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# Porosity of Self-affine Sets\*\*

Lifeng XI\*

**Abstract** In this paper, it is proved that any self-affine set satisfying the strong separation condition is uniformly porous. The author constructs a self-affine set which is not porous, although the open set condition holds. Besides, the author also gives a  $C^1$  iterated function system such that its invariant set is not porous.

**Keywords** Porosity, Self-affine set, Open set condition **2000 MR Subject Classification** 28A80

## 1 Introduction

Given a metric space X, denote by B(a,r) the open ball centered at  $a \in X$  with radius r. Let  $M \subset X$ ,  $x \in X$  and R > 0. Set  $p(x,R,M) = \sup\{r \geq 0 \mid \text{there exists } z \in X \text{ with } B(z,r) \subset B(x,R) \setminus M\}$  and

$$\underline{p}(M,x) := \liminf_{R \to 0+} \frac{p(x,R,M)}{R} \tag{1.1}$$

**Definition 1.1** A subset M ( $\subset X$ ) is said to be porous if  $\underline{p}(M,x) > 0$  for any  $x \in M$ . Furthermore, M is said to be uniformly porous, if  $\inf_{x \in M} \underline{p}(M,x) > 0$ .

**Remark 1.1** A porous set is always nowhere dense. In particular, any porous subset of Euclidean space has zero Lebesgue measure. Notice that the porosity and uniform perfectness (see [9, 11]) are invariants under the bi-Lipschitz mapping.

Porosity in  $\mathbb{R}$  was used (under another form) already by A. Enjoy [2] in 1915. Probably the theory of  $\sigma$ -porous sets was started in 1967 by Solvendo [3] who applied  $\sigma$ -porous sets in the theory of boundary behavior of functions and who used for the first time the term porous set. In the differentiation theory  $\sigma$ -porous sets were used for the first time in 1978 (see [1]).

[7] proved that any  $C^{1+a}$  (a > 0) self-conformal set satisfying the open set condition is uniformly porous. As a result, the self-similar set satisfying the open set condition is uniformly porous.

Remark 1.2 When the open set condition does not hold, the porosity of self-similar set maybe fails. Let  $f_1(x) = \frac{x}{3}$ ,  $f_2(x) = \frac{x+1}{3}$ ,  $f_3(x) = \frac{x+u}{3}$ , where  $u = \sum_{i=1}^{+\infty} \frac{1}{10^{i!}}$ . Suppose that  $S_u$  is the invariant set of  $\{f_i\}_{i=1}^3$ . Then  $\dim_H S_u = 1$  and  $\mathcal{H}^1(S_u) = 0$  (see [11]). By Schief's Theorem (see [10]),  $S_u$  does not satisfy the open set condition since  $\mathcal{H}^1(S_u) = 0$ . Notice that

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<sup>\*</sup>Institute of Mathematics, Zhejiang Wanli University, Ningbo 315100, Zhejiang, China. E-mail: xilf@zwu.edu.cn

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 $S_u$  is not porous, because  $\dim_H S_u = 1$  and the Hausdorff dimension of a porous set in  $\mathbb{R}$  is strictly smaller than 1 (see [8]).

The motivation of this paper is to study the following questions:

- (1) How is the porosity for self-affine sets?
- (2) Can we get the uniform porosity for  $C^1$  IFS?

The family  $\{A_i\}_{i=1}^m$  of affine mappings from  $\mathbb{R}^n$  to  $\mathbb{R}^n$  is said to be non-degenerate and contractive, if there is a norm  $\|\cdot\|$  of  $\mathbb{R}^n$  such that

$$d_i ||x - y|| \le ||A_i(x) - A_i(y)|| \le c_i ||x - y||$$
 for all  $x, y \in \mathbb{R}^n$ ,

where  $0 < d_i < c_i < 1 \text{ for } i = 1, \dots, m$ .

Given contractive non-degenerate affine mappings  $\{A_i\}_{i=1}^m$ , let  $E = \bigcup_{i=1}^m A_i(E)$  be the corresponding self-affine set. We say E satisfies the strong separation condition, if  $A_i(E) \cap A_j(E) = \emptyset$  for any  $i \neq j$ . We say E satisfies the open set condition, if there is a non-empty open set U such that  $\bigcup_{i=1}^m A_i(U) \subset U$  and  $A_i(U) \cap A_j(U) = \emptyset$  for any  $i \neq j$ .

Our main results can be stated as follows.

**Theorem 1.1** Any self-affine set satisfying the strong separation condition is uniformly porous.

The porosity of self-affine set maybe fail although the open set condition holds as in Theorem 1.2. Given integers a, b with a < b, let  $C_{a,b} = \{a, a+1, \dots, b-1, b\}$ .

**Theorem 1.2** Suppose that  $k_1, k_2 \in \mathbb{N}$  with  $k_2 > k_1 \geq 5$ , and  $\Gamma$  is a subset of  $\mathbb{Z} \times \mathbb{Z}$  with  $[C_{0,(k_1-1)} \times C_{0,(k_2-1)}] \setminus [C_{2,(k_1-3)} \times C_{2,(k_2-3)}] \subset \Gamma \subsetneq [C_{0,(k_1-1)} \times C_{0,(k_2-1)}]$ . Let

$$A_i \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} \frac{1}{k_1} & 0 \\ 0 & \frac{1}{k_2} \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} + \begin{pmatrix} \frac{a_i}{k_1} \\ \frac{b_i}{k_2} \end{pmatrix} \quad \text{for any } (a_i, b_i) \in \Gamma.$$

Then the self-affine set  $F = \bigcup_{i=1}^{\#\Gamma} A_i(F)$  is not porous.

For  $C^1$  IFS, we can not get the porosity as in Theorem 1.3.

**Theorem 1.3** There are  $C^1$  injections  $g_1, g_2 : [0, 1] \rightarrow [0, 1]$  in  $\mathbb{R}$  with

$$g_1([0,1]) \cap g_2([0,1]) = \emptyset$$
 and  $\bigcup_{i=1}^2 g_i([0,1]) \subset [0,1],$ 

such that the invariant set  $H = g_1(H) \cup g_2(H)$  ( $\subset \mathbb{R}$ ) has positive Lebesgue measure and thus H is not porous.

We organize the paper as follows. Section 2 is on the porosity of self-affine set. In Section 3, an invariant set of  $C^1$  IFS is constructed to prove Theorem 1.3.

#### 2 Self-affine Set

**Proof of Theorem 1.1** Given a norm  $\|\cdot\|$  of  $\mathbb{R}^n$ , let  $\{A_i : \mathbb{R}^n \to \mathbb{R}^n\}_i$  be contractive non-degenerate affine mappings satisfying  $\|A_i(x) - A_i(y)\| \le c_i \|x - y\|$ , where  $c_i \in (0, 1)$ ,

 $i=1,\cdots,m$ . Given i, let  $A_i(x)=B_i(x)+b_i$ , where  $B_i$  is linear and  $b_i\in\mathbb{R}^n$ . Write  $A_{i_1\cdots i_k}=A_{i_1}\circ\cdots\circ A_{i_k}$  for any  $i_1\cdots i_k\in\bigcup_{t=1}^\infty\{1,\cdots,m\}^t$ , and  $B_{i_1\cdots i_k}=B_{i_1}\circ\cdots\circ B_{i_k}$ . Then

$$A_{i_1\cdots i_k}(x) = B_{i_1\cdots i_k}(x) + (b_{i_1} + B_{i_1}b_{i_2} + \cdots + B_{i_1\cdots i_{k-1}}b_{i_k}).$$

Let  $|\cdot|$  be the Euclidean metric on  $\mathbb{R}^n$ . Then there is a constant c > 0 such that  $(\sqrt{c})^{-1} |x| \le \|x\| \le \sqrt{c}|x|$  for all x. That means for all  $x, y \in \mathbb{R}^n$ ,

$$|A_{i_1 \dots i_k}(x) - A_{i_1 \dots i_k}(y)| \le c \left(\prod_{t=1}^k c_{i_t}\right) |x - y|.$$
 (2.1)

Let  $E = \bigcup_{i=1}^{m} A_i(E)$  be the self-affine set with  $A_i(E) \cap A_j(E) = \emptyset$  for any  $i \neq j$ . Given subsets  $C, D \subset \mathbb{R}^n$ , let  $d(C, D) = \inf\{|x - y| : x \in C, y \in D\}$ . Let  $\lambda = \min_{i \neq j} d(A_i(E), A_j(E))c^{-1} > 0$ .

**Lemma 2.1** There exists a constant  $\eta_0 \in (0, \frac{1}{2}]$  such that for any  $x \in E$  and  $v \in \mathbb{R}^n$  with |v| = 1,

$$\left\{t: |t| \leq \frac{\lambda}{2} \text{ and } B(x+tv, \eta_0 \lambda) \subset \mathbb{R}^n \backslash E\right\} \neq \emptyset.$$

**Proof** Since E is totally disconnected, the segment  $\{x+tv: |t| \leq \frac{\lambda}{2}\}$  has non-empty intersection with the open set  $\mathbb{R}^n \backslash E$ . Let  $\eta(x,v) = \sup\{\eta \in (0,\frac{1}{2}]: \text{ there exists } t \in [-\frac{\lambda}{2},\frac{\lambda}{2}] \text{ such that } B(x+tv,\eta\lambda) \subset \mathbb{R}^n \backslash E\} > 0$ . Because  $\mathbb{R}^n \backslash E$  is open, the function  $\eta(x,v)$  is lower continuous on the compact set  $E \times [-\frac{\lambda}{2},\frac{\lambda}{2}]$ . Therefore we let  $\eta_0 = \min_{(x,v) \in E \times [-\frac{\lambda}{2},\frac{\lambda}{2}]} \eta(x,v)$ . Then  $\eta_0 > 0$ .

For any linear mapping  $L: \mathbb{R}^n \to \mathbb{R}^n$ , write

$$\alpha(L) = \inf_{|x|=1} |L(x)| \quad \text{and} \quad \beta(L) = \sup_{|x|=1} |L(x)|.$$

Then we have the following lemma.

**Lemma 2.2** Given a point  $x \in E$ , there is an infinite sequence  $i_1 \cdots i_k \cdots$  such that  $\{x\} = \bigcap_{k=1}^{\infty} A_{i_1 \cdots i_k}(E)$ . Let  $r_k = \alpha(B_{i_1 \cdots i_k})\lambda$ . Then we have

$$B(x, r_k) \cap [E \setminus A_{i_1 \cdots i_k}(E)] = \emptyset$$
 and  $\lim_{k \to \infty} r_k = 0$ ,  $\inf_k \frac{r_{k+1}}{r_k} \ge \min_i \alpha(B_i)$ .

**Proof** We conclude that for any t with  $t \leq k$ ,

$$\alpha(B_{i_1\cdots i_{t-1}}) \ge c\alpha(B_{i_1\cdots i_t}). \tag{2.2}$$

In fact, it follows from (2.1) that  $\{B_i\}_i$  are linear mappings with  $|B_{i_t\cdots i_k}(x)| \leq c(c_{i_t}\cdots c_{i_k})|x| \leq c|x|$ , i.e.,  $|B_{i_t\cdots i_k}^{-1}(y)| \geq c^{-1}|y|$  for all  $y \in \mathbb{R}^n$ . Take  $x_0 \in \mathbb{R}^n$  such that  $|x_0| = 1$  and  $|B_{i_1\cdots i_{t-1}}(x_0)| = \alpha(A_{i_1\cdots i_{t-1}})$ . Let  $y_0 = B_{i_t\cdots i_k}^{-1}(x_0)$ , where  $|y_0| \geq c^{-1}|x_0| = c^{-1}$ . Then

$$\alpha(A_{i_1\cdots i_k}) \le \left| B_{i_1\cdots i_{t-1}} B_{i_t\cdots i_k} \left( \frac{y_0}{|y_0|} \right) \right| = \frac{\left| B_{i_1\cdots i_{t-1}}(x_0) \right|}{|y_0|} \le c^{-1} \alpha(B_{i_1\cdots i_{t-1}}).$$

On the other hand, for any sequence  $i_1 \cdots i_{t-1}$  and  $i_t \neq j_t$ ,

$$d(A_{i_1 \cdots i_{t-1}} A_{i_t}(E), A_{i_1 \cdots i_{t-1}} A_{j_t}(E)) \ge \alpha(B_{i_1 \cdots i_{t-1}}) \min_{i \ne j} d(A_i(E), A_j(E)). \tag{2.3}$$

Therefore, using (2.2), we have

$$d(A_{i_1\cdots i_k}(E), E \setminus A_{i_1\cdots i_k}(E)) \ge \left[\min_{t \le k} \alpha(B_{i_1\cdots i_{t-1}})\right] \min_{i \ne j} d(A_i(E), A_j(E))$$
$$\ge \left[c^{-1}\alpha(B_{i_1\cdots i_k})\right] \min_{i \ne j} d(A_i(E), A_j(E))$$
$$\ge \lambda \alpha(B_{i_1\cdots i_k}) = r_k.$$

That means

$$B(x, r_k) \cap [E \setminus A_{i_1 \cdots i_k}(E)] = \emptyset. \tag{2.4}$$

It follows from (2.1) that  $\alpha(B_{i_1\cdots i_k}) \leq c\Big(\prod_{t=1}^k c_{i_t}\Big)$ , which implies  $\lim_{k\to\infty} r_k = 0$ . We also have

$$\alpha(B_{i_1 \cdots i_{k+1}}) = \inf_{|x|=1} |B_{i_1 \cdots i_k} B_{i_{k+1}}(x)|$$

$$\geq \inf_{|x|=1} |B_{i_1 \cdots i_k} \left( \frac{B_{i_{k+1}} x}{|B_{i_{k+1}} x|} \right)| \cdot \inf_{|x|=1} |B_{i_{k+1}} x|$$

$$\geq \alpha(B_{i_1 \cdots i_k}) \alpha(B_{i_{k+1}}),$$

which implies  $\frac{r_{k+1}}{r_k} \ge \min_i \alpha(B_i)$ .

By Lemma 2.2, we need only to prove that for any  $x \in E$ ,

$$\liminf_{k \to \infty} \frac{p(x, r_k, E)}{r_k} \ge \eta_0.$$

Suppose  $E \subset B(0, R_0)$ . Let  $y_k = A_{i_1 \cdots i_k}^{-1}(x) \in E$ . There are two orthogonal bases  $\{u_1, \cdots, u_n\}$ ,  $\{v_1, \cdots, v_n\} \subset \mathbb{R}^n$  with  $|u_i| = |v_i| = 1$  for all i, such that

$$B_{i_1\cdots i_k}^{-1}(u_i) = d_i v_i, (2.5)$$

where

$$d_1 = \alpha^{-1}(B_{i_1 \dots i_k}) \ge d_2 \ge \dots \ge d_n = \beta^{-1}(B_{i_1 \dots i_k}).$$
(2.6)

It follows from Lemma 2.1 that there exists a constant  $\eta_0 \in (0, \frac{1}{2}]$  such that an open ball

$$B(y_k + tv_1, \eta_0 \lambda) \subset \mathbb{R}^n \backslash E \quad \text{with } |t| \leq \frac{\lambda}{2}.$$

**Lemma 2.3** Let 
$$\Omega_k = \left\{ (y_k + tv_1) + (r_k \eta_0) \sum_{i=1}^n t_i d_i v_i : \sum_{i=1}^n t_i^2 \le 1 \right\}$$
 and  $\Lambda_k = \left\{ y_k + tv_1 \right\}$ 

 $r_k \sum_{i=1}^n t_i d_i v_i : \sum_{i=1}^n t_i^2 \leq 1$ . Then we have the following conclusions:

- $\begin{array}{l} (1) \ \Omega_k \subset \mathbb{R}^n \backslash E; \\ (2) \ \Lambda_k = A_{i_1 \cdots i_k}^{-1}(B(x,r_k)); \\ (3) \ \Omega_k \subset \Lambda_k. \end{array}$

**Proof** To prove (1), we need only to verify  $\Omega_k \subset B(y_k + tv_1, \eta_0 \lambda)$ , and this follows from  $r_k \eta_0 d_i \leq (r_k d_1) \eta_0 = \eta_0 \lambda$  immediately. By (2.5) and (2.6), we get (2).

To verify (3), we notice that

$$(y_k + tv_1) + (r_k \eta_0) \sum_{i=1}^n t_i d_i v_i = y_k + r_k \left[ \frac{t}{\lambda} + \eta_0 t_1 \right] d_1 v_1 + r_k \sum_{i=2}^n [\eta_0 t_i] d_i v_i,$$

where  $\left|\frac{t}{\lambda}\right| \leq \frac{1}{2}$ ,  $\eta_0 \leq \frac{1}{2}$  and  $|t_1| \leq 1$ , and thus

$$\left[\frac{t}{\lambda} + \eta_0 t_1\right]^2 + \sum_{i=2}^n [\eta_0 t_i]^2 \le \left(\frac{t}{\lambda}\right)^2 + 2\left|\frac{t}{\lambda}\right| \cdot |\eta_0 t_1| + \sum_{i=1}^n [\eta_0 t_i]^2 \le \frac{1}{4} + 2\left(\frac{1}{2}\right)^2 + \eta_0^2 = 1,$$

which implies  $\Omega_k \subset \Lambda_k$ .

Notice

$$A_{i_1 \cdots i_k}(\Omega_k) = \left\{ A_{i_1 \cdots i_k}(y_k + tv_1) + (r_k \eta_0) \sum_{i=1}^n t_i u_i : \sum_{i=1}^n t_i^2 \le 1 \right\}$$

$$= B(A_{i_1 \cdots i_k}(y_k + tv_1), \eta_0 r_k). \tag{2.7}$$

Since  $\Omega_k \subset \Lambda_k$ , we have

$$A_{i_1\cdots i_k}(\Omega_k) \subset A_{i_1\cdots i_k}(\Lambda_k) = B(x, r_k), \tag{2.8}$$

due to Lemma 2.3. On the other hand,  $\Omega_k \subset \mathbb{R}^n \backslash E$  and

$$A_{i_1\cdots i_k}(\Omega_k) \cap [\mathbb{R}^n \backslash A_{i_1\cdots i_k}(E)] \subset B(x, r_k) \cap [\mathbb{R}^n \backslash A_{i_1\cdots i_k}(E)] = \emptyset, \tag{2.9}$$

due to Lemma 2.2 and  $r_k = \alpha(B_{i_1 \cdots i_k})\lambda$ . Therefore,

$$A_{i_1\cdots i_k}(\Omega_k) \cap E \subset \{A_{i_1\cdots i_k}(\Omega_k) \cap [\mathbb{R}^n \setminus A_{i_1\cdots i_k}(E)]\} \cup \{A_{i_1\cdots i_k}(\Omega_k) \cap A_{i_1\cdots i_k}(E)\}$$

$$= A_{i_1\cdots i_k}(\Omega_k) \cap A_{i_1\cdots i_k}(E) \subset A_{i_1\cdots i_k}(\Omega_k \cap E) = \emptyset. \tag{2.10}$$

And thus, by (2.7), (2.8) and (2.10), we have

$$B(A_{i_1\cdots i_k}(y_k + tv_1), \eta_0 r_k) \subset (\mathbb{R}^n \backslash E) \cap B(x, r_k), \tag{2.11}$$

which implies

$$\liminf_{k\to\infty} \frac{p(x, r_k, E)}{r_k} \ge \eta_0.$$

This completes the proof of Theorem 1.1.

**Proof of Theorem 1.2** We will prove that the self-affine set  $F = \bigcup_{i=1}^{\#\Gamma} A_i(F)$  is not porous. Here  $k_2 > k_1 \ge 5$  and

$$[C_{0,k_1-1} \times C_{0,k_2-1}] \setminus [C_{2,k_1-3} \times C_{2,k_2-3}] \subset \Gamma,$$

which implies the point

$$\left(\frac{1}{k_1}, \frac{1}{k_2}\right) \in F \tag{2.12}$$

and the boundary  $\partial([0,1]^2)$  of  $[0,1]^2$  is contained in the self-affine set F, where  $\partial([0,1]^2) = ([0,1] \times \{0,1\}) \cup (\{0,1\} \times [0,1])$ . Furthermore, the boundary of any rectangle  $A_{i_1 \cdots i_t}([0,1]^2)$  is also contained in F, i.e.,

$$\partial A_{i_1 \cdots i_t}([0,1]^2) \subset F. \tag{2.13}$$

For any  $t \geq 1$ , let  $\rho_t = k_2^{-t}$  and

$$I_t = \left[\frac{1}{k_1} - \rho_t, \frac{1}{k_1} + \rho_t\right] \times \left[\frac{1}{k_2} - \rho_t, \frac{1}{k_2} + \rho_t\right],$$

the square of side  $2\rho_t$  centered at  $(\frac{1}{k_1}, \frac{1}{k_2})$ .

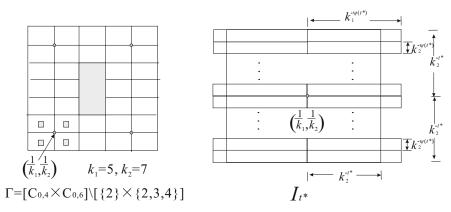


Figure 1

Suppose on the contrary that F is porous. Then at the point  $(\frac{1}{k_1}, \frac{1}{k_2}) \in F$ , there exists a constant  $\varsigma > 0$  such that for each t, there is a square of side  $\varsigma \rho_t$  which is contained in  $I_t \backslash F$ .

Given  $t \geq 1$ , let  $\psi(t)$  be a positive integer satisfying

$$k_1^{-\psi(t)-1} < k_2^{-t} \le k_1^{-\psi(t)}.$$
 (2.14)

In fact, since  $k_2 > k_1$  and  $\frac{\psi(t^*)}{t} \to \frac{\log k_2}{\log k_1} > 1$  as  $t \to \infty$ , there exists an integer  $t^*$  such that  $\varsigma \rho_{t^*} = \varsigma k_2^{-t^*} > k_2^{-\psi(t^*)}$ .

Let  $T^* = k_2^{\psi(t^*)-t^*} - 1$ , and  $\Theta_{t^*} = \bigcup_{i=0}^{T^*} [0, k_1^{-\psi(t^*)}] \times [i \cdot k_2^{-\psi(t^*)}, (i+1)k_2^{-\psi(t^*)}]$  which is a collection of rectangles as in Figure 1. Let

$$\pi_1(x,y) \equiv (x,y), \quad \pi_2(x,y) \equiv (-x,y), \quad \pi_3(x,y) \equiv (-x,-y), \quad \pi_4(x,y) \equiv (x,-y),$$

and  $\Pi_i = (\frac{1}{k_1}, \frac{1}{k_2}) + \pi_i \Theta_{t^*}$  (i = 1, 2, 3, 4). Then as in Figure 1,

$$I_{t^*} \subset \Pi_1 \cup \Pi_2 \cup \Pi_3 \cup \Pi_4. \tag{2.15}$$

Notice that each rectangle of width  $k_1^{-\psi(t^*)}$  and height  $k_2^{-\psi(t^*)}$  appearing in  $\Pi_i$  (i = 1, 2, 3, 4) can be written in form of  $A_{i_1 \cdots i_{\psi(t^*)}}([0, 1]^2)$ , and thus its boundary is contained in F.

Suppose that  $S_{t^*}$  is an open square of side  $\varsigma \rho_{t^*}$  such that  $S_{t^*} \subset I_{t^*} \backslash F$ . Since  $\varsigma \rho_{t^*} > k_2^{-\psi(t^*)}$ , where  $k_2^{-\psi(t^*)}$  is the height of the small rectangle mentioned above, by (2.15), there exists such a rectangle R with its boundary  $\partial R$  satisfying  $\partial R \cap S_{t^*} \neq \emptyset$ . Here  $\partial R \cap S_{t^*} \subset \partial R \subset F$  and  $\partial R \cap S_{t^*} \subset S_{t^*} \subset \mathbb{R}^2 \backslash F$ . This is a contradiction.

# 3 An Example of $C^1$ IFS

In this section, we will obtain an invariant set H of  $C^1$  IFS in  $\mathbb{R}$  such that  $\mathcal{H}^1(H) > 0$ . Thus H is not porous, since the porous set in  $\mathbb{R}$  has zero Lebesgue measure (see [8]).

Let 
$$a_n = \frac{1}{2} + \frac{1}{n+3} < 1$$
 and  $\delta_{n+1} = a_n - a_{n+1} = \frac{1}{(n+3)(n+4)}$  for  $n \ge 1$ . Then
$$\lim_{n \to \infty} \frac{a_n}{a_{n+1}} = \lim_{n \to \infty} \frac{\delta_n}{\delta_{n+1}} = 1. \tag{3.1}$$

For two intervals  $J_1, J_2$ , we denote  $J_1 < J_2$  if  $\sup_{x \in J_1} x \le \inf_{y \in J_2} y$ .

Divide the unit interval [0, 1] into three intervals:  $[0, 1] = I_1 \cup G \cup I_2$ , where  $I_r$  is closed with length  $\frac{a_1}{2}$  for each r = 1, 2, G is open and  $I_1 < G < I_2$ .

By induction, for  $i_1 \cdots i_k \in \{1,2\}^k$ , we can divide the closed interval  $I_{i_1 \cdots i_k}$  of length  $|I_{i_1\cdots i_k}| = \frac{a_k}{2^k}$  into

$$I_{i_1 \cdots i_k} = I_{i_1 \cdots i_k 1} \cup G_{i_1 \cdots i_k} \cup I_{i_1 \cdots i_k 2}, \tag{3.2}$$

where  $I_{i_1 \dots i_k 1} < G_{i_1 \dots i_k} < I_{i_1 \dots i_k 2}$  with

$$|I_{i_1\cdots i_k 1}| = |I_{i_1\cdots i_k 2}| = \frac{a_{k+1}}{2^{k+1}}$$
 and  $|G_{i_1\cdots i_k}| = \frac{\delta_{k+1}}{2^k}$ .

Let  $H = \bigcap_{k>1} \bigcup_{i_1\cdots i_k} I_{i_1\cdots i_k}$ . For Lebesgue measure  $\mathcal{H}^1$ , we have

$$\mathcal{H}^{1}(H) = \lim_{k \to \infty} \sum_{i_{1} \dots i_{k}} \mathcal{H}^{1}(I_{i_{1} \dots i_{k}}) = \lim_{k \to \infty} 2^{k} \frac{a_{k}}{2^{k}} = \frac{1}{2} > 0.$$
 (3.3)

That means H is not porous.

We will show that H is the invariant set of certain  $C^1$  IFS  $\{g_1, g_2\}$ . On H the functions  $g_1$ ,  $g_2$  can be defined by

$$\{g_{i_0}(x)\} = \bigcap_{k>1} I_{i_0 i_1 \cdots i_k} \quad \text{for } \{x\} = \bigcap_{k>1} I_{i_1 \cdots i_k}.$$
 (3.4)

For the definitions of  $\{g_1, g_2\}$  on the gaps, we need the following lemma.

**Lemma 3.1** Given sequence  $i_0 i_1 \cdots i_k \ (k \ge 1)$ , let  $G_{i_1 \cdots i_k} = (c_{i_1 \cdots i_k}, d_{i_1 \cdots i_k})$  and  $G_{i_0 i_1 \cdots i_k} = (c_{i_1 \cdots i_k}, d_{i_1 \cdots i_k})$  $(c_{i_0i_1\cdots i_k},d_{i_0i_1\cdots i_k})$ . Then there is a  $C^1$  increasing and contractive injection  $f_{i_0i_1\cdots i_k}:G_{i_1\cdots i_k}\to$  $G_{i_0i_1\cdots i_k}$  defined on  $G_{i_1\cdots i_k}$  such that

- $(1) f_{i_0 i_1 \cdots i_k}(G_{i_1 \cdots i_k}) = G_{i_0 i_1 \cdots i_k},$
- (2)  $f'_{i_0 i_1 \cdots i_k}(c_{i_1 \cdots i_k}) = f'_{i_0 i_1 \cdots i_k}(d_{i_1 \cdots i_k}) = \frac{1}{2},$ (3)  $|f'_{i_0 i_1 \cdots i_k}(x) \frac{1}{2}| \le \frac{2}{k+5} \text{ for any } x \in G_{i_1 \cdots i_k}.$

### **Proof** Let

$$\Phi(\zeta) = \begin{cases}
0, & \text{if } \zeta \le 0 \text{ or } \zeta \ge 1, \\
4\zeta, & \text{if } 0 \le \zeta \le \frac{1}{2}, \\
4 - 4\zeta, & \text{if } \frac{1}{2} \le \zeta \le 1,
\end{cases}$$

and  $\varphi(x) = \int_{-\infty}^x \Phi(\zeta) d\zeta$ . Then  $\varphi \in C^1(\mathbb{R}, \mathbb{R})$  satisfies

$$\varphi(0)=\varphi'(0)=\varphi'(1)=0, \quad \varphi(1)=1 \quad \text{and} \quad 0\leq \varphi'(y)\leq 2 \quad \text{for } y\in \mathbb{R}.$$

For any  $x \in G_{i_1 \cdots i_k}$ , let

$$f(x) = c_{i_0 i_1 \cdots i_k} + \frac{x - c_{i_1 \cdots i_k}}{2} + \left(\frac{\delta_{k+2}}{2\delta_{k+1}} - \frac{1}{2}\right) \frac{\delta_{k+1}}{2^k} \cdot \varphi\left(\frac{x - c_{i_1 \cdots i_k}}{\frac{\delta_{k+1}}{2^k}}\right).$$

Then  $f(c_{i_1\cdots i_k}) = c_{i_0i_1\cdots i_k}, f'(c_{i_1\cdots i_k}) = f'(d_{i_1\cdots i_k}) = \frac{1}{2}$ , where

$$\frac{d_{i_1 \cdots i_k} - c_{i_1 \cdots i_k}}{\frac{\delta_{k+1}}{2^k}} = \frac{|G_{i_1 \cdots i_k}|}{\frac{\delta_{k+1}}{2^k}} = 1.$$
 (3.5)

Therefore

$$f(d_{i_1\cdots i_k}) - f(c_{i_1\cdots i_k}) = \frac{\delta_{k+1}}{2^k} \frac{\delta_{k+2}}{2\delta_{k+1}} \varphi(1) = \frac{\delta_{k+2}}{2^{k+1}} = |G_{i_0i_1\cdots i_k}|.$$

For each  $x \in G_{i_1 \cdots i_k}$ ,

$$f'(x) = \frac{1}{2} + \frac{1}{2} \left( \frac{\delta_{k+2}}{\delta_{k+1}} - 1 \right) \varphi' \left( \frac{x - c_{i_1 \cdots i_k}}{\frac{\delta_{k+1}}{2k}} \right). \tag{3.6}$$

As  $0 \le \varphi'(y) \le 2$  and  $\frac{\delta_{k+2}}{\delta_{k+1}} = \frac{k+3}{k+5}$ , we have

$$\left| f'(x) - \frac{1}{2} \right| \le \frac{2}{k+5}. \tag{3.7}$$

Here  $0 < \frac{2}{k+5} \le \frac{2}{5} < \frac{1}{2}$  for  $k \ge 0$ . Then  $|f'(x)| \in \left[\frac{1}{10}, \frac{9}{10}\right] \subset (0, 1)$  for each  $x \in G_{i_1 \cdots i_k}$ , and thus f is an increasing contraction satisfying  $f(G_{i_1 i_2 \cdots i_k}) = G_{i_0 i_1 \cdots i_k}$ .

Let  $f_{i_0 i_1 \cdots i_k} = f$  and this lemma follows.

**Remark 3.1** In the above lemma, we only need  $k \ge 0$ . In particular, for k = 0, on G we also get two  $C^1$  mappings  $f_1: G \to G_1$  and  $f_2: G \to G_2$  satisfying Lemma 3.1(1)–(3).

Then by (3.1), for any  $x \in H$ ,

$$|g_1'(x)| = |g_2'(x)| = \lim_{k \to \infty} \frac{|I_{i_0 i_1 \cdots i_k}|}{|I_{i_1 \cdots i_k}|} = \lim_{k \to \infty} \frac{\frac{a_{k+1}}{2^{k+1}}}{\frac{a_k}{2^k}} = \frac{1}{2}.$$
 (3.8)

For any sequence  $i_1 \cdots i_k$  with  $k \geq 0$ , on the open interval  $G_{i_1 \cdots i_k}$ , let

$$g_{i_0}|_{G_{i_1\cdots i_k}} = f_{i_0i_1\cdots i_k} : G_{i_1\cdots i_k} \to G_{i_0i_1\cdots i_k}$$

as in Lemma 3.1. Then it follows from Lemma 3.1 that  $g_1$ ,  $g_2$  are  $C^1$  injective contractions with  $g_1([0,1]) \cap g_2([0,1]) = I_1 \cap I_2 = \emptyset$ , and H is their invariant set with  $\mathcal{H}^1(E) > 0$ .

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