



General Relativity Note

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Date: May 30, 2023

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Chapter 1

Foundation of Mathematics

For this chapter, we would like to give a brief introduction to (General Relativity)GR's mathematical foundation. At the beginning of development of GR, researcher tried their hard to seek for a mathematical language to describe curved spacetime. Concepts in topology, including **manifolds**, are exposed to play a role in general relativity. Therefore, starting from fundamental mathematical concepts is much essential for us.

§ 1.1 Manifold

Just think of a balloon, children always likes to blow up a balloon. We can observe the behavior of the balloon itself and find that it quietly looks like our universe in inflation. Obviously, if we want to describe surface of a balloon traditional Euclidean geometry is inconvenient for us. Also, people are used to looking at flat maps, because the earth is so large relative to us that we can almost think of the ground below us as a flat surface. Therefore, in order to describe the surface of the Earth, we can use many maps to approximate the local area, which can roughly meet our daily needs, and this idea of describing a curved surface (such as the Earth) corresponds to a very practical mathematical structure, the **manifold**. But we can't introduce manifold directly at this section, because we lack several concepts before defining manifolds. Then we start from the basic thing: **Topology**.

In this section, we needn't spend much time in mathematical form. Try to understand the motivation we introduce the concept of manifolds.

1.1.1 Topology

First, to know how small area can be considered as "local area" that is flat area we should consider its nature of neighborhood, that is

Neighborhood

A **neighborhood** is a of points that are "close" to a given point.

How you define what "proximity" means depends on the topological space you're dealing with, but neighborhoods have some definite characteristics. Dealing with neighborhoods directly can be annoying, so we use open subsets instead.

What is a subset? It's kind of abstract for us because it is difficult to imagine any kind of open subset. Generally speaking, it's just a rule for a set to specify which subsets are open subsets and so on.

Open Subsets

Consider a set X , we define open subsets as

- X itself and empty set are open subsets
- The intersection of finite open subsets is open subset
- The union of arbitrary numbers of open subsets(or infinite open subsets) is open subset

And for any rule that satisfy above requirements in set X is called **topological structure**. And all the open subset in X that has defined topological structure also compose a set is

called **topology**, denoted as \mathcal{T} . We also use \mathcal{P} to represent all the subsets of X .

With three rules about open subsets, the study of spatial structure can be simplified.

1.1.2 Charts and Atlases

With the definition of open subsets, we can know what a "local area" is. Similar with a map for a given area, actually the map can not directly describe surface in earth, because the map is flat and the surface of earth is curved. So, we should construct a relationship between a group of points in map and corresponding points in the surface of earth. That is called a **Chart**.

Charts

The chart is a diagram map from an open subset of a manifold to an open subset of a Euclidean space.

Obviously, Euclidean space is corresponding to a flat map, and an open subset of a manifold is an area in the surface on the earth.



Figure 1.1: We take an area to transform a flat map

From these statements, we can conclude some properties about manifolds. First, from a practical point of view, we need Euclidean space to conveniently describe what we care about. So this leads us to think about the relationship between Euclidean space and a manifold. Combining with the neighborhood we talk about before, it is clear that a manifold should be a mathematical structure that conforms locally to the description of Euclidean space. And we use charts to describe such local nature that is same as a map for a certain country.

Therefore, we need more charts to cover it. Each chart is a function mapped from a part of a manifold to a Euclidean space. By grouping many of these charts together, we can cover the entire manifold. This is the concept of an atlas, which is a set of charts that cover the entire manifold. Therefore, in order to describe the entire manifold, we need at least one chart to cover each point, and together these charts form an **atlas**.

To cover the entire manifold we can design a group of charts that don't overlap with each other. But it's not necessary for us because such mathematical structure is much inconvenient. We allow overlap and just think of the area overlapped. To avoid conflicts, we need claim

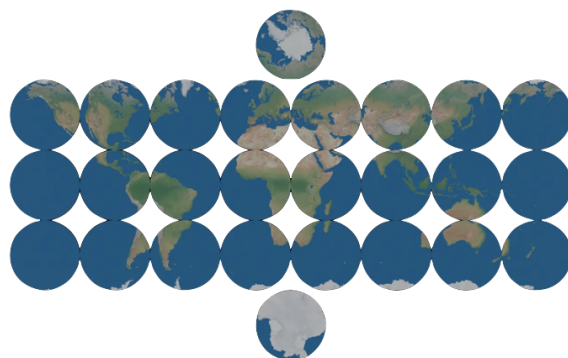


Figure 1.2: After we take lots of charts and we can know all information of the earth

that any two chart cover the same point that it should exist a way from one chart to another chart. Such transformation is called **transition map**.

It is easy to understand it. Just like sewing a football with cloth, we need several pieces of cloth to make a ball, which can also overlap the cloth. Obviously, there is always a way to match the dots on two pieces of cloth that correspond to the same position in the overlapping area.



Figure 1.3: Please focus on the clothes on the surface of such football.

1.1.3 Manifolds

In mathematics, a manifold is a topological space that locally resembles Euclidean space near each point. Manifolds can be equipped with additional structure. One important class of manifolds are differentiable manifolds; their differentiable structure allows calculus to be done.

In principle, transition maps can be anything, but we always want to place some restrictions on them. A transition map is said to be **differentiable** if it has derivatives everywhere. Having a differentiable manifold means that the space is locally similar enough to a vector space that we can perform derivative operations on it. **If we can take any number of derivatives of a transition map, we say that the manifold is smooth.**

Then I would like to give an example to show what is a manifold.

Circle

A circle is the simplest example of a topological manifold. Topology ignores bending, so a small piece of a circle is treated the same as a small piece of a line. This corresponds to the fact that manifolds locally represent Euclidean space.

Obviously, a circle can't be represented by single chart because chart is an open set, which you can't put end to end. So we need to find two or more charts to cover a circle. Such functions along with the open regions they map are called charts. Similarly, there are charts for the bottom (red), left (blue), and right (green) parts of the circle:

$$\chi_{top}(x, y) = x$$

$$\chi_{left}(x, y) = y$$

$$\chi_{bottom}(x, y) = y$$

$$\chi_{right}(x, y) = x$$

Together, these parts cover the whole circle, and the four charts form an atlas for the circle.

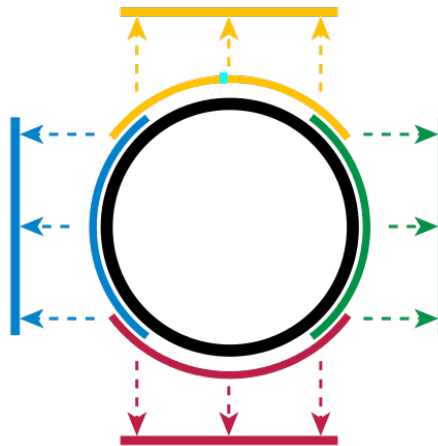


Figure 1.4: The four charts each map part of the circle to an open interval, and together cover the whole circle.

If you ask us to use several words to describe what is manifold, I'll give you such words:

- Local Euclidean space
- Cover
- Smooth(For differentiable manifolds)

§ 1.2 Scalar, Vector, Tensor

1.2.1 Vector Space and Vector

Based on the differentiable manifolds, the transition map is differentiable that we can introduce more mathematical structure like vector space.

Let's go back to linear algebra, and review the concept of vector space.

Vector Space

A vector space over a field of real numbers is a set attached with two kinds of map, addition: $V \times V \rightarrow V$ and scalar multiplication: $\mathbb{R} \times V \rightarrow V$, which satisfy:

- $v_1 + v_2 = v_2 + v_1, \quad \forall v_1, v_2 \in V;$
- $(v_1 + v_2) + v_3 = v_1 + (v_2 + v_3), \quad \forall v_1, v_2, v_3 \in V;$
- \exists (Zero element) $\underline{0} \in V$ let $\underline{0} + v = v, \quad \forall v \in V;$
- $\alpha_1(\alpha_2 v) = (\alpha_1 + \alpha_2)v, \quad \forall v \in V, \alpha_1, \alpha_2 \in \mathbb{R};$
- $(\alpha_1 + \alpha_2)v = \alpha_1 v + \alpha_2 v, \quad \forall v \in V, \alpha_1, \alpha_2 \in \mathbb{R};$
- $\alpha(v_1 + v_2) = \alpha v_1 + \alpha v_2, \quad \forall v_1, v_2 \in V, \alpha \in \mathbb{R};$
- $1 \cdot v = v, 0 \cdot v = 0, \quad v \in V.$

We are already familiar with the inference of vector space, and we can not directly define the vector in manifolds. So we need to use more general mathematical language to define it.

Vector

A map $v : \mathcal{F}_M \rightarrow \mathbb{R}$ is defined as a **vector** in point $p \in M$, if $\forall f, g \in \mathcal{F}_M, \alpha, \beta \in \mathbb{R}$:

- (Linearity) $v(\alpha f + \beta g) = \alpha v(f) + \beta v(g);$
- (Leibniz's law) $v(fg) = f|_p v(g) + g|_p v(f)$, where $f|_p$ represents value of function f in point p , denoted as $f(p)$.

How to understand this map? It differs from our conventional concept that vector is just a bar with an arrow which implies two key elements: length and direction. However, when we switch to the general point of view, we need to summarize its essences, this map is to tell us a point in manifold corresponds a real number. The map is arbitrary, so there are countless vectors correspond countless maps. And we know local area in point p is mapping a Euclidean space, then we can construct a map from Euclidean space to vector that we can certainly describe this vector. What else, you can see this map that it acts on a topology and Meanwhile, it leads us to introduce the **basis** to describe it.

If we have a coordinate system (O, Ψ) and coordinate x^μ . Then as for any smooth function $f \in \mathcal{F}_M$ we use such coordinate system to generate a N-element function $F(x^1, \dots, x^n)$, which implies a group of vector denoted as X_μ that act on a function $f \in \mathcal{F}_M$ resulting a real number $X_\mu(f)$:

$$X_\mu(f) := \left. \frac{\partial F(x^1, \dots, x^n)}{\partial x^\mu} \right|_p, \quad \forall f \in \mathcal{F}_M \quad (1.2.1)$$

And any vector v can be linearly represented by the form of

$$v = v^\mu X_\mu \quad (1.2.2)$$

where

$$v^\mu = v(x^\mu) \quad (1.2.3)$$

Summarize it, and we can get the definition of coordinate basis

Coordinate

For a point p in the field of manifold, $\{X_1, \dots, X_n\}$ is called V_p 's **coordinate basis**. Every X_μ is called a **coordinate basis vector**. And the value v^μ resulted by linear representation of $v \in V_p$ with $\{X_\mu\}$ is called v 's **coordinate components**.

Obviously, we use many kinds of coordinates, and many physical essences is found in transformation of coordinate. Given that two coordinates $\{x^\mu\}, \{x'^\nu\}$, and the intersection of two fields of coordinate is not null. And we select one point located at the intersection, $v \in V_p$, $\{v^\mu\}, \{v'^\nu\}$ is coordinate components for v under two coordinates, we have

$$v'^\nu = \left. \frac{\partial x'^\nu}{\partial x^\mu} \right|_p v^\mu \quad (1.2.4)$$

The above equation is called **Vector component transformation expression**.

1.2.2 Curve and Tangent Vector

One interesting concept is curve, which can also imply another important concept tangent vector

Curve

Set I is an interval, so C^r map defined as $C : I \rightarrow M$ is called a curve on the manifold M . For any $t \in I$, it corresponds unique point $C(t) \in M$, called **parameter**.

It differs from our traditional definition, when we think of the curve it usually corresponds subsets in manifold M . Actually, curve is map itself. So if we select another parameter but also map the same subset, we assume they are different.

$$\begin{aligned}
 D\rho - \bar{\delta}\kappa &= (\rho^2 + \sigma\bar{\sigma}) + \rho(\varepsilon + \bar{\varepsilon}) - \bar{\kappa}\tau - \kappa(3\alpha + \bar{\beta} - \pi) + \Phi_{00}, \\
 D\sigma - \delta\kappa &= \sigma(\rho + \bar{\rho}) + \sigma(3\varepsilon - \bar{\varepsilon}) - \kappa(\tau - \bar{\pi} + \bar{\alpha} + 3\beta) + \Psi_0, \\
 D\tau - \Delta\kappa &= \rho(\tau + \bar{\pi}) + \sigma(\bar{\tau} + \pi) + \tau(\varepsilon - \bar{\varepsilon}) - \kappa(3\gamma + \bar{\gamma}) + \Psi_1 + \Phi_{01}, \\
 D\alpha - \bar{\delta}\varepsilon &= \alpha(\rho + \bar{\varepsilon} - 2\varepsilon) + \beta\bar{\sigma} - \bar{\beta}\varepsilon - \kappa\lambda - \bar{\kappa}\gamma + \pi(\varepsilon + \rho) + \Phi_{10}, \\
 D\beta - \delta\varepsilon &= \sigma(\alpha + \pi) + \beta(\bar{\rho} - \bar{\varepsilon}) - \kappa(\mu + \gamma) - \varepsilon(\bar{\alpha} - \bar{\pi}) + \Psi_1, \\
 D\gamma - \Delta\varepsilon &= \alpha(\tau + \bar{\pi}) + \beta(\bar{\tau} + \pi) - \gamma(\varepsilon + \bar{\varepsilon}) - \varepsilon(\gamma + \bar{\gamma}) + \tau\pi - v\kappa + \Psi_2 + \Phi_{11} - R/24, \\
 D\lambda - \bar{\delta}\pi &= (\rho\lambda + \bar{\sigma}\mu) + \pi^2 + \pi(\alpha - \bar{\beta}) - v\bar{\kappa} - \lambda(3\varepsilon - \bar{\varepsilon}) + \Phi_{20}, \\
 D\mu - \delta\pi &= (\bar{\rho}\mu + \sigma\lambda) + \pi\bar{\pi} - \mu(\varepsilon + \bar{\varepsilon}) - \pi(\bar{\alpha} - \beta) - v\kappa + \Psi_2 + R/12, \\
 Dv - \Delta\pi &= \mu(\pi + \bar{\tau}) + \lambda(\bar{\pi} + \tau) + \pi(\gamma - \bar{\gamma}) - v(3\varepsilon + \bar{\varepsilon}) + \Psi_3 + \Phi_{21}, \\
 \Delta\lambda - \bar{\delta}v &= -\lambda(\mu + \bar{\mu}) - \lambda(3\gamma - \bar{\gamma}) + v(3\alpha + \bar{\beta} + \pi - \bar{\tau}) - \Psi_4, \\
 \delta\rho - \bar{\delta}\sigma &= \rho(\bar{\alpha} + \beta) - \sigma(3\alpha - \bar{\beta}) + \tau(\rho - \bar{\rho}) + \kappa(\mu - \bar{\mu}) - \Psi_1 + \Phi_{01}, \\
 \delta\alpha - \bar{\delta}\beta &= (\mu\rho - \lambda\sigma) + \alpha\bar{\alpha} + \beta\bar{\beta} - 2\alpha\beta + \gamma(\rho - \bar{\rho}) + \varepsilon(\mu - \bar{\mu}) - \Psi_2 + \Phi_{11} + R/24, \\
 \delta\lambda - \bar{\delta}\mu &= v(\rho - \bar{\rho}) + \pi(\mu - \bar{\mu}) + \mu(\alpha + \bar{\beta}) + \lambda(\bar{\alpha} - 3\beta) - \Psi_3 + \Phi_{21}, \\
 \delta v - \Delta\mu &= (\mu^2 + \lambda\bar{\lambda}) + \mu(\gamma + \bar{\gamma}) - \bar{v}\pi + v(\tau - 3\beta - \bar{\alpha}) + \Phi_{22}, \\
 \delta\gamma - \Delta\beta &= \gamma(\tau - \bar{\alpha} - \beta) + \mu\tau - \sigma v - \varepsilon\bar{v} - \beta(\gamma - \bar{\gamma} - \mu) + \alpha\bar{\lambda} + \Phi_{12}, \\
 \delta\tau - \Delta\sigma &= (\mu\sigma + \bar{\lambda}\rho) + \tau(\tau + \beta - \bar{\alpha}) - \sigma(3\gamma - \bar{\gamma}) - \kappa\bar{v} + \Phi_{02}, \\
 \Delta\rho - \bar{\delta}\tau &= -(\rho\bar{\mu} + \sigma\lambda) + \tau(\bar{\beta} - \alpha - \bar{\tau}) + \rho(\gamma + \bar{\gamma}) + v\kappa - \Psi_2 - R/12, \\
 \Delta\alpha - \bar{\delta}\gamma &= v(\rho + \varepsilon) - \lambda(\tau + \beta) + \alpha(\bar{\gamma} - \bar{\mu}) + \gamma(\bar{\beta} - \bar{\tau}) - \Psi_3.
 \end{aligned}$$

(1.2.5)