# Chapter 4

# Integers and rationals

## 4.1 The integers

## Definition 4.1.1 (Integers).

An integer is an expression of the form a - -b, where a and b are natural numbers. Two integers are considered to be equal, a - -b = c - -d, if and only if a + d = c + b. We let **Z** denote the set of all integers.

### Definition 4.1.2

The sum of two integers, (a - b) + (c - d), is defined by the formula

$$(a--b) + (c--d) := (a+c) - (b+d).$$

The product of two integers,  $(a - -b) \times (c - -d)$ , is defined by

$$(a - -b) \times (c - -d) := (ac + bd) - -(ad + bc).$$

### Lemma 4.1.3 (Addition and multiplication are well-defined).

Let a, b, a', b', c, d be natural numbers. If (a - -b) = (a' - -b'), then (a - -b) + (c - -d) = (a' - -b') + (c - -d) and  $(a - -d) \times (c - -d) = (a' - -b') \times (c - -d)$ , and also (c - -d) + (a - -b) = (c - -d) + (a' - -b') and  $(c - -d) \times (a - -b) = (c - -d) \times (a' - -b')$ . Thus addition and multiplication are well-defined operations (equal inputs give equal outputs).

### Definition 4.1.4 (Negation of integers).

If (a-b) is an integer, we define the negation -(a-b) to be the integer (b-a). In particular if n=n-0 is a positive natural number, we can define its negation -n=0-n.

## Lemma 4.1.5 (Trichotomy of integers).

Let x be an integer. Then exactly one of the following three statements is true: (a) x is zero; (b) x is equal to a positive natural number n; or (c) x is the negation -n of a positive natural number n.

## Proposition 4.1.6 (Laws of algebra for integers).

Let x, y, z be integers. Then we have

$$x + y = y + x$$

$$(x + y) + z = x + (y + z)$$

$$x + 0 = 0 + x = x$$

$$x + (-x) = (-x) + x = 0$$

$$xy = yx$$

$$(xy)z = x(yz)$$

$$x1 = 1x = x$$

$$x(y + z) = xy + xz$$

$$(y + z)x = yx + zx$$

## Proposition 4.1.8 (Integers have no zero divisors).

Let a and b be integers such that ab = 0. Then either a = 0 or b = 0 (or both).

### Corollary 4.1.9 (Cancellation law for integers).

If a, b, c are integers such that ac = bc and c is non-zero, then a = b.

### Definition 4.1.10 (Ordering of the integers).

If n and m be integers. We say that n is greater than or equal to m, and write  $n \ge m$  or  $m \le n$ , iff we have n = m + a for some natural number a. We say that n is strictly greater than m, and write n > m or m < n, iff  $n \ge m$  and  $n \ne m$ .

## Lemma 4.1.11 (Properties of order).

Let a, b, c be integers.

- (a) a > b if and only if a b is a positive natural number.
- (b) (Addition preserves order) If a > b, then a + c > b + c.
- (c) (Positive multiplication preserves order) If a > b and c is positive, then ac > bc.
- (d) (Negation reverses order) If a > b and b > c, then a > c.
- (e) (Order trichotomy) Exactly one of the statements a > b, a < b, or a = b is true.

## Exercise 4.1.1

Verify that the definition of equality on the integers is both reflexive and symmetric.

*Proof.* Reflexivity: since summation is reflexive, we have a+b=a+b. Thus, by definition, a-b=a-b. Symmetry: assume a-b=c-d, then a+d=c+b. Since summation is symmetric, c+b=a+d. By definition, we have c-d=a-b.

## Exercise 4.1.2

Show that the definition of negation on the integers is well-defined in the sense that (a - -b) = (a' - -b'), then -(a - -b) = -(a' - -b') (so equal integers have equal negations).

*Proof.* Since (a-b)=(a'-b'), by definition, a+b'=a'+b. By the reflexivity and symmetry of summation, we have b+a'=b'+a. Thus, by definition, b-a=b'-a'. By definition of negation of integers, -(a-b)=-(a'-b').

#### Exercise 4.1.3

Show that  $(-1) \times a = -a$  for every integer a.

*Proof.* By definition, 
$$-1 = (0 - -1)$$
 and  $a = (a - -0)$ . Then  $(-1) \times a = (0 - -1) \times (a - -0) = (0 \times a + 1 \times 0) - -(0 \times 0 + 1 \times a) = 0 - -a = -a$ .

### Exercise 4.1.4

Prove the remaining identities in Proposition 4.1.6.

1. 
$$x + y = y + x$$
.

Proof. Suppose x = a - b and y = c - d for some natural numbers a, b, c, d. Then x + y = (a - b) + (c - d) = (a + c) - (b + d) and y + x = (c - d) + (a - b) = (c + a) - (d + b). By the symmetry property of summation, we have (a + c) = (c + a) and (b + d) = (d + b). Thus, x + y = y + x.

2. 
$$(x+y) + z = x + (y+z)$$
.

*Proof.* Suppose x = a - -b, y = c - -d, and z = e - -f for some natural numbers a, b, c, d, e, f. Then

$$(x+y) + z = ((a-b) + (c-d)) + (e-f)$$

$$= ((a+c) - -(b+d)) + (e-f)$$

$$= ((a+c) + e) - -((b+d) + f)$$

$$= (a+c+e) - -(b+d+f);$$

$$x + (y+z) = (a-b) + ((c-d) + (e-f))$$

$$= (a-b) + ((c+e) - -(d+f))$$

$$= (a+(c+e)) - -(b+(d+f))$$

$$= (a+c+e) - -(b+d+f).$$

Therefore, (x + y) + z = x + (y + z).

3. 
$$x + 0 = 0 + x = x$$
.

*Proof.* Since x + y = y + x, we have x + 0 = 0 + x. Let x = a - -b for some natural numbers a, b, and write 0 = 0 - -0. Then x + 0 = (a - -b) + (0 - -0) = (a + 0) - -(b + 0) = a - -b = x. Thus, x + 0 = 0 + x = x.

4. x + (-x) = (-x) + x = 0.

*Proof.* Since x + y = y + x, we have x + (-x) = (-x) + x. Let x = a - -b for some natural numbers a, b, then -x = b - -a. Write 0 as 0 - -0. Then x + (-x) = (a - -b) + (b - -a) = (a + b) - -(b + a). Since (a + b) + 0 = (b + a) + 0 = a + b, we have that (a + b) - -(b + a) = 0 - -0. So x + (-x) = 0. Thus, x + (-x) = (-x) + x = 0.

5. xy = yx.

*Proof.* Let x = a - b and y = c - d for some natural numbers a, b, c, d. Then

$$xy = (a - -b) \times (c - -d)$$

$$= (ac + bd) - -(ad + bc);$$

$$yx = (c - -d) \times (a - -b)$$

$$= (ca + db) - -(cb + da)$$

$$= (ac + bd) - -(ad + bc).$$

Therefore, xy = yx.

- 6. (xy)z = x(yz). Has been proved on page 79.
- 7. x1 = 1x = x.

*Proof.* Since xy = yx, we have x1 = 1x. Let x = a - b for some natural numbers a, b. 1x = (1 - 0)(a - b) = 1a - 1b = a - b = x. Thus, x1 = 1x = x.

8. x(y+z) = xy + xz.

*Proof.* Let x = a - -b, y = c - -d, and z = e - -f for some natural numbers a, b, c, d, e, f. Then

$$x(y+z) = (a - -b)((c - -d) + (e - -f))$$

$$= (a - -b)((c + e) - -(d + f))$$

$$= (a(c + e) + b(d + f)) - -(a(e + f) + b(c + d))$$

$$= (ac + ae + bd + bf) - -(ae + af + bc + bd);$$

$$xy + xz = (a - -b)(c - -d) + (a - -b)(e - -f)$$

$$= ((ac + bd) - -(ad + bc)) + ((ae + bf) - -(af + be))$$

$$= ((ac + bd) + (ae + bf)) - -((ad + bc) + (af + be))$$

$$= (ac + ae + bd + bf) - -(ae + af + bc + bd).$$

Therefore, x(y+z) = xy + xz.

9. (y+z)x = yx + zx.

*Proof.* Since xy = yx, we have (y + z)x = x(y + z). By using identities, we get xy + xz = yx + zx. And because x(y + z) = xy + xz, (y + z)x = yx + zx.  $\square$ 

## Exercise 4.1.5

Prove Proposition 4.1.8.

Proof. From now on we could just use - instead of --. Let a=c-d and b=e-f for some natural numbers c,d,e,f. So  $ab=0 \implies (c-d)(e-f)=0$ . Assume  $c-d\geq 0$  and  $e-f\geq 0$ , by Lemma 2.3.3, at least one of a=(c-d) and b=e-f is equal to 0. If at least one of (c-d) and (e-f) is negative, without loss of generality, assume c-d<0. Then -(c-d)=d-c>0 and we have  $(d-c)(e-f)=-1\times 0=0$ . By Lemma 2.3.3, at least one of -a=d-c and b=e-f is equal to zero, and this statement is equivalent to either a=0 or b=0 (or both).

### Exercise 4.1.6

Prove Corollary 4.1.9.

Proof.  $ac = bc \implies ac - bc = ac + (-b)c = (a + (-b))c = (a - b)c = 0$  by Proposition 4.1.6. By Proposition 4.1.8, at least one of (a - b) and c is equal to 0. Since  $c \neq 0$ , a - b = 0. Thus, a = b.

#### Exercise 4.1.7

Prove Lemma 4.1.11.

- (a) Proof. We need to show that  $a > b \iff a b$  is a positive natural number. Suppose a > b. By definition, there exists a positive natural number n such that a = b + n. So a b = n > 0 as required. Suppose a b is a positive natural number. Then  $a b = n \iff a = b + n$  for some positive integer n. Thus, a > b.
- (b) Proof. Since a > b, there exists a positive natural number n such that a b = n. Then  $(a+c) - b = c + n \iff (a+c) = (b+c) + n$ . Therefore, a+c > b+c.  $\square$
- (c) Proof. Since a > b, there exists a positive natural number n such that a b = n. Since (a b) and n are both natural numbers, we have c(a b) = cn for any positive integer c. Then ac = bc + cn where cn is a positive natural number. Therefore, ac > bc.
- (d) Proof. Since a > b, there exists a positive natural number n such that a b = n. Then -b = -a + n. Since n > 0, -b > -a.
- (e) Proof. Since a > b, there exists a positive natural number n such that a b = n. Since b > c, there exists a positive natural number m such that b c = m. Then (a b) + (b c) = a c = n + m where (n + m) is a positive natural number. Therefore, a > c.
- (f) *Proof.* Since a b is an integer, by Lemma 4.1.5, exactly one of the following three statement is true:

- (a) a b is zero. Then a = b.
- (b) a b is equal to a positive natural number n. a b = n, so a > b.
- (c) -(a-b) = b-a is equal to a positive natural number n. b-a = n, so b > a which is equivalent to a < b.

#### Exercise 4.1.8

Show that the principle of induction does not apply directly to the integers. More precisely, give an example of a property P(n) pertaining to an integer n such that P(0) is true, and that P(n) implies P(n++) for all integers n, but that P(n) is not true for all integers n. Thus induction is not as useful a tool for dealing with the integers as it is with the natural numbers.

*Proof.* A counterexample of P(n) could be  $f(n) = n^2$  is a monotonically increasing function.

## 4.2 The rationals

## Definition 4.2.1

A rational number is an expression of the form a//b, where a and b are integers and b is non-zero; a//0 is not considered to be a rational number. Two rational numbers are considered to be equal, a//b = c//d, if and only if ad = cb. The set of all rational numbers is denoted  $\mathbf{Q}$ .

#### Definition 4.2.2

If a//b and c//d are rational numbers, we define their sum

$$(a//b) + (c//d) := (ad + bc)//(bd)$$

their product

$$(a//b) * (c//d) := (ac)//(bd)$$

and the negation

$$-(a//b) := (-a)//b.$$

#### Lemma 4.2.3

The sum, product, and negation operations on rational numbers are well-defined, in the sense that if one repalce a//b with another rational number a'//b' which is equal to a//b, then the output of the above operations remains unchanged, and similarly for c//d.

## Proposition 4.2.4 (Laws of algebra for rationals).

Let x, y, z be rationals. Then the following laws of algebra hold:

$$x + y = y + x$$

$$(x + y) + z = x + (y + z)$$

$$x + 0 = 0 + x = x$$

$$x + (-x) = (-x) + x = 0$$

$$xy = yx$$

$$(xy)z = x(yz)$$

$$x1 = 1x = x$$

$$x(y + z) = xy + xz$$

$$(y + z)x = yx + zx.$$

If x is non-zero, we also have

$$xx^{-1} = x^{-1}x = 1.$$

#### Definition 4.2.6

A rational number x is said to be positive iff we have x = a/b for some positive integers a and b. It is said to be negative iff we have x = -y for some positive rational y (i.e., x = (-a)/b for some positive integers a and b).

## Lemma 4.2.7 (Trichotomy of rationals).

Let x be a rational number. Then exactly one of the following three statements is true: (a) x is equal to 0. (b) x is a positive rational number. (c) x is a negative rational number.

## Definition 4.2.8 (Ordering of the rationals).

Let x and y be rational numbers. We say that x > y iff x - y is a positive rational number, and x < y iff x - g is a negative rational number. We write  $x \ge y$  iff either x > y or x = y, and similarly define  $x \le y$ .

## Proposition 4.2.9 (Basic properties of order on the rationals).

Let x, y, z be rational numbers. Then the following properties hold.

- (a) (Order trichotomy) Exactly one of the three statements x = y, x < y, or x > y is true.
- (b) (Order is anti-symmetric) One has x < y if and only if y > x.
- (c) (Order is transitive) If x < y and y < z, then x < z.
- (d) (Addition preserves order) If x < y, then x + z < y + z.
- (e) (Positive multiplication preserves order) If x < y and z is positive, then xz < yz.

#### Exercise 4.2.1

Show that the definition of equality for the rational numbers is reflexive, symmetric, and transitive.

*Proof.* Reflexivity: suppose a, b are some natural numbers. Since ab = ab, by definition, we have a//b = a//b. Symmetry: suppose we have a//b = c//d for some natural numbers a, b, c, d. Since ad = cb implies cb = ad, by definition, we have c//d = a//b. Transitivity: suppose we have a//b = c//d and c//d = e//f for some natural numbers a, b, c, d, e, f. Then we have ad = cb and cf = ed. So adf = cbf,

then adf = (af)d = b(cf) = b(ed) = (eb)d. Since  $d \neq 0$ , by Corollary 4.1.9, af = eb. By definition, a//b = e//f.

#### Exercise 4.2.2

Prove the remaining components of Lemma 4.2.3.

*Proof.* Multiplication: suppose a//b = a'//b' where a, b, a', b' are some natural numbers. We want to show that (a//b)\*(c//d) = (a'//b')\*(c//d). Since a//b = a'//b', we have ab' = a'b. Then (ab')(cd) = (a'b)(cd), by identities, we have (ac)(b'd) = (bd)(a'c). By definition of equality for the rationals, we have (ac)//(bd) = (a'c)//(b'd). Thus, (a//b)\*(c//d) = (a'//b')\*(c//d).

Negation: suppose a//b = a'//b' where a, b, a', b' are some natural numbers. Since ab' = a'b, we have (-a)b' = -ab' = -a'b = (-a')b. Then by definition, we have -(a//b) = (-a)//b = (-a')//b' = -(a'/b') as required.

## Exercise 4.2.3

Prove the remaining components of Proposition 4.2.4.

1. 
$$x + y = y + x$$
.

*Proof.* Let x = a//b and y = c//d for some integers a, b, c, d and  $b, d \neq 0$ . Then

$$x + y = a//b + c//d$$

$$= (ad + bc)//bd$$

$$y + x = c//d + a//b$$

$$= (cb + da)//db$$

$$= (ad + bc)//bd.$$

Therefore, x + y = y + x.

2. 
$$(x+y) + z = x + (y+z)$$
.

*Proof.* The proof is on page 84.

3. x + 0 = 0 + x = x.

*Proof.* Since x + y = y + x for rational numbers x, y, we have x + 0 = 0 + x. Let x = a//b for some integers a, b and  $b \neq 0$ . Write 0 as 0//1. Then

$$x + 0 = a//b + 0//1$$
$$= (a + 0)//b$$
$$= a//b$$
$$= x.$$

Therefore, x + 0 = 0 + x = 0

4. x + (-x) = (-x) + x = 0.

*Proof.* Since x+y=y+x, x+(-x)=(-x)+x. Let x=a//b for some integers a,b and  $b\neq 0$ . Then -x=-(a//b).

$$x + (-x) = a//b - (a//b)$$

$$= a//b + (-a)//b$$

$$= (ab + (-a)b)//b^{2}$$

$$= 0.$$

Therefore, x + (-x) = (-x) + x = 0.

5. xy = yx.

*Proof.* Let x = a//b and y = c//d for some integers a, b, c, d and  $b, d \neq 0$ . Then

$$xy = (ac)//(bd)$$
$$yx = (ca)//(db)$$
$$= (ac)//(bd).$$

Therefore, xy = yx.

6. (xy)z = x(yz).

*Proof.* Let x=a//b, y=c//d, and z=e//f for some integers a,b,c,d,e,f and  $b,d,e\neq 0$ . Then

$$(xy)z = ((a//b) * (c//d)) * (e//f)$$

$$= (ac//bd) * (e//f)$$

$$= ((ac)e)//((bd)f)$$

$$= (ace)//(bdf)$$

$$x(yz) = (a//b) * ((c//d) * (e//f))$$

$$= (a//b) * ((ce)//(df))$$

$$= (a(ce))//(b(df))$$

$$= (ace)//bdf.$$

Therefore, (xy)z = x(yz).

7. x1 = 1x = x.

*Proof.* Since xy = yx, we have x1 = 1x. Let x = a//b for some integers a, b and  $b \neq 0$ . Then

$$x1 = (a//b) * (1//1)$$
  
=  $(a1)//(b1)$   
=  $a//b$   
=  $x$ .

Thus, x1 = 1x = x.

8. x(y+z) = xy + xz.

*Proof.* Let x = a//b, y = c//d, and z = e//f for some integers a, b, c, d, e, f

and  $b, d, e \neq 0$ . Then

$$x(y+z) = (a//b) * ((cf + de)//(df))$$

$$= (a(ef + de))//(bdf)$$

$$= (acf + ade)//(bdf)$$

$$xy + xz = (a//b) * (c//d) + (a//b) * (e//f)$$

$$= (ac)//(bd) + (ae)//(bf)$$

$$= (acbf + bdae)//(b^2df)$$

$$= (acf + ade)//(bdf).$$

Thus, 
$$x(y+z) = xy + xz$$
.

 $9. \ (y+z)x = yx + zx.$ 

*Proof.* Since xy = yx, we have x(y + z) = (y + z)x. By using identities, we have xy + xz = yx + zx. Therefore, since x(y + z) = xy + xz, we have (y + z)x = yx + zx.

10. If x is non-zero, then  $xx^{-1} = x^{-1}x = 1$ .

*Proof.* Let x = a//b where a, b are non-zero integers. Then  $x^{-1} = b//a$ . Since xy = yx, we have  $xx^{-1} = x^{-1}x$ . Then  $xx^{-1} = (ab)//(ba)$ . Since (ab)1 = 1(ba), we have  $xx^{-1} = (ab)//(ba) = 1//1 = 1$ . Thus,  $xx^{-1} = x^{-1}x = 1$ .

## Exercise 4.2.4

Prove Lemma 4.2.7.

*Proof.* Let x = a//b where a, b are integers and  $b \neq 0$ . Consider all the possible combinations of a and b:

- a = 0. x = 0//b = 0.
- a > 0, b > 0. By definition, x = a//b is positive.

- a > 0, b < 0. Then -b > 0. So x = -(a//(-b)) where a//(-b) is a positive rational number. Therefore, x is negative.
- a < 0, b > 0. Similarly, we can show that x = a//b is negative.
- a < 0, b < 0. Then -a > 0 and -b > 0. Since x = a//b = (-a)//(-b), by definition, x is positive.

So we have proved that at least one of the statements is true. Then we need to check that at most one of them is true. Assume a=0. Then by Trichotomy of integers, a cannot be positive nor negative. So by definition, x=a//b cannot be positive nor negative. Thus, if a rational number is 0, it cannot be positive nor negative. Then we need to show that a rational number cannot be positive and negative at the same time. Assume x=a//b is positive, then by definition, a>0, b>0. Assume x is also negative, so there exists a positive -y=-c//d=x. Then ad=-bc which leads to an integer being negative and positive at the same time (contradiction). Thus, a rational number cannot be positive and negative at the same time. Therefore, at most of the three statements is true. Hence, exactly one of the three statements is true.

### Exercise 4.2.5

Prove Proposition 4.2.9.

- (a) *Proof.* x y is a rational number, by Lemma 4.2.7, exactly one of x y = 0, x y > 0, or x y < 0 is true. Thus, exactly one of the three statements x = y, x < y, or x > y is true.
- (b) Proof. Assume x < y. So x y = r is a negative rational number. Then -r is positive and y x = -r. Therefore, y > x. Assume y > x. So y x = r is a positive rational number. Then -r is negative and x y = -r. Therefore, x < y.
- (c) Proof.  $x < y \implies y x = r$  where r is a positive rational number.  $y < z \implies z y = s$  where s is a positive rational number. Then z x = z (y + r) = s + r which is also a positive rational number. Thus, x < z.

- (d) Proof.  $x < y \implies y x = r$  where r is a positive rational number. Then (y+z) (x+z) = r > 0. Therefore, x+z < y+z.
- (e) Proof.  $x < y \implies y x = r$  where r is a positive rational number. We have (y x)z = yz xz = zr > 0. Therefore, xz < yz.

#### Exercise 4.2.6

Show that if x, y, z are rational numbers such that x < y and z is negative, then xz > yz.

*Proof.*  $x < y \implies y - x = r$  where r is a positive rational number. Since z is negative, -z is positive. Then (y - x)(-z) = -(y - x)z = (x - y)z = xz - yz = (-z)r > 0. Therefore, xz > yz.

## 4.3 Absolute value and exponentiation

## Definition 4.3.1 (Absolute value).

If x is a rational number, the absolute value |x| of x is defined as follows. If x is positive, then |x| := x. If x is negative, then |x| := -x. If x is zero, then |x| := 0.

## Definition 4.3.2 (Distance).

Let x and y be rational numbers. The quantity |x-y| is called the distance between x and y and is sometimes denoted d(x,y), thus d(x,y) := |x-y|. For instance, d(3,5) = 2.

### Proposition 4.3.3 (Basic properties of absolute value and distance).

Let x, y, z be rational numbers.

- (a) (Non-degeneracy of absolute value) We have  $|x| \ge 0$ . Also, |x| = 0 if and only if x is 0.
- (b) (Triangle inequality for absolute value) We have  $|x+y| \le |x| + |y|$ .

- (c) We have the inequalities  $-y \le x \le y$  if and only if  $y \ge |x|$ . In particular, we have  $-|x| \le x \le |x|$ .
- (d) (Multiplicativity of absolute value) We have |xy| = |x||y|. In particular, |-x| = |x|.
- (e) (Non-degeneracy of distance) We have  $d(x,y) \ge 0$ . Also, d(x,y) = 0 if and only if x = y.
- (f) (Symmetry of distance) d(x, y) = d(y, x).
- (g) (Triangle inequality for distance)  $d(x, z) \le d(x, y) + d(y, z)$ .

## Definition 4.3.4 ( $\varepsilon$ -closeness).

Let  $\varepsilon > 0$  be a rational number, and let x, y be raional numbers. We say that y is  $\varepsilon$ -close to x iff we have  $d(y, x) < \varepsilon$ .

## Proposition 4.3.7

Let x, y, z, w be rational numbers.

- (a) If x = y, then x is  $\varepsilon$ -close to y for every  $\varepsilon > 0$ . Conversely, if x is  $\varepsilon$ -close to y for every  $\varepsilon > 0$ , then we have x = y.
- (b) Let  $\varepsilon > 0$ . If x is  $\varepsilon$ -close to y, then y is  $\varepsilon$ -close to x.
- (c) Let  $\varepsilon$ ,  $\delta > 0$ . If x is  $\varepsilon$ -close to y, and y is  $\delta$ -close to z, then x and z are  $(\varepsilon + delta)$ -close.
- (d) Let  $\varepsilon$ ,  $\delta > 0$ . If x and y are  $\varepsilon$ -close, and z and w are  $\delta$ -close, then x + z and y + w are  $(\varepsilon + \delta)$ -close, and x z and y w are also  $(\varepsilon + \delta)$ -close.
- (e) Let  $\varepsilon > 0$ . If x and y are  $\varepsilon$ -close, they are also  $\varepsilon'$ -close for every  $\varepsilon' > \varepsilon$ .
- (f) Let  $\varepsilon > 0$ . If x and y are  $\varepsilon$ -close to x, and w is between y and z, then w is also  $\varepsilon$ -close to x.

- (g) Let  $\varepsilon > 0$ . If x nad y are  $\varepsilon$ -close, and z is non-zero, then xz and yz are  $\varepsilon |z|$ -close.
- (h) Let  $\varepsilon$ ,  $\delta > 0$ . If x and y are  $\varepsilon$ -close, and z and w are  $\delta$ -close, then xz and yw are  $(\varepsilon|z| + \delta|x| + \varepsilon\delta)$ -close.

## Definition 4.3.9 (Exponentiation to a natural number).

Let x be a rational number. To raise x to the power 0, we define  $x^0 := 1$ ; in particular we define  $0^0 := 1$ . Now suppose inductively that  $x^n$  has been defined for some natural number n, then we define  $x^{n+1} := x^n \times x$ .

## Proposition 4.3.10 (Properties of exponentiation, I)

Let x, y be rational numbers, and let n, m be natural numbers.

- (a) We have  $x^n x^m = x^{n+m}$ ,  $(x^n)^m = x^{nm}$ , and  $(xy)^n = x^n y^n$ .
- (b) Suppose n > 0. Then we have  $x^n = 0$  if and only if x = 0.
- (c) If  $x \ge y \ge 0$ , then  $x^n \ge y^n \ge 0$ . If  $x > y \ge 0$  and n > 0, then  $x^n > y^n \ge 0$ .
- (d) We have  $|x^n| = |x|^n$ .

#### Definition 4.3.11 (Exponentiation to a negative number).

Let x be a non-zero rational number. Then for any negative integer -n, we define  $x^{-n} := 1/x^n$ .

### Proposition 4.3.12 (Properties of exponentiation, II).

- (a) We have  $x^n x^m = x^{n+m}$ ,  $(x^n)^m = x^{nm}$ , and  $(xy)^n = x^n y^n$ .
- (b) If  $x \ge y \ge 0$ , then  $x^n \ge y^n > 0$  if n is positive, and  $0 < x^n \le y^n$  if n is negative.
- (c) If x, y > 0,  $n \neq 0$ , and  $x^n = y^n$ , then x = y.
- (d) We have  $|x^n| = |x|^n$ .

## Exercise 4.3.1

- (a) *Proof.* If x is positive, |x| = x > 0. If x = 0, |x| = 0. If x is negative, |x| = -x > 0. Therefore,  $|x| \ge 0$ . Suppose |x| = 0. Since if x is positive or negative, |x| would be positive, x can only be 0. And |x| = 0, so x = 0. If x = 0, by definition, |x| = 0. Thus, |x| = 0 if and only if x is 0.
- (b) Proof. If x = 0 or y = 0 (or both), we have |x + y| = |x| + |y|.

If x > 0, y > 0, we have |x + y| = x + y = |x| + |y|.

If x < 0, y < 0, we have |x + y| = -(x + y) = (-x) + (-y) = |x| + |y|.

If x > 0 (y > 0), y < 0 (x < 0), and x + y > 0, we have |x| + |y| = x - y > x + y = |x + y|.

If x > 0 (y > 0), y < 0 (x < 0), and x + y < 0, we have |x| + |y| = x - y > -x - y = -(x + y) = |x + y|.

If x > 0 (y > 0), y < 0 (x < 0), and x + y = 0, we have  $|x| + |y| \ge |x + y| = 0$  by (a).

Thus,  $|x+y| \le |x| + |y|$ .

(c) *Proof.* We need to show that  $-y \le x \le y \iff y \ge |x|$ .

Suppose  $-y \le x \le y$ . Since the inequalities stand, y cannot be negative. If x=0, we have |x|=0 and  $y\ge 0=|x|$ . If x>0, we have  $y\ge x=|x|$ . If x<0, we have  $-y\le x \implies y\ge -x=|x|$ . Thus,  $-y\le x\le y \implies y\ge |x|$ .

Suppose  $y \ge |x|$ . When x = 0, we have  $y \ge 0$  and  $y \le 0$ . So  $-y \le x \le y$ . When x > 0, we have  $y \ge x$ . Since  $y \ge 0 \implies -y \le 0$ ,  $x \ge -y$ . So  $-y \le x \le y$ . When x < 0, we have  $y \ge |x| = -x \implies x \ge -y$ . Since  $y \ge |x| \ge 0$ , we have  $y \ge x$ . So  $-y \le x \le y$ . Therefore, in all cases we have  $-y \le x \le y$ . Thus,  $y \ge |x| \implies -y \le x \le y$ .

Thus,  $-y \le x \le y \iff y \ge |x|$ .

And since  $|x| \ge |x|$ , we have  $-|x| \le x \le |x|$ .

(d) Proof. If x = 0 or y = 0 (or both), we have |xy| = |x||y| = 0.

If x > 0, y > 0, then |xy| = xy = |x||y|. If x > 0 (y > 0), y < 0 (x < 0), then |xy| = -xy = x(-y) = |x||y|. If x < 0, y < 0, then |xy| = xy = (-x)(-y) = |x||y|. Thus, |xy| = |x||y|. Let y = -1, we have |-x| = x. (e) Proof. Since d(x,y) is an absolute value, by (a) we have  $d(x,y) \geq 0$ . By (a), we also have d(x,y) = |x-y| = 0 if and only if  $x-y=0 \iff x=y$ . (f) *Proof.* By (d), we have d(x, y) = |x - y| = |y - x| = d(y, x). (g) Proof. By (b), we have  $d(x,z)=|x-z|=|(x-y)+(y-z)|\leq |x-y|+|y-z|=$ d(x,y) + d(y,z).Exercise 4.3.2 (a) Proof. Suppose x = y, then  $|x - y| = 0 \le \varepsilon$  for every  $\varepsilon > 0$ . Suppose x is  $\varepsilon$ -close  $|x-y|=2\varepsilon>\varepsilon$  (contradiction). Therefore, x=y.

- to y for every  $\varepsilon > 0$ . If  $x \neq y$ , then |x y| = a > 0. Let  $\varepsilon = a/2$ , we have (b) Proof. Since x is  $\varepsilon$ -close to  $y, |x-y| \le \varepsilon$ . As |y-x| = |x-y|, we have  $|y-x| \le \varepsilon$
- . Therefore, y is  $\varepsilon$ -close to x.
- (c) Proof. Since x is  $\varepsilon$ -close to y,  $|x-y| \le \varepsilon$ . Since y is  $\delta$ -close to z,  $|y-z| \le \delta$ . By Proposition 4.3.3, we have  $|x-z| \leq |x-y| + |y-z| \leq (\varepsilon + \delta)$ . Thus, x and z are  $(\varepsilon + \delta)$ -close.
- (d) Proof. Since x is  $\varepsilon$ -close to y,  $|x-y| \leq \varepsilon$ . Since z is  $\delta$ -close to w,  $|z-w| \leq \delta$ . By Proposition 4.3.3,  $|(x+z)-(y+w)| = |(x-y)+(z-w)| \le |x-y|+|z-w| \le \varepsilon + \delta$ . Therefore, x+z and y+w are  $(\varepsilon+\delta)$ -close. Since  $|z-w| \leq \delta$  implies  $|w-z| \leq \delta$ , by Proposition 4.3.3, we have  $|(x-z) - (y-w)| = |(x-y) + (w-z)| \le$  $|x-y|+|w-z| \le \varepsilon + \delta$ . Therefore, x-z and y-w are also  $(\varepsilon + \delta)$ -close.  $\square$

- (e) Proof. Since x and y are  $\varepsilon$ -close, we have  $|x-y| \le \varepsilon$ . Since  $\varepsilon < \varepsilon'$ ,  $|x-y| \le \varepsilon < \varepsilon'$  for every  $\varepsilon' > \varepsilon$  which also implies  $|x-y| \le \varepsilon'$  for every  $\varepsilon' > \varepsilon$ . Thus, x and y are  $\varepsilon'$ -close for every  $\varepsilon' > \varepsilon$ .
- (f) Proof. Without loss of generality, assume  $y \leq w \leq z$ .

 $x \ge z$ . Since y is  $\varepsilon$ -close to x,  $|x-y| = x - y \le \varepsilon$ . Then  $|w-x| = x - w \ge x - y = |x-y| \le \varepsilon$ . So w is  $\varepsilon$ -close to x.

 $x \le y$ . Since z is  $\varepsilon$ -close to x,  $|z-x|=z-x \le \varepsilon$ . Then  $|w-x|=w-x \le z-x=|z-x| \le \varepsilon$ . So w is  $\varepsilon$ -close to x.

 $y \le x \le z$ . We have  $|w-x| \le \max(|z-x|,|x-y|) \le \varepsilon$ . So w is  $\varepsilon$ -close to x.

(g) Proof. Since x and y are  $\varepsilon$ -close,  $|x-y| \le \varepsilon$ . Since  $|z| \ge 0$ , we have  $|x-y||z| \le \varepsilon |z|$ . By Proposition 4.3.3,  $|xz-yz| = |(x-y)z| = |x-y||z| \le \varepsilon |z|$ . Thus, xz and yz are  $\varepsilon |z|$ -close.

#### Exercise 4.3.3

Prove Proposition 4.3.10.

- (a) Proof.  $x^n x^m = x^{n+m}$ . Induct on n. When n = 0, we have  $x^0 x^m = x^{0+m} = x^m$ . The base case is proved. Assume inductively  $x^n x^m = x^{n+m}$ . Then  $x^{n+1} x^m = x \cdot x^n \cdot x^m = x \cdot x^{n+m} = x^{(n+1)+m}$ . This closes the induction.
  - $(x^n)^m = x^{nm}$ . Induct on m. When m = 0, we have  $(x^n)^0 = x^{n \cdot 0} = 1$ . Then assume inductively  $(x^n)^m = x^{nm}$ . Then we have  $(x^n)^{m+1} = (x^n)^m \cdot x^n = x^{mn} \cdot x^n = x^{mn+n} = x^{n(m+1)}$ . This closes the induction.
  - $(xy)^n = x^n y^n$ . Induct on n. When n = 0, we have  $(xy)^0 = x^0 y^0 = 1$ . Assume inductively  $(xy)^n = x^n y^n$ . Then  $(xy)^{n+1} = (xy)^n (xy) = x^n y^n xy = x^{n+1} y^{n+1}$ . This closes the induction.
- (b) Proof.  $x^n = 0 \implies x = 0$ . Induct on n. When n = 1,  $x^n = x^1 = 0 \implies x = 0$ . The base case is proved. Assume inductively  $x^n = 0 \implies x = 0$ . Then if we have  $x^{n+1} = 0$ , by definition,  $x^n \cdot x = 0$ . Then either  $x^n = 0$  or x = 0. If  $x^n = 0$ ,

by induction hypothesis, x = 0. Thus, in both cases, we have x = 0. Then  $x^{n+1} = 0 \implies x = 0$ . This closes the induction.

 $x=0 \implies x^n=0$ . If x=0, we have  $x^n=x\cdot x^{n-1}=0$ . Thus,  $x=0 \implies x^n=0$ .

Therefore,  $x^n = 0$  if and only if x = 0.

(c) Proof.  $x \ge y \ge 0 \implies x^n \ge y^n \ge 0$ . Induct on n. When n=0, if  $x \ge y \ge 0$ , we have  $x^0 \ge y^0 \ge 0$ . Now assume inductively  $x \ge y \ge 0 \implies x^n \ge y^n \ge 0$ . Then  $x^{n+1} = x \cdot x^n \ge x \cdot y^n \ge y \cdot y^n = y^{n+1}$ . And  $y^{n+1} = y \cdot y^n \ge y^n = 0$ . Therefore,  $x^{n+1} \ge y^{n+1} \ge 0$ . This closes the induction.

The latter part can be shown in a similar way.

(d) Proof.  $|x^n| = |x|^n$ . Induct on n. When n = 0,  $|x^0| = |x|^0 = 1$ . Assume inductively  $|x^n| = |x|^n$ . Then  $|x^{n+1}| = |x^n \cdot x| = |x^n||x| = |x|^n \cdot |x| = |x|^{n+1}$ . This closes the induction.

#### Exercise 4.3.4

Prove Proposition 4.3.12.

- (a)  $x^n x^m = x^{n+m}$ .
  - $n \ge 0$ ,  $m \ge 0$ . Has been proved in Exercise 4.3.3.
  - n < 0, m < 0. Since -n > 0 and -m > 0, we have  $x^n x^m = \frac{1}{x^{-n}} \cdot \frac{1}{x^{-m}} = \frac{1}{x^{-(n+m)}} = x^{n+m}$ .
  - $n \ge 0$   $(m \ge 0)$ , m < 0 (n < 0),  $n + m \ge 0$ . Since -m > 0, we have  $x^n x^m = (x^{n+m} x^{-m}) x^m = x^{n+m} (x^{-m} x^m) = x^{n+m}$ .
  - $n \ge 0$   $(m \ge 0)$ , m < 0 (n < 0), n + m < 0. Then -n m > 0.  $x^{n+m} = \frac{1}{x^{-n-m}} \implies \frac{1}{x^n} x^{n+m} = \frac{1}{x^{-n-m}} \cdot \frac{1}{x^n} = \frac{1}{x^{-m}} = x^m$ . Therefore,  $x^n x^m = x^{n+m}$ .

 $(x^n)^m = x^{nm}.$ 

First, we need to show that  $\frac{1}{x^n} = (\frac{1}{x})^n$  for natural number n. Induct on n.

When  $n=0, \frac{1}{x^0}=(\frac{1}{x})^0=1$ . Assume inductively  $\frac{1}{x^n}=(\frac{1}{x})^n$ . Then  $\frac{1}{x^{n+1}}=\frac{1}{x^n}\cdot\frac{1}{x}=(\frac{1}{x})^n\cdot\frac{1}{x}=(\frac{1}{x})^{n+1}$ . This closes the induction.

- $n \ge 0, m \ge 0$ . Has been proved in Exercise 4.3.3.
- n < 0, m < 0.  $(x^n)^m = \frac{1}{(x^n)^{-m}} = \frac{1}{(\frac{1}{n})^{-m}} = \frac{1}{\frac{1}{(x^{-n})^{-m}}} = \frac{1}{\frac{1}{x^{(-n)(-m)}}} = \frac{1}{\frac{1}{x^{nm}}} = x^{nm}$ .
- $n \ge 0 \ (m \ge 0), \ m < 0 \ (n < 0).$  Then  $(x^n)^m = \frac{1}{(x^n)^{-m}} = \frac{1}{x^{-nm}} = x^{nm}$ .
- $(xy)^n = x^n y^n$ . We have proved the case when  $n \ge 0$ . If n < 0, we have  $(xy)^n = \frac{1}{(xy)^{-n}} = \frac{1}{x^{-n}y^{-n}} = \frac{1}{x^{-n}} \frac{1}{y^{-n}} = x^n y^n$ .
- (b)  $x \ge y > 0 \implies x^n \ge y^n > 0$  when n > 0. Consider the base case when n = 1. If  $x \ge y > 0$ , we have  $x^1 \ge y^1 > 0$ . Assume inductively  $x \ge y > 0 \implies x^n \ge y^n > 0$ . Then  $x^{n+1} = x^n \cdot x \ge y^n \cdot x \ge y^n \cdot y = y^{n+1}$ ,  $y^{n+1} = y^n \cdot y > 0 \cdot y = 0$ . So  $x^{n+1} \ge y^{n+1} > 0$ . This closes the induction.

When n is negative, use the conclusion above. Since -n > 0, we have  $x^{-n} \ge y^{-n} > 0$ . Since  $x^{-n} \ge y^{-n}$ , by multiplying both sides by  $x^n y^n$  (which is positive), we have  $y^n \ge x^n$ . Since  $x^{-n} = \frac{1}{x^n} > 0$ , we have  $x^n > 0$ . Therefore,  $0 < x^n \le y^n$ .

- (c) When n > 0, suppose  $x^n = y^n$  and  $x \neq y$ . If x > y,  $x^n > y^n$ . If y > x,  $y^n > x^n$ . In either case,  $x^n \neq y^n$  (contradiction). Therefore, x = y. When n < 0, since -n > 0, we have  $x^{-n} = y^{-n} \implies x = y$ . By multiplyting both sides of  $x^{-n} = y^{-n}$ , we have  $x^n = y^n \iff x^{-n} = y^{-n}$ . Thus,  $x^n = y^n \iff x^{-n} = y^{-n} \implies x = y$ . Therefore, if  $x, y > 0, n \neq 0$ , and  $x^n = y^n$ , then x = y.
- (d) The case  $n \geq 0$  has been proved in Exercise 4.3.3. When n < 0, we have -n > 0, then  $|x^{-n}| = |x|^{-n}$ . And  $|x^{-n}| = |(\frac{1}{x})^n| = |\frac{1}{x^n}| = \frac{1}{|x^n|}$ ,  $|x|^{-n} = \frac{1}{|x|^n}$ . Since  $\frac{1}{|x^n|} = \frac{1}{|x|^n}$ , we have  $|x^n| = |x|^n$ .

#### Exercise 4.3.5

Prove that  $2^N \geq N$  for all positive integers N.

*Proof.* When  $N=1,\,2^1\geq 1$  as desired. Assume inductively  $2^N\geq N$ . Then  $2^{N+1}=2^N\cdot 2\geq 2N=N+N\geq N+1$ . Therefore,  $2^N\geq N$  is true for all positive integers N.

## 4.4 Gaps in the rational numbers

## Proposition 4.4.1 (Interspersing of integers by rationals).

Let x be a rational number, then there exists an integer n such that  $n \le x < n+1$ . In fact, this integer is unique. In particular, there exists a natural number N such that N > x.

## Proposition 4.4.3 (Interspersing of rationals by rationals).

If x and y are two rationals such that x < y, then there exists a third rational z such that x < z < y.

## Proposition 4.4.4

There does not exist any rational number x for which  $x^2 = 2$ .

#### Proposition 4.4.5

For every rational number  $\varepsilon > 0$ , there exists a non-negative rational number x such that  $x^2 < 2 < (x + \varepsilon)^2$ .

### Exercise 4.4.1

Prove Proposition 4.4.1.

*Proof.* Consider  $x \ge 0$ . Then  $x = \frac{a}{b}$  where a, b are natural numbers and  $b \ne 0$ . Since a is a natural number and b > 0, by Proposition 2.3.9, a = bn + r where  $0 \le r < n$ . Therefore,  $bn \le a = bn + r < b(n+1)$ . Thus,  $n \le x = \frac{a}{b} < n + 1$ .

Now consider x < 0. We have  $-x = \frac{a}{b}$ . By Proposition 2.3.9, we have a = bm + r where  $0 \le r < b$ . If r > 0, bm < a < b(m+1) so m < -x < m+1. Then -(m+1) < x < -m where -(m+1) and -m are integers. Since -(m+1) < x < -m

we can also say  $-(m+1) \le x < -m$ . If r = 0, we can write a = b(m+1) + r = b(m+1) since -x > 0. Then we have  $m < -x \le (m+1)$  and therefore  $-(m+1) \le x < m$ . Thus, in both cases, we can find an integer n such that  $n \le x < n+1$ . Therefore, for any rational number x, there exists an integer n such that  $n \le x < n+1$ .

Assume we have  $n \le x < n+1$  and  $m \le x < m+1$  where n, m are integers and  $n \ne m$ . Without loss of generality, suppose m > n. Then  $m \ge n+1$ . So we have  $x \ge m \ge n+1$  and x < n+1 at the same time (contradiction). Therefore, m > n does not hold. Similarly, we can show that m < n does not hold either. Thus, m = n and the integer is unique.

Since there exists an integer n such that  $n \le x < n+1$ , let N = n+1, we have N > x.

#### Exercise 4.4.2

A definition: a sequence  $a_0, a_1, a_2, \ldots$  of numbers (natural numbers, integers, rationals, or reals) is said to be infinite descent if we have  $a_n > a_{n+1}$  for all natural numbers n.

(a) Prove the principle of infinite descent: that it is not possible to have a sequence of natural numbers which is in infinite descent.

Proof. Assume that one can find a sequence of natrual numbers which is in infinite descent. Show that  $a_n \geq k$  for all  $k \in \mathbb{N}$  and all  $n \in \mathbb{N}$ . Induct on k. When k = 0, since  $a_n$  is a natural number for all  $n \in \mathbb{N}$ . Therefore,  $a_n \geq 0$  for all  $n \in \mathbb{N}$ . Assume inductively  $a_n \geq k$  for all  $n \in \mathbb{N}$ . We want to show that  $a_n \geq k + 1$  for all  $n \in \mathbb{N}$ . Consider an arbitrary  $n \in \mathbb{N}$ . Since  $a_n > a_{n+1}$  and they are natural numbers, we have  $a_n \geq a_{n+1} + 1$ . And by induction hypothesis, we have  $a_{n+1} \geq k$ . Therefore, we have  $a_n \geq a_{n+1} + 1 \geq k + 1$ . Thus,  $a_n \geq k + 1$  for all  $n \in \mathbb{N}$ . This closes the induction.

Then, since  $a_0$  is a natural number, we have  $a_n \ge a0$  for all  $n \in \mathbb{N}$ . So  $a_1 \ge a_0$ . But by the definition of infinite descent, we have  $a_0 > a_1$ . (Contradiction.) Therefore, it is not possible to have a sequence of natural numbers which is in infinite descent.

(b) Does the principle of infinite descent work if the sequence  $a_1, a_2, a_3, \ldots$  is allowed to take integer values instead of natural number values? What about if it is allowed to take positive rational values instead of natural numbers? Explain.

*Proof.* The principle of infinite descent does not work if we are allowed to take integer values. Since for every  $a_n \in \mathbf{Z}$ , we can take  $a_{n+1} = a_n - 1$  such that  $a_{n+1} \in \mathbf{Z}$  and  $a_{n+1} < a_n$ . It also does not work for positive rational values. Since natural numbers do not have a upper bound, for every  $a_n = \frac{p}{q}$  where p, q are positive integers, we can find  $a_{n+1} = \frac{p}{q+1}$  such that p, (q+1) are positive integers. Therefore, for every  $a_n \in \mathbf{R}$   $(n \in \mathbf{Z}^+)$ , we can find  $a_{n+1} < a_n$ .

#### Exercise 4.4.3

Fill in the gaps marked in the proof of Proposition 4.4.4.

Proof. Every natrual number is either even or odd, but not both. Assume p is both even and odd. Then p=2m=2n+1 for some natural numbers m,n. Then 2(m-n)=1 which means  $1=2\times 0+1$  is even. (Contradiction.) Thus, natural numbers cannot be both even and odd. Then we need to show that every natural number n is either even or odd. Induct on n. n=0 is even. Assume inductively n is either even or odd. If n is odd, there exists  $m \in \mathbb{N}$  such that n=2m. Then n+1=2m+1 which is odd. If n is even, then there exists  $m \in \mathbb{N}$  such that n=2m+1. Then n+1=2m+1+1=2(m+1) which is even. Therefore, n+1 is either odd or even. Thus, every natrual number is either even or odd, but not both.

If p is odd, then  $p^2$  is also odd. Since p is odd, there exists a natural number  $m \in \mathbb{N}$  such that p = 2m+1. Then  $p^2 = (2m+1)(2m+1) = 4m^2+4m+1 = 2(2m^2+2m)+1$  where  $(2m^2+2m)$  is a natural number. Thus,  $p^2$  is odd.

 $p^2 = 2q^2 \implies q < p$ . For positive natural numbers p,q, assume p = q. Then  $p^2 = q^2$  and  $p^2 < p^2 + p^2 = q^2 + q^2 = 2q^2$ . (contradiction) Assume p < q. Then  $p^2 = p \times p < q \times p < q \times q < 2 \times q \times q = 2q^2$ . (contradiction) Therefore, there must be q < p.