

# Symmetries and Neural Tangent Kernels

Jan E. Gerken



UNIVERSITY OF  
GOTHENBURG



in collaboration with



Pan Kessel

from



Prescient  
Design  
A Genetech Accelerator



AI IAIFI  
Summer Workshop  
August 12–August 16  
2024

# Symmetries in physics

$$SU(2) \times SU(3) \times U(1)$$

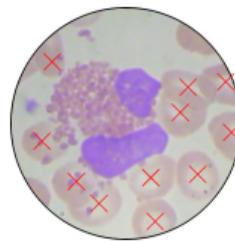


## Standard Model of Elementary Particles

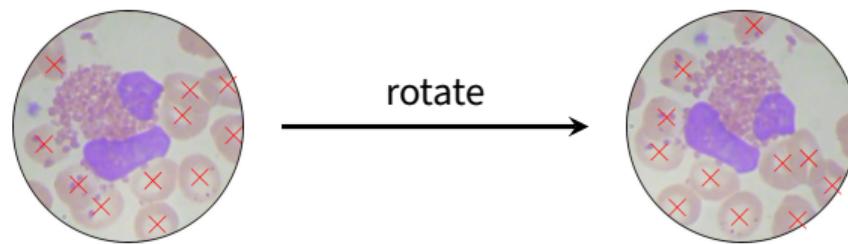
three generations of matter (fermions)			interactions / force carriers (bosons)	
I	II	III		
mass charge spin	$\approx 2.16 \text{ MeV}/c^2$ $\frac{2}{3}$ $\frac{1}{2}$ <b>u</b> up	$\approx 1.2730 \text{ GeV}/c^2$ $\frac{2}{3}$ $\frac{1}{2}$ <b>c</b> charm	$\approx 172.57 \text{ GeV}/c^2$ $\frac{2}{3}$ $\frac{1}{2}$ <b>t</b> top	<b>g</b> gluon
QUARKS	$\approx 4.70 \text{ MeV}/c^2$ $-\frac{1}{3}$ $\frac{1}{2}$ <b>d</b> down	$\approx 93.5 \text{ MeV}/c^2$ $-\frac{1}{3}$ $\frac{1}{2}$ <b>s</b> strange	$\approx 4.183 \text{ GeV}/c^2$ $-\frac{1}{3}$ $\frac{1}{2}$ <b>b</b> bottom	$\gamma$ photon
LEPTONS	$\approx 0.5110 \text{ MeV}/c^2$ $-1$ $\frac{1}{2}$ <b>e</b> electron	$\approx 105.66 \text{ MeV}/c^2$ $-1$ $\frac{1}{2}$ <b><math>\mu</math></b> muon	$\approx 1776.93 \text{ MeV}/c^2$ $-1$ $\frac{1}{2}$ <b><math>\tau</math></b> tau	<b>Z</b> Z boson
	$<0.8 \text{ eV}/c^2$ 0 $\frac{1}{2}$ <b><math>\nu_e</math></b> electron neutrino	$<0.17 \text{ MeV}/c^2$ 0 $\frac{1}{2}$ <b><math>\nu_\mu</math></b> muon neutrino	$<18.2 \text{ MeV}/c^2$ 0 $\frac{1}{2}$ <b><math>\nu_\tau</math></b> tau neutrino	<b>W</b> W boson
			$\approx 125.20 \text{ GeV}/c^2$ 0 0 <b>H</b> higgs	
			SCALAR BOSONS GAUGE BOSONS VECTOR BOSONS	

# Symmetries in deep learning

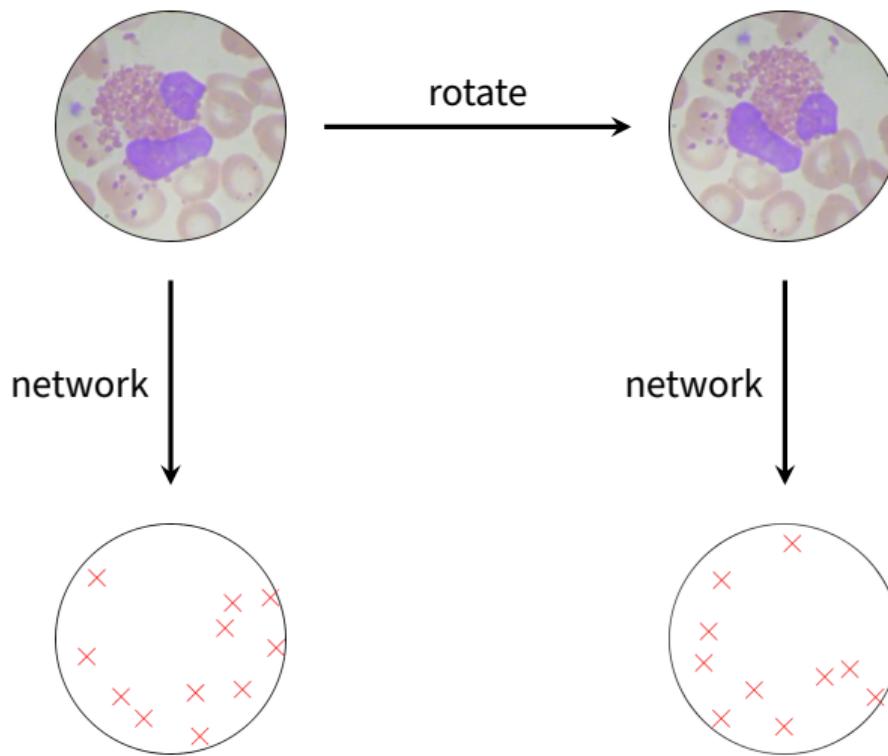
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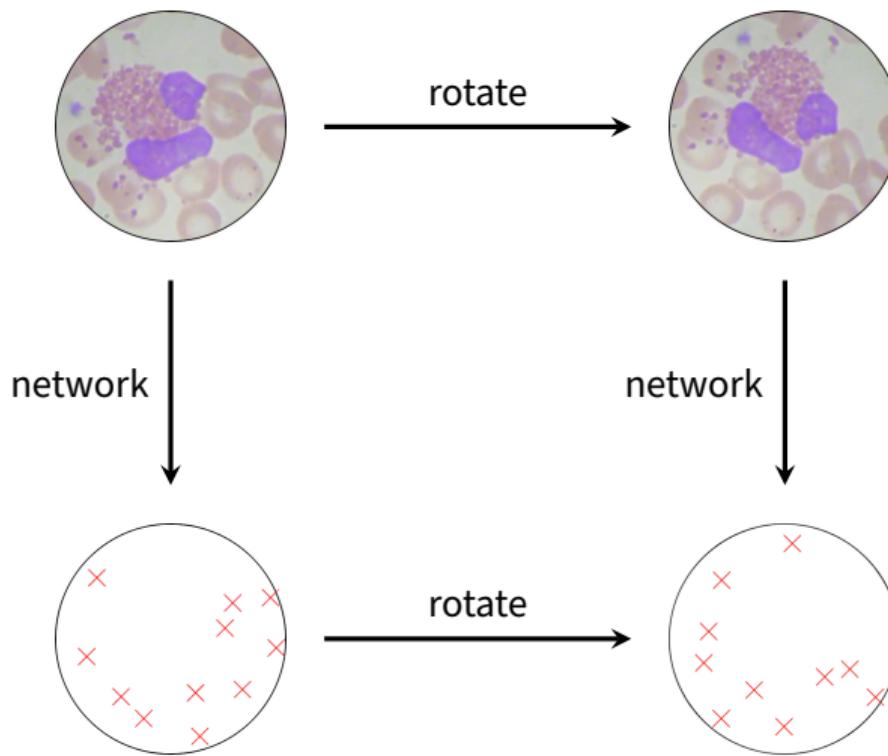
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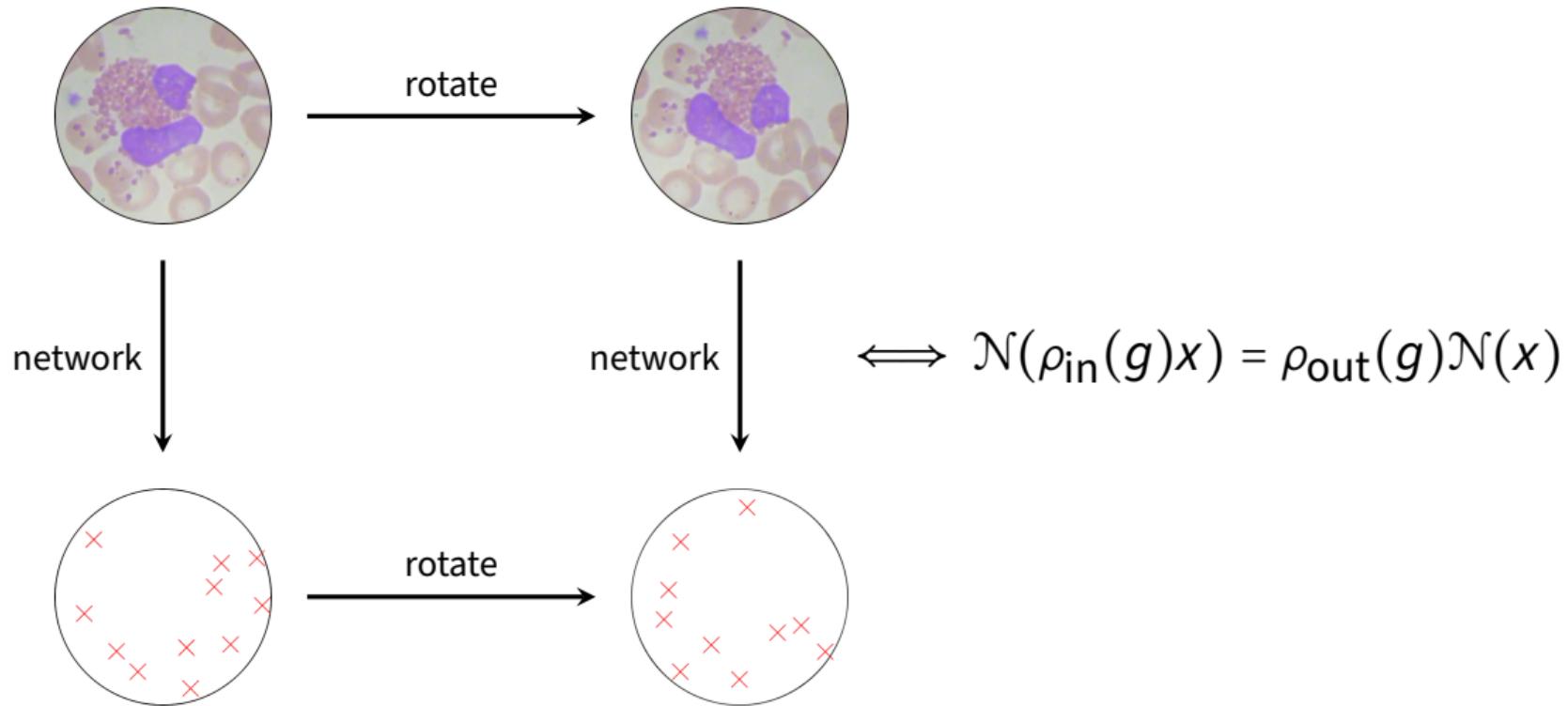
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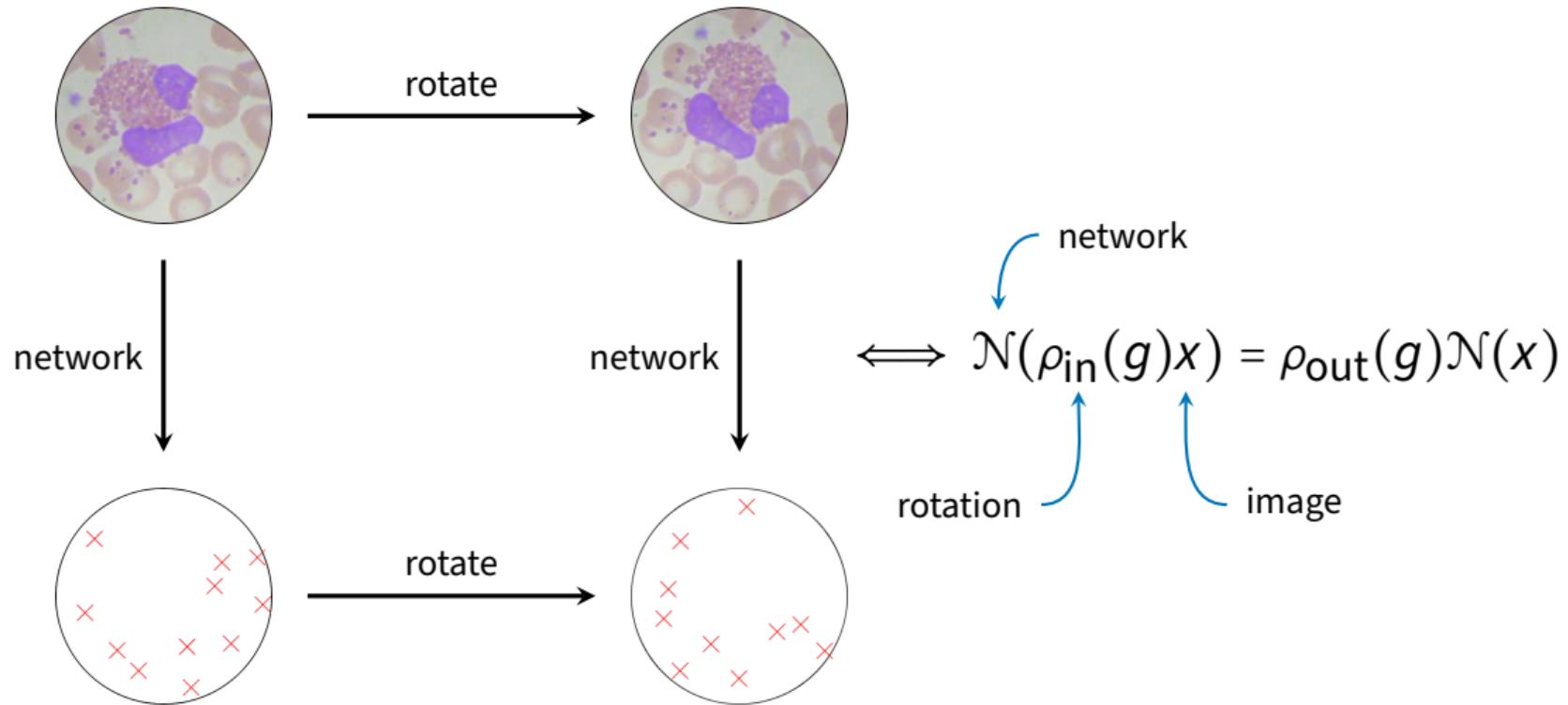
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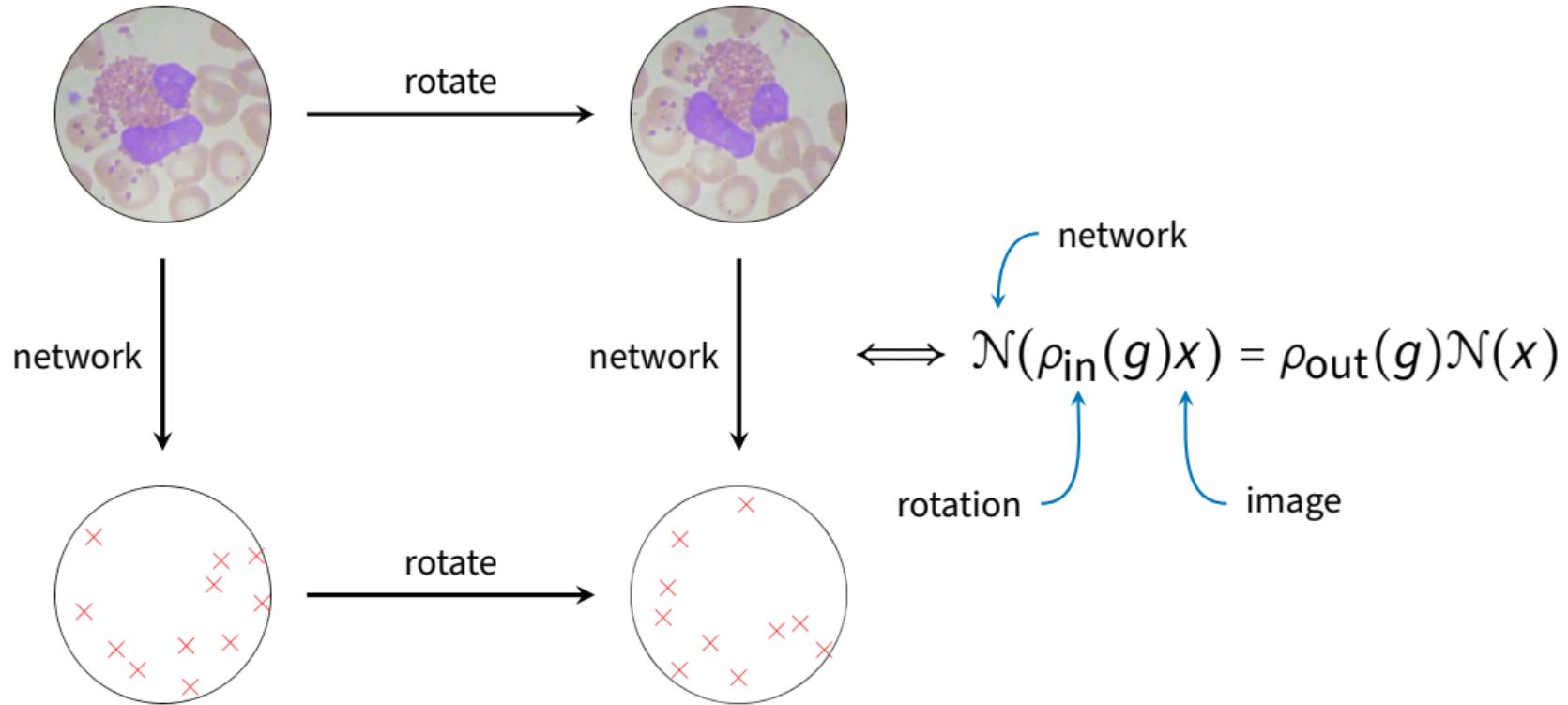
# Symmetries in deep learning



# Symmetries in deep learning



# Equivariance



# Equivariant neural networks

# Equivariant neural networks

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## Group Equivariant Convolutional Networks

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### Abstract

We introduce Group equivariant Convolutional Neural Networks (G-CNNs), a natural generalization of convolutional neural networks that reduces sample complexity by exploiting symme-

Convolution layers can be used effectively in a deep network because all the layers in such a network are *translation equivariant*: shifting the image and then feeding it through a number of layers is the same as feeding the original image through the same layers and then shifting the resulting feature maps (at least up to edge-effects). In

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## Equivariant Transformer Networks

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In contrast to training time approaches like data augmentation, recent work on group equivariant CNNs (Cohen & Welling, 2016; Dierkes et al., 2016; Marcus et al., 2017; Woern et al., 2017; Tancik et al., 2017; Alabd, 2017; Cohen et al., 2018) has explored new CNN architectures that are designed to encode specific-to-domain invariances.

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## Theory for Equivariant Quantum Neural Networks

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Quantum neural network architectures that have little-to-no inductive biases are known to face trainability and generalization issues. Inspired by a similar problem, recent breakthroughs in machine learning address this challenge by creating models encoding the symmetries of the learning task. This is materialized through the usage of equivariant neural networks whose action commutes with that of the symmetry. In this work we extend these ideas to the quantum regime by

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## E(3)-Equivariant Graph Neural Networks for Data-Efficient and Accurate Interatomic Potentials

Simon Batzner<sup>a,1</sup> Albert Musoelian,<sup>1</sup> Lixin Sun,<sup>1</sup> Mario Geiger,<sup>2</sup> Jonathan P. Mallon,<sup>3</sup>  
Mordechai Kornblith,<sup>2</sup> Nicola Molinari,<sup>1</sup> Tess E. Smidt,<sup>4,5</sup> and Boris Kozinsky<sup>a,1,3</sup>

<sup>1</sup>John A. Paulson School of Engineering and Applied Sciences,  
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<sup>3</sup>Robert Bosch Research and Technology Center, Cambridge, MA 02120, USA

<sup>4</sup>Computational Research Division and Center for Advanced Computing for Energy Research Applications,

Lawrence Berkeley National Laboratory, Berkeley, CA 94730, USA

<sup>5</sup>Massachusetts Institute of Technology, Department of Electrical

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This work presents Neural Equivariant Interatomic Potentials (NeqIP), an E(3)-equivariant neural network approach for learning interatomic potentials from ab-initio calculations for molecular dynamics simulations. While most contemporary symmetry-aware models use invariant convolutions and only act on scalars, NeqIP employs E(3)-equivariant convolutions for interactions of geometric tensors, resulting in a more information-rich and faithful representation of atomic environments. The method achieves state-of-the-art accuracy on a challenging and diverse set of molecules and

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## HIERARCHICAL, ROTATION-EQUIVARIANT NEURAL NETWORKS TO SELECT STRUCTURAL MODELS OF PROTEIN COMPLEXES

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### ABSTRACT

Predicting the structure of multi-protein complexes is a grand challenge in biochemistry, with major implications for basic science and drug discovery. Computational structure prediction methods generally leverage pre-defined structural features to distinguish accurate structural models from less accurate ones. This raises the question of whether it is possible to learn characteristics of accurate models directly from atomic coordinates of protein complexes, with no prior assumptions. Here we introduce a machine learning method that learns directly from the 3D positions of all atoms to

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## Geometric Deep Learning and Equivariant Neural Networks

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\* equal contribution

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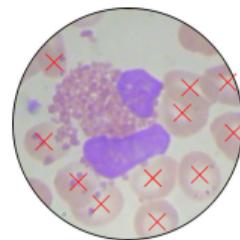
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### ABSTRACT

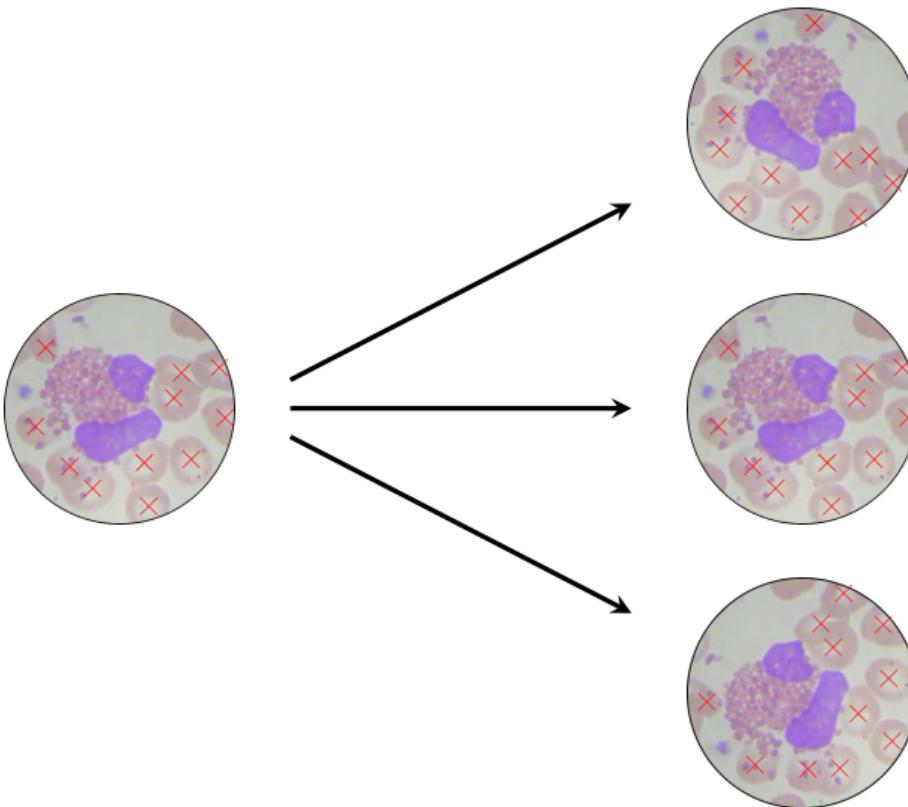
Computational structure prediction of multi-protein complexes is a grand challenge in biochemistry, with major implications for basic science and drug discovery. Computational structure prediction methods generally leverage pre-defined structural features to distinguish accurate structural models from less accurate ones. This raises the question of whether it is possible to learn characteristics of accurate models directly from atomic coordinates of protein complexes, with no prior assumptions. Here we introduce a machine learning method that learns directly from the 3D positions of all atoms to

# Data augmentation

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- thumb-up No specialized architecture necessary

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Can we understand data augmentation theoretically?

# **Neural tangent kernel**

# Empirical NTK

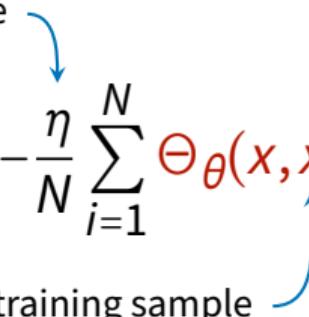
Training dynamics under continuous gradient descent:

$$\frac{d\mathcal{N}_\theta(x)}{dt} = -\frac{\eta}{N} \sum_{i=1}^N \Theta_\theta(x, x_i) \frac{\partial L}{\partial \mathcal{N}(x_i)}$$

learning rate

loss

training sample



# Empirical NTK

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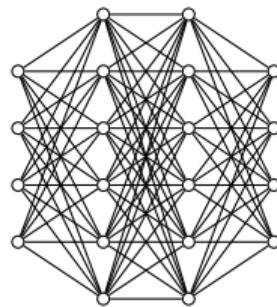
↑  
learning rate      ↑  
↑  
training sample      ↑  
loss

with the **empirical neural tangent kernel (NTK)**

$$\Theta_\theta(x, x') = \sum_\mu \frac{\partial \mathcal{N}(x)}{\partial \theta_\mu} \frac{\partial \mathcal{N}(x')}{\partial \theta_\mu}$$

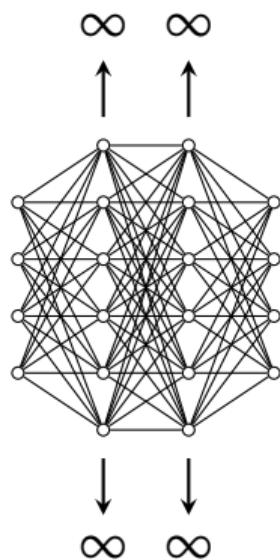
# Infinite width limit

[Jacot et al. 2018]



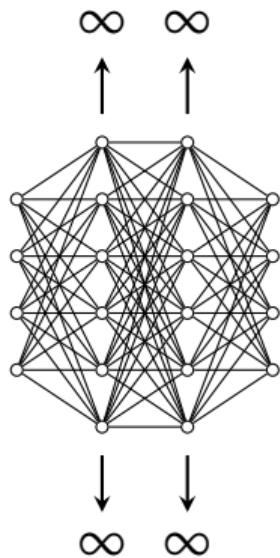
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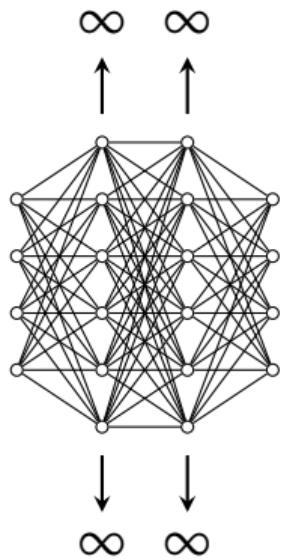
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👍 NTK becomes independent of initialization

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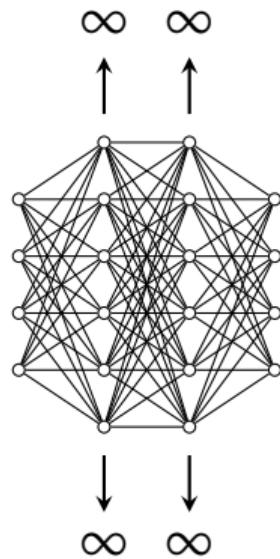
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- 👍 NTK becomes independent of initialization
- 👍 NTK becomes constant in training

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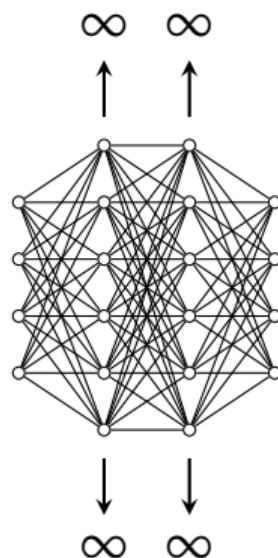
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- NTK becomes constant in training
- NTK can be computed for most networks

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- NTK becomes independent of initialization
- NTK becomes constant in training
- NTK can be computed for most networks
- ✓ Training dynamics can be solved

# Mean prediction from NTK

[Jacot et al. 2018]

- ① At infinite width, the mean prediction is given by

$$\mu_t(x) = \Theta(x, X)\Theta(X, X)^{-1}(\mathbb{I} - e^{-\eta\Theta(X, X)t})Y$$

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neural tangent kernel

train data

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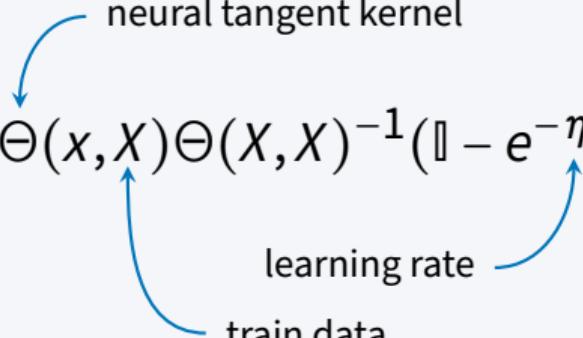
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Diagram illustrating the components of the mean prediction formula:

- neural tangent kernel**: Points to the term  $\Theta(x, X)$ .
- train labels**: Points to the term  $Y$ .
- learning rate**: Points to the term  $e^{-\eta\Theta(X, X)t}$ .
- train data**: Points to the term  $\Theta(X, X)^{-1}$ .

# **Data augmentation**

# Data augmentation at infinite width

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augmented data

augmented labels

# Data augmentation at infinite width

group transformation

$$\mu_t(\rho(g)x) = \Theta(\rho(g)x, X)\Theta(X, X)^{-1}(\mathbb{I} - e^{-\eta}\Theta(X, X)t)Y$$

augmented data      augmented labels

The diagram illustrates the mathematical expression for data augmentation. A blue curved arrow labeled "group transformation" points to the term  $\rho(g)x$ . Another blue curved arrow labeled "augmented data" points to the leftmost term  $\rho(g)x$ . A third blue curved arrow labeled "augmented labels" points to the rightmost term  $Y$ .

# Data augmentation at infinite width

$$\mu_t(\rho(g)x) = \Theta(\rho(g)x, X)\Theta(X, X)^{-1}(\mathbb{I} - e^{-\eta}\Theta(X, X)t)Y$$

group transformation

for augmented data

augmented data

augmented labels

The diagram illustrates the mathematical expression for data augmentation. A blue curved arrow labeled "group transformation" points from the term  $\rho(g)$  in the equation to the first term  $\Theta(\rho(g)x, X)$ . Another blue curved arrow labeled "for augmented data" points from the term  $\Theta(X, X)^{-1}$  to the second term  $(\mathbb{I} - e^{-\eta}\Theta(X, X)t)$ . Below the equation, the text "augmented data" is written in red, with a blue arrow pointing upwards to the term  $\Theta(\rho(g)x, X)$ . Similarly, the text "augmented labels" is written in red, with a blue arrow pointing upwards to the term  $Y$ .

# Data augmentation at infinite width

group transformation

$$\mu_t(\rho(g)x) = \Theta(x, X)\Theta(X, X)^{-1}(\mathbb{I} - e^{-\eta\Theta(X, X)t})\rho(g)Y$$

augmented data      augmented labels

The diagram illustrates the mathematical expression for data augmentation. A blue curved arrow labeled "group transformation" points downwards to the term  $\rho(g)$ . Another blue curved arrow labeled "augmented data" points upwards from the left to the term  $\Theta(x, X)$ . A third blue curved arrow labeled "augmented labels" points upwards from the right to the term  $\rho(g)Y$ .

# Data augmentation at infinite width

$$\mu_t(\rho(g)x) = \Theta(x, X)\Theta(X, X)^{-1}(\mathbb{I} - e^{-\eta\Theta(X, X)t})\underbrace{\rho(g)Y}_{=Y \text{ for invariance}}$$

group transformation

augmented labels

# Data augmentation at infinite width

group transformation

$$\begin{aligned}\mu_t(\rho(g)x) &= \Theta(x, X)\Theta(X, X)^{-1}(\mathbb{I} - e^{-\eta\Theta(X, X)t})\underbrace{\rho(g)Y}_{=Y} \\ &= \mu_t(x)\end{aligned}$$

for invariance

# Mean prediction

$$\mu_t(x)$$

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$$\mu_t(x) = \mathbb{E}_{\theta_0 \sim \text{initializations}} [\mathcal{N}_{\theta_t}(x)] = \lim_{n \rightarrow \infty} \frac{1}{n} \sum_{\theta_0=\text{init}_1}^{\text{init}_n} \mathcal{N}_{\theta_t}(x)$$

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## Main conclusion

Deep ensembles trained with data augmentation are equivariant.

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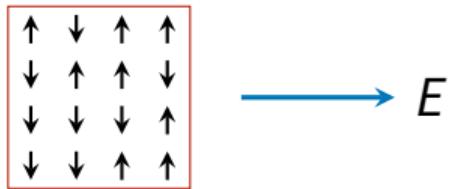
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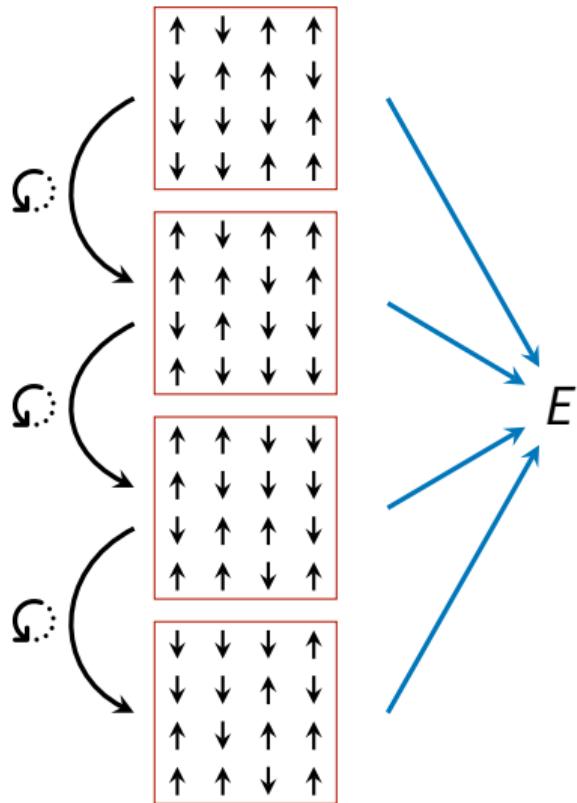
- ✓ Proof of exact equivariance for
  - full data augmentation
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  - at infinite width
- ✓ Equivariance holds for all training times
- ✓ Equivariance holds away from the training data

# **Experiments**

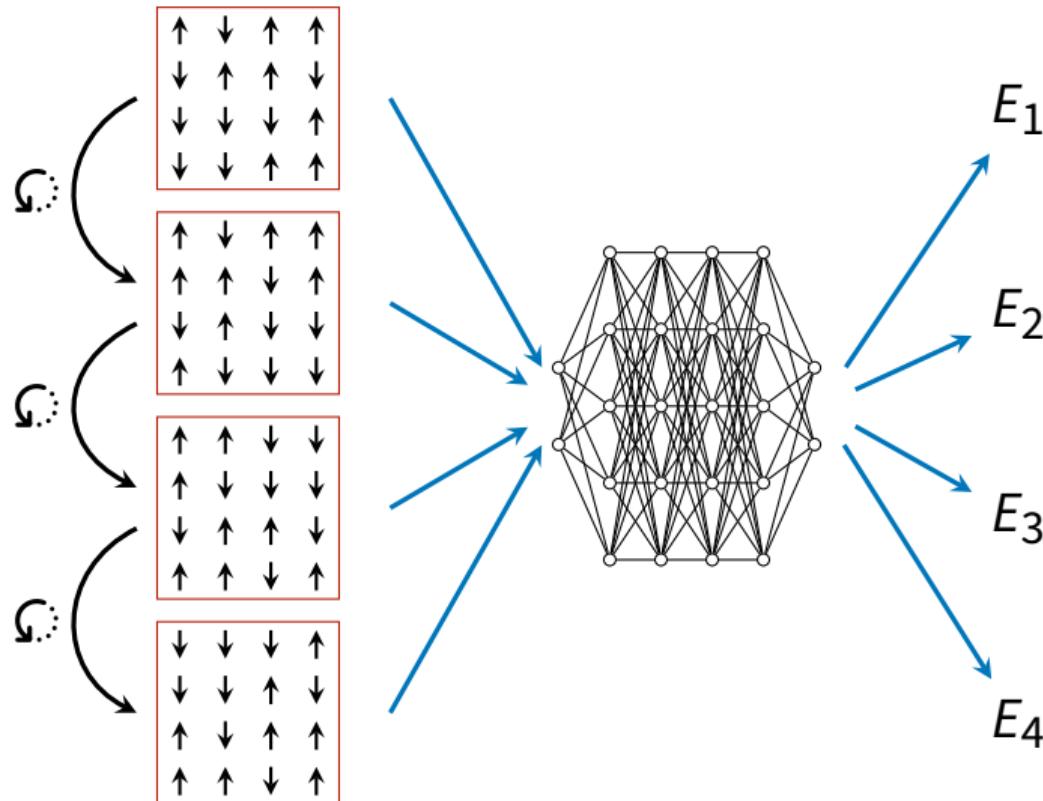
# Ising model



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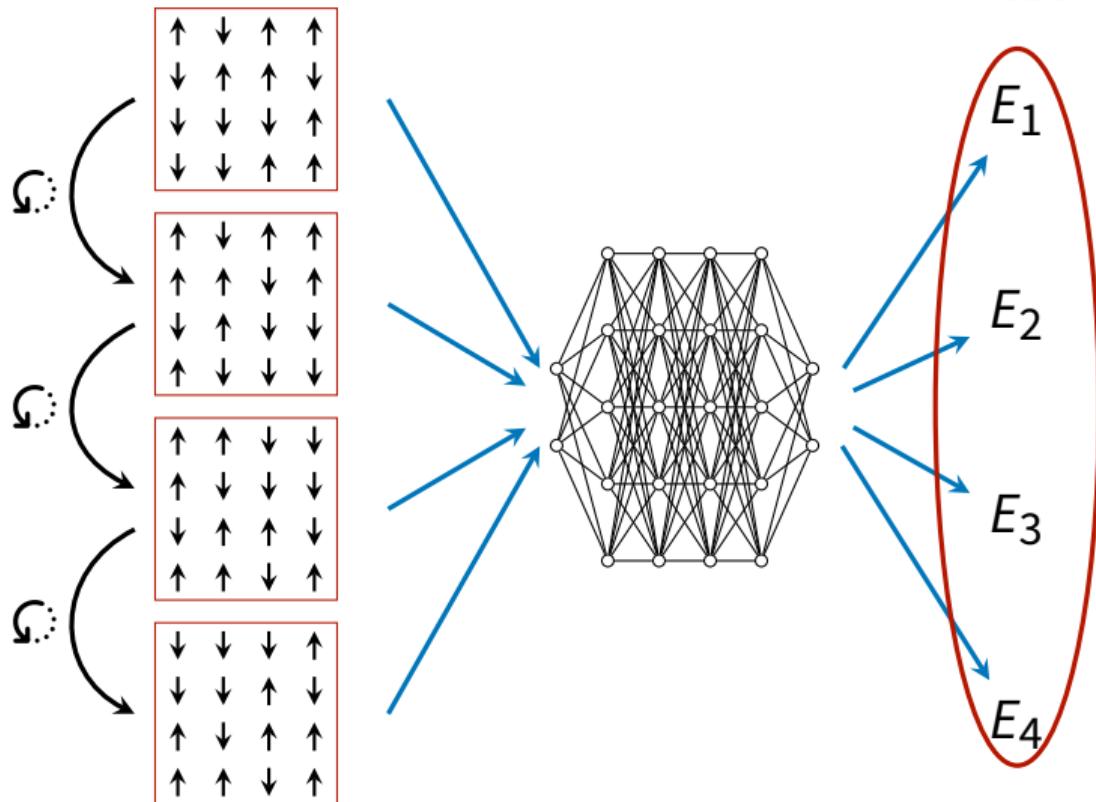


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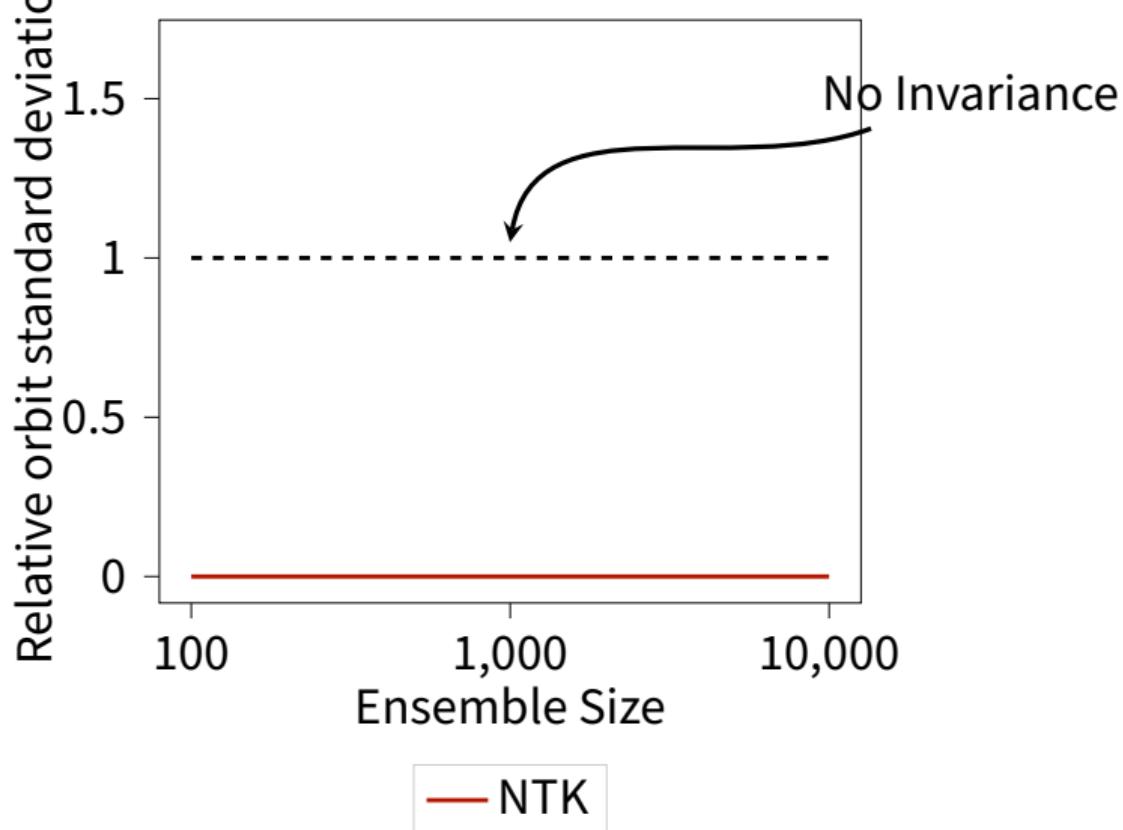


# Ising model

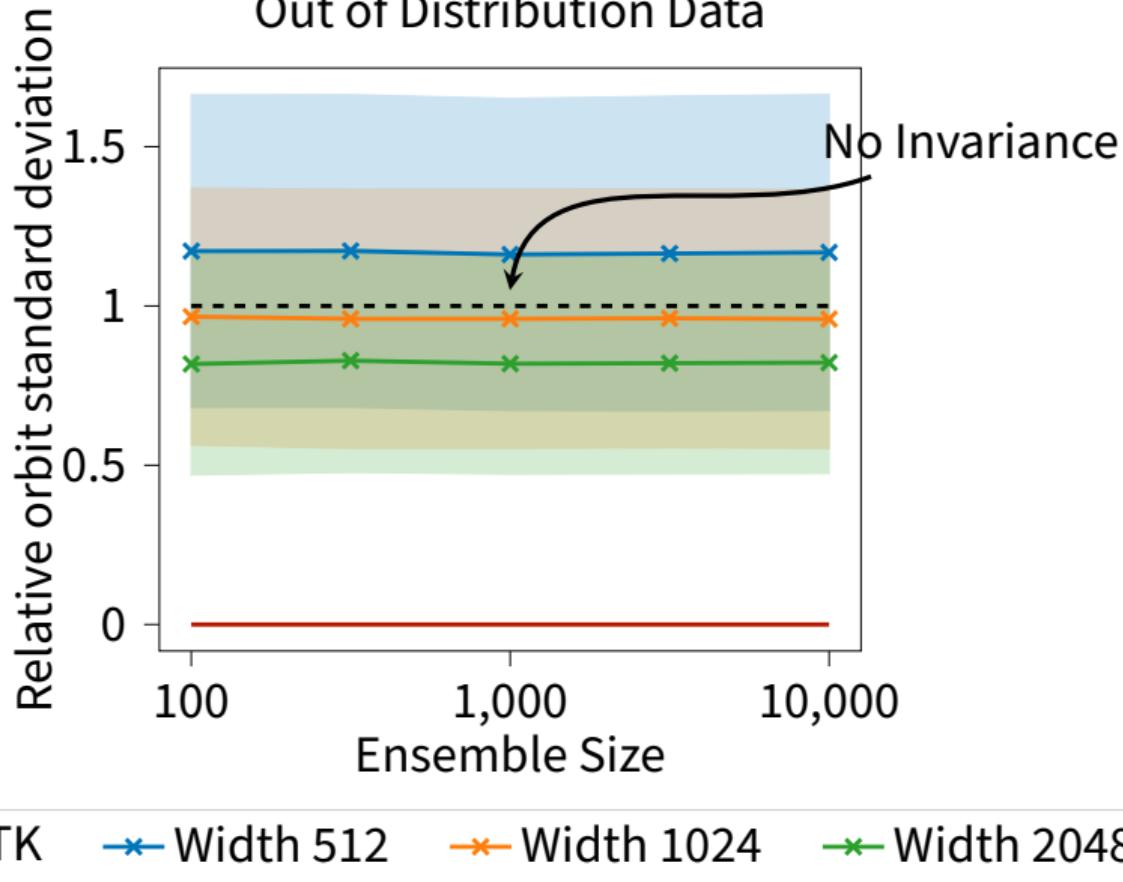
Relative Standard Deviation



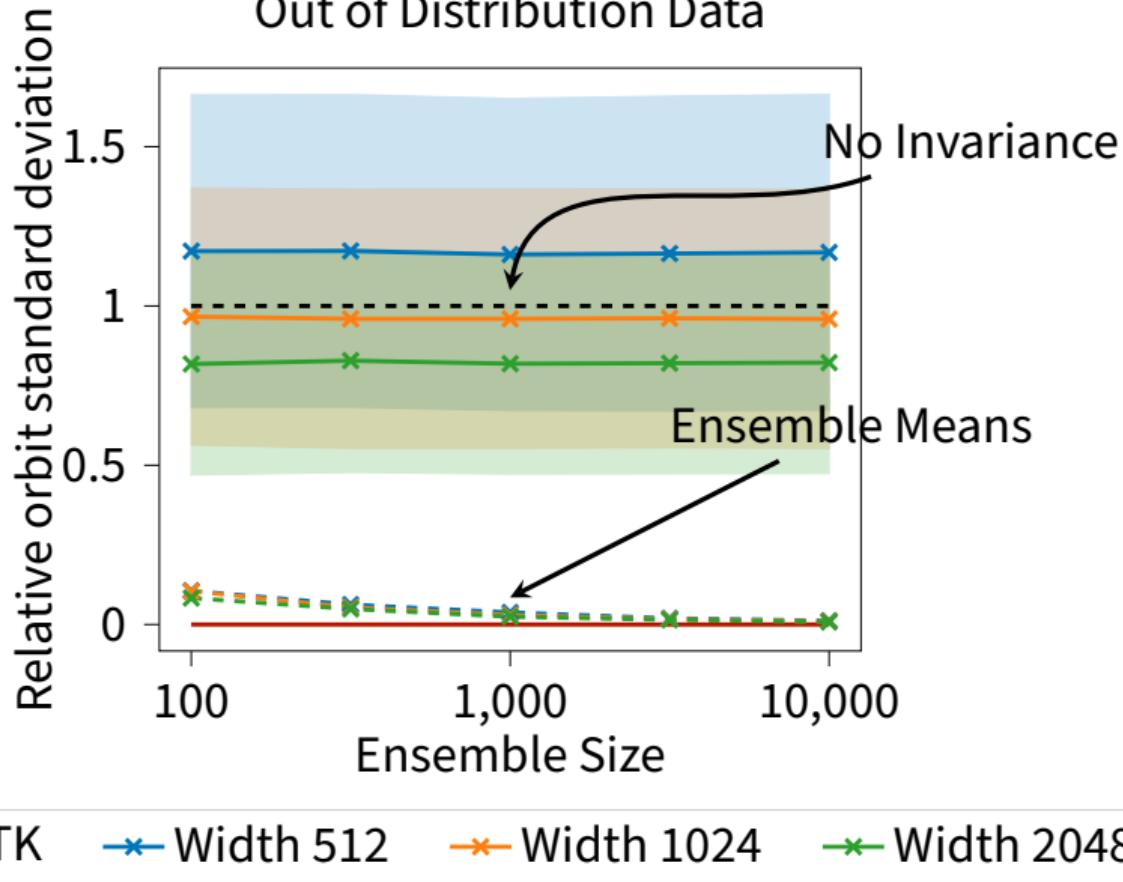
## Out of Distribution Data



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- 👍 Deep ensembles trained with data augmentation are equivariant
- 👍 Using physics approaches in deep learning can be very fruitful
- 👍 Neural tangent kernels provide a powerful theoretical handle

# Papers

- *Emergent Equivariance in Deep Ensembles*

Jan E. Gerken\*, Pan Kessel\*

ICML 2024 (Oral)

\* Equal contribution

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