

# CSSF 18/698 REGULATORY COMPLIANCE SOLUTION

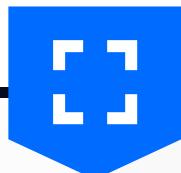
Giving you the right compliance toolset to help you meet the existing practices set out by the CSSF in relation to Governance, Central Administration Key Functions and Internal Controls.

## PROBLEM

**Management Companies, Alternative Investment Fund Managers (AIFM) and ManCo firms** (subject to Chapters 16 and 17 of the Law of 17 Dec 2010 relating to undertakings for collective investment) are struggling to keep up with increasing regulation and failure to meet compliance standards.

Managing manual intensive tasks traditionally on spreadsheets and multiple office tools often result in **manual processes, human error and lose of valuable time to data entry** with nearly a third (**28%**) of financial services organisations indicating that mistakes from manual processes are their biggest data reconciliation pain points. These manual processes lead to **poor quality data, poor decisions and are costing financial firms millions in wasted man hours and regulatory fines**.

## KEY FEATURES



### Centralised Dashboard

Manage third parties, multiple streams of activity and projects through one centralised traffic light dashboard, while maintaining visibility of your entire team.



### Calendar Oversight

Preparation of corporate and regulatory compliance calendars and reporting schedules to facilitate the distribution of work with ease.



### Document Repository

House all your documents in a secure environment and retrieve and export as needed in various file types.



### Verifiable Audit Trail

Time stamped audit trail of activity enabling better transparency of actions taken and accountability of each employee.



### Customisable Reporting

Have the most up-to-date files to slice and dice the data you need to generate bespoke reports to meet regulator requests on time.

## SOLUTION

**GECKO Governance** is the overarching solution to monitor AIFM oversight obligations and oversee key functions such as delegated activities, marketing, internal administration and procedures, as well as risk management.

One system built and backed with the highest levels of regulatory expertise that pulls in your manual spreadsheet data, calendaries your tasks and has flexible workflows to fit around your internal controls, processes and procedures giving you a real-time governance dashboard with a holistic view of current, progress and upcoming deadlines.

Data and documents are provided in a more digitally efficient manner, helping to give compliance a year round focus and provide much needed transparency to the industry.

## DEFINITIVE SOLUTIONS FOR THE CIRCULAR FRAMEWORK



### TAILORED CSSF WORKFLOWS



### NEW FUND LAUNCH



### REPORTING



### REGULATORY COMPLIANCE



### OPERATIONAL DUE DILIGENCE



### RISK MANAGEMENT