

## Virtual Private Networks (VPNs)

Understand the principles and mechanisms of VPN technologies and use it to achieve private communications over public networks

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*Source:*

*Main textbook: chapter 22.5.*

Also VPNs and VPN Technologies by Cisco Press, available here at  
<https://www.ciscopress.com/articles/article.asp?p=24833>

## Overview

- ❑ VPN Overview

- ❑ VPN Technologies

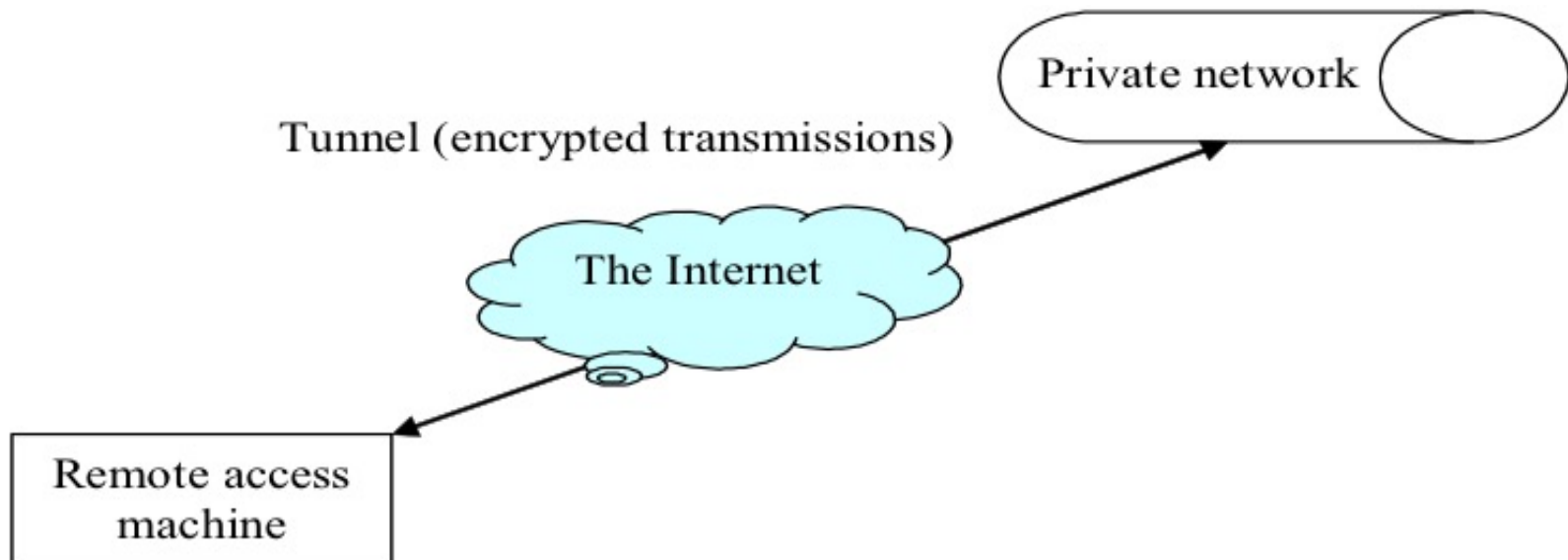
  - Point-to-point tunnelling protocol (PPTP) (not covered)

  - IP Security (IPSec)

- ❑ Conclusions

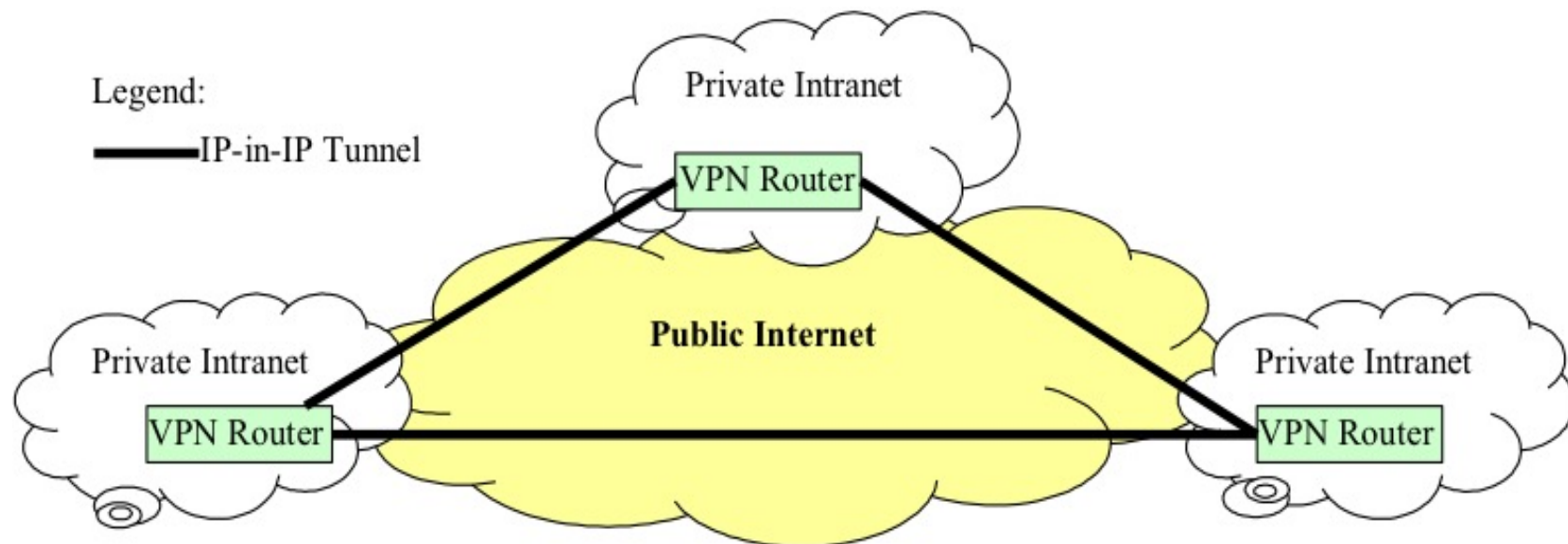
## VPN Overview - What is a VPN?

- ❑ A VPN is a security solution, making use of **tunnelling**, **encryption**, **authentication**, and **access control technologies** to allow you to achieve private communication over public networks such as the Internet.



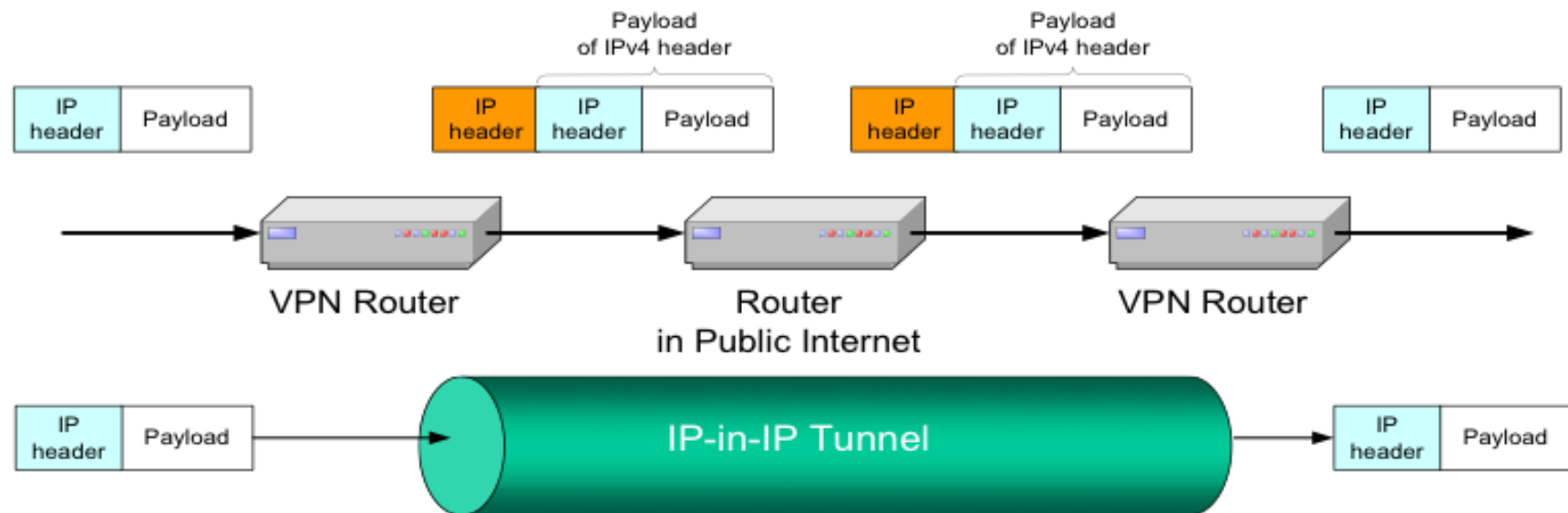
## VPN Overview - What is a VPN?

❑ **Tunnelling** includes encapsulation, transmission and decapsulation; **encapsulation** is to wrap data with a header that provides routing information allowing it to transmit across the Internet to reach its destination so as to emulate a dedicated point-to-point link.



## VPN Overview - What is a VPN?

- ❑ In **IP-in-IP encapsulation**, IP packets are encapsulated in another IP packet.



## VPN Overview - What is a VPN?

- ❑ **VPN Routers (or VPN Gateways)** are located at the corporate network perimeter; they perform tunneling, authentication, and data encryption/decryption.
- ❑ They can be categorized as Standalone or Integrated.
  - **Standalone** VPNs incorporate purpose-built devices.
  - **Integrated** implementations add VPN functionality to existing devices such as **routers**, **firewalls**.
    - Router based VPNs add encryption support to existing routers and can keep the upgrade costs of VPN low.
    - Firewall based VPNs are a workable solution for small networks with low traffic volume.

## VPN Overview - What is a VPN?

### □ VPN Client

- is software used for remote VPN access.
- creates a secure path from the remote client computer to a VPN gateway.
- can be loaded onto an individual computer requesting remote access **or** a router that establishes a peer-to-peer (router-to-router) VPN connection.
- During tunnel setup, the devices on each side of the tunnel agree on the details of **authentication** and **encryption**.
  - Authentication is for identifying VPN users and devices and for ensuring the authenticity of data;
  - Encryption is for protecting the confidentiality of data while transit across the Internet.

## VPN Overview – VPN Types

Types	Applications	Alternatives	Benefits
Remote Access VPN	Remote Connectivity	Dedicated Dial ISDN	Ubiquitous Access Lower Cost
Intranet VPN	Site-to-Site Internal Connectivity	Leased Line	Extended Connectivity Lower Cost
Extranet VPN	Business-to-Business External Connectivity	Fax, Snail Post	Facilitates eTransaction and eCommerce



## VPN Overview - Why do we need it?

### ❑ Security risks on the Internet:

○ Loss of privacy (packet sniffing) -

a perpetrator may observe confidential data as it traverses the Internet.

Confidentiality -  
Encryption

○ Loss of data integrity -

data may be modified maliciously or accidentally.

Authenticity  
(Integrity) -  
HMAC

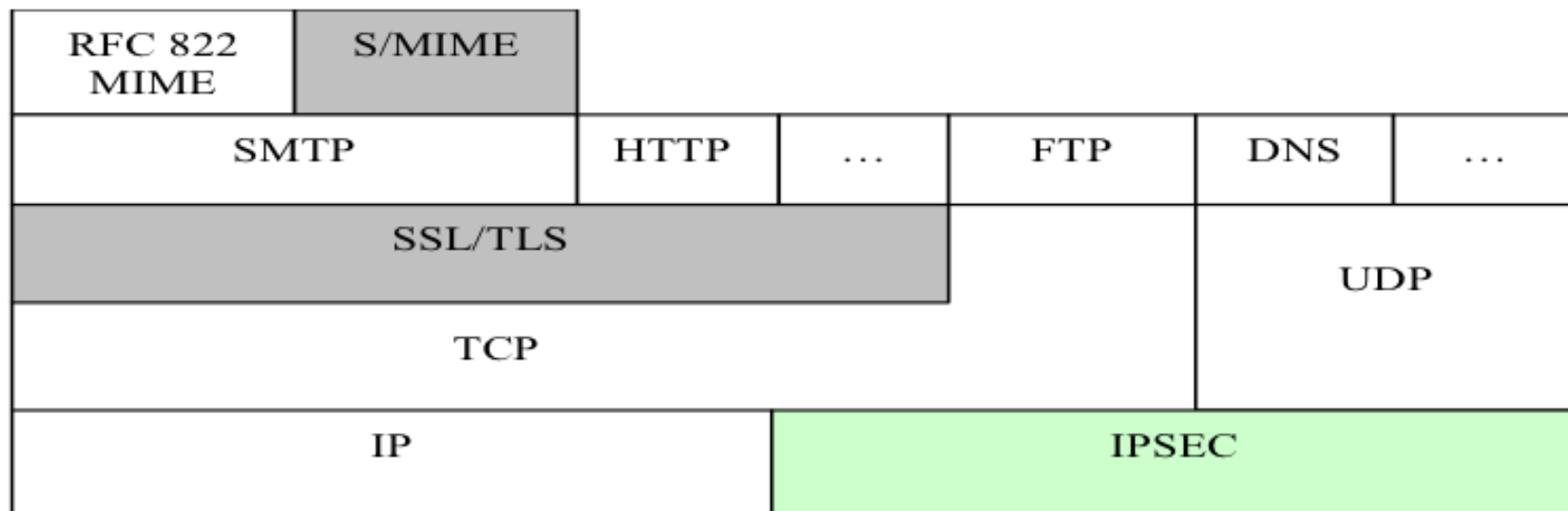
○ Identity spoofing - impersonation.

Entity Authentication -  
Keyed hash token,  
Public key encryption, or  
Digital signatures

○ Denial of Service - attacks to cause computer systems to crash.

## IPSec Overview - Its position in the protocol stack

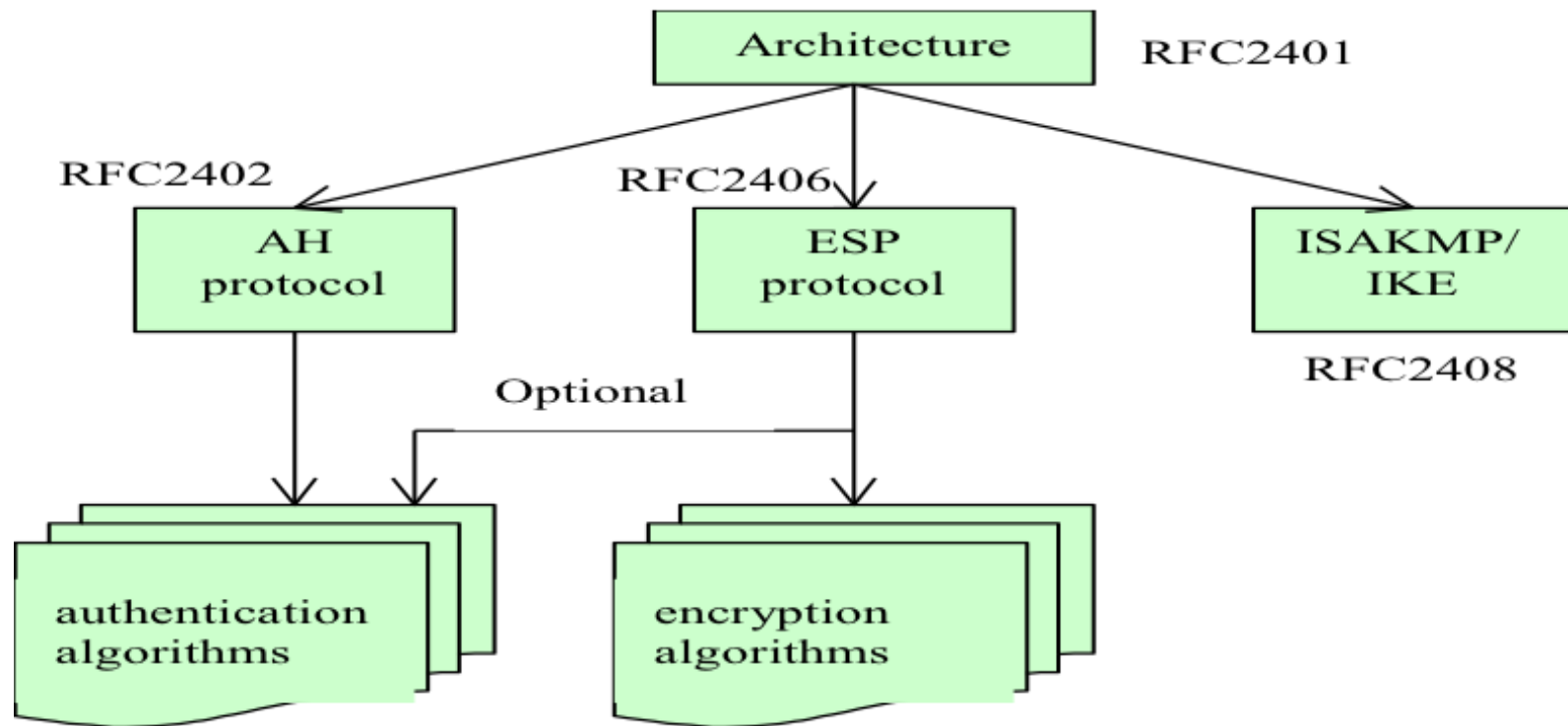
- ❑ IPSec Overview
- ❑ AH (Authentication Header) Protocol
- ❑ ESP (Encapsulating Security Payload) Protocol
- ❑ IP Security Summary



## IPSec Overview - What it provides

- ❑ It operates at the IP (network) layer
- ❑ It provides security protection
  - for the transport layer, including all TCP and UDP, traffic;
  - for all other traffic carried in the **data field of the IP packet**, e.g. ICMP messages;
  - also for IP packets (IPv4 and IPv6) when using **tunnel mode**.
- ❑ This protection is **transparent**, i.e. there is no need to modify applications or transport-layer protocols to work with IPSec, and can be applied to **all the application-level programs**.

## IP Security Overview - Components



## IP Security Overview - Components

### □ Security Association (SA)

○ refers to a set of attributes negotiated between two end-points for the protection of **IP traffic** for the SA.

➤ Authentication mechanism

➤ Encryption algorithm

➤ Algorithm mode

➤ A shared session key

➤ Initialisation Vector (IV), etc.

Default: HMAC

Default: DES - CBC

○ is **unidirectional**, so for two-way secure exchange two *SAs* are needed.

○ is uniquely identified by

➤ a random 32-bit value SPI (**secure parameter index = SPI**);

➤ destination (tunnel ending point) IP address;

➤ an identifier of the security protocol (AH or ESP).

## IP Security Overview – Session key establishment

### □ Manual establishment

- Manually configure keying material and SA data for each system;
- Practical in small, static environments; Do not scale well.

### □ Automated key establishment

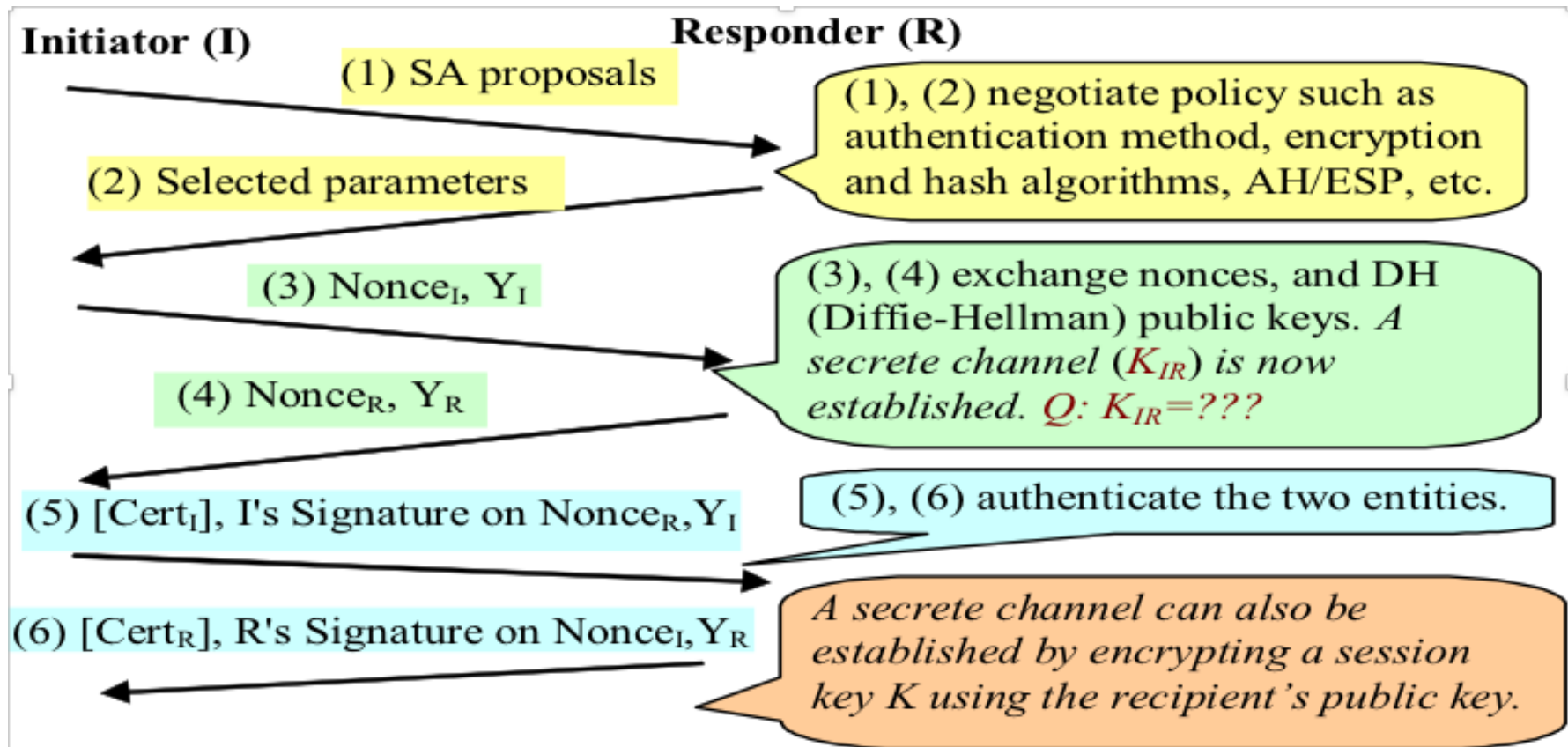
#### ○ *ISAKMP* (Internet SA Key Management Protocol)

- defines procedures and packet formats to establish, negotiate, modify and delete SA.

#### ○ *IKE* (Internet Key Exchange)

- provides facilities to negotiate and derive keying material for establishing a session key.
  - **DH-DSA**: using Diffie-Hellman (DH) key agreement for deriving key material between peers on a public network, and DSA to sign the DH exchanges to counter the man-in-the-middle attack.
  - **Public key cryptography**: using recipient's public key for secure session key transportation.

## IP Security Overview - Establishing an SA and session key



## IP Security Overview - Authentication methods

❑ ISAKMP/IKE supports multiple **authentication** methods:

○ *Symmetric key cryptography (scheme one)*

- The same key is pre-installed on each host.
- The peers authenticate each other by computing and sending a keyed hash of data that includes the pre-shared keys.

○ *Public key encryption (scheme two)*

- Each party generates a pseudo-random number (nonce) and encrypts it and its ID using the other party's public key;
- The ability to decrypt the data with the local private key authenticates the parties to each other.
- The method requires the ability to generate random numbers, and perform public-key encryption/decryption;
- It does not provide non-repudiation (as in scheme one).
- Currently, only RSA algorithm is supported.

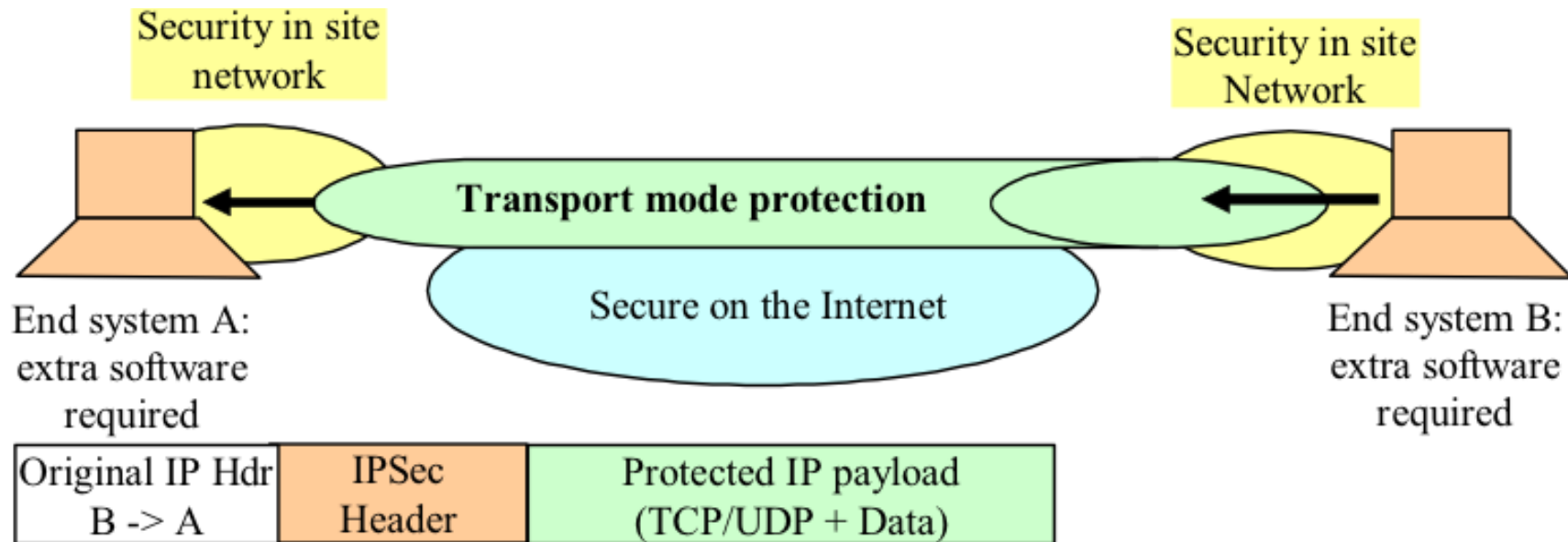


## IP Security Overview - Authentication methods

### ○ *Digital signature (scheme three)*

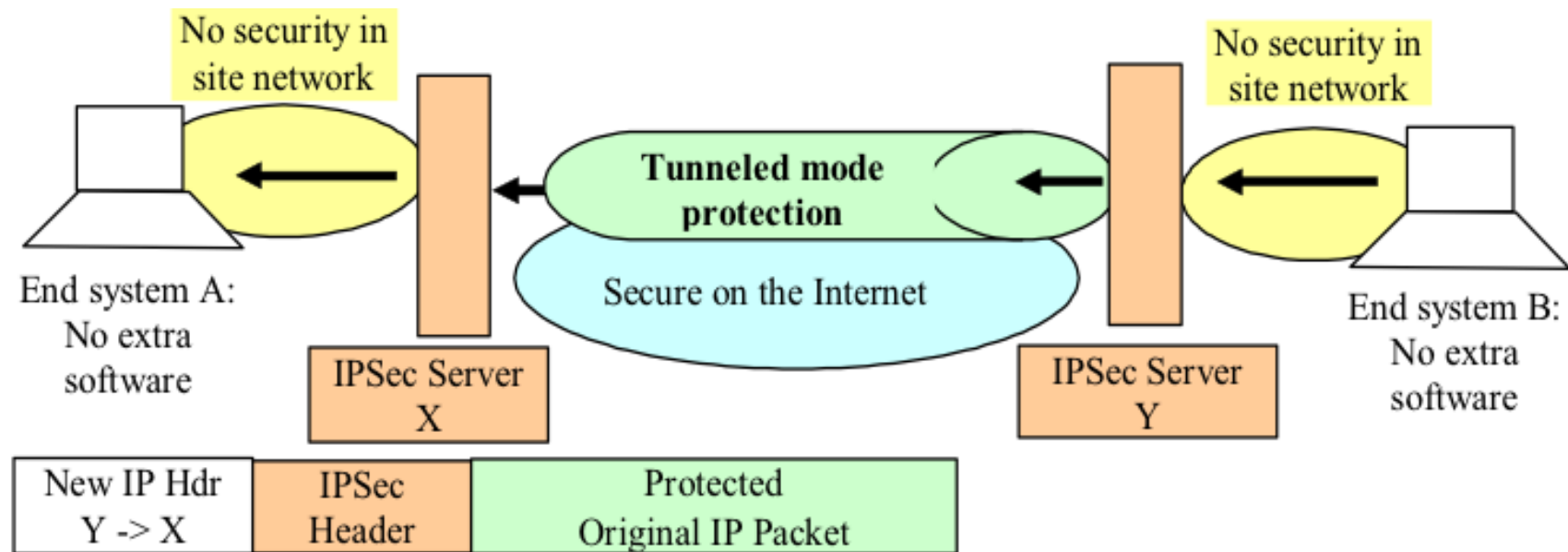
- Each device signs some data contributed by the other entity;
  - This method is similar to scheme two, except that it provides **non-repudiation**;
  - Both RSA and DSS are supported.
- 
- ❑ Once SA(s) is negotiated and session key established, packets are forwarded using traffic protocols, AH and/or ESP.
  - ❑ IPSec (AH and ESP) may be employed in one of the two ways - *transport* and *tunnel* modes (or *a combination of them*) (the packet formats given next are based upon IPv4).

## IP Security Overview - Transport Mode



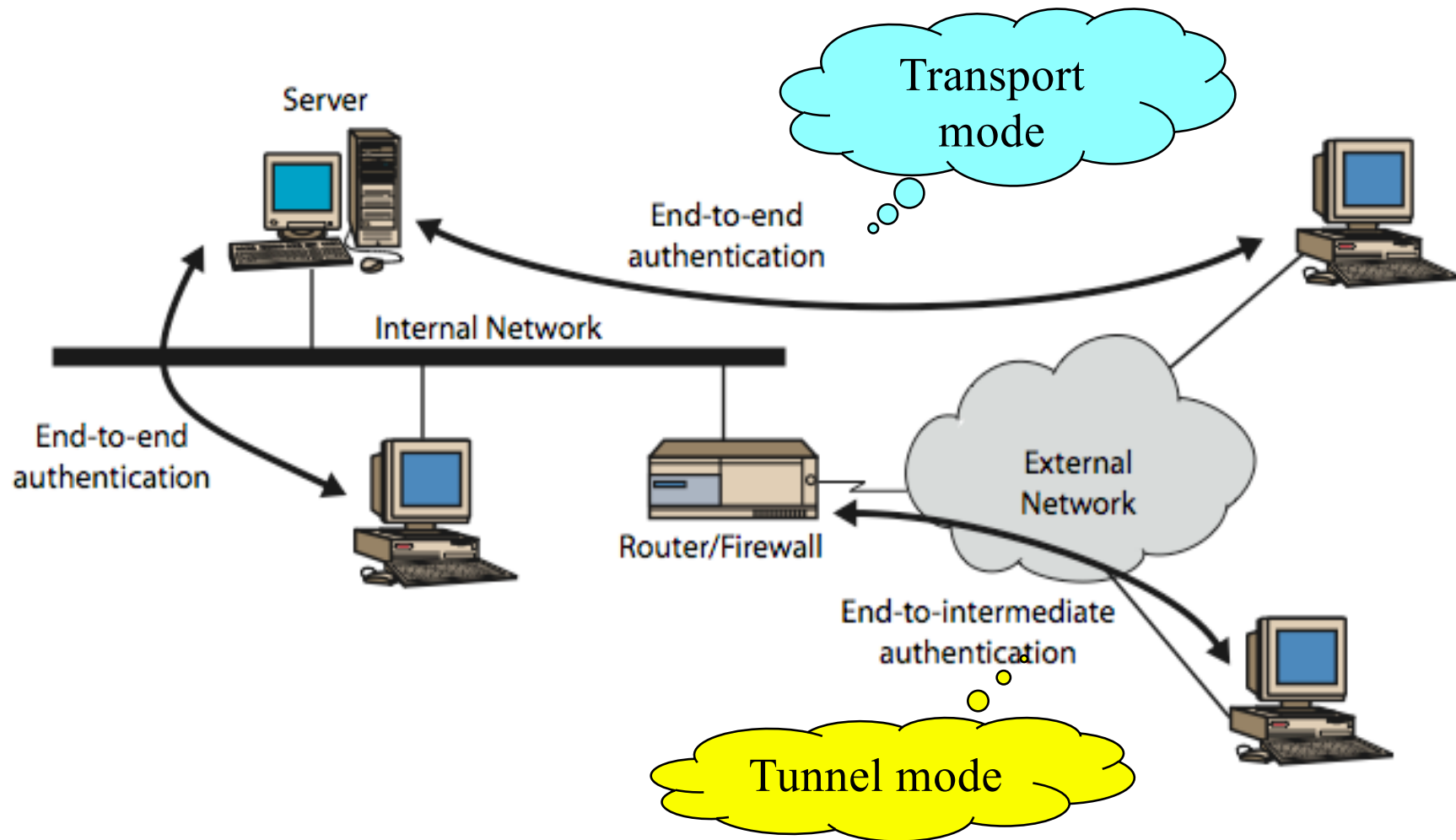
**Transport mode:** only applicable to **host implementations**; protects **IP payload** => TCP or UDP; adds a few bytes to each packet; original source/destination IP addresses are visible thus enabling special processing such as QoS, but traffic analysis is possible.

## IP Security Overview - Tunnel Mode

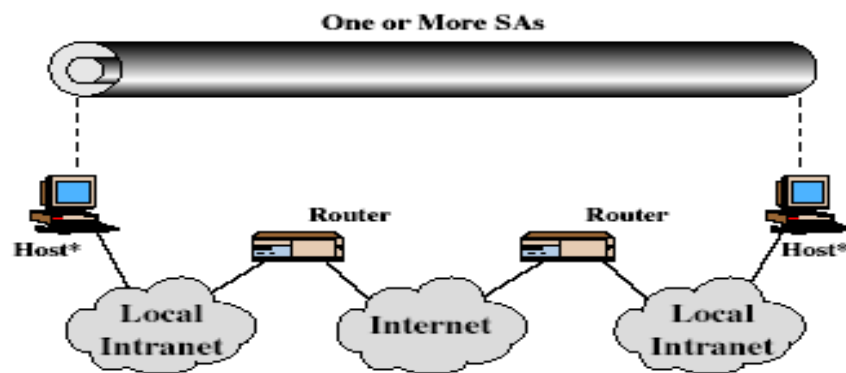


**Tunnel mode:** employed in either hosts or security gateways; the entire **original IP datagram** is protected (it becomes the payload in a **new IP packet**); allows a network device, e.g. router, to act as an IPSec proxy performing IPSec processing on behalf of the hosts; hosts do not need to be modified; protects against traffic analysis.

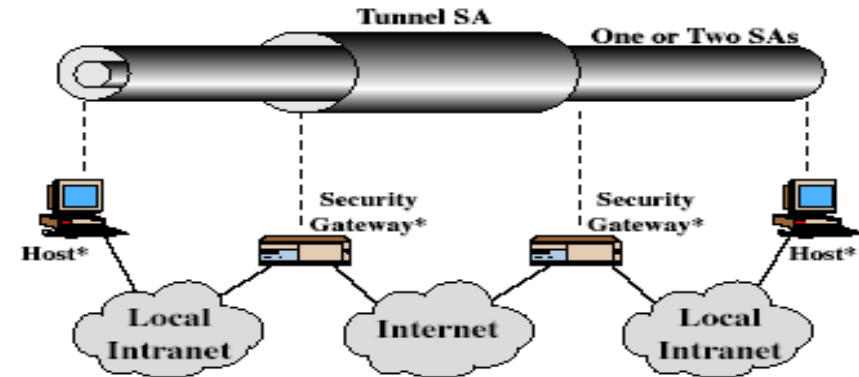
## IP Security Overview - Remote Dial-up



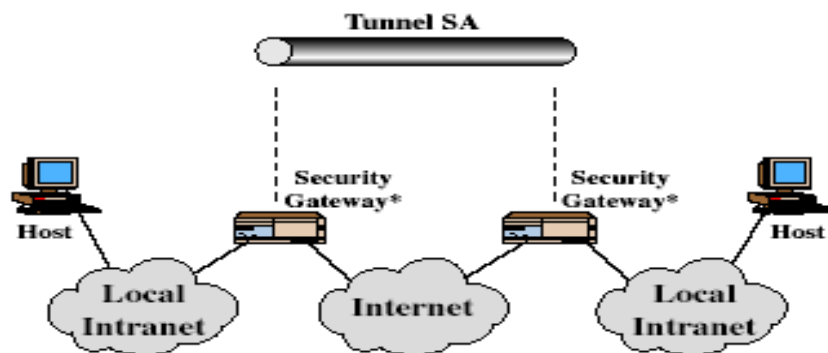
## IP Security Overview – Combining SAs



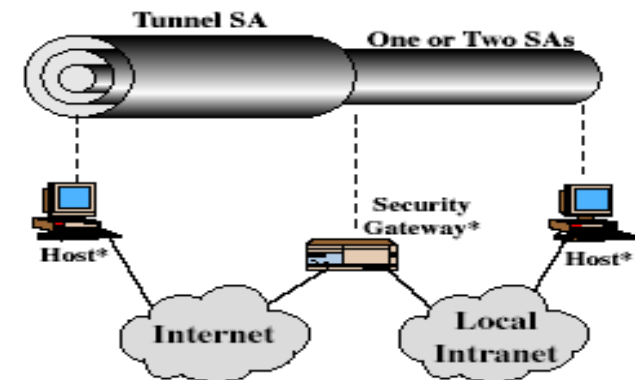
(a) Case 1



(c) Case 3



(b) Case 2



(d) Case 4

## IP Security Overview – Combining SAs

- ❑ Multiple SAs may be combined into an SA bundle.
- ❑ An SA can only implement either AH or ESP, so in cases such as the following, one may combine more than one SAs into a bundle:
  - to have both services; and/or
  - different flows in one communication path requires different services.
- ❑ SAs can be combined into bundles in the following two ways:
  - **Transport Adjacency:**
    - Apply ESP in transport mode without authentication;
    - Apply AH in transport mode.
  - **Iterated Tunneling** (multiple nested tunnels):
    - use multiple IPSec services through IP tunneling; multiple SAs in one bundle may terminate at different or same endpoints.

## IP Security Overview – Traffic security protocols

- ❑ Each of the IPSec traffic protocols defines a new set of headers to be added to IP datagrams.
- ❑ *Authentication Header (AH)* provides
  - data origin authentication,
    - data integrity, and
    - anti-replay.
  - does not provide confidentiality protection.

## IP Security Overview - Traffic security protocols

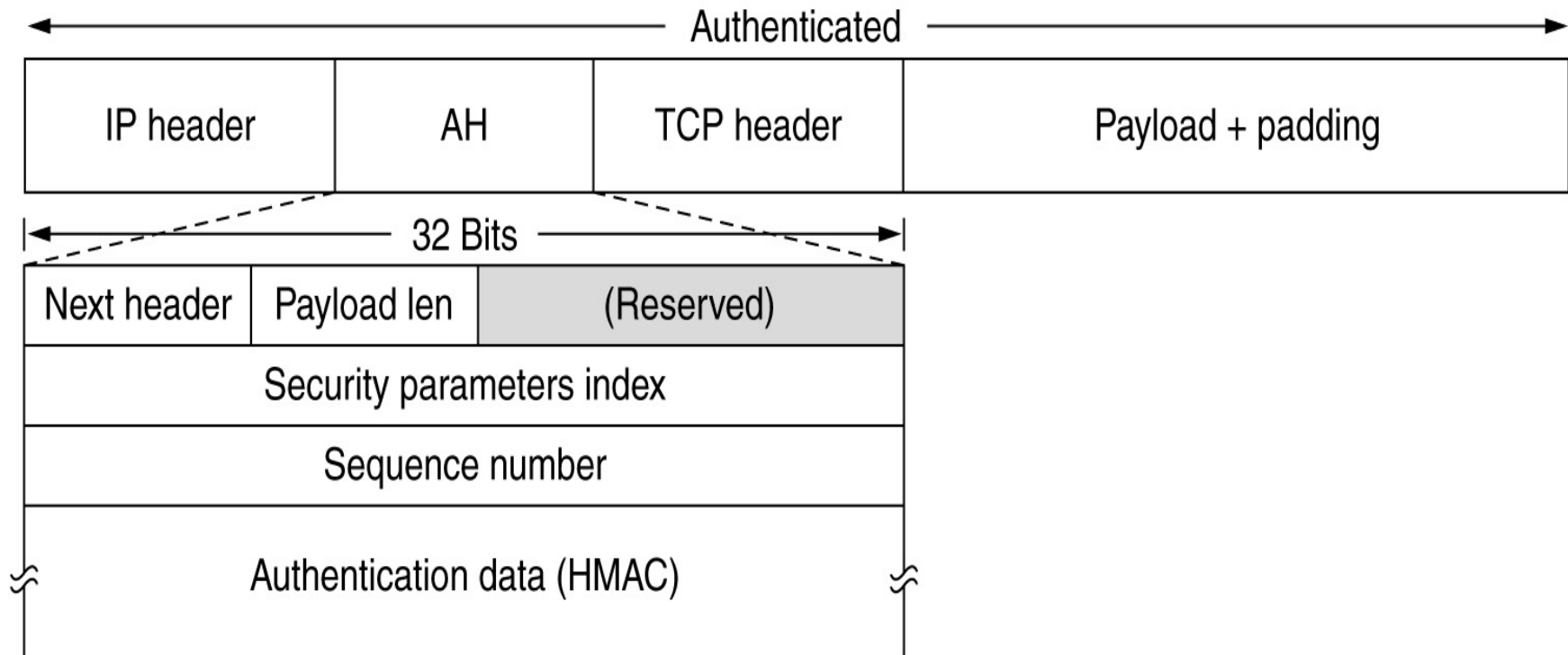
- ❑ *Encapsulating security payload (ESP)* provides
  - confidentiality (encryption) protection;
  - partial traffic flow confidentiality; and
  - optional service
    - data origin authentication,
    - data integrity,
    - anti-replay.
- ❑ uses keyed-hash function, **HMAC**, for data integrity and authentication protection (no non-repudiation protection):
  - HMAC-MD5-96 & HMAC-SHA-1-96.
- ❑ uses **bulk encryption algorithms**, 3-key triple DES, AES, IDEA, CAST, Blowfish and RC5, for confidentiality protection.

**AH has these  
protections**

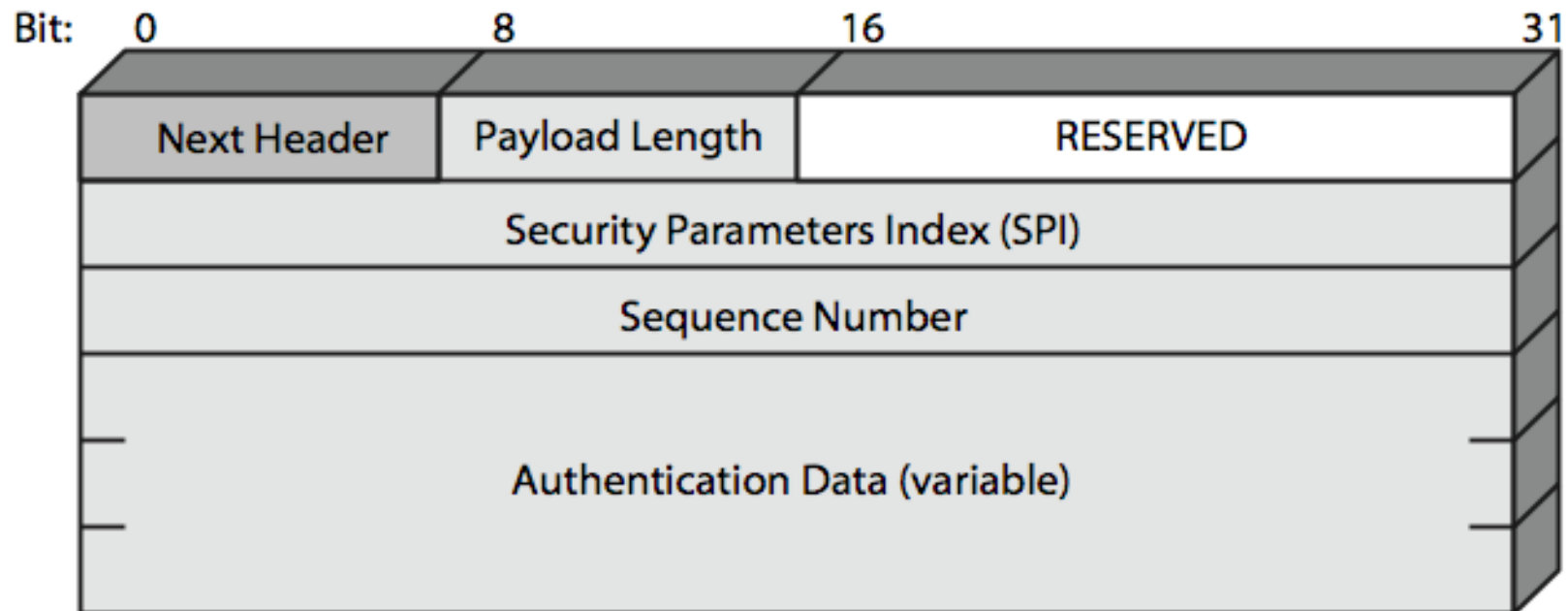


## Authentication Header (AH) – Format

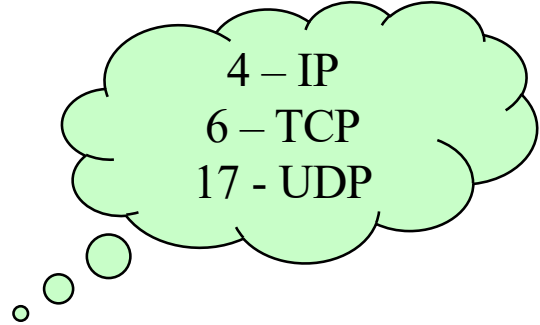
- ❑ The IPsec authentication header in transport mode for IPv4.



## Authentication Header (AH) – Format



## AH – Format

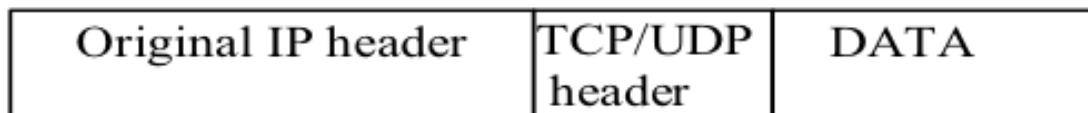


4 – IP  
6 – TCP  
17 – UDP

- **NextHeader** – specifies the type of header immediately following the Authentication Header.
- **PayloadLength** – the length of AH in 4-byte unit, minus '2'.
- **Reserved** – not used for now (set to 0).
- **SPI** (security parameter index) – identifies a SA.
- **SequenceNumber** – contains a monotonically increasing counter to protect against replay.
- **AuthenticationData** – contains the message authentication code (MAC) for this packet (typical 96 bits long).

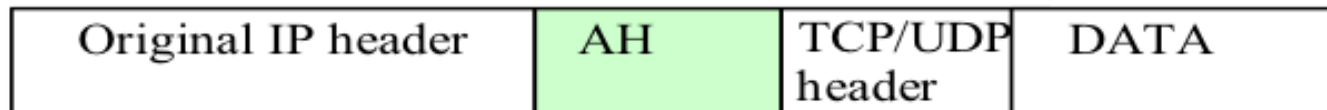
## AH - Transport and tunnel modes for IPv4

### Original IPv4 packet:



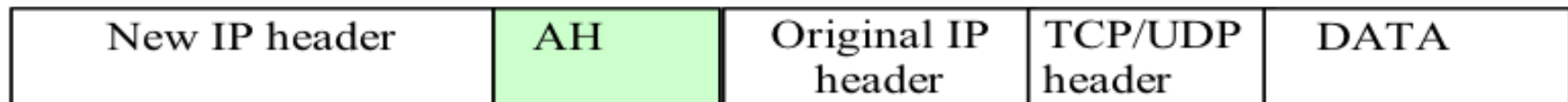
### AH in transport mode:

← Authenticated except for mutable fields in IP header →



### AH in tunnel mode:

← Authenticated except for mutable fields in the outer IP header →



## AH - MAC computation

- ❑ The default MAC algo is HMAC built on **keyed one-way hash function** (e.g. MD5 or SHA-1 – which is detailed in the SA);
- ❑ It is truncated to the first 96 bits;
- ❑ It is stored in the AH AuthenticationData field.
- ❑ The following rules are applied to IP Headers (**transport mode**) and New IP Headers (**tunnel mode**) when **computing the MAC**:
  - Mutable IP header fields, e.g. **TOS, Flags, Fragment Offset, TTL** and **Header Checksum** are **zeroed** prior to MAC calculation. All other (immutable) fields are included.
  - The AH AuthenticationData field is zeroed. All other AH header fields are included.
  - The entire upper-level protocol data are included.

(

## AH - Integrity & Authentication Services

### ❑ Outbound packet processing (by sender)

- SA lookup
- Sequence number generation - must not cycle for anti-replay
- MAC calculation

### ❑ Inbound packet processing (by receiver)

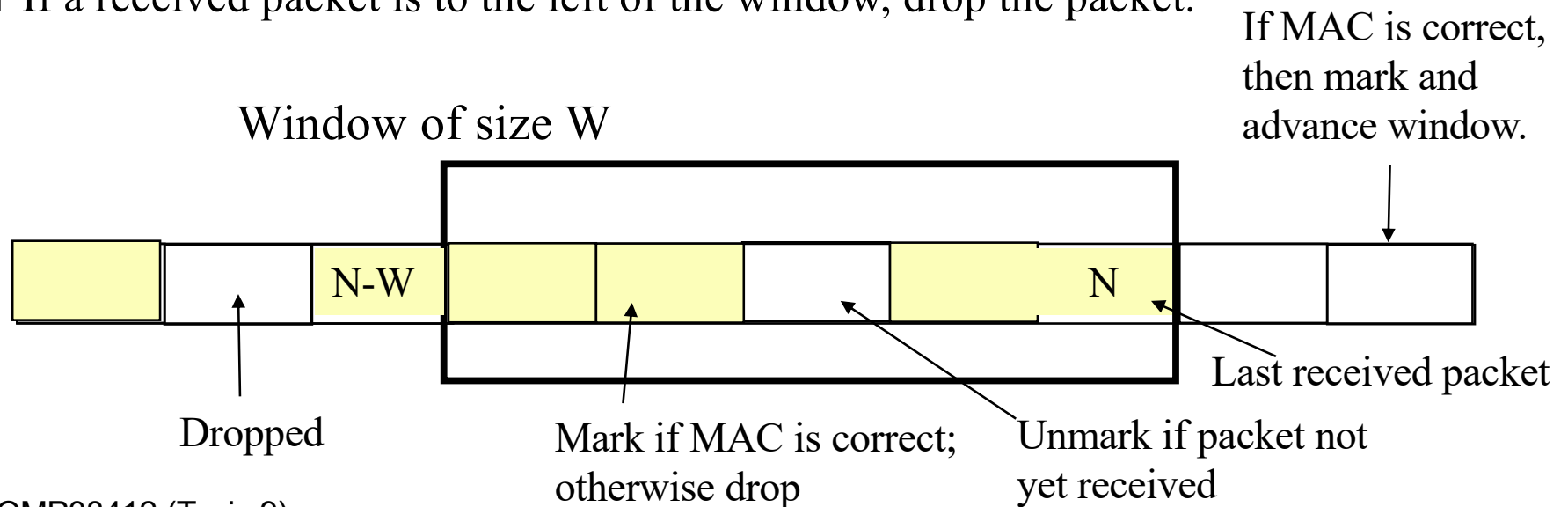
- Re-assembly (if IP packet has been fragmented)
- SA lookup
- Sequence number verification
- MAC verification
  - computes MAC and verifies that it is the same as the MAC included in AuthenticationData field.

## AH – Anti-Replay

- ❑ **Replay**: retransmits a packet to the intended destination.
- ❑ The seq.no. field is used to thwart such attacks.
- ❑ For a new SA, seq.no. is initialized as 1 for the 1<sup>st</sup> packet, and increase it by 1 for each outgoing packet (up to  $2^{32} - 1$ ). If this limit is reached, then a new SA with a new key should be negotiated.
- ❑ IP service is connectionless and unreliable, but IPSec requires the receiver implement a (default) window of size  $W=64$  to track the out-of-order packets received, and to ensure that ‘old’ or ‘duplicated/replayed’ packets are discarded.

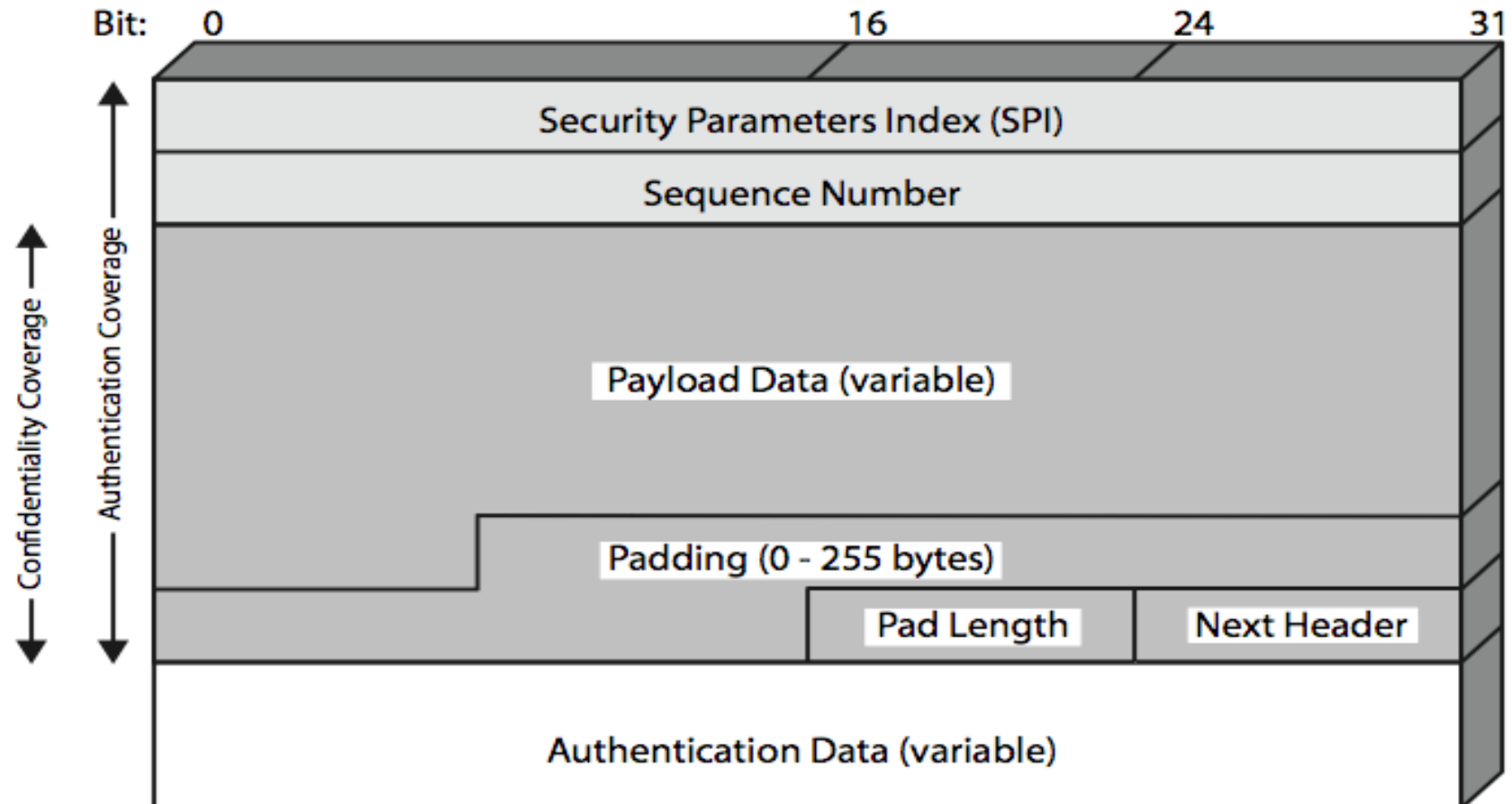
## AH – Anti-Replay

- ❑ A window size,  $W$ , specifies number of out-of-order packets that are tracked.
- ❑ The right edge of the window shows the highest seq. no,  $N$ , of the packet received so far.
- ❑ For packets with sequence numbers in the range from  $N-W+1$  to  $N$ : if MAC is correct, then mark it; otherwise, drop.
- ❑ If a received packet is to the right of the window and is correctly authenticated, mark the packet and advance the window.
- ❑ If a received packet is to the left of the window, drop the packet.





## Encapsulating Security Payload (ESP) – Format



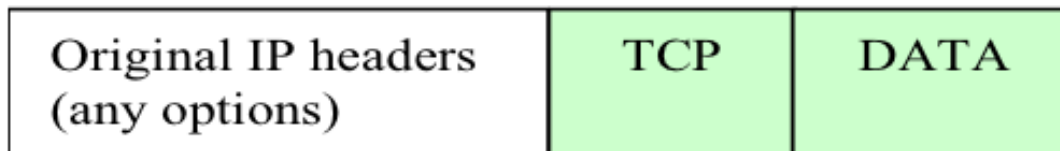
## ESP - Format

- **PayloadData** – is a transport level segment, e.g. TCP segment, (transport mode), or IP packet (tunnel mode) that is protected by encryption.
- **Padding** – to expand the plaintext (consisted of PayloadData, Padding, PadLth, NextHdr) to the required length e.g. by a block cipher; be aligned on a 4-byte boundary; and to provide partial traffic flow confidentiality.
- **PadLth** – indicates the number of pad bytes immediately preceding this field.
- **NextHdr** – identifies the type of data contained in the PayloadData field by identifying the first header in that payload.
- **AuthenticationData** – contains MAC computed over the ESP packet minus AuthenticationData.
- Other headers are the same as in AH.

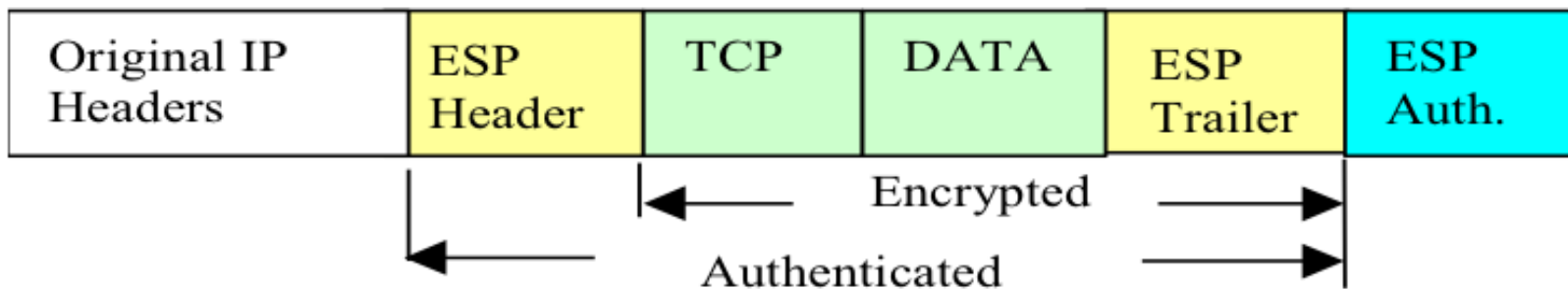
## ESP - The transport mode

- ❑ ESP Trailer is consisted of Padding, PadLength, and NextHeader.

### *Before applying ESP*

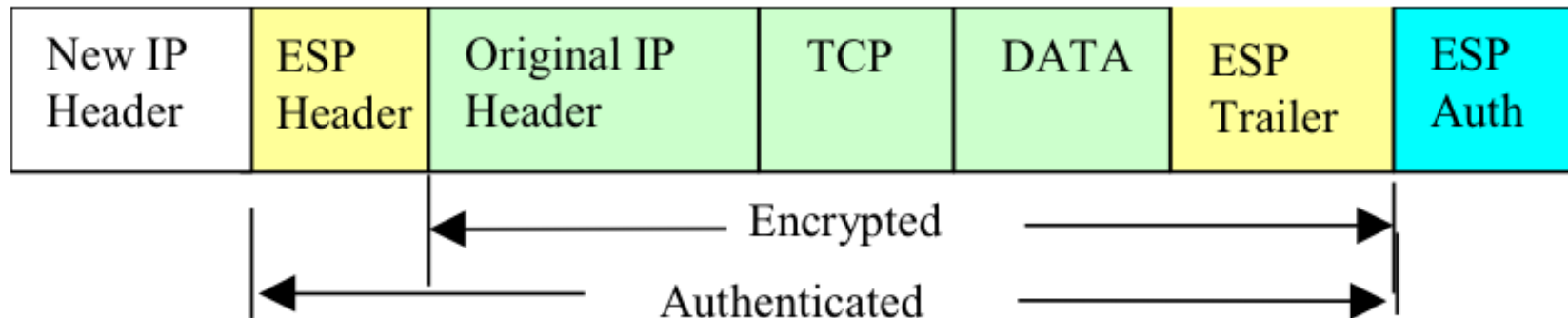


### *After applying ESP*



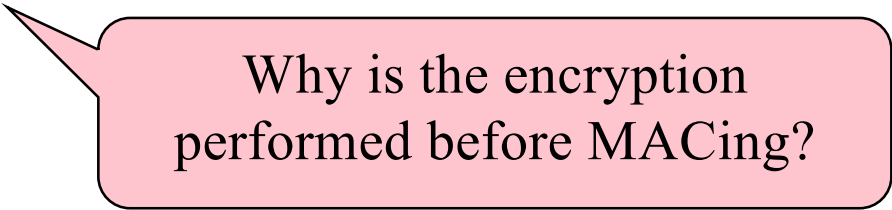
## ESP - The tunnel mode

- ❑ The New IP Header just contains enough information for routing at intermediate nodes but not for traffic analysis (based on destination addresses).
- ❑ In this mode, encryption only occurs between external host and security gateway, or between security gateways.



## ESP - Outbound packet processing

- ❑ SA lookup
- ❑ Packet encryption
  - Encapsulate relevant data into the ESP payload field.
  - Add any necessary padding.
  - Encrypts the result (PayloadData, Padding, PadLength, and NextHeader) using the key, encryption algorithm indicated by the SA.
- ❑ Sequence number generation.
- ❑ MAC calculation (if authentication is selected by the SA).



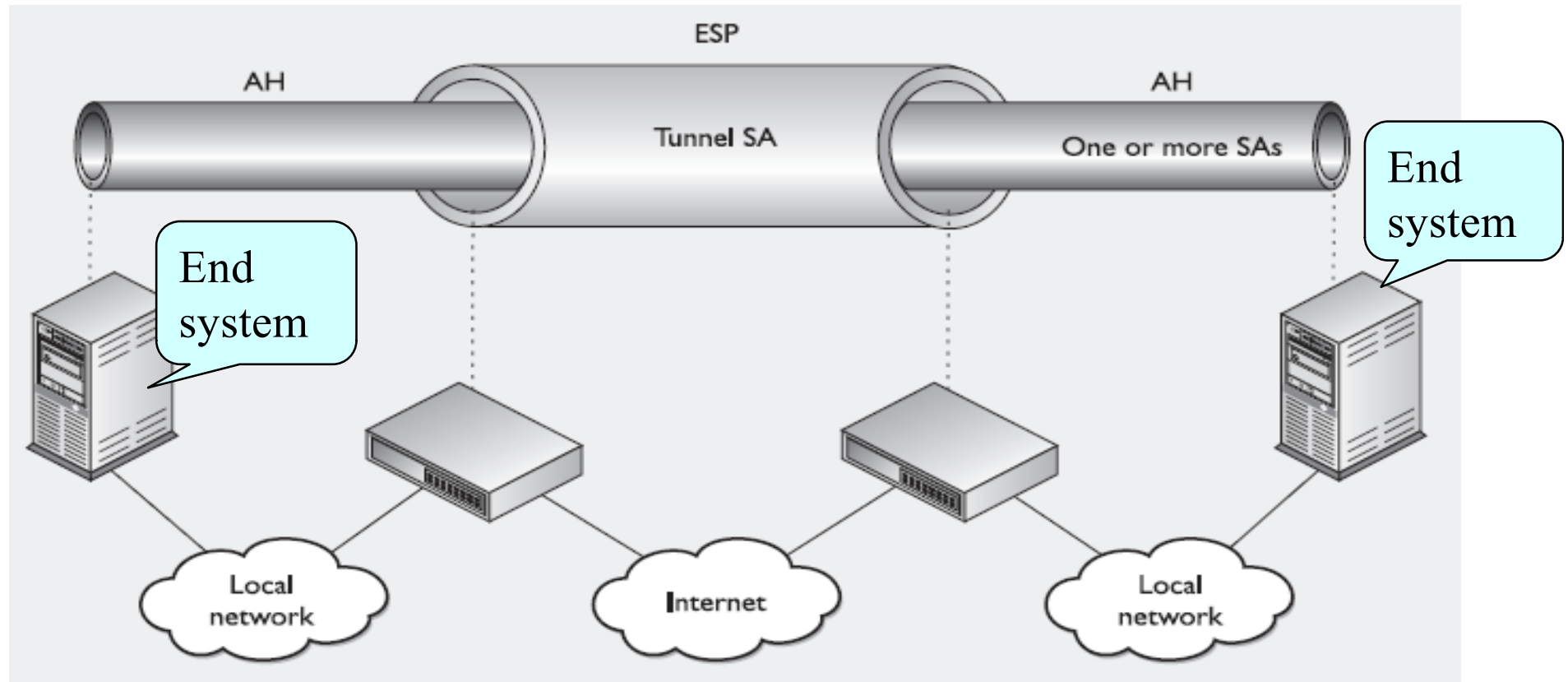
Why is the encryption performed before MACing?

## ESP - Inbound packet processing

- ❑ Re-assembly (if IP packet has been fragmented by the routers en route)
- ❑ SA lookup
- ❑ Sequence number verification
- ❑ MAC verification
- ❑ Packet decryption
  - Decrypt the relevant data.
  - Process any padding as specified in the encryption algorithm specification.
  - Reconstructs the original IP datagram.

What can you observe from this order of operations?

## Combined use of ESP and AH



## IP Security Summary - Services

	AH	ESP	ESP with authentication
Access control	√	√	√
Connectionless integrity	√		√
Data origin authentication	√		√
Rejection of replayed packets	√		√
Confidentiality		√	√
Limited traffic flow confidentiality		√	√



## Exercise Question – E9.1

- ❑ What is the major difference between transport mode and tunnel mode in IPSec ESP, and any implications?

## Exercise Question – E9.2

One of the ISAKMP key exchange protocols, Identity Protection Exchange, is given below:

Outlined protocol	Description
(1) $I \rightarrow R: SA_I$	Begin ISAKMP-SA negotiation; ISAKMP = Internet SA Key Management Protocol.
(2) $R \rightarrow I: SA_R$	Basic SA agreed upon.
(3) $I \rightarrow R: Y_I, NONCE_I$	I's DH public key generated and transmitted to R.
(4) $R \rightarrow I: Y_R, NONCE_R$	R's DH public key generated and transmitted to I.
(5)* $I \rightarrow R: ID_I, AUTH_I$	Initiator (I) identity verified by responder (R)
(6)* $R \rightarrow I: ID_R, AUTH_R$	Responder's identity verified by I; SA established
	<i>* signifies that the message content is encrypted with the key established using the DH method</i>

With the use of a diagram, explain whether or not the identity  $ID_I$  of the initiator I could be revealed to a third party ( $\neq$  responder R). You should justify your answer.

## Conclusions (1/2)

- ❑ IPSec is designed to provide interoperable, high quality, crypto-based security services for IPv4 and IPv6, offering protection for IP and/or upper layer protocols, such as TCP, UDP, ICMP.
- ❑ AH ensures **integrity** and **origin authentication** of data, and is an appropriate protocol to use when confidentiality is not required/permited.
- ❑ ESP protects **confidentiality**, **integrity** and **origin authentication** of data. The scope of the authentication offered by ESP is narrower than it is for AH.

## Conclusions (2/2)

- ❑ Because these security services use shared secrets (cryptographic keys), IPSec relies on a separate set of mechanisms - ISAKMP/IKE, for putting these keys in place.
- ❑ It is important to note that IPSec is only as strong as the algorithms chosen by the individuals for its implementation.
- ❑ Its security also depends on other factors such as OS security, random number sources, system management protocols and practices, etc.
- ❑ IPSec is mostly commonly used as a VPN solution (it is usually implemented in a user host, or a security gateway, e.g. a router or a firewall).