

On the work of Martin Raum

Wallenberg prize in mathematics 2022



Daniel Persson
Department of Mathematical Sciences
Chalmers University of Technology
University of Gothenburg

modular forms

moonshine

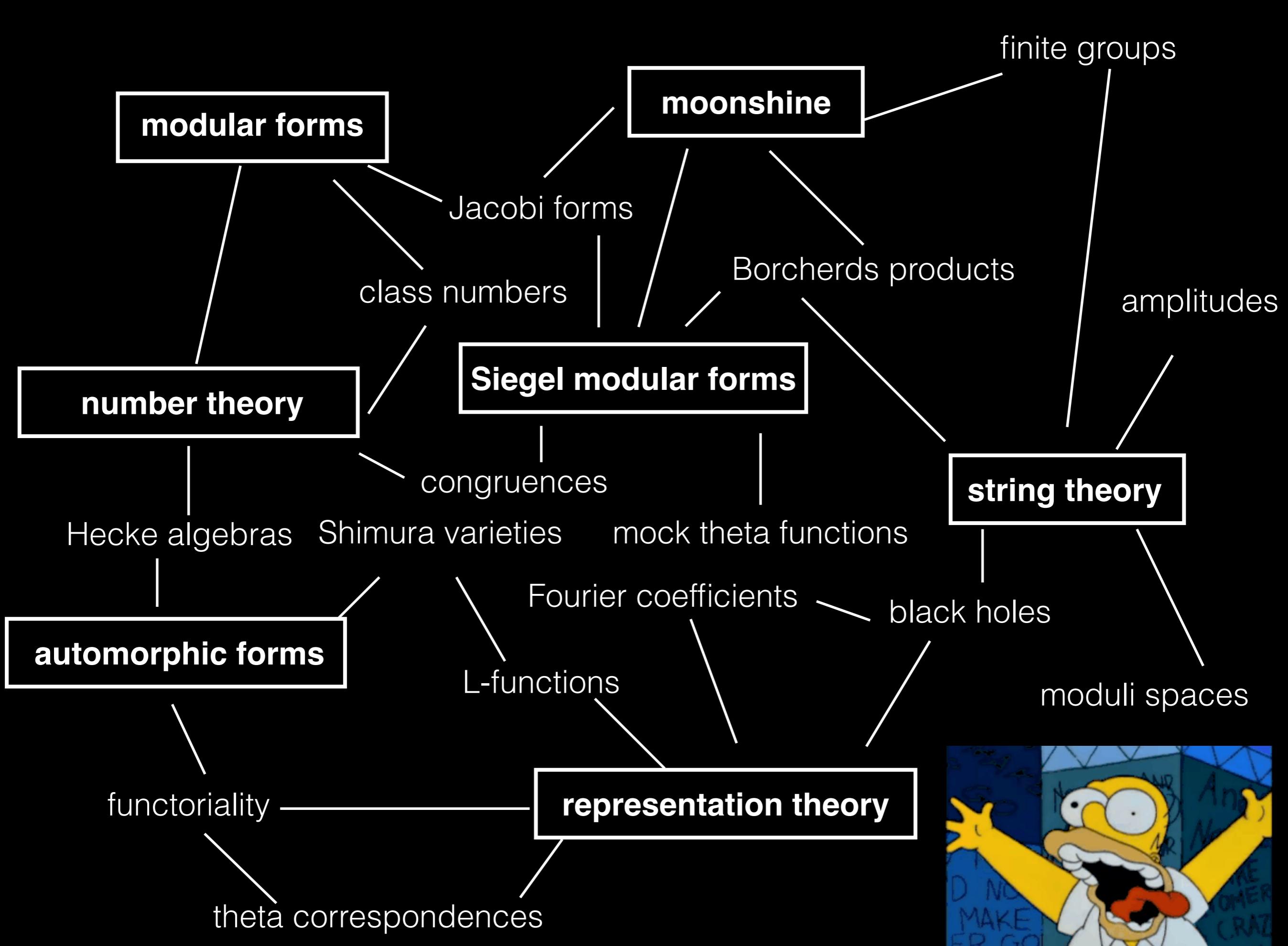
number theory

Siegel modular forms

string theory

automorphic forms

representation theory



Committee's Motivation

“Martin Raum tilldelas priset för sina många viktiga och inflytelserika bidrag till teorin för modulära och automorfa former, bland annat beviset av Kudlas modularitetsförmodan.”

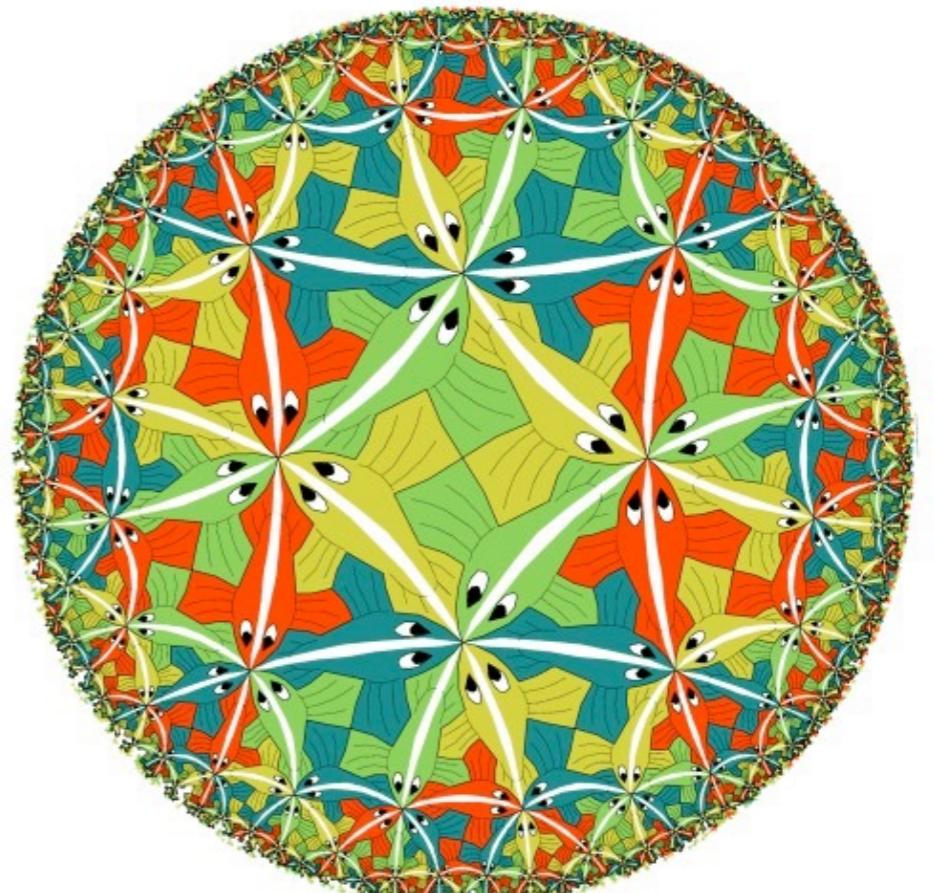
$f : \mathbb{H} \rightarrow \mathbb{C}$

modular forms

$$f\left(\frac{a\tau + b}{c\tau + d}\right) = (c\tau + d)^w f(\tau)$$

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL(2, \mathbb{Z})$$

(or $\Gamma \subset SL(2, \mathbb{Z})$)



$$\tau \in \mathbb{H}$$

modular forms

Periodicity:

$$f(\tau + 1) = f(\tau)$$

q - expansion

$$= \sum_{n \in \mathbb{Z}} c(n) q^n \quad q = e^{2\pi i \tau}$$

Fourier coefficients of modular forms often encode interesting **arithmetic** information

Example: Eisenstein series

$$G_{2w}(\tau) = \sum_{(m,n) \in \mathbb{Z}^2 \setminus (0,0)} \frac{1}{(m+n\tau)^{2w}}$$

Fourier expansion:

$$\frac{G_{2w}(\tau)}{2\zeta(2w)} = 1 + \frac{2}{\zeta(1-2w)} \sum_{k=1}^{\infty} \sigma_{2w-1}(k) e^{2\pi i k \tau}$$

divisor sum:

$$\sigma_{2w-1}(k) = \sum_{d|k} d^{2w-1}$$

Siegel modular forms

Modular forms with respect to $Sp(4, \mathbb{Z})$
(genus 2 case)



Siegel modular forms

Modular forms with respect to $Sp(4, \mathbb{Z})$
(genus 2 case)



$$\Omega = \begin{pmatrix} \tau & z \\ z & \sigma \end{pmatrix} \quad \tau, \sigma \in \mathbb{H} \quad z \in \mathbb{C}$$

$$f(\Omega) = \sum_{n \in \mathbb{Z}} \phi_m(\sigma, z) q^n$$

Siegel modular forms

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Fourier coefficients are **also modular forms** (“Jacobi forms”)

Siegel modular forms



modular forms

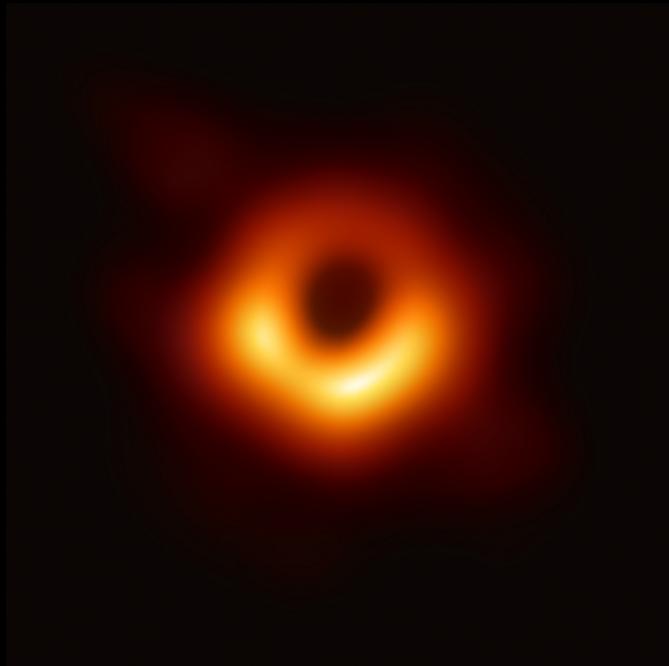
Siegel modular forms



Saito-Kurokawa lift

modular forms

Siegel modular forms



Also used to study quantum properties of black holes



Saito-Kurokawa lift

modular forms

Kudla's conjecture

Shimura variety

X

Higher-dimensional
generalisations
of the modular curve
 $\Gamma \backslash SL(2, \mathbb{R}) / SO(2)$

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Example: $\Gamma \backslash SO(2, n) / (SO(2) \times SO(n))$

Kudla's conjecture

Shimura variety X

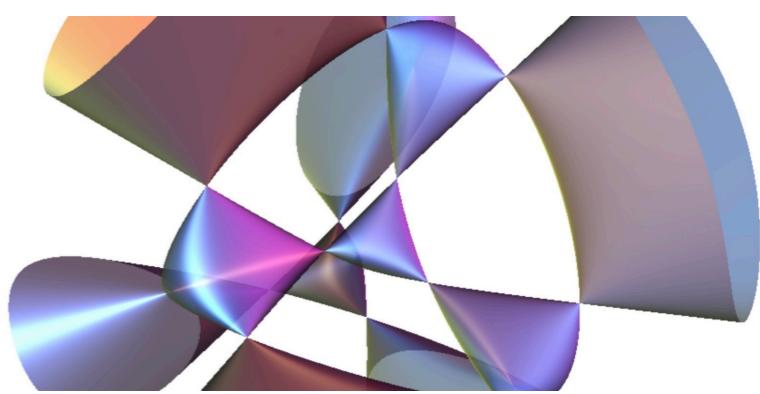
Higher-dimensional
generalisations
of the modular curve
 $\Gamma \backslash SL(2, \mathbb{R}) / SO(2)$

Example: $\Gamma \backslash SO(2, n) / (SO(2) \times SO(n))$

Kudla constructed **special cycles** on X
(generalising Heegner points on the modular curve)

Cycles are
subvarieties
of algebraic
varieties

Example: $\Gamma \backslash D$ for D a divisor



Kudla's conjecture

Shimura variety X

Higher-dimensional
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Kudla constructed **special cycles** on X
(generalising Heegner points on the modular curve)

Conjecture (Kudla):

The generating function of special cycles of codimension r
is a **Siegel modular form** of degree r

The **generating function** is of the form

$$\phi_r = \sum_n [C_{n,r}] q^n$$

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cohomology classes of special cycles
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- Borcherds proved (1999) that these are elliptic modular forms,
thereby establishing the $r = 1$ case of Kudla's conjecture
- The $r = 2$ was proven independently by Raum and Bruinier in 2013.

Then Bruinier and Raum joined forces and in 2014 they proved:

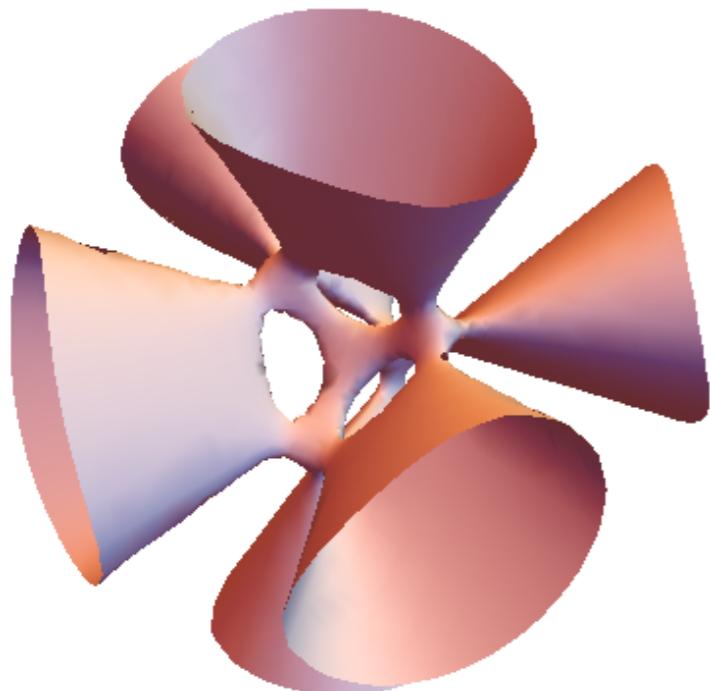
Theorem (Bruinier & Raum): *Kudla's modularity conjecture for varieties of orthogonal type is true.*

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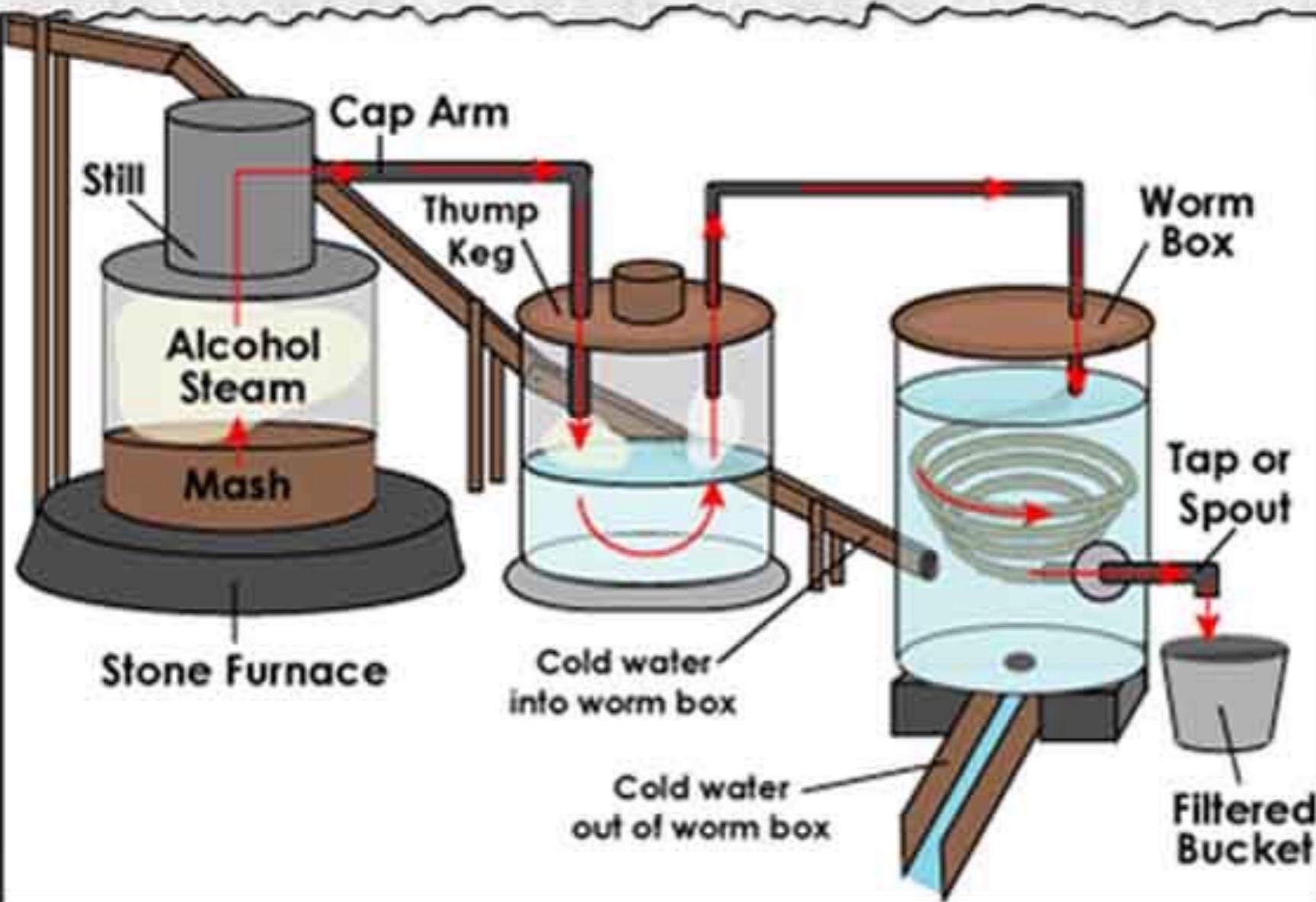
- The strategy of proof was to prove a very general modularity result for certain formal Fourier-Jacobi expansions, from which the theorem could be deduced.
- Their result also gives a powerful algorithm for calculating Fourier coefficients of Siegel modular forms.

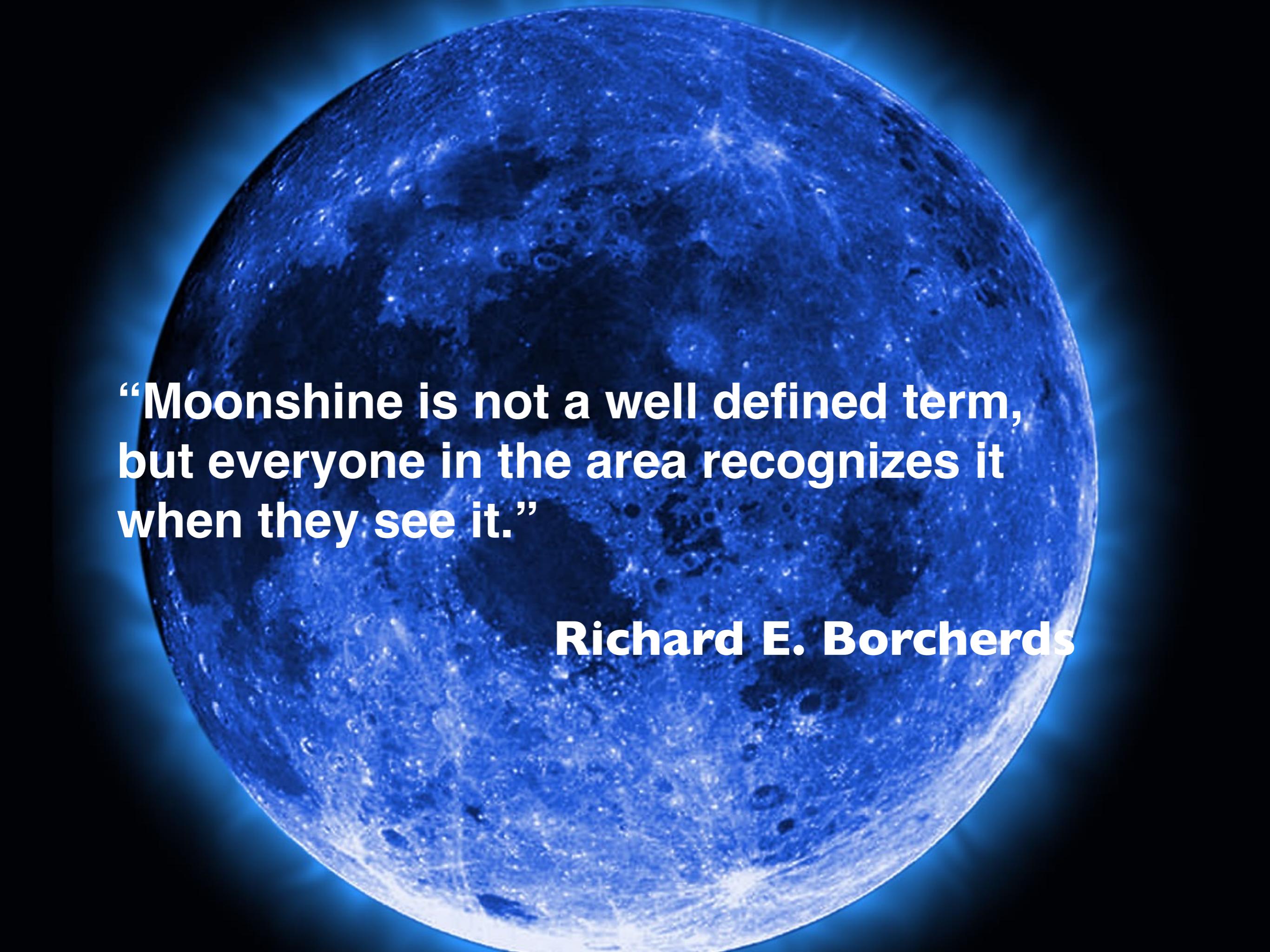
These results were later used by Raum and a team of mathematical physicists to calculate a class of scattering amplitudes in string theory



Oberdieck used the results of Bruinier & Raum in
the context of enumerative geometry
(Gromov-Witten invariants of K3-surfaces)

Step By Step Guide To Making Moonshine





**“Moonshine is not a well defined term,
but everyone in the area recognizes it
when they see it.”**

Richard E. Borcherds

What is **Moonshine**?

The term “**moonshine**” generally refers to surprising connections between a priori unrelated parts of mathematics and physics, involving:

**representation theory
of finite groups**

**generalised Kac-Moody
algebras**



modular forms

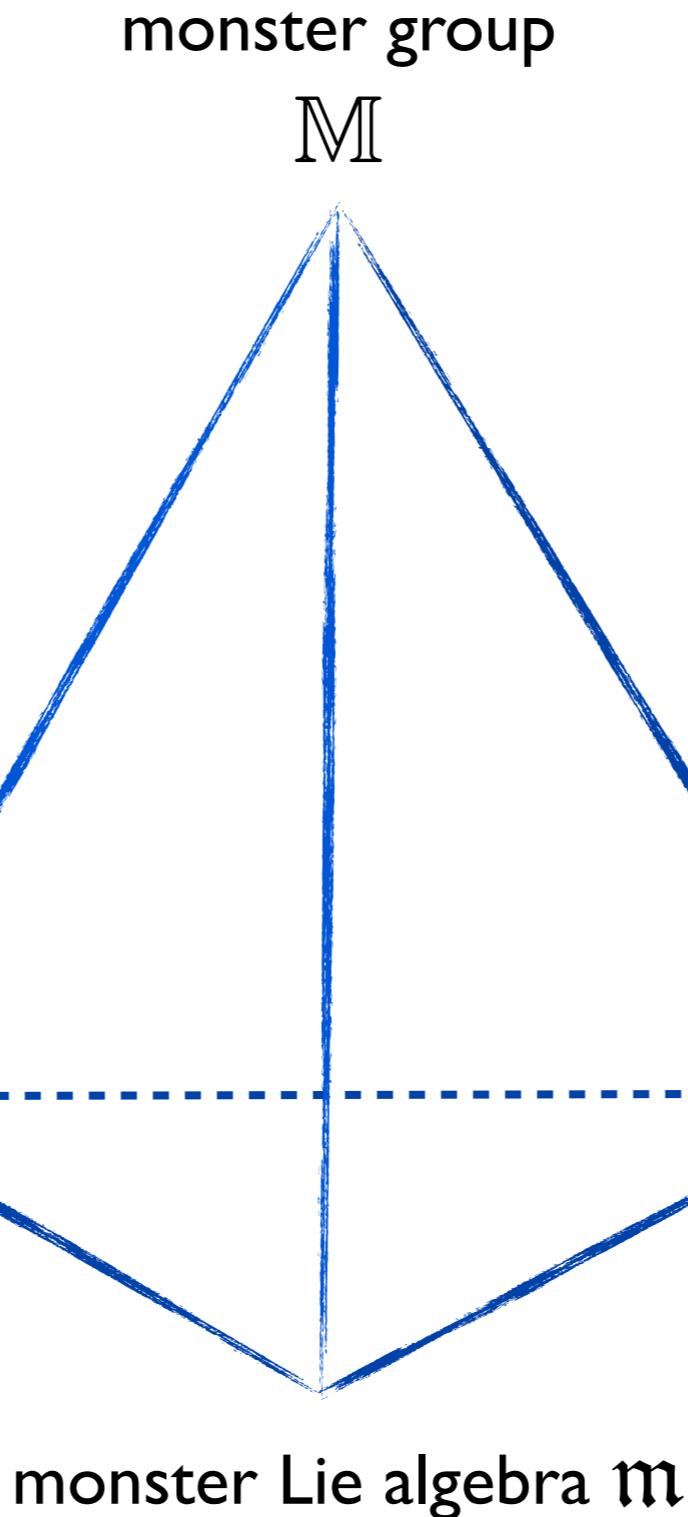
**vertex algebras
(conformal field theory)**

The most famous example is **Monstrous Moonshine**.



Monstrous Moonshine

$J(\tau) = q^{-1} + 196884q + \dots$
modular function
(Hauptmodul)
 $SL(2, \mathbb{Z}) \backslash \mathbb{H} \sim$



bosonic string theory on
 $(\mathbb{R}^{24}/\Lambda_{\text{Leech}})/\mathbb{Z}_2$
(holomorphic VOA V^\natural)

Enter Mathieu moonshine

In 2010, Eguchi, Ooguri, Tachikawa conjectured that there is **Moonshine** in the elliptic genus of K3 connected to the finite sporadic group $M_{24} \subset S_{24}$



A completely new moonshine phenomenon to explore!

Monstrous moonshine was all about ***modular*** forms.

In the new Mathieu moonshine we see the appearance of
mock modular forms.

This story actually begins with Ramanujan...



The Last Letter...

3 months before his death, Ramanujan wrote a final letter to Hardy, including a list of 17 mysterious functions:

“Mock Theta Functions”



$$\begin{aligned}f(q) &= \sum_{n=0}^{\infty} \frac{q^{n^2}}{(1+q)^2 \cdots (1+q^n)^2}, \\ \phi(q) &= \sum_{n=0}^{\infty} \frac{(-q)^{n^2}}{(1+q^2)(1+q^4) \cdots (1+q^{2n})}, \\ \psi(q) &= \sum_{n=1}^{\infty} \frac{(-q)^{n^2}}{(1+q)(1+q^3) \cdots (1+q^{2n-1})}.\end{aligned}$$

It took nearly 100 years, and the efforts of generations of mathematicians before Ramanujan's theory was finally understood...

Mathieu Moonshine

Mathieu group

$$M_{24}$$

mock modular forms
(weak Jacobi forms)

vertex algebra associated
with K3-surfaces
??

algebraic structure ???



Mock modular
forms

Jacobi forms



Mock modular
forms

Jacobi forms

Saito-Kurokawa-Maass lift

Borcherds lift

Siegel modular forms

Siegel modular forms

$$g \in M_{24}$$

Mock modular
forms

Jacobi forms

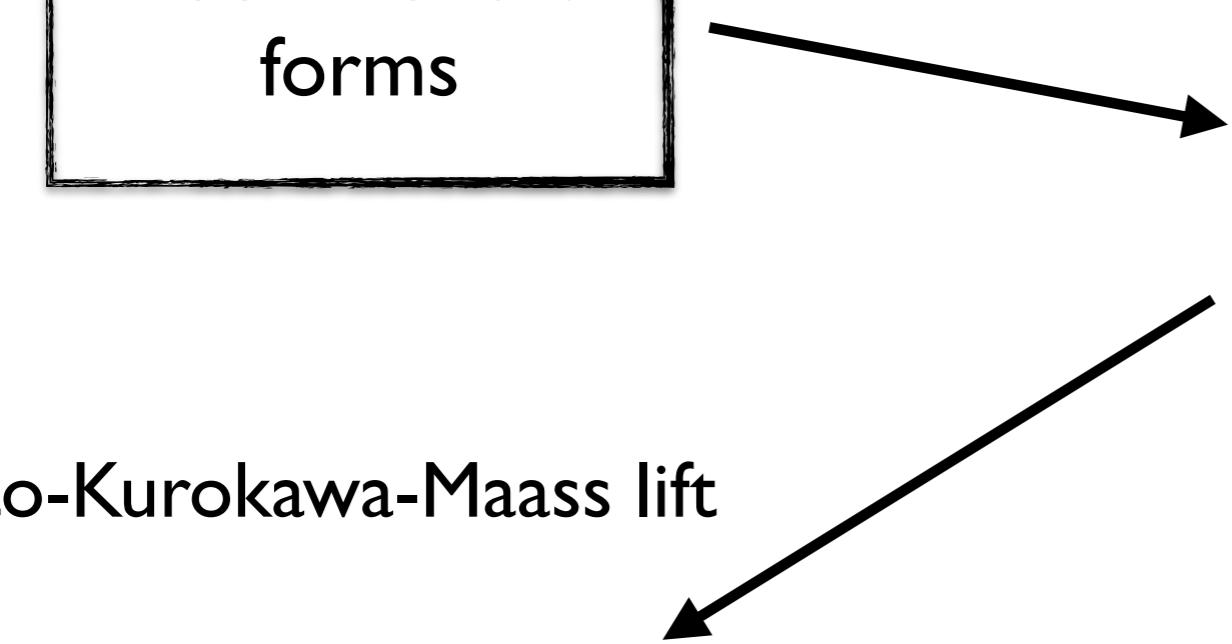
$$\phi_g(\tau, \rho)$$

Saito-Kurokawa-Maass lift

Siegel modular forms

Siegel modular forms

$$\Phi_g(\Omega)$$



$$g \in M_{24}$$

Mock modular
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$$\Phi_g(\Omega)$$

Theorem (Raum): For each $g \in M_{24}$ the infinite products

$$\Phi_g(\Omega) = qp\zeta \prod_{d|n_g} \prod_{(n,r,m)>0} (1 - q^{nd} p^{rd} \zeta^{md})^{c_{g,d}(4mn-r^2)}$$

are Siegel modular forms (Borcherds lift).

(The $g = 1$ case corresponds to the Igusa cusp form of weight 10.)

$$g \in M_{24}$$

Mock modular
forms

Jacobi forms

$$\phi_g(\tau, \rho)$$

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Fourier coefficients

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The reciprocal

$$\frac{1}{\Phi_g(\Omega)}$$

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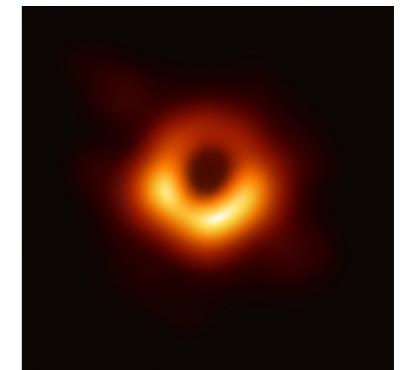
denominator formula for a class of
generalized Kac-Moody algebras

[Cheng et al.]



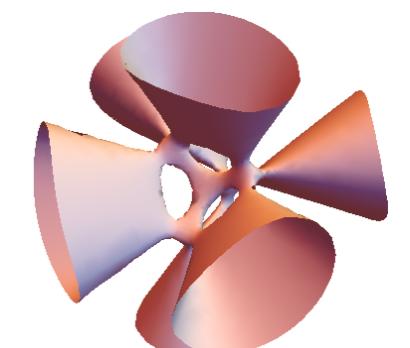
partition function of black holes in string theory

[Sen et al.]



generating function of equivariant
Donaldson-Thomas invariants of K3-surfaces

[Oberdieck et al.]



Raum's work cover numerous other areas:

- classification of harmonic weak Maass forms
- Ramanujan congruences
- vector-valued modular forms
- high-performance computing
- ...





**Congratulations
Martin!!!**