

The Conway knot is not slice

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31 Mutation and sliceness

- Knots

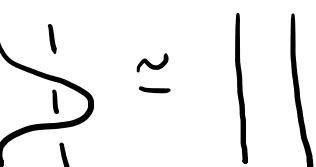
Def. A knot is an embedding $S^1 \hookrightarrow S^3$ on \mathbb{R}^3 .
considered up to ambient isotopy.

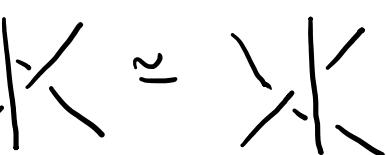
$$K \cong K'$$



• Reidemeister moves

I 

II 

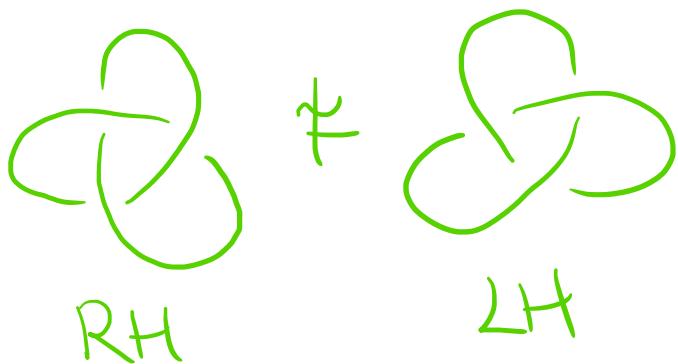
III 

e.g. Unknot, U

$$\text{unknot} \underset{\cong}{\equiv} \infty$$

e.g. Trefoil knots

knot invariant

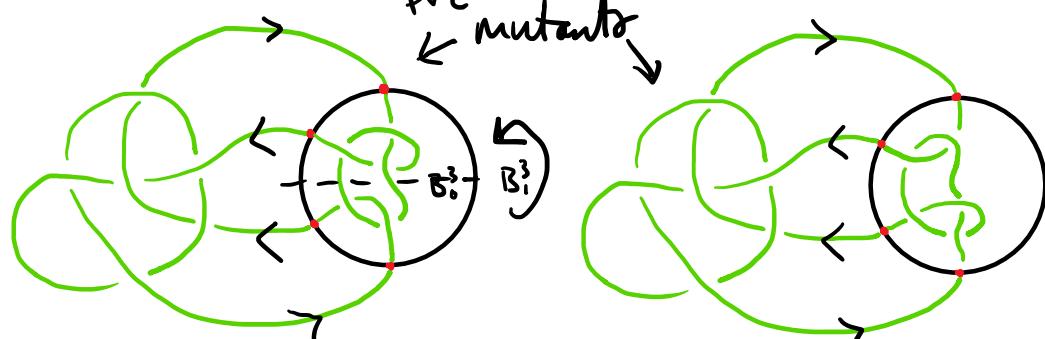


e.g. Figure 8 knot



- Mutation

e.g. Conway knot Kinoshita-Terasaka knot



Def. A Conway sphere for a knot K is an embedded $S^2 \hookrightarrow S^3$ which intersects K transversely in exactly 4 points.

$$S^3 \left\{ \begin{array}{c} \text{Diagram of } S^3 \text{ with two regions } B_0^3 \text{ and } B_1^3 \text{ separated by } S^2. \\ \text{Diagram of } S^3 \text{ with two regions } B_0^3 \text{ and } B_1^3 \text{ separated by } S^2. \end{array} \right. \quad S^3 = B_0^3 \cup_{S^2} B_1^3, \quad K = K_0 \cup K_1, \quad \text{"tangles"}$$

Def. K^* is a mutant of K if it can be obtained from K_0 & K_1 by regluing B_0^3 & B_1^3 via an involution of the Conway sphere.

Def. K^* is a positive mutant of K if it is a mutant of K which also inherits a well-defined orientation from K_0 & K_1 .

- Positive mutation preserves many 3-dimensional knot invariants.

e.g. Alex. / Jones / HOMFLY polynomials.

e.g. $S^3 \setminus v(K)$ hyperbolic volume

- Sliceness

- S^3


 \cup

- S^4 Any knot bounds a disc.

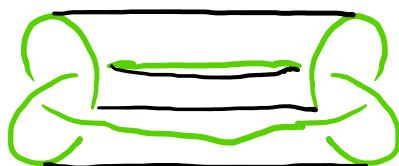
- B^4



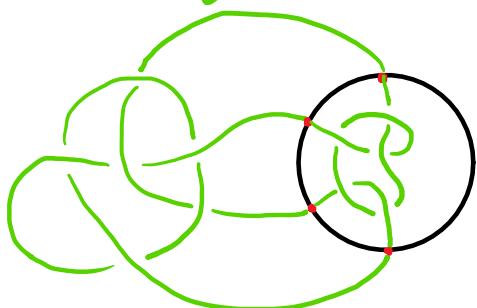
Def. Let K be a knot and suppose $\exists D^2 \subset B^4$ s.t. $K = \partial D^2$.

- # $\begin{array}{l} \text{• } K \text{ is called topologically slice if } D^2 \subset B^4 \text{ is locally flat} \\ \text{• } K \text{ is called (smoothly) slice if } D^2 \subset B^4 \text{ smoothly embedded} \end{array}$

e.g. $K \# \overline{K}$



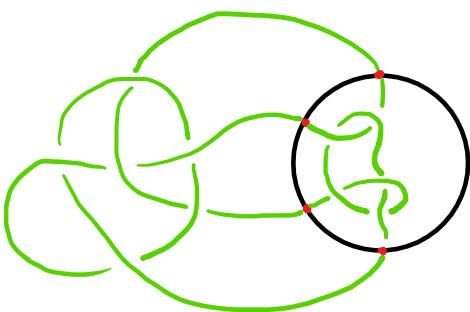
e.g. Conway knot



top. slice
slice

✓
?

Kinoshita-Terasaka knot



✓
✓

Problem: KT knot is slice.

the τ mutant of Conway knot.

Solution: Get as far from KT knot as possible!

3.2 Handley and Kirby calculus

— Handley \downarrow index

Def. An n -dim^l h-handle is $H_k^n \cong D^k \times D^{n-k}$, glued in a particular way. core core

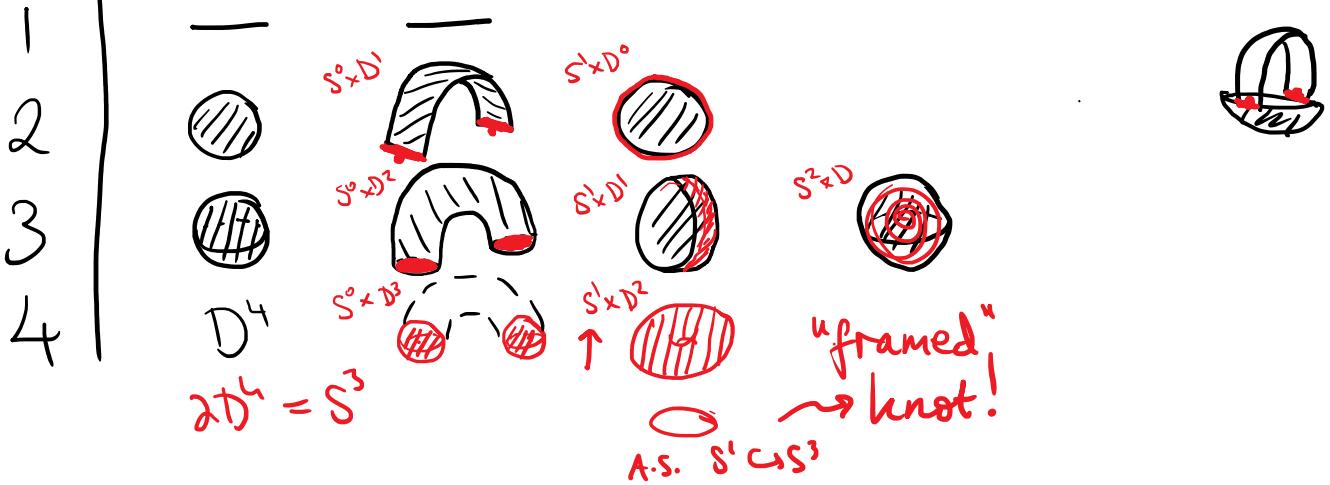
$$\partial H_k^n \cong (\partial D^k \times D^{n-k}) \cup (D^k \times \partial D^{n-k})$$

$$\cong (S^{k-1} \times D^{n-k}) \cup (D^k \times S^{n-k-1})$$

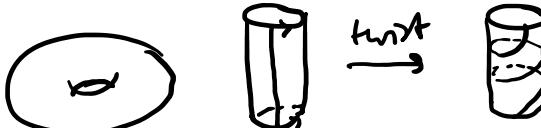


attaching region
attaching sphere.

belt region



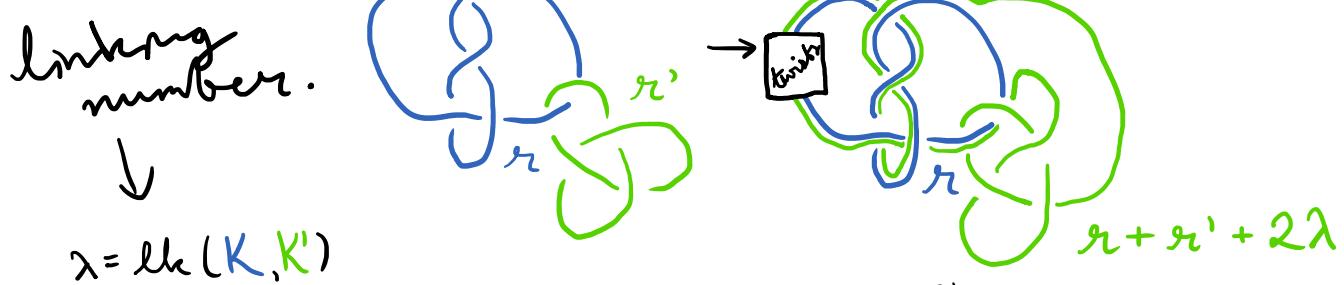
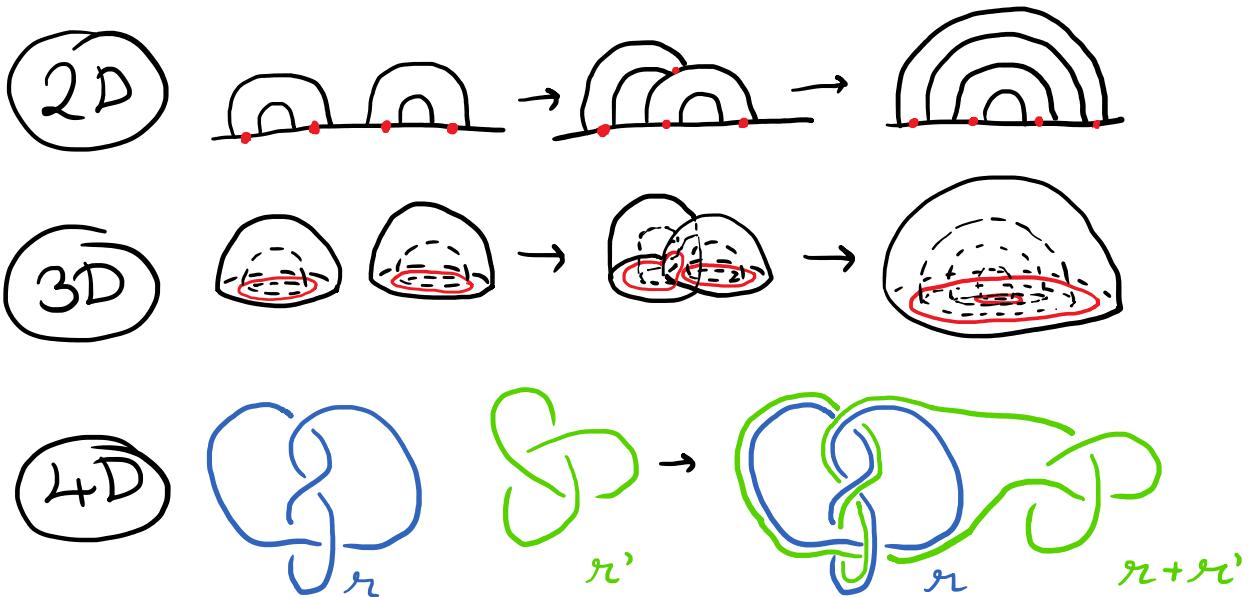
"Framing"
 $r \in \mathbb{Z}$



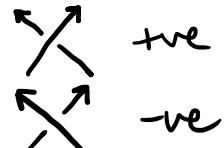
~ Kirby calculus

Thm. [Kirby] L, L' framed link diagrams related by a finite sequence of "Kirby moves"
 \Rightarrow corresponding 4-manifolds X, X' diffeomorphic

- Handle slides

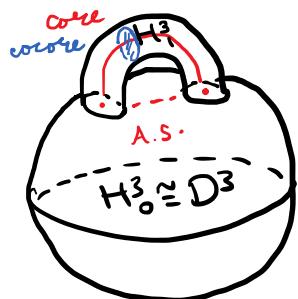


$$= \frac{1}{2} \left(\# \{ \text{+ve crossings between } K, K' \} - \# \{ \text{-ve crossings between } K, K' \} \right)$$

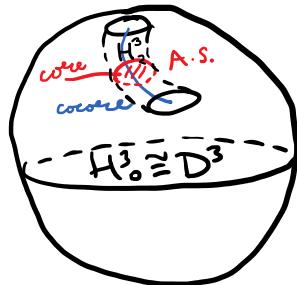


- Attaching a 1-handle = removing a 2-handle

3D



\cong



4D



\cong



dotted
circle
notation

$$H^4_1 \cong D^1 \times D^3$$

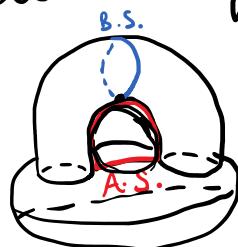
$$A.S. = S^0$$

$$H^4_2 \cong D^2 \times D^2$$

$$A.S. = S^1$$

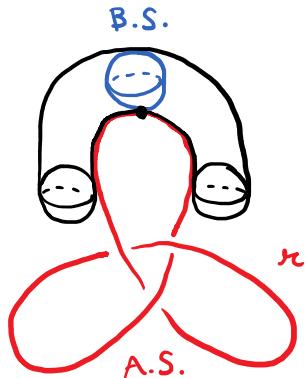
- Cancellation of handle pairs

3D

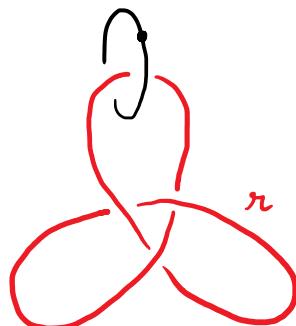


$$|A.S.(H^3_2) \pitchfork B.S.(H^3_1)| = 1$$

4D



\cong



cancel
(delete from
diagram)

3.3 Construction of Piccirillo's knot

- Trace

Def. The trace of K is the 4-manifold $X(K) \cong B^4 \cup_{K} H_2^4$ where H_2^4 is glued to B^4 along K with framing 0.

Lemma K is slice $\Leftrightarrow X(K) \hookrightarrow S^4$ smoothly.
[Kirby & Melvin].

Corollary $X(K) \cong X(K') \Rightarrow (K \text{ is slice} \Leftrightarrow K' \text{ is slice})$

Strategy: Construct K' s.t. $X(K) \cong X(K')$.
Then show K' is not slice.

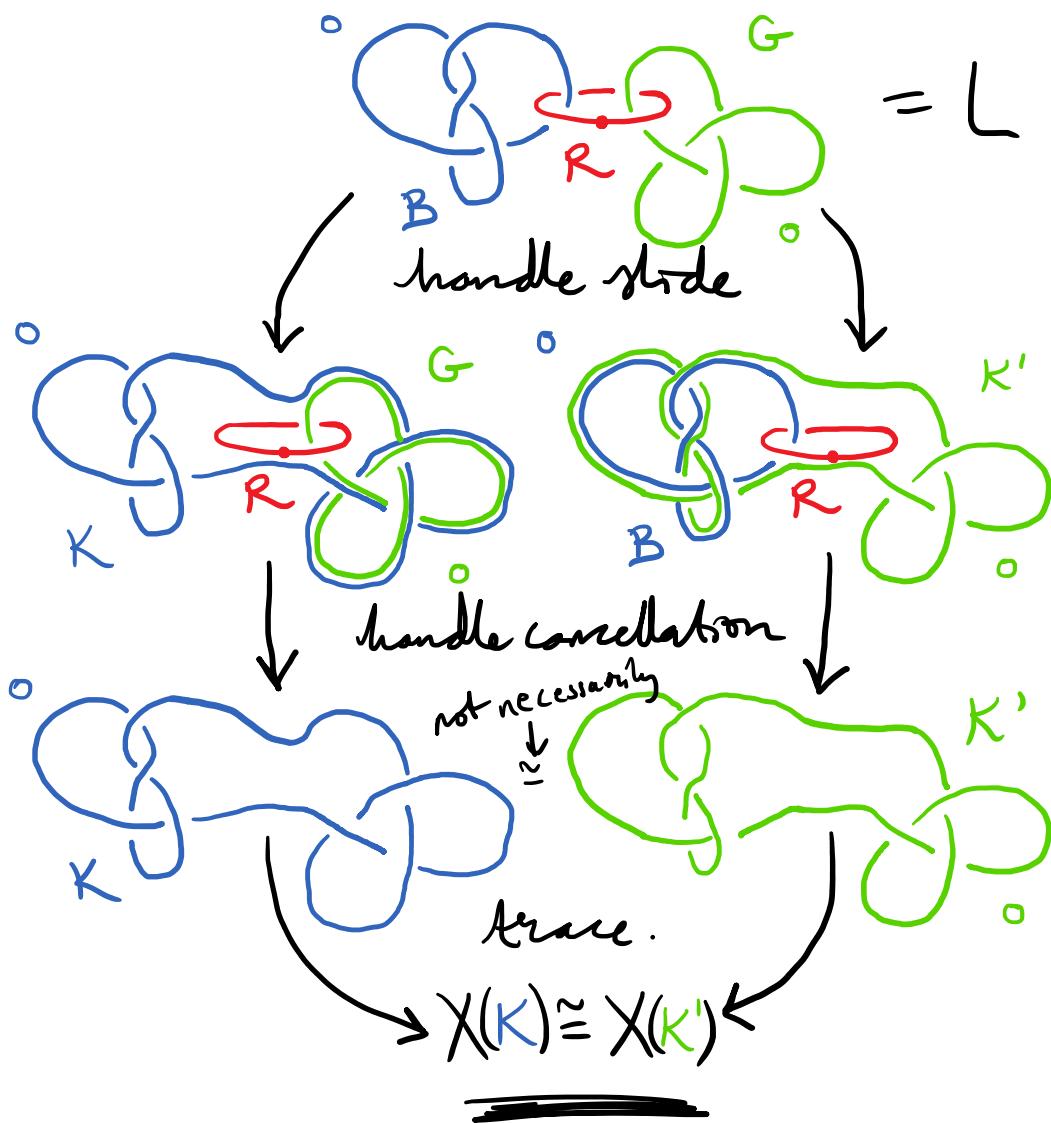
- Dualizable patterns construction

Step 1

Given a link $L = B \cup R \cup G$,
we'll construct two knots K, K'
which satisfy $X(K) \cong X(K')$.

L must satisfy 3 conditions:

$$\begin{aligned}
 \text{(i)} \quad & B \cup R \simeq B \cup \mu_B \\
 \text{(ii)} \quad & G \cup R \simeq G \cup \mu_G \\
 \text{(iii)} \quad & lk(B, G) = 0
 \end{aligned}
 \quad \begin{matrix} \downarrow K \\ | \\ \mu = \text{meridian} \end{matrix} \quad \begin{matrix} L \\ \searrow \\ K' \\ \searrow \\ X(K) \cong X(K')
 \end{matrix}$$

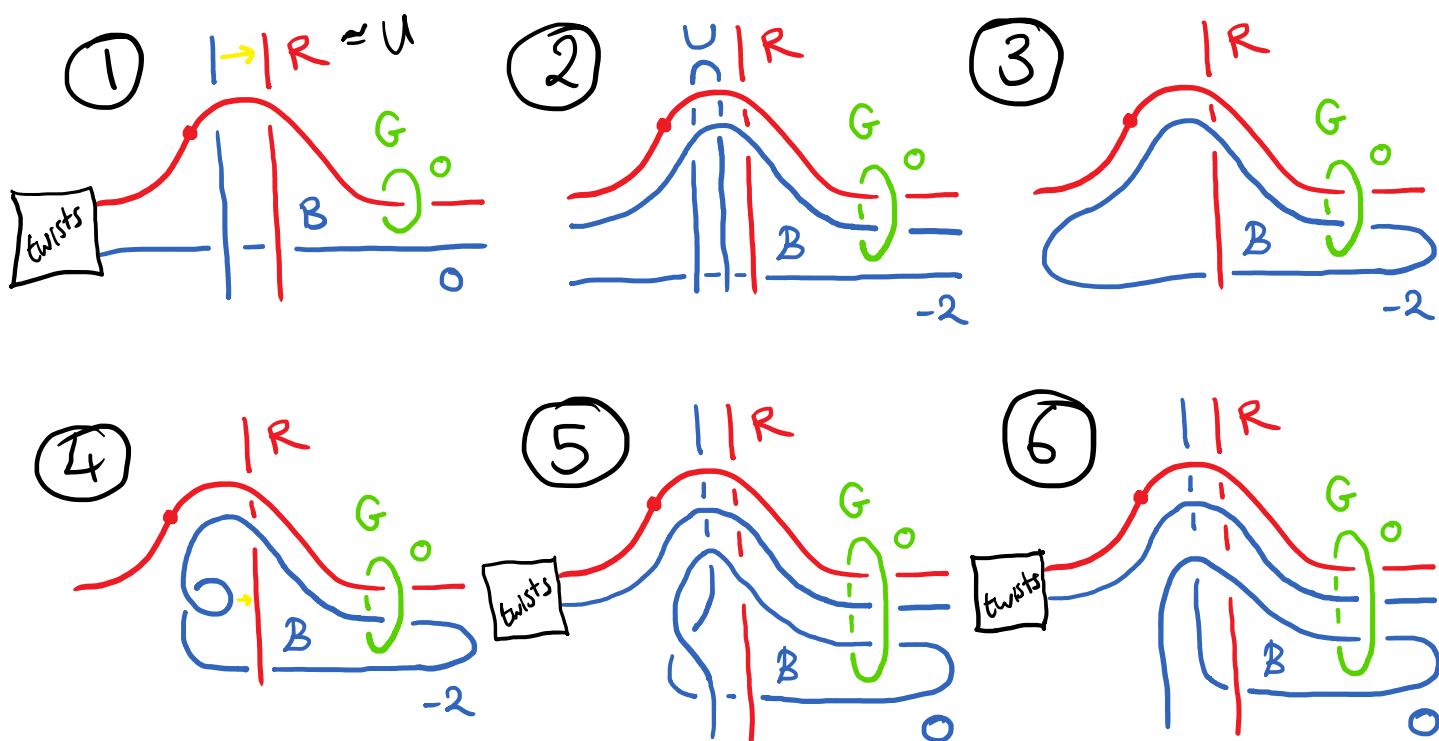


Step 2

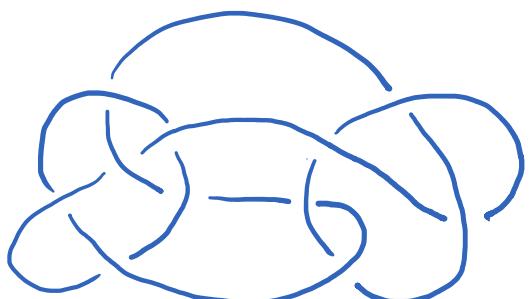
Let K be the Conway knot
Go backwards to find L .
Then construct K' .

Prop. If K has unknotting number 1, "trefail"
then such an L exists.

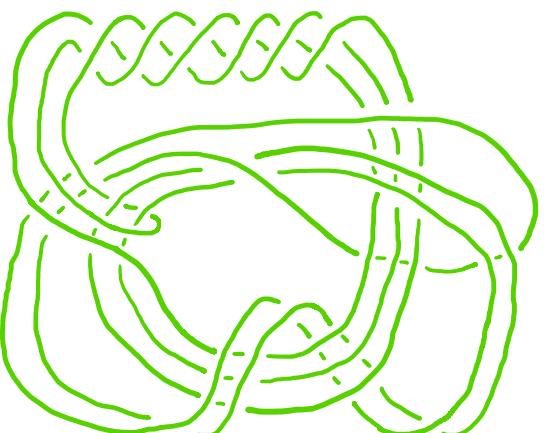
Proof:



K = Conway knot



K' = Piccirillo's knot



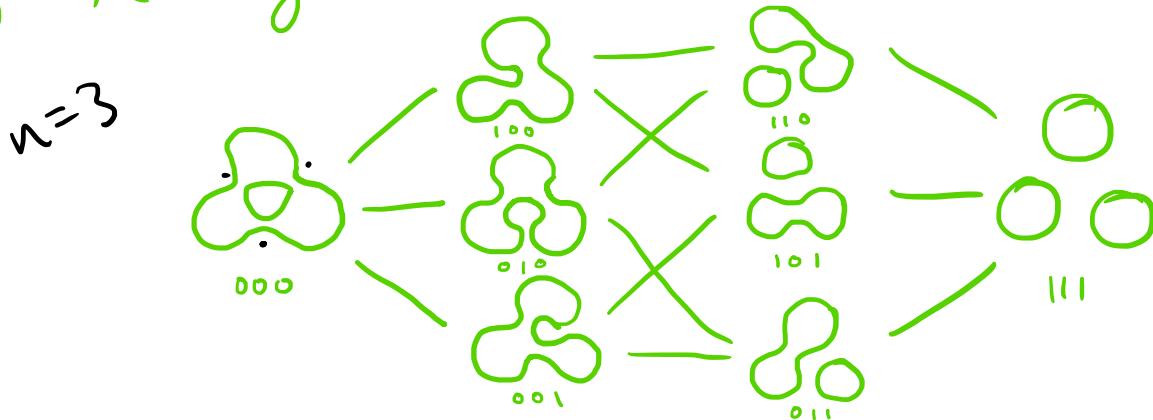
$$X(K) \cong X(K')$$

34 Rasmussen's δ -invariant

- Khovanov homology

L link. "Resolve" crossings. $X \xrightarrow{\quad} \text{---}$
 n crossings $\rightarrow 2^n$ resolutions

e.g. $K = \text{trefoil } \text{---}$ "cube of resolutions"



TQFT

$$\circ \longrightarrow V$$

$$O \xrightarrow{\text{split}} O\bar{O} \longrightarrow \Delta: V \rightarrow V \otimes V$$

$$O\bar{O} \longrightarrow V \otimes V$$

$$O\bar{O} \xrightarrow{\text{merge}} O \longrightarrow m: V \otimes V \rightarrow V$$

i = homological grading

bigraded

j = quantum grading.

$$CKh^{ij}(L)$$

$$E^1$$

chain complex

$$Kh^{ij}(L)$$

$$E^2$$

Khovanov homology

:

Lee homology

$$KhL^{ij}(L)$$

$$E^\infty$$

$$Kh(L(K)) \cong \mathbb{Q} \oplus \mathbb{Q}$$

- s -invariant

Theorem [Rasmussen]

For any knot K , the generators of $Kh(L(K))$ are located in the gradings $(i, j) = (0, s(K) \pm 1)$.

If K is slice, then $s(K) = 0$. \uparrow
 s -invariant

So NTS $s(K') \neq 0$.

Compute $Kh(L(K'))$.

$j \setminus i$	-3	-2	-1	0	1	2	3	4	\dots
:									
5					1	3	3	2	
3					3	3			
1					1				
-1					2	2	2		
-3					1				
-5					2				
$s(K') = 2$									
$s(K) = 0$									

$(i, j) = (0, 3)$

$s(K') = 2 \neq 0 \Rightarrow K'$ not slice
 $\Rightarrow K$ not slice.