Cryptography and Security

Lecture 1: a bit of history

## About the course

### Requirements

- Listen to the lectures
- Consultation the week after
- Exam in canvas (probably)
- Option to take a pre-exam
- Brief oral "defense" of the exam

#### Topics

- Mathematical foundations (algebra, number theory, probability)
- Cryptographic protocols (encryption, hash, signatures)
- Attacks (algorithmic, physical, ...)

## About the course

### References

- Katz-Lindell: Introduction to Modern Cryptography
- Schneier: Applied cryptography

## Already the ancient greeks ...

### Motivational questions

- Who sends what? (model of communication)
- What is an attack? (threat model)

### Simple classical examples

- A message to be sent between two people
- Attack: the enemy intercepts the message

## Already the ancient greeks ....

#### Atbash

- alphabet: replace kth letter from the front with kth from the back
- first with hebrew alphabet
- Simple "substitution":  $\aleph \leftrightarrow tav, \beth \leftrightarrow shin, \ldots$
- works just as well with any alphabet, e.g. latin

```
Original: abcdefghijklmnopqrstuvwxyz
Cipher: zyxwvutsrqponmlkjihqfedcba
```

### **Properties**

- Used in several places in the Bible
- Quite easy to "break"
- fixed permutation: considered weak today

## Already the ancient greeks ...

### Caesar cipher

 Replace every letter with the letter that comes 3 positions later

#### **Properties**

- linked to Julius Ceasar (1st century B.C.E.)
- easy to break (Al-Kindi, 9th century)
- Still used as recently as 1915 by the Russian army
- A variant today: ROT-13 (e.g. for anti-spoiler forum posts)

# Classical cryptography

### 19th century onwards

- military applications: protect communication
- ad-hoc constructions 
   ⇒ breaking is a matter of time only

## Classical cryptography in modern terms

### Symmetric key cryptography (informally)

- goal: protect communication between two parties
- setup: generate and share common secret key
- communication: on an open channel (except for key sharing)
- m: plain text ("message"), c: encrypted text ("ciphertext"),
   k: key
- Consists of 3 separate algorithms
  - Gen generation of key k. Choose randomly from a predefined set according to a predefined distribution
  - $extit{Enc}$  encryption. Given the key k and message m, compute  $c = Enc_k(m)$
  - 3 Dec decryption. Given the key k and ciphertext c, compute  $m = Dec_k(c)$



## Kerchkoffs's principles

### Design principles of encryption methods (Kerckhoffs, 1883)

- A system must be practically, if not mathematically indecipherable.
- It should not require secrecy. Should be no problem if enemy intercepts.
- Key: easy to remember and change
- Should be communicated via telegraph (low bandwidth:)
- Should be portable and not require several people to handle, operate.
- Should be easy to use.

## "The" Kerchkoffs's principle

### Design principles of encryption methods (Kerckhoffs, 1883)

- It should not require secrecy. Should be no problem if enemy intercepts.
- (VS: security through obscurity)

#### Protect key vs. protect method

- secure distribution and storage
- replace compromised components
- communication with several peers

#### Conclusion

- goal: without the key, attacker cannot decrypt (or only with enormous effort)
- good encryption algorithms should be public
- public domain vs. security by obscurity

## The attacker's toolkit

#### Traditional methods

- brute-force (try every possible key)
- frequency analysis (entropy of language)
- collision detection
- anything we (the good guys) have not even thought of

#### Other methods

- reverse-engineering (sec-by-obsc)
- software/hardware attacks (DOS/fault attacks)
- attacks using human weaknesses (social engineering, phishing)
- anything we have not even thought of

## The attacker's toolkit

#### Passive attacks

- ullet ciphertext-only attack: obtain m knowing one or more ciphertexts obtained by using the same k
- known plaintext attack: obtain further pairs (m,c) knowing one or more message-ciphertext pairs obtained by using the same k.

## The attacker's toolkit

#### Active attacks

- chosen plaintext attack: the attacker has access to some message-ciphertext pairs where the messages are chosen by them (*k* fixed).
- chosen ciphertext attack: the attacker has access to some message-ciphertext pairs where the ciphertexts are chosen by them (*k* fixed).

## Shift cipher

- principle: shift every letter by some (secret) number
- map alphabet to  $0, 1, 2, \ldots, 25$ , "wrap around": 25 + 1 = 0.

### Shift cipher

- **1**  $Gen: k \in \{0, 1, ..., 25\}$  random.
- 2 Enc: for each character of message:  $c_i = Enc_k(m_i) \equiv m_i + k \pmod{26}$
- ① Dec: for each character of ciphertext:  $m_i = Dec_k(c_i) \equiv c_i k \pmod{26}$

## **Example.** $m = \text{EXAMPLE} \rightarrow (4, 23, 0, 12, 15, 11, 4)$

- **1** Gen: k = 11
- $Enc: c = (15, 8, 11, 23, 0, 22, 15) \rightarrow PILXAWP$
- $Oec: m = (4, 23, 0, 12, 15, 11, 4) \rightarrow EXAMPLE$

### Shift cipher

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### **Properties**

- trivial to break: try every possible key (brute-force)
- consequence: key space needs to be large
- a necessary condition for security (not sufficient though ...)
- E.g. space of 128-bit long 0-1 sequences(=  $2^{128}$  possibilities)



### Mono-alphabetic replacement

- principle: replace each letter according to a randomly chosen permutation
- key space: all permutations (bijective mappings) on the alphabet

### Mono-alphabetic replacement

- Gen: k a random permutation of the 26 elements
- 2 Enc, Dec: apply permutation/inverse caharecter by character

## Example. m = PERMUTATION

- 2 Enc: c = sdhclgxgvfq, <math>Dec: m = PERMUTATION

### Mono-alphabetic replacement

- Gen: k a random permutation of the 26 elements
- 2 Enc, Dec: apply permutation/inverse caharecter by character

### **Properties**

- key space:  $26! \approx 2^{88}$  large enough, but ...
- Fixed equivalent of each letter ⇒ statistics-based attack using frequencies
- Even short texts (10 words!) usually show statistics close to the global language stats
- Some letters easy to spot, brute force on the rest (hard to automize)
- Only on text that "makes sense"

## Vigenère cipher

- principle: hide letter frequencies
- same letter shifted by variable amounts based on the position

#### Poly-alphabetic shift

- Gen:  $k = (k_1, \ldots, k_t)$  random (t not fixed)
- $Enc: c_{i+jt} \equiv m_{i+jt} + k_i \pmod{26}: i = 1, \dots, t, j = 0, \dots$

#### Example m = THISISIMPOSSIBLE

• Gen: k = false (means a number sequence!)

$$m = \text{THISISIMPOSSIBLE}$$

Enc: k = falsefalsefalsef c = YHTIMXIXHSXSTTPJ

### Poly-alphabetic shift

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#### **Properties**

- If t is known  $\Rightarrow$  broken (statistics on t-separated subsequences)
- Kasiski's method look for repetitions of 2,3: distances are multiple of  $t \Rightarrow t = \gcd$
- count identicals: stats for  $c_1, c_{1+k}, c_{2+k}, \ldots \Rightarrow$  ok for long text, short key
- Broken e.g. in American civil war  $(k = completevictory \Rightarrow easy)$



## Wrap-up

### Summary

- Kerchkhoffs's principle: make system public
- Make practical brute force attack infeasible with large key space
- It's hard to build a secure protocol
- Need science rather than ad-hoc ideas

## Modern vs. traditional cryptography

## Recap: traditional crypto

- An "art" rather than science
- Ad-hoc constructions
- Easy(ish) to break

### Basic principles of modern crypto

- formal and precise definitions
- build on precisely stated assumptions
- strictly proven security

#### Precise definitions

- design (What's the purpose / goal? Rather than "ex post facto")
- usage (appropriate for goal?)
- analysis (comparison)
- intuition not enough

#### "Define" secure encryption

An encryption method is secure if ???

### Definition of secure encryption

- no attacker can recover the key from the ciphertext itself.
- 2 no attacker can recover the plaintext message from the ciphertext itself (even if only a small part of the message is missing)
- on attacker can recover a single character of the message from the ciphertext (probabilities / order of magnitude of computation needed)
- no attacker can learn anything imprtant about the message knowing only the ciphertext (what counts as important?)
- on attacker can recover any function (e.g. length, letter statistics, etc.) from the ciphertext

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#### How to make the definition formal?

- What does "break a cipher" / "recover the message" mean?
- What does "no attacker" mean (what powers do they posess)?

### Example

A cryptographic protocol meant for a specific purpose is secure if no attacker with the specified (computational) power can perform a specified form of attack.

### Math vs. practice

- hardware-based attacks
- human factors

## Good definition of security/secrecy should

- support the intuitive view
- be supported by examples
- be backed up by ongoing analysis over time

## Principle 2: precise assumptions

### Two variants

- unconditional secrecy
- computational secrecy

## Why?

- validation of assumptions
- comparison of methods
- facilitate security proofs

## Principle 3: formal proofs of secrecy

## Why?

- established difficulty vs. naive intuition
- works ⇒ unbreakable
- risks of poor cryptosystem or poor software product

#### Proof of secrecy by reduction

Protocol X is considered secret (by a certain definition) if assumption Y is correct.

## Perfect secrecy

#### Informálisan

What do we need to specify a scheme?

- three algorithms: Gen, Enc, Dec
- message space M

#### **Parameters**

- *key space*:  $\mathcal{K}$  set of possible keys  $(k \in \mathcal{K})$
- message space:  $\mathcal{M}$  set of possible messages  $(m \in \mathcal{M})$
- $\bullet$  *ciphertext space*:  $\mathcal{C}$  set of possible ciphertexts
- Usually finite (esp. keyspace "large" but finite)

## Perfectly secret scheme

#### **Parameters**

- Probability distributions over  $\mathcal{K}, \mathcal{M}, \mathcal{C}$
- $k \in \mathcal{K} : Pr(K = k)$  denotes the probability that key k is chosen.
- e.g.:  $\mathcal{K}$ : bit sequences of length128,  $k \in_R \mathcal{K} \Rightarrow Pr(K = k) = 1/2^{128}$ 
  - Similarly for  $\mathcal{M}, \mathcal{C}$
- e.g.:  $|\mathcal{M}| = 2$ , Pr(Attack tomorrow) = 0.7, Pr(No attack) = 0.3
  - ullet Distribution over  ${\mathcal K}$  and  ${\mathcal M}$  independent and arbitrary
  - Distribution over C determined by the other two.
  - conditional probability:  $Pr(A \mid B)$ : Probability of A, provided that we know B is true.

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## Perfectly secret scheme

#### Definition

A scheme is a triple  $\Pi = (Gen, Enc, Dec)$  where :

- Gen is key generation, a probabilistic algorithm that returns a key  $k \in_R \mathcal{K}$  (maybe using an input called the security parameter)
- Enc is encryption, a probabilistic algorithm that returns a ciphertext  $c \in \mathcal{C}$  on inputs  $k \in \mathcal{K}$  and  $m \in \mathcal{M}$ , i.e.  $c := Enc_k(m)$ .
- Dec is decryption a deterministic algorithm that returns a plaintext upon inputs k and  $c \in C$ : the return value is  $Dec_k(c)$   $in\mathcal{M}$ .

### Intuiton

- we know the distribution of messages
- knowing the ciphertext, no information about the message should be learnt
- attacker's computational power: infinite

#### **Definition**

A scheme over  $\mathcal{M}$  is perfectly secret if for any distribution over  $\mathcal{M}$  and  $\forall m \in \mathcal{M}, \forall c \in \mathcal{C}$ :

$$Pr(M = m) = Pr(M = m | C = c).$$

### Equivalent formulation

 We cannot distinguish the ciphertexts corresponding to two different messages.

### Lemma (Perfect indistinguishability)

A scheme provides perfect secrecy if for any distribution over  $\mathcal{M}$ , and  $\forall m_1, m_2 \in \mathcal{M}, \forall c \in \mathcal{C}$ :

$$Pr(C = c|M = m_1) = Pr(C = c|M = m_2).$$

### Equivalent formulation

 indistinguishability game: two players: adversary and tester

# Indistinguishability experiment with eavesdropper $\overline{PrivK_{\mathcal{A},\Pi}^{eav}}$

- Adversary  $\mathcal{A}$  issues messages  $m_0, m_1$  with  $|m_0| = |m_1|$
- 2 Tester randomly chooses jey k and bit  $b \in_R \{0,1\} : c = Enc_k(m_b)$ . Send c to  $\mathcal{A}$ .
- **3** A answers by outputting  $b' \in \{0, 1\}$
- $PrivK_{\mathcal{A},\Pi}^{eav}=1$  if b=b', otherwise 0. (Wins the game if guesses correctly.)

# Indistinguishability experiment with eavesdropper $Priv\overline{K_{\mathcal{A},\Pi}^{eav}}$

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- 3  $\mathcal{A}$  answers by outputting  $b' \in \{0, 1\}$
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#### Definition

A scheme  $\Pi$  is perfectly secret over  $\mathcal{M}$  if  $\forall \mathcal{A}$ :

$$Pr(PrivK_{\mathcal{A},\Pi}^{eav}=1)=\frac{1}{2}.$$

#### Lemma

These definitions are equivalent.

# One-time pad

### One-time pad (OTP)

Initialize 
$$\mathcal{K} = \mathcal{M} = \{0, 1\}^n$$

Gen let  $k \in \mathbb{R} \{0,1\}^n$  uniformly random

Enc for 
$$k \in \{0,1\}^n$$
 and  $m \in \{0,1\}^n$ , let  $c = Enc_k(m) = k \oplus m$ .

Dec for k and  $c \in \{0,1\}^n$  let  $Dec_k(c) = c \oplus k$ .

#### Theorem

One-time pad is perfectly secret.

# Drawbacks of perfect secrecy

### One-time pad properties

- $|k| = |m| \Rightarrow$  too long keys / short messages only
- "one-time" (really, never reuse!)
- these are not unique to OTP, but inherent to perfect secrecy

#### Theorem

Let  $\Pi$  be a perfectly secret sheeme over  $\mathcal{M}$  and let  $\mathcal{K}$  be the key space determined by Gen. Then  $|\mathcal{K}| \geq |\mathcal{M}|$ .

# Recap: perfect secrecy

### Informal description

An encryption method is perfectly secret if it is unbreakable

- no matter how much time you have
- no matter how powerful resources you have

### One-time pad

- message and key: equal length
- throw away key after use
- the key is unknown to the attacker
- key: true random bits

### Random sequences

### What to expect from a random sequence?

- distribution of 0 and 1 (or other alphabet): uniform
- inability to predict future values based on the past/present
- no correlation between characters at different positions

#### Question

How do we define the randomness of a sequence?

### Random sequence

#### Definition

Denote by d(s) the minimal description of sequence s using some universal language  $\mathcal{L}$ . The length of this description is called the Kolmogorov complexity of s: K(s) = |d(s)|.

#### Definition

Sequence s is algorithmically random if |s| < K(s).

### Interpretation

Think of Kolmogorov complexity as the length of the shortest (python/C++/whatever) program that generates s as output. Randomness: no "easy" description/compression/program available.

- - Short description of  $s_1$ : e.g. [1] \* 42
- - $s_4$  Looks random, *looks* uncompressible.

# Kolmogorov complexity: the caveat

#### Theorem

No program exists that would compute K(s) for any input s.

Proof by contradiction (sketch) Suppose a program KolmogorovComplexity(s) does the job. Suppose it has length 100000. Now, what's the shortest program that generates the output of the following algorithm?

```
for i=1\to\infty do for each sequence s with length \forall i do if KolmogorovComplexity(s)\geq 200000 then return s end if end for end for
```

### Generating random sequences

#### Quote

"Anyone who attempts to generate random numbers by deterministic means is, of course, living in a state of sin." – John von Neumann

### Corollary of impossibility theorem

No mathematical algorithm to be expected that generates provably random sequences.

### Properties of randomness needed

- physical source
- poor randomness implies software vulnerailities

# Computational security

### Kerckhoffs's principles

- Make the cryptosystem secure in practice if not mathematically.
- 2

#### Idea

Relax the conditions on perfect secrecy. No breaking of cipher

- in "reasonable" time
- with "reasonable" probability of success

# Computational secrecy

#### Reasonable time = ???

- efficient adversary
- efficient algorithms

#### **Definition**

An algorithm A has **polynomial running time** if  $\exists p(.)$ , a polynomial s.t.  $\forall x \in \{0,1\}^*$  computation of A(x) terminates in  $\leq p(|x|)$  steps.

#### Definition

An algorithm A is **probabilistic** if it has access to a source generating uniformly random and independent bits.

### Efficient adversary

Using only probabilistic polynomial time (PPT) algorithms



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# Computational secrecy

#### Reasonable success

- very small probability
- negligible probability

#### **Definition**

Function f is **negligible** if  $\forall p(.)$ , a polynomial  $\exists N \in \mathbb{R}^+$  with  $\forall n \in \mathbb{N}, n > N : f(n) < \frac{1}{p(n)}$ .

### Reasonable probability of success

Negligible function as the probability of successful break.

# Computational secrecy: two approaches

### Concrete approach

A scheme is  $(t, \varepsilon)$ -secure if  $\forall$  adversaries with at most t time, the prob. of success is at most  $\varepsilon$ .

### Asymptotic approach

A scheme is secure if  $\forall$  PPT adversaries have only negligible probability of successfully breaking the scheme.

### Security proofs by reduction

- Unconditional security: has its limits
- we need some assumption for computational security
- a "basic" problem being hard
- based on that, we can argument for the difficulty of breaking the scheme

### Reduction pattern

- If we suppose a PPT adversary:  $\exists A$  breaks the scheme with non-negl. prob.
- then there's an algorithm  $\exists \mathcal{A}'$  solving the (by assumption) hard problem

# (Computationally) secure encryption scheme

#### Definition

An encryption scheme is a triple  $\Pi = (Gen, Enc, Dec)$  where :

- Gen is key generation, a probabilistic algorithm that returns a key  $k \in_R \mathcal{K}$  (maybe using an input called the security parameter)
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- Dec is decryption a deterministic algorithm that returns a plaintext upon inputs k and  $c \in C$ : the return value is  $Dec_k(c)$   $in\mathcal{M}$ .

#### Threat model

Passive attacker: eavesdropping, has acces to a single encrypted text.

# (Computationally) secure encryption scheme

#### Attack

- A: eavesdropping
- a single instance of an encrypted message
- passive attack
- goal: A learns nothing about the plaintext m
  - semantic security
  - hard to handle
- instead: indistinguishability

# (Computationally) secure encryption scheme

# Definition (Indistinguishablity experiment with eavesdropper $PrivK^{eav}_{\mathcal{A},\Pi}(n)$ )

- Adversary  $\mathcal{A}$  returns two messages  $m_0, m_1$  with  $|m_0| = |m_1|$  upon input  $1^n$ .
- 2  $k = Gen(1^n), b \in_R \{0,1\} : c = Enc_k(m_b)$ . The ciphertext c is sent to A
- $\odot$   $\mathcal{A}$  outputs  $b' \in \{0,1\}$
- $PrivK_{\mathcal{A},\Pi}^{eav}(n) = 1$ , if b = b', otherwise 0.

#### **Definition**

The scheme  $\Pi = (Gen, Enc, Dec)$  has the indistinguishability property aginst one eavesdropping if all PPT adversaries A, a negligible function e(.) exists for which

$$P(PrivK_{\mathcal{A},\Pi}^{eav}(n) = 1) \le \frac{1}{2} + e(n).$$



#### Idea

- one-time pad idea
- replace perfect security by computational security
- replace random key by ???

#### Pseudorandom sequence

- PR for short
- relaxed, computational version of randomness
- looks random to a PPT observer
- generated from a short truly random sequence (seed)

#### Idea

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### Pseudorandom sequence

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- looks random to a PPT observer
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#### Intuition

- has some physical randomness in it, but the sequence is much longer
- in reasonable time: indistinguishable from true randomness

#### Definition

Let l(.) be a polynomial (called expansion factor) and  $G: \{0,1\}^n \to \{0,1\}^{l(n)}$  a DPT (deterministic PT) algorithm. Then G is a pseudorandom generator if

- ②  $\forall D$  PPT distinguisher,  $\exists e(.)$ , a negligible function with  $\forall s \in_R \{0,1\}^n, \forall r \in_R \{0,1\}^{l(n)}$ :

$$|Pr(D(r) = 1) - Pr(D(G(s)) = 1)| \le e(n)$$



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### **Properties**

- PRG no "real" randomness
- Brute force always works in principle
- Seed:
  - true randomness
  - secret
  - not TOO short
- PRG exists, assuming ... is hard
- statistical tests

### Example: next-bit test

#### Next-bit test

A sequnece passes the next-bit test if for all positions i, the attacker

- knowing the first i bits
- can NOT guess the bit at position (i+1) with probability higher than  $50\% + \epsilon$ .

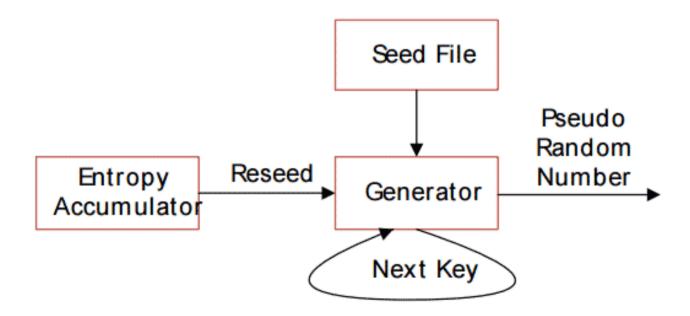
#### Statistical tests

- NIST test (National standards intitute of US)
- DIEHARD test (academic origins Marsaglia '95)

### PRG example: Fortuna

#### Fortuna

- Schneier, Fergusson 2003
- PRG family with 3 main components:
- 1 **Generator**: generate PR stream after seeding
- 2 Entropy accumulator: collect randomness
- 3 **Seeding**: ensure randomness in a bootstrapping phase



# A secure scheme (against 1 eavsedropping)

### Scheme using PRG

Let G be a PRG with expansion factor l, and

Gen 
$$k \in_R \{0,1\}^n$$

Enc For 
$$k \in \{0,1\}^n$$
 and  $m \in \{0,1\}^{l(n)}$ , let  $c = Enc_k(m) = G(k) \oplus m$ .

Dec For  $k, c \in \{0,1\}^{l(n)}$ , let  $Dec_k(c) = c \oplus G(k)$ .

#### Theorem

If G has the PRG properties, the  $\Pi = (Gen, Enc, Dec)$  is secure in the presence of an eavesdropper (with one intercepted message).

# A secure scheme (against multiple eavesdropping)

# Definition (Indistinguishability experiment $PrivK_{\mathcal{A},\Pi}^{meav}(n)$ )

### Same as above, except:

Adversary A issues a sequence

$$M_0 = (m_{01}, \dots, m_{0t}), M_1 = (m_{11}, \dots, m_{1t})$$
 with  $\forall i : |m_{0i}| = |m_{1i}|$ 

- 2  $k = Gen(1^n), b \in_R \{0, 1\} : C = (c_1, \dots, c_t) : c_i = Enc_k(m_{bi})$ received by A
  - ∃ scheme secure for exactly 1 eavesdropping attempt
  - deterministic algo never good  $\Rightarrow$  randomization needed (IV)
  - synchronization issues
  - $Enc_k(m) = (IV, G(k, IV) \oplus m)$



# A secure scheme (against multiple eavesdropping)

# Definition (Indistinguishability experiment $PrivK_{\mathcal{A},\Pi}^{meav}(n)$ )

### Same as above, except:

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  - synchronization issues
  - $Enc_k(m) = (IV, G(k, IV) \oplus m)$



# Chosen plaintext attack

#### Attack

Active adversary: has access to arbitraty pairs (c, m)

# Definition (CPA indistinguishability experiment $PrivK^{cpa}_{\mathcal{A},\Pi}(n)$ )

- 2 Adversary  $\mathcal{A}$  has oracle (black box) access to  $Enc_k(.)$ -hez, issues two plaintexts  $m_0, m_1$  with  $|m_0| = |m_1|$
- **3**  $b \in_R \{0,1\} : c = Enc_k(m_b)$  given to A
- $oldsymbol{\Phi}$   $\mathcal{A}$  has further oracle access  $Enc_k(.)$ , outputs  $b' \in \{0,1\}$
- $PrivK^{cpa}_{\mathcal{A},\Pi}(n) = 1$ , if b = b', 0 otherwise.

# Chosen plaintext attack

#### Definition

A scheme  $\Pi = (Gen, Enc, Dec)$  is CPA-secure if for any PPT adversary  $\mathcal{A} \exists e(.)$ , a negligible function with

$$P(PrivK_{\mathcal{A},\Pi}^{cpa}(n) = 1) \le \frac{1}{2} + e(n).$$

- Cannot be deterministic
- Secure against one eavesdropping ⇒ secure against multiple eavesdropping
- Can be fulfilled by PRG

## Wrap-up

### Summary

- Sequence is random if hard to describe/compress
- Relax perfect secrecy: computational security
- PPT adversary + negligible success probability
- Pseudorandom sequence: computational difficulty formulation

## Stream cipher

- Goal: encrypt stream of arbitrary length
- Idea: One-time pad simulation with PRG + randomness

### Stream cipher

Let G be a PRG and

Gen 
$$k \in_R \{0,1\}^n$$

Enc  $k \in \{0,1\}^n$  key, IV random initialization vector, m message  $c = Enc_k(m) = (IV, G(k, IV) \oplus m)$ .

Dec If c = (IV, s), then  $Dec_k(c) = s \oplus G(k, IV)$ .

• If *G* satisfies PRG properties, then this is (computationally) secure cipher against multiple eavesdropping



# Reminder: chosen plaintext attack

#### Attack

Active adversary: arbitrary plaintext messages and corresponding ciphertexts avaiable

# Definition (CPA indisitinguishability experiment $PrivK^{cpa}_{\mathcal{A},\Pi}(n)$ )

- 2 Adversary  $\mathcal A$  has oracle access to  $Enc_k(.)$ . Issues  $m_0, m_1$ ,  $|m_0| = |m_1|$
- **3**  $b \in_R \{0,1\} : c = Enc_k(m_b)$  given to A
- $oldsymbol{\Phi}$   $\mathcal{A}$  has oracle access to  $Enc_k(.)$ . Issues  $b' \in \{0,1\}$
- Priv $K^{cpa}_{\mathcal{A},\Pi}(n)=1, \text{ if } b=b', \text{ 0 otherwise.}$

### **CPA**

#### Definition

A cipher  $\Pi = (Gen, Enc, Dec)$  is CPA-secure if  $\forall A$  PPT adversary  $\exists e(.)$  negligible with

$$P(PrivK_{\mathcal{A},\Pi}^{cpa}(n) = 1) \le \frac{1}{2} + e(n).$$

### Tool: pseudorandom function family

- keeps input length (n-bit strings mapped to n-bit strings)
- for fixed  $k \in \{0,1\}^n$   $F_k(.)$  is a member of the family
- a.k.a. keyed function
- a random element from the family is indistinguishable from a truly random function

# CPA-secure cipher

### CPA-security from PRF

Let F be a PRF, furthermore

Gen 
$$k \in \{0, 1\}^n$$

Enc 
$$c = Enc_k(m) = (r, F_k(r) \oplus m)$$
.

Dec For 
$$c=(r,s)$$
, let  $Dec_k(r,s)=F_k(r)\oplus s$ .

#### Theorem

If F has the PRF property, then this  $\Pi = (Gen, Enc, Dec)$  is CPA-secure.

## Block ciphers

- Goal: encryption of fixed length message (block)
- Idea: strengthen PRF construction

### Tool: strong pseudorandom permutation family (strong PRP)

- similar to PRF, but additionally:
- keeps length (n-bit strings mapped to n-bit strings),
   BIJECTION
- for fixed  $k \in \{0,1\}^n$ ,  $F_k(.)$  is a member of the family
- any random element of the family is indistinguishable from a truly random function, knowing the functions and inverses.

## Block ciphers

### Block cipher

Let F be a strong PRP and

Gen 
$$k \in_R \{0,1\}^n$$

Enc for key  $k \in \{0,1\}^n$ , message  $m \in \{0,1\}^n$  and random value  $r \in_R \{0,1\}^n$ , let  $c = Enc_k(m) = (r, F_k(r) \oplus m)$ .

Dec For c=(r,s), let  $Dec_k(r,s)=F_k(r)\oplus s$ .

- Remaining challenges: encrypt long messages with block ciphers
- $m = (m_1, \ldots, m_l)$  where  $\forall i : |m_i| = n$  (padding if needed)
- Let's encrypt block  $m_i$  according to some *mode of operation* one by one

## **Block ciphers**

#### Block cipher

Let F be a strong PRP and

```
Gen k \in_R \{0,1\}^n
Enc for key k \in \{0,1\}^n, message m \in \{0,1\}^n and random value r \in_R \{0,1\}^n, let c = Enc_k(m) = (r, F_k(r) \oplus m).
```

Dec For c=(r,s), let  $Dec_k(r,s)=F_k(r)\oplus s$ .

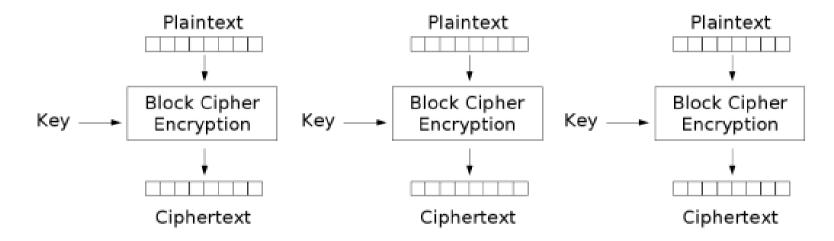
- Remaining challenges: encrypt long messages with block ciphers
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- Let's encrypt block  $m_i$  according to some *mode of operation* one by one

# Modes of operation: ECB

#### Electronic Code Book mode (ECB)

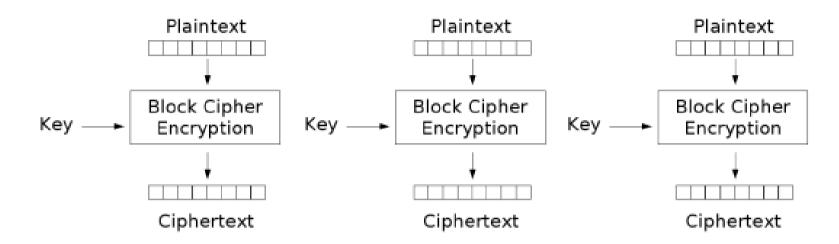
Let  $F_k(.)$  be a strong PRP,

$$Enc_k(m) = c = (F_k(m_1), \dots, F_k(m_l)).$$



Electronic Codebook (ECB) mode encryption

## Modes of operation: ECB



Electronic Codebook (ECB) mode encryption

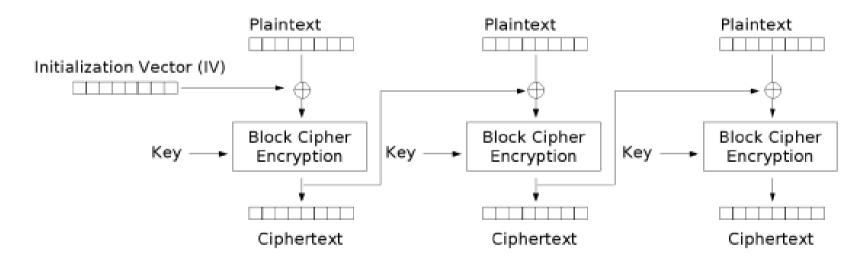
#### **Properties**

- Dec:  $F_k(.)^{-1}$  should be efficiently computable
- deterministic ⇒ no CPA-security
- eavesdropping: no security (repeated blocks)
- DON'T USE

# Modes of operation: CBC

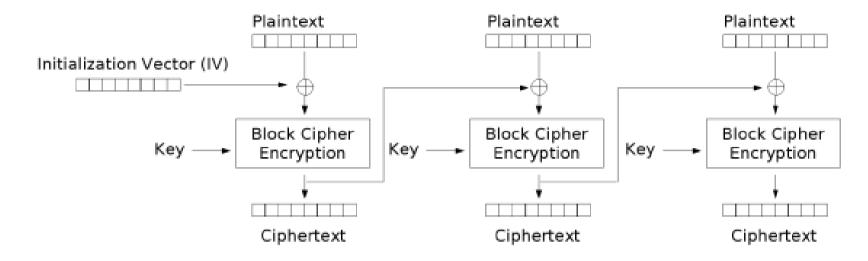
#### Cipher Block Chaining mode (CBC)

- $IV \in_R \{0,1\}^n$  initialization vector
- $c_0 = IV, c_i = F_k(m_i \oplus c_{i-1})$
- $c = (c_0, c_1, \dots, c_l)$



Cipher Block Chaining (CBC) mode encryption

## CBC



Cipher Block Chaining (CBC) mode encryption

### **Properties**

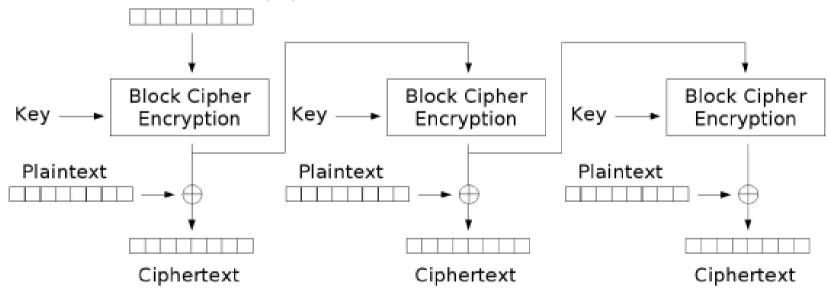
- probabilistic
- If  $F_k(.)$  is strong PRP  $\Rightarrow$  CPA-security
- sequential
- IV should be truly random (NO counter to be used here)

## Modes of operation: OFB

#### Output Feedback mode (OFB)

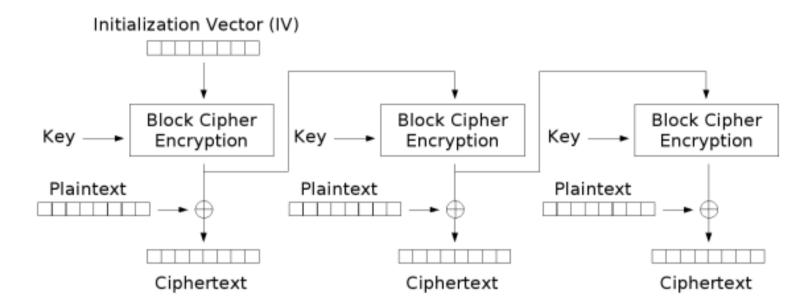
- $IV \in_R \{0,1\}^n$  initialization vector
- $r_0 = IV, r_i = F_k(r_{i-1}), c_i = m_i \oplus r_i$
- $c = (c_0, c_1, \dots, c_l)$





Output Feedback (OFB) mode encryption

### **OFB**



Output Feedback (OFB) mode encryption

### **Properties**

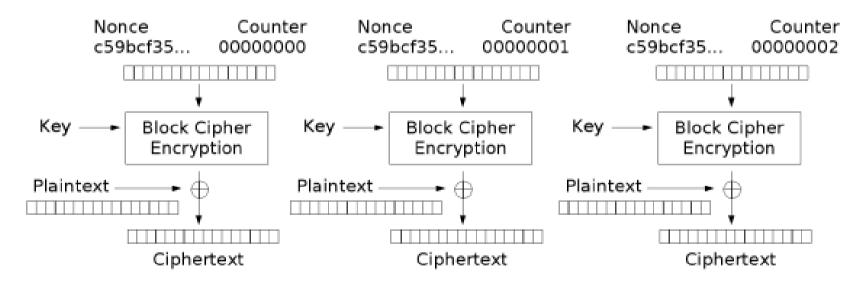
- probabilistic
- If  $F_k(.)$  PRF  $\Rightarrow$  CPA-security  $\Rightarrow$   $F_k(.)$  has weaker assumption
- sequential
- preprocessing possible



## Modes of operation: CTR

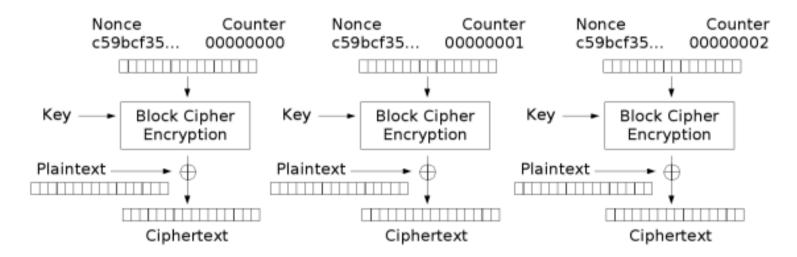
#### (Randomized) Counter mode (CTR)

- $ctr = IV \in_R \{0,1\}^n$  initialization vector
- $c = (c_0, c_1, \dots, c_l)$



Counter (CTR) mode encryption

### **CTR**



Counter (CTR) mode encryption

#### **Properties**

- probabilistic
- if  $F_k(.)$  is a PRF  $\Rightarrow$  CPA-security  $\Rightarrow$   $F_k(.)$  has weaker assumption
- parallelization
- preprocessing
- random access (decrypt ith block only)

200

### Block or stream?

- block-size and security
- further modes
- modification of ciphertext: possible attacks

#### Block vs stream

- OFB and CTR mode makes stream cipher operation possible
- generates PR sequences
- better understood, more attack attempts, well-established
- stream cipher: can be faster

### Block or stream?

- block-size and security
- further modes
- modification of ciphertext: possible attacks

#### Block vs stream

- OFB and CTR mode makes stream cipher operation possible
- generates PR sequences
- better understood, more attack attempts, well-established
- stream cipher: can be faster

# Recap: block ciphers

Goal: encryption of a fixed length message (block)

### Tool: strong pseudorandom permutation family (PRP)

- similar to PRF
- maps *n*-bit strings to *n*-bit strings **bijectively**
- for  $k \in \{0,1\}^n$ ,  $F_k(.)$  is a member of the family
- a random element of the family is PPT-indistinguishable from a random function using the functions and the inverses.

# Recap: block cipher

### **Block cipher**

Let F be a strong PRP

Gen 
$$k \in \{0,1\}^n$$

Enc for key  $k \in \{0,1\}^n$  and message  $m \in \{0,1\}^n$  and  $r \in_R \{0,1\}^n$ : let  $c = Enc_k(m) = (r, F_k(r) \oplus m)$ .

Dec for key k and ciphertext c=(r,s), we have  $Dec_k(r,s)=F_k(r)\oplus s$ .

## Feistel network

#### Basic idea

- Goal: to encrypt  $m \in \{0,1\}^n$ , n: block length
- $\bullet$  t+1 rounds of iterative computation for encryption
- subkeys obtained from k for each round
- F: round function
- mix and change subblocks of the message

### Feistel network

#### Feistel network

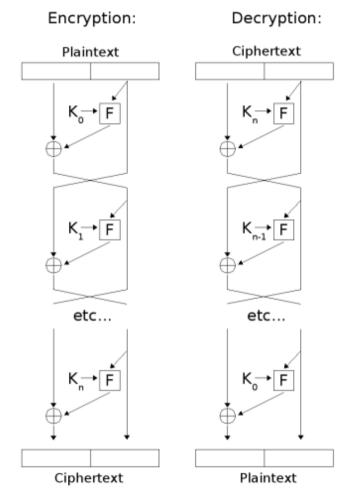
Gen  $F: \{0,1\}^n \times \{0,1\}^n \Rightarrow \{0,1\}^n$ round-function,  $k_0, k_1, \dots, k_t$ subkeys

Enc 
$$m = (L_0, R_0), i = 0, ..., t + 1:$$

$$L_{i+1} = R_i, R_{i+1} = L_i \oplus (F_{k_i}(R_i))$$

$$c = (L_{t+1}, R_{t+1})$$

Dec 
$$i = n, n - 1..., 0 : R_i = L_{i+1}, L_i = R_{i+1}(F_{k_i}(L_{i+1}))$$
  
 $m = (L_0, R_0)$ 

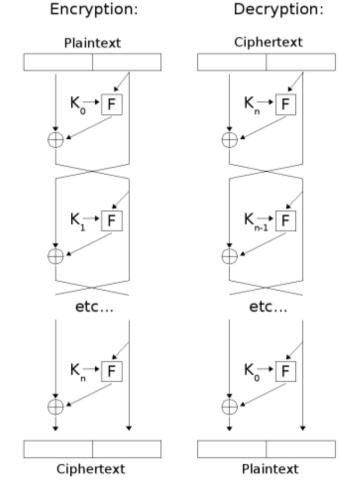


**Feistel Cipher** 

### Feistel networks

### **Properties**

- if F(.) is PRF ⇒ PRP after 3 rounds, strong PRP after 4 rounds
- F(.) any function (not necessarily invertible)
- unbalanced versions
- randomized ciphertext
- format preserving encryption
- GOST, DES, RC5, Blowfish, ...



**Feistel Cipher** 

## Feistel network

#### GOST

- block length: 64 bit, key size: 256 bit, 32 rounds
- round function:  $F_{k_i}(m_i): m_i \boxplus k_i \longrightarrow S$ -box  $\longrightarrow <<<11$
- 8 S-boxes of size 4x4

### Properties

- soviet origins
- theoretical break
- ullet practical break:  $2^{192}$  time for  $2^{64}$  pieces of data

#### Basic idea

- encrypt  $m \in \{0,1\}^n$ , where n is the block lentgh
- several rounds
- subkeys generated from k
- S(ubst)-box and P(ermut)-box structure (changes with each round)
- S-box: substitution function
- P-box: permutation

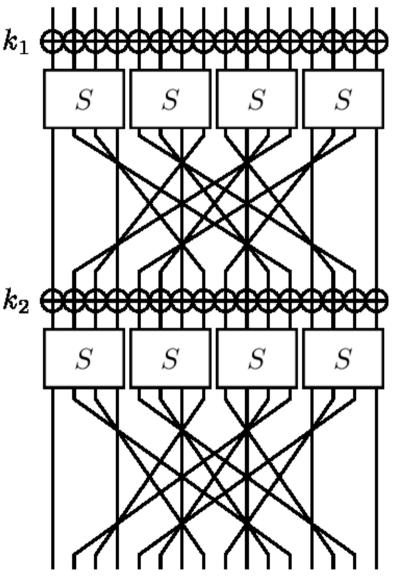
#### S-P network

Gen 
$$S_i: \{0,1\}^{n/l} \Rightarrow \{0,1\}^{n/l}, i = 1,\dots,l; P: \{0,1\}^n \Rightarrow \{0,1\}^n, k_0,k_1,\dots,k_t \text{ subkeys}$$

Enc 
$$m_0 = m, c_i = (c_i^1, \dots, c_i^l) = m_i \oplus k_i, m_{i+1} = P(S_1(c_i^1), S_2(c_i^2), \dots, S_l(c_i^l))$$

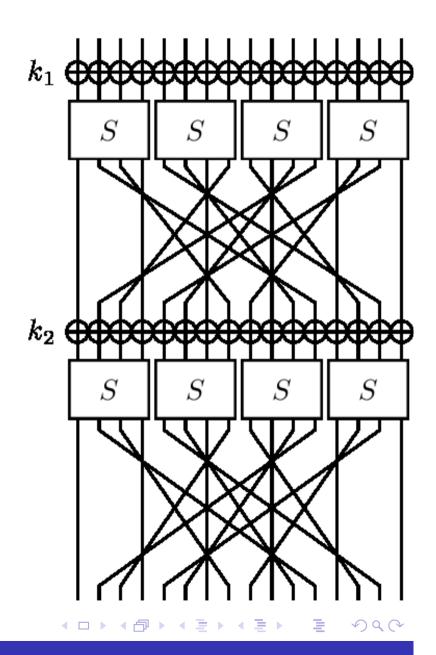
$$c = m_{t+1}$$

Dec Using  $S_i^{-1}, i = 1, \dots, l, P^{-1}$ 



### **Properties**

- S-box: bijective, avalanche effect, strong output-dependence
- P-box: permutation (shiffling)
- simple, hardware-friendly operations
- Goal: to fulfill the confusion-diffusion paradigm by Shannon
- AES (Rijndael), PRESENT, ...



## Feistel vs. S-P

- Feistel: no need for invertible function
- S-P: parallel execution, might be faster on some hardware (not always, e.g. smart cards)
- Feistel combined with S-boxes

#### **AES**

- Rijndael block cipher's special case (Daemen, Rijmen)
- 2001: USA standard (AES competition)
- software hardware efficiency
- no practical break known
- best known improvement over brute force: AES-128: reduced to  $2^{126}$  operations
- side-channel attacks (protect hardware!)

#### **AES**

- block length: 128 bits
- key size: 128 (10 rounds), 192 (12 rounds) or 256 bits (14 rounds)
- 4 x 4 byte-matrices (state)
- KeyExpansion: generate subkeys
- AddRoundKey: ... ⊕ subkey
- 9,11 v 13 rounds:
  - SubBytes (S-box)
  - 2 ShiftRows (P-box)
  - MixColumns (P-box)
  - 4 AddRoundKey
- final round: same without MixColumns

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- final round: same without MixColumns

# AES background (theory)

#### Rijndael-test

- $x^8 + x^4 + x^3 + x + 1$  polynomial over  $\mathbb{Z}_2$  (irreducible)
- $\mathbb{F} = \mathbb{Z}_2[x]/\langle x^8 + x^4 + x^3 + x + 1 \rangle$ : 256-element field (4 basic operations on bytes)
- string to polynomial:

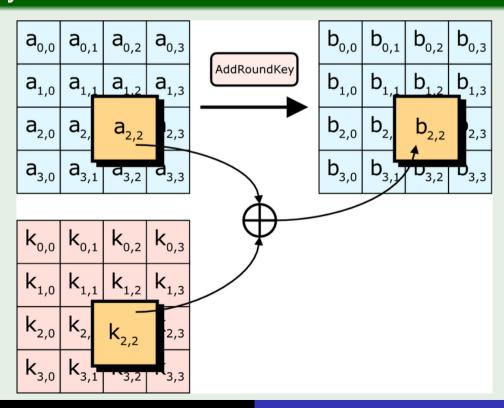
$$b = (b_0, b_1, \dots, b_7) \longleftrightarrow b_0 + b_1 x + \dots + b_7 x^7 \in \mathbb{F}$$

# AES key generation and expansion

## KeyExpansion

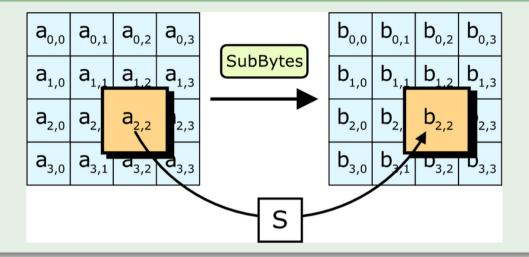
- subkeys for all rounds
- $\bullet$   $k_{0,0},\ldots,k_{0,3},k_{1,0},\ldots,k_{3,3}$
- skipping details

### AddRoundKey



### **AES S-box**

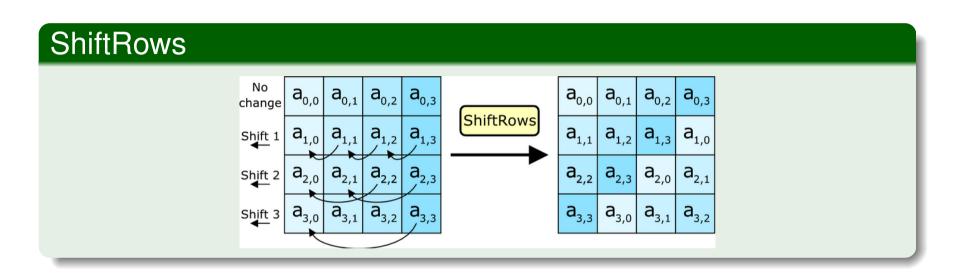
### SubBytes



### SubBytes

- $x \in \{0,1\}^8 : S(x) = Cx^{-1} + c : x^{-1} \in \mathbb{F}$
- $C \in \{0,1\}^{8x8}$  fixed invertible matrix
- $c \in \{0,1\}^8$  fixed vector
- S(.) affine transformation without fixed point (linear + constant)
- inverse:  $x = S^{-1}(y) = (C^{-1}(y c))^{-1}$

# AES P-box (1st half)

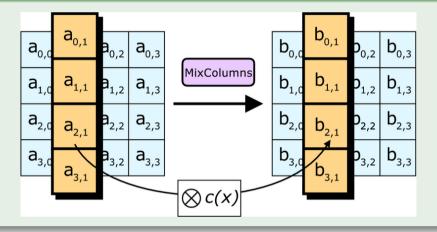


### **ShiftRows**

- < << i in row i
- inverse easy

# AES P-box (2nd half)

### MixColumns



### **ShiftRows**

- $a(x) = a_{3,1}x^3 + a_{2,1}x^2 + a_{1,1}x + a_{0,1}$
- $c(x) = 3x^3 + x^2 + x + 2$
- $a \otimes c \equiv a \cdot b \mod (x^4 + 1)$
- inverse:  $c^{-1}(x) = 11x^3 + 13x^2 + 9x + 14 \mod (x^4 + 1)$

### Hash functions: in data structures

- hash function is a compression function
- arbitrary input length
- $H: \{0,1\}^* \mapsto \{0,1\}^n$ , typically n = 128, 160, 256, etc
- used in data structures and algorithms (set, map, etc.)
  - elements stored in a table of size k.
  - find and add operations in O(1) time (amortized)
  - Key x stored in cell at index H(x)
  - Find x by computing H(x) and looking at corresponding cell
- collision:  $x \neq x' : H(x) = H(x')$
- collisions should not be too frequent
- good: distributes elements "evenly" accross the table

# Hash functions: cryptography

- compress
- few collisions
- avoiding collisions
  - algo: good for running times, no strict avoidance, rather minimization
  - crypto: it's a must
- No special interest in values of x and H(.) in algo
- Attacker may choose x in crypto
- Cryptographic hash: more of a challenge

# Cryptographic hash functions

#### Definition

A collision of function H(.) is a pair  $x \neq x'$  with H(x) = H(x'). A function H(.) is collision-free, if any PPT attacker has only negligible probability of finding a collision.

A function H(.) is a hash function if  $H: \{0,1\}^* \mapsto \{0,1\}^n$ .

### Weaker security assumptions

- Collision-free
- 2 Second-preimage resistance: for a given x, no PPT attacker can find another  $x' \neq x : H(x') = H(x)$
- ② Preimage resistance: for a given y = H(x) which is obtained from a random (unknown) x, no PPT adversary can find x': H(x') = y ("one-way function")

# Cryptographic hash functions

#### **Definition**

A collision of function H(.) is a pair  $x \neq x'$  with H(x) = H(x'). A function H(.) is collision-free, if any PPT attacker has only

negligible probability of finding a collision.

A function H(.) is a hash function if  $H: \{0,1\}^* \mapsto \{0,1\}^n$ .

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- Collision-free
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- Obtained from a random (unknown) x, no PPT adversary can find x': H(x') = y ("one-way function")

# Cryptographic hash functions

### Design principles

- Collision-free
- Second-preimage resistance
- Preimage resistance
- Avalanche effect: small change in input ⇒ large change in output
  - Strict avalanche criterion: changing an input bit ⇒ changes all output bits with prob. 1/2
  - Bit independence criterion:  $\forall i, j, k$ : changing input bit  $i \Rightarrow$  change in output bits j, k independent

### Attacks

#### Birthday attack

Let  $H: \{0,1\}^* \mapsto \{0,1\}^n$  be a hash function. By computing (roughly)  $2^{n/2}$  hash values, we will find a collision with prob. 1/2.

- Faster than brute force
- $\bullet \implies n \ge 160$
- "Breaking" a hash function usually means an attack which beats the birthday attack

# Merkle-Damgård construction

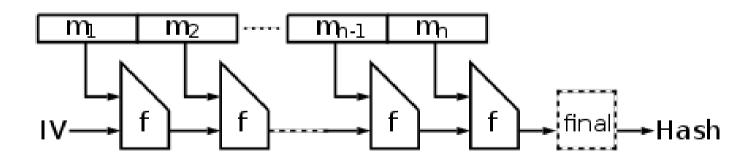
#### Basic idea

- In practice, input length is usually fixed
- this construction enables the use of arbitrary inputs
- Let  $h:\{0,1\}^{2n}\mapsto\{0,1\}^n$  a hash function with fixed length inputs,  $m\in\{0,1\}^*$ , ahol  $|m|=\ell<2^n$
- construction uses chaining
- $\bullet \Rightarrow H(.)$  obtained with arbitrary inputs

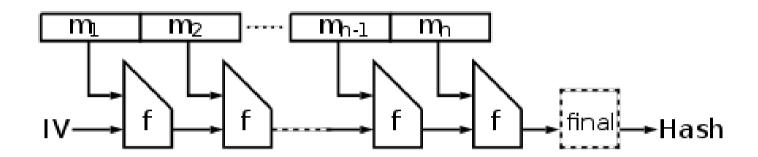
# Merkle-Damgård construction

### Merkle-Damgård transform

- Split m into blocks of length n:  $b := \lceil \frac{\ell}{n} \rceil$  és  $m = (m_1 | m_2 | \dots | m_b)$
- **2** Let  $m_{b+1} := \ell \in \{0,1\}^k, z_0 := IV$
- **3** For i = 1, ..., b + 1, compute  $z_i := h(z_{i-1}|m_i)$
- $\bullet$   $H(m) := z_{b+1}$



# Merkle-Damgård construction



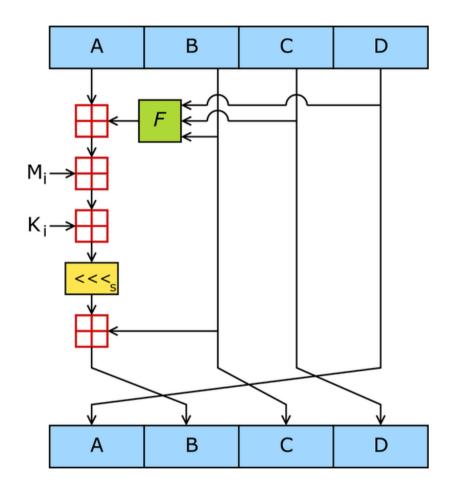
### **Properties**

- in practice: suffices to have a hash for fixed input length
- theoretically: any compression ratio is fine
- $IV: z_0$  free to choose
- h(.) collision-free  $\Rightarrow H(.)$  collision-free

# MD5 - description

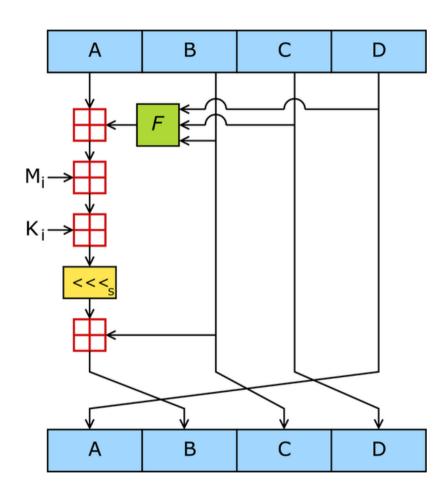
- 512-to-128 bit compression and Merkle-Damgård
- Works on 32-bit words
- m broken up onto 512(=16\*32)-bit blocks
- Operates on 128(=4\*32)-bit "states"
- $\bullet$  A, B, C, D fixed
- 4 rounds, 16 operations per round
- 4 non-linear *F*:

  - $G(B,C,D) = (B \land D) \lor (C \land \neg D)$
  - $( ) H(B,C,D) = B \oplus C \oplus D$
  - $(B, C, D) = C \oplus (B \vee \neg D)$
- lacksquare  $M_i$  message block
- $K_i$  costant, s shift parameter (varies for each operation)



### MD5 – analysis

- Historical importance, collisions can be found!
- 128 bit output  $\Longrightarrow$  birthday attack
- 1992 MD5 published
- 1993 "pseudo-collision" in compression function (IV -based attack)
- 1996 collision in compression function
- 2004 MD5CRK, distributed birthday attack
- 2004 hash collision in under an hour (analytic attack)
- 2005 collision in two X.509 certificates, different key, same MD5 hash
- 2010 first published one/block collision



# SHA family of hash functions

- SHA Secure Hash Algorithm
- U.S. NSA, U.S. NIST

#### SHA-0 (1993)

- 160-bit output, 32-bit words, 80 rounds
- operations:  $\oplus$ ,  $\boxplus$ ,  $\wedge$ ,  $\vee$ ,  $\ll$
- collision...

#### SHA-1 (1995)

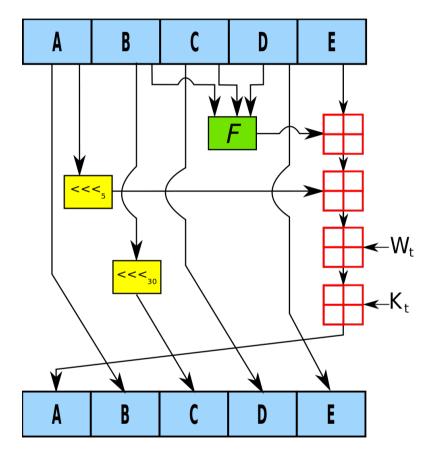
- 160-bit output, 32-bit words, 80 rounds
- more resistant, theoretical attack in  $2^{61}$  time (2011)

#### SHA-2 (2001) = SHA-256/SHA-512

- 256/512-bit output, 32/64-bit words, 64/80 rounds
- no (known) collisions

#### SHA-3 (2014-)

- different design
- alternative to SHA-2



SHA-1, original diagram for Wikipedia created by User:Matt Crypto



# NIST hash competition (2007 – 2012)

### Similar to AES competition

- Oct. 2008 deadline for submissions
- Dec. 2008 Round 1: 51 candidates remain
- Feb. 2009 NIST conference: submitted candidates
- Jul. 2009 Round 2: 14 candidates
- Aug. 2010 CRYPTO 2010: analyze round 2 candidates
- Dec. 2010 Finalists announced
  - performance: modest hardware requirements
  - security: crypto/design weaknesses
  - analysis: cryptanalysis by the entire crypto community
  - diversity: various modes of operations and internal states
- Dec. 2012 winner: Keccak
- Aug. 2013 NIST announces changes compared to the standardized hash for "better security/performance"
- Aug. 2015 Keccak is new SHA-3 hash standard

### SHA-3/Keccak

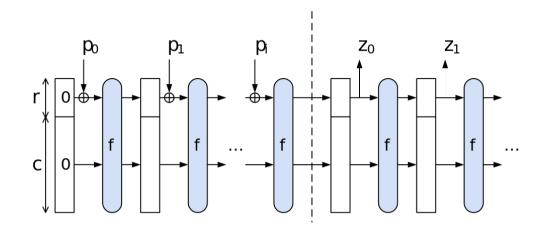


Diagram of a sponge construction from http://sponge.noekeon.org/

- Bertoni, Daemen, Peteers, Van Assche
- Sponge construction permutation f of fixed length + padding rule:
- 1. m padded and broken up into r-bit blocks  $p_i$
- 2. absorption: iteration of f: XOR of  $p_i$  with output of f from the previous block. All blocks are "absorbed" into internal state
- 3. squeeze out: extract output blocks  $z_i$  from (continuously updated) internal state.



# Security vs. Integrity

- Secure communication
  - Alice sends message to Bob
  - in an open communication channel
  - security
  - tools: encryption
- Integrity
  - Alice sends message to Bob
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  - authenticity (ID of caller, email address)
  - integrity
  - notice change in the message
  - preventing change not a crypto challenge (physical countermeasures)
  - tool: ???

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# Security vs. message authentication

- Stream cipher
  - Let  $c := E_k(m) = G(k) \oplus m$  a ciphertext with G(.) a PRG
  - Changing a single bit in  $c \Longrightarrow$  changes same bit in m
  - Still secure
  - Similar with one-time pad
- Block cipher
  - OTR and CTR: same modification possible
  - more sophisticated for ECB, CBC
- encryption alone does not provide integrity
  - c hides m-et
  - BUT attacker can still mess around and modify c, thereby also m.
  - Any c corresponds to an m...
- need a new "layer"

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# Message Authentication Code (MAC): definition

#### Problem

- secret key shared between communicating parties
- authenticated message sent
- Has it been modified?
- Message Authentication Code (MAC)

# Message Authentication Code (MAC): definition

#### **Definition**

A Message Authentication Code is a triple (Gen, Mac, Vrfy) with:

- Gen key generation: for security parameter  $1^n$ , returns a key k with  $|k| \ge n$
- Mac tag generation: for key k and message  $m \in \{0,1\}^*$  returns a MAC-tag  $t := Mac_k(m)$
- Vrfy verification: for key k, tag t and message m, returns a bit  $b := Vrfy_k(m,t)$  (b = 1, if t is a valid MAC-tag for m, otherwise 0.)

The system fullfils the following correctnes definition:

$$Vrfy_k(m, Mac_k(m)) = 1.$$

# MAC – security definition

#### What is an attack like?

- The attacker can:
  - query MACs from Alice for various messages (examine how the message affects the tag)
  - 2 do some computation
  - If orge a MAC: a valid tag for a for some new message m (never queried before)
- security means that the attacker cannot perform the above attack efficiently

#### **Definition**

An authentication method is secure against adaptive chosen plaintext attack if any PPT adversary can only genarte a valid tag t for a message m with negligable probability even after querying several tags t' for messages  $m' \neq m$ .

# MAC – security definition

#### Definition

An authentication method is secure against adaptive chosen plaintext attack if any PPT adversary can only genarte a valid tag t for a message m with negligable probability even after querying several tags t' for messages  $m' \neq m$ .

- too strong?
  - can query anything
  - any valid tag is a successful "break"
- in practice, only meaningful messages are of interest
- What's "meaningful"
- Replay attack
  - solutions: timestamps or counter with *m*
  - drawback: synchronization or storage issues

# MAC construction for fixes length meassages

### Fixed length MAC

Let  $PRF: \{0,1\}^n \mapsto \{0,1\}^n$  PRF. Then the following is a secure MAC.

Gen:  $k \in_R \{0,1\}^n$ 

*Mac*: For key k and message  $m \in \{0,1\}^n$ , let the tag

 $t := PRF_k(m)$ 

Vrfy: Output 1  $\Leftrightarrow t = PRF_k(m)$ 

- if PRF secure,  $\Rightarrow$  MAC secure
- drawback: fixed length m
- randomization (+ a few additional tricks): arbitrary length

### MAC from hash: HMAC

#### **HMAC**

Let  $h: \{0,1\}^{2n} \mapsto \{0,1\}^n$  and  $H: \{0,1\}^* \mapsto \{0,1\}^n$  the Merkle-Damgård constructed hash. Let  $IV, ipad, opad \in \{0,1\}^n$  fixed.

```
Gen: k \in_R \{0,1\}^n

Mac: t := h(h(IV|k \oplus opad)|H_{IV}(k \oplus ipad|m))

Vrfy: output 1 \Leftrightarrow t = Mac_k(m)
```

- if h collision-free, then HMAC secure
- Merkle-Damgard not secure against so-called length extension attack

# Symmetric vs. public-key crypto

### Symmetric keys

- Common key k (secret)
- Both for encryption and decryption
- Secure channel without trusted third party

### Public key cryptography

- pk, sk pair of keys (public, secret)
- $\bullet$  pk at sender, sk at receiver
- distribute keys:
  - pk publicly sent (through authenticated channel)
  - pk broadcast, independent of sender
- multiple senders one receiver
- 2-3 orders of magnitude slower :(

# Definiition of public key scheme

#### **Definition**

A public key scheme is a triple  $\Pi = (Gen, Enc, Dec)$  with:

- Gen, the key generation, a prob. algorithm that has  $1^n$  as input (security param.) and (pk, sk) as output (key pair). The public key is pk, the secret key is sk, and  $|pk|, |sk| \ge n$
- Enc, the encryption, a PPT algo. with pk and message  $m \in \mathcal{M}$ ) as inputs and  $c \in \mathcal{C}$ ,  $c := Enc_{pk}(m)$  as output (ciphertext)
- Dec, the decryption a deterministic algo. with sk and  $c \in C$  as inputs. The output is an element of  $\mathcal{M}$ ,  $Dec_{sk}(c)$ .

# Properties of public key scheme

### Correctness

We trivially need  $\forall n, \forall pk, sk$  and  $\forall m \in \mathcal{M}$ , that

$$Dec_{sk}Enc_{pk}(m) = m.$$

# Definition (indistinguishability experiment with eavesdropping $PubK^{eav}_{\mathcal{A}.\Pi}(n)$ )

- 2 The adversary A issues messages  $m_0, m_1 \in M$  on input pk, where  $|m_0| = |m_1|$ .
- **3**  $k = Gen(1^n), b \in_R \{0,1\} : c = Enc_{pk}(m_b)$  given to A
- **4** *A* issues  $b' \in \{0, 1\}$
- $PubK_{\mathcal{A},\Pi}^{eav}(n)=1, \text{ if } b=b', \text{ otherwise 0.}$

#### **Definition**

A scheme  $\Pi = (Gen, Enc, Dec)$  is secure agains one eavesdropping if any PPT adversary  $\forall A, \exists e(.)$  negligible s.t.

$$P(PubK_{\mathcal{A},\Pi}^{eav}(n) = 1) \le \frac{1}{2} + e(n).$$

# Definition (CPA indistinguishability experiment $PubK^{cpa}_{\mathcal{A},\Pi}(n)$ )

- 2 The adversary A has oracle access to  $Enc_{pk}(.)$  for pk, then issues  $m_0, m_1$ , with  $|m_0| = |m_1|$
- **3**  $b \in_R \{0,1\} : c = Enc_{pk}(m_b)$  given to A
- A has renewed oracle access to  $Enc_{pk}(.)$ . Then issues  $b' \in \{0,1\}$
- $PubK^{cpa}_{\mathcal{A},\Pi}(n)=1, \text{ if } b=b', \text{ otherwise 0.}$

#### Definition

A scheme  $\Pi = (Gen, Enc, Dec)$  is CPA-secure if for any PPT adversary  $\forall A, \exists e(.)$  negligible s.t.

$$P(PubK_{\mathcal{A},\Pi}^{cpa}(n) = 1) \le \frac{1}{2} + e(n).$$

# Definition (indistinguishability experiment with multiple eavesdroppings $PubK^{meav}_{\mathcal{A},\Pi}(n)$ )

### Slight modification of definition

Adversary A issues

$$M_0 = (m_{01}, \dots, m_{0t}), M_1 = (m_{11}, \dots, m_{1t})$$
 sequences,  $\forall i : |m_{0i}| = |m_{1i}|$ 

② 
$$b \in_R \{0,1\} : C = (c_1, \ldots, c_t) : c_i = Enc_{pk}(m_{bi})$$
 is given to  $A$ 

#### Theorem

If  $\Pi$  is secure against one eavesdropping  $\Rightarrow$  CPA-security follows

#### Theorem

If  $\Pi$  is secure against one eavesdropping  $\Rightarrow$  also secure against multiple eavesdroppings

#### Theorem

 $\exists \Pi \text{ perfectly secure scheme (i.e. } \forall \mathcal{A} : PubK_{\mathcal{A},\Pi}^{eav}(n) = 1/2)$ 

# Number theory for cypto

#### Euler's totient function $\varphi$

- $\varphi(n) = |\{k : 1 \le k \le n, (k, n) = 1\}|$
- $p \text{ prime: } \varphi(p) = p 1, \varphi(p^m) = p^m p^{m-1}$
- $\varphi(nm) = \varphi(n)\varphi(m)$ , ha (n,m) = 1

#### Theorem (Euler-Fermat)

$$\forall a: 1 \le a \le n, (a, n) = 1 \Rightarrow a^{\varphi(n)} \equiv 1 \mod n.$$

# Number theory for cypto

#### Theorem (Prime number theorem)

For x>0 let  $\pi(x)$  denote the number of primes up to x. We have  $\pi(x)\sim \frac{x}{\log x}$ 

### Corollary

 $\exists c > 0 \forall n > 1$ : Number of *n*-bit primes roughly  $c \cdot 2^{n-1}/n$ .

- $n^2/c$  random picks will result in at least one prime with prob.  $1-1/e^n$
- 2002: DPT primality test
- practice: PPT test
- e.g. Miller-Rabin

### Textbook RSA

#### Textbook RSA

Gen • For input  $1^n$ , choose primes p, q with n-bits. Set N = pq

- Let  $e \in \{2, \dots, N-1\} : (e, \varphi(N)) = 1$
- Let  $d \in \{2, \ldots, N-1\} : ed \equiv 1 \mod \varphi(N)$
- pk = (N, e), sk = (p, q, d)

Enc For message  $m \in \mathbb{Z}_N^*$  and private key pk, let  $c \equiv m^e \mod N$ 

Dec For ciphertext  $c \in \mathbb{Z}_N^*$  and secret key sk, let  $m \equiv c^d \mod N$ 

# Seciruty of textbook RSA

#### Textbook RSA

Gen

- $N = pq, ed \equiv 1 \mod \varphi(N)$
- pk = (N, e), sk = (p, q, d)

Enc For  $m \in \mathbb{Z}_N^*$  and pk,  $c \equiv m^e \mod N$ 

Dec For  $c \in \mathbb{Z}_N^*$  and sk,  $m \equiv c^d \mod N$ 

### Security

- correctness:  $(x^e)^d$ ) =  $x^{ed} \equiv x^{ed \bmod \varphi(N)} \equiv x^1 = x$
- Enc DPT  $\Rightarrow$  no security unless randomization added

### **RSA**

### Factorization problem

For random RSA modulus input N, find p, q : N = pq.

### RSA problem

For random RSA instance N, e, c, find  $m : m^e \equiv c \mod N$ .

#### Statement

Factoring tractable ⇒ RSA tractable. Note: ← only conjectured.

### **RSA**

#### **Properties**

- Rivest, Shamir, Adleman '76
- p, q 1024-bit Sophie-Germain primes (2p + 1) is also prime
- $e = 2^{16} + 1$  (prím)
- PPP encryption: m' = (r||m) with r fixed length random

#### Theorem

If RSA problem difficult ⇒ randomized RSA is CPA-secure