



Natural Language Processing

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Part 1: Classification tasks in NLP

Classification tasks in NLP

Probability models for Classification

Naive Bayes Classifier

Log linear models

Tagging tasks in NLP

Prepositional Phrases

- ▶ noun attach: *I bought the shirt with pockets*
- ▶ verb attach: *I washed the shirt with soap*
- ▶ As in the case of other attachment decisions in parsing: it depends on the meaning of the entire sentence – needs world knowledge, etc.
- ▶ Maybe there is a simpler solution: we can attempt to solve it using heuristics or associations between words

Ambiguity Resolution: Prepositional Phrases in English

► Learning Prepositional Phrase Attachment: Annotated Data

v	n_1	p	n_2	Attachment
join	board	as	director	V
is	chairman	of	N.V.	N
using	crocidolite	in	filters	V
bring	attention	to	problem	V
is	asbestos	in	products	N
making	paper	for	filters	N
including	three	with	cancer	N
⋮	⋮	⋮	⋮	⋮

Prepositional Phrase Attachment

Method	Accuracy
Always noun attachment	59.0
Most likely for each preposition	72.2
Average Human (4 head words only)	88.2
Average Human (whole sentence)	93.2

Back-off Smoothing

- ▶ Random variable a represents attachment.
- ▶ $a = n_1$ or $a = v$ (two-class classification)
- ▶ We want to compute probability of noun attachment:
 $p(a = n_1 \mid v, n_1, p, n_2)$.
- ▶ Probability of verb attachment is $1 - p(a = n_1 \mid v, n_1, p, n_2)$.

Back-off Smoothing

1. If $f(v, n_1, p, n_2) > 0$ and $\hat{p} \neq 0.5$

$$\hat{p}(1 \mid v, n_1, p, n_2) = \frac{f(1, v, n_1, p, n_2)}{f(v, n_1, p, n_2)}$$

2. Else if $f(v, n_1, p) + f(v, p, n_2) + f(n_1, p, n_2) > 0$
and $\hat{p} \neq 0.5$

$$\hat{p}(1 \mid v, n_1, p, n_2) = \frac{f(1, v, n_1, p) + f(1, v, p, n_2) + f(1, n_1, p, n_2)}{f(v, n_1, p) + f(v, p, n_2) + f(n_1, p, n_2)}$$

3. Else if $f(v, p) + f(n_1, p) + f(p, n_2) > 0$

$$\hat{p}(1 \mid v, n_1, p, n_2) = \frac{f(1, v, p) + f(1, n_1, p) + f(1, p, n_2)}{f(v, p) + f(n_1, p) + f(p, n_2)}$$

4. Else if $f(p) > 0$

$$\hat{p}(1 \mid v, n_1, p, n_2) = \frac{f(1, p)}{f(p)}$$

5. Else $\hat{p}(1 \mid v, n_1, p, n_2) = 1.0$

Prepositional Phrase Attachment: Results

- ▶ **Results (Collins and Brooks 1995):** 84.5% accuracy with the use of some limited word classes for dates, numbers, etc.
 - ▶ **Toutanova, Manning, and Ng, 2004:**
use sophisticated smoothing model for PP attachment
86.18% with words & stems; with word classes: 87.54%
 - ▶ **Merlo, Crocker and Berthouzoz, 1997:**
test on multiple PPs, generalize disambiguation of 1 PP to 2-3 PPs
1PP: 84.3% 2PP: 69.6% 3PP: 43.6%
- Note that this is still not the real problem faced in parsing natural language**

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Probability Models

- ▶ $p(x, y)$: x = input, y = labels
- ▶ Pick best prob distribution $p(x, y)$ to fit the data
- ▶ Max likelihood of the data *according to the prob model*
equivalent to minimizing entropy

Probability Models

- ▶ Max likelihood of the data *according to the prob model*
- ▶ Equivalent to picking best parameter values θ such that the data gets highest likelihood:

$$\max_{\theta} p(\theta \mid \text{data}) = \max_{\theta} p(\theta) \cdot p(\text{data} \mid \theta)$$

Log probabilities v.s. scores

- ▶ n -grams: $\dots + \log p(w_8 \mid w_6, w_7) + \dots$
- ▶ HMM: $\dots + \log p(t_5 \mid t_4) + \log p(w_5 \mid t_5) + \dots$
- ▶ Naive Bayes: $\log p(\text{class}) + \log p(\text{feature}_1 \mid \text{class}) + \log p(\text{feature}_2 \mid \text{class}) + \dots$

Advantages of probability models

- ▶ parameters can be estimated automatically, while scores have to be twiddled by hand
- ▶ parameters can be estimated from supervised or unsupervised data
- ▶ probabilities can be used to quantify confidence in a particular state and used to compare against other probabilities in a strictly comparable setting
- ▶ modularity: $p(\textit{semantics}) \cdot p(\textit{syntax} \mid \textit{semantics}) \cdot p(\textit{morphology} \mid \textit{syntax}) \cdot p(\textit{phonology} \mid \textit{morphology}) \cdot p(\textit{sounds} \mid \textit{phonology})$

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Part 2: Probabilistic Classifiers

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Naive Bayes Classifier

- ▶ \mathbf{x} is the input that can be represented as d independent features f_j , $1 \leq j \leq d$
- ▶ y is the output classification
- ▶ $P(y | \mathbf{x}) = \frac{P(y) \cdot P(\mathbf{x}|y)}{P(\mathbf{x})}$ (Bayes Rule)
- ▶ $P(\mathbf{x} | y) = \prod_{j=1}^d P(f_j | y)$
- ▶ $P(y | \mathbf{x}) = P(y) \cdot \prod_{j=1}^d P(f_j | y)$

Using Naive Bayes for Document Classification

- ▶ Spam text: Learn how to make \$38.99 into a money making machine that pays ... \$7,000 / month !
- ▶ Distinguish spam text from regular email text
- ▶ Find useful features to make this distinction

Using Naive Bayes

- ▶ Useful features

1. contains `turn $AMOUNT into`
2. contains `$AMOUNT`
3. contains `Learn how to`
4. contains exclamation mark at end of sentence

Using Naive Bayes

► how many times do these features occur?

1. contains: turn \$AMOUNT into
in spam text: 50
in normal email: 2
i.e. 25x more likely in spam
2. contains: \$AMOUNT
in spam text: 90
in normal email: 10
i.e. 9x more likely in spam

Using Naive Bayes

- ▶ How likely is it for *both* features to occur at the same time in a spam message?
 1. contains: turn \$AMOUNT into
 2. contains: \$AMOUNT
- ▶ Assume we have a new feature, contains: turn \$AMOUNT into *and* \$AMOUNT
- ▶ The model predicts that the event that both features occur simultaneously has probability $\frac{140}{152} = 0.92$
- ▶ But Naive Bayes assumes that these features are independent and should occur with probability: $0.92 \cdot 0.9 = 0.864$

Using Naive Bayes

- ▶ Naive Bayes needs overlapping but independent features
- ▶ How can we use all of the features we want?
 1. contains turn \$AMOUNT into
 2. contains \$AMOUNT
 3. contains Learn how to
 4. contains exclamation mark at end of sentence
- ▶ how about giving each feature a weight w equal to its log probability: $w = \log p(f, y)$

Using Naive Bayes

- ▶ each feature gets a score equal to its log probability
- ▶ Assign scores to features:
 1. $w_1 = +1$ contains turn \$AMOUNT into
 2. $w_2 = +5$ contains \$AMOUNT
 3. $w_3 = +1$ contains Learn how to
 4. $w_4 = -2$ contains exclamation mark at end of sentence

Using Naive Bayes

- ▶ so add the scores and treat it like a log probability
- ▶ $\log p(\textit{spam} \mid \textit{feats}) = 4.2$
- ▶ but then, $p(\textit{spam} \mid \textit{feats}) = \exp(4.2) = 66.68$
- ▶ how do we compute keep arbitrary scores and still get probabilities?

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Log linear model

- ▶ Let there be m features, $f_k(\mathbf{x}, y)$ for $k = 1, \dots, m$
- ▶ Define a parameter vector $\mathbf{w} \in \mathbb{R}^m$
- ▶ Each (\mathbf{x}, y) pair is mapped to score:

$$s(\mathbf{x}, y) = \sum_k w_k \cdot f_k(\mathbf{x}, y)$$

- ▶ Using inner product notation:

$$\begin{aligned}\mathbf{w} \cdot \mathbf{f}(\mathbf{x}, y) &= \sum_k w_k \cdot f_k(\mathbf{x}, y) \\ s(\mathbf{x}, y) &= \mathbf{w} \cdot \mathbf{f}(\mathbf{x}, y)\end{aligned}$$

- ▶ To get a probability from the score: Renormalize!

$$\Pr(y \mid \mathbf{x}, \mathbf{w}) = \frac{\exp(s(\mathbf{x}, y))}{\sum_{y'} \exp(s(\mathbf{x}, y'))}$$

Log linear model

- ▶ The name 'log-linear model' comes from:

$$\log \Pr(y \mid \mathbf{x}, \mathbf{w}) = \underbrace{\mathbf{w} \cdot \mathbf{f}(\mathbf{x}, y)}_{\text{linear term}} - \underbrace{\log \sum_{y'} \exp(\mathbf{w} \cdot \mathbf{f}(\mathbf{x}, y'))}_{\text{normalization term}}$$

- ▶ Once the weights are learned, we can perform predictions using these features.
- ▶ The goal: to find \mathbf{w} that maximizes the log likelihood $L(\mathbf{w})$ of the labeled training set containing (\mathbf{x}_i, y_i) for $i = 1 \dots n$

$$\begin{aligned} L(\mathbf{w}) &= \sum_i \log \Pr(y_i \mid \mathbf{x}_i, \mathbf{w}) \\ &= \sum_i \mathbf{w} \cdot \mathbf{f}(\mathbf{x}_i, y_i) - \sum_i \log \sum_{y'} \exp(\mathbf{w} \cdot \mathbf{f}(\mathbf{x}_i, y')) \end{aligned}$$

Log linear model

- Maximize:

$$L(\mathbf{w}) = \sum_i \mathbf{w} \cdot \mathbf{f}(\mathbf{x}_i, y_i) - \sum_i \log \sum_{y'} \exp(\mathbf{w} \cdot \mathbf{f}(\mathbf{x}_i, y'))$$

- Calculate gradient:

$$\begin{aligned} & \left. \frac{dL(\mathbf{w})}{d\mathbf{w}} \right|_{\mathbf{w}} \\ &= \sum_i \mathbf{f}(\mathbf{x}_i, y_i) - \sum_i \frac{1}{\sum_{y''} \exp(\mathbf{w} \cdot \mathbf{f}(\mathbf{x}_i, y''))} \\ & \quad \sum_{y'} \mathbf{f}(\mathbf{x}_i, y') \cdot \exp(\mathbf{w} \cdot \mathbf{f}(\mathbf{x}_i, y')) \\ &= \sum_i \mathbf{f}(\mathbf{x}_i, y_i) - \sum_i \sum_{y'} \mathbf{f}(\mathbf{x}_i, y') \frac{\exp(\mathbf{w} \cdot \mathbf{f}(\mathbf{x}_i, y'))}{\sum_{y''} \exp(\mathbf{w} \cdot \mathbf{f}(\mathbf{x}_i, y''))} \\ &= \underbrace{\sum_i \mathbf{f}(\mathbf{x}_i, y_i)}_{\text{Observed counts}} - \underbrace{\sum_i \sum_{y'} \mathbf{f}(\mathbf{x}_i, y') \Pr(y' | \mathbf{x}_i, \mathbf{w})}_{\text{Expected counts}} \end{aligned}$$

Log linear model

- ▶ Init: $\mathbf{w}^{(0)} = \mathbf{0}$
- ▶ $t \leftarrow 0$
- ▶ Iterate until convergence:
 - ▶ Calculate: $\Delta = \left. \frac{dL(\mathbf{w})}{d\mathbf{w}} \right|_{\mathbf{w}=\mathbf{w}^{(t)}}$
 - ▶ Find $\beta^* = \arg \max_{\beta} L(\mathbf{w}^{(t)} + \beta \Delta)$
 - ▶ Set $\mathbf{w}^{(t+1)} \leftarrow \mathbf{w}^{(t)} + \beta^* \Delta$

Learning the weights: \mathbf{w} : Generalized Iterative Scaling

$$f^\# = \max_{x,y} \sum_j f_j(x,y)$$

(the maximum possible feature value; needed for scaling)

Initialize $\mathbf{w}^{(0)}$

For each iteration t

 expected[j] \leftarrow 0 for $j = 1 \dots \#$ of features

 For $i = 1$ to |training data|

 For each feature f_j

$$\text{expected}[j] += f_j(x_i, y_i) \cdot P(y_i | x_i, \mathbf{w}^{(t)})$$

 For each feature $f_j(x, y)$

$$\text{observed}[j] = f_j(x, y) \cdot \frac{c(x,y)}{|\text{training data}|}$$

 For each feature $f_j(x, y)$

$$w_j^{(t+1)} \leftarrow w_j^{(t)} \cdot \sqrt{\frac{\text{observed}[j]}{\text{expected}[j]}}$$

cf. Goodman, NIPS '01

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Part 3: Linear models for Tagging

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Tagging Tasks

Tagged Sequences

a b e e a f h j \Rightarrow a/Y b/Z e/Y e/Y a/Z f/X h/Z j/Y

Example 1: Part-of-speech tagging

Profits/N soared/V at/P Boeing/N Co./N ,/, easily/ADV
topping/V forecasts/N on/P Wall/N Street/N ,/, as/P
their/POSS CEO/N Alan/N Mulally/N announced/V first/ADJ
quarter/N results/N ./.

Example 2: Named Entity Recognition

Profits/O soared/O at/O Boeing/B-CO Co./I-CO ,/O easily/O
topping/O forecasts/O on/O Wall/B-LOC Street/I-LOC ,/O as/O
their/O CEO/O Alan/B-PER Mulally/I-PER announced/O first/O
quarter/O results/O ./O

Notation for Tagging Tasks

- ▶ Set of possible input words: \mathcal{V}
- ▶ Set of possible tags: \mathcal{T}
- ▶ Tag sequence: $t_{[1:n]} = [t_1, \dots, t_n]$
- ▶ Training data is N tagged sentences, the i^{th} sentence has length n_i :

$$(w_{[1:n]}^{(i)}, t_{[1:n]}^{(i)}) \text{ for } i = 1, \dots, n$$

Independence Assumptions for Tagging

Chain Rule

$$P(t_{[1:n]} \mid w_{[1:n]}) = \prod_{j=1}^n P(t_j \mid t_{j-1}, \dots, t_1, w_{[1:n]}, j)$$

Make independence assumptions

$$P(t_{[1:n]} \mid w_{[1:n]}) \approx \prod_{j=1}^n P(t_j \mid t_{j-1}, w_{[1:n]}, j)$$

j is the word being tagged

Questions

- ▶ Split up $P(t_j \mid t_{j-1}, w_{[1:n]}, j)$ into parameters?
- ▶ How to find $\arg \max_{t_{[1:n]}} P(t_{[1:n]} \mid w_{[1:n]})$?

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