

# CSE214 – Analysis of Algorithms

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[https://github.com/FurkanGozukara/CSE214\\_2018](https://github.com/FurkanGozukara/CSE214_2018)

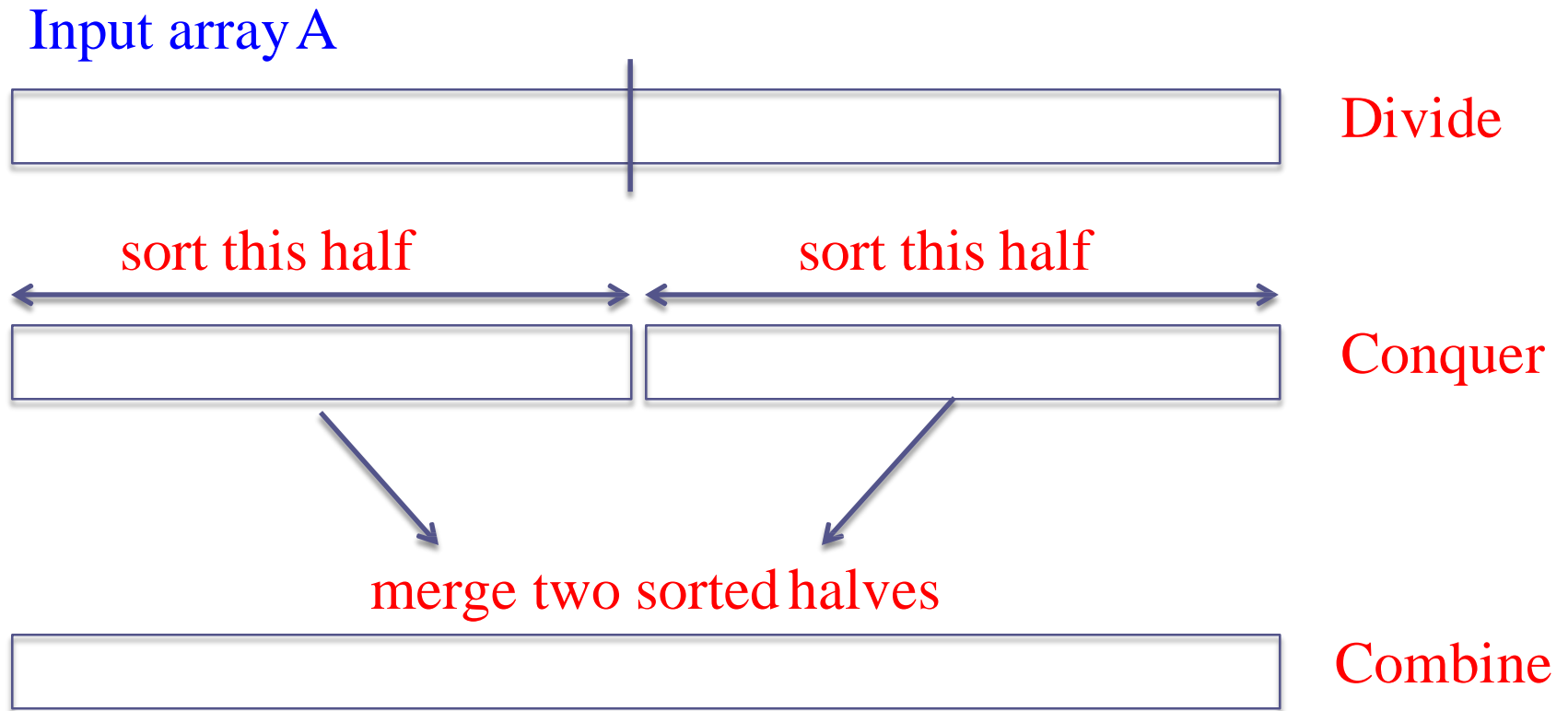
## Lecture 4

# The Divide-and-Conquer Design Paradigm

*Based on Cevdet Aykanat's and Mustafa Ozdal's Lecture  
Notes - Bilkent*

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# Reminder: Merge Sort



# The Divide-and-Conquer Design Paradigm

1. **Divide** the problem (instance) into subproblems.
  2. **Conquer** the subproblems by solving them recursively.
  3. **Combine** subproblem solutions.
-

# Example: Merge Sort

1. Divide: Trivial.
2. Conquer: Recursively sort 2 subarrays.
3. Combine: Linear- time merge.

$$T(n) = 2 T(n/2) + \Theta(n)$$

# subproblems

subproblem size

work dividing and combining

---

# Master Theorem: Reminder

$$T(n) = aT(n/b) + f(n)$$

Case 1:

$$\frac{n^{\log_b a}}{f(n)} = \Omega(n^\epsilon)$$



$$T(n) = \Theta(n^{\log_b a})$$

Case 2:

$$\frac{f(n)}{n^{\log_b a}} = \Theta(\lg^k n)$$



$$T(n) = \Theta(n^{\log_b a} \lg^{k+1} n)$$

Case 3:

$$\frac{f(n)}{n^{\log_b a}} = \Omega(n^\epsilon)$$



$$T(n) = \Theta(f(n))$$

and

$$af(n/b) \leq cf(n) \text{ for } c < 1$$

# Merge Sort: Solving the Recurrence

$$T(n) = 2 T(n/2) + \Theta(n)$$

➡  $a = 2, \quad b = 2, \quad f(n) = \Theta(n), \quad n^{\log_b a} = n$

Case 2:

$$\frac{f(n)}{n^{\log_b a}} = \Theta(\lg^k n)$$



$$T(n) = \Theta(n^{\log_b a} \lg^{k+1} n)$$

*holds for  $k = 0$*

➡  $T(n) = \Theta(n \lg n)$

---

# Binary Search

Find an element in a **sorted** array:

1. *Divide*: Check middle element.
2. *Conquer*: Recursively search 1 subarray.
3. *Combine*: Trivial.

*Example:* Find 9

3      5      7      8      9      12      15

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# Recurrence for Binary Search

$$T(n) = 1 T(n/2) + \Theta(1)$$

The diagram illustrates the recurrence relation  $T(n) = 1 T(n/2) + \Theta(1)$ . The components of the equation are highlighted with yellow circles, and arrows point from descriptive text below to these components:

- # subproblems** points to the coefficient **1**.
- subproblem size** points to the term  **$T(n/2)$** .
- work dividing and combining** points to the term  **$\Theta(1)$** .

# Binary Search: Solving the Recurrence

$$T(n) = T(n/2) + \Theta(1)$$

$$\Rightarrow a = 1, \quad b = 2, \quad f(n) = \Theta(1), \quad n^{\log_b a} = n^0 = 1$$

Case 2:

$$\frac{f(n)}{n^{\log_b a}} = \Theta(\lg^k n)$$



$$T(n) = \Theta(n^{\log_b a} \lg^{k+1} n)$$

*holds for  $k = 0$*

$$\Rightarrow T(n) = \Theta(\lg n)$$

---

# Powering a Number

- Problem: Compute  $a^n$ , where  $n$  is a natural number

Naive-Power ( $a, n$ )

powerVal  $\leftarrow$  1

for  $i \leftarrow 1$  to  $n$

powerVal  $\leftarrow$  powerVal .  $a$

return powerVal

- What is the complexity?

$$T(n) = \Theta(n)$$

# Powering a Number: Divide & Conquer

Basic idea:

$$a^n = \begin{cases} a^{n/2} \cdot a^{n/2} & \text{if } n \text{ is even} \\ a^{(n-1)/2} \cdot a^{(n-1)/2} \cdot a & \text{if } n \text{ is odd} \end{cases}$$

Example:  $3^7 = 3^3 \times 3^3 \times 3$

Example:  $3^8 = 3^4 \times 3^4$

---



# Powering a Number: Divide & Conquer

POWER (a, n)

**if**  $n = 0$  **then** **return** 1

**else if**  $n$  is even **then**

$\text{val} \leftarrow \text{POWER} (a, n/2)$

**return**  $\text{val} * \text{val}$

**else if**  $n$  is odd **then**

$\text{val} \leftarrow \text{POWER} (a, (n-1)/2)$

**return**  $\text{val} * \text{val} * a$

# Powering a Number: Solving the Recurrence

$$T(n) = T(n/2) + \Theta(1)$$

$$\Rightarrow a = 1, \quad b = 2, \quad f(n) = \Theta(1), \quad n^{\log_b a} = n^0 = 1$$

Case 2:

$$\frac{f(n)}{n^{\log_b a}} = \Theta(\lg^k n)$$



$$T(n) = \Theta(n^{\log_b a} \lg^{k+1} n)$$

*holds for  $k = 0$*

$$\Rightarrow T(n) = \Theta(\lg n)$$

---

# Matrix Multiplication

**Input** :  $A = [a_{ij}]$ ,  $B = [b_{ij}]$ .  
**Output**:  $C = [c_{ij}] = A \cdot B$ .

$\left. \begin{array}{l} \text{Input} \\ \text{Output} \end{array} \right\} i, j = 1, 2, \dots, n.$

$$\begin{pmatrix} c_{11} & c_{12} & \dots & c_{1n} \\ c_{21} & c_{22} & \dots & c_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ c_{n1} & c_{n2} & \dots & c_{nn} \end{pmatrix} = \begin{pmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{n1} & a_{n2} & \dots & a_{nn} \end{pmatrix} \cdot \begin{pmatrix} b_{11} & b_{12} & \dots & b_{1n} \\ b_{21} & b_{22} & \dots & b_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ b_{n1} & b_{n2} & \dots & b_{nn} \end{pmatrix}$$

$$c_{ij} = \sum_{1 \leq k \leq n} a_{ik} \cdot b_{kj}$$

---

If we multiply a  $2 \times 3$  matrix with a  $3 \times 1$  matrix, the product matrix is  $2 \times 1$

$$\begin{matrix} 2 \times & \boxed{3} & = & \boxed{3} \times & 1 & & 2 \times 1 \\ \left[ \begin{array}{ccc} r_{11} & r_{12} & r_{13} \\ r_{21} & r_{22} & r_{23} \end{array} \right] & \times & \left[ \begin{array}{c} t_{11} \\ t_{21} \\ t_{31} \end{array} \right] & = & \left[ \begin{array}{c} \mathbf{M}_{11} \\ \mathbf{M}_{12} \end{array} \right] \end{matrix}$$

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Here is how we get  $M_{11}$  and  $M_{12}$  in the product.

$$M_{11} = r_{11} \times t_{11} + r_{12} \times t_{21} + r_{13} \times t_{31}$$

$$M_{12} = r_{21} \times t_{11} + r_{22} \times t_{21} + r_{23} \times t_{31}$$

$$\begin{bmatrix} 3 & 12 & 4 \\ 5 & 6 & 8 \\ 1 & 0 & 2 \end{bmatrix} \begin{bmatrix} 7 & 3 & 8 \\ 11 & 9 & 5 \\ 6 & 8 & 4 \end{bmatrix}$$
  

$$= \begin{bmatrix} \underline{3*7+12*11+4*6} & 3*3+12*9+4*8 & 3*8+12*5+4*4 \\ 5*7+6*11+8*6 & \underline{5*3+6*9+8*8} & 5*8+6*5+8*4 \\ 1*7+0*11+2*6 & 1*3+0*9+2*8 & 1*8+0*5+2*4 \end{bmatrix}$$
  

$$= \begin{bmatrix} 177 & 149 & 100 \\ 149 & 133 & 102 \\ 19 & 19 & 16 \end{bmatrix}$$

# Standard Algorithm

```
for  $i \leftarrow 1$  to  $n$   
  do for  $j \leftarrow 1$  to  $n$   
    do  $c_{ij} \leftarrow 0$   
      for  $k \leftarrow 1$  to  $n$   
        do  $c_{ij} \leftarrow c_{ij} + a_{ik} \cdot b_{kj}$ 
```

Running time =  $\Theta(n^3)$

# Matrix Multiplication: Divide & Conquer

IDEA: Divide the  $n \times n$  matrix into

$2 \times 2$  matrix of  $(n/2) \times (n/2)$  submatrices

$$\begin{matrix} & C & \\ \begin{pmatrix} \boxed{c_{11}} & | & c_{12} \\ \hline c_{21} & | & c_{22} \end{pmatrix} & = & \begin{matrix} A \\ \begin{pmatrix} \boxed{a_{11}} & | & a_{12} \\ \hline a_{21} & | & a_{22} \end{pmatrix} \end{matrix} \cdot \begin{matrix} B \\ \begin{pmatrix} \boxed{b_{11}} & | & b_{12} \\ \hline b_{21} & | & b_{22} \end{pmatrix} \end{matrix} \end{matrix}$$

$$c_{11} = a_{11}b_{11} + a_{12}b_{21}$$

# Matrix Multiplication: Divide & Conquer

IDEA: Divide the  $n \times n$  matrix into

$2 \times 2$  matrix of  $(n/2) \times (n/2)$  submatrices

$$\begin{array}{c} \text{C} \\ \left( \begin{array}{c|c} c_{11} & c_{12} \\ \hline c_{21} & c_{22} \end{array} \right) \end{array} = \begin{array}{c} \text{A} \\ \left( \begin{array}{c|c} a_{11} & a_{12} \\ \hline a_{21} & a_{22} \end{array} \right) \end{array} \cdot \begin{array}{c} \text{B} \\ \left( \begin{array}{c|c} b_{11} & b_{12} \\ \hline b_{21} & b_{22} \end{array} \right) \end{array}$$

$$c_{12} = a_{11}b_{12} + a_{12}b_{22}$$



# Matrix Multiplication: Divide & Conquer

IDEA: Divide the  $n \times n$  matrix into

$2 \times 2$  matrix of  $(n/2) \times (n/2)$  submatrices

$$\begin{array}{c} \text{C} \\ \left( \begin{array}{c|c} c_{11} & c_{12} \\ \hline c_{21} & c_{22} \end{array} \right) \end{array} = \begin{array}{c} \text{A} \\ \left( \begin{array}{c|c} a_{11} & a_{12} \\ \hline a_{21} & a_{22} \end{array} \right) \end{array} \cdot \begin{array}{c} \text{B} \\ \left( \begin{array}{c|c} b_{11} & b_{12} \\ \hline b_{21} & b_{22} \end{array} \right) \end{array}$$

$$c_{21} = a_{21}b_{11} + a_{22}b_{21}$$

# Matrix Multiplication: Divide & Conquer

IDEA: Divide the  $n \times n$  matrix into

$2 \times 2$  matrix of  $(n/2) \times (n/2)$  submatrices

$$\begin{array}{c} \text{C} \\ \left( \begin{array}{cc|cc} c_{11} & c_{12} & c_{21} & c_{22} \end{array} \right) \end{array} = \begin{array}{c} \text{A} \\ \left( \begin{array}{cc|cc} a_{11} & a_{12} & a_{21} & a_{22} \end{array} \right) \end{array} \cdot \begin{array}{c} \text{B} \\ \left( \begin{array}{cc|cc} b_{11} & b_{12} & b_{21} & b_{22} \end{array} \right) \end{array}$$

$$c_{22} = a_{21}b_{12} + a_{22}b_{22}$$

# Matrix Multiplication: Divide & Conquer

$$\begin{array}{c} \text{C} \\ \left( \begin{array}{c|c} c_{11} & c_{12} \\ \hline c_{21} & c_{22} \end{array} \right) \end{array} = \begin{array}{c} \text{A} \\ \left( \begin{array}{c|c} a_{11} & a_{12} \\ \hline a_{21} & a_{22} \end{array} \right) \end{array} \cdot \begin{array}{c} \text{B} \\ \left( \begin{array}{c|c} b_{11} & b_{12} \\ \hline b_{21} & b_{22} \end{array} \right) \end{array}$$

$$c_{11} = a_{11} b_{11} + a_{12} b_{21}$$

$$c_{12} = a_{11} b_{12} + a_{12} b_{22}$$

$$c_{21} = a_{21} b_{11} + a_{22} b_{21}$$

$$c_{22} = a_{21} b_{12} + a_{22} b_{22}$$

8 mults of  $(n/2) \times (n/2)$  submatrices

4 adds of  $(n/2) \times (n/2)$  submatrices

# Matrix Multiplication: Divide & Conquer

## MATRIX-MULTIPLY (A, B)

*// Assuming that both A and B are nxn matrices*

**if**  $n = 1$  **then return**  $A * B$

**else**

partition A, B, and C as shown before

$$c_{11} = \text{MATRIX-MULTIPLY}(a_{11}, b_{11}) + \text{MATRIX-MULTIPLY}(a_{12}, b_{21})$$

$$c_{12} = \text{MATRIX-MULTIPLY}(a_{11}, b_{12}) + \text{MATRIX-MULTIPLY}(a_{12}, b_{22})$$

$$c_{21} = \text{MATRIX-MULTIPLY}(a_{21}, b_{11}) + \text{MATRIX-MULTIPLY}(a_{22}, b_{21})$$

$$c_{22} = \text{MATRIX-MULTIPLY}(a_{21}, b_{12}) + \text{MATRIX-MULTIPLY}(a_{22}, b_{22})$$

**return** C

# Matrix Multiplication: Divide & Conquer Analysis

$$T(n) = 8 T(n/2) + \Theta(n^2)$$



8 recursive calls

each subproblem  
has size  $n/2$

submatrix  
addition

# Matrix Multiplication: Solving the Recurrence

$$T(n) = 8 T(n/2) + \Theta(n^2)$$

$$\Rightarrow a = 8, \quad b = 2, \quad f(n) = \Theta(n^2), \quad n^{\log_b a} = n^3$$

Case 1:

$$\frac{n^{\log_b a}}{f(n)} = \Omega(n^{\epsilon})$$



$$T(n) = \Theta(n^{\log_b a})$$

$$\Rightarrow T(n) = \Theta(n^3)$$

*No better than the ordinary algorithm!*

# Matrix Multiplication: Strassen's Idea

$$\begin{array}{c} \text{C} \\ \left( \begin{array}{c|c} c_{11} & c_{12} \\ \hline c_{21} & c_{22} \end{array} \right) \end{array} = \begin{array}{c} \text{A} \\ \left( \begin{array}{c|c} a_{11} & a_{12} \\ \hline a_{21} & a_{22} \end{array} \right) \end{array} \cdot \begin{array}{c} \text{B} \\ \left( \begin{array}{c|c} b_{11} & b_{12} \\ \hline b_{21} & b_{22} \end{array} \right) \end{array}$$

Compute  $c_{11}$ ,  $c_{12}$ ,  $c_{21}$ , and  $c_{22}$  using 7 recursive multiplications

# Matrix Multiplication: Strassen's Idea

$$P_1 = a_{11} \times (b_{12} - b_{22})$$

$$P_2 = (a_{11} + a_{12}) \times b_{22}$$

$$P_3 = (a_{21} + a_{22}) \times b_{11}$$

$$P_4 = a_{22} \times (b_{21} - b_{11})$$

$$P_5 = (a_{11} + a_{22}) \times (b_{11} + b_{22})$$

$$P_6 = (a_{12} - a_{22}) \times (b_{21} + b_{22})$$

$$P_7 = (a_{11} - a_{21}) \times (b_{11} + b_{12})$$

Reminder: Each submatrix is of size  $(n/2) \times (n/2)$

Each add/sub operation takes  $\Theta(n^2)$  time

Compute  $P_1..P_7$  using 7 recursive calls to matrix-multiply

*How to compute  $c_{ij}$  using  $P_1..P_7$ ?*

---



# Matrix Multiplication: Strassen's Idea

$$P_1 = a_{11} \mathbf{x} (b_{12} - b_{22})$$

$$P_2 = (a_{11} + a_{12}) \mathbf{x} b_{22}$$

$$P_3 = (a_{21} + a_{22}) \mathbf{x} b_{11}$$

$$P_4 = a_{22} \mathbf{x} (b_{21} - b_{11})$$

$$P_5 = (a_{11} + a_{22}) \mathbf{x} (b_{11} + b_{22})$$

$$P_6 = (a_{12} - a_{22}) \mathbf{x} (b_{21} + b_{22})$$

$$P_7 = (a_{11} - a_{21}) \mathbf{x} (b_{11} + b_{12})$$

$$c_{11} = P_5 + P_4 - P_2 + P_6$$

$$c_{12} = P_1 + P_2$$

$$c_{21} = P_3 + P_4$$

$$c_{22} = P_5 + P_1 - P_3 - P_7$$

7 recursive multiply calls

18 add/sub operations

*Does not rely on commutativity of multiplication*

---

# Matrix Multiplication: Strassen's Idea

$$P_1 = a_{11} \mathbf{x} (b_{12} - b_{22})$$

$$P_2 = (a_{11} + a_{12}) \mathbf{x} b_{22}$$

$$P_3 = (a_{21} + a_{22}) \mathbf{x} b_{11}$$

$$P_4 = a_{22} \mathbf{x} (b_{21} - b_{11})$$

$$P_5 = (a_{11} + a_{22}) \mathbf{x} (b_{11} + b_{22})$$

$$P_6 = (a_{12} - a_{22}) \mathbf{x} (b_{21} + b_{22})$$

$$P_7 = (a_{11} - a_{21}) \mathbf{x} (b_{11} + b_{12})$$

e.g. Show that  $c_{12} = P_1 + P_2$

$$\begin{aligned} c_{12} &= P_1 + P_2 \\ &= a_{11}(b_{12} - b_{22}) + (a_{11} + a_{12})b_{22} \\ &= a_{11}b_{12} - a_{11}b_{22} + a_{11}b_{22} + a_{12}b_{22} \\ &= a_{11}b_{12} + a_{12}b_{22} \end{aligned}$$

# Strassen's Algorithm

- 1. Divide:** Partition **A** and **B** into  $(n/2) \times (n/2)$  submatrices. Form terms to be multiplied using  $+$  and  $-$ .
- 2. Conquer:** Perform **7** multiplications of  $(n/2) \times (n/2)$  submatrices recursively.
- 3. Combine:** Form **C** using  $+$  and  $-$  on  $(n/2) \times (n/2)$  submatrices.

**Recurrence:**  $T(n) = 7 T(n/2) + \Theta(n^2)$

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# Strassen's Algorithm: Solving the Recurrence

$$T(n) = 7 T(n/2) + \Theta(n^2)$$

$$\Rightarrow a = 7, \quad b = 2, \quad f(n) = \Theta(n^2), \quad n^{\log_b a} = n^{\lg 7}$$

Case 1:

$$\frac{n^{\log_b a}}{f(n)} = \Omega(n^{\epsilon})$$



$$T(n) = \Theta(n^{\log_b a})$$

$$\Rightarrow T(n) = \Theta(n^{\lg 7})$$

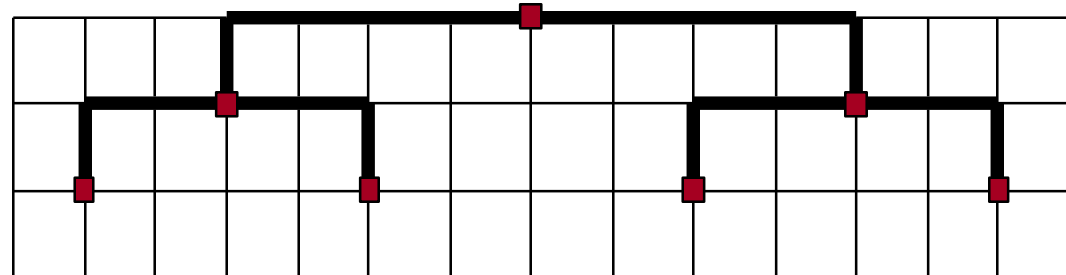
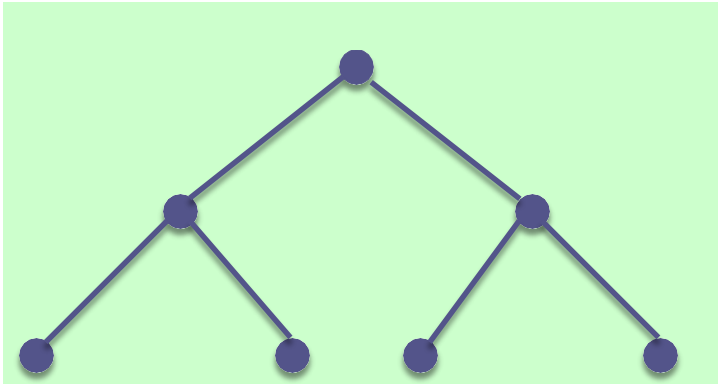
Note:  $\lg 7 \approx 2.81$

# Strassen's Algorithm

- The number 2.81 may not seem much smaller than 3
  - But, it is significant because the difference is in the exponent.
  - For example:  $3000^{2.81} = 5,898,080,907$  ,  $3000^3 = 27,000,000,000$
  - Strassen's algorithm beats the ordinary algorithm on today's machines for  $n \geq 30$  or so.
- 
- Best to date:  $\Theta(n^{2.376\dots})$  (*of theoretical interest only*)

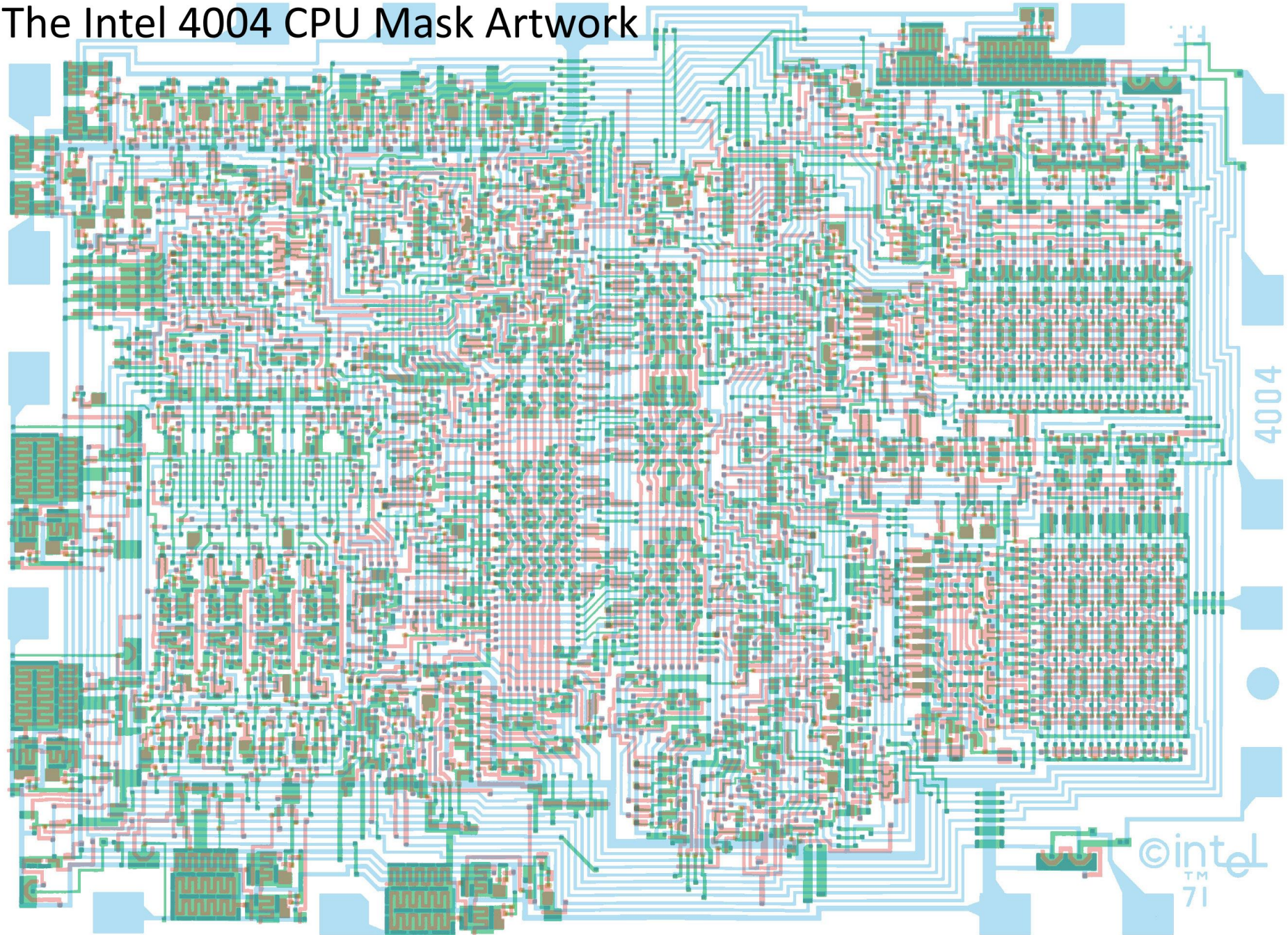
# VLSI (Very-large-scale integration) Layout: Binary Tree Embedding

- Problem: Embed a complete binary tree with  $n$  leaves into a 2D grid with minimum area.
- Example:

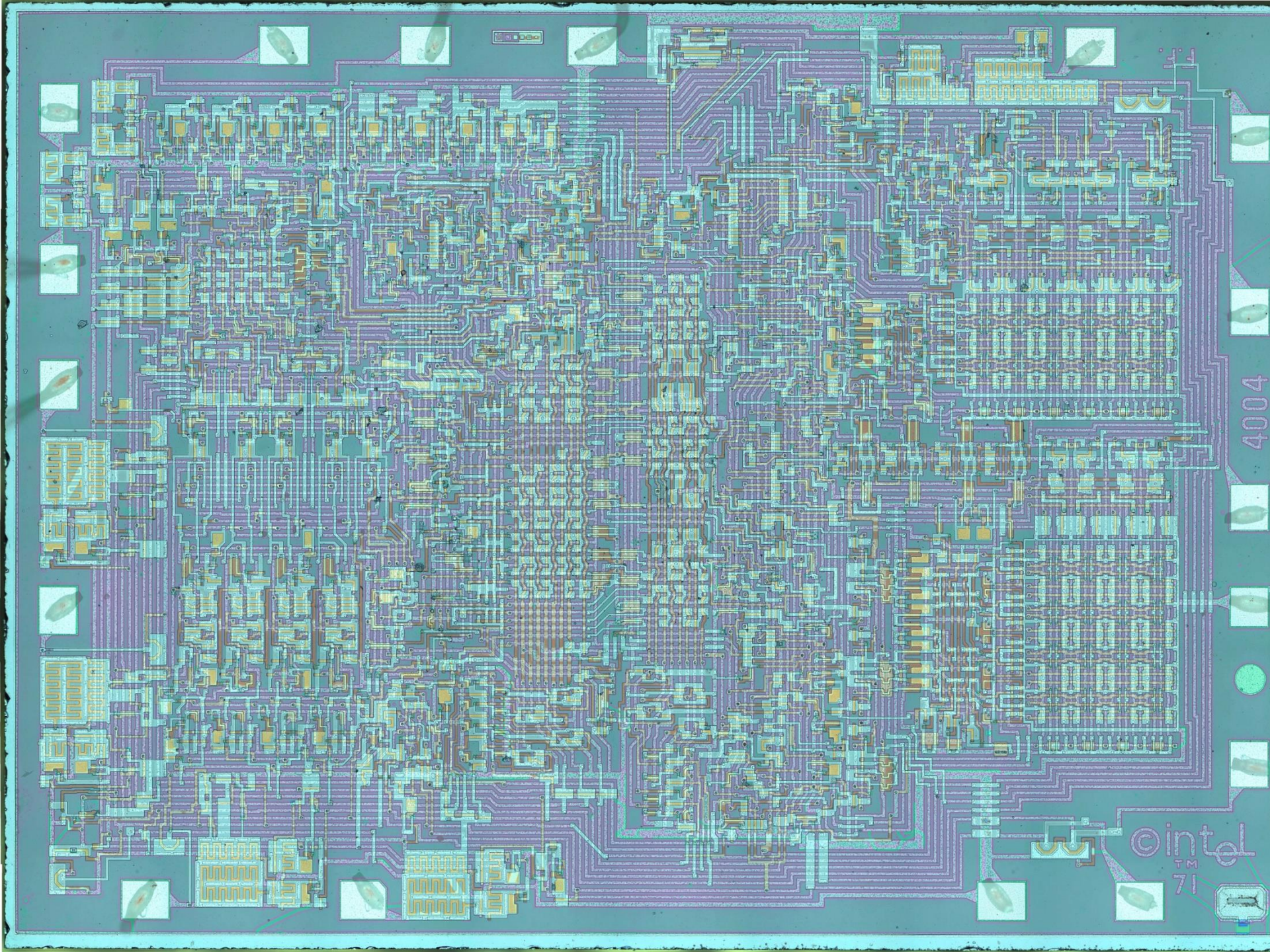




# The Intel 4004 CPU Mask Artwork



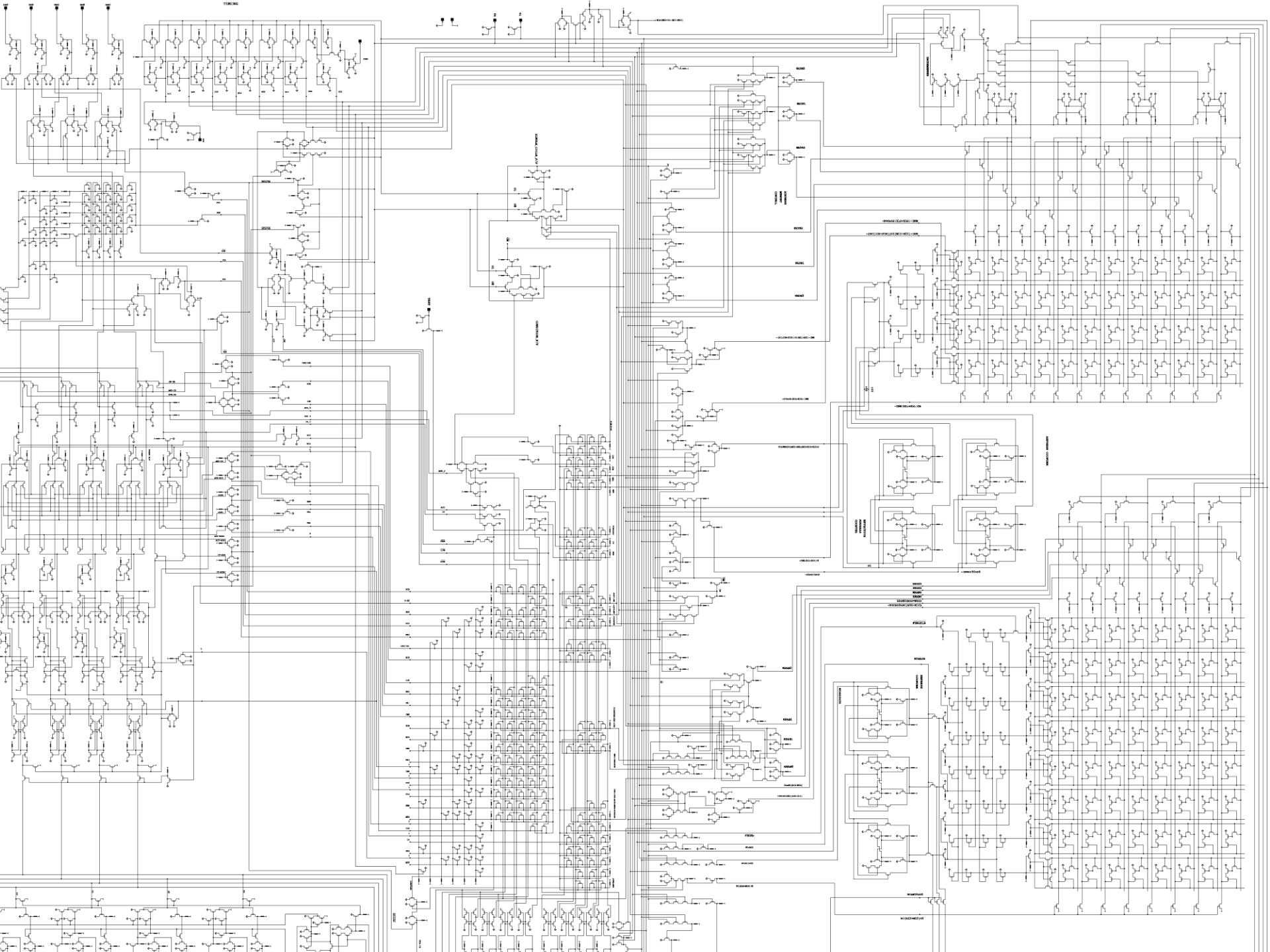




4004

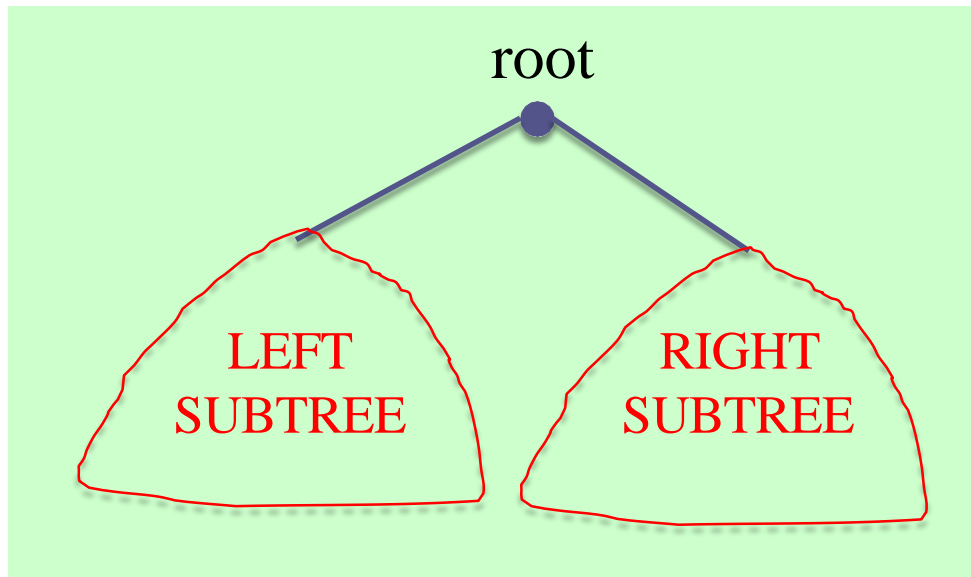
intel  
TM  
71





# Binary Tree Embedding

- Use divide and conquer

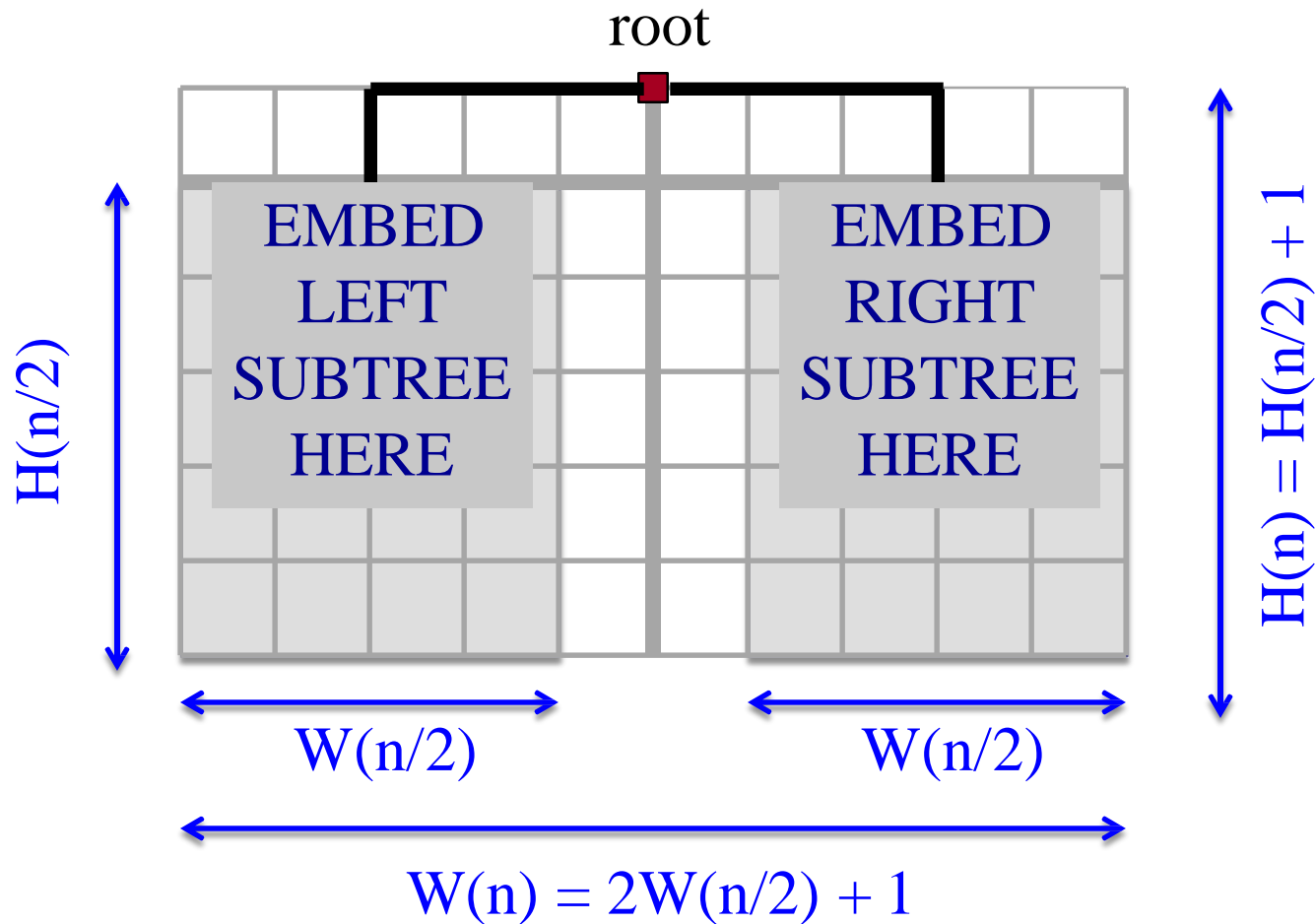


1. Embed the root node
2. Embed the left subtree
3. Embed the right subtree

What is the min-area required for  $n$  leaves?

---

# Binary Tree Embedding



# Master Theorem: Reminder

$$T(n) = aT(n/b) + f(n)$$

Case 1:

$$\frac{n^{\log_b a}}{f(n)} = \Omega(n^\epsilon)$$



$$T(n) = \Theta(n^{\log_b a})$$

Case 2:

$$\frac{f(n)}{n^{\log_b a}} = \Theta(\lg^k n)$$



$$T(n) = \Theta(n^{\log_b a} \lg^{k+1} n)$$

Case 3:

$$\frac{f(n)}{n^{\log_b a}} = \Omega(n^\epsilon)$$



$$T(n) = \Theta(f(n))$$

and

$$af(n/b) \leq cf(n) \text{ for } c < 1$$

# Binary Tree Embedding

- Solve the recurrences:

$$W(n) = 2W(n/2) + 1$$

$$H(n) = H(n/2) + 1$$

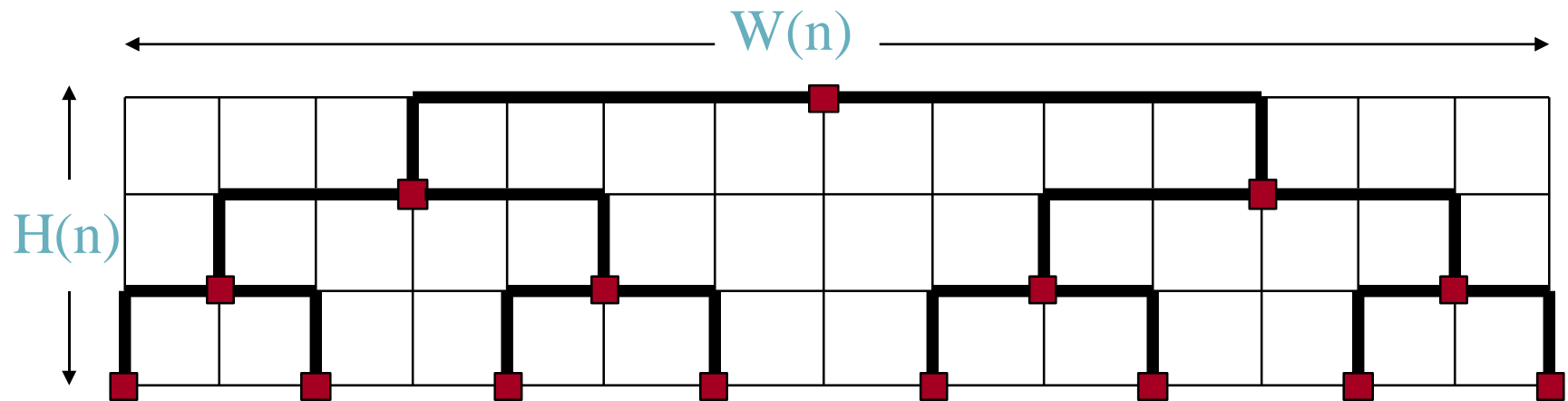
$$\rightarrow W(n) = \Theta(n)$$

$$\rightarrow H(n) = \Theta(\lg n)$$

- $\text{Area}(n) = \Theta(n \lg n)$
-

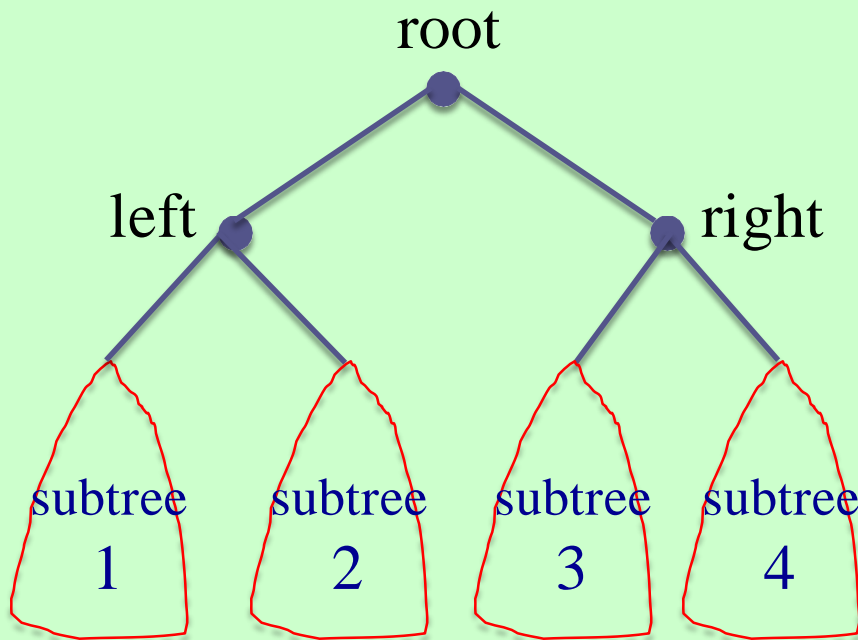
# Binary Tree Embedding

Example:



# Binary Tree Embedding: H-Tree

- Use a different divide and conquer method

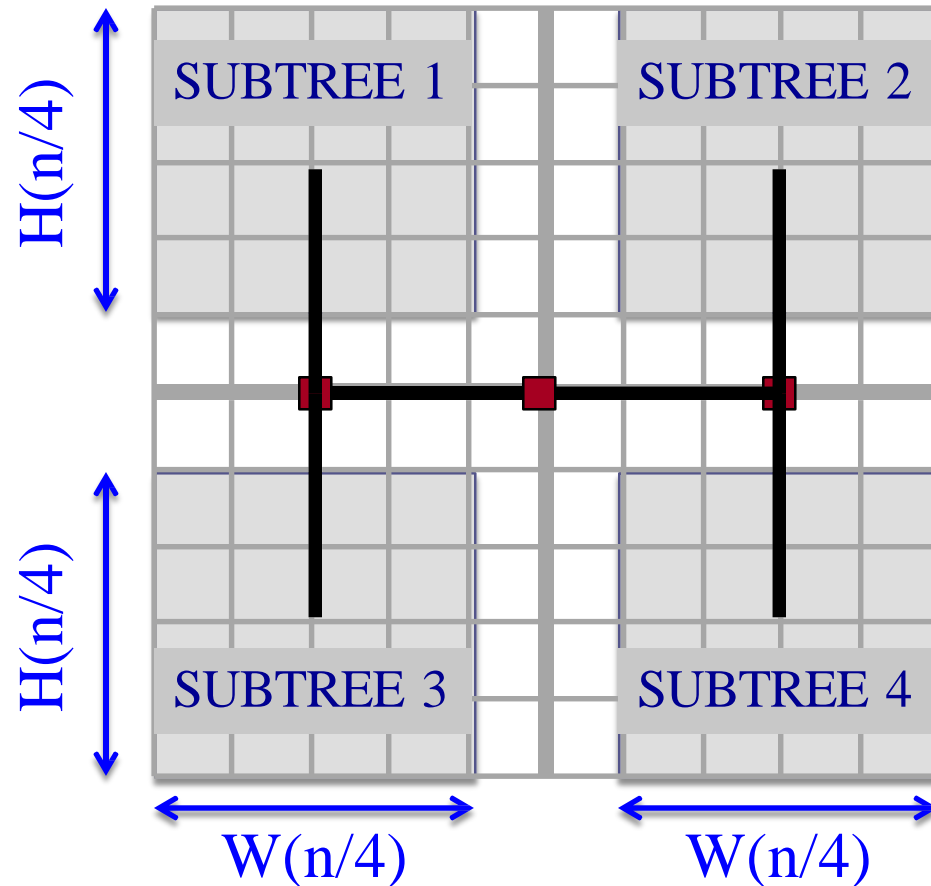


1. Embed root, left, right nodes
2. Embed subtree 1
3. Embed subtree 2
4. Embed subtree 3
5. Embed subtree 4

*What is the min-area required for  $n$  leaves?*

---

# Binary Tree Embedding: H-Tree



$$W(n) = 2W(n/4) + 1$$

$$H(n) = 2H(n/4) + 1$$



# Master Theorem: Reminder

$$T(n) = aT(n/b) + f(n)$$

Case 1:

$$\frac{n^{\log_b a}}{f(n)} = \Omega(n^{\epsilon})$$



$$T(n) = \Theta(n^{\log_b a})$$

Case 2:

$$\frac{f(n)}{n^{\log_b a}} = \Theta(\lg^k n)$$



$$T(n) = \Theta(n^{\log_b a} \lg^{k+1} n)$$

Case 3:

$$\frac{f(n)}{n^{\log_b a}} = \Omega(n^{\epsilon})$$



$$T(n) = \Theta(f(n))$$

and

$$af(n/b) \leq cf(n) \text{ for } c < 1$$

# Binary Tree Embedding: H-Tree

- Solve the recurrences:

$$W(n) = 2W(n/4) + 1$$

$$H(n) = 2H(n/4) + 1$$

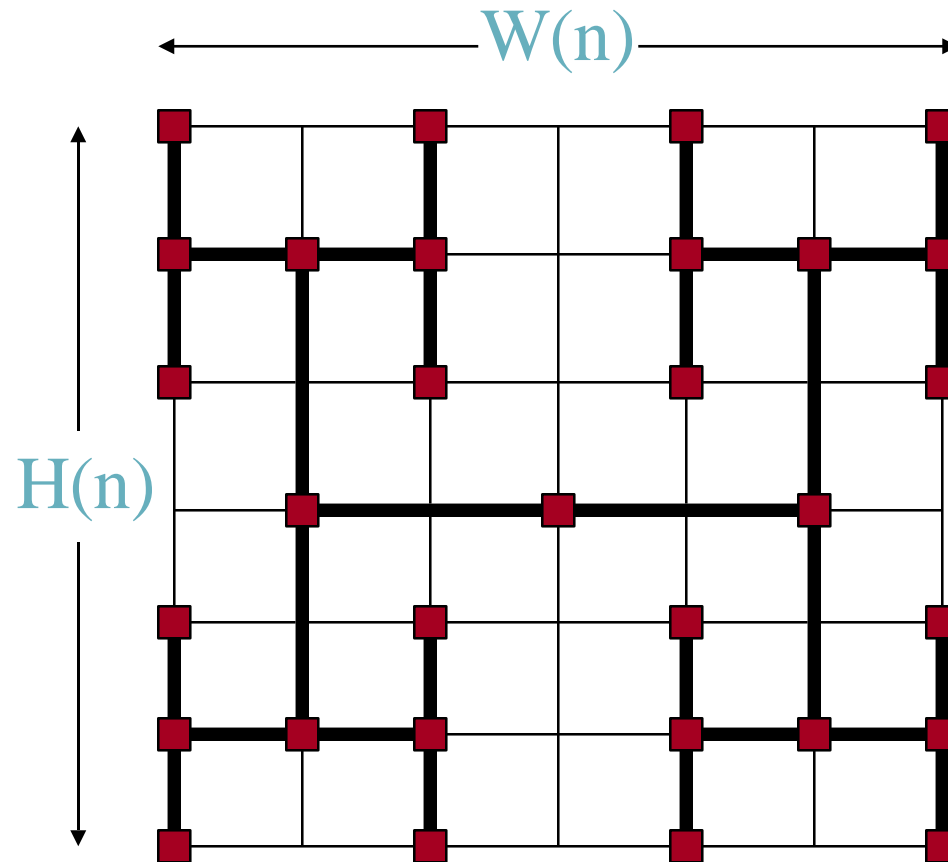
$$\rightarrow W(n) = \Theta(\sqrt{n})$$

$$\rightarrow H(n) = \Theta(\sqrt{n})$$

- $\text{Area}(n) = \Theta(n)$
-

# Binary Tree Embedding: H-Tree

Example:



# Maximum Subarray Problem

- Input: An array of values
- Output: The contiguous subarray that has the largest sum of elements

Input array:

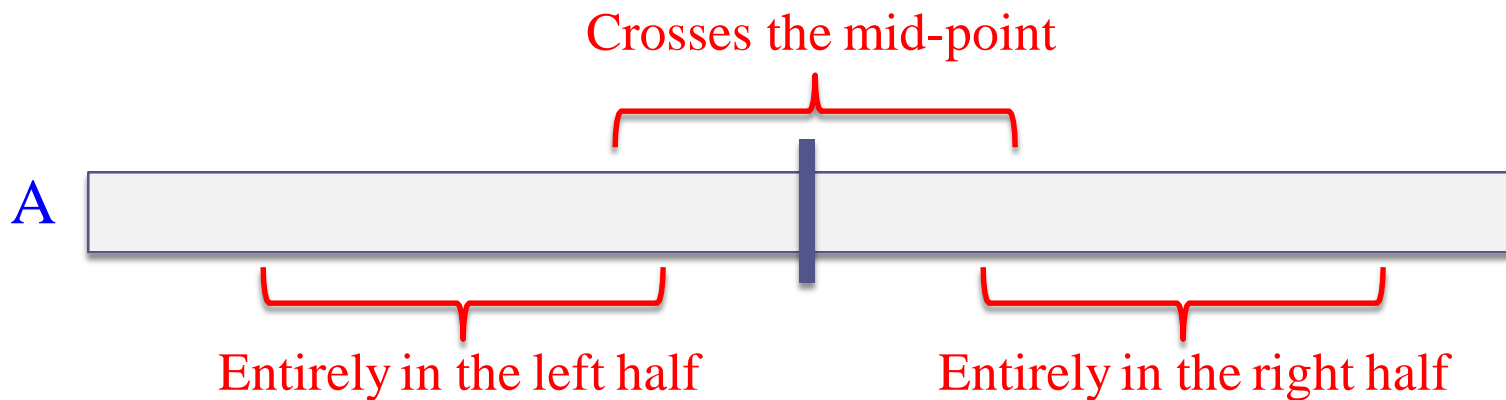
13	-3	-25	20	-3	-16	-23	18	20	-7	12	-22	-4	7
----	----	-----	----	----	-----	-----	----	----	----	----	-----	----	---

the maximum contiguous subarray

# Maximum Subarray Problem: Divide & Conquer

## □ Basic idea:

- ✧ **Divide** the input array into 2 from the middle
- ✧ Pick the **best** solution among the following:
  1. The max subarray of the **lefthalf**
  2. The max subarray of the **righthalf**
  3. The max subarray **crossing the mid-point**



# Maximum Subarray Problem: Divide & Conquer

- Divide: Trivial (divide the array from the middle)
  - Conquer: Recursively compute the max subarrays of the left and right halves
  - Combine: Compute the max-subarray crossing the mid-point (*can be done in  $\Theta(n)$  time*). Return the max among the following:
    1. the max subarray of the left subarray
    2. the max subarray of the right subarray
    3. the max subarray crossing the mid-point
-

# Conclusion

- Divide and conquer is just one of several powerful techniques for algorithm design.
  - Divide-and-conquer algorithms can be analyzed using recurrences and the master method (so practice this math).
  - Can lead to more efficient algorithms
-