Characterizing and
Detecting Mismatch in ML –
Enabled Systems

**Project Introduction** 

Software Engineering Institute Carnegie Mellon University Pittsburgh, PA 15213



Software Engineering Institute

[DISTRIBUTION STATEMENT A] This material has been approved for public

Copyright 2019 Carnegie Mellon University.

This material is based upon work funded and supported by the Department of Defense under Contract No. FA8702-15-D-0002 with Carnegie Mellon University for the operation of the Software Engineering Institute, a federally funded research and development center.

NO WARRANTY. THIS CARNEGIE MELLON UNIVERSITY AND SOFTWARE ENGINEERING INSTITUTE MATERIAL IS FURNISHED ON AN "AS-IS" BASIS. CARNEGIE MELLON UNIVERSITY MAKES NO WARRANTIES OF ANY KIND, EITHER EXPRESSED OR IMPLIED, AS TO ANY MATTER INCLUDING, BUT NOT LIMITED TO, WARRANTY OF FITNESS FOR PURPOSE OR MERCHANTABILITY, EXCLUSIVITY, OR RESULTS OBTAINED FROM USE OF THE MATERIAL. CARNEGIE MELLON UNIVERSITY DOES NOT MAKE ANY WARRANTY OF ANY KIND WITH RESPECT TO FREEDOM FROM PATENT, TRADEMARK, OR COPYRIGHT INFRINGEMENT.

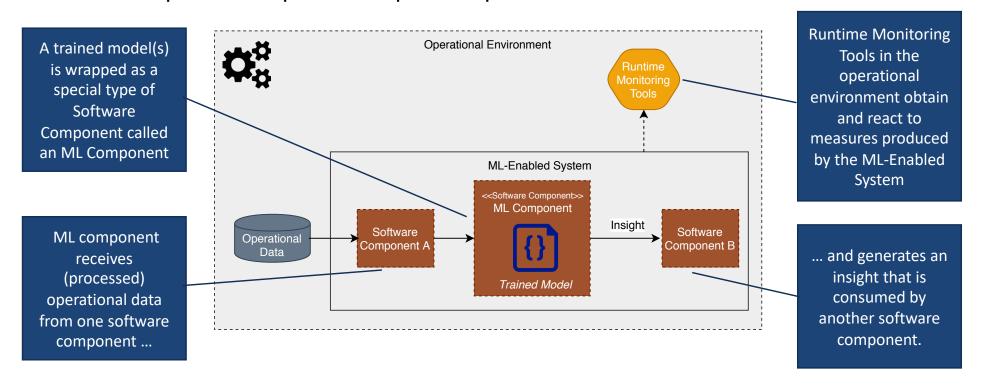
[DISTRIBUTION STATEMENT A] This material has been approved for public release and unlimited distribution. Please see Copyright notice for non-US Government use and distribution.

This material may be reproduced in its entirety, without modification, and freely distributed in written or electronic form without requesting formal permission. Permission is required for any other use. Requests for permission should be directed to the Software Engineering Institute at permission@sei.cmu.edu.

DM19-1090

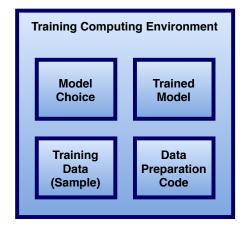
# ML-Enabled System

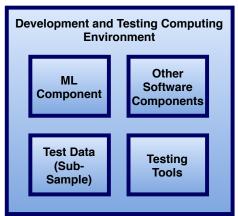
We define an ML-enabled system as a software system that relies on one or more ML software components to provide required capabilities

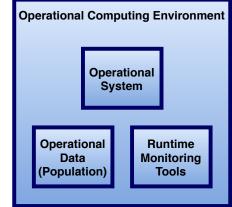


# Elements of ML-Enabled Systems







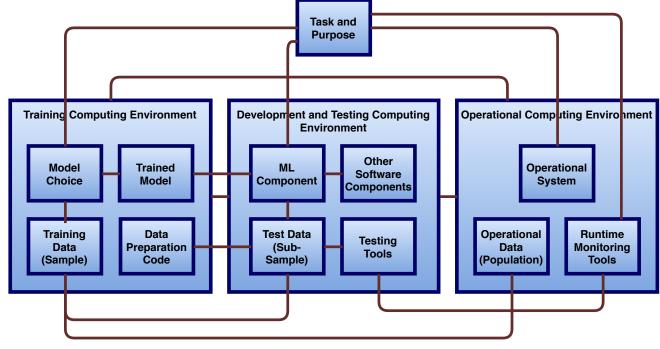




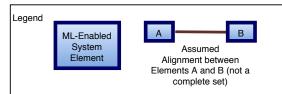
We define elements of ML-enabled systems as the non-human entities involved in the training, integration and operation of ML-enabled systems.

### Motivation

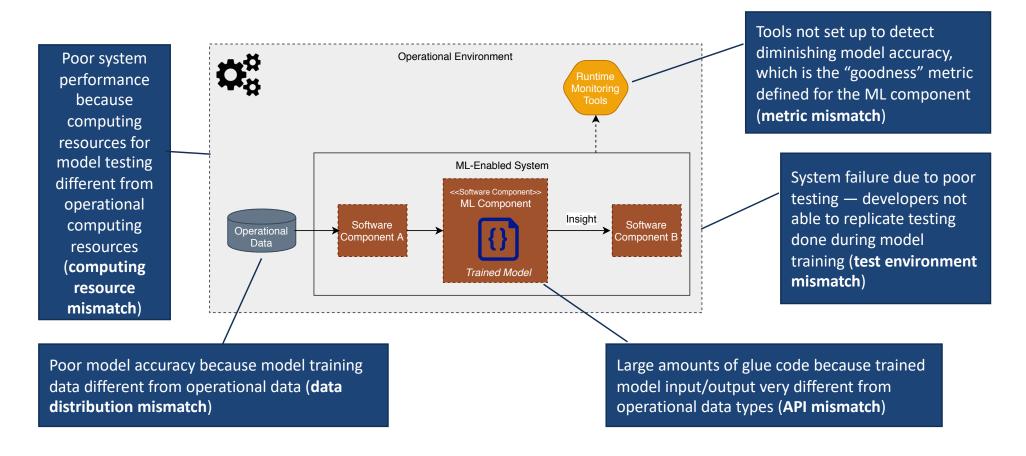
Many of the challenges that we see in trying to deploy ML-enabled systems into operational environments is due to mismatch, or lack of alignment, between elements of ML-enabled systems.



There is very little existing guidance because development of ML and Al capabilities is still mainly a research activity or a stand-alone project, with the exception of large companies.



### **Examples of Mismatch**



# Hypothesis

We hypothesize that a reason for mismatch is because ML-enabled systems typically involve three different and separate workflows

- Model training
- Model integration
- Model operation
- ... performed by three different sets of people
  - Data scientists
  - Software engineers
  - Operations staff
- ... with different skills,
- ... who often make assumptions about what the other people know or need.

#### The goal of the interview is to

- 1. Elicit examples of mismatch that you have encountered (or can likely occur) in the development and deployment of ML-enabled systems
- 2. Identify key information that needs to be shared in order to avoid mismatch