federated_learning_basic_concepts_tensorflow

June 19, 2020

1 Federated learning: Simple experiment using TensorFlow learning model

In this notebook we provide a simple example of how to make an experiment of a federated environment with the help of this framework. We are going to use a popular dataset to start the experimentation in a federated environment. The framework provides some functions to load the Emnist Digits dataset.

```
[1]: import shfl

database = shfl.data_base.Emnist()
   train_data, train_labels, test_data, test_labels = database.load_data()
```

Let's inspect some properties of the loaded data.

```
[2]: print(len(train_data))
  print(len(test_data))
  print(type(train_data[0]))
  train_data[0].shape
```

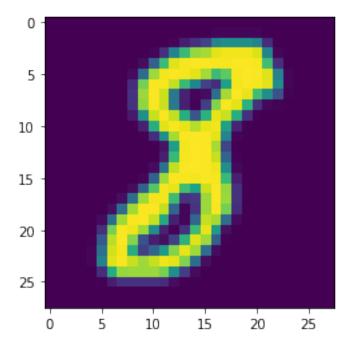
```
240000
40000
<class 'numpy.ndarray'>
```

[2]: (28, 28)

So, as we have seen, our dataset is composed of a set of matrices of 28 by 28. Before starting with the federated scenario, we can take a look at a sample in the training data.

```
[3]: import matplotlib.pyplot as plt plt.imshow(train_data[0])
```

[3]: <matplotlib.image.AxesImage at 0x138164c10>



We are going to simulate a federated learning scenario with a set of client nodes containing private data, and a central server that will be responsible to coordinate the different clients. But, first of all, we have to simulate the data contained in every client. In order to do that, we are going to use the previously loaded dataset. The assumption in this example will be the data is distributed as a set of independent and identically distributed random variables, having every node approximately the same amount of data. There are a set of different possibilities in order to distribute the data. The distribution of the data is one of the factors that could impact more a federated algorithm. Therefore, the framework contains the implementation of some of the most common distributions that allow you to experiment different situations easily. In Federated Sampling you can dig into the options that the framework provides at the moment.

```
[4]: iid_distribution = shfl.data_distribution.IidDataDistribution(database)
federated_data, test_data, test_label = iid_distribution.

—get_federated_data(num_nodes=20, percent=10)
```

That's it! We have created federated data from the Emnist dataset using 20 nodes and 10 percent of the available data. This data is distributed to a set of data nodes in the form of private data. Let's learn a little more about the federated data.

```
[5]: print(type(federated_data))
    print(federated_data.num_nodes())
    federated_data[0].private_data
```

<class 'shfl.private.federated_operation.FederatedData'>
20

Node private data, you can see the data for debug purposes but the data remains in the node

```
<class 'dict'>
{'5236620624': <shfl.private.data.LabeledData object at 0x13a7e6250>}
```

As we can see, private data in a node is not accesible directly but the framework provides mechanisms to use this data in a machine learning model. A federated learning algorithm is defined by a machine learning model locally deployed in each node that learns from the respective node's private data and an aggregating mechanism to aggregate the different model parameters uploaded by the client nodes to a central node. In this example we will use a deep learning model using keras to build it. The framework provides classes to allow using Tensorflow and Keras (see Basic Concepts) models into a federated learning scenario, your job is only to create a function acting as model builder. Moreover, the framework provides classes to allow using pretrained Tensorflow and Keras models (see Basic Concepts Pretrained Models). In this example build a Tensorflow learning model.

```
[6]: import tensorflow as tf
     #If you want execute in GPU, you must uncomment this two lines.
     # physical devices = tf.confiq.experimental.list physical devices('GPU')
     # tf.confiq.experimental.set_memory_growth(physical_devices[0], True)
     class CustomDense(tf.keras.layers.Layer):
         Implementation of Linear layer
         Attributes
         units: int
             number of units for the output
         w : matrix
             Weights from the layer
         b: array
             Bias from the layer
         def __init__(self, units=32, **kwargs):
             super(CustomDense, self).__init__(**kwargs)
             self._units = units
         def get_config(self):
             config = {'units': self._units}
             base_config = super(CustomDense, self).get_config()
             return dict(list(base_config.items()) + list(config.items()))
         def build(self, input_shape):
             11 11 11
             Method for build the params
             Parameters
```

```
input_shape: list
            size of inputs
        self._w = self.add_weight(shape=(input_shape[-1], self._units),
                                  initializer='random_normal',
                                  trainable=True)
       self._b = self.add_weight(shape=(self._units,),
                                  initializer='random normal',
                                  trainable=True)
   def call(self, inputs):
       Apply linear layer
       Parameters
        _____
        inputs: matrix
            Input data
       Return
        result : matrix
            the result of linear transformation of the data
       return tf.nn.bias_add(tf.matmul(inputs, self._w), self._b)
def model_builder():
   inputs = tf.keras.Input(shape=(28, 28, 1))
   x = tf.keras.layers.Conv2D(32, kernel_size=(3, 3), padding='same',_
→activation='relu', strides=1)(inputs)
   x = tf.keras.layers.MaxPooling2D(pool size=2, strides=2, padding='valid')(x)
   x = tf.keras.layers.Dropout(0.4)(x)
   x = tf.keras.layers.Conv2D(32, kernel_size=(3, 3), padding='same',_
→activation='relu', strides=1)(x)
   x = tf.keras.layers.MaxPooling2D(pool_size=2, strides=2, padding='valid')(x)
   x = tf.keras.layers.Flatten()(x)
   x = CustomDense(128)(x)
   x = tf.nn.relu(x)
   x = tf.keras.layers.Dropout(0.1)(x)
   x = CustomDense(64)(x)
   x = tf.nn.relu(x)
   x = CustomDense(10)(x)
   outputs = tf.nn.softmax(x)
```

```
model = tf.keras.Model(inputs=inputs, outputs=outputs)

model.compile(optimizer="rmsprop", loss="categorical_crossentropy",

→metrics=["accuracy"])

return shfl.model.DeepLearningModel(model)
```

Now, the only piece missing is the aggregation operator. Nevertheless, the framework provides some aggregation operators that we can use. In the following piece of code we define the federated aggregation mechanism. Moreover, we define the federated government based on the keras learning model, the federated data and the aggregation mechanism.

```
[7]: aggregator = shfl.federated_aggregator.FedAvgAggregator()
federated_government = shfl.federated_government.

→FederatedGovernment(model_builder, federated_data, aggregator)
```

If you want to see all the aggregation operators you can follow the following notebook Federated Aggregation Operators. Before running the algorithm, we want to apply a transformation to the data. A good practice is to define a federated operation that will ensure that the transformation is applied to the federated data in all the client nodes. We want to reshape the data, so we define the following Federated Transformation.

We are now ready to execute our federated learning algorithm.

```
[9]: test_data = np.reshape(test_data, (test_data.shape[0], test_data.shape[1],__

test_data.shape[2],1))

test_data = test_data.astype(np.float32)

federated_government.run_rounds(3, test_data, test_label)
```

Accuracy round 0

```
Test performance client <shfl.private.federated_operation.FederatedDataNode
object at 0x137e4ca50>: [1.0768412351608276, 0.6944249868392944]
Test performance client <shfl.private.federated_operation.FederatedDataNode
object at 0x13a7122d0>: [1.2559831142425537, 0.6164500117301941]
Test performance client <shfl.private.federated operation.FederatedDataNode
object at 0x13a7e6f10>: [1.167155146598816, 0.6658499836921692]
Test performance client <shfl.private.federated operation.FederatedDataNode
object at 0x13a7e68d0>: [1.2307485342025757, 0.6733999848365784]
Test performance client <shfl.private.federated_operation.FederatedDataNode
object at 0x13a7e6b10>: [1.320872187614441, 0.5383250117301941]
Test performance client <shfl.private.federated_operation.FederatedDataNode
object at 0x13a7e6890>: [1.26669180393219, 0.6584500074386597]
Test performance client <shfl.private.federated_operation.FederatedDataNode
object at 0x13a7e6210>: [1.3931807279586792, 0.4885750114917755]
Test performance client <shfl.private.federated_operation.FederatedDataNode
object at 0x13a7e0790>: [1.3565200567245483, 0.5173749923706055]
Test performance client <shfl.private.federated_operation.FederatedDataNode
object at 0x13a7e0710>: [1.2275296449661255, 0.6538249850273132]
Test performance client <shfl.private.federated_operation.FederatedDataNode
object at 0x13a7e0bd0>: [1.226237416267395, 0.668874979019165]
Test performance client <shfl.private.federated operation.FederatedDataNode
object at 0x13a7e0950>: [1.0460233688354492, 0.720924973487854]
Test performance client <shfl.private.federated_operation.FederatedDataNode
object at 0x13a7e0dd0>: [1.1542596817016602, 0.6723999977111816]
Test performance client <shfl.private.federated_operation.FederatedDataNode
object at 0x13a7e0290>: [1.1599053144454956, 0.6445500254631042]
Test performance client <shfl.private.federated_operation.FederatedDataNode
object at 0x13a7e0450>: [1.0768722295761108, 0.7059249877929688]
Test performance client <shfl.private.federated_operation.FederatedDataNode
object at 0x13a7d3210>: [1.2249126434326172, 0.5887249708175659]
Test performance client <shfl.private.federated_operation.FederatedDataNode
object at 0x13a7d3810>: [1.177198886871338, 0.6947500109672546]
Test performance client <shfl.private.federated_operation.FederatedDataNode
object at 0x13a7d3b50>: [1.1657806634902954, 0.6798999905586243]
Test performance client <shfl.private.federated operation.FederatedDataNode
object at 0x13a7d33d0>: [1.2062650918960571, 0.6820999979972839]
Test performance client <shfl.private.federated operation.FederatedDataNode
object at 0x13a7d3410>: [1.2885576486587524, 0.55035001039505]
Test performance client <shfl.private.federated_operation.FederatedDataNode
object at 0x13a7d3590>: [1.0974584817886353, 0.7188500165939331]
Global model test performance : [1.5159721374511719, 0.5956249833106995]
```

Accuracy round 1

Test performance client <shfl.private.federated_operation.FederatedDataNode object at 0x137e4ca50>: [0.71524977684021, 0.7990000247955322]
Test performance client <shfl.private.federated_operation.FederatedDataNode

object at 0x13a7122d0>: [0.7467036843299866, 0.7678499817848206] Test performance client <shfl.private.federated_operation.FederatedDataNode object at 0x13a7e6f10>: [0.7456846833229065, 0.8038250207901001] Test performance client <shfl.private.federated_operation.FederatedDataNode object at 0x13a7e68d0>: [0.721948504447937, 0.8134750127792358] Test performance client <shfl.private.federated operation.FederatedDataNode object at 0x13a7e6b10>: [0.8426579236984253, 0.740149974822998] Test performance client <shfl.private.federated_operation.FederatedDataNode object at 0x13a7e6890>: [0.9093716740608215, 0.7256249785423279] Test performance client <shfl.private.federated_operation.FederatedDataNode object at 0x13a7e6210>: [0.7244365811347961, 0.8018749952316284] Test performance client <shfl.private.federated operation.FederatedDataNode object at 0x13a7e0790>: [0.8735634684562683, 0.7050250172615051] Test performance client <shfl.private.federated_operation.FederatedDataNode object at 0x13a7e0710>: [0.8480725288391113, 0.7749249935150146] Test performance client <shfl.private.federated_operation.FederatedDataNode object at 0x13a7e0bd0>: [0.730074942111969, 0.7889249920845032] Test performance client <shfl.private.federated_operation.FederatedDataNode object at 0x13a7e0950>: [0.7680031657218933, 0.7632750272750854] Test performance client <shfl.private.federated operation.FederatedDataNode object at 0x13a7e0dd0>: [0.6905953884124756, 0.8021500110626221] Test performance client <shfl.private.federated operation.FederatedDataNode object at 0x13a7e0290>: [0.7158480882644653, 0.7922499775886536] Test performance client <shfl.private.federated_operation.FederatedDataNode object at 0x13a7e0450>: [0.8012186288833618, 0.7504249811172485] Test performance client <shfl.private.federated operation.FederatedDataNode object at 0x13a7d3210>: [0.7931580543518066, 0.7680249810218811] Test performance client <shfl.private.federated_operation.FederatedDataNode object at 0x13a7d3810>: [0.818893313407898, 0.7477999925613403] Test performance client <shfl.private.federated_operation.FederatedDataNode object at 0x13a7d3b50>: [0.7938649654388428, 0.7627999782562256] Test performance client <shfl.private.federated_operation.FederatedDataNode object at 0x13a7d33d0>: [0.8222432732582092, 0.7488250136375427] Test performance client <shfl.private.federated_operation.FederatedDataNode object at 0x13a7d3410>: [0.904008686542511, 0.678725004196167] Test performance client <shfl.private.federated operation.FederatedDataNode object at 0x13a7d3590>: [0.7932532429695129, 0.7632750272750854] Global model test performance: [0.7331398725509644, 0.847599983215332]

Accuracy round 2

Test performance client <shfl.private.federated_operation.FederatedDataNode object at 0x137e4ca50>: [0.4673090875148773, 0.8692499995231628]

Test performance client <shfl.private.federated_operation.FederatedDataNode object at 0x13a7122do>: [0.41076937317848206, 0.8925250172615051]

Test performance client <shfl.private.federated_operation.FederatedDataNode object at 0x13a7e6f10>: [0.46576425433158875, 0.8778499960899353]

```
Test performance client <shfl.private.federated_operation.FederatedDataNode
object at 0x13a7e68d0>: [0.5451595783233643, 0.8409500122070312]
Test performance client <shfl.private.federated_operation.FederatedDataNode
object at 0x13a7e6b10>: [0.6478357911109924, 0.7963749766349792]
Test performance client <shfl.private.federated operation.FederatedDataNode
object at 0x13a7e6890>: [0.514679491519928, 0.8447750210762024]
Test performance client <shfl.private.federated operation.FederatedDataNode
object at 0x13a7e6210>: [0.6481662392616272, 0.7831249833106995]
Test performance client <shfl.private.federated_operation.FederatedDataNode
object at 0x13a7e0790>: [0.4897040128707886, 0.8379250168800354]
Test performance client <shfl.private.federated operation.FederatedDataNode
object at 0x13a7e0710>: [0.44994619488716125, 0.871150016784668]
Test performance client <shfl.private.federated_operation.FederatedDataNode
object at 0x13a7e0bd0>: [0.551307737827301, 0.8270750045776367]
Test performance client <shfl.private.federated_operation.FederatedDataNode
object at 0x13a7e0950>: [0.4845878481864929, 0.8665500283241272]
Test performance client <shfl.private.federated_operation.FederatedDataNode
object at 0x13a7e0dd0>: [0.509269118309021, 0.8349000215530396]
Test performance client <shfl.private.federated_operation.FederatedDataNode
object at 0x13a7e0290>: [0.465284138917923, 0.861549973487854]
Test performance client <shfl.private.federated_operation.FederatedDataNode
object at 0x13a7e0450>: [0.4464775323867798, 0.8849250078201294]
Test performance client <shfl.private.federated_operation.FederatedDataNode
object at 0x13a7d3210>: [0.6808740496635437, 0.7731500267982483]
Test performance client <shfl.private.federated_operation.FederatedDataNode
object at 0x13a7d3810>: [0.5046482086181641, 0.868399977684021]
Test performance client <shfl.private.federated operation.FederatedDataNode
object at 0x13a7d3b50>: [0.5094549655914307, 0.8577499985694885]
Test performance client <shfl.private.federated_operation.FederatedDataNode
object at 0x13a7d33d0>: [0.6189313530921936, 0.8119999766349792]
Test performance client <shfl.private.federated_operation.FederatedDataNode
object at 0x13a7d3410>: [0.5572130084037781, 0.8195499777793884]
Test performance client <shfl.private.federated_operation.FederatedDataNode
object at 0x13a7d3590>: [0.4980951249599457, 0.8610249757766724]
Global model test performance : [0.43105313181877136, 0.8939999938011169]
```

[]: