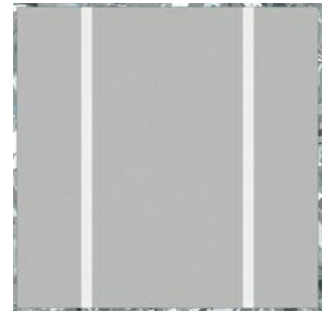
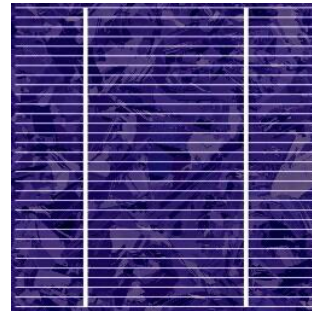
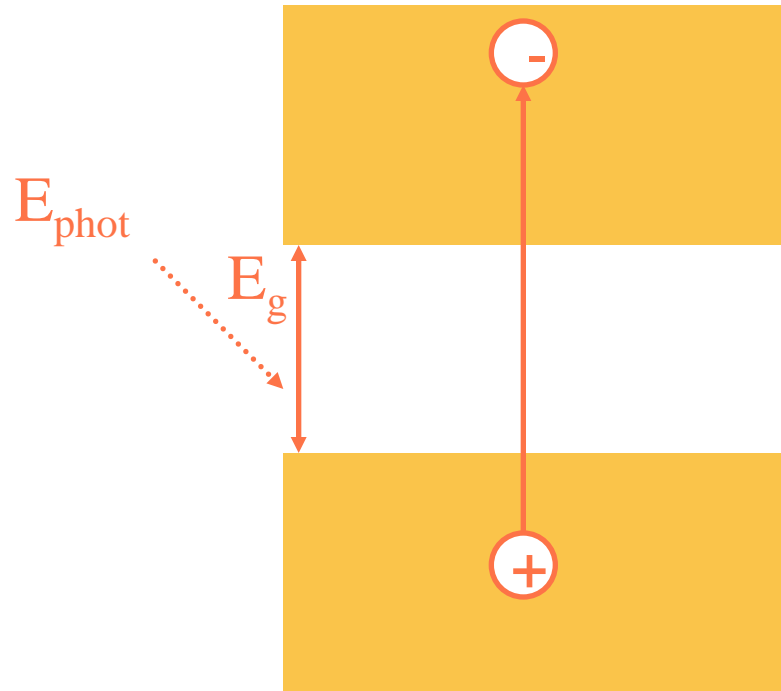


Third generation solar cells

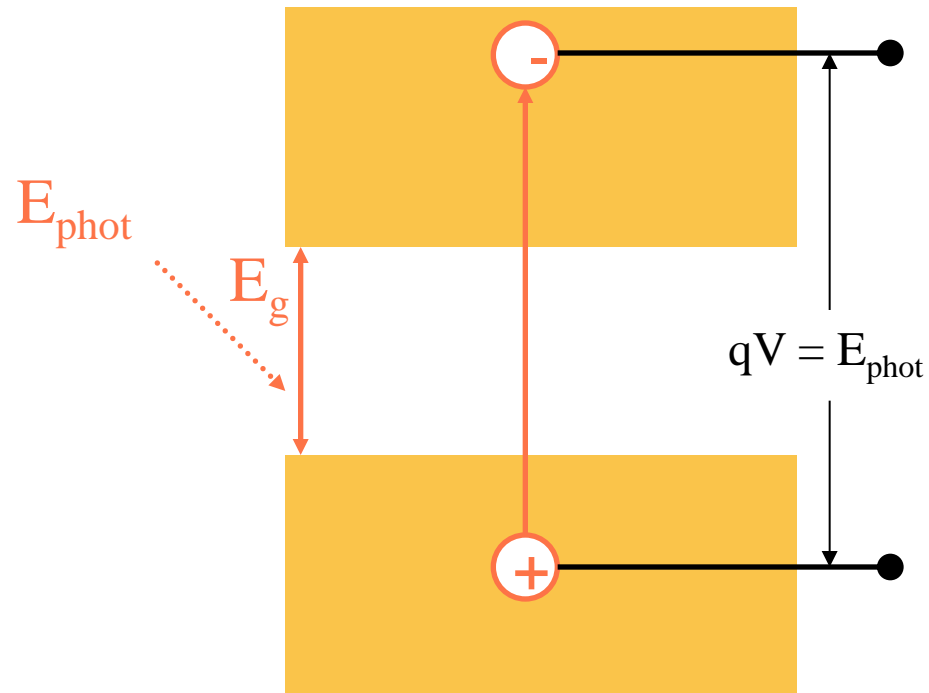
Erik Stensrud Marstein



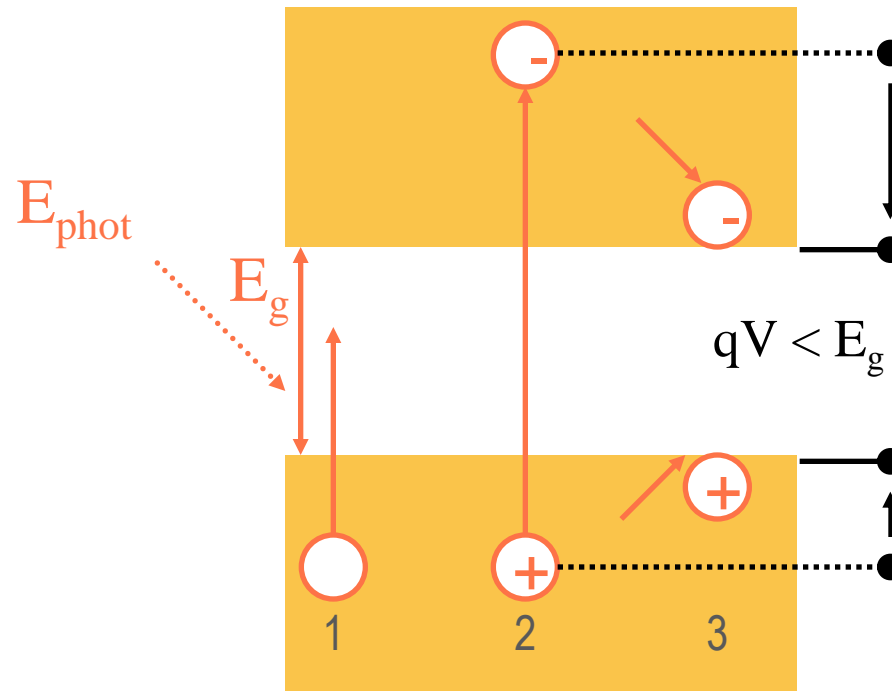
A «normal» solar cell



A «normal» solar cell

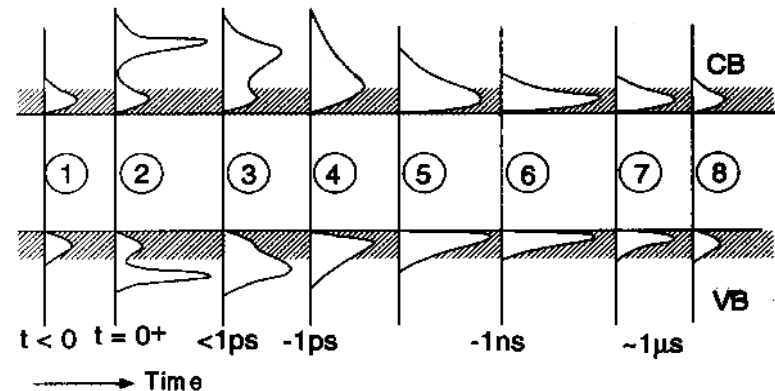


The trouble with «normal» solar cells



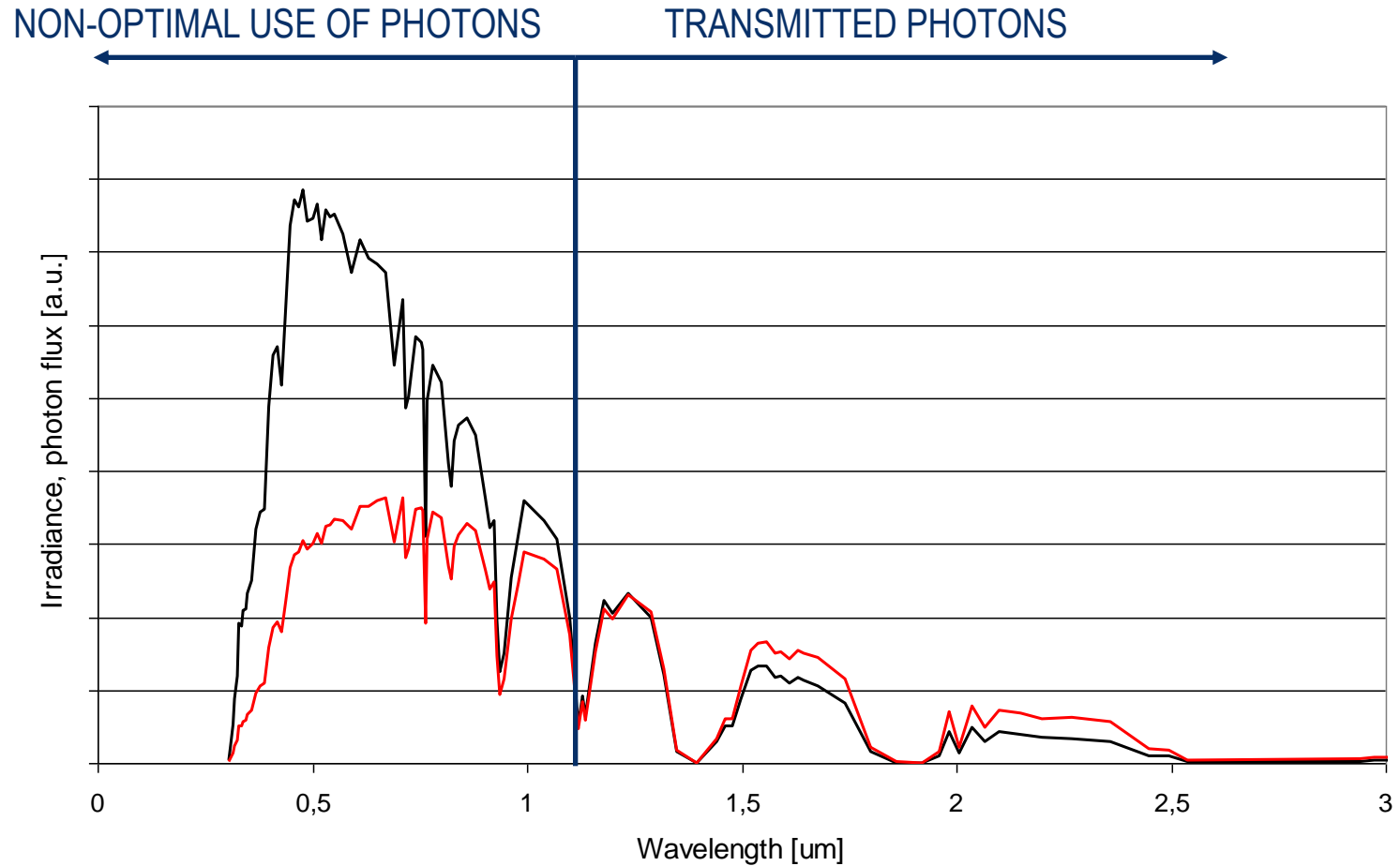
Thermalization

- Thermalization within solar cell materials normally occurs on an extremely rapid time scale
- Thermalization in Si:
 - 1: Initial concentration of charge carriers
 - 2: Initial excitation of charge carriers after exposure to laser pulse
 - 3-4: Carrier-carrier collisions lead to an energetic redistribution around carrier temperature
 - 5-6: Carrier-phonon interactions cool down carriers
 - 7-8: Recombination across band gap



M.A. Green, UNSW

Consequences



Consequences

- Large current densities can be obtained by selecting a material with a low band gap energy
- However, due to thermalization, the band gap puts an efficient upper limit to the extractable voltage.
 - If we should be even so lucky!
 - Si bandgap: 1.12 eV
 - **Good** open circuit voltage: 700 mV

Theoretical efficiency limits

The Shockley-Queisser limit

- Si p-n homojunction solar cell: ~29 % efficiency
- Assumptions
 - All photons incident on cell captured
 - Complete absorption of all photons with $E > E_g$
 - Complete thermalization occurs
 - Lossless transport and collection of charge carriers
 - Ideal materials: auger and/or radiative recombination dominating recombination mechanism



The Shockley-Queisser limit

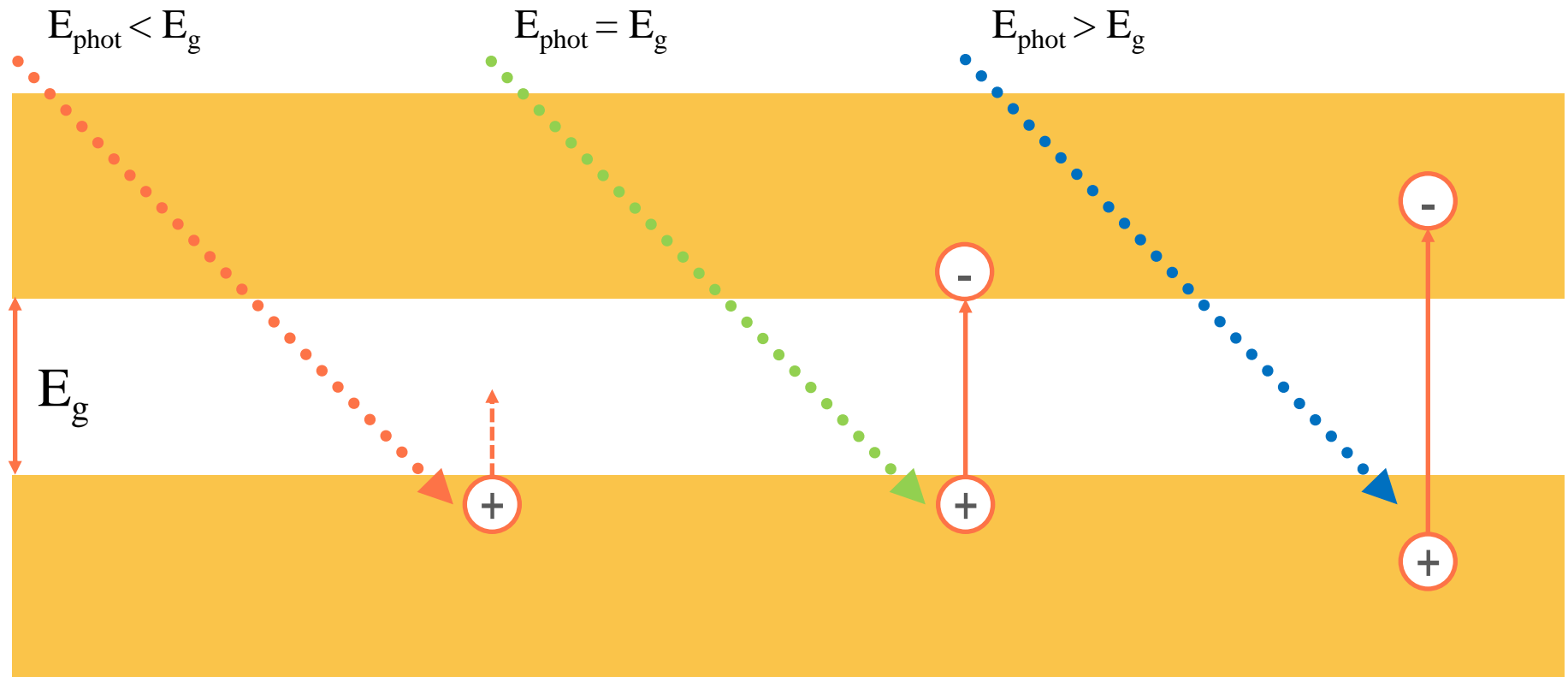


- Upper limit for homo-junction efficiency: **33%**
- Where do the remaining **67%** go?

Thermalization ($E > E_g$)	47%
Transmission ($E < E_g$)	18.5%
Recombination	1.5%
Remaining efficiency	33%
Total	100%

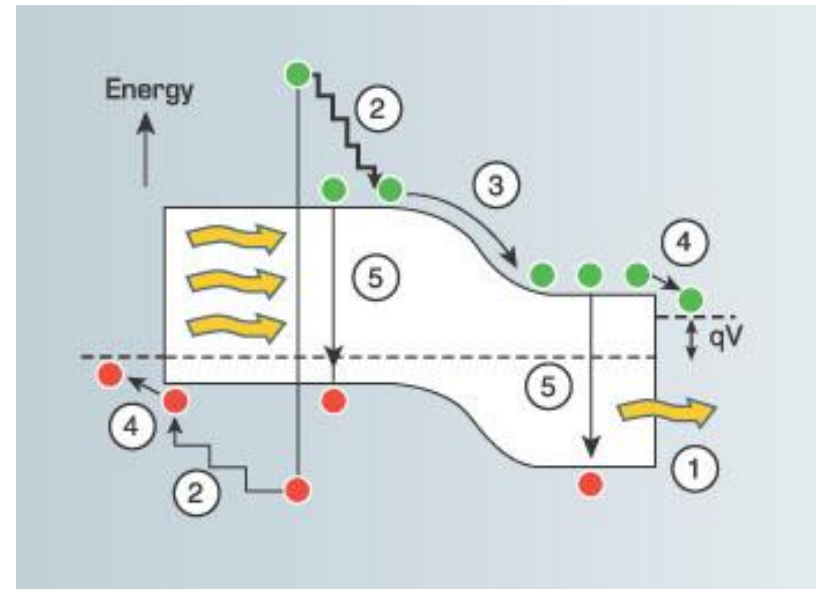
M.C. Beard et al., Nano Letters 7 (2007) p 2506

Shockley-Queisser



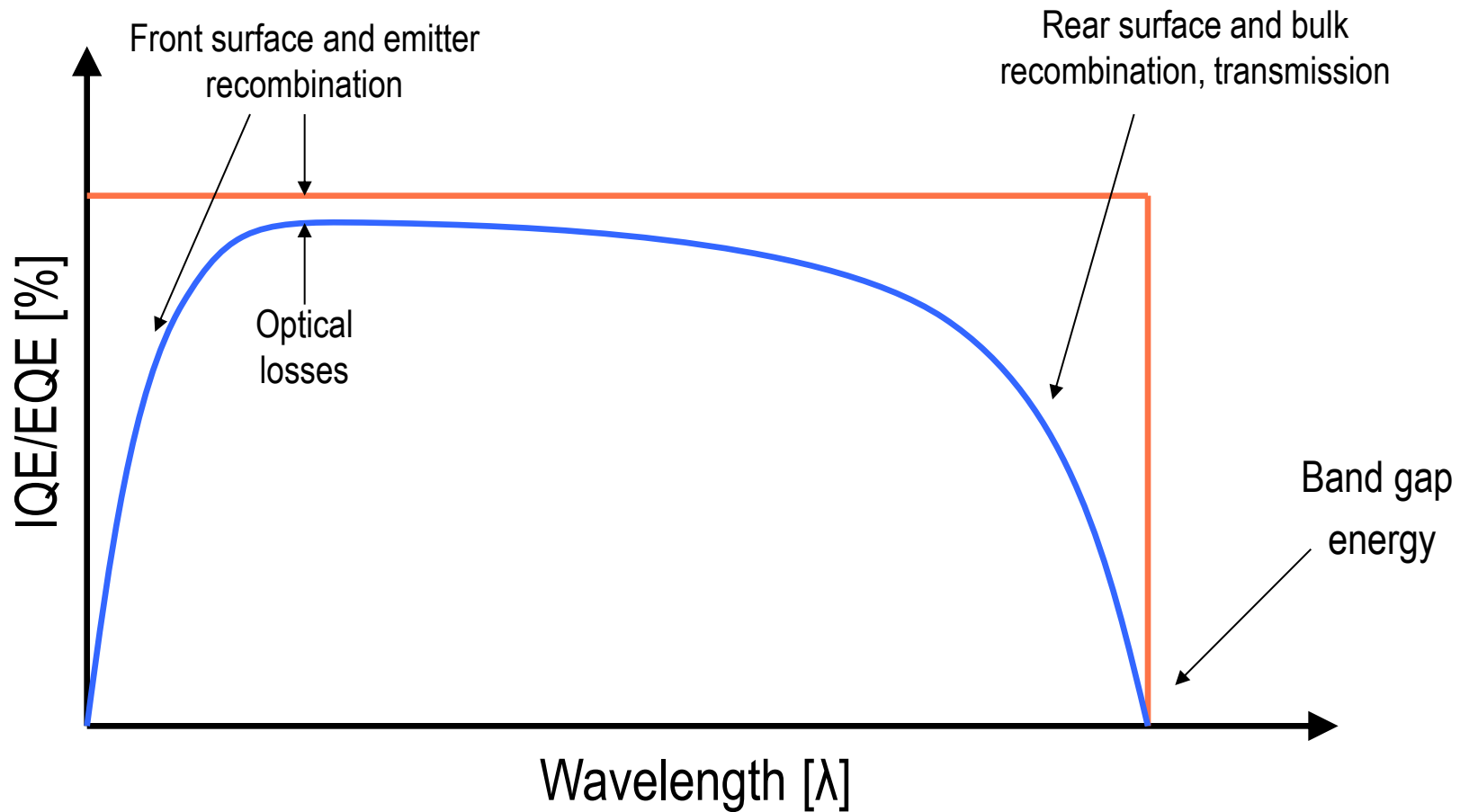
Fundamental loss mechanisms

1. Insufficient photon energies
 - $E < E_g$
2. Thermalization of energetic carriers
 - If $E > E_g$, $E \rightarrow E_g$
3. Energy loss across p-n junction
4. Energy loss at contacts
5. Recombination



M.A. Green, UNSW

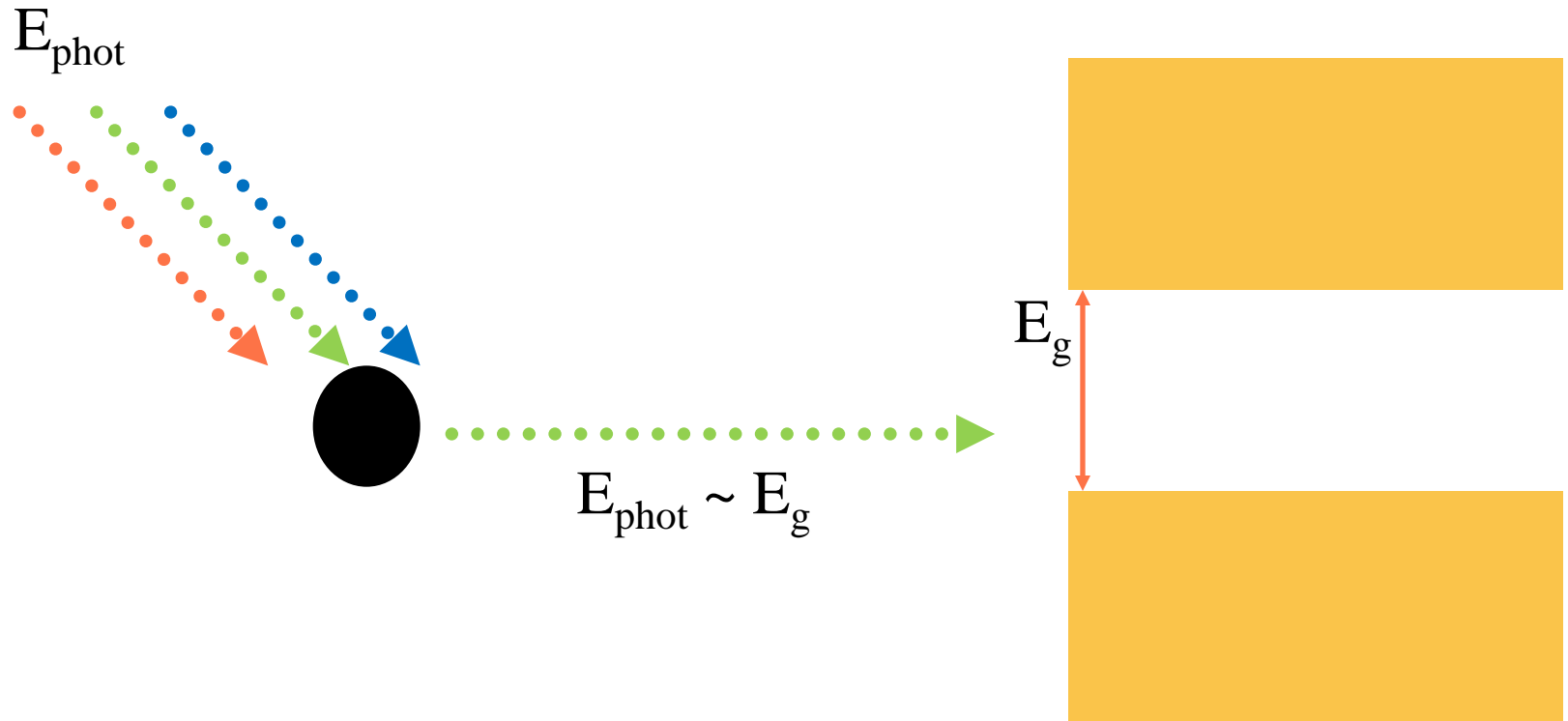
Quantum efficiency



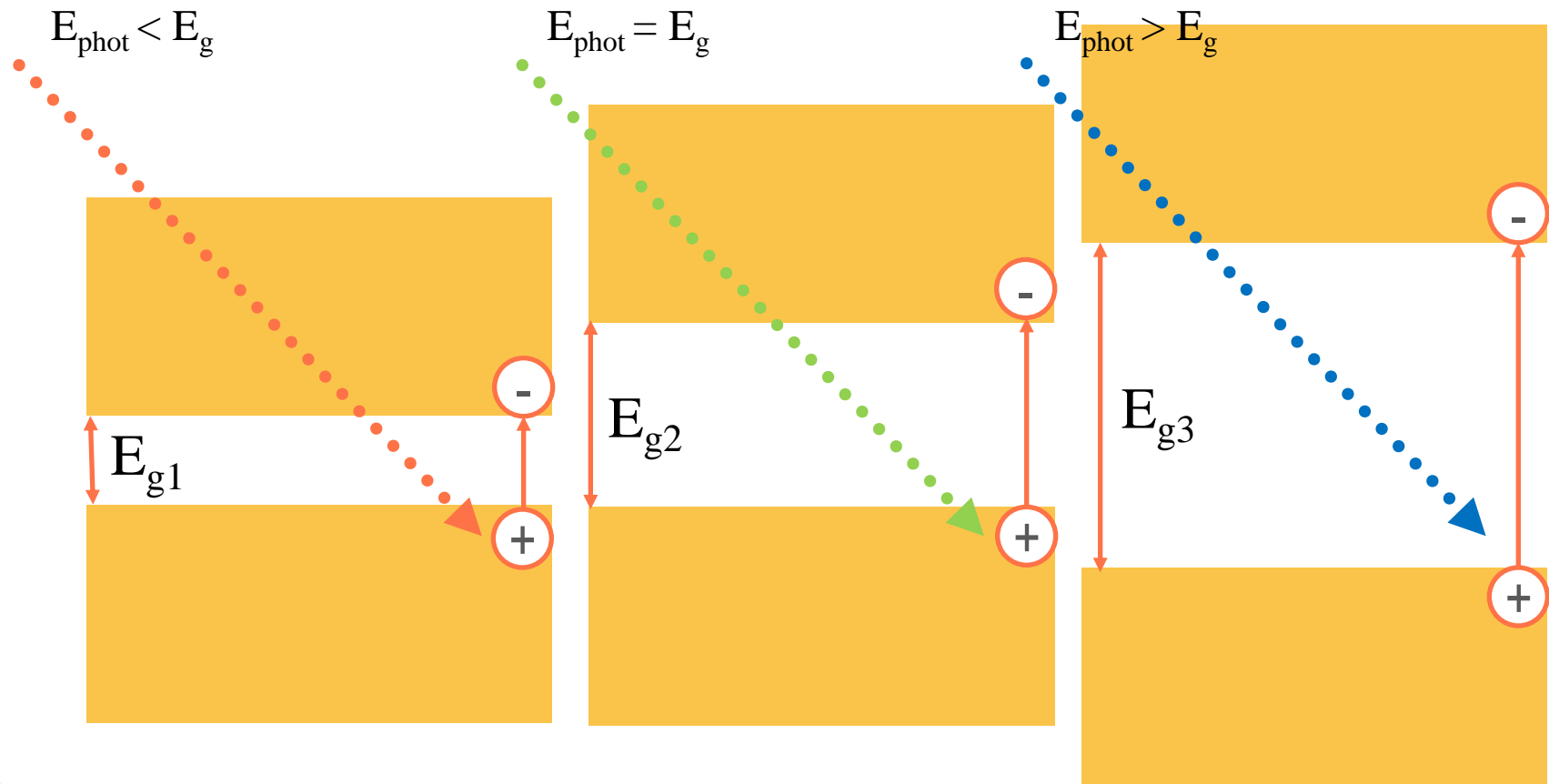
«3rd generation photovoltaics»

- Useful definition: "Solar cell concepts that allow for a better utilization of the solar energy than homojunction solar cells"
 - Overcoming the Shockley-Queisser limit
- Three main approaches:
 1. "The solar cell is fine, change the Sunlight instead!"
 2. "One cell for every wavelength!"
 3. "Thermalization is your friend!"

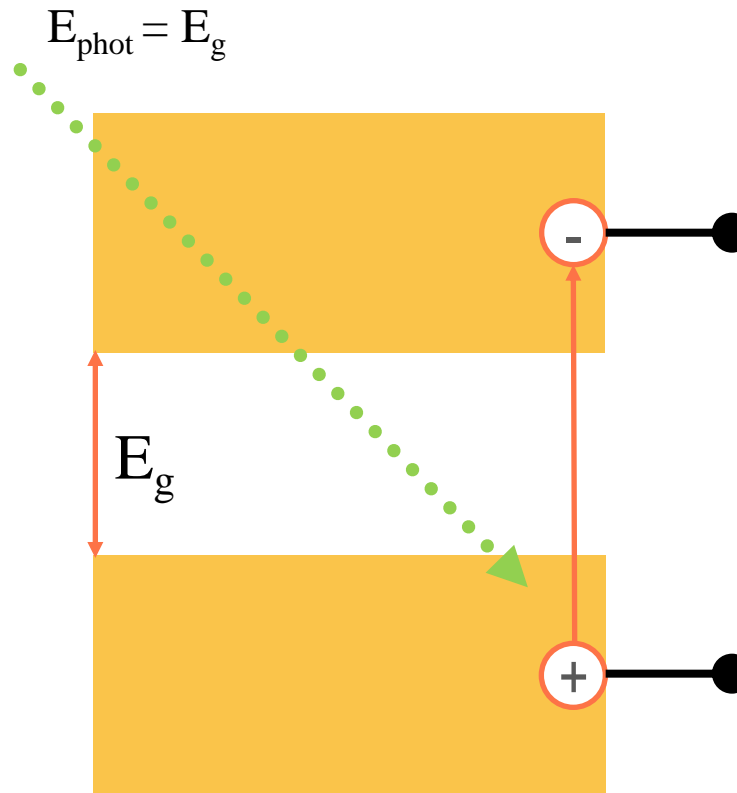
1: Photon energy conversion



2: Multiple band gap solar cells



3: Avoiding thermalization



Theoretical efficiency limits

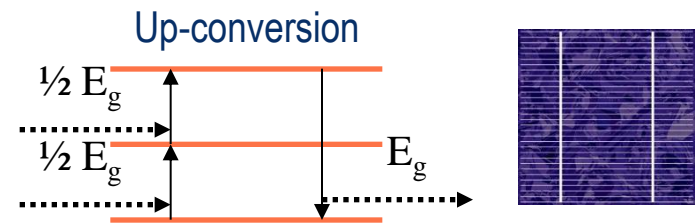
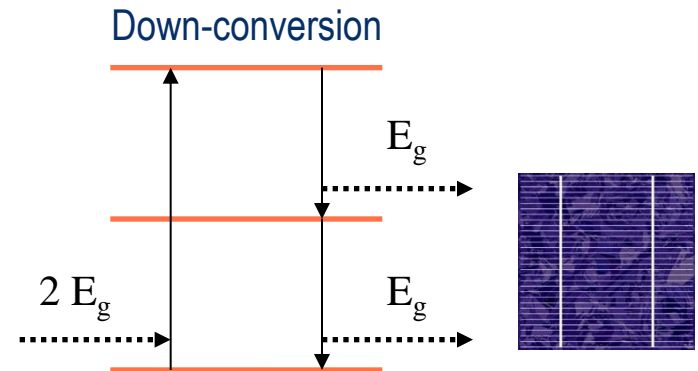
- Many novel cell concepts allowing for a vastly improved utilization of the photonic energies in the solar spectrum have been proposed
- Theoretical upper efficiencies for these cell concepts have been calculated

Cell concept	Efficiency (under direct/global irradiation)	Possible realization
Landsberg	93.3%/73.3%	Circulators
Multicolour	86.8%/68.2%	Hot carrier, infinite cell tandem, photon energy conversion, thermo-PV...
Black-body	85.4%/53.6%	
3-level	63.8%/49.3%	3 cell tandem, impurity band ...
2-level	55.7%/42.9%	2 cell tandem
Homojunction	40.8%/31.0%	Homojunction
Real cell records	~46%	4 cell tandem

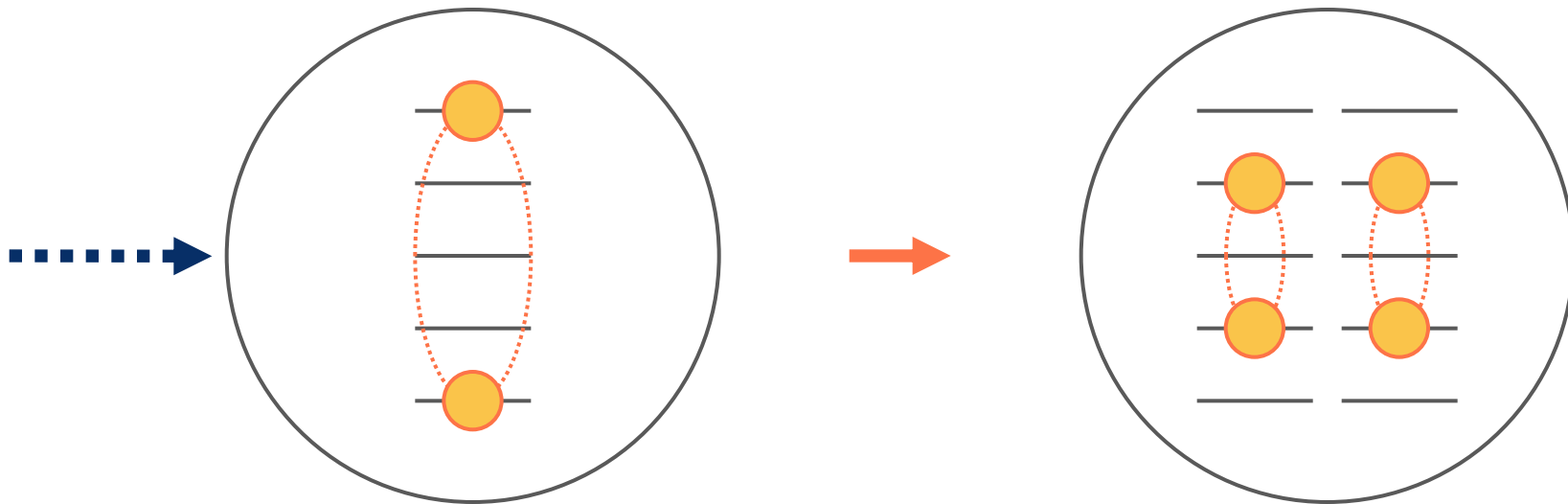
M.A. Green: "Third generation photovoltaics", Springer 2003

Photon energy conversion

- **Photon energy down-conversion:** one energetic photon creates more than one sufficiently energetic photon.
- **Photon energy up-conversion:** two or more low energetic photons create one sufficiently energetic photon.

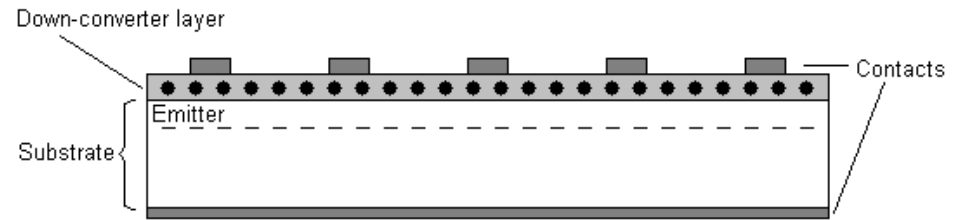


Cool property of nanocrystals: *Multiple exciton generation (MEG)*

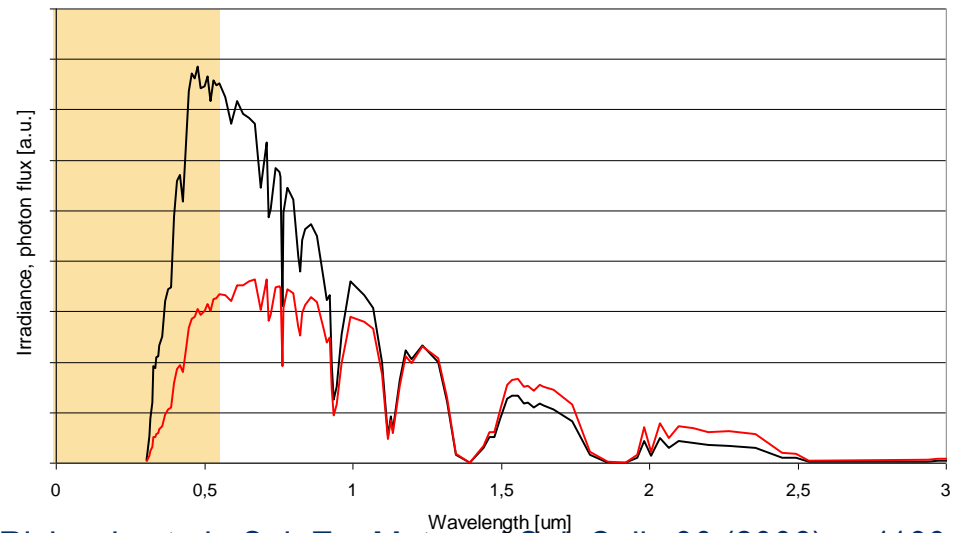


Photon energy down-conversion on Si

- The “**pixie dust**” approach: simply add photon energy down-conversion materials in front of the solar cell



Down-conversion solution for Si solar cell

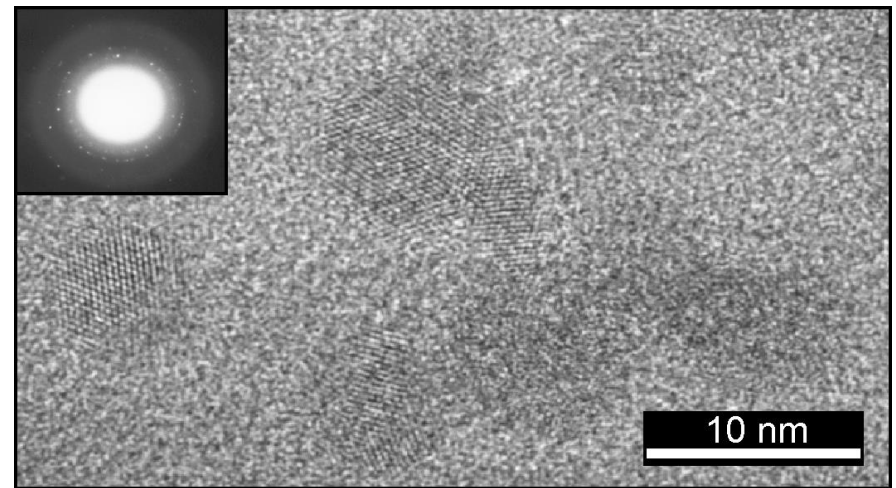


- Theoretical efficiency on Si: **38.6%**

B.S. Richards et al., Sol. En. Mat. and Sol. Cells 90 (2006) p. 1189

Photon energy conversion materials

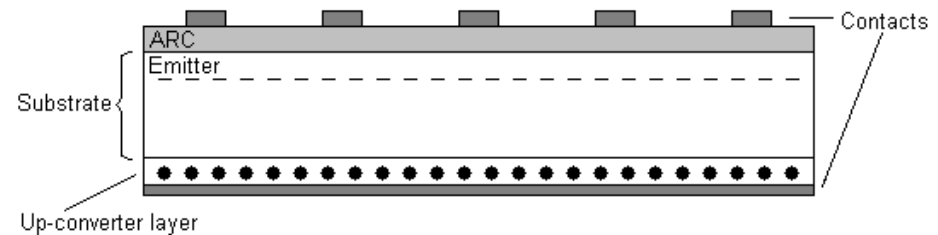
- Commonly suggested materials
 - Nanocrystals
 - Rare earth-based phosphors
- Several reports of modest efficiency increases in the scientific literature using both material types
 - ~ 1% absolute
- Positive features
 - Simple implementation possible (?)
 - Can be a linear process ($I_{\text{em}} \sim I_{\text{abs}}$)
- Drawbacks
 - Possibly disturbing
 - Emission in all directions



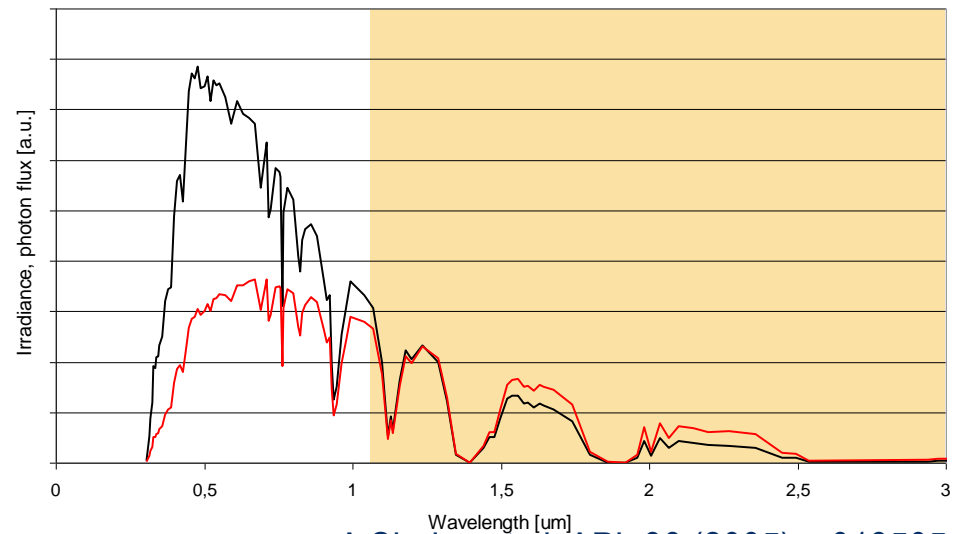
TEM image and SAD pattern of nanocrystalline Ge in SiO₂

Photon energy up conversion on Si

- Photon energy up-conversion on the rear of the solar cell
 - Surface passivating dielectric
 - Rear side dielectric mirror
 - Separate layer (plan B)
- Theoretical efficiency on Si: **40.2%**

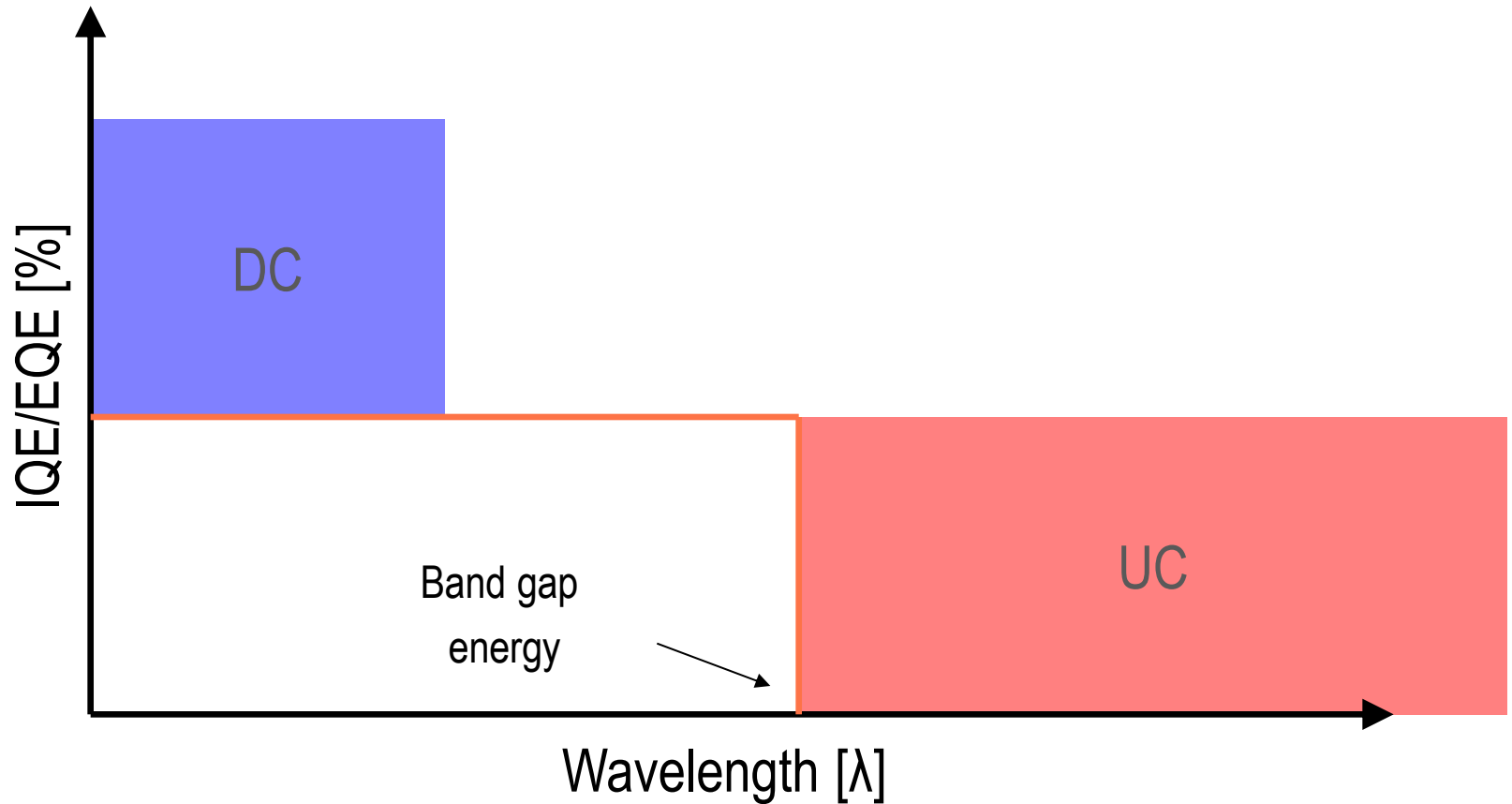


Up-conversion solution for Si solar cell



A Shalav et al, APL 86 (2005) p.013505

Up- and down conversion (UC/DC)



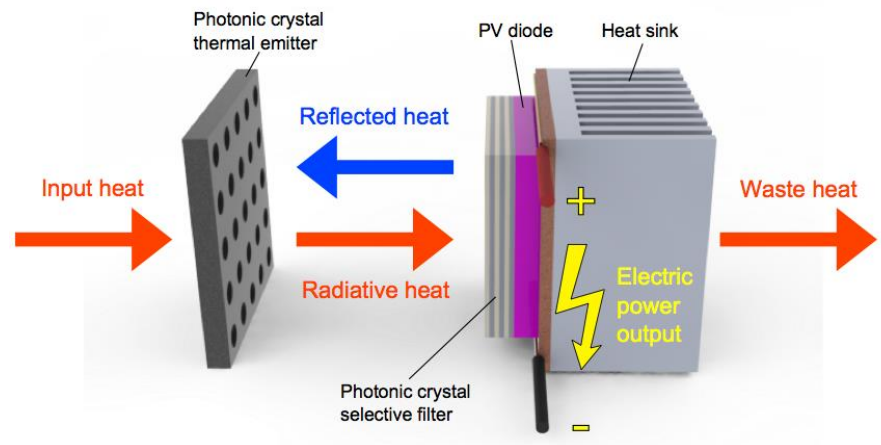
Alternative: Thermophotovoltaics

Principle of operation:

1. Sunlight heats a layer above cell
2. This layer radiates at a temperature more suited to the band gap of the solar cell beyond

Features

- Fewer high energy photons are generated
- Low energy photons are reflected from the solar cell and “recycled”



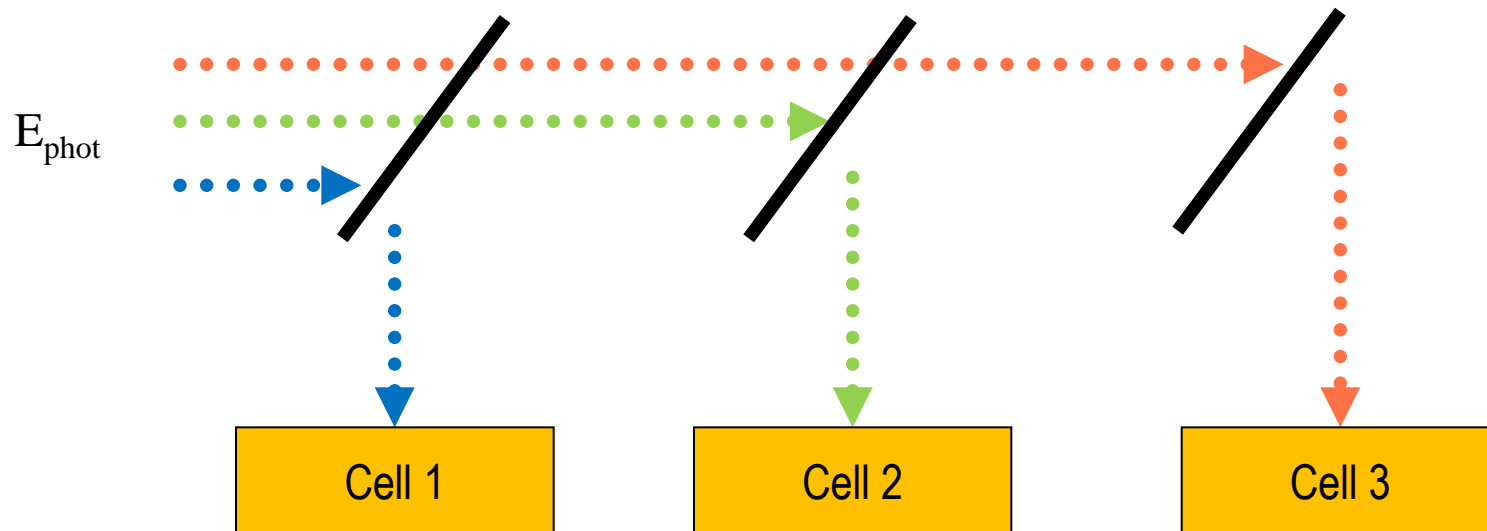
MIT (2011)

Multiple band gap solar cells

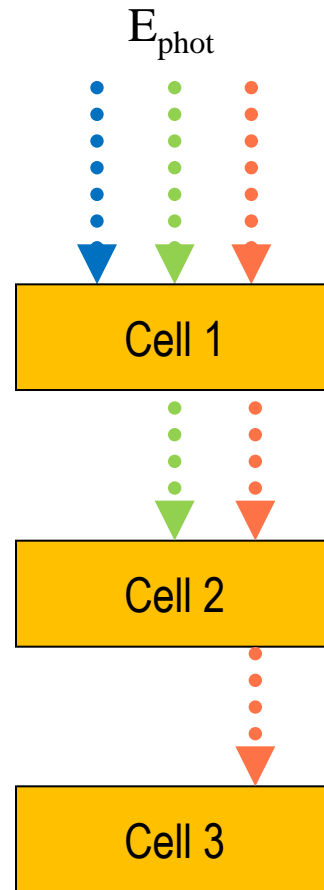
- Multiple band gap solar cells can be realized in a number of ways
 - Tandem solar cells
 - Physical splitting of the solar spectrum onto different solar cells
 - Works in practice!
 - World's most effective solar cell
 - Intermediate band gap solar cells



Multiple band gap solar cells in practice

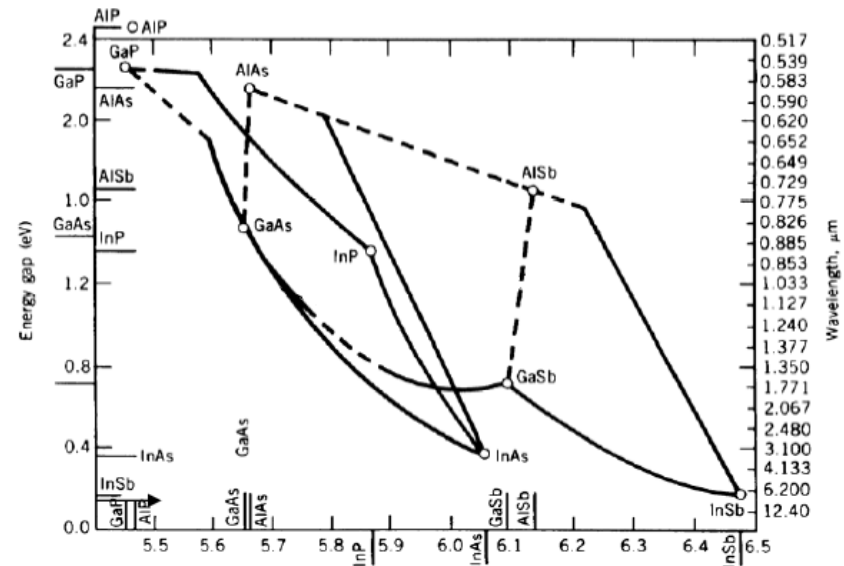


Multiple band gap solar cells in practice

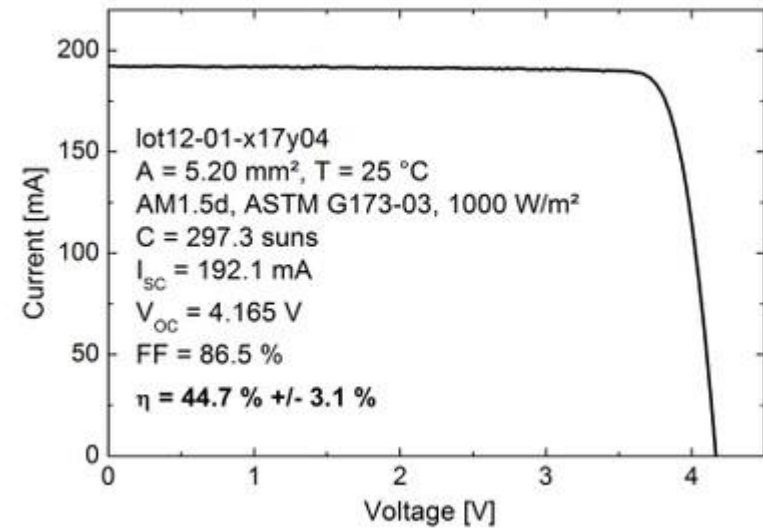
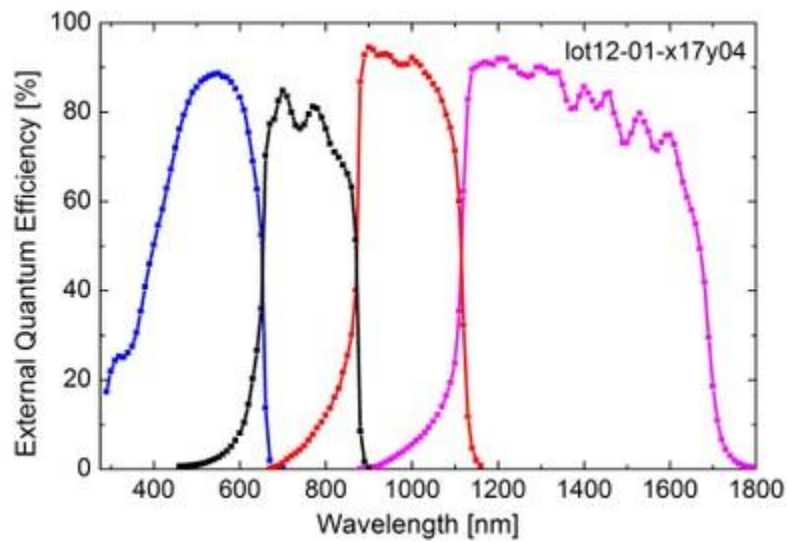
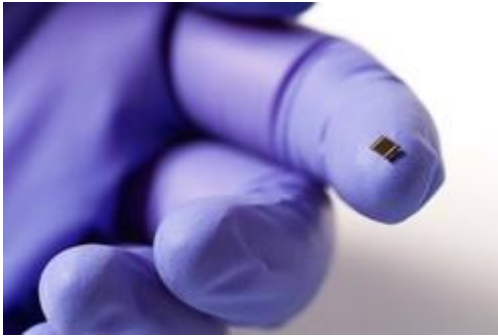


Tandem solar cells

- Considerations
 - Matching of current throughput is essential, since all cells in the tandem stack are series connected
 - Very vulnerable to variations in spectral density, which will distort the current matching
 - Less of a problem in space
 - Often difficult to grow the desired materials on top of each others due to mismatched lattice constants.
- Many realizations:
 - GaAs technology, a-Si:H technology, possible dye sensitized solar cells

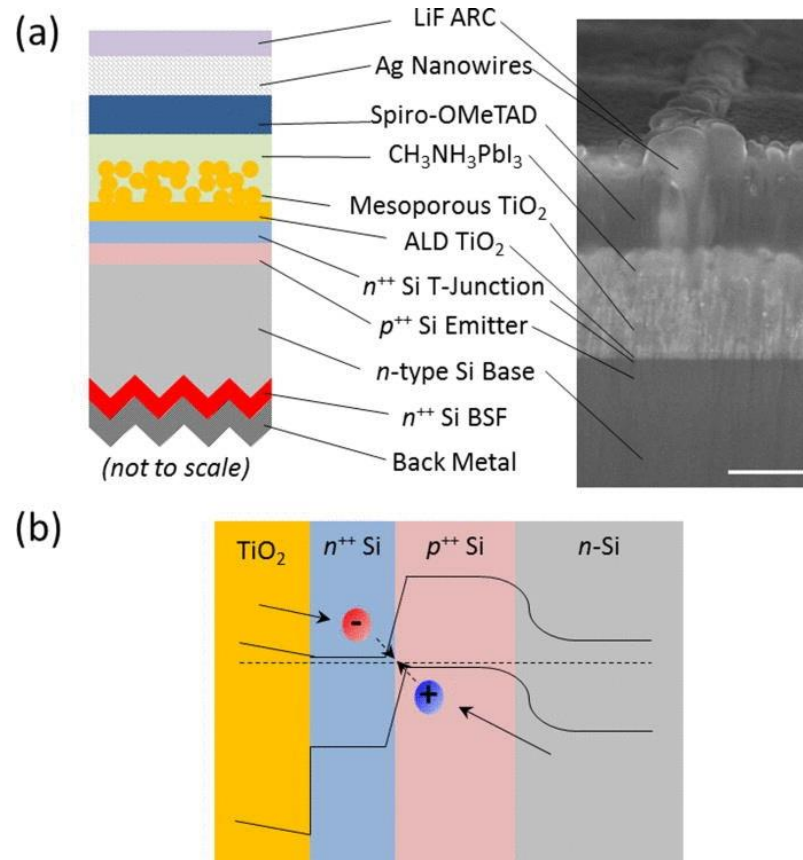


Tandem



FhG ISE (2014)

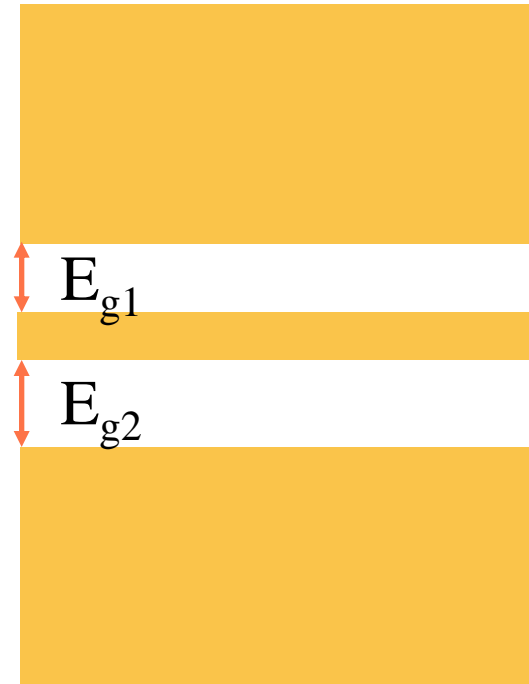
Silicon-perovskite tandem



MIT/Stanford. (2015)

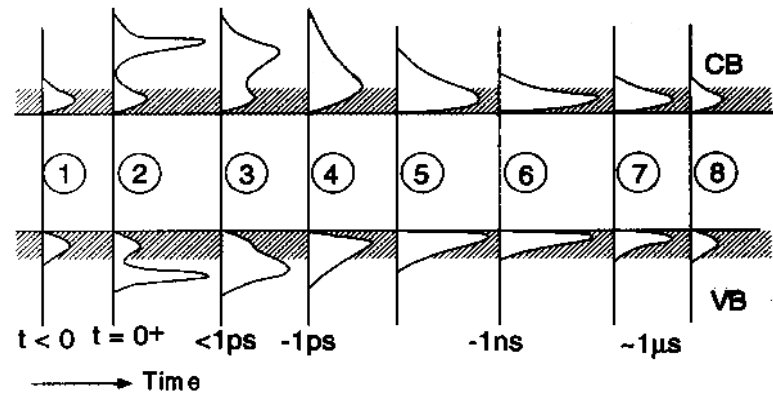
Intermediate band gap solar cells

- Several electronic band gaps can be realized in one material, at least in theory
- Possible realizations
 - Impurity bands
 - Superlattice of quantum structures
- Considerations
 - Can such materials be synthesized on an industrial scale?
 - Can strong recombination through intermediate band(s) be avoided?



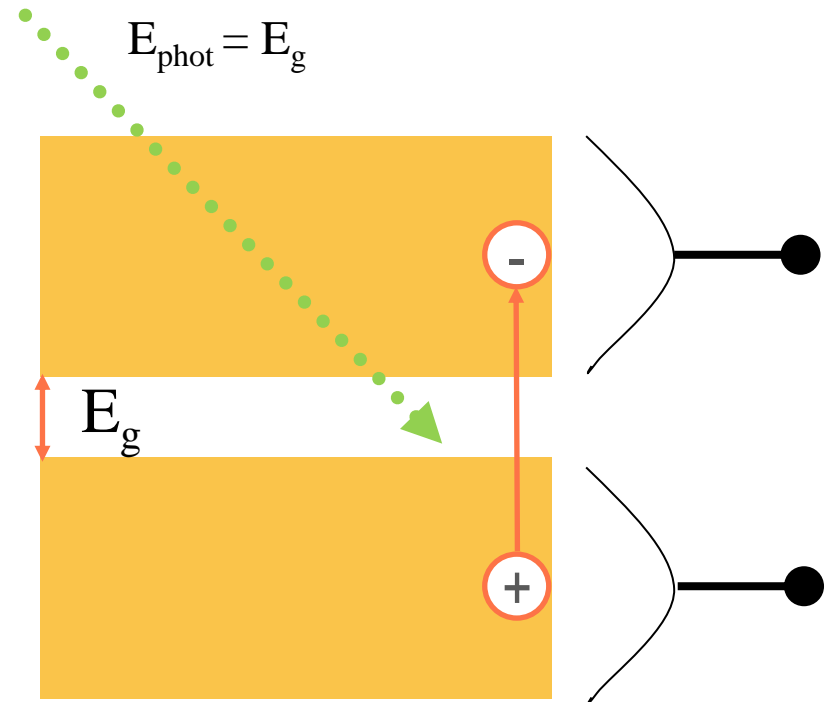
How to avoid (or gain from) thermalization?

- Collect energetic ("hot") charge carriers before significant thermalization occurs
 - Hot carrier solar cells
- Use materials wherein hot carriers collide and subsequently ionize atoms, increasing the number of charge carriers
 - Impact ionization solar cells



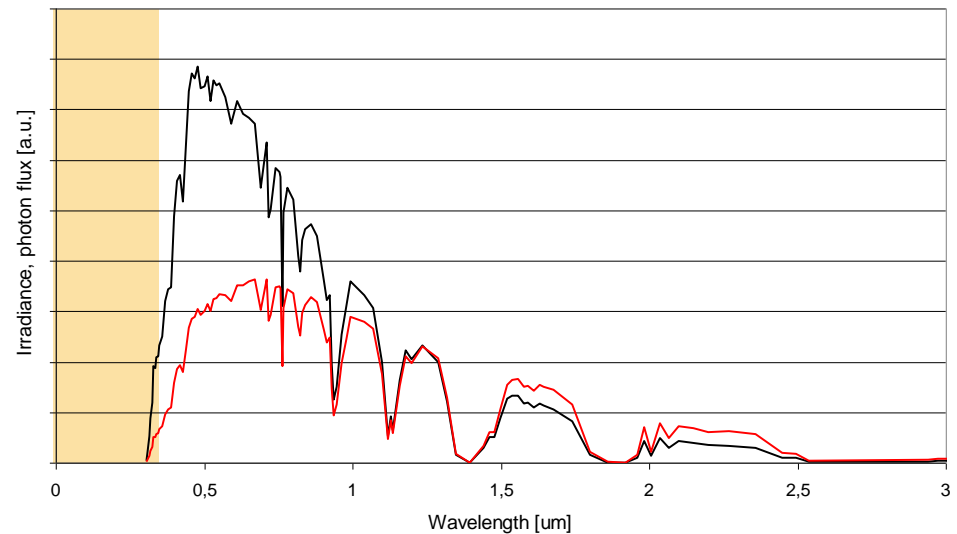
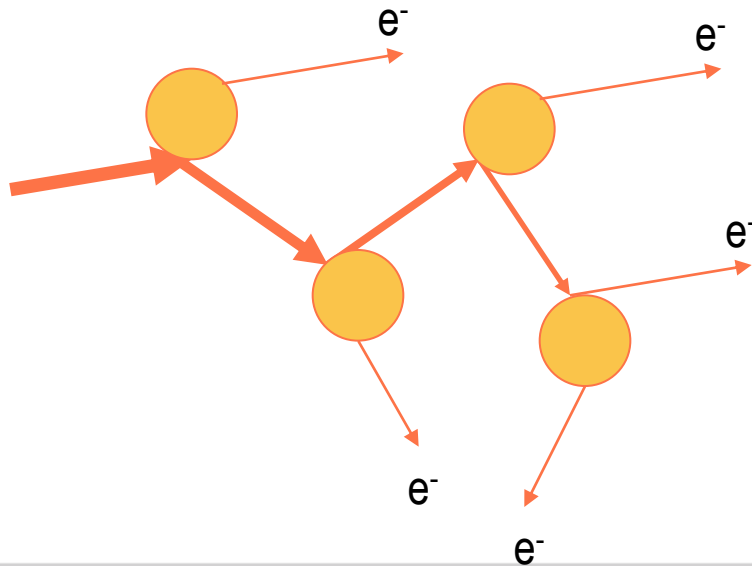
Hot carrier solar cells

- Use **selective contacts** to collect only carriers well above the energy band edge
 - Higher voltages obtainable
 - Possible realization of contacts: quantum structures with narrow allowable energies
- Additional benefit: this allows for the use of materials with a smaller band gap, resulting in reduced losses from low energy photons
- Considerations
 - Can this be done in industrial cells?



Impact ionization

- Impact ionization of little use in Si solar cells
 - Threshold energy: $3.5 E_g$ (3.9 eV ~ 315 nm)
 - Quantum yield (QY): 1.4 at $4.5 E_g$ (5.0 eV ~ 250 nm)



Conclusion

- There is much to gain from substantial increases in solar cell efficiency
- Solar cell efficiencies well in excess of the Shockley-Queisser limit are obtainable, at least in theory, using various 3rd generation solar cell concepts
- Currently, the only really functioning 3rd generation solar cells are tandem solar cells
- However, the physics of these concepts is beautiful...