $m$  blocks).





# 8-32 Block Placement





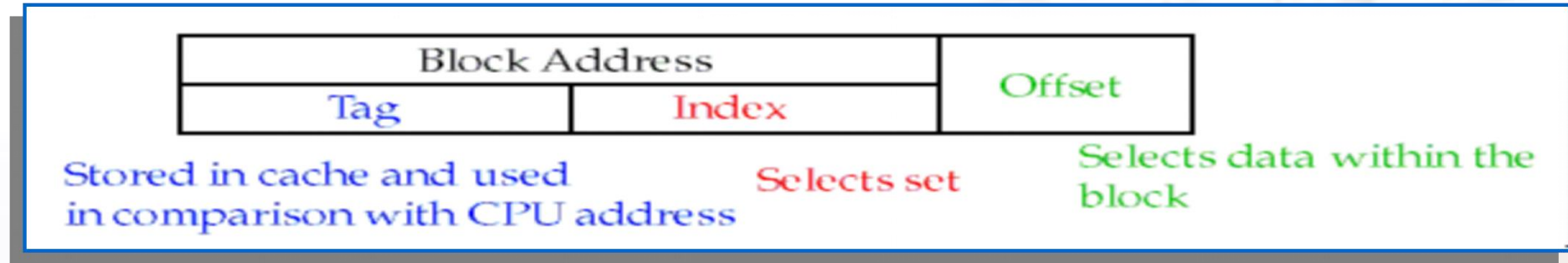


## Q2: Block Identification

- ❑ Every block has an **address tag** that stores the main memory address of the data stored in the block.
- ❑ When checking the cache, the processor will **compare** the requested memory address to the cache tag -- if the two are equal, then there is a cache hit and the data is present in the cache
- ❑ Often, each cache block also has a **valid bit** that tells if the contents of the cache block are valid



# The Format of the Physical Address



- ❑ The **Index** field selects
  - The **set**, in case of a **set-associative cache**
  - The **block**, in case of a **direct-mapped cache**
- ❑ The **Byte Offset** field selects
  - The byte within the block
  - Has as many bits as  $\log_2(\text{size of block})$
- ❑ The **Tag** is used to find the matching block within a set or in the cache
  - Has as many bits as  $(\text{AddressSize}) - (\text{IndexSize}) - (\text{ByteOffsetSize})$



# Direct-mapped Cache Example (1-word Blocks)

LOAD R1, 0x04

MEMORY

Address Data

0x00 0x00000000

0x04 0x12345678

0x08 0x87654321

0x0C 0x11111111

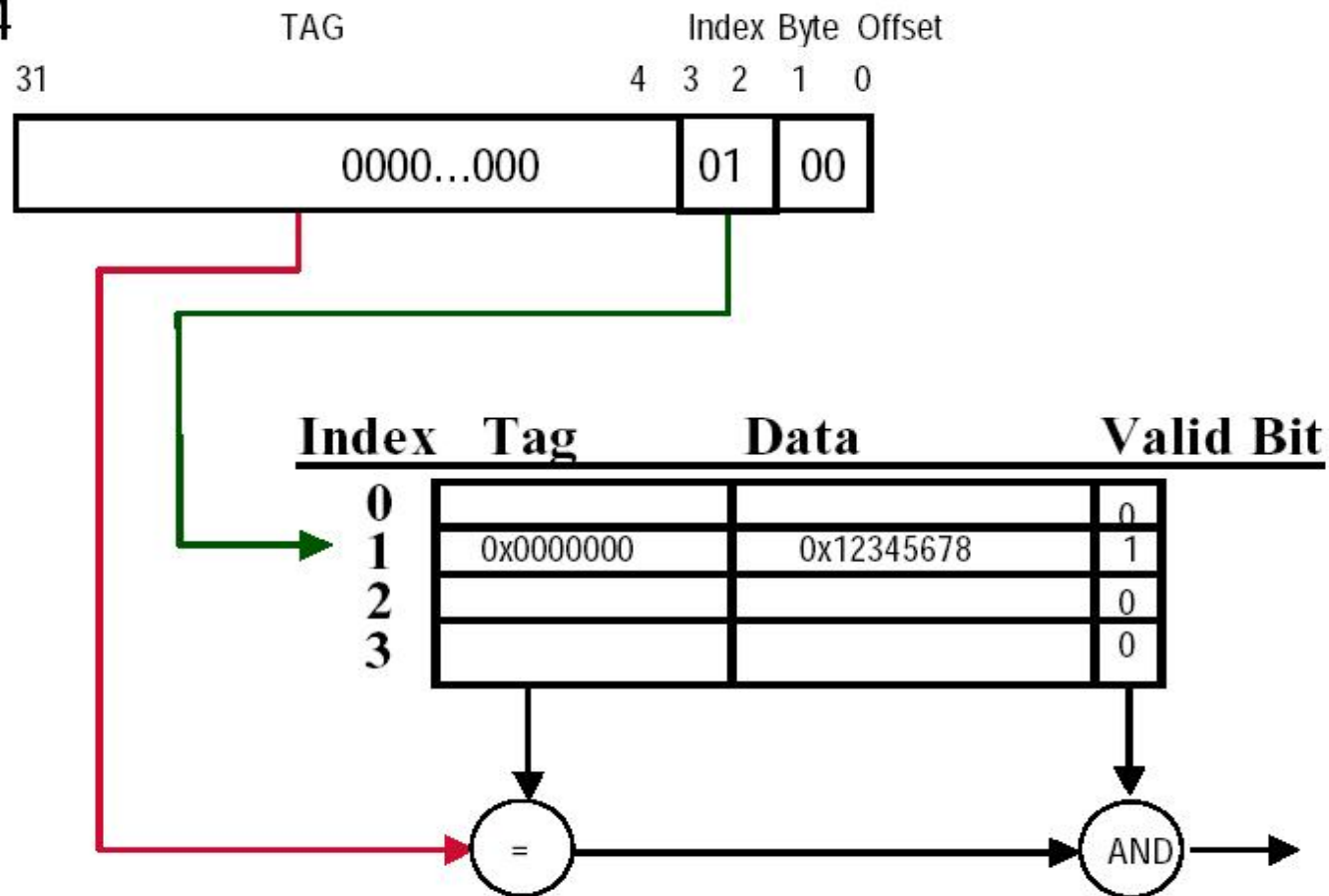
0x10 0x22222222

0x14 0x33333333

0x18 0x44444444

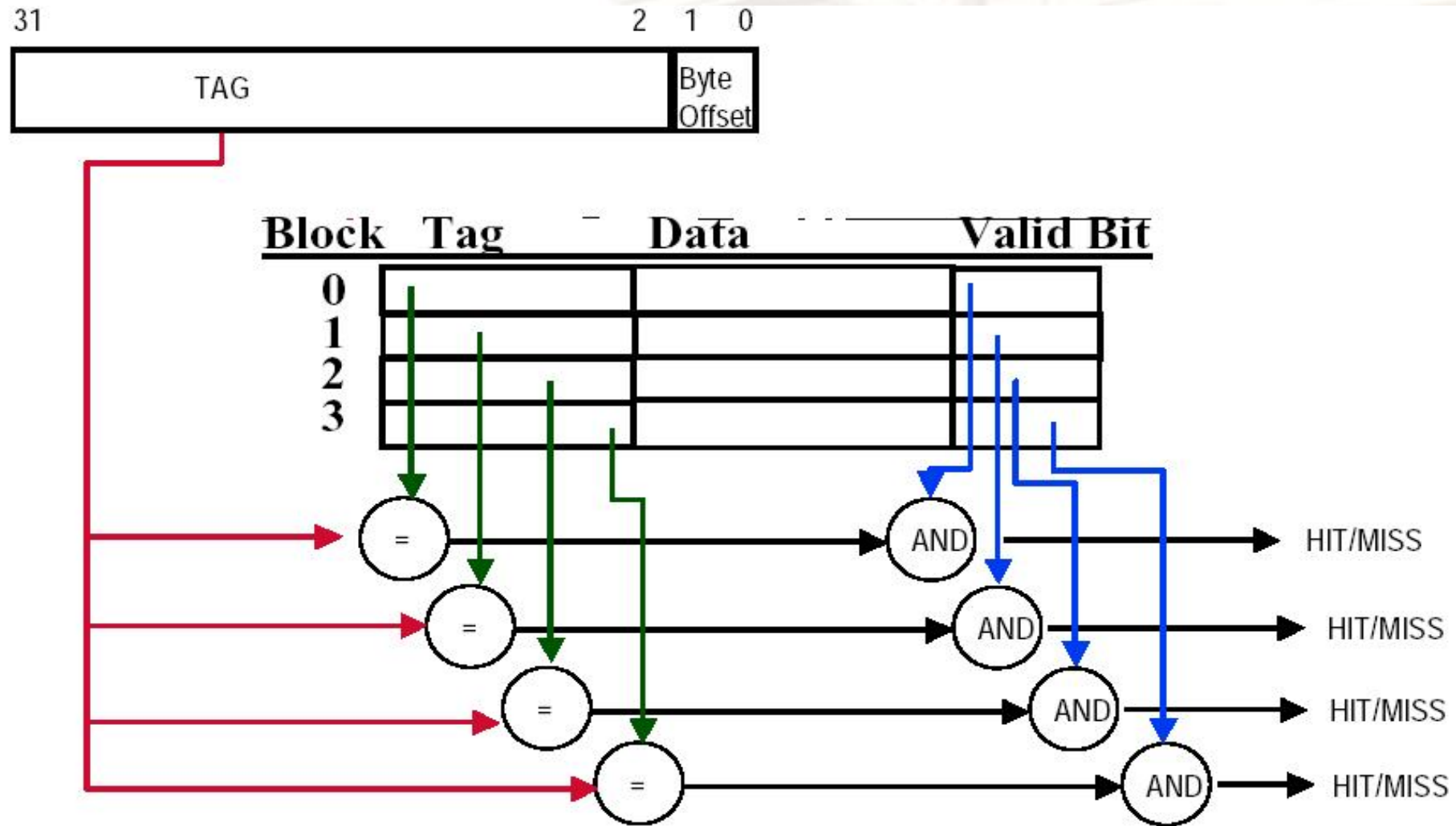
0x1C 0x55555555

0x20 0x10101010





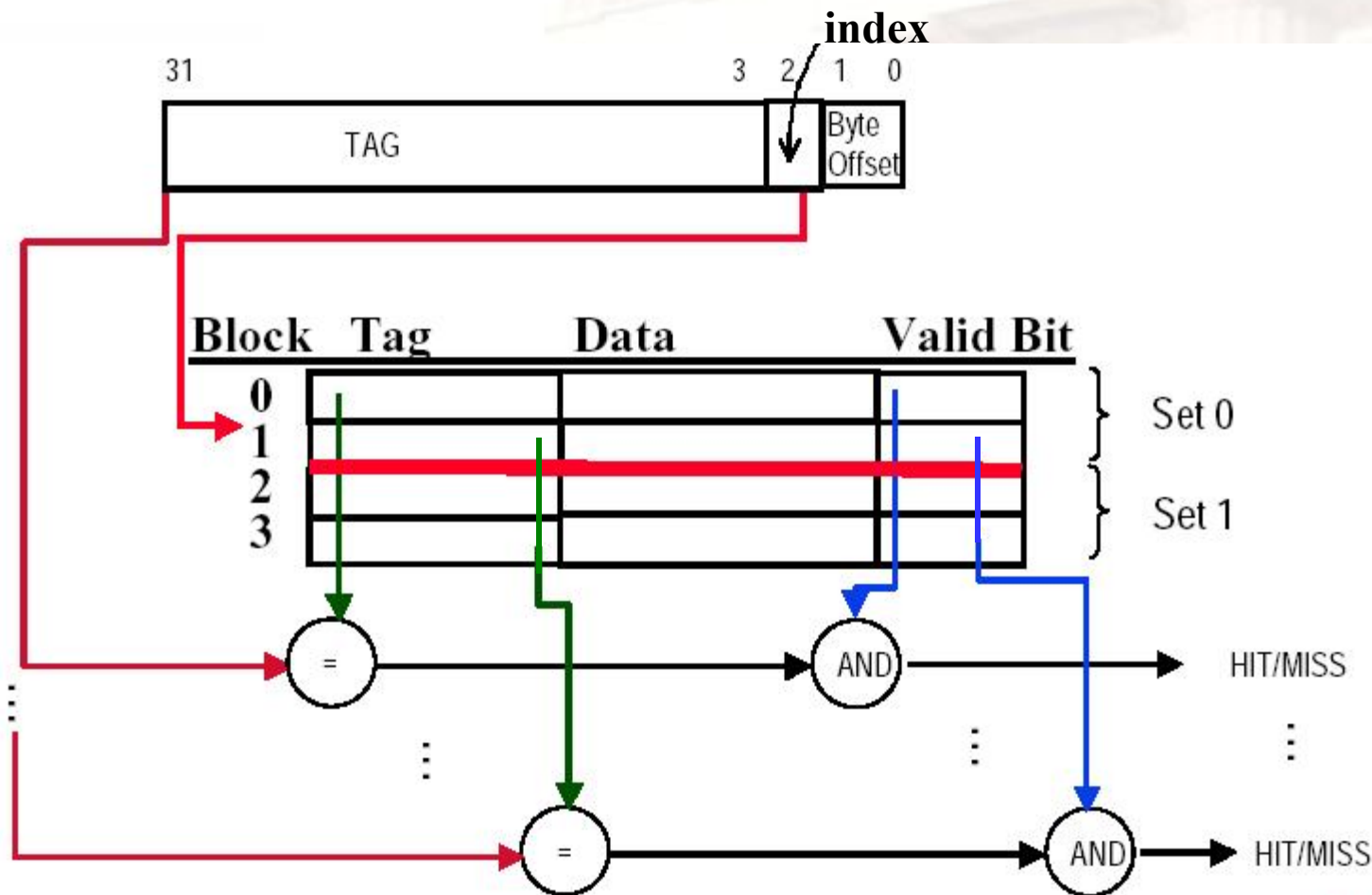
# Fully-Associative Cache example (1-word Blocks)





# 2-Way Set-Associative Cache

- ❑ Assume cache has 4 blocks and each block is 1 word
- ❑ 2 blocks per set, hence 2 sets per cache

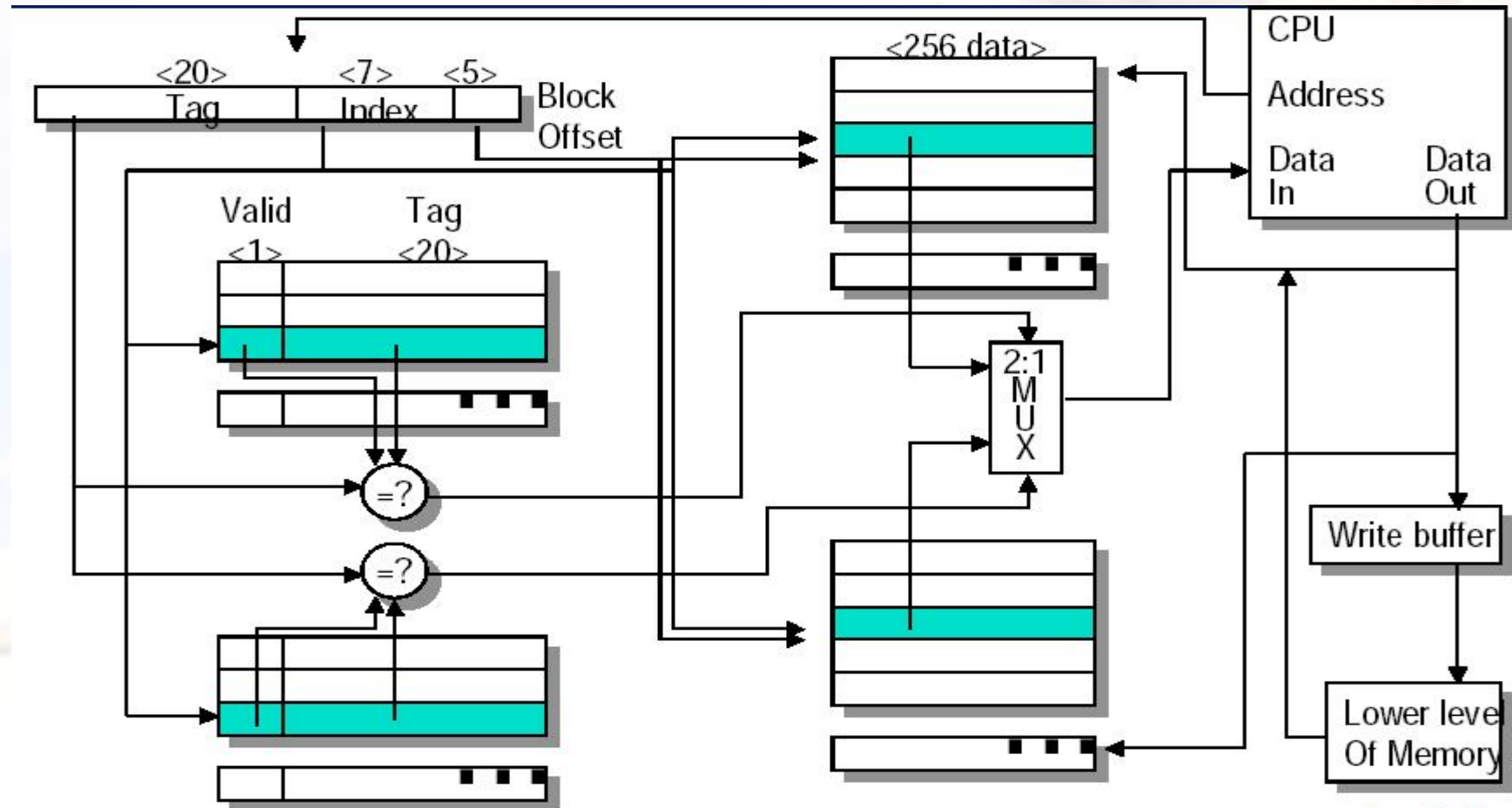






## Example: set associate cache

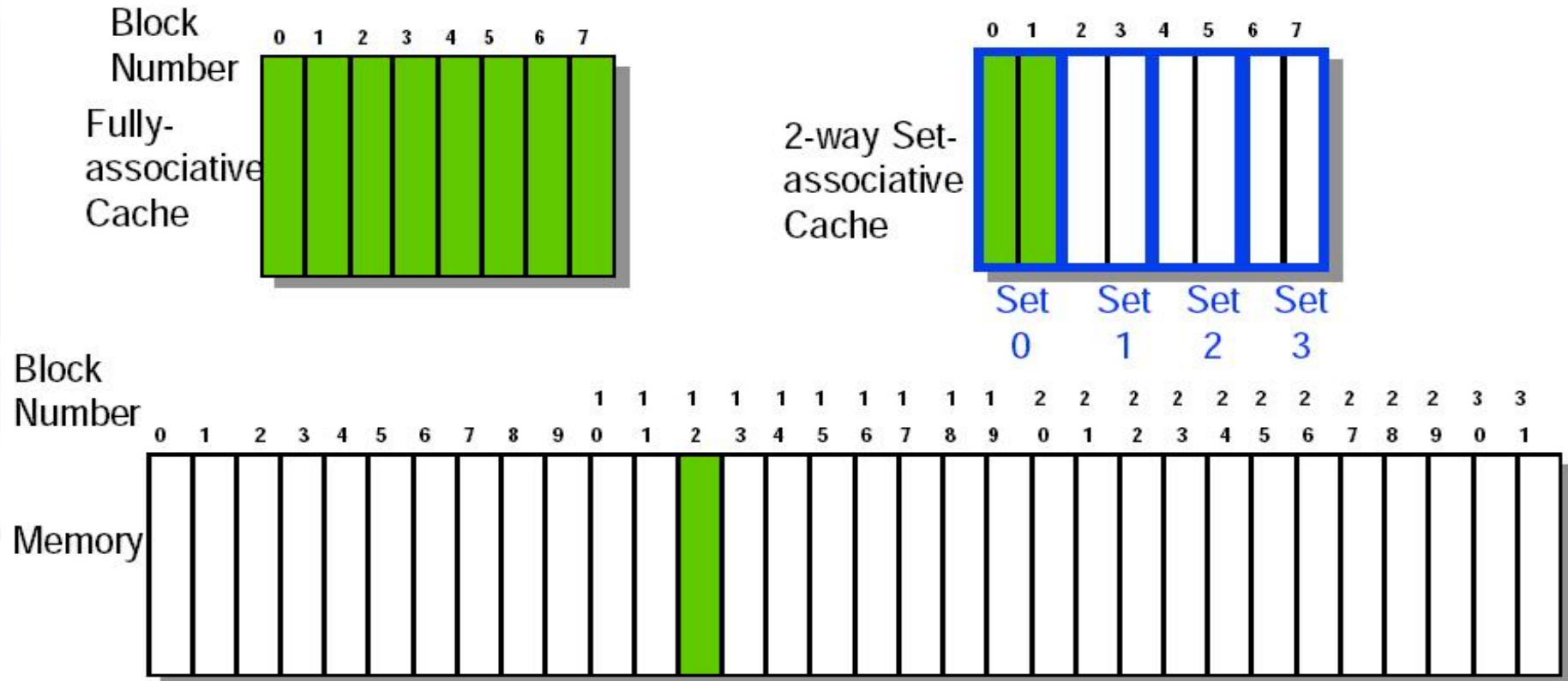
❑ Memory size: 4G, Cache 8K, 2-way set associate





## Q3: Block Replacement

- ❑ In a direct-mapped cache, there is only one block that can be replaced
- ❑ In set-associative and fully-associative caches, there are N blocks (where N is the degree of associativity)





# Strategy of block Replacement

## ❑ Random replacement - *randomly pick any block*

- Easy to implement in hardware, just requires a random number generator
- Spreads allocation uniformly across cache
- May evict a block that is about to be accessed

## ❑ Least-recently used (LRU) - *pick the block in the set which was least recently accessed*

- Assumed more recently accessed blocks more likely to be referenced again
- This requires extra bits in the cache to keep track of accesses.

## ❑ First in, first out (FIFO) - *Choose a block from the set which was first came into the cache*





# Implementation of Replacement

❑ Pseudo LRU

❑ Example:

	V	NV
A	1	0
B	0	1
C	0	0
D	0	0

❑ When Miss:

❑ Kick out the Victim,

❑ Make the NextVictim to be Victim,

❑ and select one from the left two blocks to be the NextVictim



## Another psedo LRU

- ❑ 3 bit for a set ( 4-way )
- ❑ One bit for which is the LRU in AB
- ❑ One bit for which is the LRU in CD
- ❑ One bit for which is the LRU in AB / CD





## Q4: Write Strategy

- ❑ When data is written into the cache (on a store), is the data also written to main memory?
- ❑ **write-through** : The information is written to both the block in the cache and to the block in the slower memory
  - Cache control bit: only a **valid** bit
  - memory (or other processors) always have latest data
  - Always combined with write buffers so that don't wait for slow memory
- ❑ **write-back**: The information is written only to the block in the cache. The modified cache block is written to main memory only when it is replaced
  - Cache control bits: both **valid** and **dirty** bits
  - much lower bandwidth, since **No** writes to slow memory for repeated write accesses



# Pros and Cons for write strategy

## ❑ Write-through adv:

- Read misses don't result in writes,
- memory hierarchy is **consistent** and it is simple to implement.

## ❑ Write back adv:

- Writes occur at speed of cache
- main memory bandwidth is smaller when multiple writes occur to the same block.

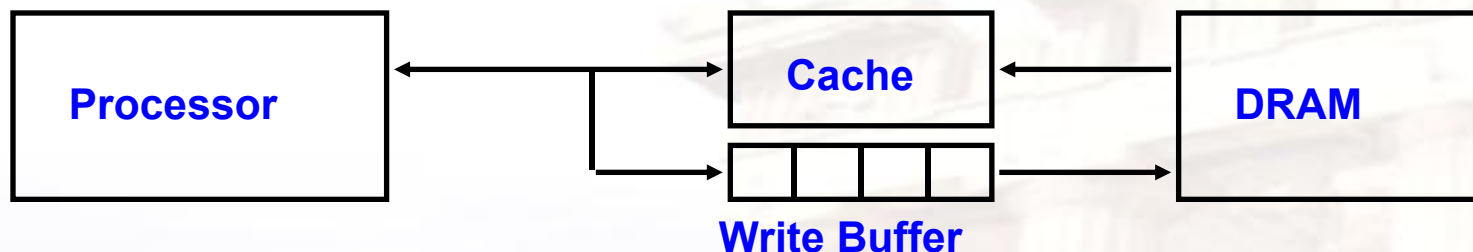


# Write stall

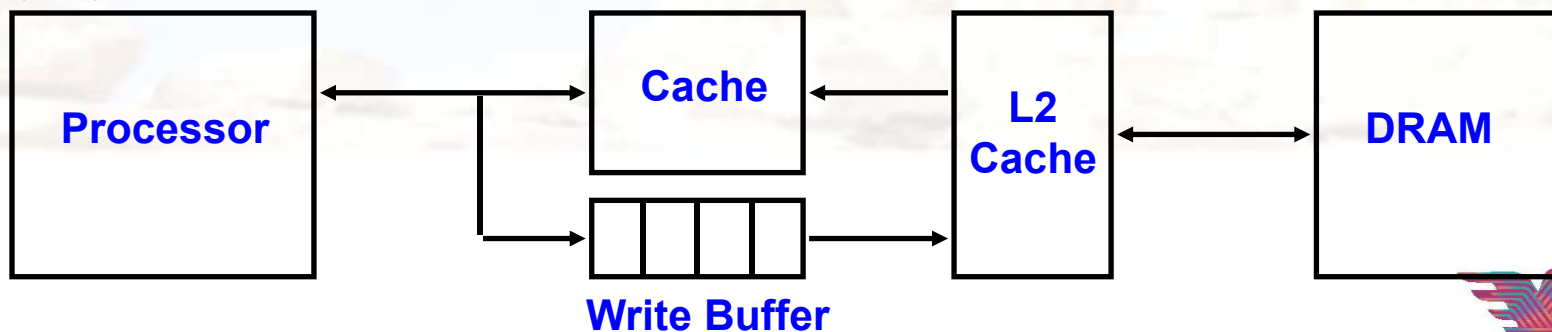
- ❑ **Write stall** ---When the CPU must wait for writes to complete during write through
- ❑ **Write buffers**
  - A small cache that can hold a few values waiting to go to main memory, *to avoid stalling on writes*
  - This buffer helps when writes are clustered.
  - It does not entirely eliminate stalls since it is possible for the buffer to fill if the burst is larger than the buffer.



# Write Through via Buffering

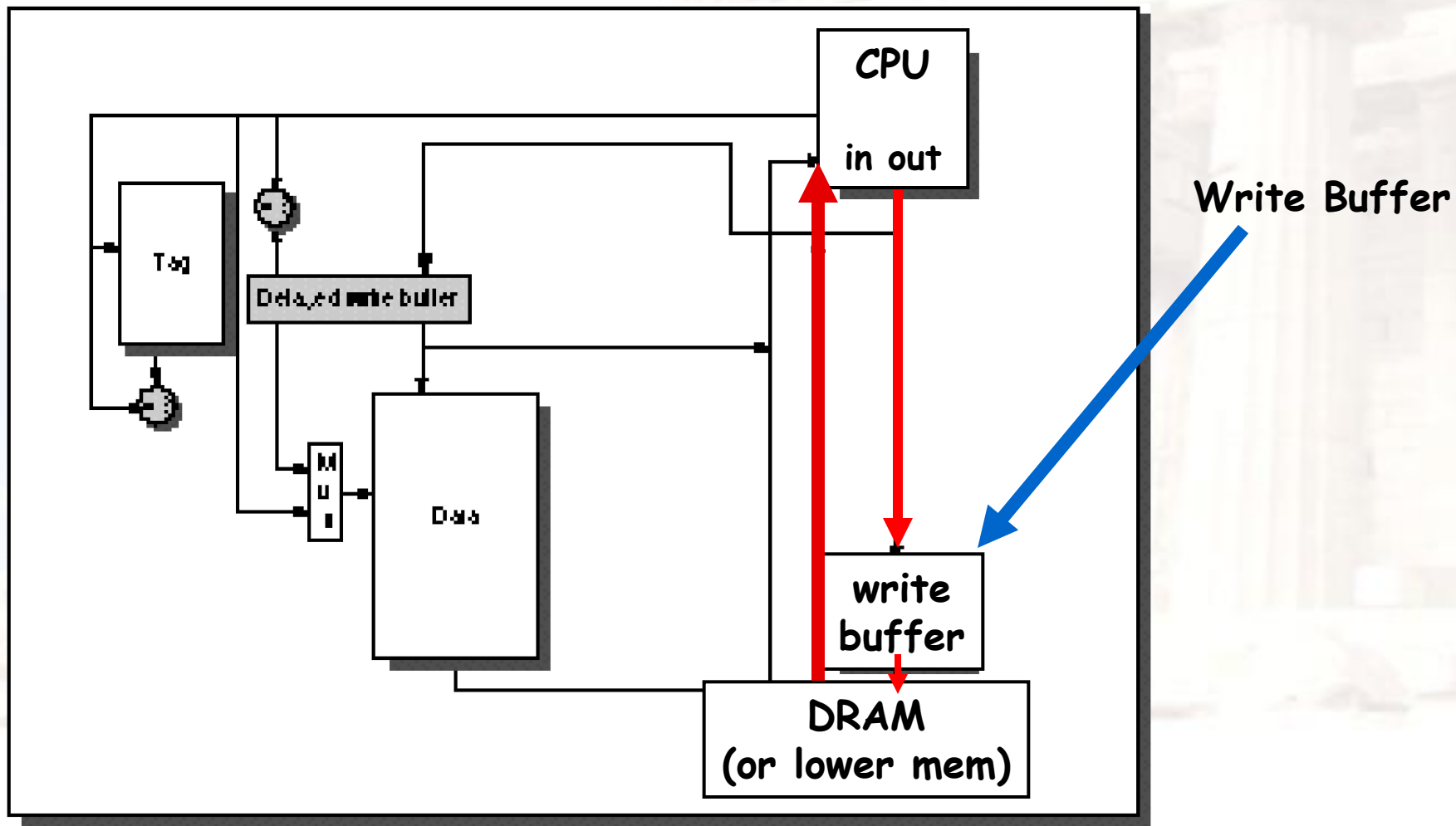


- ❑ Processor writes data into the cache and the write buffer
- ❑ Memory controller writes contents of the buffer to memory
- ❑ Increased write frequency can cause saturation of write buffer
- ❑ If CPU cycle time too fast and/or too many store instr. in a row:
  - Store buffer will overflow no matter how big you make it
  - The CPU Cycle Time get closer to DRAM Write Cycle Time
- ❑ Write buffer saturation can be handled by installing a second level (L2) cache





# Write buffers







## Write policy when misses

If a miss occurs on a write (the block is not present), there are two options.

### ☐ Write allocate

- The block is loaded into the cache on a miss before anything else occurs.

### ☐ Write around (no write allocate)

- The block is only written to main memory
- It is not stored in the cache.

☐ In general, write-back caches use write-allocate , and write-through caches use write-around .



## Example

- ❑ Assume a fully associative write-back cache with many cache entries that starts empty. Below is a sequence of five memory operations (the address is in square brackets):

- 1 write Mem[100];
- 2 write Mem[100];
- 3 Read Mem[200];
- 4 write Mem[200];
- 5 write Mem[100];

What are the number of hits and misses when using no-write allocate versus write allocate?

**Answer :**

for no-write allocate

misses: 1,2,3,5

hit : 4

for write allocate

misses: 1,3

hit : 2,4,5





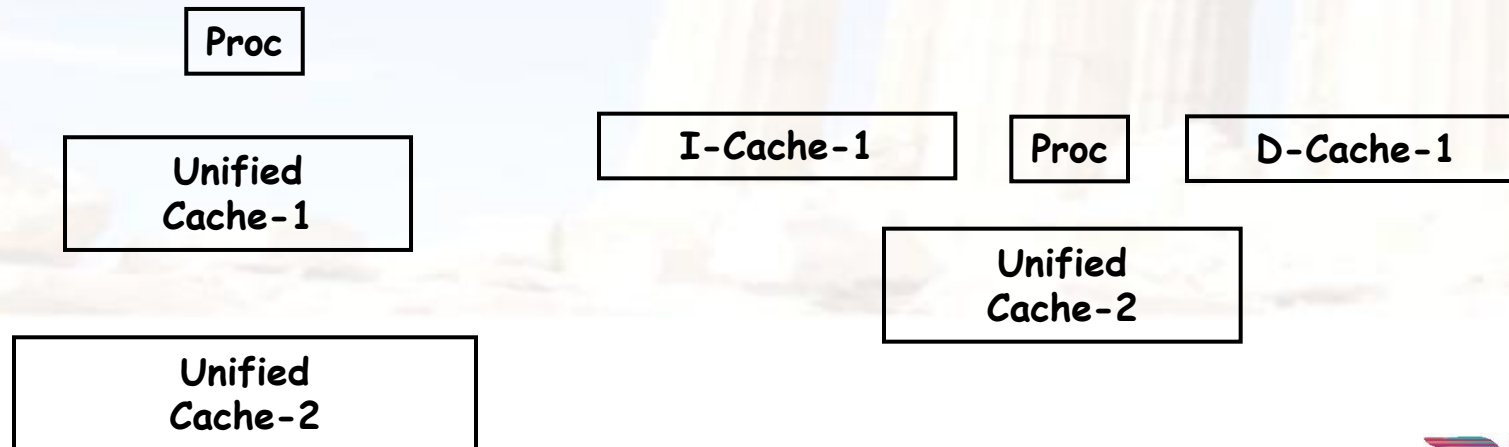
# Split vs. unified caches

## ❑ Unified cache

- All memory requests go through a single cache.
- This requires less hardware, but also has lower hit rate

## ❑ Split I & D cache

- A separate cache is used for instructions and data.
- This uses additional hardware, though there are some simplifications (the I cache is read-only).





## Split vs. mixed cache

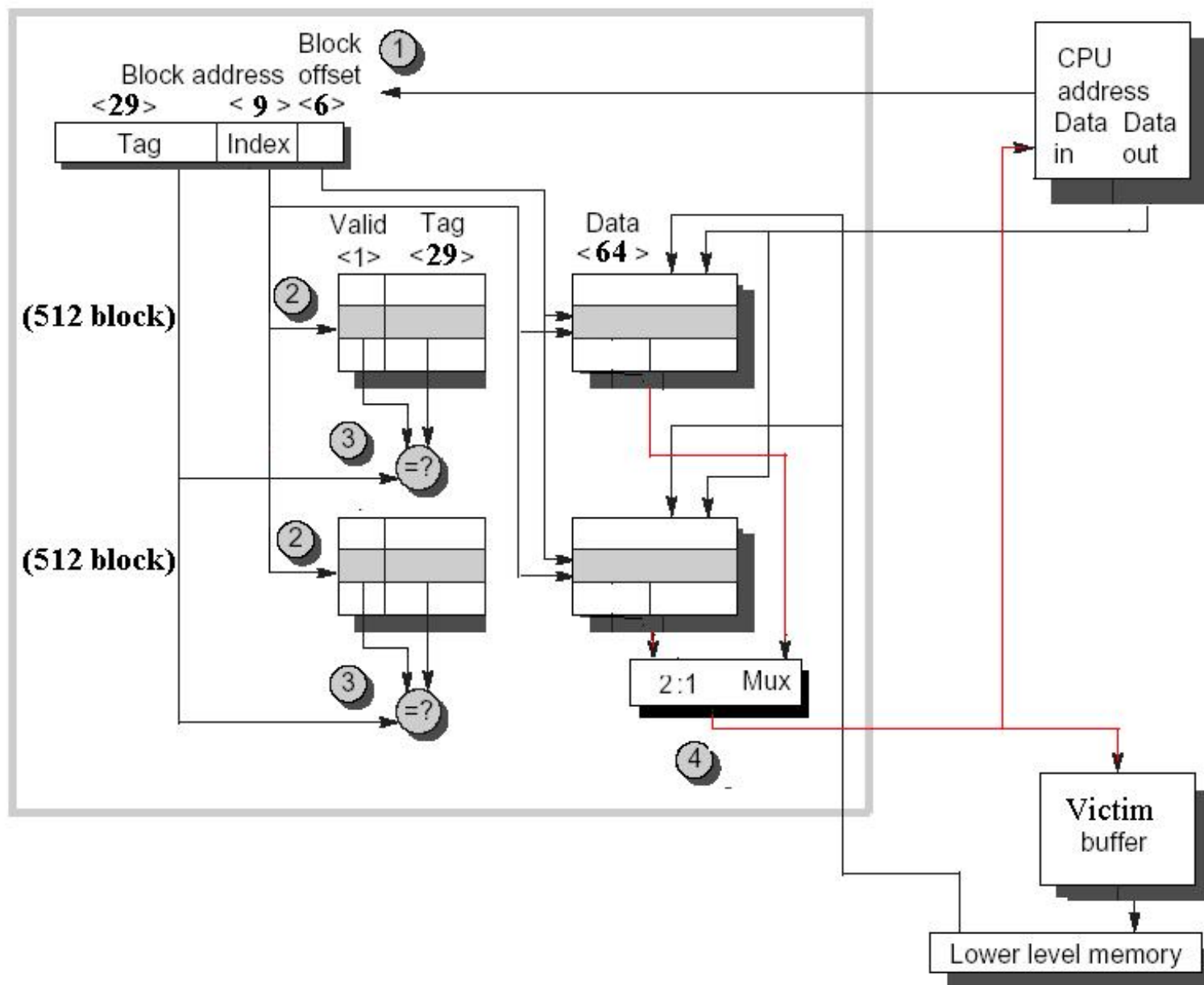
- ❑ Miss per 1000 instructions for 2-way associate cache.

size	Instruction Cache	Data Cache	Unified Cache
8KB	8.16	44.0	63.0
16KB	3.82	40.9	51.0
32KB	1.36	38.4	43.3
64KB	0.61	36.9	39.4
128KB	0.30	35.3	36.2
256KB	0.02	32.6	32.9

- ❑ Average miss rate =  $\text{Inst\%} \times \text{MRinst.} + \text{Data\%} \times \text{MRdata}$
- ❑ Split : remove the misses due to conflicts between inst. blocks and data blocks , but has fixed cache space for both instructions and data.



# Example: Alpha 21264 data cache







# Supervisor cache / User cache

☐ Instruction Cache

☐ Supervisor/ User Space Bit

- 1: Supervisor access only
- 0: Supervisor / User access



## 5.3 Cache performance

$$\begin{aligned}\text{CPU Execution time} &= \\ &= (\text{CPU clock cycles} + \text{Memory stall cycles}) \times \text{Clock cycle time}\end{aligned}$$

$$\text{Memory stall cycles} = IC \times \text{Mem refs per instruction} \times \text{Miss rate} \times \text{Miss penalty}$$

$$CPUtime = IC \times \left( CPI_{Execution} + \frac{MemAccess}{Inst} \times MissRate \times MissPenalty \right) \times CycleTime$$

$$CPUtime = IC \times \left( CPI_{Execution} + \frac{MemMisses}{Inst} \times MissPenalty \right) \times CycleTime$$

$CPI_{Execution}$  includes ALU and Memory instructions



# Average Memory Access Time

$$\begin{aligned}\text{Average Memory Access Time} &= \frac{\text{Whole accesses time}}{\text{All memory accesses in program}} \\ &= \frac{\text{Accesses time on hitting} + \text{Accesses time on}}{\text{All memory accesses in program}} \\ &= \text{Hit time} + (\text{Miss Rate} \times \text{Miss Penalty})\end{aligned}$$

$$\begin{aligned}&= \left( \text{HitTime}_{Inst} + \text{MissRate}_{Inst} \times \text{MissPenalty}_{Inst} \right) + \\ &\quad \left( \text{HitTime}_{Data} + \text{MissRate}_{Data} \times \text{MissPenalty}_{Data} \right)\end{aligned}$$

$$CPUtime = IC \times \left( \frac{AluOps}{Inst} \times CPI_{AluOps} + \frac{MemAccess}{Inst} \times AMAT \right) \times CycleTime$$



# Cache performance metrics

## ❑ Miss rate

- Independent of the speed of hardware.

## ❑ Average memory access time( AMAT)

- Better than miss rate , but
- Indirect measure of performance

## ❑ CPUtime





## Ex1: Impact on Performance

- ❑ Suppose a processor executes at
  - Clock Rate = 200 MHz (5 ns per cycle), Ideal (no misses) CPI = 1.1
  - 50% arith/logic, 30% ld/st, 20% control
- ❑ Suppose that 10% of memory operations get 50 cycle miss penalty
- ❑ Suppose that 1% of instructions get same miss penalty
- ❑ Calculate the AMAT and real CPI.

- **Answer:**  $CPI = \text{ideal CPI} + \text{average stalls per instruction}$ 
$$= 1.1(\text{cycles/ins}) + [0.30 (\text{DataMops/ins}) \times 0.10 (\text{miss/DataMop}) \times 50 (\text{cycle/miss}) + 1 (\text{InstMop/ins}) \times 0.01 (\text{miss/InstMop}) \times 50 (\text{cycle/miss})]$$
$$= (1.1 + 1.5 + .5) \text{ cycle/ins} = 3.1$$
- 64% of the time the proc is stalled waiting for memory!
- $AMAT = (1/1.3) \times [1.1 + 0.01 \times 50] + (0.3/1.3) \times [1.1 + 0.1 \times 50]$ 
$$= 2.54$$





## Ex2: Impact on Performance

**Assume :** Ideal CPI=1 (no misses)

- ❑ L/S's structure . 50% of instructions are data accesses
- ❑ Miss penalty is 25 clock cycles
- ❑ Miss rate is 2%
- ❑ How faster would the computer be if all instructions were cache hits?

❑ **Answer:** first compute the performance for always hits:

$$\begin{aligned}\text{CPU}_{\text{time}} &= (\text{CPU clock cycles} + \text{memory stall cycles}) \times \text{clock cycle} \\ &= (\text{IC} \times \text{CPI} + 0) \times \text{Clock cycle} \\ &= \text{IC} \times 1.0 \times \text{clock cycle}\end{aligned}$$



## Answer for example 2 (cont.)

Now for the computer with the real cache, first compute memory stall cycles:

$$\begin{aligned}\text{Memory stall cycles} &= IC \times \frac{\text{Memory accesses}}{\text{Instruction}} \times \text{Missrate} \times \text{Miss penalty} \\ &= IC \times (1 + 0.5) \times 0.02 \times 25 = IC \times 0.75\end{aligned}$$

The total performance is thus:

$$\begin{aligned}\text{CPU execution time cache} &= (IC \times 1.0 + IC \times 0.75) \times \text{Clock cycle} \\ &= 1.75 \times IC \times \text{Clock cycle}\end{aligned}$$

The performance ratio is the inverse of the execution times

$$\frac{\text{CPU execution time}_{\text{cache}}}{\text{CPU execution time}} = \frac{1.75 \times IC \times \text{Clock cycle}}{1.0 \times IC \times \text{clock cycle}}$$

The computer with no cache misses is 1.75 time faster.





## Ex3: Impact on Performance

**Assume** : unified caches: 32K unified cache

- ☐ Split cache: 16K D-cache and 16K I-cache
- ☐ 36% of the instructions are data transfer instructions
- ☐ A hit takes 1 clock cycle
- ☐ The miss penalty is 100 clock cycles
- ☐ A load/store **take 1 extra clock cycle on a unified cache**
- ☐ Write-through with a write-buffer  
and ignore stalls due to the write buffer
- ☐ **What is the average memory access time in each case?**



## MissRate for Uni.cache & split cache

Miss per 1000 instructions for 2-way associate cache.

size	Instruction Cache	Data Cache	Unified Cache
8KB	8.16	44.0	63.0
16KB	3.82	40.9	51.0
32KB	1.36	38.4	43.3
64KB	0.61	36.9	39.4
128KB	0.30	35.3	36.2
256KB	0.02	32.6	32.9



## Answer for example 3

**Answer :** first let's convert misses per 1000 instructions into miss rate.

$$\text{Miss rate} = \frac{\frac{\text{Misses}}{1000 \text{ Instructions}}}{\frac{\text{Memory accesses}}{\text{Instructions}}} / 1000$$

Since every instruction access has exactly one memory access to fetch the instruction, according as Figure 5.8 the instruction miss rate is

$$\text{Miss rate}_{16\text{KB instruction}} = \frac{3.82/1000}{1.0} = 0.0038$$

Since 36% of the instructions are data transfers, according as Figure 5.8 the data miss rate is

$$\text{Miss rate}_{16\text{KB data}} = \frac{40.9/1000}{0.36} = 0.1136$$





## Answer for example 3 (cont.)

Form Figure 5.8 The unified miss rate needs to account for instruction and data accesses:

$$\text{Miss rate}_{32\text{KB unified}} = \frac{43.3/1000}{1.00+0.36} = 0.0318$$

Basing on Figure 2.32 on page 138 there is 74% instruction references in split cache. The average miss rate for the split cache is:

$$(74\% \times 0.0038) + (26\% \times 0.1136) = 0.0323$$

Thus ,a 32KB unified cache has a slightly lower effective miss rate than two 16KB caches.



## Answer for Example3 (cont.)

- The average memory access time can be divided into instruction and data accesses:

*Average memory access time*

$$= \%instructions \times (HitTime_{Inst} + MissRate_{Inst} \times MissPenalty_{Inst}) \\ + \%data \times (HitTime_{Data} + MissRate_{Data} \times MissPenalty_{Data})$$

- Therefore, the time for each organization is

**Average memory access time<sub>split</sub>**

$$= 74\% \times (1 + 0.0038 \times 100) + 26\% \times (1 + 0.1136 \times 100) \\ = (74\% \times 1.38) + (26\% \times 12.36) = 1.021 + 3.214 = \mathbf{4.25}$$

**Average memory access time<sub>unified</sub>**

$$= 74\% \times (1 + 0.0318 \times 100) + 26\% \times (1 + \mathbf{+1} + 0.0318 \times 100) \\ = (74\% \times 4.18) + (26\% \times 5.18) = 3.093 + 1.347 = \mathbf{4.40}$$



## Ex4: Impact on Performance

**Assume:** in-order execution computer, such as the Ultra SPARC III.

Miss penalty: 100 clock cycles

Miss rate : 2%

Memory references Per instruction: 1.5

Average cache misses per 1000 instructions: 30

$CPI = 1.0$  (ignoring memory stalls)

What is the impact on performance when behavior of the cache is included (Calculate the impact using both misses per instruction and miss rate.)?



## Answer for example 4

**Answer :** The performance, including cache misses, is

$$CPU_{time} = IC \times \left( CPI_{exexecution} + \frac{Mem\ stall\ clock\ cycles}{Instruction\ n} \right) \times Clock\ cycle\ time$$

$$\begin{aligned} CPU\ time_{with\ cache} &= \\ &= IC \times (1.0 + (30/1000 \times 100)) \times Clock\ cycle\ time \\ &= IC \times 4.00 \times Clock\ cycle\ time \end{aligned}$$

**Now caculating performance using miss rate:**

$$CPU_{time} = IC \times \left( CPI_{exexecution} + Missrate \times \frac{Mem\ accesses}{Instruction} \times Miss\ penalty \right) \times Clock\ cycle\ time$$

$$\begin{aligned} CPU\ time_{with\ cache} &= \\ &= IC \times (1.0 + (1.5 \times 2\% \times 100)) \times Clock\ cycle\ time \\ &= IC \times 4.00 \times Clock\ cycle\ time \end{aligned}$$



## Answer for example 4 (cont.)

- ❑ The clock cycles time and instruction count are the same, with or without a cache. Thus, CPU time increases fourfold, with CPI from 1.00 a “perfect cache” to 4.00 with a cache that can miss.
- ❑ Without any memory hierarchy at all the CPI would increase again to  $1.0 + 100 \times 1.5$  or **151**—factor of almost **40 time** longer than a system with a cache.







# Cache misses have a double-barreled impact on a CPU

- ❑ The lower the  $CPI_{\text{execution}}$ , the higher the relative impact of a fix number of cache miss clock cycle.
- ❑ When calculating CPI, the cache miss penalty is measured in CPU clock cycles for a miss. Therefore, even if memory hierarchies for two computers are identical, the CPU with the higher clock rate has a larger number of clock cycles per miss and hence a higher memory portion of CPI.



## Ex5: Impact on Performance

**Assume:** CPI=2 (perfect cache)      clock cycle time=1.0 ns

- MPI(memory reference per instruction)=1.5
- Size of both caches is 64K and size of bath block is 64 bytes
- One cache is direct mapped and other is two-way set associative. the former has miss rate of 1.4%, the latter has miss rate 1.0%
- The selection multiplexor forces CPU clock cycle time to be stretched 1.25 times
- Miss penalty is 75ns,and hit time is 1 clock cycle

□ What is the impact of two diffect cache organizations on performance of CPU (first,calculate the average memory access time and then CPU performance.)?





## Answer for example 5

**Answer :** Average memory access time is

Average memory access time = Hit time + Miss rate  $\times$  miss penalty

Thus, the time for each organization is

Average memory access time<sub>1-way</sub> =  $1.0 + (0.014 \times 75) = 2.05$  ns

Average memory access time<sub>2-way</sub> =  $1.0 \times 1.25 + (0.01 \times 75)$   
= 2.00 ns

The average memory access time is better for the 2-way set-associative cache.



## Answer for example 5 (cont.)

**CPU performance is**

$$\begin{aligned} CPUtime &= IC \times \left( CPI_{execution} + \frac{Misses}{Instruction} \times Misspenalty \right) \times Clockcycletime \\ &= IC \times \left[ \left( CPI_{execution} \times Clockcycletime \right) \right. \\ &\quad \left. + \left( Missrate \times \frac{Memory\ accesses}{Instruction} \times Misspenalty \times Clockcycletime \right) \right] \end{aligned}$$

Substituting 75 ns for (miss penalty  $\times$  Clock cycle time), the performance of each cache organization is

$$CPU\ time_{1-way} = IC \times (2 \times 1.0 + (1.5 \times 0.014 \times 75)) = 3.58 \times IC$$

$$CPU\ time_{2-way} = IC \times (2 \times 1.0 \times 1.25 + (1.5 \times 0.010 \times 75)) = 3.63 \times IC$$





## Answer for example 5 (cont.)

Relative performance is

$$\frac{CPU\ time_{2-way}}{CPU\ time_{1-way}} = \frac{3.63 \times Instruction\ count}{3.58 \times Instruction\ count} = \frac{3.63}{3.58} = 1.01$$

In contrast to the results of average memory access time, the direct-mapped leads to slightly better average performance. **Since CPU time is our bottom-line evaluation.**







# Miss penalty and Out-of-order Execution Processors

How do you define “miss penalty”?

- ❑ Is it the full latency of the miss to memory, or is it just the “exposed” or nonoverlapped latency when the processor must stall?
- ❑ To In-order processor, there is out of question, but here is out of the question.
- ❑ Refine memory stalls to lead to a new definition of miss penalty as nonoverlapped latency :

$$\frac{\text{Memory stall cycles}}{\text{instruction}} = \frac{\text{Misses}}{\text{instruction}} \times (\text{Total miss latency} - \text{Overlapped miss latency})$$



## Two definition

- ❑ **Length of memory latency** -What to consider as the start and the end of a memory operation in an out-of-order processor.
- ❑ **Length of latency overlapped**—What is the start of overlap with the processor(or equivalently, when do we say a memory operation is stalling the processor)



## Ex6: Performance on out-of-order processor

Assume: 30% of the 75 ns (52.5) miss penalty can be overlapped; Another parameters are same with example 5 (above example)

What is the impact of performance for out-of-order (OOO) CPU in direct-mapped cache?

**Answer:** Average memory access time for the OOO computer is:

$$\begin{aligned}\text{Average memory access time}_{1\text{-way},\text{OOO}} &= 1.0 * \cancel{1.25} + (0.014 \times 52.5) \\ &= 1.74 \text{ ns}\end{aligned}$$

The performance of the OOO cache is:

$$\begin{aligned}\text{CPU time}_{1\text{-way},\text{OOO}} &= \text{IC} \times (2 \times 1.0 * \cancel{1.25} + (1.5 \times 0.014 \times 52.5)) \\ &= 3.10 \times \text{IC}\end{aligned}$$

Hence, despite a much slower clock cycle time and the higher miss rate of a direct-mapped cache, the OOO computer can be slightly faster if it can hide 30% of the miss penalty.



# Memory Hierarchy Basics

## ❑ Six basic cache optimizations:

- Larger block size
  - Reduces compulsory misses
  - Increases capacity and conflict misses, increases miss penalty
- Larger total cache capacity to reduce miss rate
  - Increases hit time, increases power consumption
- Higher associativity
  - Reduces conflict misses
  - Increases hit time, increases power consumption
- Higher number of cache levels
  - Reduces overall memory access time
- Giving priority to read misses over writes
  - Reduces miss penalty
- Avoiding address translation in cache indexing
  - Reduces hit time



# How to Improve Cache Performance?

$$AMAT = HitTime + MissRate \times MissPenalty$$

## ❑ Reduce hit time(4)

- Small and simple first-level caches, Way prediction
- avoiding address translation, Trace cache

## ❑ Increase bandwidth(3)

- Pipelined caches, multibanked caches, non-blocking caches

## ❑ Reduce miss penalty(5)

- multilevel caches, read miss prior to writes,
- Critical word first, merging write buffers, and victim caches

## ❑ Reduce miss rate(4)

- larger block size, large cache size, higher associativity
- Compiler optimizations

## ❑ Reduce miss penalty or miss rate via parallelization (1)

- Hardware or compiler prefetching

