# **Chapter 11: File System Implementation**

Operating Systems 11.1 @ZJU

### File Systems

- Tmpfs, a temporary file system in memory, fast but not persistent
- Squashfs, a read-only compressed file system supporting fast access
- Ext4, the main Linux journaling file system, reliable
- Ceph, an open source distributed and scalable file system
- FAT, simple and robust file system widely used for compatibility

- Why so many file systems?
- File system is still one of the most active areas of OS research!

#### **Chapter 11: File System Implementation**

- File-System Structure
- File-System Implementation
- Directory Implementation
- Allocation Methods
- Free-Space Management
- Efficiency and Performance

#### **Objectives**

- To describe the details of implementing local file systems and directory structures
- To discuss block allocation and free-block algorithms and trade-offs

# File-System Structure

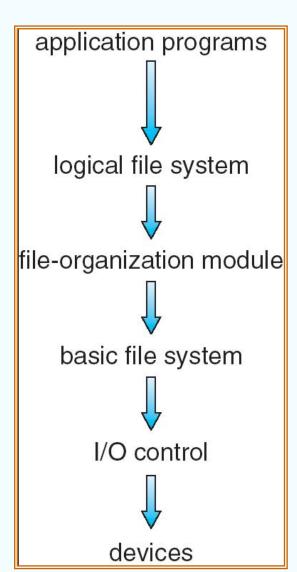
- File structure
  - Logical storage unit
  - Collection of related information
- File system resides on secondary storage (disks)
- File system is composed of many layers

# **Layered File System**

Question: Why layers?

aka. block I/O subsystem

Device drivers/ interrupt handlers



Manages metadata of files, Protection and security

Translates logical block addr To physical addr. Free space mgmt

Commands to r/w physical blocks, I/O schedule, buffer

Translates 'r/w block x' to lowlevel hw instructions

## **Data Structures Used to Implement FS**

- Disk structures
  - Boot control block (per volume)
  - Volume control block per volume (superblock in Unix)
  - Directory structure per file system
  - Per-file FCB (inode in Unix)
- In-memory structures (see fig)
  - In-memory mount table about each mounted volume
  - Directory cache for recently accessed directories
  - System-wide open-file table
  - Per-process open-file table

Question: Why directory cache works?

# **A Typical File Control Block**

**File control block** – storage structure consisting of information about a file

file permissions

file dates (create, access, write)

file owner, group, ACL

file size

file data blocks or pointers to file data blocks

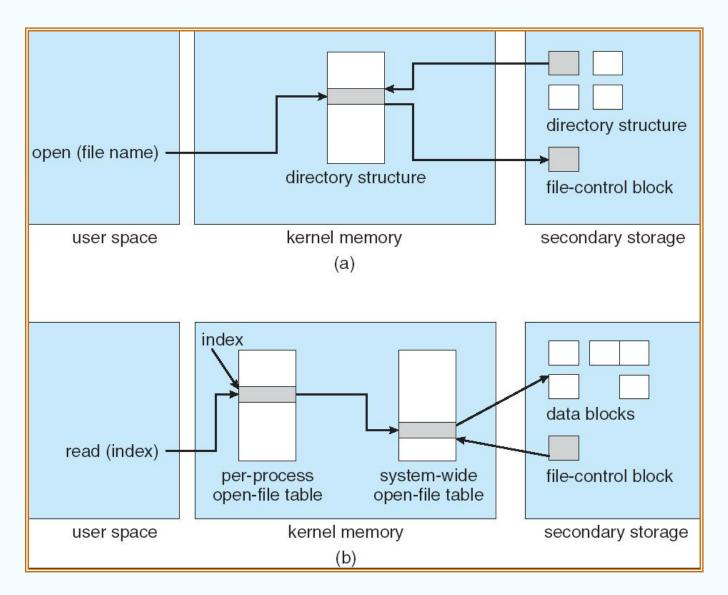
## **In-Memory File System Structures**

 The following figure illustrates the necessary file system structures provided by the operating systems.

• Figure 12-3(a) refers to opening a file.

• Figure 12-3(b) refers to reading a file.

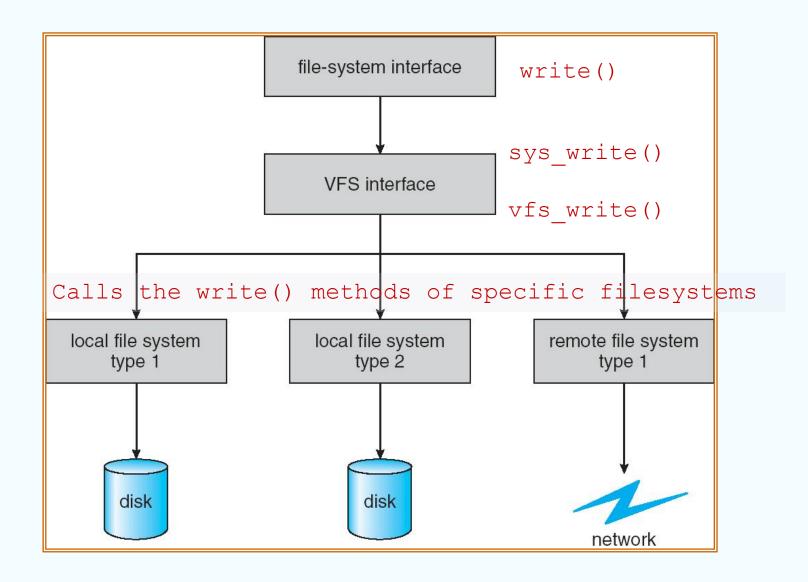
#### **In-Memory File System Structures**



### **Virtual File Systems**

- Virtual File Systems (VFS) provide an object-oriented way of implementing file systems. VFS is NOT a disk file system!
- VFS allows the same system call interface (the API) to be used for different types
  of file systems.
- The API is to the VFS interface, rather than any specific type of file system.
- Defines a network-wide unique structure called vnode.

## **Schematic View of Virtual File System**



## **In-Memory VFS Objects**

- The four primary object types of VFS:
  - □ superblock object: a specific mounted filesystem, 对应(但不是) 磁盘文件系统的文件系统超级块或控制块。
  - □ inode object: a specific file, 对应(但不是)磁盘文件系统的文件控制块
  - dentry object: an individual directory entry
  - □ file object: an open file as associated with a process, 只要文件 一直打开,这个对象就一直存在于内存。

#### **Directory Implementation**

- Linear list of file names with pointer to the data blocks.
  - simple to program
  - time-consuming to execute



- Hash Table linear list with hash data structure.
  - decreases directory search time
  - **collisions** situations where two file names hash to the same location
  - fixed size can use chained-overflow hash table
  - Or rehashing to another larger hash table

#### **Allocation Methods**

• An allocation method refers to how disk blocks are allocated for files:

Contiguous allocation

Linked allocation

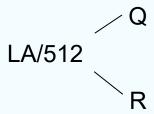
Indexed allocation

### **Contiguous Allocation**

- Each file occupies a set of contiguous blocks on the disk
- Simple only starting location (block #) and length (number of blocks) are required
- Random access supported
- Wasteful of space (dynamic storage-allocation problem)
- Files cannot grow

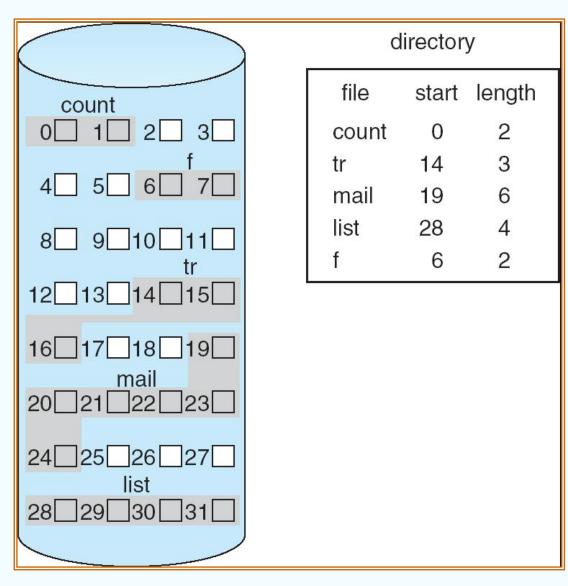
# **Contiguous Allocation**

Mapping from logical to physical



Block to be accessed = Q + start\_address Displacement into block = R

#### **Contiguous Allocation of Disk Space**



#### **Extent-Based Systems**

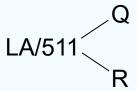
- Many newer file systems use a modified contiguous allocation scheme
- Extent-based file systems allocate disk blocks in extents
- An extent is a contiguous block of disks
  - Extents are allocated for file allocation
  - A file consists of one or more extents.

#### **Linked Allocation**

• Each file is a linked list of disk blocks: blocks may be scattered anywhere on the disk.

# **Linked Allocation (Cont.)**

- Simple need only starting address
- Free-space management system no waste of space
- No random access, poor reliability
- Mapping

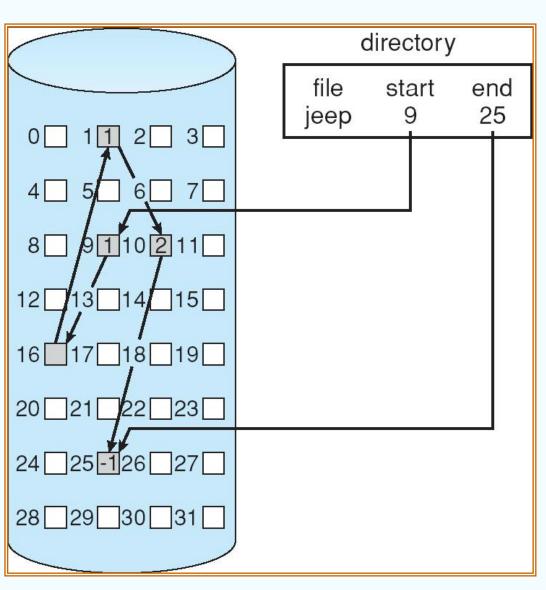


Block to be accessed is the Qth block in the linked chain of blocks representing the file.

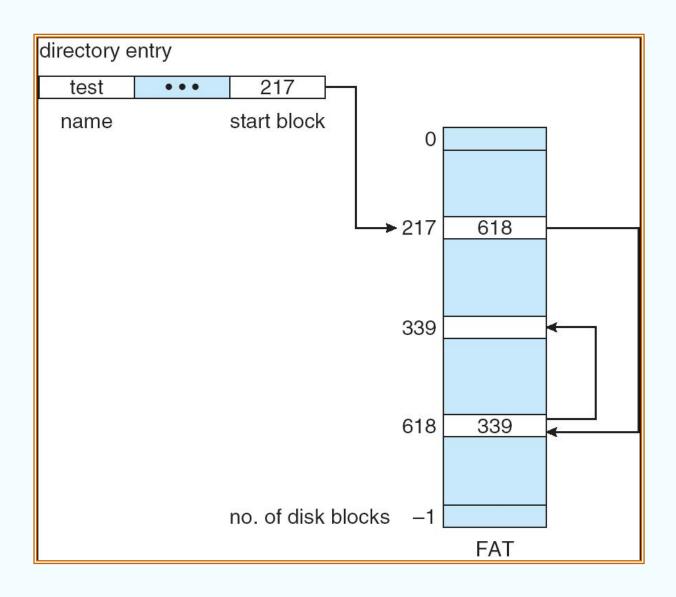
Displacement into block = R + 1

File-allocation table (FAT) – disk-space allocation used by MS-DOS and OS/2.

#### **Linked Allocation**

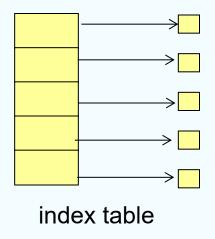


#### **File-Allocation Table**

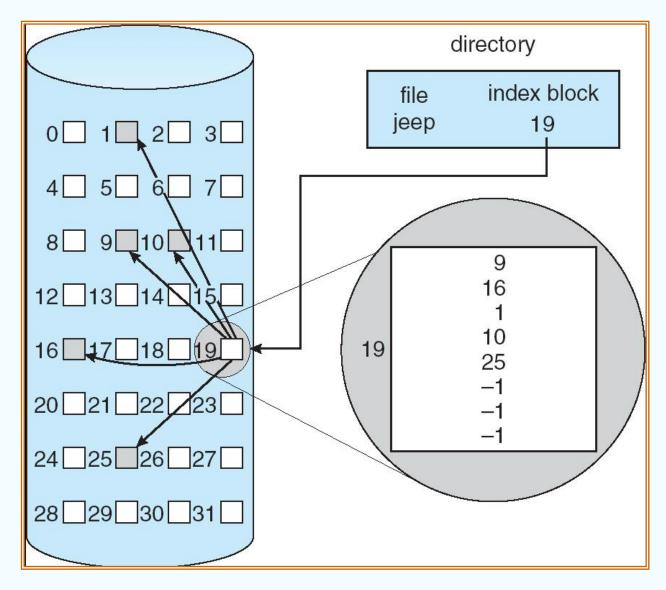


## **Indexed Allocation**

- Brings all pointers together into the index block.
- Logical view.

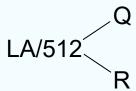


#### **Example of Indexed Allocation**



## **Indexed Allocation (Cont.)**

- Need index table (analogous to page table)
- Random access
- Dynamic access without external fragmentation, but have overhead of index block.
- When mapping from logical to physical in a file of maximum size of 256K words and block size of 512 words. We need only 1 block for index table.



Q = displacement into index table

R = displacement into block

# Indexed Allocation – Mapping (Cont.)

- When mapping from logical to physical in a file of unbounded length (block size of 512 words). – more pointers are needed
- Linked scheme Link blocks of index table (no limit on size).

LA / (512 x 511) 
$$\stackrel{Q_1}{\underset{R_1}{\checkmark}}$$

 $Q_1$  = block of index table

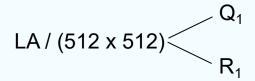
 $R_1$  is used as follows:



 $Q_2$  = displacement into block of index table  $R_2$  displacement into block of file:

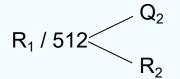
# Indexed Allocation – Mapping (Cont.)

• Two-level index (maximum file size is 5123)



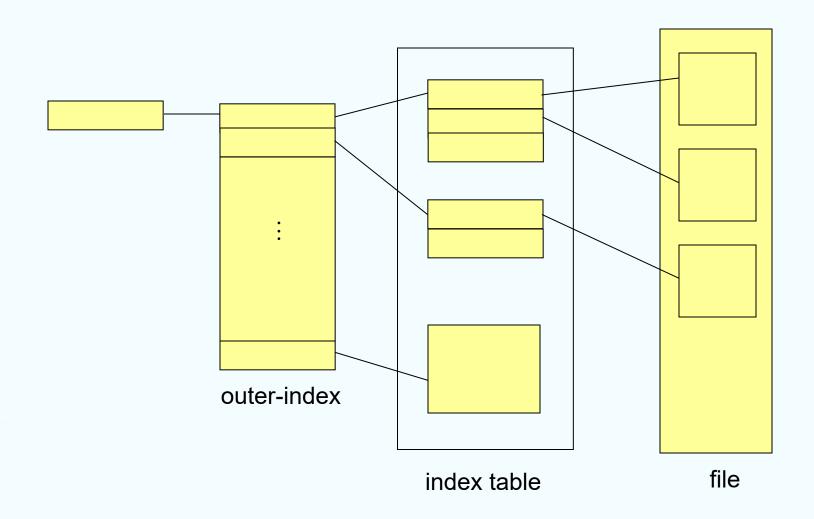
 $Q_1$  = displacement into outer-index

 $R_1$  is used as follows:

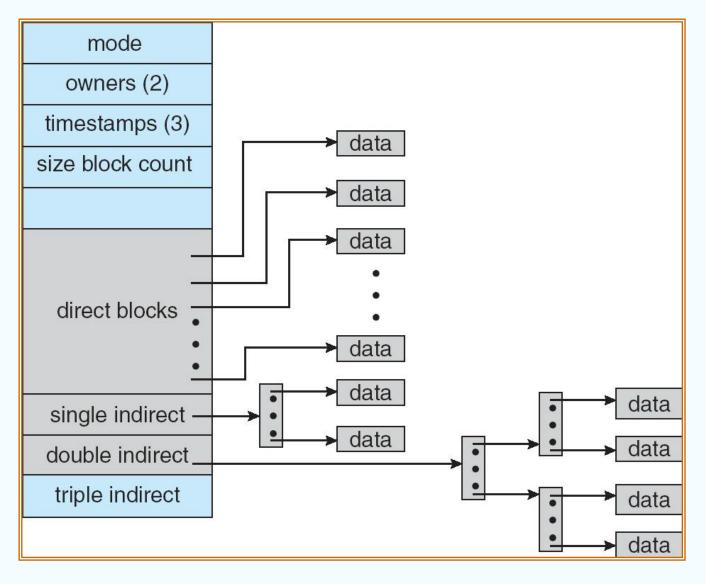


 $Q_2$  = displacement into block of index table  $R_2$  displacement into block of file:

# Indexed Allocation - Mapping (Cont.)

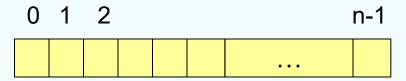


#### Combined Scheme: UNIX (4K bytes per block)



# **Free-Space Management**

Bit vector (n blocks)



$$bit[i] = \begin{cases} 1 \Rightarrow block[i] \text{ free} \\ 0 \Rightarrow block[i] \text{ occupied} \end{cases}$$

Block number calculation (finding the first free block)

(number of bits per word) \* (number of 0-value words) + offset of first 1 bit

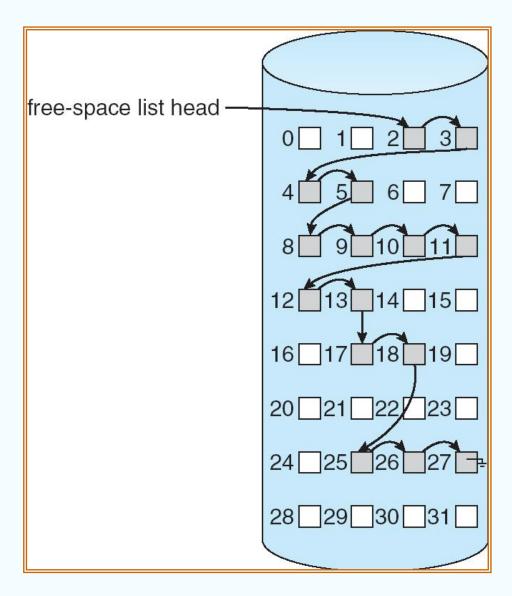
## Free-Space Management (Cont.)

- Bit map requires extra space
  - Example:

```
block size = 2^{12} bytes
disk size = 2^{30} bytes (1 gigabyte)
n = 2^{30}/2^{12} = 2^{18} bits (or 32K bytes)
```

- Easy to get contiguous files
- Linked list (free list) see figure
  - Cannot get contiguous space easily
  - But basically can work (FAT)
  - No waste of space
- Grouping a modification of the Linked List
  - Addresses of the n free blocks are stored in the first block.
  - The first n-1 blocks are actually free. The last block contains addresses of another n free blocks
- Counting
  - Address of the first free block and number n contiguous blocks

#### **Linked Free Space List on Disk**



## Free-Space Management (Cont.)

- Need to protect:
  - Pointer to free list
  - Bit map
    - Must be kept on disk
    - ▶ The copy in memory and disk may differ
    - Cannot allow for block[i] to have a situation where bit[i] = 1 in memory and bit[i] = 0 on disk
  - Solution:
    - Set bit[*i*] = 1 in disk
    - deallocate block[i]
    - Set bit[i] = 1 in memory

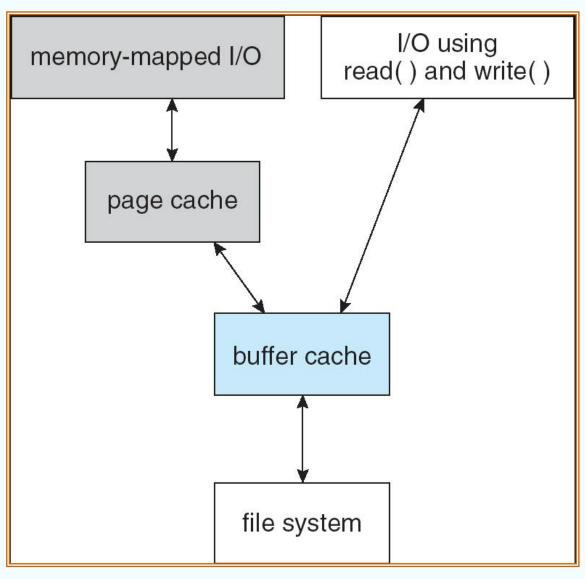
### **Efficiency and Performance**

- Efficiency dependent on:
  - disk allocation and directory algorithms
  - types of data kept in file's directory entry (for example "last write date" is recorded in directory) Generally, every data item has to be considered for its effect.
- Performance
  - disk cache separate section of main memory for frequently used blocks
  - **free-behind and read-ahead** techniques to optimize sequential access
  - improve PC performance by dedicating section of memory as virtual disk, or RAM disk

#### **Page Cache**

- A page cache caches pages rather than disk blocks using virtual memory techniques
- Memory-mapped I/O uses a page cache
- Routine I/O through the file system uses the buffer (disk) cache
- This leads to the following figure

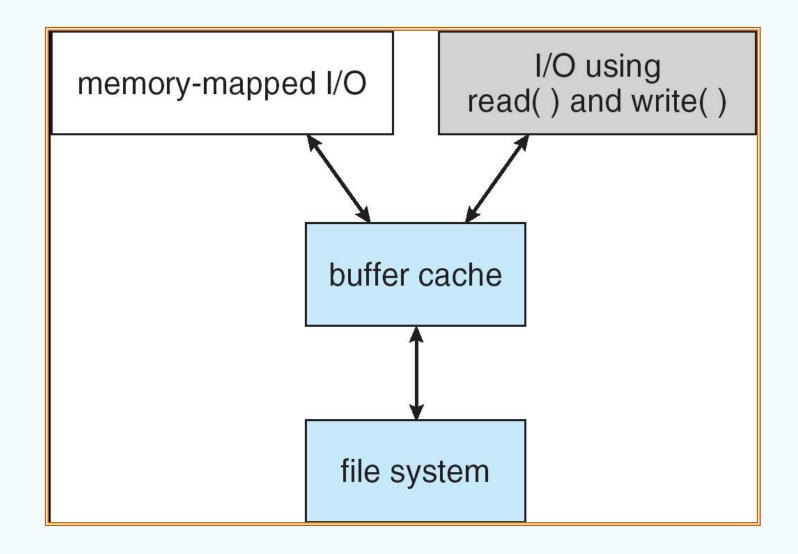
#### I/O Without a Unified Buffer Cache



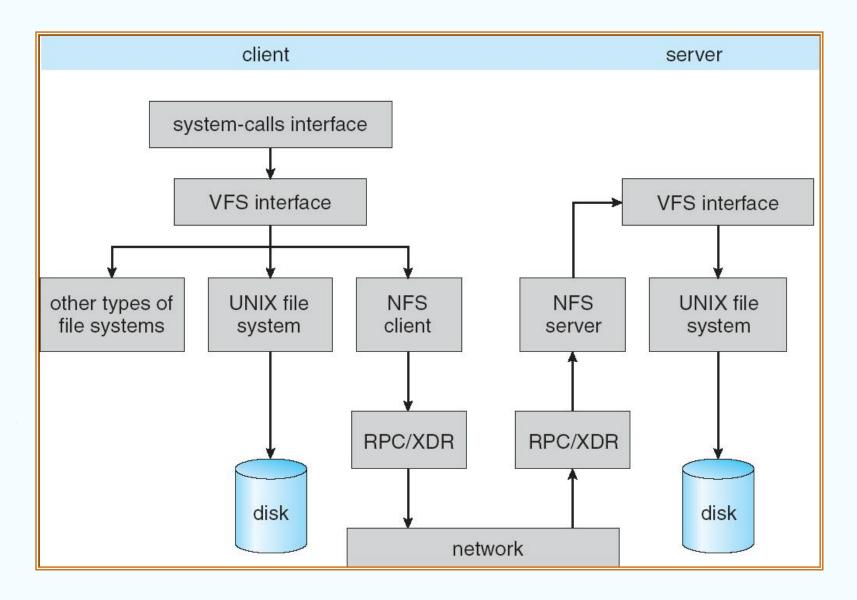
#### **Unified Buffer Cache**

- A unified buffer cache uses the same page cache to cache both memory-mapped pages and ordinary file system I/O
- Avoids double caching

## I/O Using a Unified Buffer Cache



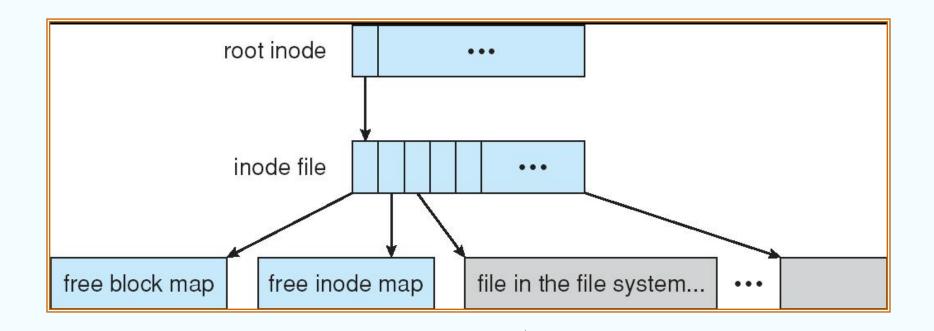
#### **Schematic View of NFS Architecture**



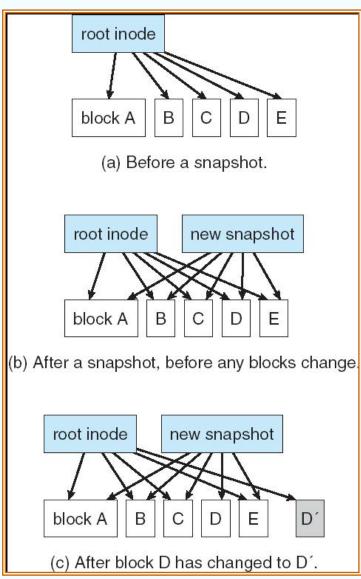
### **Example: WAFL File System**

- Used on Network Appliance "Filers" distributed file system appliances
- "Write-anywhere file layout"
- Serves up NFS, CIFS, http, ftp
- Random I/O optimized, write optimized
  - NVRAM (flash memory) for write caching
- Similar to Berkeley Fast File System, with extensive modifications

# The WAFL File Layout



# **Snapshots in WAFL**



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#### 11.02

file permissions

file dates (create, access, write)

file owner, group, ACL

file size

file data blocks or pointers to file data blocks

# **End of Chapter 11**

#### Write/Read Amplification

- Write amplification is the ratio of the amount of data written to the storage device versus the amount of data written to the database.
- If you are writing 10 MB to the file, and you observe 30 MB disk write, your write amplification is 3.
- Write amplification is bad for flash-based storage lifetime.