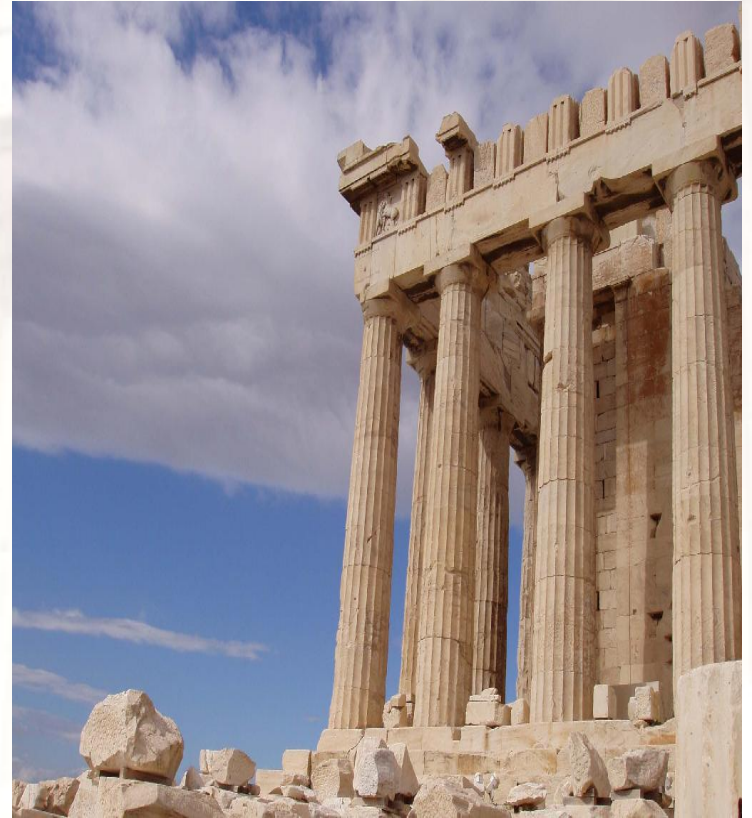


Ch5-3

Synchronization in Multiprocessor





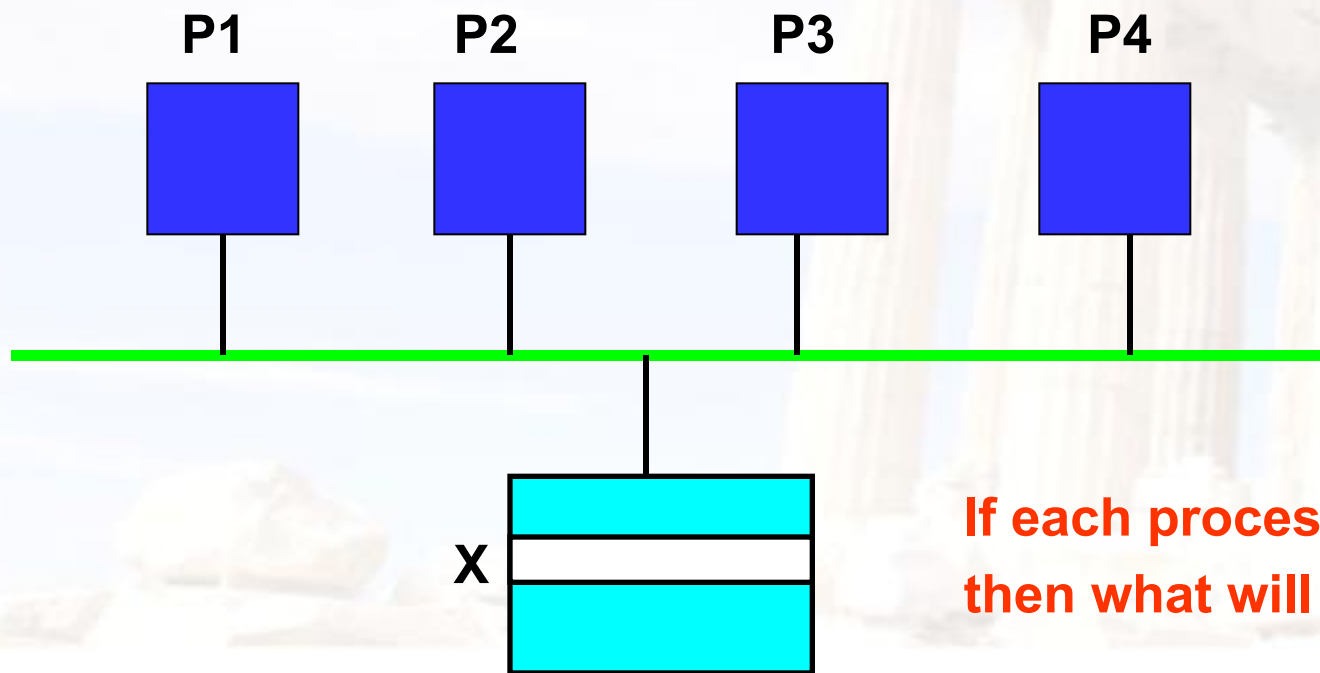
Synchronization

- ❑ **Definition:** the coordination of simultaneous threads or processes to complete a task in order to get correct runtime order and avoid unexpected race conditions
 - **Forks and Joins:** in parallel programming a parallel process may want to wait until several events have occurred.
 - **Producer-Consumer:** A consumer process must wait until the producer process has produced data.
 - **Exclusive use of a resource:** Operating system has to ensure that only one process uses a resource at a given time.



Example:

□ Why Synchronize? Need to know when it is safe for different processes to use shared data



If each process executes $x := x + 1$, then what will be final value of x ?



X could be 1, 2, 3, 4

P0

$R \leftarrow x$

$R \leftarrow R+1$

$x \leftarrow R$

P1

$R \leftarrow x$

$R \leftarrow R+1$

$x \leftarrow R$

P2

$R \leftarrow x$

$R \leftarrow R+1$

$x \leftarrow R$

P3

$R \leftarrow x$

$R \leftarrow R+1$

$x \leftarrow R$



How to implement Synchronization?

- ❑ **Hardware Primitive**: Provide **uninterruptable** instruction to fetch and update memory (atomic operation); or **instruction sequences** that can retrieve and change a value atomically.
- ❑ User level synchronization operation using this primitive;
- ❑ For large scale MPs, **synchronization can be a bottleneck**; need techniques to reduce contention and latency of synchronization

**Hardware Primitives → Synchronize routine → Contentions
→ Better Primitives → performance optimization**



Try without a Hardware Primitive

{**free** is a shared boolean, initially **true** }

Processor 0

while not **free** **do** nothing;

free := **FALSE**;

{CS codes};

free := **TRUE**

Processor 1

while not **free** **do** nothing;

free := **FALSE**;

{CS codes};

free := **TRUE**

P0, P1 both enter the Critical Section !



Try again without Hardware Primitive

{**turn0** and **turn1** are shared boolean, initially false}

P0

turn 0 := TRUE;

while turn1 **do** nothing;

{CS codes}

turn0 := FALSE;

P1

turn 1 := TRUE

while turn0 **do** nothing;

{CS codes}

turn1 := FALSE

Doesn't work with Deadlock !



Simple solution by Gary Peterson(1979)

Process 0

try(0),try(1) : shared variables, initially false.

{Lock}

try(0):= true ;

turn := 0;

while (turn=0 && try(1))

do nothing;

critical section;

{Unlock}

try(0) := false

Process 1

{Lock}

try(1) := true;

turn := 1;

while (turn=1 && try(0))

do nothing;

critical section;

{Unlock}

try(1):= false

What if there are many processors ?



Uninterruptable Instruction to Fetch and Update Memory

- ❑ **Atomic exchange**: interchange a value in a register for a value in memory location
 - 0 => synchronization variable is free
 - 1 => synchronization variable is locked and unavailable
- ❑ **Test-and-set**: tests a value and sets it if the value passes the test
 - 0 => synchronization variable is free
 - 1 => synchronization variable is locked and unavailable
- ❑ **Fetch-and-increment**: it returns the value of a memory location and atomically increments it



Implement Lock using Hardware Primitives

❑ Using Atomic Exchange

```
          DADDUI    R2, R0, #1
lockit:  EXCH      R2, 0(R1)
          BNEZ     R2, lockit
```

❑ Using Test-and-Set

```
lockit:  T&S      R2, 0(R1)
          BNEZ     R2, lockit
```



Uninterruptable Instruction to Fetch and Update Memory

- ❑ Hard to have read & write in 1 instruction:
 - use 2 instead
- ❑ Load linked (load locked) + store conditional
 - Load linked returns the initial value
 - Store conditional returns 1 if it succeeds (no other store to same memory location since proceeding load) and 0 otherwise
 - RISC-V: load reserved/store conditional
 - If the contents of the memory location specified by the load linked are changed before the store conditional to the same address, the store conditional fails
- ❑ Advantage: separate read and write of shared data



Build atomic operations using LL&SC

❑ Example doing **atomic swap** with LL & SC:

try:	mov x3,x4	;mov exchange value
	lr x2, x1	;load reserved from
	sc x3,0(x1)	;store conditional
	bnez x3,try	;branch store fails
	mov x4,x2	;put load value in x4?

❑ Example doing **fetch & increment** with LL & SC:

try:	lr x2,x1	;load reserved 0(x1)
	addi x3,x2,1	;increment
	sc x3,0(x1)	;store conditional
	bnez x3,try	;branch store fails



How to implement LL&SC ?

- ❑ **Link Register**: keep **track of the memory location address** when execute LL.
- ❑ Clear when other processor write into the same location
- ❑ Match the SC address with the Link Register when SC. if not match or any exception, then failed, set return value=0; otherwise succeed.
- ❑ **Note**: Only **Regitser-Register instruction** can be insert between LL & SC, why ?



User Level Synchronization— Operation Using the Primitives

- ❑ **Spin locks**: processor continuously tries to acquire, spinning around a loop trying to get the lock



```
                addi    x2, x0, #1
lockit:         EXCH    x2,0(x1)        ;atomic exchange
                bnez    x2,lockit       ;already locked?
```

- ❑ What about MP with cache coherency?
 - Want to spin on cache copy to avoid full memory latency
 - Likely to get cache hits for such variables
- ❑ If with cache coherence, lock value can be in cache, otherwise is stored in memory.



Optimized Spin lock

❑ **Problem:** exchange includes a write, which invalidates all other copies; this generates considerable bus traffic

❑ **Solution:** start by simply repeatedly reading the variable; when it changes, then try exchange (“test and test&set”):

```
try:      li      x2,#1
lockit:   lw      x3,0(x1)      ;load var
          bnez    x3,lockit     ;not free=>spin
          EXCH    x2,0(x1)      ;atomic exchange
          bnez    x2,try        ;already locked?
```

```
lockit:   ld x2,0(x1)
          bnez x2,lockit
          addi x2,x0,#1
          EXCH x2,0(x1)
          bnez x2,lockit
```



Spin lock using LL&SC

```
lockit: LL      x2, 0(x1)      ;load linked
        BNEZ    x2, lockit    ;not free=>spin
        Addui   x2, x0, #1     ;locked value
        SC      x2, 0(x1)     ;atomic exchange
        BEQZ    x2, lockit    ;already locked?
```



Cache-coherence steps and bus traffic for P0,P1,P2

	P0	P1	P2	Coherence state of lock	Bus/directory activity
1	Has lock	Spins, test if lock==0	Spins, test if lock==0	Shared	None
2	Set lock to 0	(Invalidate received)	(Invalidate received)	Exclusive (P0)	Write invalidate of lock Variable from P0
3		Cache miss	Cache miss	Shared	Bus/directory services P2 cache miss; write back from P0
4		(Waits while bus/directory busy)	Lock=0	Shared	Cache miss for P2 Satisfied
5		Lock = 0	Executes swap, gets cache miss	Shared	Cache miss for P1 satisfied
6		Executes swap, gets cache miss	Completes swap, returns 0 and set Lock=1	Exclusive (P2)	Bus/directory services P2 cache miss; generates invalidate; Lock is exclusive.
7		Swap completes and returns 1, and set Lock =1	Enter critical section	Exclusive (P1)	Bus/directory services P1 cache miss; send invalidate and generates write back from P2
8		Spins, test if Lock ==0			None

Advantage of this scheme: reduces memory traffic



Performance analysis of spin lock

□ Assume:

- that 10 processors content one spin lock at the same time.
- Each bus miss takes 100 clock cycles.
- No latency if hit in cache.

□ Question: How many cycles need to get the lock once for all the 10 processors ?

□ Answer: each $n-i+1$ iteration

- i processor read miss get lock = 0
- i processor write miss try to lock it.
- Write the lock to release
- Sum ($2i + 1$) ($i=N,..1$) = $N^2 + 2N$, total **12000** clock cycles



Barrier Synchronization

❑ **A barrier:** forces all processes to wait until all the processes reach the barrier and then release all the processes to go forward.

❑ **How to implement ?**

- Shared counter
- Spin waiting for release signal



Code for barrier

```
lock ( counterlock );           //ensure update atomic
if ( count == 0 ) release = 0; // first-->reset release
count = count +1;              // count arrivals
unlock ( counterlock );        // release lock
if ( count == total ){         // all arrived
    count = 0;                 // reset counter
    release =1;                // release processes
}
else {                          // more to come
    spin(release ==1) ;        // wait for arrivals
}
```

Is there anything wrong ?



Is there anything implicit error ?

Code for barrier (updated)

```
{
lock ( counterlock );           //ensure update atomic
if ( count == 0 ) release = 0;  // first-->reset release
    count = count +1;           // count arrivals
if ( count == total ){         // all arrived
    count = 0;                  // reset counter
    release =1;                 // release processes
}
unlock ( counterlock ); // release lock
spin(release ==1) ;           // wait for arrivals
}
```

Slower processes may be trapped in barrier by the fastest process.

Final result: all trapped some where.



Alternatives to improve

❑ Count again when leave the barrier

- Set another shared counter to count down the existing process in barrier
- Forbid any process reenter the barrier before every process leave the previous instance
- NO! Extra long latency !

❑ Sense-reversing barrier

- Private variable *Local_sense*



Sense-reversing barrier

```
{
Local_sense = ! Local_sense; //toggle local_sence
lock ( counterlock );        //ensure update atomic
count = count +1;            // count arrivals
if ( count == total ){       // all arrived
    count = 0;                // reset counter
    release = local_sense;    // release processes
}
unlock ( counterlock );      // release lock
spin( release == local_sense ); // wait for signal
}
```

Solve the “trap” problem but still poor performance !



Performance analysis of barrier

□ Assume:

- that 10 processors each execute a barrier simultaneously.
- Each bus miss takes 100 clock cycles.
- No latency if hit in cache.
- Processors are equal fast, No worry about code outside barrier
- Lock is implemented using LL&SC

□ Question: How many cycles need to arrive the barrier then leave?





Analysis

Event	Number of times for process i	Corresponding source line	Comment
LL counter lock	i	Lock(counterlock)	All processes try for lock
Store conditional	i	Lock(counterlock)	All processes try for lock
LD count	1	Count=count+1	Successful process
Load linked	$i-1$	Lock(counterlock)	Unsuccessful process; try again
SD count	1	Count=count+1	Miss to get exclusive access
SD counterlock	1	Lock(counterlock)	Miss to get the lock
LD release	2	Spin(release==local_sense)	Read release: misses initially and when finally written

□ i^{th} processor will have $3i+4$ bus transactions

□ The last processor arrives will have only one bus transaction on LD release

$$\sum (3i + 4) - 1 = \frac{3n^2 + 11n}{2} - 1$$

20400 cycles





Performance Analysis

❑ Two main factors

➤ Synchronization latency

- Spin with LL&SC: 2 bus transaction of 1 read & 1 write
- Spin with EXCH: 1 bus transaction if lock is often free

➤ Contention due to serialization

- worse when scale up

❑ What can do for synchronization on Large scale MP ?

- Decrease the latency when not much contention
- Try to minimize the contentions when higher possibility of competition

Software approach

→ Better hardware Primitives



Review the spin lock again

□ Assume:

- that 10 processors content one **spin lock** at the same time.
- Each bus miss takes 100 clock cycles.
- No latency if hit in cache.

□ Question: How many cycles need to get the lock once for all the 10 processors ?

□ Answer: each $n-i+1$ iteration

- i processor read miss get lock = 0
- i processor write miss try to lock it. **Unnecessary writes !**
- Write the lock to release
- $\text{Sum} (2i + 1) (i=N,..1) = N^2 + 2N$, total **12000** clock cycles



Software implementation exponential back-off

```
    DADDUI R3,R0,# 1      ;R3=initial delay
lockit: LL    R2, 0(R2)    ;load linked
        BNEZ R2, lockit   ;not available-spin
    DADDUI    R2, R2, #1   ;get locked value
    SC    R2, 0(R1)       ;store conditional
    BNEZ R2, gotit        ;branch if store succeeds
    DSLL R3, R3, #1       ;increase delay by 2
    PAUSE R3              ;delays by value in R3
    J      lockit
gotit:  use data protected by lock
```

Try to decrease the contentions when bad contention &
obtain low latency when small contention.



Queuing Lock

- ❑ Using array to keep track of the waiting processes
- ❑ One Process only try to get the lock when it's turn come up.
- ❑ Every processor using a different address for the lock
- ❑ Transfer the lock from one process to another explicitly.



Review the barrier again

Event	Number of times for process i	Corresponding source line	Comment
LL counter lock	i	Lock(counterlock)	All processes try for lock
Store conditional	i	Lock(counterlock)	All processes try for lock
LD count	1	Count=count+1	Successful process
Load linked	$i-1$	Lock(counterlock)	Unsuccessful process; try again
SD count	1	Count=count+1	Miss to get exclusive access
SD counterlock	1	unlock(counterlock)	Miss to get the lock
LD release	2	Spin(release==local_sense)	Read release: misses initially and when finally written

□ i^{th} processor will have $3i+4$ bus transactions

□ The last processor arrives will have only one bus transaction on LD release

$$\sum (3i + 4) - 1 = \frac{3n^2 + 11n}{2} - 1$$

20400 cycles





Software Approach: Combining tree barrier

- ❑ Use predetermined n-ary tree structure
- ❑ When k processes arrive at a child node, it report to its parent node. The processes will be released while arrived at the root.
- ❑ Most K th processors will content for lock at one node.
- ❑ Sense reversing technique is used.

Software Approach: Combining tree barrier

```
barrier (int mynode, int local_sense) {
    lock (tree[mynode].counterlock); /* protect count */
    tree[mynode].count=tree[mynode].count+1;
    /* increment count */
    if (tree[mynode].count==k) { /* all arrived at mynode */
        if (tree[mynode].parent >=0) {
            barrier(tree[mynode].parent);
        } else{
            release = local_sense;
        };
        tree[mynode].count = 0; /* reset for the next time */
    }
    unlock (tree[mynode].counterlock); /* unlock */
    spin (release==local_sense); /* wait */
};

/* code executed by a processor to join barrier */
local_sense =! local_sense;
barrier (mynode);
```




Hardware Primitive

❑ Two primitive

- QueueLock: for lock
- Fetch&Increment: for barrier

❑ Difference with previous primitive

- Same latency but much less serialization



Queuing lock

- ❑ Explicitly handing the lock from one waiting processor to the next
- ❑ Synchronization is integrated with memory controller or directory controller
- ❑ **How does it work ?**
 - Miss is sent to synchronization controller on first miss
 - If the lock is free: returned to the processor
 - Else: create a record of node request;
 - send back the locked value;

While lock is available, pick one processor and update/invalidate the lock variable in the cache.



Performance of queuing lock

❑ **Question:** How long does it take to have 10 processors lock and unlock the variable using a Queuing Lock ?

❑ **Answer:**

- n : miss for the first access
- 1: free the lock by the first processor who get
- 2: receive the lock, free the lock for each processor of $(n-1)$.
- Total: $n+1+2(n-1) = 3n-1$, **2900** clock cycle



Key issues of implementing Queuing lock

- ❑ Distinguish the initial access of the lock
- ❑ Queue can be implemented by a bit vector like for the shared set
- ❑ Capability of reclaim the queuing lock



Primitive: Fetch&Increment

❑ Decreasing serialization

- For barrier: decrease serialization when collection.
- For combining tree: decrease serialization on every node.



Barrier using Fetch&Increment

```
{  
Local_sense = ! Local_sense;           //toggle local_sence  
fetch_and_increment(count);           //atomic update  
if ( count == total ){                 // all arrived  
  
    count = 0;                         // reset counter  
    release = local_sense;            // release processes  
  
}  
else {  
spin(release == local_sense) ; // wait for signal  
}
```

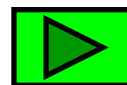



Performance for improved barrier

❑ **Question:** How long does it take to have 10 processors arrive and exit the barrier ?

❑ **Answer:**

- n : fetch&increment of the count
- n : read miss for the count
- n : read miss for the release.
- Total: $3n$, **3000** clock cycle





Conclusion

❑ Efficient use of large scale multiprocessor is a big challenge.

- Synchronization performance
- Memory latency
- Potential load imbalance



Fallacies and Pitfalls

- ❑ Measuring performance of multiprocessors by linear speedup versus execution time
- ❑ Amdahl's Law doesn't apply to parallel computers
- ❑ Linear speedups are needed to make multiprocessors cost-effective
 - Doesn't consider cost of other system components
- ❑ Not developing the software to take advantage of, or optimize for, a multiprocessor architecture