**Problem** 1. (30%)

http://www.csie.ntu.edu.tw/~hsinmu/courses/lib/exe/fetch.php?media=ada\_13fall:hw1\_problem1.zip

**Problem** 2. (16%)

a. 
$$(2\%)$$
  $T(n) = 2T\left(\left\lfloor \frac{n}{2} \right\rfloor\right) + n$ 

Use the substitution method to prove that  $T(n) = O(n \log n)$ 

Assume that  $T(n) \le cn \log n, \ \forall \left| \frac{k}{2} \right| \le n < k$ 

$$\begin{split} T(k) &= 2T \left( \left\lfloor \frac{k}{2} \right\rfloor \right) + k \\ &\leq 2 \cdot \left( c \left\lfloor \frac{k}{2} \right\rfloor \log \left\lfloor \frac{k}{2} \right\rfloor \right) + k \qquad \quad by \ T \left( \left\lfloor \frac{k}{2} \right\rfloor \right) \leq c \left\lfloor \frac{k}{2} \right\rfloor \log \left\lfloor \frac{k}{2} \right\rfloor \\ &\leq 2 \cdot \left( c \frac{k}{2} \log \frac{k}{2} \right) + k \qquad \quad by \ \left\lfloor \frac{k}{2} \right\rfloor \leq \frac{k}{2} \ and \ \log \left\lfloor \frac{k}{2} \right\rfloor \leq \log \frac{k}{2} \\ &= ck \log \frac{k}{2} + k \\ &= ck \log k - ck \log 2 + k \\ &= ck \log k - ck + k \\ &\leq ck \log k, \ as \ long \ as \ c \geq 1 \end{split}$$

$$T(2) = 2T(1) + 2 = 4 \le c \cdot 2\log 2, \ if \ c \ge 2$$

$$T(3) = 2T(1) + 3 = 5 \le c \cdot 3 \log 3$$
, if  $c \ge 2$ 

By induction proof,  $T(n) \le cn \log n$ , for  $c \ge 2$ ,  $n \ge 2$ .

Therefore  $T(n) = O(n \log n)$ .

## Common Mistake 1. 忘記檢查邊界條件

Substitution method 就像高中學的數學歸納法一樣,

原理是

①先證出「若小於 n 的 case 皆成立,則等於 n 的 case 必成立」

②證明小於 n 的 case 皆會成立 (在高中時,通常是 n=1 就能成立)

而事實上,不用「所有」小於 n 的 case 都要成立

以這題來說·在證明①時·用到的是 
$$T\left(\left\lfloor\frac{k}{2}\right\rfloor\right) \le c \left\lfloor\frac{k}{2}\right\rfloor \log \left\lfloor\frac{k}{2}\right\rfloor$$

因此,只要在  $\left|\frac{k}{2}\right|$  時有成立,那麼 by①, 在 k 時就會成立

由以上可知,第②部分的 boundary condition check 是證明的一部份。若缺少②,則證明的正確性就無法確立。所以沒有 check boundary condition 的人都無法滿分。

# Common Mistake 2. 只檢查 T(2), 沒檢查 T(3)

必須要讓  $\forall k \geq n_0, T\left(\left|\frac{k}{2}\right|\right) \leq c \left|\frac{k}{2}\right| \log \left|\frac{k}{2}\right|$  的假設都要能成立

因為  $T\left(\left|\frac{3}{2}\right|\right)$  時,也就是 T(1) 時,假設並不成立

所以 T(3) 不能從①來證明‧必須挑出來‧以②的方式檢查 而 T(4) 時‧ $T\left(\left\lfloor\frac{4}{2}\right\rfloor\right) = T(2)$  是成立的‧所以 T(4) 能夠被①證

接著·所有  $k \ge 4$  的 case 都可以從①得證了·因為  $\forall k >= 4$ ,  $\left|\frac{k}{2}\right|$  的 case 都一定是對的

## Common Mistake 3. 「設 T(n)=O(nlogn)」

應該明確提出「假設  $T(n) \le ...$ 」,因為這句才是 induction proof 的關鍵假設,只「假設 T(n) = O(nlogn)」是無法作 induction proof 中的推導的

並且「假設 T(n) < ...」之後,最後要記得證出「T(n) < ...」,兩者要吻合。有不少人會寫錯其 中一邊,這樣是不對的。

b. (2%) 
$$T(n) = T\left(\left\lceil \frac{n}{2} \right\rceil\right) + T\left(\left\lfloor \frac{n}{2} \right\rfloor\right) + 1$$

Use the substitution method to prove that T(n) = O(n)

Assume that  $T(n) = cn - 1, \ \forall \left\lfloor \frac{k}{2} \right\rfloor \le n < k$ 

Then  $T(k) = T\left(\left\lceil \frac{k}{2} \right\rceil\right) + T\left(\left\lfloor \frac{k}{2} \right\rfloor\right) + k$   $= \left(c\left\lceil \frac{k}{2} \right\rceil - 1\right) + \left(c\left\lfloor \frac{k}{2} \right\rfloor - 1\right) + k$  = ck - 2 + k

$$= \left(c \left\lceil \frac{k}{2} \right\rceil - 1\right) + \left(c \left\lceil \frac{k}{2} \right\rceil - 1\right) + k$$

$$= ck - 2 + k$$

 $\leq ck - 1$ , as long as  $k \leq 1$ 

 $T(1) = 1 \le c \cdot 1 - 1$ , as long as  $c \ge 2$ 

By induction proof,  $T(n) \le cn - 1$ , for  $c \ge 2$ ,  $n \ge 1$ .

Therefore T(n) = O(cn - 1) = O(cn) = O(n).

### Common Mistake. 只有檢查 T(2) 沒檢查 T(3)

有兩種可行的檢查 boundary cases 的方式

- ① T(2) 和 T(3) 皆檢查
- ② 只檢查 T(1)

詳細說明請見 problem a 的 Common Mistake 2.

c. (2%) 
$$T(n) = T\left(\frac{n}{3}\right) + T\left(\frac{2n}{3}\right) + \Theta(n)$$

Draw a recursion tree, and use it to prove that  $T(n) = \Omega(nlgn)$ 

(Please draw a recursion tree.)

The height of the tree  $\geq \log_3 n$ .

The sum of every layer of the tree =  $\Theta(n) = cn$ , c is a constant.

$$T(n) \ge cn \times \log_3 n = \Omega(nlgn)$$

#### Common Mistake.

## 用 induction proof 證明,認為無法以 recusion tree 算出的結果證明

只要以 recursion tree 得出的結果是精準的 bound·那麼就可以直接證明;但如果 recusion tree 只是寬鬆的大概算的話·就不能用其直接證明。

可參考課本第 88 頁: If you are very careful when drawing out a recursion tree and summing the costs, however, you can use a recursion tree as a direct proof of a solution to a recurrence. 」

事實上 Master Theorem 也是由 recusion tree 的方法證明的‧有興趣的同學可參考課本該章 第 6 小節。

這題只要有「畫出 recursion tree」、給出「 $\geq cn \times \log_3 n$ 」接著「 $\Omega(nlgn)$ 」、就能拿滿分;反之,則扣 1 分。

由於時間有限‧這題寫 induction proof 的人‧induction 的那段都完全沒改;如果有很想要請助教過目的可以寄信給助教。

d. 
$$(3\%) T(n) = 3T\left(n^{\frac{1}{3}}\right) + \log n$$

$$\begin{array}{l} \operatorname{Let}\, m = \log n \\ \Rightarrow 2^m = n \\ \Rightarrow T\left(2^m\right) = 3T\left(2^{\frac{m}{3}}\right) + m \\ \operatorname{Let}\, S(m) = T\left(2^m\right) \\ \Rightarrow S(m) = 3S\left(\frac{m}{3}\right) + m \\ \\ a = 3 \geq 1, \; b = 3 > 1, \; f(m) = m \\ \\ m^{\log_3 3} = m^1 = m \\ f(m) = m = \Theta(m) \\ \\ \text{By case 2 of the master theorem, } S(m) = \Theta(m^{\log_b a} \log m) = \Theta(m \log m) \end{array}$$

 $S(m) = T(2^m) = T(n) = \Theta(m \log m) = \Theta(\log n \log \log n)$ 

e. (2%) 
$$T(n) = 7T(\frac{n}{4}) + \Theta(n^2)$$

$$a=7\geq 1,\;b=4>1,\;f(n)=\Theta(n^2)$$
 
$$n^{\log_b a}=n^{\log_4 7}\approx n^{1.4}$$
 
$$f(n)=\Theta(n^2)=\Omega(n^{1.4+\epsilon})=\Omega(n^{\log_b a+\epsilon}),\;\text{where }\epsilon=0.1\;\text{(or any }0\leq\epsilon<2-\log_4 7\text{ will do)}$$
 
$$af\left(\frac{n}{b}\right)-cf(n)=7f\left(\frac{n}{4}\right)-cn^2=7\left(\frac{n^2}{16}\right)-cn^2=\left(\frac{7}{16}-c\right)n^2\leq 0$$
 , for  $\frac{7}{16}\leq c<1$  and all sufficiently large  $n$ 

By case 3 of the master theorem,  $T(n) = \Theta(f(n)) = \Theta(n^2)$ 

f. (3%) 
$$T(n) = 2T\left(\frac{n}{2}\right) + n\log_2 n$$

$$T(n) = 2T\left(\frac{n}{2}\right) + n\log_2 n$$

$$= 2[2T\left(\frac{n}{4}\right) + \frac{n}{2}\log_2\frac{n}{2}] + n\log_2 n$$

$$= 4T\left(\frac{n}{4}\right) + n\log_2\frac{n}{2} + n\log_2 n$$

$$= 4T\left(\frac{n}{4}\right) + 2n\log_2 n - n\log_2 2$$

$$= 4[2T\left(\frac{n}{8}\right) + \frac{n}{4}\log_2\frac{n}{4}] + 2n\log_2 n - n$$

$$= 8T\left(\frac{n}{8}\right) + 3n\log_2 n - 3n$$

$$= nT\left(\frac{n}{n}\right) + (\log_2 n) \cdot n \cdot (\log_2 n) - \frac{(1 + (\log_2 n - 1))(\log_2 n - 1)}{2} \cdot n$$

$$= n + n\log_2^2 n - \frac{n\log_2^2 n}{2} + \frac{n\log_2 n}{2}$$

$$= \Theta(n\log^2 n)$$

$$a = 2 \ge 1, \ b = 2 > 1, \ f(n) = n \log_2 n$$
  
 $n^{\log_b a} = n^{\log_2 2} = n$   
 $f(n) = n \log_2 n = \Omega(n^1) = \Omega(n^{\log_b a})$ 

But  $f(n) = n \log_2 n \neq \Omega(n^{1+\epsilon})$ :

The ratio  $\dfrac{\widetilde{f}(n)}{n^{\log_b a}} = \dfrac{n\log_2 n}{n} = \log_2 n$  is asymptotically less than  $n^\epsilon$  for any constant  $\epsilon$ Therefore, master theorem can't be applied in this problem.

(note:  $f(n) \neq O(n^{\log_b a - \epsilon}), f(n) \neq \Theta(n^{\log_b a}), f(n) \neq \Omega(n^{\log_b a + \epsilon})$ )

For detailed description, you can refer to page 95 of the text book.

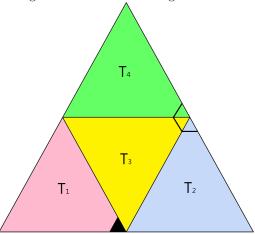
g. 
$$(2\%)$$
  $T(n) = 2T(n-1) + 1$ 

$$\begin{split} T(n) &= 2T(n-1) + 1 \\ &= 2[2T(n-2) + 1] + 1 = 4T(n-2) + 1 + 2 \\ &= 4[2T(n-3) + 1] + 1 + 2 = 8T(n-3) + 1 + 2 + 4 \\ &= 2^{n-1}T(n-(n-1)) + \frac{1(2^{n-1}-1)}{2-1} \\ &= 2^{n-1} + 2^{n-1} - 1 = 2^n - 1 = \Theta(2^n) \end{split}$$

#### 評分標準:

- 1. 該小題必須全對才能得滿分·除非可明顯判斷為抄寫時的筆誤·且不影響答案及推導過程· 那就不會扣分。
- 2. 只要有寫一定程度的計算過程,不論過程及答案的對錯程度,都會給基本分1分。
- 3. 多寫了不必要的過程,不會扣分。
- (a) 只要「證明」+「兩個 boundary 都有 check」就可以兩分 常數範圍算錯不扣分 (因為有些人沒寫出常數範圍)
- (f) \* 使用大師定理的 得 1 分
  - \* 把 T(n) 算出來的 若算錯 - 得 2 分 算對 - 得 3 分
  - \* 用 induction proof 的 連一邊都沒 prove 出來 - 得 1 分 兩邊都有嘗試 prove·整體觀念還 OK·只錯小地方的 - 得 2 分 全班只有一人以 induction proof 完美證出這題
- (g) 必須把 T(n) 算對  $(T(n) = 2^n 1)$  並且寫出  $T(n) = \Theta(2^n)$
- (d)-(g) 題目要求給 tight bound,即  $\Theta(...)$ ,如果寫 big-O 的都會扣 1 分

Figure 1: Divide the triangular board.



## **Problem** 3. (15%)

We can divide the input triangular board T into four half-length triangles,  $T = \{T_1, T_2, T_3, T_4\}$ . First, we find out the  $T_t$  which the removed tile locates in. Next we put an trapezoid piece on the joint of other three half-length triangles. Then four half-length triangulars all contain a removed tile on the edge (see Figure ??). Thus, we can divide the original problem into four subproblems with half-length. Suppose n is the length of the board. The recurrence form of the algorithm is  $T(n) = 4T(\frac{n}{2}) + O(1)$ . Therefore,  $T(n) = \Theta(n^2)$  by Master theorem.

## **Problem** 4. (15%)

The following pseudo code implements a function that computes the kth smallest element in the union of two sorted lists with size m and n.

```
int find(list M, list N, k)
1
        if m == 0 return N[k]
2
        if n == 0 return M[k]
3
        if k == 1 return min(M[1], N[1])
4
        midM = \left\lceil \frac{m}{2} \right\rceil \ midN = \left\lceil \frac{n}{2} \right\rceil
5
        \mathbf{case} \ k > \mathtt{midM+midN}
6
   // the midNth in N < the (midM+midN)th in M+N < the kth in M+N
7
   // so the elements <= the midNth in N would not be the kth in M+N
8
             if M[midM] >= N[midN]
9
                 return find(M, N.subList(midN+1, n), k-midN)
10
             else
11
                 return find(M.subList(midM+1, m), N, k-midM)
12
        \mathbf{case}\ k < \mathtt{midM+midN}
13
   // the midMth in M \ge the (midM+midN)th in M+N \ge the kth in M+N
14
15
   // so the elements >= the midMth in M would not be the kth in M+N
             if M[midM] >= N[midN]
16
                 return find(M.subList(1, midM-1), N, k)
17
             else
18
                 return find(M, N.subList(1, midN-1), k)
19
        \mathbf{case} \ k == \ \mathbf{midM+midN}
20
   // the midNth in N < the (midM+midN)th in M+N == the kth in M+N
21
   // so the elements <= the midNth in N would not be the kth in M+N
22
   // the midMth in M >= the (midM+midN)th in M+N == the kth in M+N
   // so the elements > the midMth in M would not be the kth in M+N
24
             if M[midM] >= N[midN]
25
                 return find(M.sub(1, midM), N.sub(midN+1, n), k-midN)
26
27
             else
                 return find(M.sub(midM+1, m), N.sub(1, midN), k-midM)
28
```

From the above Analysis, we can find that if the program go through in only one case and finally reach case 4, then the running time would be  $O(\log m)$  or  $O(\log n)$ . But if the program go through not in only one case, but switch to another case several times until it's reach case 4, then the running time would be  $O(\log m + \log n)$ .

### **Problem** 5. (24%)

```
multiply(value1, value2)
       length1 = number of digits of value1
2
       length2 = number of digits of value2
3
       if length1 < 5 && length2 < 5
4
           // It's small enough that we can do
5
           // arithmetic operations in constant time.
6
           return value1 * value2
       k = max(length1, length2) / 2 + max(length1, length2) % 2
8
       add (2*k - length1) '0's to value1
9
       add (2*k - length2) '0's to value2
10
       value1_high = first k digits of value1
11
12
       value1_low = last k digits of value1
       value2_high = first k digits of value2
13
       value2_low = last k digits of value2
14
15
       z1 = multiply(value1_high, value2_high)
16
       z2 = multiply(value1_high + value1_low,
                      value2_high + value2_low)
17
       z3 = multiply(value1_low, value2_low)
18
       z2 = z2 - z1 - z3;
19
       add 2*k trailing '0's to z1
20
       add k trailing '0's to z2
21
       return z1+z2+z3
```

```
T(n) = 3T(\frac{n}{2}) + O(n).
```

By Master Theorem,  $O(n) = O(n^{\log_2 3 - \epsilon})$  where  $\epsilon = 0.1$ , the procedure can run in  $O(n^{\log_2 3})$  time.

```
power_of_ten_to_binary(n)
       if n == 0
2
           return "1"
3
       if n == 1
4
           return "1010"
5
       z = power_of_ten_to_binary((int)(n/2))
6
       z = multiply(z, z)
       if n % 2 == 1
8
           z = multiply(z, "1010")
       return z
10
```

```
T(n) = T(\frac{n}{2}) + O(n^{\log_2 3}).
```

By Master Theorem,  $O(n^{\log_2 3}) = \Omega(n^{\log_2 1 + \epsilon})$  where  $\epsilon = 0.1$  and  $(\frac{n}{2})^{\log_2 3} < cn^{\log_2 3}$  where  $c = 2^{-0.1}$ , the procedure can run in  $O(n^{\log_2 3})$  time.

```
3.
   decimal_to_binary(x)
1
       if x < 10
2
            a[0...9] = {"0", "1", "10", "11", "100",
3
                         "101", "110", "111", "1000", "1001"}
4
            return a[x]
5
       n = number of digits of x
6
       k = (int)(n / 2) + n % 2
       high = (int)(x / (10 ^ k))
8
       low = x % (10 ^ k)
9
10
       high_binary = decimal_to_binary(high)
       low_binary = decimal_to_binary(low)
11
       ten_of_k = power_of_ten_to_binary(k)
12
       {\bf return\ multiply(high\_binary\,,\ ten\_of\_k)\ +\ low\_binary}
13
```

$$T(n) = 2T(\frac{n}{2}) + O(n^{\log_2 3}).$$

By Master Theorem,  $O(n^{\log_2 3}) = \Omega(n^{\log_2 2 + \epsilon})$  where  $\epsilon = 0.1$  and  $2(\frac{n}{2})^{\log_2 3} < cn^{\log_2 3}$  where  $c = 2^{-0.1}$ , the procedure can run in  $O(n^{\log_2 3})$  time.