Table structure data correction

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Contents

Introdcution

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Related work

- 테이블 구조 인식 (TSR :Table structure recognition)
- 문제점

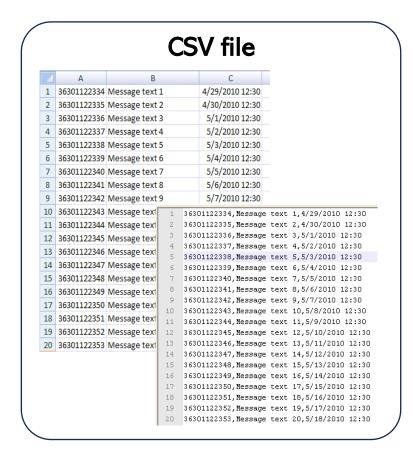
Proposed method

• 인공지능 기반(LLM)의 테이블 데이터 오인식 교정방법 (Apparatus and method for correcting table data error based on artificial intelligence)

Experiment

- TSR 결과를 fine-tuend-LLM을 사용하여 복원
- Next steps?

테이블 구조 데이터(Table structure data)란?

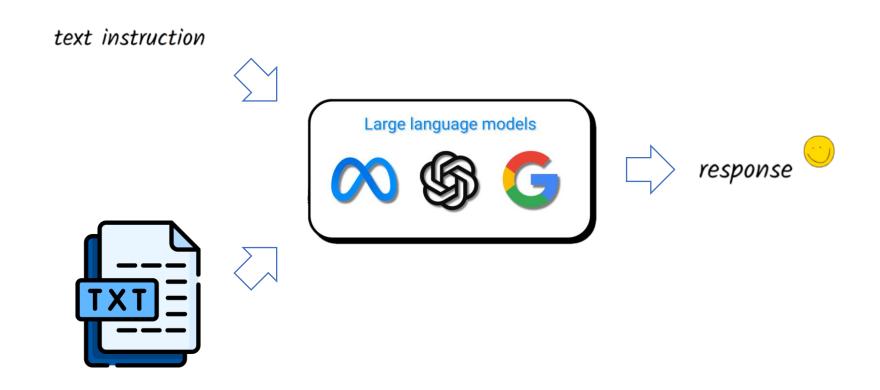




```
list
table = [['First Name', 'Last Name', 'Age'],
        ['John', 'Smith', 39],
        ['Mary', 'Jane', 25],
        ['Jennifer', 'Doe', 28]]
 print(tabulate(table))
 First Name Last Name
                          Age
 John
              Smith
                          39
 Mary
              Jane
 Jennifer
              Doe
```

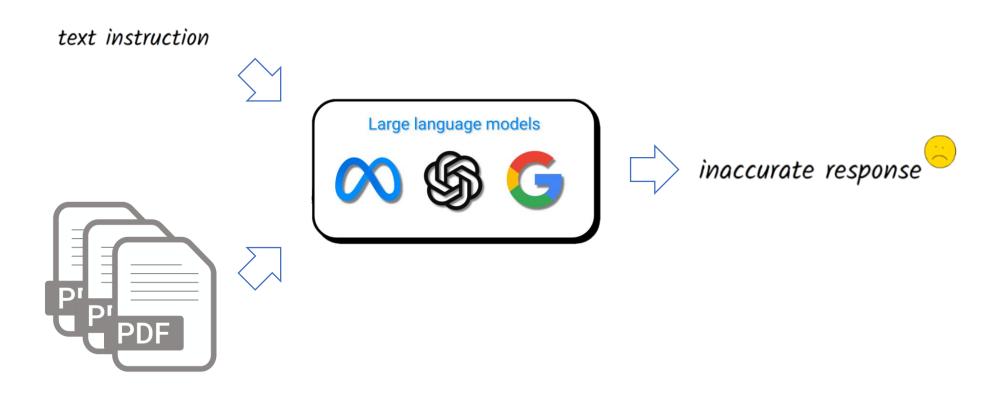
• Table 의 행(row)과 열(column)의 정보로 구성된 DATA

왜 테이블 구조 데이터(Table structure data) 복원이 필요한가?



- 대규모 언어 모델(LLM)들은 현저한 발전을 이루고 있다.
- LLM에 텍스트 지침(Instruction)이나 질문(question)을 제공하면 대부분의 경우 모델로부터 정확한 응답을 얻을 수 있다.

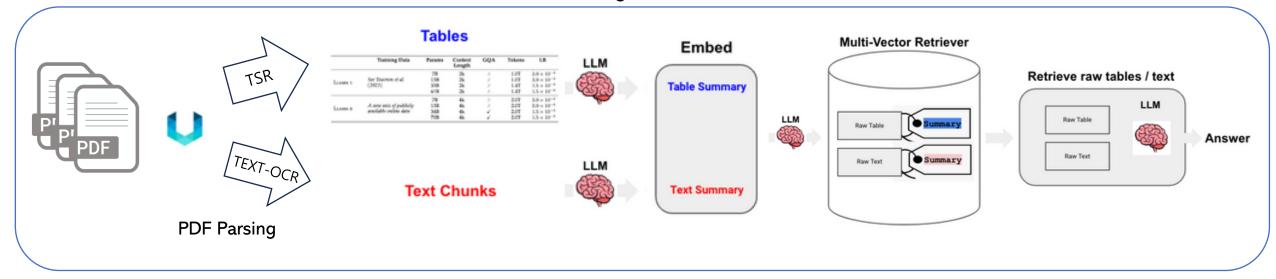
왜 테이블 구조 데이터(Table structure data) 복원이 필요한가?



- 하지만, 현실에서는 수많은 Document들이 PDF파일 형식이나 Image 캡쳐 형식이 존재.
- 입력이 "Text 형식이 아닌 Document"의 경우, 아직까지 잘못된 응답을 하는 문제점이 발생.
- 이 문제들 중 하나는 Parsing 단계, 즉 Preprocessing단계에서 에러가 발생.

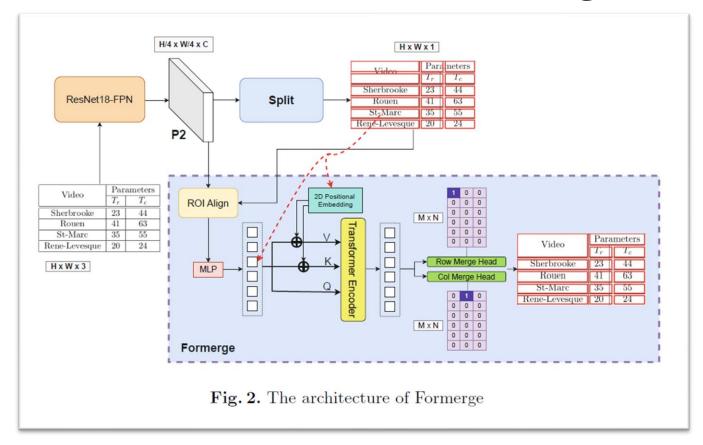
왜 테이블 구조 데이터(Table structure data) 복원이 필요한가?





- 1. PDF Parsing library를 사용하여 Text와 Table을 가각 chunk로 나눔
- 2. GPT-4를 사용하여 각각의 chunk를 요약
- 3. 요약문을 가지고 OpenAlEmbdding을 통해 vectorstore에 저장
- 4. Question이 입력되면 vectorstore로 부터 Retriever을 진행
- 5. 검색된 결과와 question을 사용하여 Prompt template을 생성
- 6. GPT-4로부터 Answer generation실행

테이블 구조 인식 (TSR :Table structure recognition)



- Two-stage : 최근에 Splitting과 Merging Two-stage 방법을 통해 성능 향상을 보임.
 - Split stage : 테이블 gird structure 예측
 - Merge stage : grid elements를 spanning cells로 Merge

문제점

PDF-Table

구 분	목 적	시기	비용
		추가납입시	추가납입보험료의 1.5%
추가납입보험료	계약유지 · 관리비용	추가납입후	매월 추가납입기준금의 0.015% 단, 추가납입보험료에 의한 계약자 적립액 이내

Table I: Primers for nested PCR analyses

Sequence from exon		Outer PCR primer pairs	Inner PCR primer pairs	
8	fwd	5'-AACATCCTCCTCGCCAAGGGT	5'-ATATGAACTGGCAGTAGGCAC	
	rev	5'-TGCTCTCCTGACTGT GCCTGAGTAGACTCA	5'-TTACCCTTGGGGGCCAACCGA	
13	fwd	5'-AACATCCTCCTCGCCAAGGGT	5'-AGCCTGTGCCTATTCAACTGA	
	rev	5'-TGCTCTCCTGACTGT GCCTGAGTAGACTCA	5'-GCCTCCCGGCAGAAGGAATAC	
24	fwd	5'-CGCAAGTGAGCCAGCCACTGC	5'-CAGCCAGCTCAGGCCATCCCT	
	rev	5'-CTGGACCGCAGGAATCT GGAATCACATGCTATGGG	5'-CCAGGCCTGTGAGAAGGCTGA	

테이블 인식 결과(Unstructured_partition_pdf)

구분	목적	시기	비용
		추가납입시	추가납입보험료의 1.5%
추가납입보험료	계약유지 · 관리비용	추가납입후	매월 추가납입기준금의 0.015% 단, 추가납입보험료에 의한 계약자 적립액 이내

테이블 인식 결과(Table-transformer)

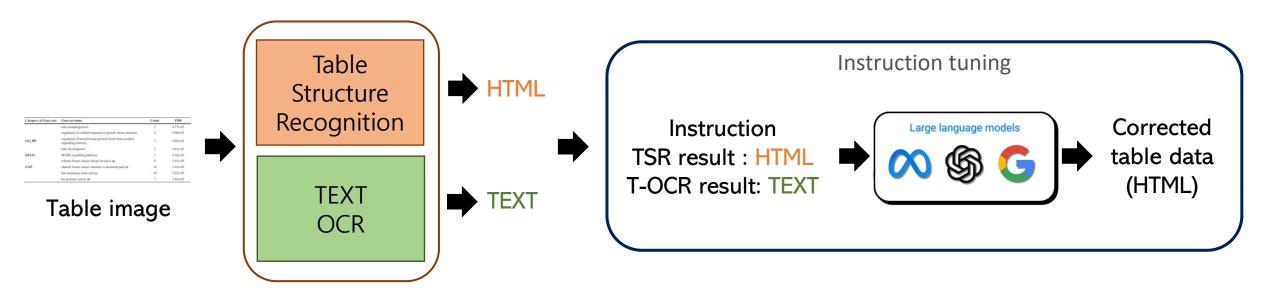
Sequence from exon 8 fwd		Outer PCR primer pairs	Inner PCR primer pairs S'-ATATGAACTGGCAGTAGGCAC		
		5'-AACATCCTCCTCGCCAAGGGT			
	rev	5'-TGCTCTCCTGACTGT GCCTGAGTAGACTCA	5'-TTACCCTTGGGGGCCAACCGA		
13	fwd	5'-AACATCCTCCTCGCCAAGGGT	5'-AGCCTGTGCCTATTCAACTGA		
	rev	5'-TGCTCTCCTGACTGT GCCTGAGTAGACTCA	5'-GCCTCCCGGCAGAAGGAATAC		
24	fwd	5'-CGCAAGTGAGCCAGCCACTGC	5'-CAGCCAGCTCAGGCCATCCCT		
	rev	5'-CTGGACCGCAGGAATCT GGAATCACATGCTATGGG	5'-CCAGGCCTGTGAGAAGGCTGA		

•테이블 인식 결과:

•Merge를 통해 spanning cell을 찾는 것이 아직 많이 부족해 보임.

Proposed method

인공지능 기반(LLM)의 테이블 데이터 오인식 교정방법



- Instruction tuning을 이용하여 Table data를 복원하도록 학습
 - Table structure결과와 TEXT OCR의 결과를 입력 받아 원본의 Table data로 복원함.

Proposed method

Instruction: Modify the HTML code using the HTML code and text below.

HTML:

<a href="https://doi.org/10.2016/nc/2016/nc

TEXT:

Category of Gene sets Gene set name Count FDR

tube morphogenesis 5 4.37e-03

regulation of cellular response to growth factor stimulus 4 4.80e-03

GO_BP regulation of transforming growth factor beta receptor signalling pathway 3 4.80e-03 tube development 5 4.83e-03

KEGG MAPK signalling pathway 3 4.10e-03

schuetz breast cancer ductal invasive up 9 5.43e-09

CGP charafe breast cancer luminal vs mesenchymal dn 10 5.43e-09

lim mammary stem cell up 10 5.43e-09

liu prostate cancer dn 7 1.65e-05



Corrected table data (HTML)

Experiment

Training Data Model Card File Model Size PubTables-1M Model Card Weights TATR-v1.0 110 MB TATR-v1.1-Pub PubTables-1M Model Card Weights 110 MB TATR-v1.1-Fin FinTabNet.c Model Card Weights 110 MB TATR-v1.1-All PubTables-1M + FinTabNet.c Model Card Weights 110 MB

• 실험 데이터

PubTabNet : Image, HTML fomat, text(with bbox)

• Training data : 516k, Test : 9k

Instruction Datasets

- PubTabNet의 test 데이터로 corruption data 생성
 - Corruption data : TSR 모델(4가지)을 사용해 HTML table data 생성
 - TSR 모델: Table Transformer에서 서로 데이터로 학습한 4가지 모델을 제공
- •80%는 LMM Instruction tuning에 사용, 20% Test에 사용함.

LLM model + Instruction tuning

• TinyPixel/Llama-2-7B-bf16-sharded를 사용하여 Lora fine-tuning을 진행

• 평가지표

Tree-edit-distance-based similarity (TEDS)

$$TEDS(T_a, T_b) = 1 - \frac{EditDist(T_a, T_b)}{max(|T_a|, |T_b|)},$$

where EditDist denotes **tree-edit distance**, and /T/ is **the number of nodes in T**.

Experiment

Tree-edit-distance-based similarity (TEDS)

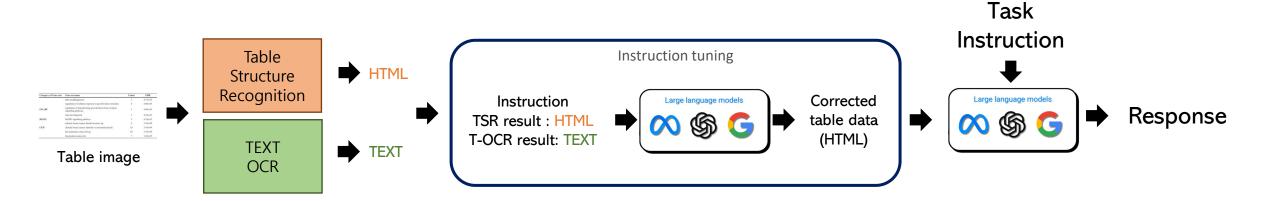
•PubTabNet Text data의 20%만 가지고 TEDs 성능 측정

Model	TEDs
TATR-v.10	37.98
TATR-v.1.1-Pub	37.84
TATR-v1.1-Fin	38.42
TATR-v1.1-All	41.63
Llama-2-7B(without-ft)	24.95
Proposed model	43.75

• 결과 분석

- 이전 보다 TEDs의 성능이 올라갔으나 유의미한 성능 향상은 아직은 아님.
- 더 많은 데이터를 사용해 학습을 시도해볼 필요가 있음.
- Instruction 변경 및 파라미터를 튜닝하여 여러 시도를 시도해 봐야 함.

Next step



- Table correction만으로는 Contribution이 부족하다고 생각.
- 다음 Task에서도 성능 향상을 기대하며 관련연구 조사 및 실험 계획.
 - Table Image to text generation
 - Table Image QA (VQAonBD 2023)
 - Document Image QA(ICCV 2023)

Next step

VQAonBD 2023

VQAOnBD 2023 HOME TASK SCHEDULE REGISTRATION DATASET RESULT LEADERBOARD CONTACT US ICDAR 2023

LEADERBOARD

Competition Rules

For training the model, use only training set do not use validation and test sets.

The organizers request the team to upload the final/updated trained model, inference code, ReadMe file, and algorithm details on the competition website on or before 10th April. If there is a mismatch between the results uploaded, the trained model submitted and the results obtained by the inference code by the team, then the team will not be allowed to continue the competition. In such a case, the team results will not be displayed on the leaderboard.

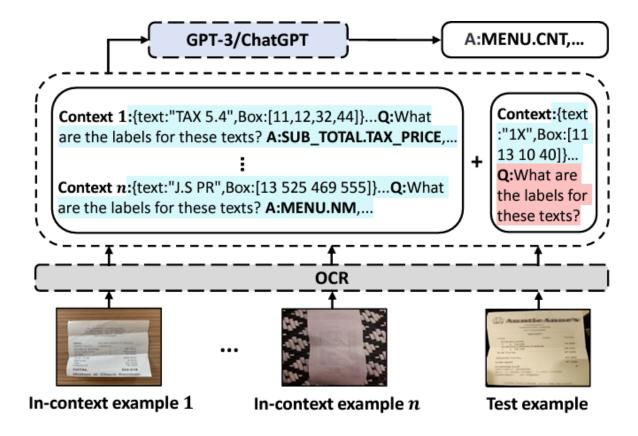
Please note that the most recent submission at the time of competition close date would be considered as the final submission. As per the competition rules, picking up the best model would be considered as cherry picking.

Final Submissions and Ranks

Team	Category 1: Avg	Category 2: Avg	Category 3: Avg	Category 4: Avg	Category 5: Avg	All Categories: Avg	Weighted Categories Average (Final Score)	Rank
BASELINE	0.281	0.091	0.096	0.2	0.169	0.168	0.163	N/A
UPSTAGE	0.963	0.942	0.953	0.974	0.956	0.957	0.959	1 (Winner)
NII-TABIQA	0.932	0.876	0.855	0.895	0.931	0.898	0.901	2 (Runner- up)
DEEPSE-X- UPSTAGE-HK	0.939	0.874	0.859	0.902	0.858	0.886	0.879	3
BD-VQA	0.799	0.794	0.729	0.736	0.422	0.696	0.64	4
SFANC57	0.648	0.119	0.132	0.463	0.418	0.356	0.359	6

Next step

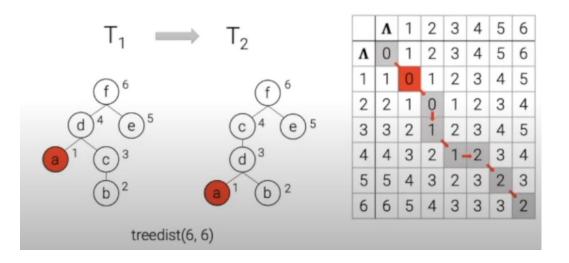
• ICCV 2023

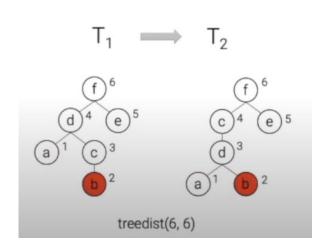


ICL-D3IE: In-Context Learning with Diverse Demonstrations Updating for Document Information Extraction

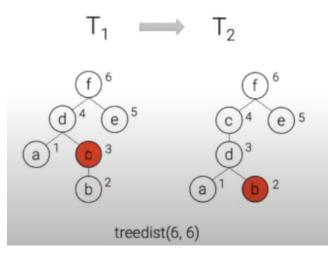


Edit Distance

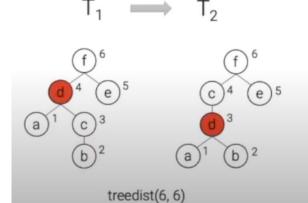




	Λ	1	2	3	4	5	6
Λ	0	1	2	3	4	5	6
1	1	0	1	2	3	4	5
2	2	1	0	1	2	3	4
3	3	2	1	2	3	4	5
4	4	3	2	1-	-2	3	4
5	5	4	3	2	3	2	3
6	6	5	4	3	3	3	2



	Λ	1	2	3	4	5	6
Λ	0	1	2	3	4	5	6
1	1	0	1	2	3	4	5
2	2	1	0	1	2	3	4
3	3	2	1	2	3	4	5
4	4	3	2	1.	-2	3	4
5	5	4	3	2	3	2	3
6	6	5	4	3	3	3	2



	Λ	1	2		4	5	6
Λ	0	1	2	3	4	5	6
1	1	0	1	2	3	4	5
2	2	1	0	1	2	3	4
3	3	2	1	2	3	4	5
4	4	3	2	1	-2	3	4
5	5	4	3	2	3	2	3
6	6	5	4	3	3	3	2

Edit Distance

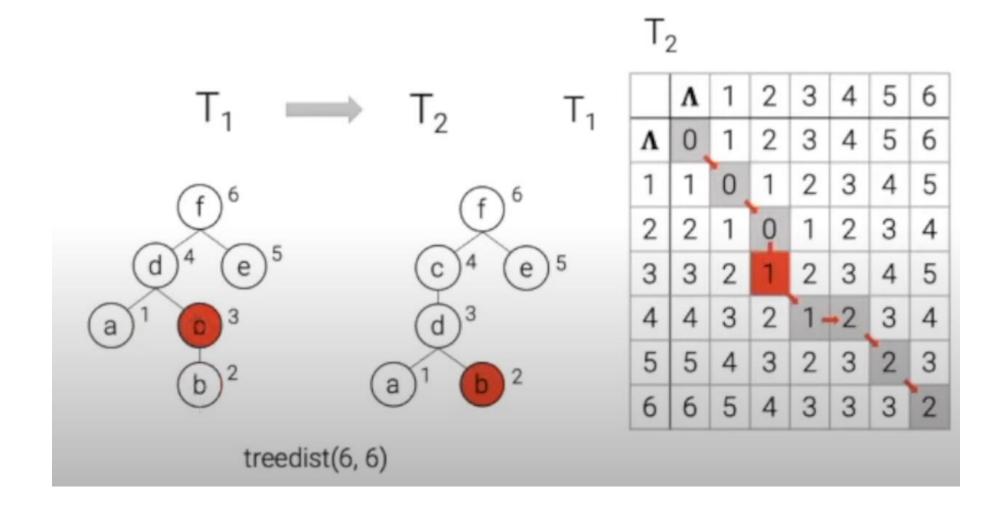


Table Corruption

.,		Char	t-Po:	sitions	
Year	Title	<u>UK^[9]</u>	<u>US</u>	NL ^[10]	Comments
1969 <i><u>Renaiss</u></i>	<u>ance</u>	60	-	10	
1971 <u>Illusion</u>		-	-	-	1976 (UK)
1972 <u>Prologu</u>	<u>ıe</u>	-	-	-	
1973 <u>Ashes A</u>	A <u>re Burning</u>	-	171	-	
1974 <u>Turn of</u>	the Cards	-	94	-	1975 (UK)
1975 <u>Scheher</u>	razade and Other Stories	-	48	-	
1977 <u>Novella</u>		-	46	-	1977 (January in US, August in UK, as the band moved to the Warner Bros Music Group)
1978 <u>A Song</u>	for All Seasons	35	58	_	UK:Silver
1979 <u>Azure a</u>	<u>l'Or</u>	73	125	_	
1981 <u>Camera</u>	<u>Camera</u>	_	196	_	
1983 <u>Time-Li</u>	<u>ne</u>	-	207	-	
2001 <u>Tuscany</u>	!	_	-	-	
2013 Grandin	ne il Vento	_	_	_	



Year	Title		rt-Posit	ions	Comments
1969	<u>Renaissance</u>	60	_	10	
1971	<u>Illusion</u>	_	-	_	1976 (UK)
1972	<u>Prologue</u>	-	-	-	
1973	Ashes Are Burning	-	171	-	
1974	Turn of the Cards	-	94	-	1975 (UK)
1975	Scheherazade and Other Stories	-	48	-	
1977	<u>Novella</u>	-	46	-	1977 (January in US, August in UK, as the band moved to the Warner Bros Music Group)
1978	A Song for All Seasons	35	58	-	UK:Silver
1979	<u>Azure d'Or</u>	73	125	-	
1981	<u>Camera Camera</u>	-	196	-	
1983	<u>Time-Line</u>	-	207	-	
2001	<u>Tuscany</u>	-	-	-	
2013	Grandine il Vento	-	-	-	

- Year의 th rowspan="2" -> "1" 로 변경하였더니 UK가 따라왔음. html에서 단순히 rowspan을 변경하면 OCR에서 발생할 하지 않는 에러들이 발생.

Year		Char	t-Po:	sitions	
1969	Title	<u>UK^[9]</u>	<u>US</u>	NL ^[10]	Comments
<u>Re</u>	<u>enaissance</u>	60	-	10	
1971 <u>///</u>	<u>lusion</u>	-	-	-	1976 (UK)
1972 <u>Pr</u>	<u>rologue</u>	-	-	-	
1973 <u>A</u>	shes Are Burning	-	171	_	
1974 <u>Tu</u>	urn of the Cards	_	94	_	1975 (UK)
1975 <u>Sc</u>	cheherazade and Other Stories	-	48	-	
1977 <u>N</u>	lovella	-	46	_	1977 (January in US, August in UK, as the band moved to the Warner Bros Music Group)
1978 <u>A</u>	Song for All Seasons	35	58	_	UK:Silver
1979 <u>A</u>	zure d'Or	73	125	-	
1981 <u>C</u>	amera Camera	-	196	-	
1983 <u>Ti</u>	<u>ime-Line</u>	-	207	_	
2001 <u>Tu</u>	<u>uscany</u>	-	-	_	
2013 <u>G</u>	<u> Prandine il Vento</u>	-	-	-	

Year		Char	t-Po	sitions			
	Title	<u>UK^[9] US</u>		NL ^[10]	Comments		
1969	<u>Renaissance</u>	60	_	10			
1971	<u>Illusion</u>	-	-	-	1976 (UK)		
1972	<u>Prologue</u>	-	-	_			
1973	Ashes Are Burning	-	171	_			
1974	Turn of the Cards	-	94	_	1975 (UK)		
1975	Scheherazade and Other Stories	-	48	_			
1977	<u>Novella</u>	-	46	-	1977 (January in US, August in UK, as the band moved to the Warner Bros Music Group)		
1978	A Song for All Seasons	35	58	_	UK:Silver		
1979	Azure d'Or	73	125	-			
1981	Camera Camera	-	196	-			
1983	<u>Time-Line</u>	-	207	_			
2001	<u>Tuscany</u>	-	-	_			
2013	Grandine il Vento	-	-	-			

- 첫번째는 3행에 있는 1969를 위로 올리고, 3행에 빈 셀을 채움 두번째는 1969의 rowspan을 2로 설정해야함.

	Cha	rt-Po	sitions	
Year Title	<u>UK</u> [<u>US</u>	<u>NL^[10]</u>	Comments
1969 <i>Renaissance</i>	60	-	10	
1971 <u>Illusion</u>	-	-	-	1976 (UK)
1972 <u>Prologue</u>	-	-	-	
1973 <u>Ashes Are Burning</u>	-	171	-	
1974 <u>Turn of the Cards</u>	-	94	-	1975 (UK)
1975 Scheherazade and Other Sto.	ries -	48	-	
1977 <u>Novella</u>	-	46	-	1977 (January in US, August in UK, as the band moved to the Warner Bros Music Group)
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1981 <u>Camera Camera</u>	-	196	-	
1983 <u>Time-Line</u>	-	207	-	
2001 <u>Tuscany</u>	-	-	-	
2013 <u>Grandine il Vento</u>	=	-	-	



	Title	Chart-Po	sitio	ons	
Year		<u>UK^[9]</u>	<u>US</u>	NL ^[10]	Comments
	1969	<u>Renaissance</u>	60	-	10
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1972	<u>Prologue</u>	_	_	_	
1973	<u>Ashes Are Burning</u>	-	171	-	
1974	<u>Turn of the Cards</u>	-	94	_	1975 (UK)
1975	Scheherazade and Other Stories	-	48	_	
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1979	<u>Azure d'Or</u>	73	125	_	
1981	<u>Camera Camera</u>	-	196	-	
1983	<u>Time-Line</u>	-	207	_	
2001	<u>Tuscany</u>	-	-	_	
2013	<u>Grandine il Vento</u>	_	_	_	

- Year의 th rowspan="2" -> "3" 로 변경하였더니 2행이 1열씩 밀려남.

	 1	Char	t-Pos	itions		
Year 1969	Title	<u>UK^[9]</u>	<u>US</u>	<u>NL^[10]</u>	Comments	
	<u>Renaissance</u>	60	-	10		
1971	<u>Illusion</u>	-	-	-	1976 (UK)	
1972	<u>Prologue</u>	-	-	-		
1973	<u>Ashes Are Burning</u>	-	171	-		
1974	<u>Turn of the Cards</u>	-	94	-	1975 (UK)	
1975	Scheherazade and Other Stories	-	48	-		
1977	<u>Novella</u>	-	46	_	1977 (January in US, August in UK, as the band moved to the Warner Bros Music Group)	
1978	A Song for All Seasons	35	58	-	UK:Silver	
1979	<u>Azure d'Or</u>	73	125	-		
1981	<u>Camera Camera</u>	-	196	-		
1983	<u>Time-Line</u>	-	207	-		
2001	<u>Tuscany</u>	-	-	-		
2013	<u>Grandine il Vento</u>	-	-	-		

- 1행 1열 Year 밑에 2행 1열
1969
 를 넣었음.

Year Title	Char	t-Pos	itions	
rear little	<u>UK^[9]</u>	<u>US</u>	NL ^[10]	Comments
1969 Renaissance	60	_	10	
1971 <u>Illusion</u>	-	-	-	1976 (UK)
1972 <u>Prologue</u>	-	-	-	
1973 <u>Ashes Are Burning</u>	_	171	-	
1974 <u>Turn of the Cards</u>	_	94	-	1975 (UK)
1975 Scheherazade and Other Stories	_	48	-	
1977 <u>Novella</u>	-	46	-	1977 (January in US, August in UK, as the band moved to the Warner Bros Music Group)
1978 <u>A Song for All Seasons</u>	35	58	-	UK:Silver
1979 <u>Azure d'Or</u>	73	125	-	
1981 <u>Camera Camera</u>	_	196	-	
1983 <u>Time-Line</u>	_	207	-	
2001 <u>Tuscany</u>	_	-	-	
2013 <u>Grandine il Vento</u>	-	-	-	

```
Year
                  Title
                                     Chart-Positions
                                                          Comments
                                                                            UK[9] US NL[10]
1969 Renaissance
                                     60 - 10

    – 1976 (UK)

1971 Illusion
1972 <u>Prologue</u>
1973 Ashes Are Burning
                                         171 –
1974 Turn of the Cards
                                      - 94 - 1975 (UK)
                                      - 48 -
1975 Scheherazade and Other Stories
                                                   1977 (January in US, August
                                                  in UK, as the band moved to
1977 Novella
                                                  the Warner Bros Music
                                                  Group)
1978 <u>A Song for All Seaso</u>ns
                                     35 58 - UK:Silver
1979 Azure d'Or
                                     73 125 -
1981 Camera Camera
                                      - 196
1983 Time-Line
                                         207
2001 Tuscany
2013 Grandine il Vento
```

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v	Title	Char	t-Po:	sitions	Comments
Year		<u>UK^[9]</u>	<u>US</u>	<u>NL^[10]</u>	
1969 <i>Renaissa</i>	<u>nce</u>	60	-	10	
1971 <u>Illusion</u>		-	-	-	1976 (UK)
1972 <u>Prologue</u>		_	-	-	
1973 <u>Ashes Ar</u>	<u>e Burning</u>	_	171	-	
1974 <u>Turn of t</u>	he Cards	_	94	-	1975 (UK)
1975 <u>Schehera</u>	zade and Other Stories	-	48	-	
1977 <u>Novella</u>		-	46	-	1977 (January in US, August in UK, as the band moved to the Warner Bros Music Group)
1978 <u>A Song 1</u>	for All Seasons	35	58	-	UK:Silver
1979 <u>Azure d'</u>	<u> 2r</u>	73	125	_	
1981 <u>Camera</u>	<u>Camera</u>	_	196	_	
1983 <u><i>Time-Lin</i></u>	<u>e</u>	_	207	-	
2001 <u>Tuscany</u>		_	-	_	
2013 <u>Grandine</u>	· il Vento	_	_	_	

Year	Title	Char	t-Posi	tions	Comments	UK US NL -
1969	<u>Renaissance</u>	60	-	10		
1971	<u>Illusion</u>	-	-	-	1976 (UK)	
1972	<u>Prologue</u>	_	-	-		
1973	Ashes Are Burning	_	171	-		
1974	Turn of the Cards	_	94	-	1975 (UK)	
1975	Scheherazade and Other Stories	_	48	-		
1977	<u>Novella</u>	-	46	-	1977 (January in US, August in UK, as the band moved to the Warner Bros Music Group)	
1978	A Song for All Seasons	35	58	-	UK:Silver	
1979	Azure d'Or	73	125	-		
1981	<u>Camera Camera</u>	_	196	-		
1983	<u>Time-Line</u>	_	207	-		
2001	<u>Tuscany</u>	_	-	-		
2013	<u>Grandine il Vento</u>	-	-	-		

```
Year
                  Title
                                    Chart-Positions
                                                          Comments
                                    UK US NL -
                                     60 - 10
1969 Renaissance
1971 Illusion

 – 1976 (UK)

1972 Prologue
1973 Ashes Are Burning
1974 <u>Turn of the Cards</u>
                                     - 94 - 1975 (UK)
1975 Scheherazade and Other Stories
                                     - 48 -
                                                  1977 (January in US, August
                                                 in UK, as the band moved to
1977 Novella
                                                 the Warner Bros Music
                                                 Group)
1978 A Song for All Seasons
                                     35 58 - UK:Silver
1979 Azure d'Or
                                     73 125 -
1981 Camera Camera
1983 Time-Line
2001 Tuscany
2013 Grandine il Vento
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- 이런 문제는 간단히 룰로 하기 쉽지 않아 보임... 모르겠음 솔직히..