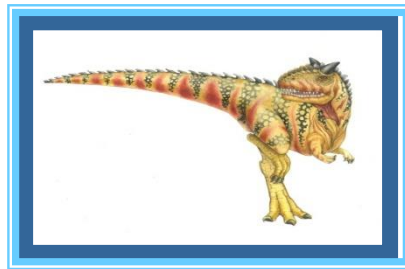


# Chapter 10: File-System Interface

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# Chapter 10: File-System Interface

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- 10.1 File Concept
- 10.2 Access Methods
- 10.3 Directory Structure
- 10.4 File-System Mounting
- 10.5 File Sharing
- 10.6 Protection





# Objectives

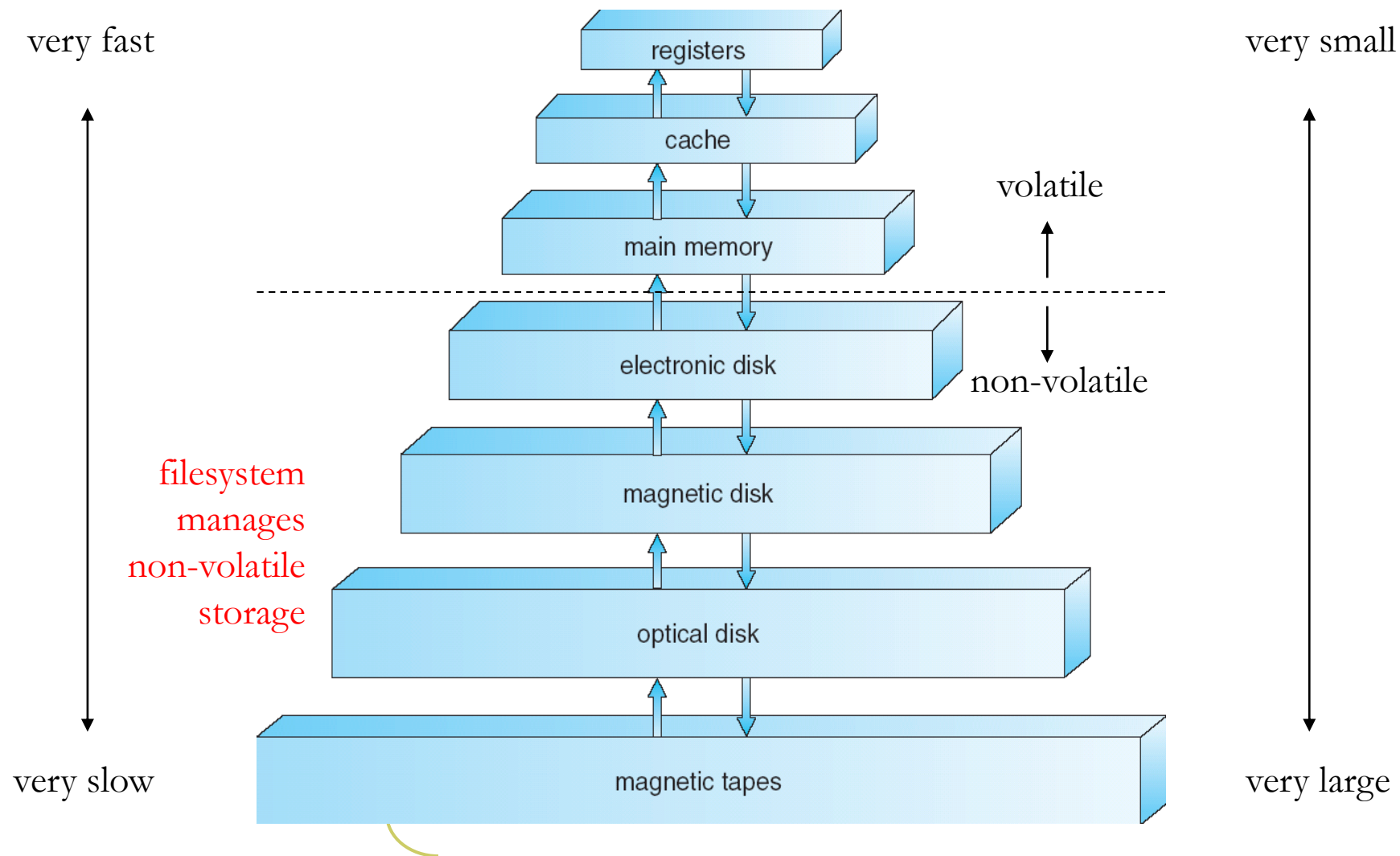
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- To explain the function of file systems
- To describe the interfaces to file systems
- To discuss file-system design tradeoffs, including access methods, file sharing, file locking, and directory structures
- To explore file-system protection



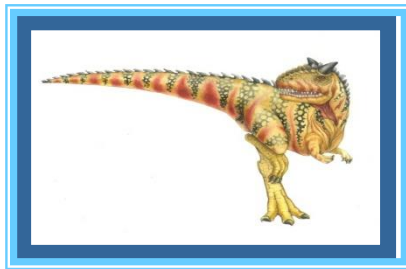


# Hierarchical Storage Architecture



# 10.1 File Concept

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# File Concept

- **文件**是存储某种介质上的（如磁盘、光盘、SSD等）并具有文件名的一组相关信息的集合
- A file is a sequence of bytes stored on some device



- **Types:**
  - Data
    - ▶ numeric
    - ▶ character
    - ▶ binary
  - Program





# File Types – Name, Extension

file type	usual extension	function
executable	exe, com, bin or none	ready-to-run machine-language program
object	obj, o	compiled, machine language, not linked
source code	c, cc, java, pas, asm, a	source code in various languages
batch	bat, sh	commands to the command interpreter
text	txt, doc	textual data, documents
word processor	wp, tex, rtf, doc	various word-processor formats
library	lib, a, so, dll	libraries of routines for programmers
print or view	ps, pdf, jpg	ASCII or binary file in a format for printing or viewing
archive	arc, zip, tar	related files grouped into one file, sometimes compressed, for archiving or storage
multimedia	mpeg, mov, rm, mp3, avi	binary file containing audio or A/V information





# File Attributes

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- **Name** – only information kept in human-readable form
- **Identifier** – unique tag (number) identifies file within file system
- **Type** – needed for systems that support different types
- **Location** – pointer to file location on device
- **Size** – current file size
- **Protection** – controls who can do reading, writing, executing
- **Time, date, and user identification** – data for protection, security, and usage monitoring
- Information about files are kept in the directory structure, which is maintained on the disk







# File Operations

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- File is an **abstract data type** (抽象数据类型)
  - **Create**
  - **Write**
  - **Read**
  - **Reposition within file**
  - **Delete**
  - **Truncate**
  - ***Open( $F_i$ )*** – search the directory structure on disk for entry  $F_i$ , and move the content of entry to memory
  - ***Close ( $F_i$ )*** – move the content of entry  $F_i$  in memory to directory structure on disk





# Open Files

---

- Several pieces of data are needed to manage open files:
  - **File pointer**: pointer to last read/write location, per process that has the file open
  - **File-open count**: counter of number of times a file is open – to allow removal of data from open-file table when last processes closes it
  - **Disk location of the file**: cache of data access information
  - **Access rights**: per-process access mode information





# File Structure (文件内部结构)

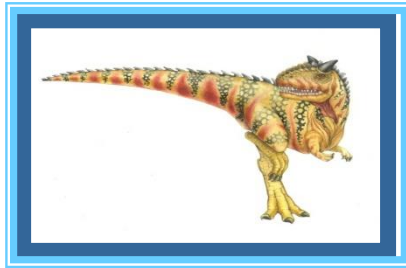
---

- **None** - sequence of words, bytes (流文件结构)
- **Simple record structure** (记录文件结构)
  - Lines
  - Fixed length
  - Variable length
- **Complex Structures**
  - Formatted document
  - Relocatable load file
- Can simulate last two with first method by inserting appropriate control characters
- **Who decides:**
  - Operating system
  - Program



# 10.2 Access Methods

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# Access Methods

## ■ Sequential Access (顺序存取) **Fig 10.2**

read next  
write next  
reset  
no read after last write  
(rewrite)

## ■ Direct Access (直接存取) **Fig 10.3**

read  $n$   
write  $n$   
position to  $n$   
read next  
write next  
rewrite  $n$

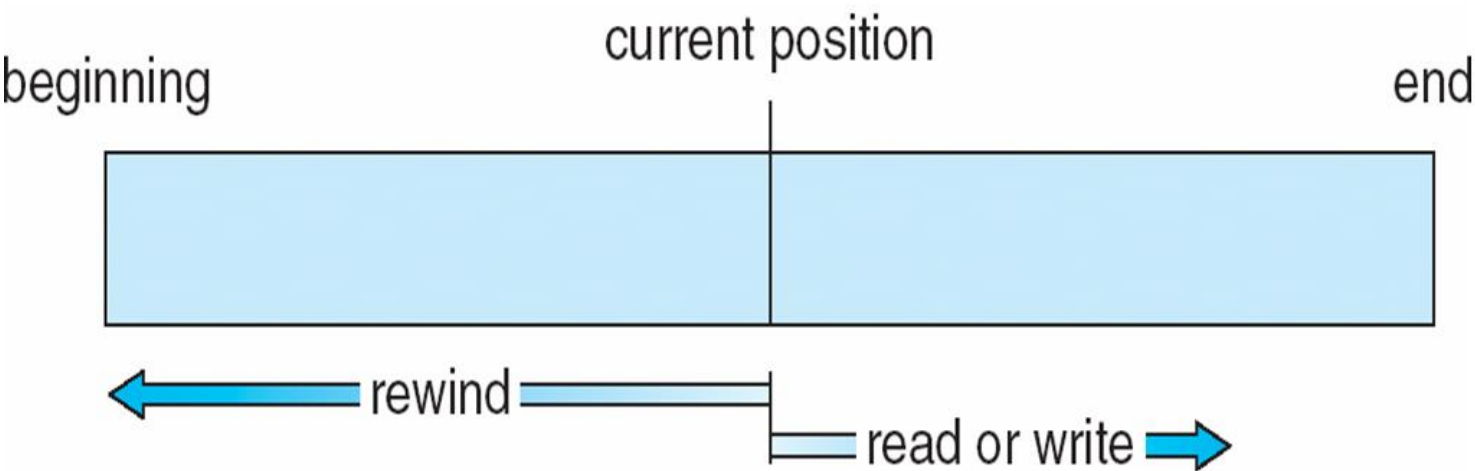
$n$  = relative block number **Fig 10.4**

## ■ Indexed sequential-access (索引顺序)





# Fig 10.2 Sequential-access File





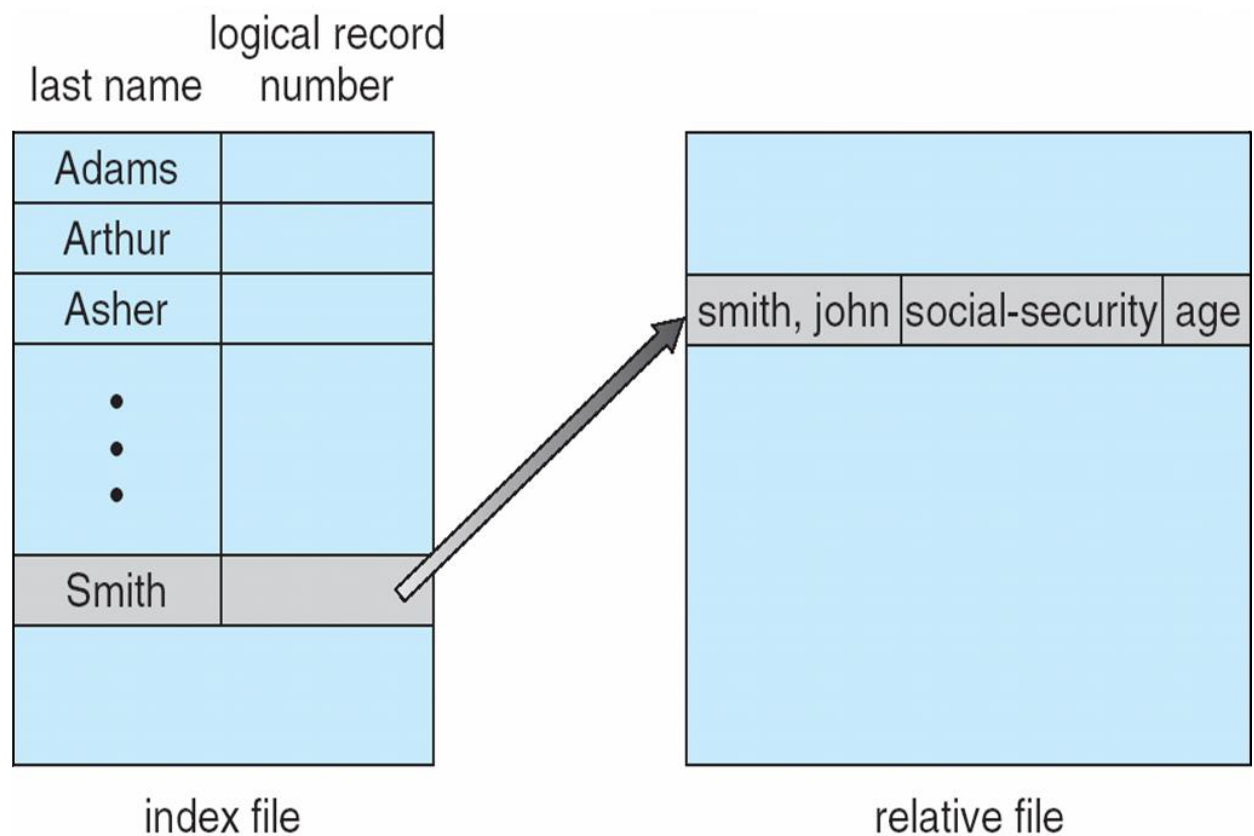
**Fig 10.3 Simulation of Sequential Access on Direct-access File**

sequential access	implementation for direct access
<i>reset</i>	<i>cp = 0;</i>
<i>read next</i>	<i>read cp;</i> <i>cp = cp + 1;</i>
<i>write next</i>	<i>write cp;</i> <i>cp = cp + 1;</i>





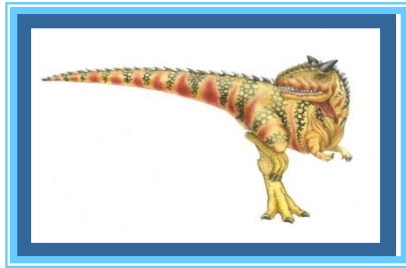
# Fig 10.4 Example of Index and Relative Files





## 10.3 Directory Structure (目录结构)

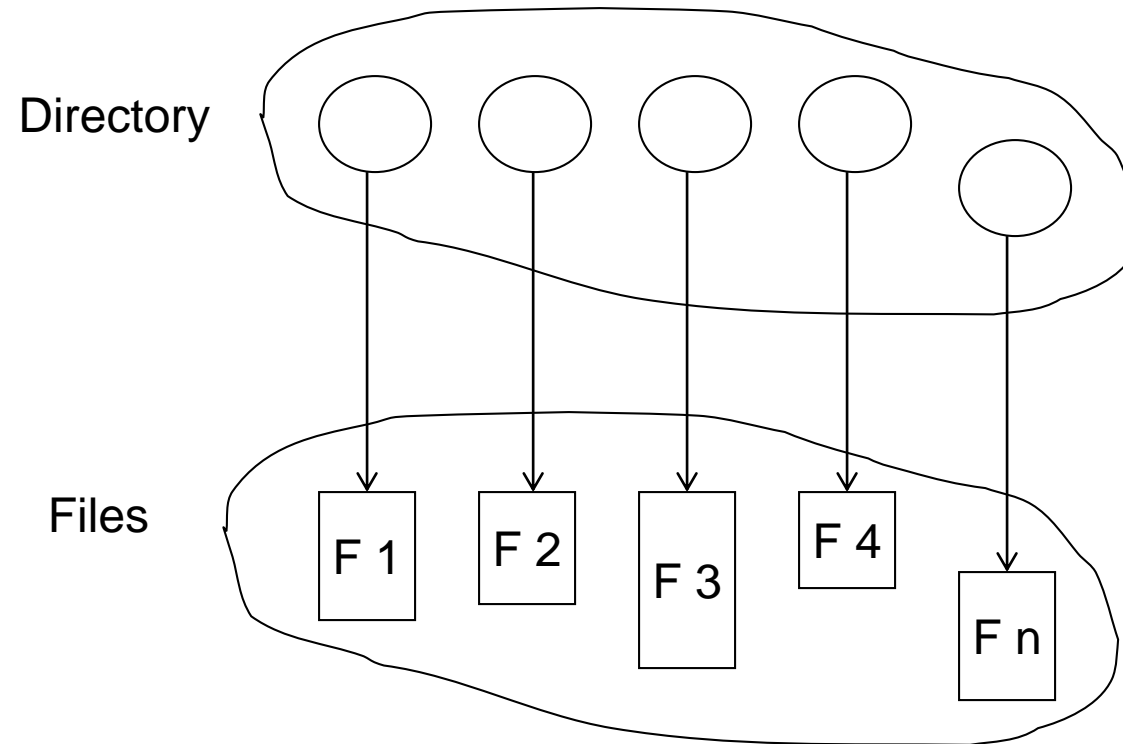
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# Directory Structure

- A collection of nodes containing information about all files



Both the directory structure and the files reside on disk  
Backups of these two structures are kept on tapes





# Disk Structure

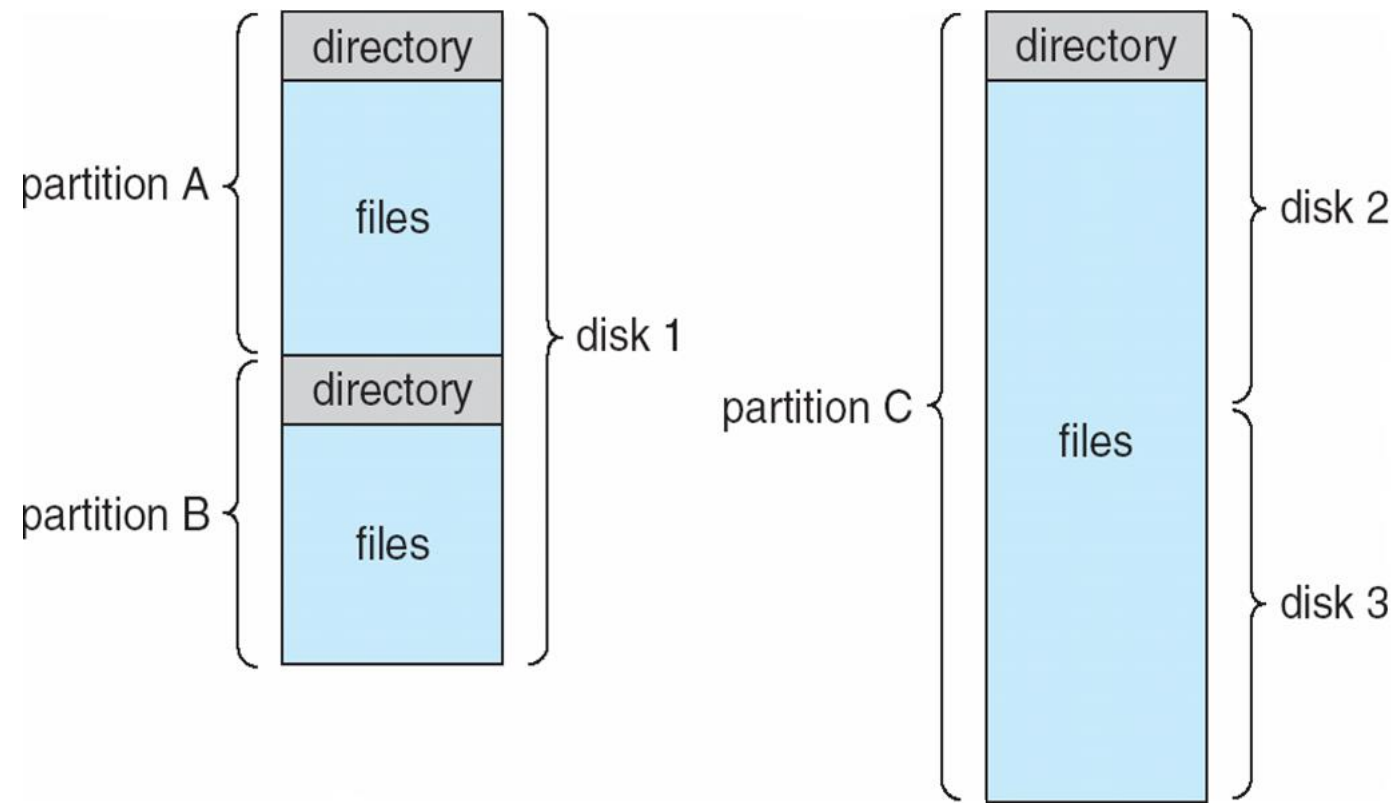
---

- Disk can be subdivided into **partitions** (分区)
- Disks or partitions can be **RAID** protected against failure
- Disk or partition can be used **raw** – without a file system, or **formatted** with a file system
- Partitions also known as minidisks, slices
- Entity containing file system known as a **volume**
- Each volume containing file system also tracks that file system's info in **device directory** or **volume table of contents**
- As well as **general-purpose file systems** there are many **special-purpose file systems**, frequently all within the same operating system or computer





# A Typical File-system Organization





# Operations Performed on Directory

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- Search for a file
- Create a file
- Delete a file
- List a directory
- Rename a file
- Traverse the file system (遍历文件系统)





# Organize the Directory (Logically) to Obtain

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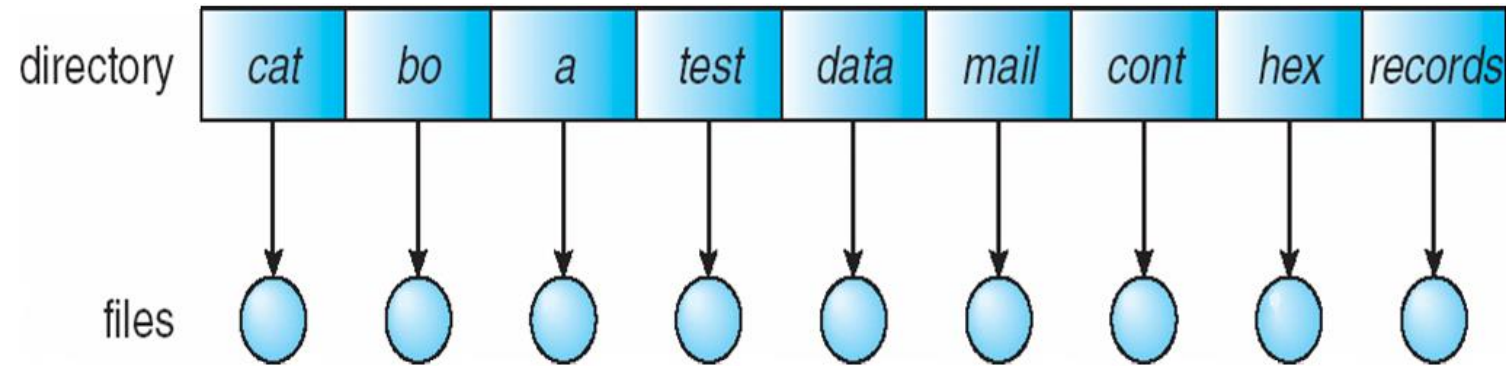
- **Efficiency** – locating a file quickly
- **Naming (重名)** – convenient to users
  - Two users can have same name for different files
  - The same file can have several different names
- **Grouping (分组)** – logical grouping of files by properties, (e.g., all Java programs, all games, ...)





# Single-Level Directory (单级目录)

- A single directory for all users



Naming problem

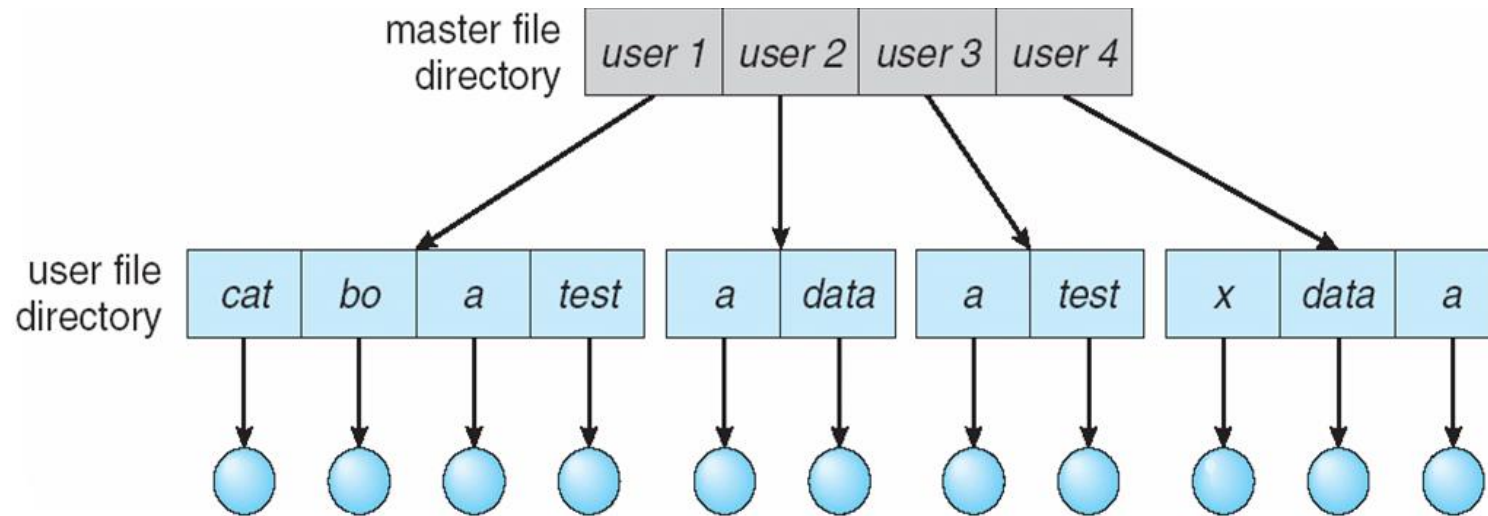
Grouping problem





# Two-Level Directory (二级目录)

- Separate directory for each user



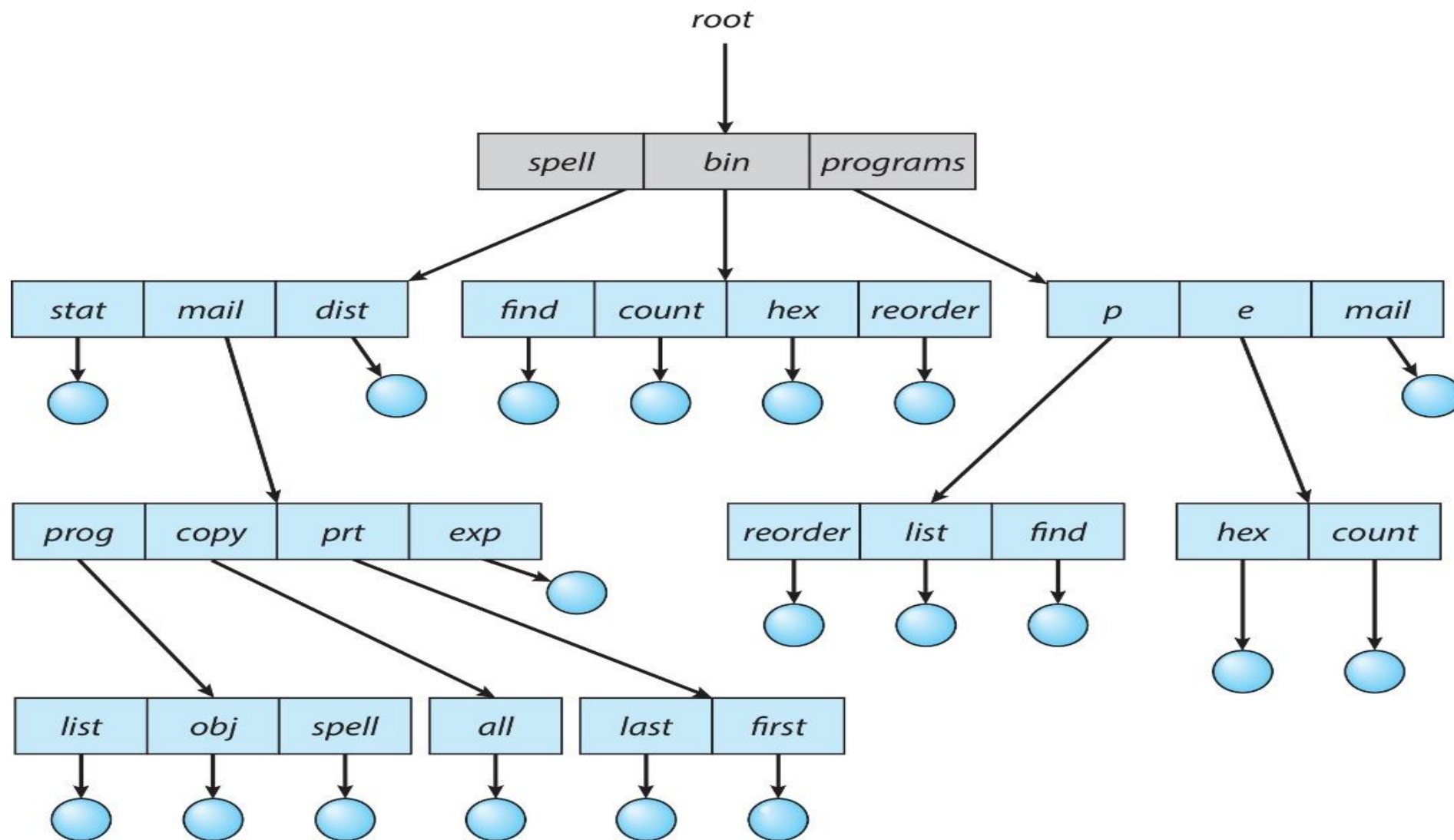
- Path name
- Can have the same file name for different user
- Efficient searching
- No grouping capability







# Tree-Structured Directories (树型目录)





# Tree-Structured Directories (Cont)

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- Efficient searching
- Grouping Capability
- Current directory (working directory)
  - `cd /spell/mail/prog`
  - `type list`





# Tree-Structured Directories (Cont)

- **Absolute** or **relative** path name 绝对路径、相对路径
- Creating a new file is done in current directory
- Delete a file

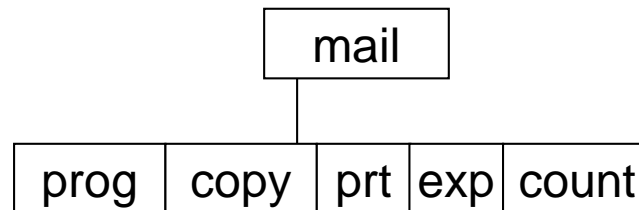
`rm <file-name>`

- Creating a new subdirectory is done in current directory

`mkdir <dir-name>`

Example: if in current directory `/mail`

`mkdir count`



Deleting “mail”  $\Rightarrow$  deleting the entire subtree rooted by “mail”





- 
- The diagram illustrates a hierarchical tree structure. At the top is a node labeled *root* with an arrow pointing to a box divided into two sections: *dict* and *spell*. From the *dict* section, an arrow points to a box divided into four sections: *list*, *all*, *w*, and *count*. From the *spell* section, an arrow points to a box divided into three sections: *count*, *words*, and *list*. Below the *dict* box, there are three blue circles. Arrows point from the *list*, *all*, and *w* sections of the *dict* box to these circles. Below the *spell* box, there are three blue circles. Arrows point from the *count*, *words*, and *list* sections of the *spell* box to these circles. Additionally, an arrow points from the *count* section of the *dict* box to a blue circle, and another arrow points from the *count* section of the *spell* box to a blue circle. At the bottom, there is a box divided into three sections: *list*, *rade*, and *w7*. Arrows point from the *list*, *rade*, and *w7* sections of this box to three blue circles. Arrows also point from the *list* and *all* sections of the *dict* box to the *list* and *rade* sections of the bottom box, respectively.





# Acyclic-Graph Directories (Cont.)

- Two different names (aliasing)
- If *dict* deletes *all*  $\Rightarrow$  dangling pointer

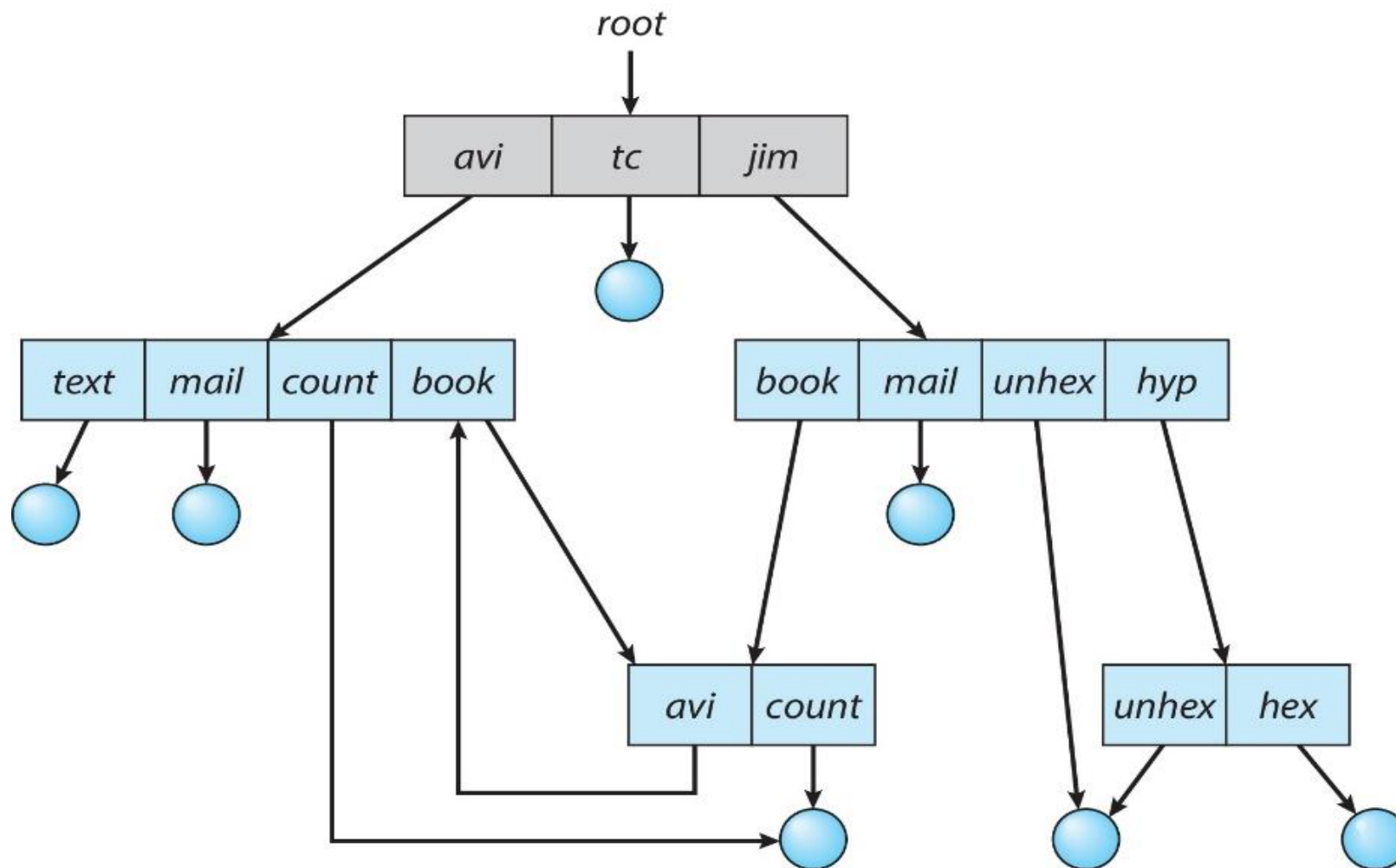
Solutions:

- Backpointers (逆向指针), so we can delete all pointers  
Variable size records a problem
- Backpointers using a daisy chain organization
- Entry-hold-count solution (表项保留计数)
  - ▶ **unix、linux:hard links**
- New directory entry type
  - **Link** – another name (pointer) to an existing file
  - **Resolve the link** – follow pointer to locate the file





# General Graph Directory (普通图结构目录)





# General Graph Directory (Cont.)

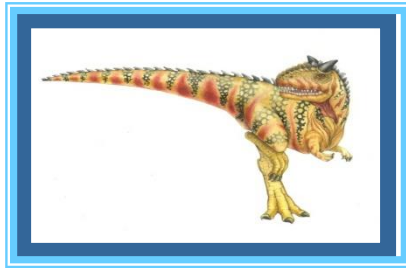
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- How do we guarantee no cycles?
  - Allow only links to file not subdirectories
  - Garbage collection
  - Every time a new link is added use a cycle detection algorithm (环检测算法) to determine whether it is OK



# 10.4 File System Mounting

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# File System Mounting

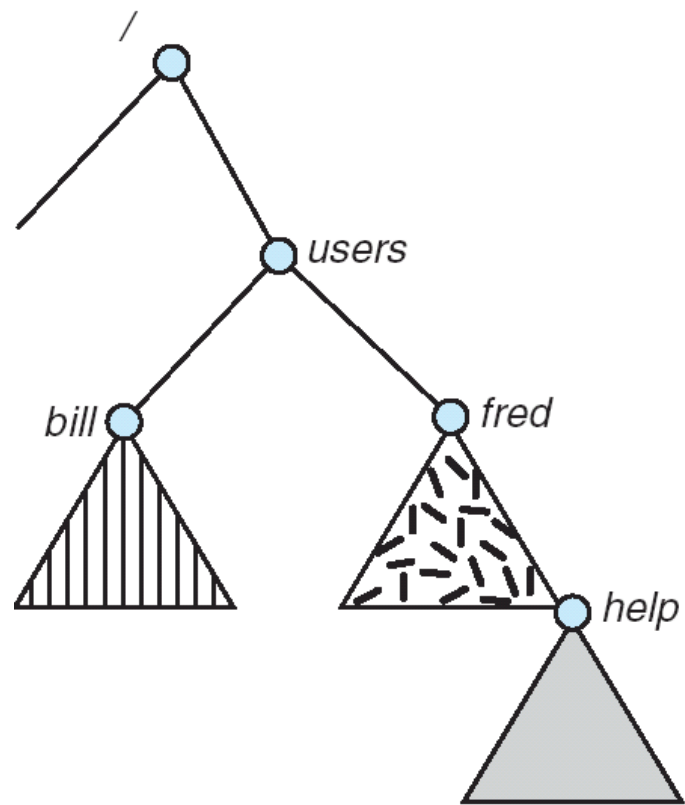
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- A file system must be **mounted** before it can be accessed
- A unmounted file system (i.e. Fig. 11-11(b)) is mounted at a **mount point**

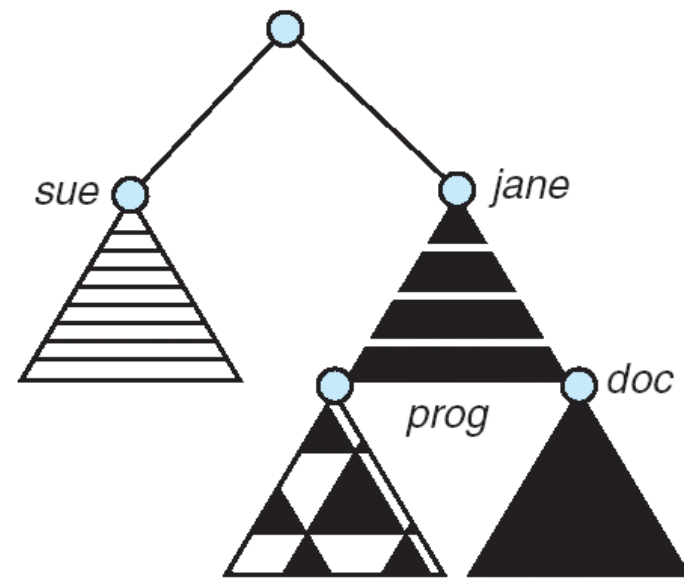




# (a) Existing. (b) Unmounted Partition



(a)

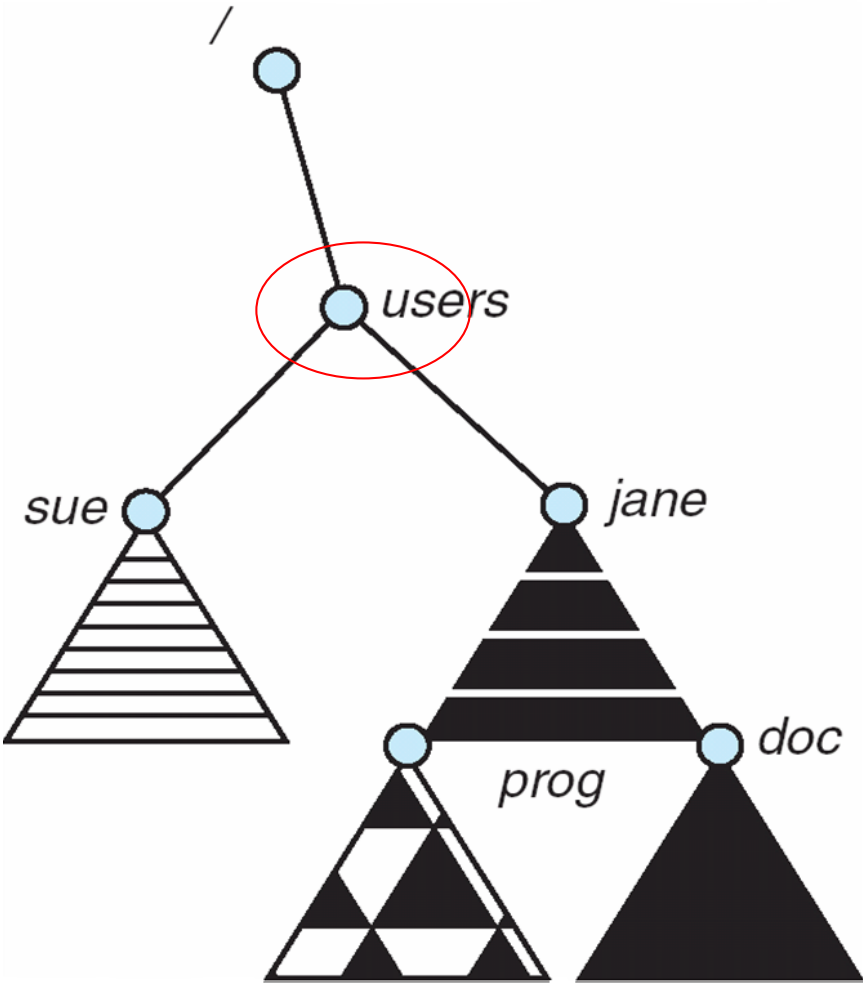


(b)



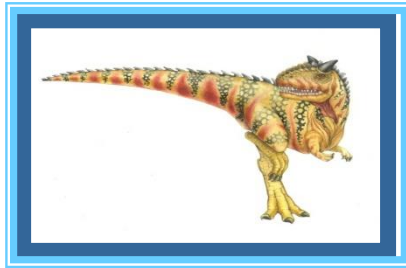


# Mount Point



# 10.5 File Sharing

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# File Sharing

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- Sharing of files on multi-user systems is desirable
- Sharing may be done through a **protection** scheme
- On distributed systems, files may be shared across a network
- **Network File System** (NFS) is a common distributed file-sharing method





# File Sharing – Multiple Users

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- **User IDs** identify users, allowing permissions and protections to be per-user
- **Group IDs** allow users to be in groups, permitting group access rights





# File Sharing – Remote File Systems

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- Uses networking to allow file system access between systems
  - Manually via programs like FTP
  - Automatically, seamlessly using **distributed file systems**
  - Semi automatically via the **world wide web**
- **Client-server** model allows clients to mount remote file systems from servers
  - Server can serve multiple clients
  - Client and user-on-client identification is insecure or complicated
  - **NFS** is standard UNIX client-server file sharing protocol
  - **CIFS** is standard Windows protocol
  - Standard operating system file calls are translated into remote calls
- Distributed Information Systems (**distributed naming services**) such as LDAP, DNS, NIS, Active Directory implement unified access to information needed for remote computing





# File Sharing – Failure Modes

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- Remote file systems add new failure modes, due to network failure, server failure
- Recovery from failure can involve state information about status of each remote request
- Stateless protocols such as NFS include all information in each request, allowing easy recovery but less security







# File Sharing – Consistency Semantics

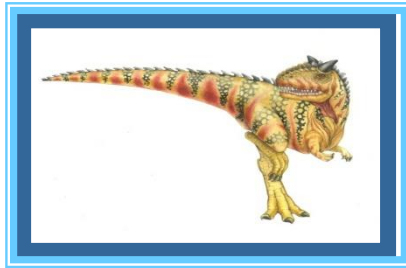
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- **Consistency semantics** specify how multiple users are to access a shared file simultaneously
  - Similar to Ch 7 process synchronization algorithms
    - ▶ Tend to be less complex due to disk I/O and network latency (for remote file systems)
  - Andrew File System (AFS) implemented complex remote file sharing semantics
  - Unix file system (UFS) implements:
    - ▶ Writes to an open file visible immediately to other users of the same open file
    - ▶ Sharing file pointer to allow multiple users to read and write concurrently
  - AFS has session semantics
    - ▶ Writes only visible to sessions starting after the file is closed



# 10.6 Protection

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# Protection

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- File owner/creator should be able to control:
  - what can be done
  - by whom
  
- Types of access
  - **Read**
  - **Write**
  - **Execute**
  - **Append**
  - **Delete**
  - **List**





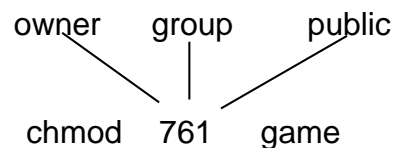
# Access Lists and Groups

- Mode of access: read, write, execute
- **Three classes of users**

**Unix, Linux**

a) <b>owner access</b>	7	⇒	RWX 1 1 1
b) <b>group access</b>	6	⇒	RWX 1 1 0
c) <b>public access</b>	1	⇒	RWX 0 0 1

- Ask manager to create a group (unique name), say G, and add some users to the group.
- For a particular file (say *game*) or subdirectory, define an appropriate access.



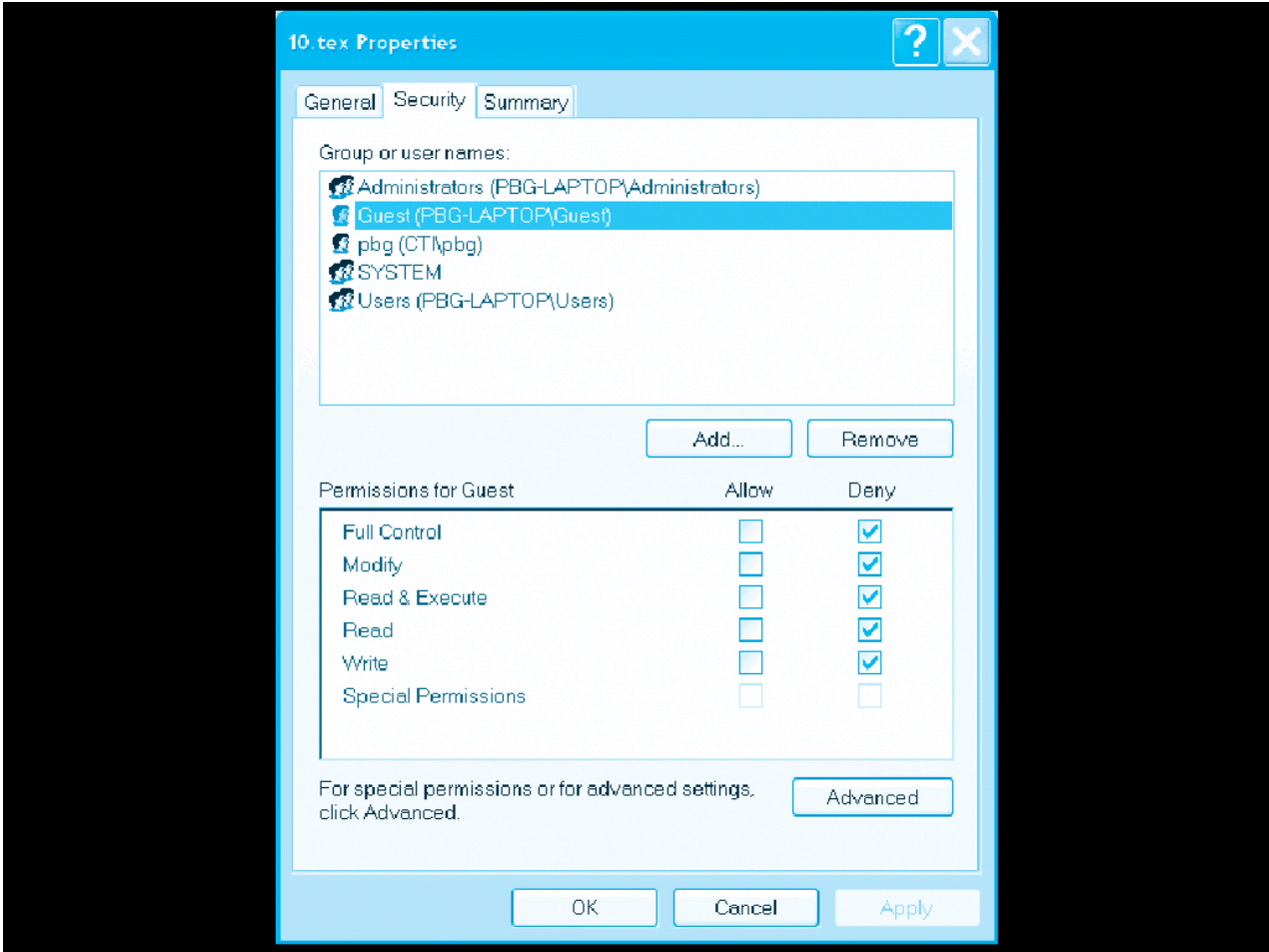
Attach a group to a file:

chgrp G game





# Windows XP Access-control List Management





# A Sample UNIX Directory Listing

-rw-rw-r--	1	pbg	staff	31200	Sep 3 08:30	intro.ps
drwx-----	5	pbg	staff	512	Jul 8 09:33	private/
drwxrwxr-x	2	pbg	staff	512	Jul 8 09:35	doc/
drwxrwx---	2	pbg	student	512	Aug 3 14:13	student-proj/
-rw-r--r--	1	pbg	staff	9423	Feb 24 2003	program.c
-rwxr-xr-x	1	pbg	staff	20471	Feb 24 2003	program
drwx--x--x	4	pbg	faculty	512	Jul 31 10:31	lib/
drwx-----	3	pbg	staff	1024	Aug 29 06:52	mail/
drwxrwxrwx	3	pbg	staff	512	Jul 8 09:35	test/





# Homework

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## ■ 学在浙大





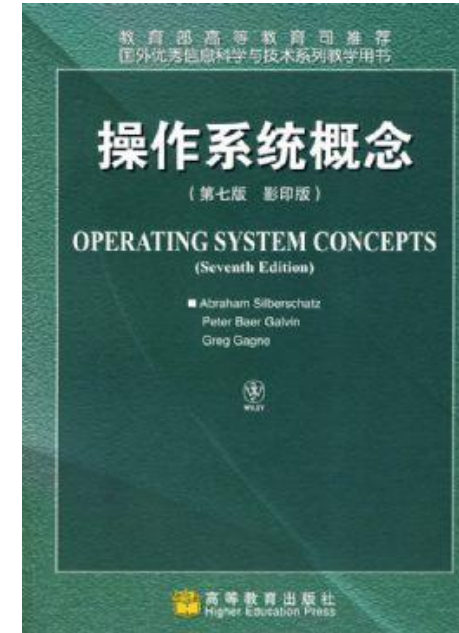
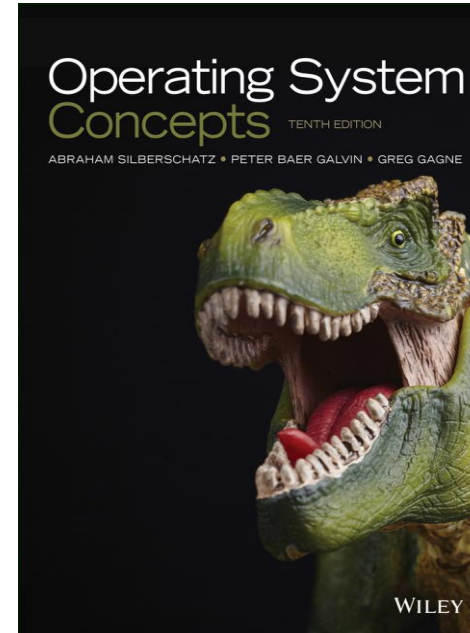
# Reading Assignments

## ■ Read for this week:

- **Chapters 10**  
of the text book:

## ■ Read for next week:

- **Chapters 11**  
of the text book:





# End of Chapter 10

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