#### Neural plasticity – a gift of evolution Katalin EGYED



Who am I? Brain surgeon? Why shall we (developmental/child psychologists) have to study the brain development?

to eliminate misconceptions/false theories and to understand human development



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## It helps to eliminate misconceptions/false theories

e.g. autism

Cause: 'rentigerator plom's detached and cold behaviour caused the children's autistic behaviour's.

This theory blamed the mothers

Autism Today:

Pervasive developmental disorder

We can help children with autism to develop if we take it a neurodevelopmental disorder.

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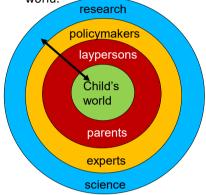


#### Who am I? Brain surgeon?

Why shall we (developmental/child psychologists) have to study the brain development?

- to eliminate misconceptions/false theories and to understand human development
- First, it was a basic research issue.
- Today: it is "our business" to understand the role of plasticity in human development
  - Experts in any services related to infants and children policymakers
  - o parents, laypersons, general public
- Although we are far from understanding the brain-human development relationship perfectly,

the current knowledge has impact on babies' world.



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#### Research focus

#### Earlier

 The role of maturational processes vs. experience in influencing the course of development. **Today:** changes in the brain are inexorably linked to changes in the environment.

 changes in the environment, including experience, alter the brain

+

 changes in the brain alter behaviour, which in turn → can change the child's interaction with the environment.

→ Focus: on plasticity, the ability and extent to which the brain can be altered

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## In focus: plasticity

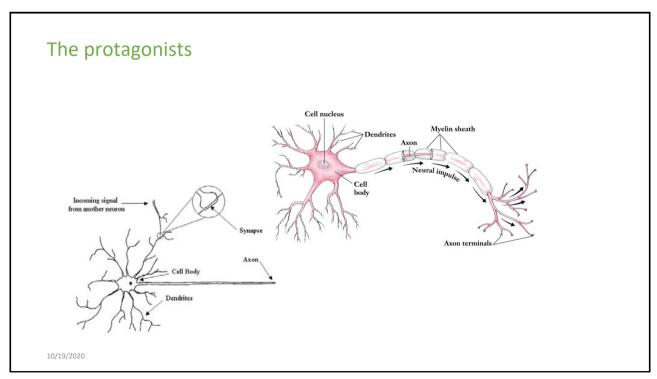
- Brain development is not predetermined;
- · is shaped through both genetics and the environment,
- prenatally and across the life span.
- Brain = open system that is affected by both internal and external influences (from minute to extreme; immediately vs. over time; once or more, persistently)

Key issue for child psychologists:

- → The extent of plasticity of the brain (lost and preserved plasticity in the course of development)
- → to have some understanding of how the brain develops and functions and what aspects of the brain can and cannot be altered through experience. (Then: When? How?...) → "opportunity to change"

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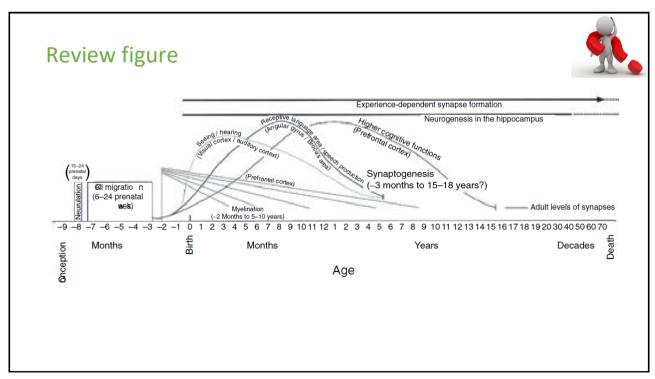
## Brain development

- basic processes, anatomical changes, influencing factors

What kind of processes do you know in brain development from neurogenesis?



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## The Basic Building Blocks

- Neural induction (formation of ectoderm) and neurulation
- Proliferation
- Cellmigration
- · Differentiation of cells
- Synaptogensis
- Regressive processes in development:
  - · Apoptosis: programmed cell death
  - Pruning

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# Neurogenesis

https://www.youtube.com/watch?v=U0L0mYi\_ftc 5:10-13

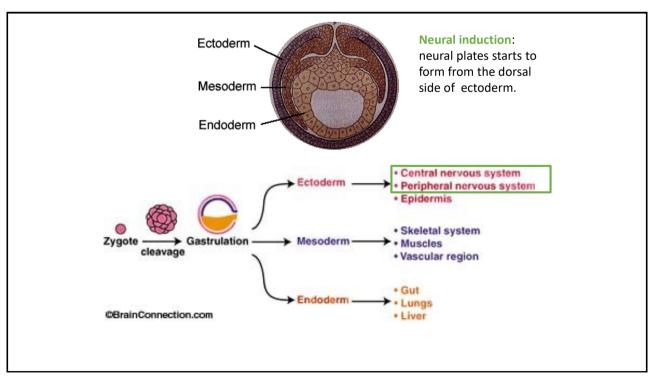


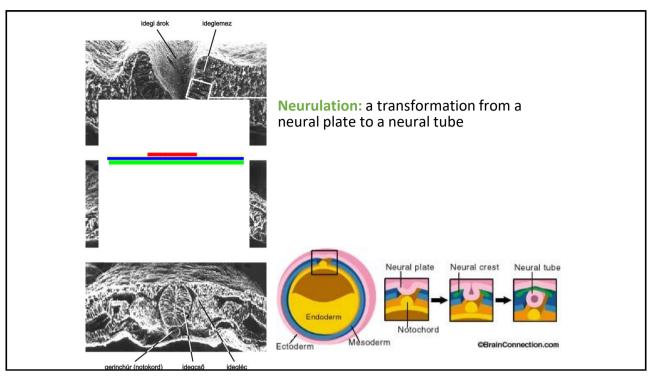
#### Neural induction and neurulation

The brain development starts

- 16 days after conception → neural induction: from ectoderm to neural plate
- From 21/22nd day: a transformation from a neural plate to a neural tube → neurulation

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## Formation of the neural tube



- Day 21/22: fusing at midsection, closing until the day 25/26
- The structure is ready to develop the brain.
- → 1. to produce the cells (proliferation)

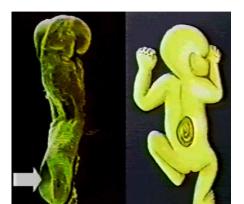


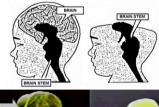
If the posterior spina bifida

portion fails to close

If the anterior

anencephaly







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#### Proliferation: creation of cells

The birth of cells of the cortex



- From around the day 40
- Day 40-125:
  - The critical part of normal brain development: exponential increase in the number of cells + at extremely high rate.

## Cellmigration





http://www.youtube.com/watch?v=ZRF-gKZHINk

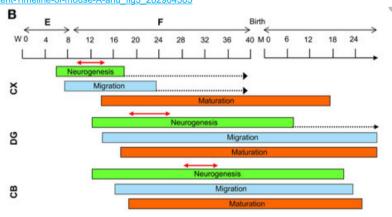
After the birth of the cells a journey to the final home in the brain

- From about the 8th prenatal week
- · In the neocortex until 24th gest. week,
- in other areas even longer process.
- Cellproliferation II Cellmigration

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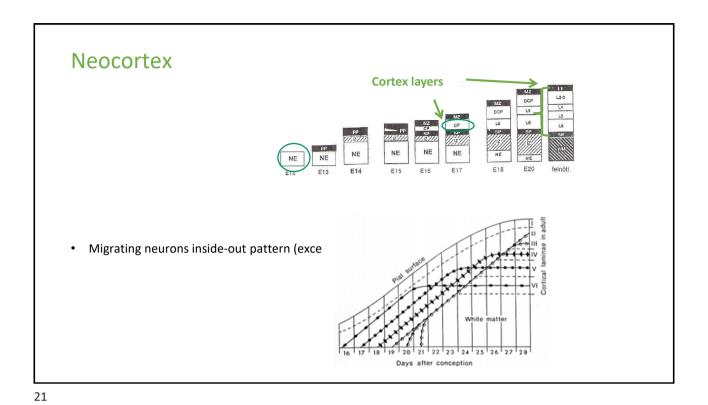
# Timeline of brain development

https://www.researchgate.net/figure/Schematic-representation-of-the-timeline-of-brain-development-Timeline-of-mouse-A-and\_fig3\_282904585



Schematic representation of the timeline of brain development. Timeline of mouse (A) and human (B) brain development. See text for explanations. The dotted arrows indicate a reduction in the rate of neurogenesis. The double-headed red arrows delineate the period of maximum neurogenesis in the different brain regions of the mouse and human brain. Abbreviations: CB, cerebellum; CX, neocortex; DG, dentate gyrys; E, embryonic; F, fetal; M, month; P, post-natal; W, week.

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Changes The month: similar shape of an adult brain with 6 layers in the cortex

Early Development of the Brain

Forebrain Middran Hindren

Metercephalon

Dorsal

Dorsal

Cerebrain Basal Tratamus

Contex

Dorsal

Cerebrain Basal Tratamus

Contex

Dorsal

Cerebrain Basal Tratamus

Contex

Special

Contex

Contex

Special

Contex

C

# Errors in proliferation and cellmigration

#### Serious effect

- Errors in proliferation: microencephaly → mental retardation (environmental influence: rubella, HIV, maternal alcoholism etc.)
- Errors in proliferation and/or cellmigration: autism, Down syndrome (unclear relationship)

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#### Differentiation of cells

- Different types of cell
- Axonal and dendritic growth
  - Development in size and complexity
  - Length of d. in the frontal lobe of adults 30x > in newborns).
  - Different rate in different layers and region
  - depends on stimuli
- Axonal connections (see synaptogenesis, myelination, pruning)

# Errors in axonal and dendritic growth

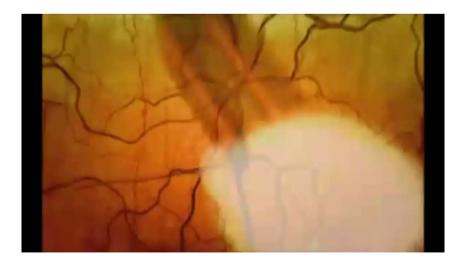
- Cause: e.g. Genetic, lack of oxygen, damage
- Effect: e.g. learning disability, mental retardation, disorder of movement
- Axonal and dendritic growth continue to some extent after birth → leads to greater plasticity. However, the ability of axons to re-grow is limited.

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#### **Connections**

https://www.youtube.com/watch?v=U0L0mYi\_ftc 13



#### Synaptogenesis (SG)

- Basis for communication within the brain
- Develop from first weeks to life span
- From the 20th week SG in more and more regions
- Different areas of the brain reach their synaptic peak at different times
- overproduction
- Genetic programming and neural activity trigger development and maturation synapses.

- Synapses: axon-dendrite, axon-axon, dendrite-dendrite, axon- body of neuron
  - First mature syn. around 23rd week

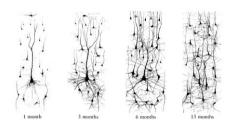


Fig. First 15 months – increasing number of synapses

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## Errors in synaptogenesis

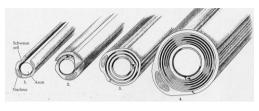
- · Several disorders: e.g. Down, SCH
- Abnormal, lack of, overproduction of synapses
- · Secondary effect of errors in axonal and dendritic growth
- · Unclear relationship

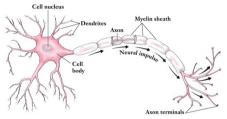
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#### Myelination

by surrounding and insulating the axons ->

- Increasing speed and efficiency of communication
- Transferring energy to neurons
- Starts before birth and goes on after birth.
- Occurs in different areas and reach maturity at different times.
- Myelination and other data related to neural development associate/correlated with development: e.g. vision, motor development earlier, higher cognitive function later.





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## **Errors in myelination**

- Mental retardation, disorders of movement and sensory system
- e.g. PKU

https://www.youtube.com/watch?v=mBNRuNsDJKU

https://www.youtube.com/watch?v=Ytk5gSRQ54M

A patient: <a href="https://www.youtube.com/watch?v=Pk3PN4BHGFI">https://www.youtube.com/watch?v=Pk3PN4BHGFI</a>

Mother, babies, PKU: <a href="https://www.youtube.com/watch?v=w3L2SPj7alQ">https://www.youtube.com/watch?v=w3L2SPj7alQ</a>

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# Regressive processes: Programmed cell death (apoptosis)

- Large overproduction of neurons
- A natural cause of cell death: in almost all regions of the neural system 30-70% of the cells die due to apoptosis.
  - In proliferation and cellmigration: because of errors in proliferation and eliminating transient areas
  - Changing connections during differentiations of cells and synaptogenesis.

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## **Errors in apoptosis**

- Mental retardation, emotional and behavioural disorders.
- E.g. Down: increased activity in apoptosis → less neurons, less synapses...
- Proliferation is not turned off → macrocephaly → mental retardation, seizures

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# Regressive processes: pruning

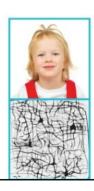
- Overproduction of neurons and synapses significantly contribute to plasticity.
- synaptic proliferation II Pruning → "Less is more"
- The process of pruning is selective.
- No significant difference between the size of the brain in children and adolescents; however, the difference is significant in connections.

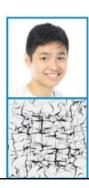
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## Regressive processes: pruning

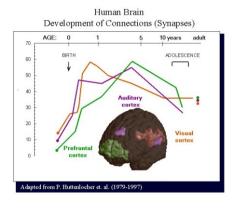
- 1. overproduction of connections. 2. pruning
- Pruning: start in the first year lasts until adolescence
- - 40% less synapses
- Different timing in different areas
- E.g. hearing until 12 y; higher cognitive function even later

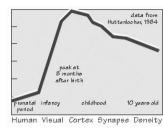


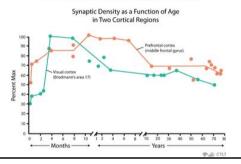




# Different areas – different synaptic peaks and timing in pruning





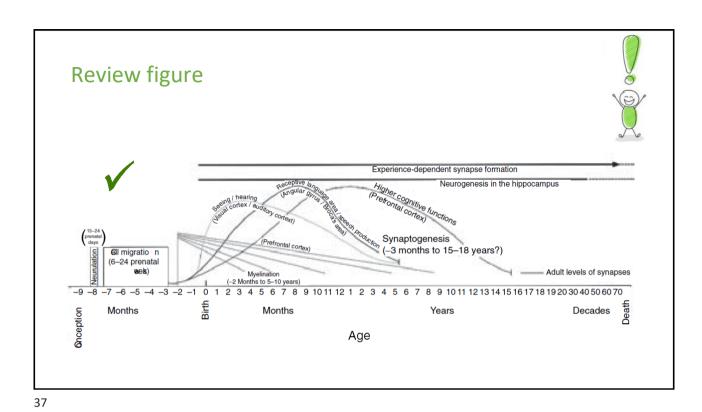


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## Errors in pruning

- F σ SCH
- Fewer disorders have been linked to pruning errors versus errors in synaptogenesis.

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Experience vs. Pre-programmed brain development

https://www.youtube.com/watch?v=U0L0mYi ftc 25-29min

#### depends on the experience

- Development depends on the individual environment
- This would provide more flexibility in the adaptation to individual environment



- would provide evolutionary advantage of quick adaptation
- Arguments e.g. language acquisition:
  - Quickly, effortless development
  - Milestones, error patterns similar in typical development
  - · Independent from culture
- Disadvantage: less flexible adaptation



## Mechanisms of plasticity

We are evolved to have processes in brain development that are

- 1. highly gene-driven (like neurogenesis, proliferation, and migration)
  - o primarily prenatally
  - o less plastic
- 2. primarily environment-driven and depends on the interaction with the environment (like synaptogenesis and pruning which are involved in communication within the brain).
  - Two paths through which it occurs: 1. experience-expectant, 2. experience-dependent plasticity

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# Experience-expectant plasticity and sensitive periods

(Greenough et al., 1987)

- It is useless to suppose a pre-programmed brain if the necessary stimulus (conditions) are in the environment ("genetically less expensive") → instead: the brain expects a species-typical experience → in order to develop normally, the developing brain requires specific environmental input (no/low risk because the expected environment is assumed to be common to all members of species).
- Additionally, it is necessary for this input to occur during a window of time → sensitive periods
- The primary mechanism: overproductions of synapses and pruning
- The combination of environmental and genetic mechanisms that occurs in experience-expectant plasticity results in change that brain is less plastic later in development.
- The consequence of not-preprogrammed brain: If the normal expected experience is not present
   (and it is unknown) → atypical development→ relatively stable, not changeable

# **Experience-dependent plasticity**

- The brain's adaptation to information in the environment that is unique to individuals.
- Primary mechanisms: ongoing synaptogenesis and pruning
- Occur throughout the lifespan (learning)
- Depends on prior development
- EE and ED do not occur in isolation; rather they interact across development.

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#### **Preterm babies**

Als, H.

 $\frac{\text{https://www.youtube.com/watch?v=U0L0mYi}}{\text{WhlcqSobf5JdW5wM-zT}} \frac{\text{ftc&list=PLq-}}{\text{sp.}} \frac{\text{https://www.youtube.com/watch?v=U0L0mYi}}{\text{sp.}} \frac{\text{ftc&list=PLq-}}{\text{sp.}} \frac{\text{https://www.youtube.com/watch?v=U0L0mYi}}{\text{sp.}} \frac{\text{ftc&list=PLq-}}{\text{sp.}} \frac{\text{https://www.youtube.com/watch?v=U0L0mYi}}{\text{sp.}} \frac{\text{https://www.youtube.com/watch?v=U0L0mYi}}{\text{sp.}}$ 

https://www.youtube.com/watch?v=VFx6k80wxOI 1:20-



#### **NIDCAP**

Neonatal Individualized
Developmental Care Assessment
Program





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#### **NIDCAP**

- Task-oriented to relationship-based
- Being based upon staff schedules to following the infant's rhythm
- · Technology focused to person or process focused
- Action and reaction oriented to reflection based
- Crisis oriented to developmentally oriented
- Focus on organ by subspecialty to whole person, holistic (mind, body and spirit) oriented
- Deficit repair to strength-based nurturing
- · ICU environment to family environment
- "Doing to" becomes "engaging with"

Experience-expectant plasticity
– in which functions?
What does the brain expect?
Input - easy to assure



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## When the brain is expecting in vain...

e.g. when the baby cannot see → baby with cataract cannot hear → deaf baby no individualised caring → early years in institutions

## Vision

#### e.g. if the baby

 cannot see (cataract) → consequences?



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# Experience-expectant plasticity — Vision (acuity) <a href="https://www.youtube.com/watch?v=U0L0mYi\_ftc">https://www.youtube.com/watch?v=U0L0mYi\_ftc</a> 36-47



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#### Visual development

- · Newborn's visual acuity: short sighted (40x worse than adult vision in preferential looking paradigm)
- First six months: quick development (8x worse) (motion, depth, integration of parts of faces and objects)
- 4-6y: slower development
- Acuity at 6-7y: like adults
- Some aspects develop further (adolescence face, motion)
- → What is the role of experience?

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# Visual plasticity, sensitive periods in visual development

(Maurer & Lewis, 2001, 2013; Lewis & Maurer, 2005)

#### Investigated groups:

- · Normal developing
- Cataract at birth, with time
- · One eye, both eyes
- Surgery: 1 week 1 year

#### The effect of deprivation:

- yes/no
- timing: start, duration

•••

- Development at once and quickly after birth BUT: no development without experience (cataract).
- Sleeper effect: from 2y worse vision than normal.
- Unilateral: worse because of the competition
   cover is needed
- · Cover is more effective if:
  - Several years, several hours a day
  - Surgery before 6-8m
- The later the deprivation occurs, the better development
- Deprivation has effect even from 7 to 10y too.
- After 10y: no effect of deprivation.

## Visual plasticity, sensitive periods in visual development

(Maurer & Lewis, 2001, 2013; Lewis & Maurer, 2005)

#### 3 sensitive periods for visual acuity:

- Normal development driven by visual input: 10 days 7y
- System can be damaged by the absence of visual input: 10y
- System can recover from earlier deprivation:
  - o Relatively long, surgery at 12y might improve vision that influences the quality of life
  - Strong limitations

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# When the developing brain expects experience – and is waiting in vain

- If no language because of extreme neglect: e.g. Genie
- Deafness in a hearing-speaking environment, deprived language input → serious effect on language acquisition

Genie:

https://www.youtube.com/watch?v=VjZoIHCr

Tommy:

https://www.youtube.com/watch?v=SCzl4kuWLw 0

2- beginning

10 choice; 14 poor speech

30-33: Tommy cannot speak, his parents do not understand him, and he does not understand them

https://www.youtube.com/watch?v=XhceEJ1R\_v Y&t=94s 3:57-hearing parents 8:02 deaf father

https://www.youtube.com/watch?v=B7FK0Ni6W 1g&t=864s Sound and Fury

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## When the brain expects individual child-carer relationship

Psychosocial deprivation → the effect of insitutionalized care

Nelson:

https://www.youtube.com/watch?v=ptZ-L3uAXXA

https://www.youtube.com/watch?v=Hqh47n o-IRw

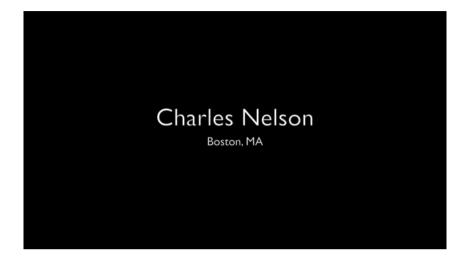
> Charles A. Nelson III is professor of pediatrics and neuroscience and professor of psychology in psychiatry at Harvard Medical School. He has an honorary doctorate from the University of Bucharest in Romania.

Nathan A. Fox is Distinguished University Professor in the department of human development and quantitative methodology at the University of Maryland, College Park.

Charles H. Zeanah, Jr., is professor of psychiatry and clinical pediatrics at Tulane University and executive director of the university's Institute of Infant and Early Childhood Mental Health



# Charles NELSON & BEIP (5m)

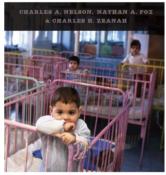


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## **Bucharest Early Intervention Project (BEIP)**

- Long suspected:
  - early life in an orphanage could have adverse consequences.
  - Better upbringing in foster care as an alternative form (or in inst.?)
  - → Empirical studies: selection bias
- Romanian government supported the project
- Many experts in child protection system in Romania believed that inst. was better (⇔ e.g. U.S. foster care system)





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# **Bucharest Early Intervention Project (BEIP)**

- Goal: to investigate the effect of institut.zed care (timing, sensitive periods)
- Launched in 2000, in cooperation with Romanian government
- Firs-ever randomized controlled study (regarding this effect)
- Relationship between the brain and behaviour, development
- Results → could be convincing case for reform, shaping the practice
- 6 institutions, 136 children
- No neurological, genetic disorder, perinat. damage
- To orphanage at 22m (average) (range: few weeks – 31m)

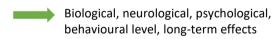
#### Groups

- 50% in institutions (care as usual)
- 50% in foster care (53 families, 68 children) + program for the families (training, salary, encourage to commitment).
- Never been inst.zed
- · High ethical standards
- After 8 years 68/15 children were in inst.

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## **Bucharest Early Intervention Project (BEIP)**

· The effect of early instituionalized care



Sensitive periods?

In which domains?

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# **Bucharest Early Intervention Project (BEIP)** Early Entry into Foster Care Resulted in Higher Average Intelligence ... Institutionalized children nstitutionalized children, place in foster care *before* 2 years of Never institutionalized children ental Quotient at 42 Months of Age ... and Brain Functioning at Age 8 Almost Matched That of Never Institutionalized Children Higher levels -

children, placed in foster children, placed in fos

#### IQ (see next slide):

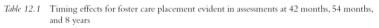
- 30m-8y: differences in each assessment
- Placement:
  - o before 2y IQ is higher than after;
  - o 8y: no timing effect (long-term experience could overwrite;
  - o long-term group diff.s

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children

# **Bucharest Early Intervention Project (BEIP)**

children



	42 months		54 months		8 years	
	Intervention effects	Timing effects	Intervention effects	Timing effects	Intervention effects	Timing effects
EEG power	no	no	n/a	n/a	yes	yes
IQ	yes	yes	yes	yes	yes	no
Language	yes	yes	n/a	n/a	yes	yes
Stereotypies	yes	no	yes	yes	n/a	n/a
Social skills	n/a	n/a	n/a	n/a	yes	yes
Selective attachment	yes	yes	n/a	n/a	n/a	n/a
Height and weight	yes	ves	n/a	n/a	n/a	n/a

Sleeper effect Timing effect disappeared

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#### **Bucharest Early Intervention Project (BEIP)**

#### **Stress effect**

 Bio.cal toll of stress (telomere length: a mark of accelerated cellular aging): those who had spent any time in an institution had shorter telomeres than those who had not.

#### Language

- · Delays in language acquisition
- Placement before 15-16m: language is normal.
- the later children were placed, the further behind they fell

#### **Brain power**

- EEG activity: delayed brain maturation.
- 8y: placed in foster care before 2y could not be distinguished from that of those who had never passed time in an institution; after 2y = never left the inst.
- Inst. group: MRI large reduction volume of grey and white matter, smaller brain volume
- No sensitive period in grey matter
- White matter volume: foster care > inst.

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#### Bucharest Early Intervention Project (BEIP)

# Attachment (see the details: Gervai Judit's lecture)

- Early assessment: overwhelming majority in inst.: incompletely formed and aberrant relationships with their caregivers
- 42m:
  - In foster care: dramatic improvement almost 50% secure
  - Inst.: 18% secure
  - Control: 65%
- Placement of foster care before 24m: more likely to form secure attachment.

#### Mental health problems

- ever been in institution: at 1.5y: 53% psych.tric diagn.; only in family: 20%
- 5y: Inst.: 62% diagn.: 44% anxiety, depression, 23% ADHD
- Anxiety, depression reducing incidence in family; ADHD, conduct disorder – no
- sensitive periods not detectable
- The quality of relationship: the better quality, secure attachment between a child and foster parent, the greater probability that the child's symptoms would diminish.

## **Bucharest Early Intervention Project (BEIP)**

#### To explore:

- · the effect of early insitutionalized care
- sensitive periods
- several aspects/functions

- Deprivation → serious and persistent differences between the groups: biological, neurological, psychological development.
- in family → an opportunity to improve, normal range
- · sensitive periods in many domains but not all
- sleeper effects
- → policy: in family the sooner the better

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#### **Bucharest Early Intervention Project (BEIP)**

#### According to Rutter:

- If genes specify only the rudiments of the brain-to-be, the experience will bear responsibility for the major proportion of development
- Institutional environment is inadequate and in some cases damaging (a very extreme form of neglect)
- experience-adaptive programming: a behaviour that is abnormal outside the institution, is adaptive within the institution, environment (hugging a complete stranger).

The families could provide for the child's expectable needs: The children were

- talked to
- played with
- held when needed
- engaged with
- encouraged to master the world
- respected
- loved
- → Some of the things our species has come to expect

## **Neural plasticity**

- the gift of evolution is a two-edged weapon



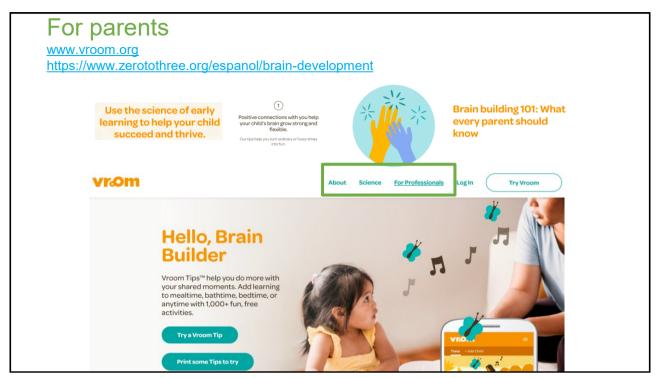
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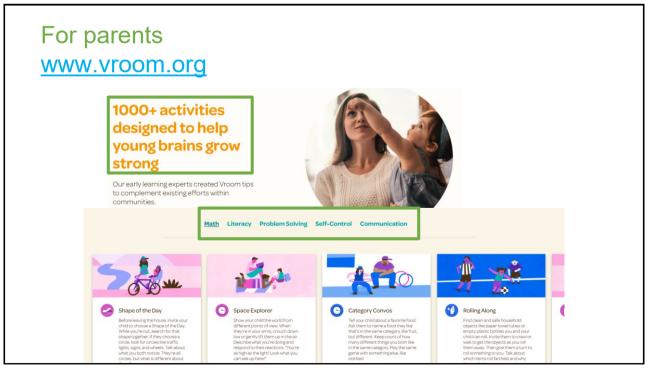
#### Does matter:

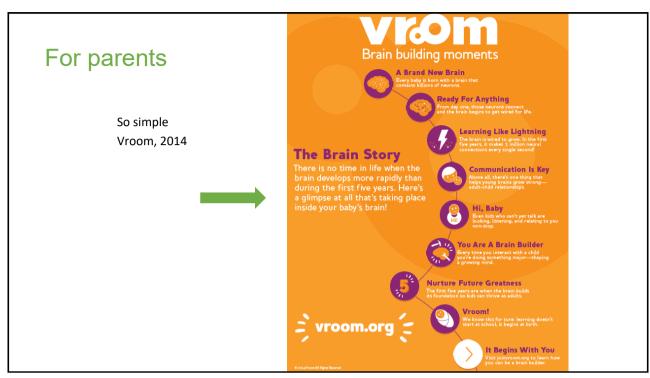
- Experience (several aspects)
- The timing of (lack of) experience
- → Effect, consequences: on biological, neurological, psychological, behavioural level
- → Understanding the opportunities and limitations of plasticity → can make different experts' work more efficient.

Old and new Relationship knowledge fit between the to the human approach development and (reflection on **Approach** brain plasticity non-fitting methodolog details and limitations) **Practice** Protocols, applicability to individual cases 66

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#### Readings

- Couperus, J.W. & Nelson, C.A. (2006). Early Brain Development and Plasticity In: McCartney, K. & Phillips, D. (eds.) The Blackwell -Handbook of Early Childhood Development. Malden, MA: Blackwell Publishing, 86-104 compulsory
- Shonkoff, J. P. and PhilFrom, D. A. (2000): Neurons to Neighbourhoods: The Science of Early Childhood Development. NATIONAL ACADEMY PRESS, Washington, D.C. Chapter 8: The Developing Brain. 182-219 compulsory
- Useful: The Secret Life of the Brain <a href="https://www.youtube.com/watch?v=U0L0mYi">https://www.youtube.com/watch?v=U0L0mYi</a> ftc

