

# SOMPHONY: VISUALIZATION AND COMPARISON OF SYMPHONIES THROUGH APPLICATION OF TIME SERIES ON 3D SOM

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## Abstract

Symphonies are musical compositions for orchestras that consist of several large sections called movements. There are five major musical periods namely the Baroque Period, Classical Period, 19th Century, Romantic Period, and the 20th Century that played a big role during the height of symphonies. This research will compare pairs of symphonies to determine their similarity, and create a visualization method to represent the comparison. From a previous research work by Azcarraga & Flores (2016) that compared symphonies through self-organizing maps (SOM), this research work will compare symphonies through visualization in a 3D SOM. By having a visual representation, the research provides an interactive and straightforward way to identify which parts of the symphonies are most similar and by adding the concept of time series on the clustering of the 3D SOM, more accurate results can be made. Quantitative data will be gathered through cluster analysis and using Euclidean distance to measure the musical trajectories of each musical segment of the symphony to produce the overall 3D SOM. Qualitative data will be gathered by having participants listen to pairs of symphonies found by quantitative measurement as similar. The participants will then rate how similar the pair is to verify the accuracy of the research method used for quantitative measurement.

**Keywords:** Machine Learning, Music, Time Series, Self-Organizing Map, K-Means Clustering.

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# Chapter 1

## Research Description

### 1.1 Overview of the Current State of Technology

Music has been a part of peoples culture for hundreds of years, classical music being one of the oldest genres of music. Classical music is rooted in the traditions of early western music and to this day, many people refer to classical music as serious music. Musicians, however, use classical music to refer to music composed during 1750 to 1825, otherwise known as the Classical Era (Bernstein, 1959). The central norms of classical music became established between 1550 and 1900, which is known as the common-practice period. The common-practice period contains the majority of what we now know as classical music. Under this period there are 3 musical eras: Baroque, Classical and Romantic. Music from the Baroque period are decorated and elaborate, with little to no expression. Works from the Classical era contain repetitive dynamics and clean transitions. In contrast to music from the Baroque period, music from the Romantic period are expressive and emotive, having the ability to paint a vivid picture in the minds of the listeners (Grout & Palisca, 1996); however, Dahlhaus (1981) points out that another musical era existed between the Classical and the Romantic period and he refers to this as the 19th century era. This era serves as the transition period for classical and the romantic period, thus having similarities in style with both eras. After the common-practice period comes the 20th century era, which explores modernism, impressionism, neoclassicism and experimental music.

It was in the common-practice era when symphonies began to be composed. Libin (2014) describes symphonies as lengthy forms of musical compositions which are almost always written for orchestras and are consisting of several large movements. They are composed of three to five movements, depending on the time

period and are constructed by many different composers (Libin, 2014). There are five major musical periods namely the Baroque Period, Classical Period, 19th Century, Romantic Period, and the 20th Century. Musical pieces from each era share certain characteristics and styles that are representative of the era. With a history of almost 300 years, symphonies today are viewed as the very pinnacle of classical music where Beethoven, Brahms, Mozart and other renowned composers were able to find a venue for transcending their creativities and overall influencing them heavily on their music. During the course of the 18th century, the tradition was to write four-movement symphonies (Hepokoski & Darcy, 2006).

Throughout time, different styles have developed, each having features unique to themselves. Tilden (2013) notes the historical influence of composers with each other and how similar the methods of composing classical music are with pop music. Due to these facts presented, symphonies written in the early 20th century may be influenced by the great composers and compositions of the previous eras. Analyzing these musical relationships and comparing one to another is a research area that could be done through both manual and machine learning methods.

McFee, Barrington & Lanckriet (2012) compare the usage of context-based manual semantic annotation versus their proposed optimized content-based similarity learning framework. With machine learning, the usage of high-quality training data without active user participation and the analysis of more data is possible than with feedback or survey data from active user participation. Human error in the analysis process can also be minimized with machine learning since human-supervised training is minimal. Corra & Rodrigues (2016) shows the analysis of music features using machine learning techniques. According to the MIR community (Silla & Freitas, 2009), the two main representation of music feature content are either audio-recorded or symbolic-based. The former employs the explicit recording of audio files while the latter uses symbolic data files such as MIDI or KERN.

SOMphony, a research paper by Azcarraga & Flores (2016), aims to understand the relationship of compositions between the same composer to denote style as well as to determine the similarities between compositions of different periods of music to denote influence between time periods. The research showed the relationships and influences between composers from 5 major musical periods, namely the Baroque Period, Classical Period, 19th Century music, Romantic Period and the 20th century. The research focuses on self-organizing maps (SOM) that are trained using 1-second music segments extracted from the 45 different symphonies. The trained SOM is then further processed by doing a k-means clustering of the node vectors, allowing quantitative comparison music trajectories between symphonies. Their research showed that using SOM is indeed helpful in visualizing the musical features of a symphony, making it easier to create insights about the

relationships within the different pieces and composers. The research concludes that a larger dataset would be needed to confirm whether the approach is indeed valid.

SOMphony, however, does not take into consideration the notion of time. In time series data, each instance represents a different time step and the attributes give values associated with that time (Witten & Frank, 2005). To be able to generate time sensitive musical analysis, this research will add in the time series variable to the SOM and a new visualization in 3D space would need to be created.

## **1.2 Research Objectives**

### **1.2.1 General Objective**

To create a visualization method for the comparison of symphonies with the application of time series

### **1.2.2 Specific Objectives**

The research aims to:

1. Expand the data set to include more symphonies;
2. Perform feature selection to decrease number of features for faster training time in machine learning;
3. Incorporate time series to the SOM visualization;
4. Create a 3D visualization model for the music data;
5. Have users listen and annotate the musical pieces for qualitative data;
6. Verify the results of 3D SOMphony through the results obtained from the human participants;



### 1.3 Scope and Limitations of the Research

To expand the data set of SOMphony, the proponents will add an additional 2 symphonies to the existing 3 symphonies for each of the 15 total composers from the previous work. This will result to a total of 75 symphonies in total. By having an equal number of symphonies per composer a balanced data set for all composers can be maintained. The criteria for choosing the symphonies to be added would be random due to the availability of musical pieces and this would also provide a better grasp on the general style of the composer.

To be able to generate a self-organizing map, the proponents will use jAudio to extract 436 audio features from musical segments generated from the symphony (See Appendix B). The 436 audio features would be trimmed down through feature selection. Decision Trees will be used to trim excess features and retain only the most relevant ones in order to speed up SOM training without losing too much accuracy (Yang & Pedersen, 1997). The proponents have decided to have 20 as an arbitrary value for the features to be used. By selecting only the 20 most influential features, the time it would take to train the SOM would not be as time consuming compared to using all 436 features, however, this will be at the cost of some of its accuracy in plotting the symphonys musical trajectory.

In incorporating the time series, the musical piece is divided into 1 second segments in order to be uniform all throughout the piece and to avoid incomplete notes. A 0.5 second overlap is used to be able to consider transitions between each second.

To create a 3D model to represent the symphony, the proponents will assign each generated SOM to a point in time and will be used to create a graph representing each map in a time series. As a result of using time series, this research will be able to better differentiate symphonies that use similar themes but at different periods of time in the composition.

In gathering qualitative data from human participants, the proponents limit themselves to 50 participants. In the case that the target amount is not reached within two months, the researchers will proceed to analyze the results they have obtained. The participant profile would be both people that have experience or familiarity with music and normal people who may not know much about music. For a more detailed explanation on qualitative data gathering, please refer to section 4.4.2. The participants would be presented with a 3D graph and two music players. They are tasked to annotate specific regions of the symphony if they are indeed similar. However we do not limit the participants to the specified regions, the participants are free to annotate parts that they believe sound similar.

Similar to SOMphony, the proponents will focus on representation of symphonies using SOMs for the purpose of comparison to other symphonies. Through the data obtained from the human participants, the proponents will be able to validate if the 3D visualization method is enough to represent the entirety of the symphony for comparison.

## 1.4 Significance of the Research

As this study focuses on comparing different symphonies and analyzing to see how similar they are, the results of this study will help in the simplification of one to two long hours of music into a single visual representation. The study can help in the comparison of music using time series and some quantitative data. It can prove that visualization can be achieved, allowing comparison of simplified time series data. By using qualitative and quantitative means, the results of the study may also determine the similarity between two compositions. The results of the study may also help prove the benefits and possibilities of SOM when transitioning from 2D to 3D with basis on the time series.

Some possible future application of the results of this study would include the improvement of existing music information retrieval (MIR) techniques used by music databases. Similarly, this research can also be used to further improve the algorithms used by playlist managers for the retrieval of similar songs from music databases using the comparison of the trained SOMs.

The application of time series in machine learning would benefit studies outside of music that incorporates the use of time sensitive data. It can be used in future works regarding traffic modelling, weather monitoring, prediction, and other time sensitive fields.

## 1.5 Research Methodology

This section contains phases and activities that will be performed to accomplish the research. The phases listed here will be arranged sequentially unless otherwise stated.

### **1.5.1 Concept Formulation and Review of Related Literature**

This phase will concern the consolidation of the thesis requirements such as the objective of the research, the research problem to be tackled, and the scopes and limitations of such research. Research related to music comparison, machine learning algorithms in music and music visualization will be part of the Review of Related Literature.

### **1.5.2 Data Gathering**

This phase will concern the gathering of the additional symphonies to be used for the research. The original music dataset for SOMphony is composed of 75 symphonies spread across 5 periods each having 3 composers. To expand the dataset, 2 symphonies will be added to each composer, summing up to a total of five symphonies per composer for a total of 75 symphonies. The proponents have decided to maintain 5 symphonies per composer so that the data set will be balanced. The process of selecting which symphonies to be added would be by random to have a better grasp of the general style of the composer. The audio files would be retrieved from online sources and physical means whenever possible. The researchers would not take into consideration the file type and bitrate of the audio files since music data that is free for use is limited.

### **1.5.3 Pre-processing**

To start pre-processing, the audio files would be converted into wav files in preparation for splitting. WaveSplitter will be used in splitting the audio file into 1 second segments at intervals of 0.5 second. These segments would undergo feature extraction using jAudio. The result would be an xml file containing all the features determined for each segment. The researchers would then run RegEx to extract the unnecessary text in preparation for labeling. Since the proponents would have supervised learning, the data needs to be labelled according to their composer, composition and file name.

#### **1.5.4 Feature Selection**

In this phase, the proponents will trim down the 436 features that jAudio has extracted. Using decision trees, the top 20 nodes will be selected as the top 20 features. The proponents have decided to have 20 as an arbitrary value for the features to be used. By doing feature selection, the data set would have a uniform number of features for all symphonies and it would also enhance the efficiency of training the SOM. The tree model produced after feature selection is what would be used in training the SOM.

#### **1.5.5 Visualization**

The proponents will assign each generated SOM to a point in time and will be used to create a graph representing each map in a time series. As a result of using time series, the proponents will be able to better differentiate symphonies that use similar themes but at different periods of time in the composition.

#### **1.5.6 Performance Evaluation**

In this phase, the proponents limit themselves to 50 participants. The participant profile would be people that have experience with classical music. In the case that the target amount is not reached within two months, the researchers will proceed to analyze the results they have. The participants would be presented with a 3D graph and two music players. They are tasked to annotate specific regions of the symphony and verify if they are indeed similar. However we do not limit the participants to the specified regions, the participants are free to annotate parts that they believe sound similar.

#### **1.5.7 Documentation**

This phase will be done all throughout the whole research timeframe. The previously mentioned stages and their corresponding findings would also be documented duly.

## 1.6 Calendar of Activities

Table 1.1 shows the time table for the activities involved with the research for 2017 and Table 1.2 shows the activities for 2018. The numbers represent the number of weeks worth of activity. The # symbol represents the number of weeks allotted for the month.

Calendar of Activities							
Activities for 2017	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Concept Formulation and RRL	###	###	#				
Data Gathering			#	###	##		
Pre-processing				##	##	####	##
Feature Seleccion						##	##
Visualization Development				##	##	##	#
Performance and Human Evaluation							
Documentation	##	###	##	###	#####	#####	##

Table 1.1 Timetable of Activities for 2017

Calendar of Activities							
Activities for 2018	Jan	Feb	Mar	Apr	May	Jun	Jul
Concept Formulation and RRL							
Data Gathering							
Pre-processing							
Feature Seleccion						###	
Visualization Development				###	####		
Performance and Human Evaluation			####	####	###	###	
Documentation	###	####	####	####	####	####	##

Table 1.2 Timetable of Activities for 2018

## Chapter 2

# Review of Related Literature

This chapter discusses existing research on musical data representations. It also discusses the application of machine learning in music and visualization techniques for musical compositions. A summary of each section in this chapter is presented prior to the discussion of each section.

## 2.1 Musical Data Representation

Musical Data Representation and Interpretation			
Authors & Year	Title	Research Problem	Approach
Correa & Rodrigues (2016)	A survey on symbolic data-based music genre classification	Expanding music database needs more accurate tools for music information retrieval	Symbolic-based music feature are used to train system for genre classification.
McEnnis, McKay, Fujinaga, & Depalle (2005)	JAUDIO: A Feature Extraction Library	Solving existing problems in feature extraction systems	They developed jAudio to make extracting features a lot more convenient for researchers.
Cambouropoulos & Widmer (2000)	Automated Motivic Analysis via Melodic Clustering	Finding similarity in music patterns.	Their method uses differences in pitch-intervals and rhythm as basis for splitting one musical motive (small bits of music) from another.

As music grows continuously over time, a constant need for an upgrade to satisfy the number and size of music databases causes the development of more accurate tools for music information retrieval (MIR). MIR is the research field responsible for the development of algorithms or other computational means for the retrieval of useful information from music and the classification of music based on their categories. According to Corra & Rodrigues (2016), the ever increasing research on machine learning, the ever expanding abundance of digital audio formats, the growing quality and availability of online symbolic music data, and availability of tools for extracting musical properties motivate this study on machine learning and MIR. One of the main problems in MIR involves the classification of music based on their genre which this research work tackles. The automatic genre classification of music plays a key role in online music databases where websites or device music engines manage and label music content for retrieval. The main goal of this research work is to be able to compare music samples and give them their

own groups or tags in the database so that they can be easily retrieved whenever needed.

Symbolic-based data are music features extracted from symbolic data formats such as MIDI and KERN. In the MIR community, two main representations of music content for MIR research are followed, either the audio-recorded or the symbolic content. Audio-recorded content produce low-level and middle-level features, whereas symbolic content produce high-level features. When analyzing music content, it is preferable to extract more features with the high-level feature of the symbolic content since it is closer to the human perception of music. Due to these reasons, symbolic-based content is used for the research. This research further provides overviews of important approaches regarding music genre classification with the use of symbolic-based music features. The research, as a result, reveals that pitch and rhythm are the best musical aspects to be explored in symbol-based music feature classification that lead to accurate results. Some limitations for further improvement on future works however are present such as the small amount of music dataset used in the research, the bias of using western culture music, and the lack of comparison means for the result of the research due to the lack of previous research works regarding symbolic-based music genre classification.

McEnnis, McKay, Fujinaga, & Depalle (2005) introduced a feature extraction software for audio files called jAudio. jAudio provides an easy to use GUI and a command line interface for selecting which features to select/deselect from the list of features in jAudios current library of feature extraction algorithms which can be found in Appendix C. The software accepts any audio file as input and outputs ACE XML or ARFF format for the features extracted from the audio file. The proponents in this research encountered many problems with regards to existing feature extraction softwares at the time of their research such as there was great difficulty in extracting perceptual features such as meter or pitch from a signal. Another problem was that there was no existing repository of feature extraction algorithms and researchers would have to implement their own feature extraction algorithm whenever they need it and there will be a big chance that they implement the algorithm incorrectly. There was also no existing feature extraction software that produced a standard output format. Feature extraction code was also restricted and not made available to users, thereby denying researchers from developing more feature extraction algorithms.

JAudio tackles these problems by being a Java-based software, making it easy to acquire and making it compatible with any platform. It produces a standard output format and handles dependencies well by executing all dependencies of a feature extraction algorithm before executing it. For example, the magnitude spectrum of a signal is used by a lot of other features so jAudio would prioritize extracting this first before the others to avoid repeating any extraction process.



JAudio also supports metafeatures which are just features that are used by all other features. Examples of this would be derivatives and mean.

Cambouropoulos & Widmer (2000) stated that music could be categorized into small bits called "motives". These motives are extracted from a musical piece by determining which clusters of musical data can be grouped together while maintaining melodic and rhythmic coherence. This is achieved by representing a melodic segment as a series of notes while minding musical closeness.

Their paper outlines a method that uses differences in pitch-intervals and rhythm as basis for splitting one musical motive from another. For example, two segments can be considered similar if they share a certain number of component notes or intervals using approximate pattern matching. The segments can also be considered similar if they contain shared elements at different pitches. However, this would require a more advanced pattern matching and data structure.

## 2.2 Machine Learning

Machine Learning			
Authors & Year	Title	Research Problem	Approach
Raphael (2010)	Music Plus One and Machine Learning	Computer driven musical accompaniment	Hidden Markov Models and Gaussian Graphical Models
Dubnov, As-sayag, Lartillot, & Bejerano	Using Machine-Learning Methods for Musical Style Modeling	Predicting and determining musical context based on relevant past sample is very difficult because the length of the musical context varies widely	Two approaches, incremental parsing (IP) and the prefix suffix trees (PST), are used in designing predictors that can handle data with very large length.

Comparing trends in musical scores and generating a seemingly new work based on the past works of a certain composer has been the focus of another study. In Dubnov, et. al. (2003)s research, they stated that predicting and deter-

mining musical context based on relevant past samples is very difficult because the length of the musical context varies widely. The proponents formulated then that by using statistical and information theoretic tools, one can capture important trends present in the musical scores for further analysis with machine learning to derive mathematical models for inferring and predicting a seemingly new work from this particular composer. Large contexts make it very difficult to estimate because the number of parameters, computational costs, and data requirements for reliable estimation increases exponentially. To address this problem, the usage of predictors that can handle data with very large length is necessary. Two algorithms are used to design such a predictor for generating new works from old music scores, namely the incremental parsing (IP) and the prefix suffix trees (PST).

The IP algorithm was first suggested by Ziv & Lempel (1978). Given a string as input, the algorithm first builds a dictionary of distinct patterns by traversing from left to right of a sequence once and adding to the dictionary every time a new phrase with a different last character from the longest match that already exists in the dictionary. In representing the dictionary with a tree, every node contains a string in the dictionary and each time the algorithm reaches a node, it means that the string input contains the string assigned to the node but is longer. In this case, a new child node will be added to the tree.

PST was developed by Ron, Singer & Tishby (1996). This algorithm is very similar to IP, but it only adds to its dictionary if and only if the pattern or motif appeared a significant number of times in the string input and will prove to be useful in predicting for the future. Due to this, the main advantage that IP has over PST is that IP is a lossless compression algorithm, since in PST, some patterns are not added to dictionary, especially if they are not significant. PST, however, is more efficient than IP as a parsing algorithm.

Aside from music comparison, machine learning is also applied in automatic music accompaniment. These accompaniment systems serve as musical partners for live musicians that are performing music that is centered on the soloist. Raphael (2010) developed an accompaniment system with three modules namely Listen, Predict, and Play. The first module interprets the audio input of the live soloist in real-time, identifying note onsets with variable detection latency using hidden Markov model-based score following. However, there will be some detection latency due to the fact that a note must be heard first before it could be identified. To resolve this issue, the Predict module, implements a Gaussian graphical model that times the accompaniment on the human musician, continually predicting the evolution as more information comes.

## 2.3 Music Visualization

Musical Visualization			
Authors & Year	Title	Research Problem	Approach
Azcarraga & Flores (2016)	SOMphony: Visualizing Symphonies Using Self-Organizing Maps	How influential are composers and their symphonies back in the early musical eras?	Construct 2D SOM trained using k-means clustering to construct visual maps for comparison of symphonies.
Azcarraga, A., Caronongan, A., Setiono, R., & Manalili, S. (2016)	Validating the Stable Clustering of Songs in a Structured 3D SOM	Will constructing the classic 2D SOM as a 3D map be feasible, with the learning algorithm still the same as the 2D map?	The 3D map is designed as a $3 \times 3 \times 3$ cube with $9 \times 9 \times 9$ nodes. The cube is divided into one core cube and 8 corner cubes. The Euclidean distance from core to each corner represents the quality of the different categories or genres.
Barrington, Chan, & Lanckriet (2010)	Modelling Music as a Dynamic Texture	Addressing the lack of time-dependency between feature vectors	Dynamic Texture to represent a sequence of audio features
Foote (1997)	Visualizing music and audio using self-similarity	Is it possible to display the acoustic similarity between any two instants of an audio file as a two-dimensional representation	Audio similarity is computed by parameterizing them into MFCCs and getting the autocorrelation of two MFCC feature vectors $V_i$ and $V_j$ that were derived from audio windows

Modeling music is representing the audio file in a machine-readable form. (Bar-rington et al., 2010) raises the issue of the lack of time dependency between feature vectors and stresses the need to have the feature vectors ordered in time. When time is ignored, the feature vectors fail to represent the musical dynamics of an audio fragment. The research addresses these limitations and proposes a visualization model for short temporal fragments of music and calls it a dynamic texture.

In another research work regarding the visualization of symphonies using SOM and also the previous research work this research work desires to expand on, Azcarraga & Flores (2016) focused on whether the music of certain composers and centuries are influenced by prior works of other composers. Their approach relied upon SOMs and k-means clustering where each section on the map represented a specific type of sound. When fed the data from a symphony, a line would be drawn and move from section to section which would represent the different types of sound the SOM would encounter during playback. The result would look like a scribble of lines superimposing each other. By comparing whether this signature of the symphony was similar to one of another symphony, the researchers were able to detect the stylistic influence that one composer has with another.

Azcarraga, Caronongan, Setiono, & Manalili (2016) presents a variant of the classical 2D SOM, a 3D SOM, that is stable with the general clusters not moving around on every training phase. A structured 3D SOM is an extension of a 2D Self-Organizing Map to 3D with a predefined structure. The 3D SOM is represented as a 3x3x3 cube with 27 sub-cubes of the same size. Each sub-cube is further divided into 9x9x9 nodes. The structured 3D SOM is a collection of one distinct core cube in the center and 26 exterior cubes surrounding it, hence summing to a total of 27 sub-cubes. Alongside 3D SOMs built in structure, the learning algorithm used in this 3D SOM includes a four-phase learning and labelling phase. The first phase of training involves the semi-supervised training of the core cube. The second phase involves yet another semi-supervised training, but for the eight corner cubes. The third phase involves training the core cube again, but the training will be unsupervised. The fourth and final phase will be the labelling phase. This phase involves the uploading of the music files into the cube and labelling them accordingly. The music dataset used in this research includes songs from 9 genres: blues, country, hip-hop, disco, jazz, metal, pop, reggae, and rock. Each genre has 100 songs, thus summing to a total of 900 songs.

SOM is usually represented as a 2D map with the input elements being similar to the input environment. This research verifies that designing the SOM as a 3D map is very feasible, with the learning algorithm still the same as with the 2D map. By extending the SOM from 2D map to 3D, the map is further distinguished into the sub-cubes: eight corner cubes and one core cube in the center. Each corner

cube represents a music genre while the core cube represents the song itself. The 3D SOM will be able to identify the quality of the different categories or genres of music albums based on a measure of distortion values of music files with respect to their respective music genres. Distortion value is measured by the Euclidean distance between the core cube and a corner cube.

Foote (1997) presented a paper on Visualizing Music and Audio using Self-Similarity. In this paper, the acoustic similarity between any two instants of an audio file is calculated and displayed as a two-dimensional representation. Structure and repetition is a general feature of nearly all music, with parts resembling certain parts of the song that came before it. This paper presents a method of visualizing the structure of the music by its acoustic similarity or dissimilarity in specific instances of time through grayscale gradation patterns.

Before getting the similarity measures, the two instants are first parameterized into Mel-frequency cepstral coefficients (MFCCs) plus an energy term. The similarity measure  $S(i, j)$  is computed by getting the autocorrelation of two MFCC feature vectors  $V_i$  and  $V_j$  that were derived from audio windows. A simple metric of vector similarity  $S$  is the scalar product of the vectors. A better similarity measure can be obtained by computing the vector correlation over a window  $w$ . This captures the time dependence of the vectors. To have high similarity measure, the vectors must not only be similar, but their sequence must be similar as well.

Given the similarity measures  $S(i, j)$  computed for all window combinations, an image is constructed so that each pixel at location  $(i, j)$  is given a grayscale value proportional to the measure. The maximum similarity measure is given maximum brightness. Visually, regions of silence or long sustained notes appear as bright squares on the diagonal. Repeated figures such as choruses and phrases will appear as bright off-diagonal rectangles. If the music has a high degree of repetition, it will show up as diagonal stripes or checkerboards that are offset from the main diagonal. Longer audio files would result to larger images due to the rapid rate of feature vectors. To reduce the image size, the similarity is only calculated for certain time indexes and since  $S$  is already calculated at window size  $w$ , the paper only looks at time indexes that are an integer multiple of  $w$ .

# Chapter 3

## Theoretical Framework

This chapter contains theories and concepts that are related to the research.

### 3.1 Symphonies

#### 3.1.1 Basic Structure of a Symphony

The Classical and Romantic symphony is mainly written in four movements, namely the fast tempo or sonata allegro form, the slow tempo, the medium/fast tempo or minuet, and the fast tempo again. The sonata form makes up the main form of Classical and Romantic symphonies. It is composed of two contrasting themes, the aggressive and the passive and is further divided into several sections, namely the introduction, exposition, development, recapitulation, and coda. The introduction section is purely optional and is slow and solemn in nature. The exposition section is where the themes of the symphony are exposed or presented for the first time and will consequently be repeated all throughout. The development section is where the themes are altered and manipulated. The recapitulation section is where the themes return to their original forms from before they were altered. The code section finally represents the end of the movement and this is where the original tone from the exposition section is repeated or recapped to form the ending for the movement (Heikkinen, 2017 & BBC, 2014).

### 3.1.2 Music Features

A feature is a characteristic used to distinguish one entity from another and in a sense defines its uniqueness. Music features, therefore, are what makes music similar to or different from one another. By comparing the values for each music feature and by examining if a feature is present at all or not, comparison of music by mathematical means is very possible (Huron, 2001).

Today, music information retrieval (MIR) has become an important area of research especially because of the ever expanding database for music through the years. The features extracted from music can be used in many areas of MIR research. It can be said that when two songs share closer values for each music feature, then they are more similar than with others (Corra & Rodrigues, 2016).

#### MFCC

MFCC, also known as Mel-Frequency Cepstral Coefficients, is the most commonly used feature in speech analysis and since speech analysis and music research are closely interrelated as pointed out by Loughran, Walker, O'Neill, & O'Farrell (2008), then MFCC will likely be the most commonly used feature in music feature extraction.

According to Lutter (2014), MFCC is based mainly from experiments on human misconceptions of words such as when a person misunderstands what another person says. This feature extraction method was first developed by Bridle and Brown in 1974 and was further developed by Mermelstein (1976). The MFCC feature extraction method involves mimicking some parts of the human speech production and speech perception. This feature extraction involves five steps, namely the fourier transform, the mel-frequency spectrum, the logarithm, cepstral coefficients, and the derivatives. The first step, fourier transform makes use of the formula  $C_{r,k} = |\frac{1}{N} \sum_{j=0}^{N-1} f \exp[-i2\pi \frac{jk}{N}]|$ , where  $k = 0, 1, \dots, (\frac{N}{2}) - 1$  and N is the number of samples within a speech or time frame.

The mel-frequency spectrum closely mimics the sensation of the human ears auditory system and the process involves filtering the spectrum with different band-pass filters, devices that pass frequencies within a certain range and reject all others, and the power for each band-pass filter is computed accordingly (Agarwal, 2017). The computation makes use of the formula  $C_{T,j} = \sum_{k=0}^{\frac{N}{2}-1} d_{j,k} C_{T,k}$ , where  $j = 0, 1, \dots, N_d$  and d is the amplitude of the band-pass filters at index j and frequency k, to produce the corresponding filter bank for the spectrum.

The third step, logarithm involves mimicking the perception of loudness by the human ear and is represented by the formula  $C_{T,j} = \log(C_{T,j})$  where  $j = 0, 1, \dots, N_d$ .

In cepstral coefficients, the main goal here is to remove the speaker or the music dependent characteristics. The computation of cepstral coefficients results in the inverse of the fourier transform of the estimated spectrum of the signal and is represented by the formula  $C_{T,j} = \sum_{k=1}^{N_d} C_{T,k} \cos[\frac{k(2j-1)\pi}{2} N_d]$  where  $k = 0, 1, \dots, N_{m,c} < N_d$  and  $N_{m,c}$  is the chosen cepstral coefficient for further processing.

Lastly, the derivative represents the dynamic nature of speech or the music.

## 3.2 Preprocessing

### 3.2.1 Data Collection

In gathering data, a careful lookup for patent or copyright issues must strictly be observed. Symphonies are musical pieces that were generally composed a long time ago and as such copyright on the actual symphonies are nonexistent. The only copyright issues to be possibly encountered here would be the source of the recreated symphony. For example, when the symphony is uploaded by a certain person in Youtube then the standard youtube license or the creative commons would apply (Brown, 2017).

### 3.2.2 Preparation of Dataset

In Azcarraga & Flores (2016)s research regarding visualization and comparison of symphonies through SOM, the preparation of dataset was done by first cutting the symphony into multiple 1 second music segments with an overlapping interval of 0.5 second to provide a smoother transition of the segments when represented later visually in addition to taking consideration of sections or notes that have been abruptly cut during the splitting process. In this way, after the feature is extracted and trained in the SOM, the multiple music segments will make up different musical trajectories which makes up the map visualization.



### 3.2.3 Feature Extraction

Feature extraction is the means of extracting relevant and effective data to train machine learning algorithms. Not all features, however, may be useful and others may be irrelevant individually but can be useful when combined with other features. The input data or raw data often need to be converted into a set of useful features through preprocessing transformations such as, standardization, normalization, signal enhancement, nonlinear expansion, et al. The resulting data may also be pruned of excess features in order to achieve improved algorithm speed and or predictive accuracy (Guyon & Elisseeff, 2006).

Feature extraction for music can be done using JAudio, a Java project/program developed by McEnnis, McKay, Fujinaga, & Depalle (2005). JAudio is a feature extraction system that provides a user friendly GUI and a command line interface to suit user needs for selecting their desired features to be extracted for the audio. The system accepts audio files as input and outputs XML or ARFF files. This output file contains the values for each feature of the audio file selected by the user.

### 3.2.4 Feature Selection

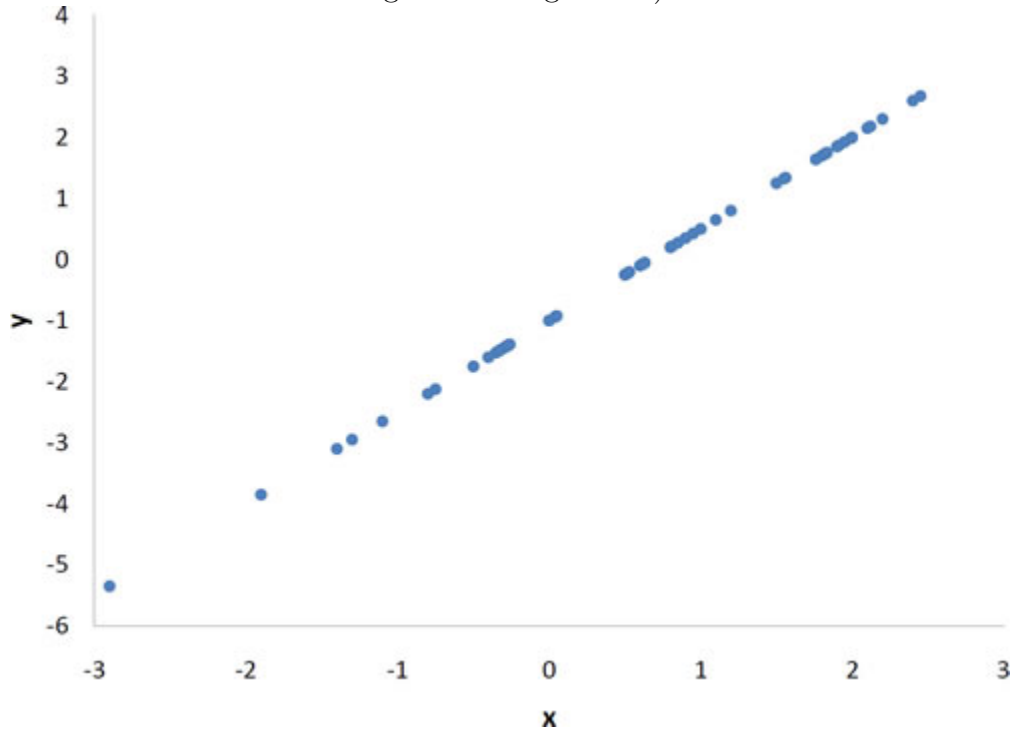
Gupta (2017) defines decision tree as a binary tree that branches down from the root. The term tree-walking is used to continuously make decisions on every level of the tree starting from the root node until the leaf nodes are reached or a satisfactory answer is found. In this way, it can be derived that the nodes found at the top of the tree are more important than its child nodes and all others beneath them. Decision trees are useful for inferring what features of a dataset can greatly or weakly influence its outcome (Mitchell, 1997).

Feature selection is the automatic selection of attributes in the dataset that are most relevant to a specific predictive model. Feature selection helps in reducing the number of data attributes being used while still retaining a good or accurate predictive model. Aside from reducing the number of features or data attributes, it can also help in removing unwanted attributes that may decrease the accuracy of the predictive model (Brownlee, 2014).

Grabczewski & Jankowski (2005) explains that decision tree algorithms are best used for feature selection because of the inherent characteristic of decision trees that allows them to separate the different features and showcase the more important features since it will appear on top of the decision tree.

Some simple but successfully tested algorithms for feature selection would include Pearsons correlation coefficient and Fisher-like criterion. Pearsons correlation coefficient or Pearsons R is widely used in the computation of statistics and this involves detecting linear correlation, which is the representation of how close the data points are in making a straight line in a graph, just as shown in Figure 3.1. In feature selection, Pearsons correlation coefficient can produce poor results when presented with nonlinear data structures; however, it is still a reliable algorithm for feature selection because of its simplicity and optimal results for most cases.

Figure 3.1: Figure 3.1)



Fisher-like criterion makes use of the formula  $\frac{m_0 - m_1}{s_0 - s_1}$ , wherein  $m$  is the mean value of the feature for the  $i$ -th element and  $s$  is the corresponding standard deviation. This algorithm can only be used, however, when dealing with binary classifications.

Feature selection, in general however, can be classified into three categories, namely the filter methods, wrapper methods, and the embedded methods. Filter method involves labelling each feature with a statistical measure and by comparing these measures, the more important features can be selected. Wrapper method involves grouping different combinations of features together to see which combinations work best. Embedded methods involve learning which features best contribute to the accuracy of the model while the model is simultaneously being

created. Some more examples of feature selection algorithms would include best-first search, hill-climbing algorithm, and the usage of heuristics. The first two fall under the wrapper methods wherein different combinations are used until the top  $n$  features are found. The last one falls under the filter method wherein a heuristic score is given to each feature using a statistical measure such as Euclidean distance for example, and the features with the high scores will be the ones selected. For this reason, The proponents will use Decision trees in order to find which features can be ignored during SOM construction. As a result of reducing the number of features for training the SOM will have a decrease in the accuracy of the generated map, however, using a lower number of features allows faster training of the SOM. The resulting decision tree will be used to determine unnecessary nodes and trim down the number of features to the 20 most influential features where 20 is an arbitrary number chosen by the proponents.

### **3.3 Machine Learning**

Machine learning as defined by Ng (2017) in his online course for machine learning in Stanford University is the science behind computers acting on a certain stimulus without being explicitly programmed to do so. Some examples of impact led by machine learning would be self-driving cars and web search suggestions from Google. Machine learning is also widely used in many different fields of research such as in artificial intelligence, data mining, natural language processing, image recognition, and expert systems (McCria, 2014). In machine learning, the concept of training the system to perform a unique task given a certain amount of data received has two main underlying categories, unsupervised learning and supervised learning.

#### **3.3.1 Unsupervised Learning**

Brownlee (2016) defines unsupervised learning as having only one input and having no corresponding output variable. Unsupervised learning is analysing the structure and distribution of the data in order for system to learn. It is called unsupervised because unlike supervised which requires the supervision of a person to correct learned data, unsupervised learning leaves the algorithm on its own to learn from the data. Unsupervised learning can be further classified into two groups of algorithms, namely the clustering and the association. Clustering is used for discovering the groupings of data through clusters and association is used for discovering rules that describe the provided data.

## SOM

Germano (1999) defines SOM as a data visualization technique developed by Professor Teuvo Kohonen which reduces the dimension of data through the use of self-organizing neural networks. As SOM reduces the dimension of data, it also groups similar data items together; therefore, it not only reduces the dimension of data but also groups similar ones together. Figure 3.2 shows a basic example of a SOM. Note in this example that the data represented by colors are grouped according to their similarity (eg. yellow is near orange, dark teal is between blue and green).

Figure 3.2: Figure 3.2)



Bullinaria (2004) defines a class under supervised learning called competitive learning. Here, neurons compete among themselves in a winner-takes-it-all scenario wherein only one neuron wins and is activated at any one time. Implementation of this competition is done through the use of lateral inhibition connections, which are structures of a network in which neurons inhibit their neighbors (Kropotov, 2009). When neurons are forced to organize themselves through this scenario, then the result would be a map that is self-organized, thus a SOM.

## K-Means Clustering Algorithm

K-means clustering algorithm is a type of unsupervised learning algorithm wherein a set of unlabeled data will be grouped together and these groups are defined as the  $k$  variable. The algorithm will assign the different data points to their respective  $k$ -groups based on the selected features. Data points will then end up being clustered based on their feature similarities. The algorithm has two main iterative steps, the data assignment step and the centroid update step, that repeats until either data points change clusters, the sum of the distances is

minimized, or some maximum number of iterations is reached. Before starting with these two steps, the centroid for each k-cluster is computed first. In data assignment, each data point is placed in their nearest centroid value computed with squared Euclidean distance. In centroid update, the centroid is recomputed by taking the mean of all the data assigned to the centroids cluster (Trevino, 2016; Hartigan & Wong, 1979).

### **3.3.2 Supervised Learning**

Supervised learning, as defined by Brownlee (2016), is a type of machine learning wherein an input variable and an output variable is defined and an algorithm is used to map the input to the output variable. The goal of this type of learning is to map the input variables to their respective output variables by approximation so that when a new input variable is presented, an output can be predicted by the system. The main difference of supervised learning over unsupervised is that there is no third party that supervises and corrects the training of data in unsupervised but in supervised, intervention of the supervisor is necessary in order to achieve an acceptable level of performance by the system. Supervised learning can be further divided into two groups, namely regression and classification. Regression is used when the output is a real value, for example, weight, height, or age. Classification is used when the output is a category or group, for example, colors, sizes.

## **3.4 Visualization**

### **3.4.1 Single Image**

Just as done in Azcarraga & Flores (2016) research work, visualization for the result of the SOM can be done in a single image. The BMU or best matching unit, which will be explained further in section 3.5.1, represents the music trajectory of a certain 1 second music segment from the symphony. This sequence of BMUs make up the visual image representation of a certain symphony. A color coding scheme was also used to denote the time sequence of a certain music trajectory in the image, blue representing the start and going to red as the music progresses as shown in Figure 3.3.

Figure 3.3: Figure 3.3)



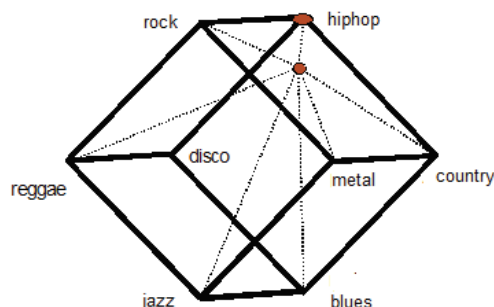
### 3.4.2 Video

Aside from representing the result of the SOM in a single image, it can also be represented in a video or multiple images. Video can be produced for the results of this research by collating each 1 second segment result in order to show the progression of the musical trajectory grow from the start of the symphony to the end. This allows clearer visualization of the data to have more accurate analysis. Using this kind of visualization also greatly helps the survey user in the outcome of this research.

### 3.4.3 3D Models

In Azcarraga, Caronongan, Setiono, & Manalili (2016)s research work, they incorporated the use of a structured 3D SOM instead of the regular SOM which will result in a single image. They represented the 3D map as a 3x3x3 dimensional cube with 27 subcubes each of the same sizes. Each subcube is further divided into 9x9x9 nodes. Here, they introduced the concept of a core cube at the center and the other 26 corresponding exterior cubes surrounding it. The training phase of the cube involved a four step labelling phase which was discussed in greater detail back in chapter 2. The resulting 3D SOM was then used to identify the proximity of a certain music to a particular genre. Each genre represented one corner of the cube as shown in Figure 3.4.

Figure 3.4: Figure 3.4)



## 3.5 Metrics

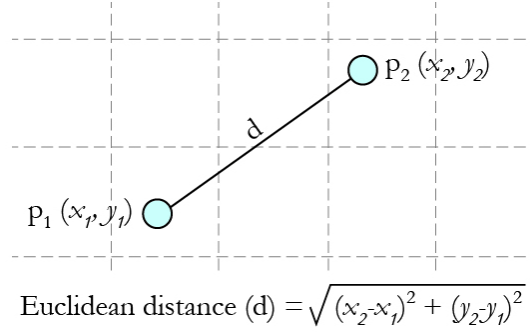
There are two general types of data, qualitative and quantitative data. Qualitative data are data that cannot be measured by numbers while quantitative can be measured by numbers.

### 3.5.1 Quantitative

When using clustering as the method for machine learning, for example k-means clustering, there will result in k number of clusters after the algorithm is performed. Azcarraga & Flores (2016) used k-means clustering in clustering the 1 second music segments. The best matching unit (BMU) for each 1 second music segment is first computed using Euclidean distance, which is the square root of the square of the difference between the x-axis of the first and second point added to the square of the difference between the y-axis of the first and second point, as shown in Figure 3.5.

Each time a 1 second music segment has a BMU inside a cluster, the frequency count for that cluster is incremented. In this way, only the clusters that are mainly used by the music or symphony will have a high frequency count. The frequency counts are then normalized by dividing the counts of a certain composition by its total number of 1 second music segments. Once these normalized frequency counts are summarized, the resulting percentages can then be used to perform pair-wise comparisons between symphonies as shown in Appendix D.

Figure 3.5: Figure 3.5)



### 3.5.2 Qualitative

In theory, the main purpose of conducting surveys is to generate results for a certain research work; however, surveys can also be used to validate the results of a research by comparing the results of the surveys and the results produced initially by the research work. In performing research regarding the usage of algorithms in visualizing and comparing symphonies, it would be sound to say that performing surveys that require participants to listen to two symphonies that have been calculated by the SOM to have a large degree of similarity and asking the surveyees for their opinion on the closeness of the two symphonies can help validate the research work if it really produced reliable or accurate results.

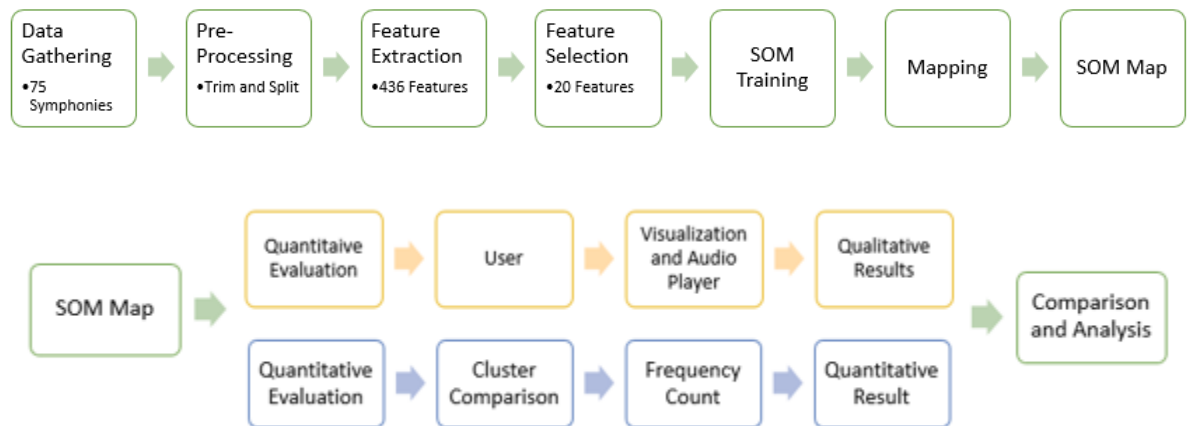


# Chapter 4

## Research Overview

This chapter contains procedures that proponents will follow for the research based from theories and concepts discuss in chapter 3 and the methodologies discussed in chapter 1.

### 4.1 System Architecture



### 4.2 Preprocessing

After acquiring the symphonies from online sources or through physical means, omitting segments of the music file which has no sound in it will be done using

Audacity. This is done so that the output produced later will have no empty values since no sound will result in empty values. After the music files are cleaned, they will then be cut into one second music segments with a half second overlapping interval just as discussed in section 1.5.3 using Direct WAV MP3 Splitter. The music segments will then have their features be extracted using jAudio, producing an output file of XML. The XML file will then be converted to CSV format. The consolidated CSV file of all symphonies will then be used for feature selection to select only the more important features just as discussed in section 3.2.4. After the features have been selected, audio feature extraction will be done again, but only for these selected features. The resulting CSV file will then be used for machine learning using RapidMiner to be used in producing the SOM.

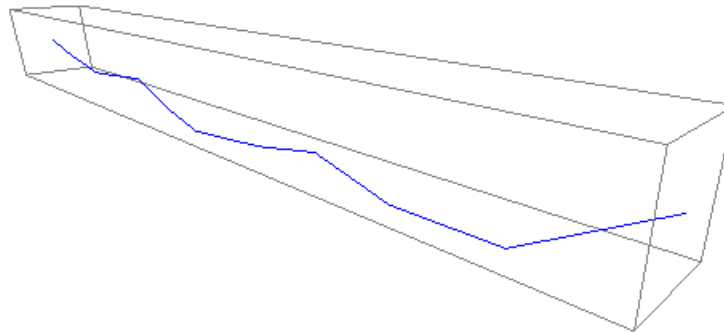
## 4.3 Visualization Components

### 4.3.1 Function

The program will allow the proponents to visualize the SOM in 3D by plotting the BMU of each music segment on a 2D plane and then collating the results of all segments in the composition in time series. The result is a line  $T(x, y, z)$  where  $(x, y)$  denotes the coordinates of the BMU of a particular music segment on the SOM and  $z$  being the index of the segment in the time series.

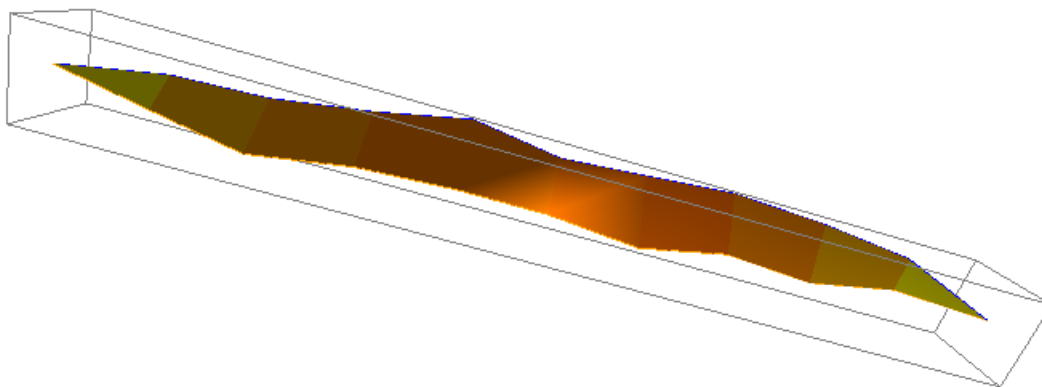
### 4.3.2 Screenflow

Figure 4.1: Figure 4.1)



Displaying the data of one symphony will plot a line that represents the musical trajectory or progression of the symphony in the SOM from start to finish. Each point on the z-axis (longest axis) represents the position of the BMU on the SOM at a particular interval in the time series as shown in Figure 4.1.

Figure 4.2: Figure 4.2)



Alternatively, when comparing two symphonies, two lines will be generated representing the musical trajectories of both symphonies. As shown in Figure 4.2, the area between the two lines will be colored depending on the Euclidean distance between the two BMUs in the same time axis.

## 4.4 Testing and Methodology

### 4.4.1 Quantitative

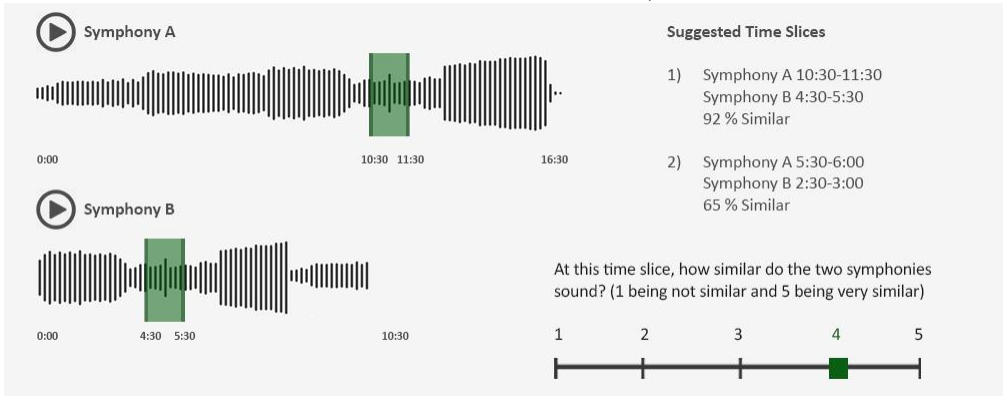
K-means clustering algorithm will be used as the algorithm for machine learning and Euclidean distance will be used for calculating the BMUs for each cluster to compare the similarities of symphonies just as discussed in section 3.5.1.

### 4.4.2 Qualitative

A survey form deployed online will be used to validate the result for the quantitative measurements. In the survey, the participant will first be asked for their

voluntary consent. Then, upon consenting, they will be asked to listen to two symphonies that are found to be similar using the quantitative measure used in the research as seen in figure 4.3. The system will provide suggested time slices for them to annotate. An annotation module is provided for the user to rate the similarity from 1 to 5, with 1 being dissimilar and 5 as similar. They may also choose to annotate which part/parts in the symphony that was not suggested yet they believe were similar. Upon selecting time slices, the coloration of the time slices would change depending on the % similarity of the selected slice. A spectrum of red to green would be used, with red representing a low % similarity and green representing a high % similarity. The results from these should help validate if this research works methodology and speculated results prove true.

Figure 4.3: Figure 4.3)



50 participants will be asked to answer these survey forms. The participants profile will come in the form of either musical inclined people or just regular people who may not know much about music. An estimate of around 60% of the survey forms must be answered by musical inclined people and about 40% answered by regular people because musical inclined people know best about music and are reliable sources for comparison but they may also hold biases with regards to the music they listen to or whether they like listening to this particular composer or not; therefore, including regular people in the survey will help lessen the bias since no knowledge over something will result in no bias.

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# Chapter 5

## Appendix A

### **Research Ethics Documents**

This appendix contains all documents related to research ethics.