DTU Compute

14-08-2023 Michael R. Hansen

Mini-project: Computing with Polynomials

This exercise concerns the development of a library for computing with polynomials of the following form:

$$P(x) = a_0 + a_1 \cdot x + \dots + a_n \cdot x^n$$

where the coefficients a_0, \ldots, a_n are integers, and x is ranging over integers. It can be completed during the first half of the semester. The aims are:

- To exercise functional programming concepts.
- To tell a coherent story, where several aspects work together in the creation of a high-quality piece of software.
- To link the story to topics from "neighbouring courses".

The themes of the various parts are:

- Part 1 focusses on *construction* of recursive list functions.
- Part 2 focusses on functional decomposition. In order to solve a more complicated problem, invent suitable auxiliary functions (so-called helper functions) that make the task easier.
- Part 3 focusses on analysis of your programs, where you should inspect whether your programs satisfy a so-called *invariant property*, where only certain representations of polynomials are meaningful.
- Part 4 focusses on *disjoint unions* (or *tagged values*) where you, in this exercise, should make a type for degrees of polynomials with associated operations.
- In Part 5 we will have a look at *program correctness*, that is, the implemented functions should satisfy a collection of *properties*. The strong mathematical foundation of functional programming languages makes it possible, within a course like this, to formally prove correctness of programs. We will, however, not go for mathematical correctness proofs in this course.

Instead, we will use FsCheck to do property-based testing. In property-based testing you make programs for the correctness properties and FsCheck can then automatically test whether the properties hold on samples with randomly generated values. Thus, in property-based testing you construct programs (not test cases) and in this part you should apply concepts of functional programming, especially the concept of higher-order functions, to formulate correctness properties in a succinct manner.

• In Part 6, a program library Polynomial for polynomials is constructed in the form of an abstract data type, where the internal representation of polynomials is hidden from a user of the library. This library could be used in F# and in C# programs, for example. The C# program in the Appendix A produces the below presented output. This illustrates interoperability on the .Net platform:

```
p1(x) is 1 - 3x^2

p2(x) is -2x^2

p3(x) is 1 - 5x^2 + 6x^4

p4(x) is 0

Degree of p3(x) is 4

Degree of p4(x) is -infinity

Coefficients of p3(x) are 1 0 -5 0 6

p3'(x) is -10x + 24x^3

Coefficients of p1(p2(x)) are 1 0 0 0 -12
```

In Appendix B you can see a stand-alone F# program that, using the library, produces the same output.

By completing all these parts you will exploit many aspects of functional programming. Furthermore, you will see concepts from prerequisite courses being used. For example, the concept of polynomials obviously comes from mathematics, correctness and property-based testing exploit concepts from discrete mathematics (which should be taken at least simultaneously with this course) and programming techniques, program correctness, use of invariants and property-based testing are, of course, relevant to computer science.

Part 1: Recursive list functions

We represent the polynomial $P(x) = a_0 + a_1 \cdot x + ... + a_n \cdot x^n$ with integer coefficients $a_0, a_1, ..., a_n$ by the list $[a_0; a_1; ...; a_n]$. For instance, the polynomial $2 + x^3$ is represented by the list [2; 0; 0; 1]. We capture this by the type declaration (actually type abbreviation):

```
type Poly = int list
```

We shall in this part implement the following operations on polynomials:

```
add: Poly -> Poly -> Poly
mulC: int -> Poly -> Poly
sub: Poly -> Poly -> Poly
mulX: Poly -> Poly -> Poly
mul: Poly -> Poly -> Poly
eval: int -> Poly -> int
```

The function add: Poly -> Poly -> Poly

Addition of two polynomials represented by lists is performed by element-wise additions. For example, $(1+2x) + (3+4x+5x^2+6x^3) = 4+6x+5x^2+6x^3$. Notice that (1+2x) is represented by the list [1;2] and $(3+4x+5x^2+6x^3)$ is represented by [3;4;5;6]. Applying add on these two lists should give

add
$$[1;2]$$
 $[3;4;5;6]$ = $[4;6;5;6]$

The function mulC: int -> Poly -> Poly

The function mulC should implement the multiplication of a polynomial by a constant. For example, $2 \cdot (2 + x^3) = 4 + 2x^3$ and therefore mulC 2 [2; 0; 0; 1] = [4; 0; 0; 2].

The subtraction function sub: Poly -> Poly -> Poly

Subtraction of two polynomials represented by lists is performed by element-wise subtractions. For example, $(1+2x) - (3+4x+5x^2+6x^3) = -2-2x-5x^2-6x^3$.

The function mulX: Poly -> Poly

The multiplication function mulX should implement the multiplication of a polynomial by x. For example, $x \cdot (2 + x^3) = 2x + x^4$ and therefore mulX [2; 0; 0; 1] = [0; 2; 0; 0; 1].

The multiplication function mul: Poly -> Poly -> Poly

The following properties are useful when defining multiplication:

$$0 \cdot Q(x) = 0 (a_0 + a_1 \cdot x + \dots + a_n \cdot x^n) \cdot Q(x) = a_0 \cdot Q(x) + x \cdot ((a_1 + a_2 \cdot x + \dots + a_n \cdot x^{n-1}) \cdot Q(x))$$

For example, $(2+3x+x^3) \cdot (1+2x+3x^2) = 2+7x+12x^2+10x^3+2x^4+3x^5$.

The function eval: int -> Poly -> int

If P(x) is a polynomial and a is an integer, then the eval function should compute the integer value P(a).

For example, if $P(x) = 2 + 3x + x^3$ then $P(2) = 2 + 3 \cdot 2 + 2^3 = 16$, and, therefore, eval 2[2;3;0;1] = 16.

Part 2: Functional decomposition

A simple technique when solving a complex problem is

- to partition it into smaller well-defined parts and, thereafter,
- to compose these parts to solve the original problem.

The main goal is that

a program is constructed by combining simple well-understood pieces

This is a general technique that is a natural ingredient in functional programming.

Observe that multiplication of polynomials from Part 1 is implemented by composition of operations for addition, multiplication by a constant and multiplication by x. In order words, the problem of implementing multiplication is decomposed into implementation problems for addition, etc.

When the decomposition is given, the original problem may be solved easily. But it may actually be hard to find a suitable decomposition of a complex problem.

This theme is started up in this part, where you should invent suitable auxiliary functions (helper functions) that makes programming of the following functions easy:

```
isLegal: int list     -> bool
ofList: int list     -> Poly
toString: Poly     -> string
derivative: Poly     -> Poly
compose: Poly -> Poly     -> Poly
```

Following the problem formulation of Part 1, there is no *unique* representation of a polynomial. For example, $1 + 2x - 3x^2$ may be represented by the lists [1;2;-3], [1;2;-3;0] and [1;2;-3;0;0] and infinitely many others. This means that F#' built-in equality operator on lists cannot be used to compare polynomials (with the proposed representation).

We shall now prepare for a unique representation to be used in Part 3 and later parts, where we consider an integer list ns to be a legal representation of a polynomial only if ns = [] or if the last element of ns is not 0.

For example: [1;2;-3] is the only legal representation of $1 + 2x - 3x^2$ and [] is the only legal representation of the polynomial 0.

In the above types for the functions isLegal, ..., compose, we use the type Poly to indicate that we only want to consider values that are legal representations of polynomials. In Parts 5 and 6, we shall see how a distinction between integer lists and polynomials is used and can be enforced.

The function isLegal: int list -> bool

The function isLegal tests whether an integer lists is a legal representation of a polynomial.

The function ofList: int list -> Poly

Any integer list can be turned into a legal representation of a polynomial by removal of 0's occurring at the end of the list. The function ofList should do this.

The function toString: Poly -> string

Choose an appealing textual representation of a polynomial and declare an associated toString function. You may have a look at the output presented on Page 2.

The function derivative: Poly -> Poly

For a polynomial $P(x) = a_0 + a_1 \cdot x + a_2 \cdot x^2 + ... + a_n \cdot x^n$, we recall that the derivative is

$$P'(x) = a_1 + 2 \cdot a_2 \cdot x + \dots + n \cdot a_n \cdot x^{n-1}$$

The function compose: Poly -> Poly -> Poly

The composition of polynomials P(x) and Q(x) is defined by: $(P \circ Q)(x) = P(Q(x))$.

For example, if $P(x) = 2 + 4x^3$ and $Q(x) = 3x + 2x^2$, then

$$(P \circ Q)(x) = P(Q(x)) = 2 + 4(3x + 2x^{2})^{3} = 2 + 108x^{3} + 216x^{4} + 144x^{5} + 32x^{6}$$

Therefore, compose [2; 0; 0; 4] [0; 3; 2] should give [2; 0; 0; 108; 216; 144; 32].

Remark: It is possible to define a function computing the integral of a polynomial. This, however, requires division of numbers and for that it would be natural to base the declarations on floating point numbers rather than on integers.

However, floating point numbers (type float) only provide approximations of the real numbers, and these approximations will cause many extra technicalities when we consider property-based testing in Part 5. These technicalities are not particularly related to functional programming, so we stick to the integer-based types in this exercise.

5

Part 3: Preserving an invariant

The function isLegal describes a subset of the values of type Poly, namely the ones we consider legal (or meaningful or well-formed) representations of polynomials.

This property must be preserved by the operations on polynomials. For example, when declaring the addition function $\operatorname{add} p_1 p_2$ it can be assumed that the arguments p_1 and p_2 are legal representations of polynomials, and you are obliged to declare the function so that the result is legal as well. We say that $\operatorname{add} preserves the invariant is Legal when this property holds. The other functions on polynomials should also preserve this invariant.$

This describes a typical situation that may pop up in different contexts. For example, in object-oriented programming, the objects of a class may be subject to some condition, a class invariant, and the methods of the class must preserve this invariant.

- Inspect each function declaration from Part 1 and decide whether it preserves the invariant isLegal.
- If a function declaration does not preserve the invariant, then revise the declaration.
- You may also consider the functions from Part 2.

Part 4: Tagged values – Degrees of polynomials

The degree of a polynomial $P(x) = a_0 + a_1 \cdot x + ... + a_n \cdot x^n$ is n when $a_n \neq 0$. The polynomial 0 is a special case and this polynomial has $-\infty$ as degree. For example:

- polynomial 0 has degree $-\infty$,
- polynomial 5 has degree 0, and
- polynomial $2 + x^3$ has degree 3.

Declare a type Degree having two kinds of values. The value MinusInf corresponds to the degree $-\infty$ and Fin n, where n is a (non-negative) integer, corresponds to a "normal" degree n of a polynomial.

Give a declaration for the function degree: Poly -> Degree computing degrees of polynomials. For example, degree [] = MinusInf, degree [5] = Fin 0 and degree [2;0;0;1] = Fin 3.

If the constructor MinusInf occurs before the constructor Fin in the declaration of Degree, then the built-in ordering becomes natural for degrees, where MinusInf $\leq d$ for any degree d, and Fin $n_1 \leq n_2$.

- Test on a few examples that the built-in operator <= works as intended for degrees and that the built-in max function does as well.
- Declare an F# function addD: Degree -> Degree adding degrees. For finite degrees it boils down to adding numbers. For example, addD (Fin 7) (fin 8) = Fin 15. Furthermore, adding something to minus infinity gives minus infinity.

Part 5: Correctness - property-based testing

In this part we shall use property-based testing (in the form of FsCheck) to test three kinds of properties: the invariant properties considered in Part 3, well-known algebraic properties of polynomials (e.g. addition is commutative) and structure preserving properties. By completion of this part you will gain confidence in the correctness of your programs.

Preserving an invariant

Consider as an example the add function. It should satisfy the property:

$$isLegal(xs) \land isLegal(ys) \implies isLegal(add xs ys)$$
 (1)

for all integer lists xs and ys.

One could strive for mathematical proofs of properties like (1). We will, however, instead go for *property-based testing*. Conjunction and implication are easily expressed in F# and a predicate implementing (1) could be:

However, when using FsCheck as follows Check.Quick addInvSimple a majority of the generated integer lists will violate isLegal and hence a majority of the generated tests will be useless.

To circumvent this problem, an FsCheck-generator: smallPolyGen, for legal representations of polynomials having small degrees and coefficients, has been developed. Using this generator, the invariant property for add, for example, can be validated as follows:

```
let addInv (p1: Poly) (p2: Poly) = isLegal(add p1 p2);;
let _ = Check.Quick addInv;;
```

as the values of type Poly that is generated using smallPolyGen will be legal representations. The declaration of the generator is shown in Appendix C. This generator is a part

of a script file Part5Skeleton.fsx that is handed out together with this mini-project. You can use this file when you develop your own property-based tests for programs on polynomials:

- check the property isLegal(ofList xs), that is, the result of applying ofList will be a legal polynomial, and
- check that the functions from Part 1 preserve the invariant. You may also consider functions from Part 2.

You may use higher-order functions to formulate the properties in a succinct manner. Furthermore, inject errors in some of your programs and see whether they are spotted by property-based testing.

Properties of a commutative ring

Preserving the invariant isLegal is one aspect of correctness for a library for polynomials. But polynomials also possess many properties that are well-known for numbers, for example, addition and multiplication of polynomials are commutative operations.

In this part you should validate that your functions on polynomials satisfy the axioms (properties) of a (commutative) ring, where + and \cdot are binary operations, - is a unary operation and Zero and One are polynomials.

1. For all p_1, p_2, p_3 :

$$(p_1 + p_2) + p_3 = p_1 + (p_2 + p_3)$$
 + (add) is associative

2. For all p_1, p_2 :

$$p_1 + p_2 = p_2 + p_1$$
 + is commutative

3. There is a polynomial Zero so that for all p:

$$p + Zero = p = Zero + p$$
 Zero is called the additive identity

4. For all p:

$$p + (-p) = \text{Zero}$$
 —p is called the additive inverse of p

5. For all p_1, p_2, p_3 :

$$(p_1 \cdot p_2) \cdot p_3 = p_1 \cdot (p_2 \cdot p_3)$$
 · (mul) is associative

6. For all p_1, p_2 :

$$p_1 \cdot p_2 = p_2 \cdot p_1$$
 · is commutative

7. There is a polynomial One so that for all p:

$$p \cdot \text{One} = p = \text{One} \cdot p$$
 One is called the multiplicative identity

8. For all p_1, p_2, p_3 :

$$p_1 \cdot (p_2 + p_3) = p_1 \cdot p_2 + p_1 \cdot p_3$$

9. For all p_1, p_2, p_3 :

$$(p_1 + p_2) \cdot p_3 = p_1 \cdot p_3 + p_2 \cdot p_3$$

where 8. and 9. express that multiplication is distributive with respect to addition.

Each of the above properties can be validated individually in a manner similar to the check above of whether add preserves the invariant.

You should, however, combine this technique with functional-programming concepts to avoid repetitions of almost identical code.

For example, the associative law appears three times (Properties 1., 5. and 10. (see below)) and the commutative law twice. You may consider using techniques from Section 2.9 in the textbook to declare just one function expressing, for example, that an infix operator is associative. This function could then be applied several times.

Furthermore, certain collections of axioms express the same general property. For example,

- 1. 1. and 3. are the properties of a *monoid* with addition operation and Zero as identity,
- 2. 5. and 6. are the properties of a monoid with multiplication operation and One as identity, and
- 3. 10. and 11. (see below) are the properties of a monoid with composition operation and Id as identity.

You should now

- give F# declarations for additive identity Zero, multiplicative identity One and the additive inverse -, and
- check the properties 1. 9. using property-based testing.

The composition function \circ (i.e. compose) satisfies the axioms of a monoid:

10. For all p_1, p_2, p_3 :

$$(p_1 \circ p_2) \circ p_3 = p_1 \circ (p_2 \circ p_3)$$
 \circ is associative

11. There is a polynomial Id so that for all p:

$$p \circ \text{Id} = p$$
 and $\text{Id} \circ p = p$ Id is called the identity

You may now give an F# declaration for Id and test Properties 10 and 11.

It is convenient/necessary that the generated polynomials have a small degree when Property 10 is checked. (Can you see why?)

Properties of structure-preserving functions

We now address correctness by considering properties of two so-called structure-preserving functions. One is the eval functions that maps polynomials to integers. The other is a function degree: Poly -> Degree that gives the degree of a polynomial.

Properties of the eval function

We shall now look at and test properties of the eval function, where eval k p computes P(k) when list p representations polynomial P(x). let h_k denote the function: eval k: Poly \rightarrow int. The function h_k satisfies the properties:

12. For all k, p_1, p_2 :

$$h_k(\text{add } p_1 \ p_2) = h_k(p_1) + h_k(p_2)$$

13. For all k, p_1, p_2 :

$$h_k(\text{mul } p_1 \ p_2) = h_k(p_1) \cdot h_k(p_2)$$

Property 12 expresses that evaluating the sum of two polynomials add p_1 p_2 can be evaluated by adding the numbers achieved by individual evaluation of p_1 and p_1 . Property 13 has a similar explanation.

Remark: The function h_k is in algebra called a homomorphism. It is a structure-preserving function, in this case, from the type Poly with operations add and mul to the algebra of integers with matching operations for addition and multiplication.

Validate that Properties 12 and 13 hold using property-based testing.

Degrees of polynomials

The function degree should satisfy the following properties:

14. For all p_1, p_2 :

$$degree(add p_1 p_2) \leq max (degree p_1) (degree p_2)$$

15. For all p_1, p_2 :

$$degree(mul p_1 p_2) = addD (degree p_1) (degree p_2)$$

Use property-based testing to

- validate that these two properties for degree hold, and to
- find a counter-example for the property

```
degree(add p_1 p_2) = max (degree p_1) (degree p_2)
```

Part 6: The library Polynomial

You should now implement a library for polynomials using the techniques described in Chapter 7 of the textbook.

On DTU Learn you can find a three-project solution to a minimal part of this mini-project. This solution comprises

- PolyLib: an F# library for polynomials
- CSharpApp: a C# console application using the library
- FSharpApp: an F# console application using the library

and it was developed using the Command Line Interface (CLI) tool. On DTU Learn you can also find information about how the project was developed and how it can be used.

You can solve the remaining part of this mini-project by extending this three-project solution.

Your library should, in addition to the functions mentioned in the previous parts, include following function:

```
toList: Poly -> int list
```

and perhaps perhaps conversion functions between integer arrays and polynomials.

The internal representation of polynomials must be hidden from a user of the library, so that a user cannot violate the invariant is Legal.

Furthermore,

- Customize the string function for type Poly. See Section 7.7 in the textbook.
- Overload the operators +, and * so that they also may operate on polynomials. See Sections 7.3 and 7.4 in the textbook.
- Hide the representation of degrees from a user of the library, customize the string function and overload +. To do so you will need techniques from Sections 7.6 and 7.7 to customize equality and ordering.

Doing so it becomes impossible to create meaningless degrees like Fin -2; but you can still use the build-in functions max and = on degrees.

You may test your library from F# script files and perhaps also from programs like the ones shown in Appendices A and B.

Appendix A

//

```
using System;
using Microsoft.FSharp.Collections; // where the type FSharpList<T> is found
namespace ConsoleApp1
   class Program
   {
       static void Main(string[] args)
          int[] n1 = new int[] {1, 0, -3};
           FSharpList<int> n3;
           Polynomial.Poly p1 = Polynomial.ofArray(n1);
           Polynomial.Poly p2 = Polynomial.ofArray(new int[] { 0, 0, -2});
           Polynomial.Poly p3 = p1 + p2 * p1;
           Polynomial.Poly p4 = p3 - p3;
           Polynomial.Degree d3 = Polynomial.degree(p3);
           Polynomial.Degree d4 = Polynomial.degree(p4);
           Console.WriteLine("p1(x) is " + p1);
           Console.WriteLine("p2(x) is " + p2);
           Console.WriteLine("p3(x) is " + p3);
           Console.WriteLine("p4(x) is " + p4);
           Console.WriteLine("Degree of p3(x) is " + d3);
           Console.WriteLine("Degree of p4(x) is " + d4);
           n3 = Polynomial.toList(p3);
           Console.Write("Coefficients of p3(x) are ");
           foreach (int n in n3)
              Console.Write(n + " ");
           Console.WriteLine("");
           Console.WriteLine("p3'(x) is " + Polynomial.derivative(p3));
           n3 = Polynomial.toList(Polynomial.compose(p1, p2));
           Console.Write("Coefficients of p1(p2(x)) are ");
           foreach (int n in n3)
              Console.Write(n + "
           Console.WriteLine("");
           Console.ReadKey();
       }
   }
}
```

Michael R. Hansen

09-08-2023

Appendix B

```
// Michael R. Hansen 09-08-2023
open System
let printNumbers ns =
  let _ = List.iter (fun n -> Console.Write (string n + " ")) ns
   ignore (Console.WriteLine(""))
[<EntryPoint>]
let main argv =
  let p1 = Polynomial.ofList [1; 0; -3]
  let p2 = Polynomial.ofList [0; 0; -2]
  let p3 = p1 + p2 * p1
  let p4 = p3 - p3
  let d3 = Polynomial.degree p3
  let d4 = Polynomial.degree p4
  let _ = Console.WriteLine("p1(x) is " + string p1)
  let _ = Console.WriteLine("p2(x) is " + string p2)
  let _ = Console.WriteLine("p3(x) is " + string p3)
  let _ = Console.WriteLine("p4(x) is " + string p4)
  let _ = Console.WriteLine("Degree of p3(x) is " + string d3)
  let _ = Console.WriteLine("Degree of p4(x) is " + string d4)
  let _ = Console.Write("Coefficients of p3(x) are ")
  let _ = printNumbers(Polynomial.toList p3)
  let _ = Console.WriteLine("p3'(x) is " + string(Polynomial.derivative p3))
  let ns = Polynomial.toList(Polynomial.compose p1 p2)
  let _ = Console.Write "Coefficients of p1(p2(x)) are "
  let _ = printNumbers ns
   let _ = Console.ReadKey()
   0 // return an integer exit code
```

Appendix C

```
// Skeleton file for property-based testing
// Part 5 of the mini-project on Polynomials
               Michael R. Hansen 08-08-2023
open FsCheck
. . . .
type Poly = int list
(*
The following part contains a generator: smallPolyGen,
to be used by FsCheck to generate legal representations
of polynomials, values of type Poly, with a certain
maxaimal degree and a certain range for coefficients.
You do neither need to consider nor need to change this part.
*)
let maxDegree = 6;;
let maxCoeff = 10;;
// Generator for small coefficients
let smallCoeffGen = Gen.choose(-maxCoeff,maxCoeff)
// and one for non-zero small coeffocients
let smallNonZeroCoeffGen =
  gen {let! i = Gen.choose(1,maxCoeff) (* 1 *)
        let! b = Arb.generate<bool>
                                         (*2*)
        return if b then i else -i}
                                         (*3*)
(* This is a recipie for a generator that reads:
(1) generate a number between 1 and maxCoeff - call it i
(2) generate a truth value call it b
(3) return if i if b is true and -i otherwise
*)
```

```
// A generator for polynomials with degree i
let polyGenN i = gen {let! last = smallNonZeroCoeffGen
                      let! prefix = Gen.listOfLength (i-1) smallCoeffGen
                      return List.rev (last::prefix)}
// A generator for small polynomials
let smallPolyGen = gen {let! i = Gen.choose(0,maxDegree+1)
                        return! if i=0 then Gen.constant []
                                else polyGenN i}
// The following "registration" make FsCheck use smallPolyGen for the
// generation of legal polynomials of degree at most maxDegree
type MyGenerator =
  static member Poly() =
      {new Arbitrary<Poly>() with
          override x.Generator = smallPolyGen
          override x.Shrinker t = seq []};;
Arb.register<MyGenerator>();;
// FsCheck will from now on generate values of type Poly
// that are legal polynomials.
. . . .
```

16