User's Guide

VLIDORT Version 2.8.2

Robert Spurr



RT Solutions, Inc.
9 Channing Street, Cambridge, MA 02138, USA
Tel. +1 617 492 1183

Fax: +1 617 492 1183 (request only)

email: rtsolutions@verizon.net

Foreword

This is the User's Guide to VLIDORT Version 2.8.2 issued in mid-April 2020 in conjunction with the release of the Version 2.8.2 Fortran 90 software package and accompanying license. Version 2.8.2 is the 10th official release. It follows the distribution of Version 1.0 in autumn 2004, Version 2.0 in January 2006, Version 2.3 in October 2007, Version 2.4 in September 2009, Version 2.5 in December 2010, Version 2.6 in June 2012, Version 2.7 in September 2014, Version 2.8 in January 2018, and Version 2.8.1 in August 2019.

Licensing for VLIDORT has been upgraded. From Version 2.8.1 onwards, VLIDORT is now accompanied by the GNU GPL standard License, Version 3.0 (issued in 2007), and the package also includes the license pertaining to the use of LAPACK software. Version 2.8.2 also includes the license pertaining to the use of LAPACK software. All software modules for VLIDORT Version 2.8.2 are accompanied by a Licensing Statement appropriate to LGPL.

All enquiries and support regarding the present release VLIDORT Version 2.8.2 should be addressed to R. Spurr at RT Solutions.

Table of Contents

1. Introduction to VLIDORT	9
1.1 Historical and background overview	
1.1.1 Polarization in radiative transfer	
1.1.2 Development of Linearized Vector RT models	
1.2 Overview of VLIDORT development	
1.2.1 Versions 1.0 to 2.4	
1.2.3 Version 2.8/2.8.1	
1.2.4 Version 2.8.2	
1.3 LIDORT-RRS, 2-Stream, Linearized Mie/Tmatrix codes	
1.4 Scope of document	
2. The VLIDORT 2.8.2 Model	19
2.1 Radiative Transfer Overview	
2.1.1 The vector RTE	
2.1.2 Azimuthal separation	
2.1.3 Boundary conditions	
2.2 Preparation of input optical properties (IOPs)	
2.2.1 Basic optical property inputs	
2.2.3 Additional atmospheric inputs	
2.2.4 Surface property inputs	
2.2.5 Thermal emission inputs	
2.3 Validation and benchmarking	
2.3.1 Checking against the scalar code	
2.3.2 The Rayleigh slab problem	
2.3.3 Benchmarking for aerosol slab problems	
2.3.4 Weighting function verification	
2.4 Performance considerations	
2.4.1 The delta-M approximation	
2.4.2 Multiple solar zenith angle facility	
2.4.3 Solution-saving & BVP-telescoping	
2.4.5 Enhanced efficiency for observational geometry output	
2.4.6 Model upgrades to ensure thread safety in OpenMP	
2.4.7 VLIDORT and single–scatter computations	
3. The VLIDORT 2.8.2 Package	35
3.1 Overview	
3.2 Source code Directories	
3.2.1 vlidort_def	
3.2.2 vlidort_main	
3.3 Calling VLIDORT, Configuration files, Makefiles, Installation	
3.3.1 Calling environment – an example	
3.3.2 Configuration file discussion	
3.3.3 Makefile discussion	
3.3.4 Installation and testing	

3.3.5 Helpful Tips for input settings	
3.4 Exception handling and utilities	
3.4.1 Exception handling	
3.5 Copyright issues and licensing for Version 2.8.2	
3.6 Acknowledgments	
1. References	65
5. Appendices	69
5.1 Tables	
5.1.1 VLIDORT I/O type structures	
5.1.2 VLIDORT file-read character strings	
5.2 Environment programs	
5.2.1 Set-ups for the scalar solar and thermal tests	
5.2.2 Solar programs to test the three LIDORT master modules	
5.2.3 Thermal programs to test the three LIDORT master modules	
5.2.4 Program to test the two BRDF master modules	
5.2.5 Program to test the two VSLEAVE master modules	
5.2.6 Program to test the F-matrix/Z-matrix master modules	
5.2.7 Program to test planetary problem	
5.2.8 Program to test coupled water-leaving	
5.2.9 Programs for VLIDORT vector tests 5.2.10 Solar programs to test using VLIDORT in an OpenMP environment	
5.3 VBRDF Supplement	
5.3.1 BRDFs as a sum of kernel functions	
5.3.2 Example calling sequence	
5.3.4 The direct-bounce correction for VBRDFs	
5.3.5 Surface emission in the VLIDORT model	
5.3.6 White-sky and Black-sky albedo scaling	
5.4. VSLEAVE Supplement	
5.4.1. VSLEAVE formulation	
5.4.2. Fluorescence	
5.4.3. Water-Leaving – General Formulation	
5.4.4. Example calling sequence	
5.4.5. VSLEAVE inputs and outputs	
5.5. VFZMAT Supplement	
5.6 Using VLIDORT for certain applications	
5.6.1 Generating AMFs and Scattering-weight AMFs with VLIDORT	
5.6.2 Computations with Planck Functions: Relations between Spectral Grids	
5. VLIDORT 2.8.1 Addendum	123
6.1 Preface	· · · · · · · · · · · · · · · · · · ·
6.2 Water-Leaving Supplement and Usage in VLIDORT	
6.2.1 Water-Leaving Implementation in VLIDORT	
6.2.2 VLIDORT-VSLEAVE Coupling Scheme	
6.2.3 Water Legging Padiance Scheme (ocean optics)	

6.2.4 Practical Aspects to Water-Leaving in VLIDORT	13
6.3 Planetary Problem in VLIDORT 6.3.1 Implementing the Planetary Problem 6.3.2 I/O for the Planetary Problem and Media Properties	
7. VLIDORT 2.8.2 Addendum	137
7.1 Preface	13
7.2 Summary of Package Changes	13'
7.2.1. Test directories, shell scripts, and makefile	
7.2.2. Directory "vlidort_def"	13
7.2.3. Directory "vlidort_main"	13
7.2.4. Directory "fo_main_1.5_NEW"	14
7.2.5. Supplement directories	14

1. Introduction to VLIDORT

1.1 Historical and background overview

1.1.1 Polarization in radiative transfer

The modern treatment of the equations of radiative transfer for polarized light dates back to the pioneering work by Chandrasekhar in the 1940s [Chandrasekhar, 1960]. Using a formulation in terms of the Stokes vector for polarized light, Chandrasekhar was able to solve completely the polarization problem for an atmosphere with Rayleigh scattering, and benchmark calculations from the 1950s are still appropriate today [Coulson et al., 1960]. Researchers started looking at the scattering properties of polarized light by particles, and new more general formulations of the scattering matrices were developed independently by Hovenier [Hovenier, 1971] and Dave [Dave, 1970], and subsequently used in studies of polarization by Venus.

With the advent of more powerful computers, a series of numerical RTMs were developed through the 1980s; many of these have become standards. In particular, the DISORT discrete ordinate model developed by Stamnes and co-workers was released in 1988 for general use [Stamnes et al., 1988]. Most RTMs today are either discrete ordinate codes or doubling-adding methods, and vector models are no exception. In the 1980s, Siewert and colleagues made a number of detailed mathematical examinations of the vector RT equations. The development of the scattering matrix in terms of generalized spherical functions was reformulated in a convenient analytic manner [Siewert, 1981; Siewert, 1982; Vestrucci and Siewert, 1984], and most models now follow this work (this includes VLIDORT). Siewert and co-workers then carried out an examination of the discrete ordinate eigenspectrum for the vector equations, and developed complete solutions for the slab problem using the spherical harmonics method [Garcia and Siewert, 1986] and the F_N method [Garcia and Siewert, 1989]. These last two solutions have generated benchmark results for the slab problem.

Also in the 1980s, a group in the Netherlands carried out some parallel developments. Following detailed mathematical studies by Hovenier and others [Hovenier and van der Mee, 1983; de Rooij and van der Stap, 1984], a general doubling-adding model was developed for atmospheric radiative transfer modeling [de Haan et al., 1987; Stammes et al., 1989]. This group was also able to provide benchmark results for the slab problem [Wauben and Hovenier, 1992]. Vector discrete ordinate models were developed in the 1990s, VDISORT [Schulz et al., 1999]. In 1998, Siewert revisited the slab problem from a discrete ordinate viewpoint, and developed new and elegant solutions for the scalar [Siewert, 2000a] and vector [Siewert, 2000b] problems. One new ingredient in these solutions was the use of Green's functions to develop particular solutions for the solar scattering term [Barichello et al., 2000]. For the vector problem, Siewert's analysis showed that complex eigensolutions for the homogeneous RT equations must be considered. Siewert also provided a new set of benchmark results [Siewert, 2000b]; this set and the results from [Garcia and Siewert, 1989] constitute our standards for slab-problem validation with aerosols.

1.1.2 Development of Linearized Vector RT models

In the last fifteen years, there has been increasing recognition of the need for RT models to generate fields of analytic radiance derivatives (Jacobians) with respect to atmospheric and surface variables, in

addition to simulated radiances. Such "linearized" models are extremely useful in classic inverse problem retrievals involving iterative least-squares minimization (with and without regularization). At each iteration step, the simulated radiation field is expanded in a Taylor series about the given state of the atmosphere-surface system. Only the linear term in this expansion is retained, and this requires partial derivatives of the simulated radiance with respect to atmospheric and surface parameters that make up the state vector of retrieval elements and the vector of assumed model parameters that are not retrieved but are sources of error in the retrieval.

It is well known that the use of scalar radiative transfer (neglecting polarization) can lead to considerable errors for modeling backscatter spectra in the UV [Mishchenko et al., 1994; Lacis et al., 1998; Sromovsky, 2005]. Studies with atmospheric chemistry instruments such as GOME, SCIAMACHY and OMI have shown that the treatment of polarization is critical for the successful retrieval of ozone profiles from UV backscatter [Schutgens and Stammes, 2003; Hasekamp et al., 2002a,b]. The role of polarization has been investigated for retrieval scenarios involving important backscatter regions such as the oxygen A band [Stam et al., 1999, Jiang et al., 2003; Natraj et al., 2007]. It has also been demonstrated that the use of passive sensing instruments with polarization capabilities can greatly enhance retrievals of aerosol information in the atmosphere [Mishchenko and Travis, 1997; Deuzé et al., 2000]; this is becoming a very important issue as the scientific community tries to understand the effects of aerosol forcing [Heintzenberg et al., 1996; Mishchenko et al., 2004].

Satellite instruments such as GOME-2 (launched in October 2006) [EPS/METOP, 1999] and OCO (Orbital Carbon Observatory) [Crisp et al., 2004] are polarizing spectrometers; vector radiative transfer is an essential ingredient of the forward modeling component of their retrieval algorithms. Vector RT modeling is slower than its scalar counterpart, and the treatment of polarization in forward modeling has often involved the creation of look-up tables of "polarization corrections" to total intensity. However, with the advent of new and planned instruments measuring polarization, there is a need for linearized vector models to deal directly with retrieval issues.

Historically, a number of linearized RT models were developed for the scalar RTE some years ago [Rozanov et al., 1998; Landgraf et al., 2001; Spurr et al., 2001, Spurr and Christi, 2006]. This includes the LIDORT linearization (for a review, see [Spurr, 2008]). Linearized vector radiative transfer models include the Gauss-Seidel code [Hasekamp and Landgraf, 2005]], and the linearized VLIDORT model [Spurr, 2006].

1.2 Overview of VLIDORT development

In this section we present a developmental review of the LIDORT and VLIDORT models. In sections 1.2.1 and 1.2.2 respectively, we collate the earlier Fortran 77 versions up to the year 2010. The most recent versions with re-organized codes and full Fortran 90 capabilities are summarized separately in section 1.2.3. Table 1.1 gives an overview of the main developments and associated version numbers.

Feature	VLIDORT
	Version
Pseudo-spherical (solar beam attenuation)	1.0
[Enhanced spherical (line-of-sight)]	2.1
Green's function treatment	n/a
3-kernel BRDF + linearization	2.2

Table 1.1 Major features of VLIDORT.

Multiple solar zenith angles	2.2
Solution-saving, BVP-telescoping	2.3
Linearized thermal & surface emission	2.4
Outgoing sphericity correction	2.3
Total Column Jacobian facility	2.4
Transmittance-only thermal mode	2.4
Fortran 90 release	2.5
BRDF supplement	2.5
Structured I/O	2.5
External SS	2.6
BRDF upgrade and surface-leaving supplements	2.6
Atmospheric and surface blackbody Jacobians	2.7*
Codes made thread-safe for parallel computing	2.7
Introduction of Taylor series expansions	2.7
BRDF and surface-leaving supplement upgrades	2.7
Use of phase functions (matrices) in FO code	2.8
New supplements for phase functions/matrices	2.8
BVP-telescoping for BRDF surfaces	2.8
Do-loop optimization; bookkeeping	2.8
BRDF and surface-leaving supplement upgrades	2.8

^{*} Enabled only in Version 2.8.

1.2.1 Versions 1.0 to 2.4

In December 2003, a proposal was made to FMI for R. Spurr to develop the vector model VLIDORT as part of the O3SAF Visiting Scientist (VS) program in 2004. The first version of VLIDORT was completed in July 2004, given shakedown tests and validated against the Coulson/Dave/Sekera Rayleigh results [Coulson et al., 1960] and Siewert's [Siewert, 2000b] benchmark results. The first application started in August 2004 with polarization sensitivity studies on the UV product algorithm at FMI, and the Version 1.0 User's guide appeared in September 2004.

In January 2005, a proposal was made and accepted for the continuation of VLIDORT studies as part of the Ozone SAF Visiting Scientist Work in 2005. A number of VLIDORT improvements (refractive geometry, single scatter corrections, and performance enhancements) were made in spring 2005, and this was followed by an end-to-end linearization of the code, so that the new version of VLIDORT now possessed a complete weighting-function capability. This December 2005 version marked the completion of the initial VLIDORT development [Spurr, 2006] A further validation against the benchmark results of [Garcia and Siewert, 1989] was performed at this time.

Support for VLIDORT maintenance and development from 2006 has come from an RT Solutions' contract with SSAI and NASA GSFC. The first new development for LIDORT and VLIDORT was the introduction of a new and more accurate single scatter scheme to allow for spherical geometry along the view path as well as the solar paths. The model has been used extensively at NASA GSFC in OMI-related studies, and in spring 2007, it was carefully validated against the older TOMRAD code at GSFC.

For VLIDORT version 2.4R, the linearization facility was extended to include column or bulk property Jacobians, a facility that was introduced into the scalar LIDORT code in 2003. In addition to the new

bulk Jacobian facility, version 2.4R also contains some new BRDF specifications for polarized reflectance from land surfaces. Thermal emission was introduced into this version of the code.

In 2006, R. Spurr was invited to contribute a chapter on the LIDORT and VLIDORT models in the book Light Scattering Reviews 3. This article [*Spurr*, 2008] contains a complete exposition of the theory behind the models, and the mathematical description in the present volume follows this review article closely.

1.2.2 More recent Fortran 90 versions (2.5-2.7)

In recent years, many users have moved over to Fortran 90 programming for VLIDORT applications; among other reasons, this has necessitated a complete revision of the software. In 2011, VLIDORT code was translated to Fortran 90 (Version 2.5). [Fortran 77 versions of these packages are still supported, though Versions 2.6-2.8 are only available in Fortran 90]. Although there has been some new physics introduced in these versions, the VLIDORT organization and coding has been overhauled in order to bring the codes in line with modern computing standards. An important consideration has been the need for the codes to function in a parallel computing environment; this has meant that all COMMON blocks and associated "include" files (prominent features of the F77 codes) have been scrapped, to be replaced by explicit argument declarations for all inputs and outputs, and a number of I/O type structures in Versions 2.6 and later. Here we list the main upgrades for VLIDORT versions 2.5-2.7:

VLIDORT Version 2.5:

- 1. With the exception of the file VLIDORT.PARS, which contains only parameter statements for symbolic array dimensioning, fixed indices and fixed numerical constants, all "include" files in previous Fortran 77 versions of VLIDORT have been removed.
- 2. All variables are explicitly declared, and all input and output arguments clearly notated as such. All routines have "implicit none" opening statements. All "GO TO" statements have been removed.
- 3. All Fortran 90 subroutine argument declarations have the intent(in), intent(out) and intent(in out) characterizations. Fortran 90 input and output arguments to the top-level VLIDORT calling routines are organized into a number of Type structures.
- 4. A new exception handling system has been introduced. Formerly, input-check and calculation errors were written to file as they occurred during model execution. This is not convenient for applications where VLIDORT is embedded in a larger system; now, VLIDORT 2.5 will collect messages for output, and return error traces.
- 5. The multi-kernel BRDF implementation has been moved out of the main VLIDORT model, and now exists as a supplement. VLIDORT will now ingest exact BRDFs (for use in single scatter corrections) and for the multiple scatter field, all Fourier components of the total BRDF (and any surface property derivatives) at discrete ordinate, solar and viewing angle stream directions. The BRDF supplement provides these inputs.
- 6. The use of "normalized" weighting functions output has been discontinued for surface linearization. This makes it possible for example to define an albedo weighting function in the limit of zero albedo.

7. The new VLIDORT package has 2 master routines: one for intensity simulations alone, and a second (called the "LPCS" master) for calculations of atmospheric *profile* or column weighting functions and surface property Jacobians.

VLIDORT Version 2.6:

- 8. I/O type structures were simplified and brought in line with those of LIDORT.
- 9. There are now 3 master routines for Jacobians: one for intensity simulations alone, a second (called the "LPS" master) for calculations of atmospheric *profile* and surface-property Jacobians, and a third (called the "LCS" master) for calculations of atmospheric *total column* and surface Jacobians (c.f. item 7 above).
- 10. The BRDF supplement has been upgraded to include a facility for generating surface glitter BRDFs to include multiple reflections from wave facets.
- 11. There is a new "surface-leaving" capability (e.g. for ocean water-leaving or fluorescence applications), and VLIDORT can ingest the correct functions for modeling this physical effect in the RTE. This additional "VSLEAVE" supplement provides these functions.
- 12. Single-scatter calculations are now optional; the model can ingest single scatter fields from external sources.
- 13. An observational geometry facility has been added to improve computational efficiency when doing satellite applications. The facility was incorporated into VLIDORT's main code as well as the BRDF and VSLEAVE supplements.

VLIDORT Version 2.7:

- 14. VLIDORT now has the capability to run in an OpenMP parallel computing environment suitable for multi-core machines. This performance enhancement is useful for hyperspectral applications involving many calls to VLIDORT over a spectral window.
- 15. A number of Taylor series expansions have been introduced to avoid numerical instability arising when there is a close coincidence between two polar angle directions.
- 16. A new facility for the generation of Black-Body Jacobians has been introduced as a proxy for temperature Jacobians in the thermal scattering regime.
- 17. An alternative single-scatter "first-order" (FO) code is now available to VLIDORT Version 2.7; this code is stand-alone, with no dependency on the rest of the package.

1.2.3 Version 2.8/2.8.1

The following list summarizes the new features in VLIDORT Version 2.8.

1. There is a new treatment of water-leaving (WL) radiances in the VSLEAVE supplement. This has a revised ocean optics implementation that includes calculation of atmospheric transmittance (T_{atmos}). The computation of T_{atmos} is consistent with WL sources.

- 2. The BRDF supplement has a new ocean-glitter kernel (called "NewGCM", standing for polarized Giss-Cox-Munk). Other new kernels include a Ross-thick model with hot-spot correction, and a "modified-Fresnel" kernel for land-surface polarization applications.
- 3. The optical property input has been extended to include Z-matrices (phase-matrices) for direct use in VLIDORT's single scatter routines (the FO codes). This is faster than developing these matrices *internally* with spherical-function expansions based on "Greekmat" coefficients (the latter option has been retained for consistency with older versions). In this regard, the internal "SSCORR_NADIR" and "SSCORR_OUTGOING" codes have been dispensed with; VLIDORT works only with the FO code. In connection with this upgrade, we have developed a supplement which will generate Z-matrices and "Greekmat" expansion coefficients from an input set of F-matrix elements specified on a regular angular grid from 0 to 180 degrees.
- 4. The "LBBF" facility (Jacobians with respect to Blackbody Planck functions in the thermal regime) developed for Version 2.7 was never enabled. This facility has been debugged and is now available for Version 2.8
- 5. The BVP-telescoping option is now viable in the presence of BRDF surfaces this option was disabled in previous versions, but is now operational in the code.
- 6. Bookkeeping: Do-loop efficiency recoding has been done on Version 2.8. All modules are uniquely named, with the extension "_m" to distinguish them from subroutine names which are identical (e.g. vlidort_pars). Only one set of Fortran 90 files are compiled in the makefile builds, so that there are no conflicting module occurrences. If there is need for a special VLIDORT_PARS.f90" file to be used, then such a file will have a non-f90 extension before being copied for use.

In addition, the following features are specific to Version 2.8.1:

- 1. Following the installation in Version 2.8 of a stand-alone coupling adjustment between the water-leaving (WL) supplement output and its dependence on atmospheric transmittance this adjustment has been completely revised in Version 2.8.1 to incorporate the adjustment as part of the Fourier-zero RT calculation. This enables the adjustment to be made at speed with little extra computational effort.
- 2. The water-leaving ocean-optics model has had some upgrades following a thorough literature search. In addition, VLIDORT now has an option to output the adjusted water-leaving radiance, and a related option to ingest an external set of water-leaving radiances for any geometry.
- 3. There is now a facility to obtain the complete radiation and flux fields in the presence of isotropic illumination sources at the top and/or the bottom of the atmosphere. This "isotropic-illumination" feature is also completely linearized with respect to profile or column atmospheric parameters.
- 4. A facility to obtain directly (in a single call to VLIDORT) the transmittances and diffuse reflectivities needed for application of the well-known "planetary-problem" formula, often used to obtain surface albedo "inverted" from TOA upwelling radiances (either measured or calculated). This facility uses the above-mentioned "isotropic illumination" software. Outputs required for the planetary problem is also fully linearized.

1.2.4 Version 2.8.2

The following list summarizes new features added this version 2.8.2 of VLIDORT:

- 1. A separate module has been written to compute geometric quantities required for both the first-order and multiple scatter calculations. This module is called before any hyperspectral radiative transfer loop calculations, thus avoiding unnecessary re-computation of geometrical quantities with every monochromatic call to VLIDORT. This facility will enhance performance when executing radiative transfer computations related to generating a spectrum for a given scene.
- 2. F-matrices for accurate single-scatter corrections are now pre-computed outside the main radiative transfer call; this avoids unnecessary computation of generalized spherical functions internally for every monochromatic VLIDORT call. These spherical functions are now pre-computed in the geometry module as noted in the preceding item.
- 3. Related to Item 2 in this list, there has been a corresponding reduction in the number of F-matrix expansion coefficients required for VLIDORT now, it is only necessary to ingest a sufficient number of expansion coefficients as required for the discrete ordinate truncation. A corollary of this is the removal of the "MAX_MOMENTS_INPUT" dimension from the VLIDORT code itself.
- 4. Direct use of selected VLIDORT input variables is made in order to reduce internal copying of inputs to local variables within the VLIDORT code itself. In addition, most VLIDORT output variables are filled directly without intermediate copying of local results. These changes will assist in enhancing performance.
- 5. The use of a "do_Doublet_Geometry" facility for rapid post-processing of radiative transfer calculations with few solar angles but many linked viewing zeniths and azimuths.

The addendum in Chapter 8 has further details of these Version 2.8.2 features.

1.3 LIDORT-RRS, 2-Stream, Linearized Mie/Tmatrix codes

In addition to VLIDORT, the user may find one of the other RT Solutions models helpful in solving other radiative transfer-related problems. Here, we provide a brief background of these other models available from RT Solutions

The linearization techniques in VLIDORT have been applied to the CAO_DISORT coupled atmospheric-ocean code, and it is possible to generate weighting function with respect to marine constituents such as chlorophyll concentration and CDOM [Spurr, et al., 2007]. This has opened the way for a new approach to simultaneous retrieval of atmospheric and ocean quantities from MODIS and related instruments [Li et al., 2008].

In 2002, a version of LIDORT with inelastic rotational Raman scattering (RRS) was developed from first principles, using an analytic solution of the discrete ordinate field in the presence of additional source terms due to RRS. This work was written up in [Spurr et al., 2008], and encompasses Versions 1.5 through 2.1 of the LRRS (LIDORT-RRS) code package. The LRRS code has been used in a number of applications involving ozone profile and column retrievals from instruments such as GOME and OMI. In 2010, the LRRS code was given a complete linearization treatment for simultaneous generation of profile, column and surface Jacobians. A separate User's Guide is available for LRRS, and the package is also available in Fortran 90. LRRS is currently at Version 2.5a.

A dedicated 2-stream version of the multiple-scattering LIDORT code was written in 2010, for use in low-stream interpolation and performance enhancement in hyperspectral retrieval applications and exoplanet studies [*Spurr and Natraj*, 2011]. This 2S code is entirely analytical, avoiding the use of LAPACK or other numerical schemes. The 2S code is now at Version 2.4.

Some new work on linearization of the T-matrix scattering theory has been published [*Spurr et al.*, 2012] in connection with the development of VLIDORT-based packages to retrieve aerosol microphysical properties. This work also includes a linearized Mie code formulation.

1.4 Scope of document

This "Main" portion of this guide focuses on practical aspects of using VLIDORT, including preparation of inputs, benchmarking, a description of the code package, and notes on usage and testing. The theoretical description (including detailed mathematical derivation) has been moved to an "Adjunct" portion of this guide.

In Chapter 2, we start with a summary of VLIDORT radiative transfer model for polarized light fields in a multiply-scattering optically-stratified atmosphere, concentrating on the main steps in the generation of Stokes 4-vectors and associated atmospheric and surface Jacobians. Here, we write down the main results from the discrete-ordinate theory, plus solutions for the post-processed field, leaving the mathematical details for a detailed exegesis in the Adjunct portion to this User Guide.

Continuing in Chapter 2, we go over the preparation of Inherent Optical Property (IOP) inputs, both for the standard set of optical properties required for the computation of the Stokes 4-vector field, and also the linearized optical property inputs for the generation of atmospheric Jacobians. Chapter 2 continues with a discussion on benchmarking the model against literature datasets, followed by notes on the use of performance enhancements including the "solution-saving" and "BVP-telescoping" options, a review of the Fourier convergence aspects pertaining to the exact treatments of single scattering and direct beam contributions, and the use of a multiple-SZA facility for look-up table generation. Finally we touch on upgrades to help insure thread-safety in a parallel computing environment, and remark on new usages for the single-scatter part of the model.

Chapter 3 describes the specifics of the VLIDORT 2.8.2 package. In section 3.1, we give an overview of the package; section 3.2 has a description VLIDORT's source code modules. In section 3.3, we discuss the input configuration file, "makefile" production of executables, and installation of the code. In this regard, a number of tests have been written for this release of the code, and proper installation of the package will result in the confirmation of the test data set that accompanies the release. In section 3.4, we summarize the important software standards adopted for the code and describe exception handling. This version of VLIDORT is in the public domain; copyright and licensing issues are discussed in section 3.5. Chapter 3 concludes with some acknowledgements in section 3.6. Chapter 4 contains references cited in this guide.

Appendices for VLIDORT may be found in Chapter 5. Section 5.1 has the major tables describing VLIDORT input and output Fortran 90 type-structure variables (both basic and linearized), along with a second set of tables which associate these input variables with the corresponding file-read character strings found in VLIDORT's input configuration file. Section 5.2 discusses the environment programs which not only serve as package installation tests, but also provide the user with examples of how to incorporate VLIDORT into a desired application. Section 5.3 gives a complete description of the vector BRDF supplement: information on how the BRDFs are constructed, the inputs and outputs of the

supplement software, and some features that enhance the supplement (more detailed descriptions of the water- and land-surface BRDFs included in the supplement are given in the adjunct to this guide). Section 5.4 gives similar information regarding the vector land and water surface-leaving (SLEAVE) radiance supplement. Section 5.5 gives and overall description of the VFZMAT supplement. Finally, section 5.6 has additional information which may be helpful to the user when using VLIDORT for certain applications.

The present guide also contains additional chapters giving descriptions and technical information related to specific newer versions of VLIDORT. These addenda currently comprise Chapters 6 and 7, covering changes to VLIDORT 2.8.1 and VLIDORT 2.8.2, respectively.

Finally, we note briefly the contents of the aforementioned "Adjunct" portion of the guide. The Adjunct contains several sections summarizing the essential mathematics and the solution methods of the discrete ordinate multiple scattering radiative transfer formalism in a multi-layer medium. Some of the discrete ordinate theory may be found in the literature, and many more details are found in the papers by R. Spurr. The linearization process and the derivation of Jacobians for atmospheric and surface quantities are described in some detail. There are also treatments of exact single-scatter corrections, and sphericity corrections for the incoming solar beam and the outgoing line-of-sight. Finally, there are mathematical descriptions of the BRDF kernel reflectance models in the VBRDF supplement and the water surface-leaving radiance model in the VSLEAVE supplement.

2. The VLIDORT 2.8.2 Model

2.1 Radiative Transfer Overview

2.1.1 The vector RTE

A first-principles derivation of the vector RTE has been given in the analysis of Mishchenko [*Mishchenko*, 2003]. The 1-D vector RTE for plane-parallel scattering in a single layer is:

$$\mu \frac{\partial}{\partial x} \mathbf{I}(x, \mu, \varphi) = -\mathbf{I}(x, \mu, \varphi) - \mathbf{S}(x, \mu, \varphi); \tag{2.1.1}$$

Here, $I(x, \mu, \varphi)$ is the Stokes vector expressed a function of the polar angle cosine μ (measured from the upward vertical), the azimuth angle φ is defined relative to some fixed direction, and vertical optical thickness (for extinction) x measured from the top of the layer. The 4-vector I has components $\{I, Q, U, V\}$ [Chandrasekhar, 1960], where I is the total intensity, Q and U describe linear polarization, and U circular polarization. The degree of polarization P is given by

$$P = I^{-1}\sqrt{Q^2 + U^2 + V^2}. (2.1.2)$$

The vector source term $\mathbf{S}(x, \mu, \varphi)$ has the form:

$$\mathbf{S}(x,\mu,\varphi) = \frac{\omega(x)}{4\pi} \int_{0}^{2\pi} \int_{-1}^{1} \mathbf{\Pi}(x,\mu,\mu',\varphi - \varphi') \mathbf{I}(x,\mu',\varphi') d\mu' d\varphi' + \mathbf{Q}(x,\mu,\varphi). \tag{2.1.3}$$

Here, $\omega(x)$ is the single scattering albedo and $\Pi(x,\mu,\mu',\varphi-\varphi')$ the phase matrix for scattering of incident Stokes vectors with respect to the local meridian plane. In our formulation, we assume that the atmosphere comprises a stratum of optically uniform layers, so that in any given layer, the optical inputs $\omega(x)$ and $\Pi(x,\mu,\mu',\varphi-\varphi')$ do not depend on the optical thickness x, and we henceforth drop this dependence.

The first term in Eq. (2.1.3) represents multiple scattering contributions. For scattering of the attenuated solar beam, the inhomogeneous source term $\mathbf{Q}(x, \mu, \varphi)$ is written:

$$\mathbf{Q}(x,\mu,\varphi) = \frac{\omega}{4\pi} \mathbf{\Pi}(\mu,-\mu_0,\varphi-\varphi_0) \mathbf{I}_{\odot} T_a \exp \left[-\lambda x\right], \tag{2.1.4}$$

where $\{-\mu_0, \varphi_0\}$ is the solar direction relative to the meridional plane, \mathbf{I}_{\odot} the solar beam Stokes flux vector before attenuation; in the Earth's atmosphere $\mathbf{I}_{\odot} = [F_{\odot}, 0, 0, 0]^{\mathrm{T}}$ (natural sunlight, unpolarized). The term $T_a \exp[-\lambda x]$ in Eq. (2.1.4) is the solar beam attenuation in the pseudo-spherical (P-S) approximation, with T_a being the atmospheric transmittance to layer top, and λ a geometrical factor (the "average secant"). The P-S formulation treats solar beam attenuation for a curved spherical-shell atmosphere, but all scattering takes place in a plane-parallel medium. Indeed, $\lambda = -1/\mu_0$ when the atmosphere is not curved. It has been shown that the P-S approximation is accurate for solar zenith angles up to 90°, provided the viewing path is not too far from the nadir [Dahlback and Stamnes, 1991]. Details on the pseudo-spherical formulation are found in section A1.4.1 of the adjunct to this guide.

In the thermal emission regime, the atmosphere is assumed to be in black-body equilibrium; scattering is assumed isotropic and the $\mathbf{Q}(x, \mu, \varphi)$ source term is:

$$\mathbf{Q}(x,\mu,\varphi) = \frac{[1-\omega]}{4\pi} \mathbf{B}(x) \tag{2.1.5}$$

Here, $\mathbf{B}(x) = [B(x), 0, 0, 0]^{\mathrm{T}}$, with the Planck function B(x) expressed as a function of x; in common with other RT models, we will assume a piecewise-linear dependence B(x) = a + bx through the layer.

Matrix Π relates scattering and incident Stokes vectors defined with respect to the meridian plane. The equivalent matrix for Stokes vectors with respect to the *scattering* plane is the scattering matrix \mathbf{F} . In this work, we restrict ourselves to scattering for a medium that is "macroscopically isotropic and symmetric" [*Mishchenko et al.*, 2000], with scattering for ensembles of randomly oriented particles having at least one plane of symmetry. In this case, \mathbf{F} depends only on the scattering angle Θ between scattered and incident beams. Matrix Π is related to $\mathbf{F}(\Theta)$ through application of two rotation matrices $\mathbf{L}(\pi - \sigma_2)$ and $\mathbf{L}(-\sigma_1)$ (for definitions of these matrices and the angles of rotation σ_1 and σ_2 , see [*Mishchenko et al*, 2000]):

$$\Pi(\mu, \mu', \varphi - \varphi') = \mathbf{L}(\pi - \sigma_2)\mathbf{F}(\Theta)\mathbf{L}(-\sigma_1)$$
(2.1.6)

The scattering angle is given by $\cos \Theta = -\mu \mu' + \sqrt{(1 - \mu^2)(1 - {\mu'}^2)} \cos(\varphi - \varphi')$ in terms of meridian-plane quantities. In our "macroscopically isotropic and symmetric" case, $\mathbf{F}(\Theta)$ has six independent entries in the well-known form:

$$\mathbf{F}(\Theta) = \begin{cases} a_1(\Theta) & b_1(\Theta) & 0 & 0 \\ b_1(\Theta) & a_2(\Theta) & 0 & 0 \\ 0 & 0 & a_3(\Theta) & b_2(\Theta) \\ 0 & 0 & -b_2(\Theta) & a_4(\Theta) \end{cases}$$
(2.1.7)

The (1, 1) entry in $\mathbf{F}(\Theta)$ is just the phase function and satisfies the normalization condition:

$$\frac{1}{2} \int_0^\pi a_1(\Theta) \sin\Theta \, d\Theta = 1. \tag{2.1.8}$$

2.1.2 Azimuthal separation

For the special form of $\mathbf{F}(\Theta)$ in Eq. (2.1.7), the dependence on scattering angle allows us to develop expansions of the six independent scattering functions in terms of a set of generalized spherical functions $P_{mn}^{l}(\cos\Theta)$ [Mishchenko et al., 2006]:

$$a_1(\Theta) = \sum_{l=0}^{M} \beta_l P_{00}^l(\cos \Theta);$$
 (2.1.9)

$$a_2(\Theta) + a_3(\Theta) = \sum_{l=0}^{M} (\alpha_l + \zeta_l) P_{2,2}^l(\cos\Theta);$$
 (2.1.10)

$$a_2(\Theta) - a_3(\Theta) = \sum_{l=0}^{M} (\alpha_l - \zeta_l) P_{2,-2}^l(\cos\Theta);$$
 (2.1.11)

$$a_4(\Theta) = \sum_{l=0}^{M} \delta_l P_{00}^l(\cos \Theta);$$
 (2.1.12)

$$b_1(\Theta) = \sum_{l=0}^{M} \gamma_l P_{02}^l(\cos \Theta); \tag{2.1.13}$$

$$b_2(\Theta) = -\sum_{l=0}^{M} \epsilon_l P_{02}^l(\cos \Theta);$$
 (2.1.14)

The set of expansion coefficients ("Greek constants") $\{\alpha_l, \beta_l, \gamma_l, \delta_l, \epsilon_l, \zeta_l\}$, $l = 0 \dots M$ are key inputs to VLIDORT, and they must be specified for each moment l in these spherical-function expansions. The number of terms M depends on the level of numerical accuracy. Here, $\{\beta_l\}$ are the phase function expansion coefficients as used in the scalar RTE. These "Greek constants" specify the polarized-light single-scattering law for randomly-oriented particles, and there are a number of efficient analytical techniques for their computation, not only for spherical particles (see for example [de Rooij and van der

Stap, 1984]) but also for randomly oriented homogeneous and inhomogeneous non-spherical particles and aggregated scatterers [Hovenier et al., 2004; Mackowski and Mishchenko, 1996; Mishchenko and Travis, 1998].

To proceed with the RTE solution, it is necessary to make Fourier decompositions (in terms of the cosine and sine of the relative azimuth angle between incident and scattered light directions) of the phase matrix and the Stokes vector in order to separate the azimuthal dependence.

A convenient formalism for this separation was developed by Siewert and co-workers [Siewert, 1981; Siewert, 1982; Vestrucci and Siewert, 1984], and we summarize the results here for illumination by natural light. The Stokes vector Fourier decomposition is:

$$\mathbf{I}(x,\mu,\phi-\phi') = \frac{1}{2} \sum_{m=0}^{M} (2 - \delta_{m,0}) \mathbf{\Phi}_{m}(\phi-\phi') \mathbf{I}_{m}(x,\mu);$$
 (2.1.15)

$$\Phi_m(\phi) \equiv Diag[\cos m\phi, \cos m\phi, \sin m\phi, \sin m\phi]. \tag{2.1.16}$$

The phase matrix decomposition is:

$$\Pi(\mu, \phi, \mu', \phi') = \frac{1}{2} \sum_{m=0}^{M} (2 - \delta_{m,0}) [\mathbf{C}_m(\mu, \mu') \cos m(\phi - \phi') + \mathbf{S}_m(\mu, \mu') \sin m(\phi - \phi')];$$

$$\mathbf{C}_{m}(\mu, \mu') = \mathbf{A}_{m}(\mu, \mu') + \mathbf{D}\mathbf{A}_{m}(\mu, \mu')\mathbf{D}.$$
(2.1.18)

$$\mathbf{S}_{m}(\mu, \mu') = \mathbf{A}_{m}(\mu, \mu')\mathbf{D} - \mathbf{D}\mathbf{A}_{m}(\mu, \mu'). \tag{2.1.19}$$

$$\mathbf{A}_{m}(\mu, \mu') = \sum_{l=m}^{M} \mathbf{P}_{l}^{m}(\mu) \mathbf{B}_{l} \mathbf{P}_{l}^{m}(\mu'). \tag{2.1.20}$$

$$\mathbf{D} = Diag[1,1,-1,-1]. \tag{2.1.21}$$

$$\mathbf{B}_{l} = \begin{pmatrix} \beta_{l} & \gamma_{l} & 0 & 0 \\ \gamma_{l} & \alpha_{l} & 0 & 0 \\ 0 & 0 & \delta_{l} & -\varepsilon_{l} \\ 0 & 0 & \varepsilon_{l} & \zeta_{l} \end{pmatrix}. \tag{2.1.22}$$

$$\mathbf{P}_{l}^{m}(\mu) = \begin{pmatrix} P_{l}^{m}(\mu) & \gamma_{l} & 0 & 0 \\ \gamma_{l} & R_{l}^{m}(\mu) & -T_{l}^{m}(\mu) & 0 \\ 0 & -T_{l}^{m}(\mu) & R_{l}^{m}(\mu) & 0 \\ 0 & 0 & 0 & P_{l}^{m}(\mu) \end{pmatrix}. \tag{2.1.23}$$

The "Greek matrices" \mathbf{B}_l , l=0,1,...M contain the spherical function expansion coefficients defining the scattering law, while the matrices $\mathbf{P}_l^m(\mu)$ contain the associated Legendre functions $P_l^m(\mu)$ and the functions $R_l^m(\mu)$ and $T_l^m(\mu)$ which are closely related to the generalized spherical functions $P_{mn}^l(\mu)$ (for details, see for example [Siewert, 2000b]).

This azimuth separation process yields the following RTE for the Fourier component $I_m(x,\mu)$:

$$\mu \frac{d\mathbf{I}_{m}(x,\mu)}{dx} + \mathbf{I}_{m}(x,\mu) = \frac{\omega}{2} \sum_{l=m}^{M} \mathbf{P}_{l}^{m}(\mu) \mathbf{B}_{l} \int_{-1}^{1} \mathbf{P}_{l}^{m}(\mu') \mathbf{I}_{m}(x,\mu') d\mu' + \mathbf{Q}_{m}(x,\mu).$$
(2.1.24)

For the solar source term, with solar direction $\{-\mu_0, \phi_0\}$, we have.

$$\mathbf{Q}_{m}^{\odot}(x,\mu) = \frac{\omega}{2} \sum_{l=m}^{M} \mathbf{P}_{l}^{m}(\mu) \mathbf{B}_{l} \mathbf{P}_{l}^{m}(-\mu_{0}) \mathbf{F}_{\odot} \exp[-\tau_{\odot}(x,\mu)]$$
(2.1.25)

For the thermal source term with isotropic scattering,

$$\mathbf{Q}_{m}^{\oplus}(x,\mu) = \delta_{m,0}[(1-\omega)\mathfrak{B}(x),0,0,0]^{\mathrm{T}}.$$
(2.1.26)

where $\mathfrak{B}(x)$ is the Planck function at vertical optical thickness x.

2.1.3 Boundary conditions

Discrete ordinate RT is pure scattering theory: in a multilayer medium with layers $n = 1,2,...N_L$ (from top of atmosphere n = 1 to the surface layer $n = N_L$), it is only necessary to specify the layer total optical thickness values Δ_n , the layer total single scatter albedo ω_n , and the layer 4 x 4 matrices \mathbf{B}_{nl} of expansion coefficients (l being the moment number) for the total scattering. To complete the calculation of the radiation field in the multilayer atmosphere, we have the following boundary conditions:

- (I) No diffuse downwelling radiation at TOA. Thus for the first layer we have: $\mathbf{I}_n^+(0,\mu,\phi) = \mathbf{0}; \quad (n=1)$ (2.1.27)
- (II) Continuity of the upwelling and downwelling radiation fields at intermediate boundaries. If N_L is the number of layers in the medium, then:

$$\mathbf{I}_{n-1}^{\pm}(\Delta_{n-1}) = \mathbf{I}_{n}^{\pm}(0); \quad (n = 2, 3...N_{L})$$
 (2.1.28)

(III) A surface reflection condition relating the upwelling and downwelling radiation fields at the bottom of the atmosphere:

$$\mathbf{I}_{n}^{-}(\Delta_{n},\mu,\phi) = \mathbf{R}(\mu,\phi,\mu',\phi')\mathbf{I}_{n}^{+}(\Delta_{n},\mu',\phi'). \quad (n=N_{L})$$
(2.1.29)

Here, the surface reflection matrix **R** relates incident and reflected Stokes vectors at the surface.

The convention adopted here is to use a "+" suffix for downwelling solutions, and a "-" suffix for upwelling radiation. Conditions (I) and (II) are obeyed by all Fourier components in the azimuthal series. For condition (III), it is necessary to make a Fourier decomposition of the reflection matrix \mathbf{R} to separate the azimuth dependence.

In VLIDORT, we use a 4-kernel BRDF (Bidirectional Reflectance Distribution Function) formulation of reflection matrix **R**. This formulation is based on the original 3-kernel scheme developed in [*Spurr*, 2004] for LIDORT. The production of BRDF matrices is discussed in Appendix 5.3 below, with details of BRDF kernels themselves confined to section A3 of the Adjunct to this Guide.

The Lambertian case (isotropic reflectance) only applies for Fourier component m=0 and Eq. (2.1.29) then becomes:

$$\mathbf{I}_{n}^{-}(\Delta_{n},\mu) = 2R_{0}\delta_{m,0}\mathbf{E}_{1}\left[\mu_{0}\mathbf{F}_{\odot}T_{n-1}e^{-\lambda_{n}\Delta_{n}} + \int_{0}^{1}\mathbf{I}_{n}^{+}(\Delta_{n},\mu')\mu'd\mu'\right]. \quad n = N_{L}$$
 (2.1.30)

Here, R_0 is the Lambertian albedo, $\mathbf{E_1} = Diag[1,0,0,0]$, and $T_{n-1}e^{-\lambda_n\Delta_n}$ is the whole-atmosphere slant path optical depth for the solar beam.

2.1.4 Jacobian definitions

As used in LIDORT, *profile* Jacobians (also known as profile weighting functions) are *normalized* analytic derivatives of the intensity field with respect to any atmospheric property ξ_n defined in layer n:

$$\mathbf{K}_{\xi_n}(x,\mu,\phi-\phi') = \xi_n \frac{\partial \mathbf{I}(x,\mu,\phi-\phi')}{\partial \xi_n}.$$
 (2.1.31)

The Fourier series azimuth dependence (Eq. (2.1.15)) is also valid:

$$\mathbf{K}_{\xi_n}(x,\mu,\phi-\phi') = \frac{1}{2} \sum_{l=m}^{M} (2-\delta_{m,0}) \mathbf{\Phi}_m(\phi-\phi') \, \mathbf{K}_{\xi_n}^m(x,\mu). \tag{2.1.32}$$

We use the linearization notation:

$$\mathcal{L}_p(y_n) = \xi_p \frac{\partial y_n}{\partial \xi_p}.$$
 (2.1.33)

This indicates the normalized derivative of y_n in layer n with respect to variable ξ_p in layer p.

Input optical properties $\{\Delta_n, \omega_n, \mathbf{B}_{nl}\}$, $n=1, ... N_L$ are needed for calculation of the radiation field. For Jacobians, we require an additional set of *linearized optical property inputs* $\{\mathcal{V}_n, \mathcal{U}_n, \mathcal{Z}_{nl}\}$, $n=1, ... N_L$ defined with respect to variable ξ_n in layer n for which we require weighting functions. These are:

$$V_n \equiv \mathcal{L}_n(\Delta_n); \quad \mathcal{U}_n \equiv \mathcal{L}_n(\omega_n); \quad \mathcal{Z}_{nl} \equiv \mathcal{L}_n(\mathbf{B}_{nl}).$$
 (2.1.34)

In section 2.2 below, we give some examples of input quantities $\{\Delta_n, \omega_n, \mathbf{B}_{nl}\}$ and their linearizations $\{\mathcal{V}_n, \mathcal{U}_n, \mathbf{Z}_{nl}\}$ for a typical atmospheric scenario with molecular and aerosol scattering. One can also define weighting functions with respect to the basic optical properties themselves: for example, if $\xi_n \equiv \Delta_n$, then $\mathcal{V}_n \equiv \mathcal{L}_n(\Delta_n) = \Delta_n$. It turns out that all weighting functions can be derived from a basic set of Jacobians defined with respect to $\{\Delta_n, \omega_n, \mathbf{B}_{nl}\}$; we return to this point in section 2.2.

For surface weighting functions, the reflection matrix \mathbf{R} in Eq. (2.1.29) is expressed as a weighted sum of kernel BRDFs, and partial derivatives may be determined not only with respect to the kernel amplitudes but also with respect to surface properties intrinsic to the kernel themselves (for instance the wind speed parameter in the glitter kernel used over ocean surfaces). Linearization of \mathbf{R} with respect to kernel amplitudes and inherent parameters is discussed in Appendix 5.3.

2.2 Preparation of input optical properties (IOPs)

2.2.1 Basic optical property inputs

In this section, we give a brief introduction to the input requirements for VLIDORT, in particular the determination of IOPs and their associated linearized inputs. For a Stokes vector computation of the radiation field using VLIDORT, we require the input set $\{\Delta_n, \omega_n, \mathbf{B}_{nl}\}$, as noted already. The form for \mathbf{B}_{nl} is given in Eq. (2.2.24) in terms of the six Greek constants $\{\alpha_l, \beta_l, \gamma_l, \delta_l, \varepsilon_l, \xi_l\}$ which must be specified for each moment l of general spherical-function expansions in terms of the cosine of the scattering angle. The values β_l are the traditional phase function expansion coefficients, the ones that appear as inputs to the scalar LIDORT code; they are normalized to 4π .

In the first example, we consider a single layer with Rayleigh scattering by air molecules, some trace gas absorption, and scattering and extinction by aerosols. If the layer Rayleigh scattering optical depth is specified as δ_{Ray} and trace gas absorption optical thickness is given as α_{gas} , with the aerosol extinction and scattering optical depths τ_{aer} ; and δ_{aer} respectively, then the *total* optical property inputs are given by (we have dropped the layer index for convenience here):

$$\Delta = \alpha_{gas} + \delta_{Ray} + \tau_{aer}; \qquad \omega = \frac{\delta_{Ray} + \delta_{aer}}{\Delta}; \qquad \mathbf{B}_{l} = \frac{\delta_{Ray} \mathbf{B}_{l,Ray} + \delta_{aer} \mathbf{B}_{l,aer}}{\delta_{Ray} + \delta_{aer}}$$
(2.2.1)

The Greek matrix coefficients for Rayleigh scattering are given by the following table.

	α_l	β_l	γι	δ_l	$arepsilon_l$	ξ_l
l = 0	0	1	0	0	0	0
l = 1	0	0	0	$\frac{3(1-2\rho)}{2+\rho}$	0	0
l = 2	$\frac{6(1-\rho)}{2+\rho}$	$\frac{6(1-\rho)}{2+\rho}$	$-\frac{\sqrt{6}(1-\rho)}{2+\rho}$	0	0	0

For zero depolarization ratio, the only surviving Greek constants are: $\beta_0 = 1.0$, $\beta_2 = 0.5$, $\alpha_2 = 3.0$, $\gamma_2 = -\sqrt{6}/2$ and $\delta_1 = 1.5$. Aerosol quantities must in general be derived from an electromagnetic single particle scattering model (Mie calculations, T-matrix methods, etc.).

Let us consider a 2-parameter bimodal aerosol optical model with the following *combined optical* property definitions in terms of the total aerosol number density ρ and the fractional weighting f between the two aerosol modes:

$$\Delta_{aer} = \rho e_{aer} \equiv \rho [f e_1 + (1 - f) e_2];$$
 (2.2.2a)

$$\omega_{aer} = \frac{\sigma_{aer}}{e_{aer}} \equiv f \frac{f z_1 e_1 + (1 - f) z_2 e_2}{e_{aer}}; \tag{2.2.2b}$$

$$\beta_{l,aer} = \frac{fz_1 e_1 \beta_l^{(1)} + (1 - f) z_2 e_2 \beta_l^{(2)}}{\sigma_{aer}}.$$
(2.2.2c)

The quantity σ_{aer} is the combined scattering coefficient and e_{aer} the combined extinction coefficient. In Eq. (2.2.2c) we have given the combined expression for just one of the Greek constants; the other five are constructed in a similar fashion. Thus for example, the quantity $\beta_{l,aer}$ is the l-th coefficient in the Legendre polynomial expansion of the phase function. Here, e_1 , z_1 and $\beta_l^{(1)}$ are the extinction coefficient, single scatter albedo and Legendre expansion coefficient for aerosol type 1; similar definitions apply to aerosol type 2.

2.2.2 Linearized optical property inputs

For the linearized inputs with respect to a parameter ξ for which we require weighting functions, we define normalized quantities:

$$\phi_{\xi} = \frac{\xi}{\Delta} \frac{\partial \Delta}{\partial \xi}; \quad \varphi_{\xi} = \frac{\xi}{\omega} \frac{\partial \omega}{\partial \xi}; \quad \Psi_{l,\xi} = \frac{\xi}{B_{l}} \frac{\partial B_{l}}{\partial \xi}. \tag{2.2.3}$$

These may be established by differentiating the definitions in Eq. (2.2.1). We give one example here. If there is a single absorbing gas (ozone, for example), with C the partial column of trace gas in any given layer, and σ_{gas} the associated absorption coefficient, then we have $\Delta = C\sigma_{gas} + \delta_{Ray} + \tau_{aer}$ for the total optical depth. For trace gas profile Jacobians, we require the derivatives in Eq. (2.2.3) as inputs, taken with respect to C. These are:

$$\phi_C \equiv \frac{c}{\Delta} \frac{\partial \Delta}{\partial c} = \frac{c \sigma_{gas}}{\Delta}; \quad \varphi_C \equiv \frac{c}{\omega} \frac{\partial \omega}{\partial c} = -\frac{c \sigma_{gas}}{\Delta}; \quad \Psi_{l,\xi} \equiv \frac{\xi}{B_l} \frac{\partial B_l}{\partial \xi} = 0. \tag{2.2.4}$$

In the remote sensing retrieval context, Jacobian parameters may be elements of the retrieval state vector, or they may be sensitivity parameters which are not retrieved but will be sources of error in the retrieval itself. As another example (keeping to the notation used for the above bi-modal aerosol model), we will assume that the retrieval parameters are the total aerosol density ρ and the bimodal ratio f All other quantities in the above definitions are sensitivity parameters.

For the <u>retrieval Jacobians</u> (with respect to ρ and f) the relevant inputs are found by partial differentiation of the definitions in Eq. (2.2). After some algebra, one finds (we have just considered one for the Greek-matrix elements for simplicity):

$$\rho \frac{\partial \Delta}{\partial \rho} = \rho \frac{\partial \Delta_{aer}}{\partial N} = \Delta_{aer}; \qquad f \frac{\partial \Delta}{\partial f} = f \frac{\partial \Delta_{aer}}{\partial f} = f \rho (e_1 - e_2); \qquad (2.2.5a)$$

$$\rho \frac{\partial \omega}{\partial \rho} = \rho \frac{\rho \sigma_{aer} - \omega \Delta_{aer}}{\Delta}; f \frac{\partial \omega}{\partial f} = f \frac{f \rho [(z_1 e_1 - z_2 e_2) - \omega (e_1 - e_2)]}{\Delta}; \tag{2.2.5b}$$

$$\rho \frac{\partial \beta_l}{\partial \rho} = \frac{\rho \sigma_{aer}(\beta_{l,aer} - \beta_l)}{\rho \sigma_{aer} + \sigma_{Ray}}; \qquad f \frac{\partial \beta_l}{\partial f} = \frac{f \rho(z_1 e_1 - z_2 e_2)(\beta_{l,aer} - \beta_l)}{\rho \sigma_{aer} + \sigma_{Ray}}. \tag{2.2.5c}$$

For <u>sensitivity Jacobians</u>, the quantities σ_{Ray} , α_{gas} , e_1 , z_1 , e_2 and z_2 are all *bulk property* model parameters that are potentially sources of error. [We can also consider the phase function quantities γ_{Ray} , γ_1 and γ_2 as sensitivity parameters, but linearizations of these quantities are not shown here]. After more algebra (chain rule differentiation, this time not normalizing), we find the following derivatives:

$$\frac{\partial \Delta}{\partial \sigma_{Ray}} = 1; \qquad \frac{\partial \omega}{\partial \sigma_{Ray}} = \frac{1 - \omega}{\Delta}; \qquad \frac{\partial \beta_l}{\partial \sigma_{Ray}} = \frac{(\beta_{l,Ray} - \beta_l)}{\rho \sigma_{aer} + \sigma_{Ray}}; \qquad (2.2.6a)$$

$$\frac{\partial \Delta}{\partial \alpha_{gas}} = 1;$$
 $\frac{\partial \omega}{\partial \alpha_{gas}} = -\frac{\omega}{\Delta};$ $\frac{\partial \beta_l}{\partial \alpha_{gas}} = 0;$ (2.2.6b)

$$\frac{\partial \Delta}{\partial e_1} = \rho f; \qquad \frac{\partial \omega}{\partial e_1} = \frac{\rho f(z_1 - \omega)}{\Delta}; \qquad \frac{\partial \beta_l}{\partial e_1} = \frac{f z_1(\beta_{l,aer} - \beta_l)}{\rho \sigma_{aer} + \sigma_{Ray}}; \qquad (2.2.6c)$$

$$\frac{\partial \Delta}{\partial e_2} = \rho(1 - f); \qquad \frac{\partial \omega}{\partial e_2} = \frac{\rho(1 - f)(a_2 - \omega)}{\Delta}; \quad \frac{\partial \beta_l}{\partial e_2} = \frac{(1 - f)z_2(\beta_{l,aer} - \beta_l)}{\rho \sigma_{aer} + \sigma_{Ray}}; \tag{2.2.6d}$$

$$\frac{\partial \Delta}{\partial z_1} = 0; \qquad \frac{\partial \omega}{\partial z_1} = \frac{\rho f e_1}{\Delta}; \qquad \frac{\partial \beta_l}{\partial z_1} = \frac{f e_1 (\beta_{l,aer} - \beta_l)}{\rho \sigma_{aer} + \sigma_{Ray}}; \qquad (2.2.6e)$$

$$\frac{\partial \Delta}{\partial z_2} = 0; \qquad \frac{\partial \omega}{\partial z_2} = \frac{\rho(1-f)e_2}{\Delta}; \qquad \frac{\partial \beta_l}{\partial z_2} = \frac{(1-f)e_2(\beta_{l,aer} - \beta_l)}{\rho \sigma_{aer} + \sigma_{Ray}}. \tag{2.2.6f}$$

2.2.3 Additional atmospheric inputs

VLIDORT is a pseudo-spherical model dealing with the attenuation of the solar beam in a curved atmosphere, and it therefore requires some geometrical information. The user needs to supply the earth's radius R_{earth} and a height grid $\{z_n\}$ where $n=0,1,...N_L$ (the total number of layers); heights must be specified at layer boundaries with z_0 being the top of the atmosphere. This information is sufficient if the atmosphere is non-refracting.

If the atmosphere is refracting, it is necessary to specify pressure and temperature fields $\{p_n\}$ and $\{t_n\}$, also defined at layer boundaries. The refractive geometry calculation inside VLIDORT is based on the Born-Wolf approximation for refractive index n(z) as a function of height: $n(z) = 1 + \alpha_0 p(z)/t(z)$. Factor α_0 depends slightly on wavelength, and this must be specified by the user if refractive bending of

the solar beams is desired. To a very good approximation it is equal to 0.000288 multiplied by the air density at standard temperature and pressure. VLIDORT has an internal fine-layering structure to deal with repeated application of Snell's law. In this regard, the user must specify the number of fine layers to be used for each coarse layer (10 is usually sufficient for most Earth atmosphere calculations).

2.2.4 Surface property inputs

The computation of BRDF reflection matrices necessary for VLIDORT has now been separated from the main, and is performed in a dedicated BRDF supplement. Thus, the main VLIDORT now receives *total* BRDFs and their Fourier components (and if required, the surface-property linearizations of these quantities), without knowledge of the individual kernels used to construct these quantities. Here, we give a brief summary of the available BRDF kernels and their inputs, with a fuller discussion in the BRDF supplement appendix (section 5.3).

For BRDF input, it is necessary for the user to specify up to four amplitude coefficients $\{R_k\}$ associated with the choice of kernel functions, and the corresponding vectors $\{\mathbf{b}_k\}$ of parameter coefficients intrinsic to the kernel. For example, if the BRDF is a single Cox-Munk function, it is only necessary to specify the wind speed (in meters/second) and the relative refractive index between water and air. For surface property weighting functions, we need only specify whether we require weighting functions with respect to $\{R_k\}$ and/or to the components of vectors $\{\mathbf{b}_k\}$.

In the BRDF Appendix, Table 5.3.1 has the list of available kernel functions used in the BRDF supplement. Referring to that table, we see that most kernels are "scalar", that is, reflectance is only applied to the total intensity part of the Stokes vector, and the BRDF reflection matrix is then non-zero only for the (1,1) element. The specular reflection of polarized light from randomly reflecting surfaces is well known, and the glitter BRDFs (the "Cox-Munk" kernels) are based on publicly available software, for example from the NASA GISS site, with formalism described in the paper by [*Mishchenko and Travis*, 1997]. For land surfaces, there is a dearth of source material in the literature but some reasonably accurate empirically-based kernels have been developed in recent years from analyses of POLDER and MISR data [*Maignan et al.*, 2009]. The VLIDORT implementation (BPDF 2009 in Table 2.1) is included by kind permission of the authors.

Note we do not need to specify full tables of BRDF values for each Fourier component. The supplement has BRDF routines for calculating values of the kernel functions for all possible combinations of angles, and additional routines for delivering the Fourier components of the kernel functions. Fourier component specification is done numerically by integration over the azimuth angle, and for this, it is necessary to specify the number of BRDF azimuth quadrature abscissa $N_{\rm BRDF}$. The choice $N_{\rm BRDF} = 50$ is sufficient to obtain numerical accuracy of 10^{-4} in this Fourier component calculation. Nonetheless, the user is allowed to choose $N_{\rm BRDF}$.

2.2.5 Thermal emission inputs

For atmospheric thermal emission input, the current specification in VLIDORT requires the Planck Black-body function to be input at layer boundaries. The surface emission input requires a separate Planck function to be specified. A convenient routine for generating the integrated Planck function in [W.m⁻²] was developed as an internal routine for the DISORT code [Stamnes et al., 2000]; this can be used outside the VLIDORT environment to generate the required Planck functions. This Planck function

generator has been linearized with respect to temperature, so that all thermal source terms are differentiable for temperature retrievals.

For thermal emission alone, Planck functions are specified in physical units (usually [W.m⁻².v⁻¹]. For solar sources only, VLIDORT output is normalized to the input solar flux vector (which can be set to arbitrary units). For calculations with both thermal and solar sources, the extra-terrestrial solar flux must be given in the same physical units as that specified for the Plank function input.

2.3 Validation and benchmarking

2.3.1 Checking against the scalar code

VLIDORT is designed to work equally with Stokes 4-vectors $\{I, Q, U, V\}$, Stokes 3-vectors $\{I, Q, U\}$ (neglecting circular polarization) and in the scalar mode (I only). The first validation task for the vector model is to run it in scalar mode and reproduce results generated independently from the scalar LIDORT model. A set of options can be used to test the major functions of the model (real-valued RT solutions, the boundary value problem and post processing) for a typical range of scenarios (single layer, multilayer, arbitrary level output and viewing angles, plane-parallel versus pseudo-spherical, etc.). This battery of tests is very useful, but of course it does not validate the Stokes-vector solutions and in particular the complex variable RTE formalism (absent in the scalar RT).

In this section, we make one important point concerning the verification of the multi-layer capability. This can easily be tested using the invariance principle: two optically identical layers of optical thickness values x_1 and x_2 will (at least for plane-parallel geometry) produce a field equivalent to that produced by an optically identical layer of optical thickness $x_1 + x_2$. This applies equally to the scalar and vector models. This technique is particularly useful for testing implementations of the boundary value linear algebra solution (section A1.3.1 in the Adjunct part of the Guide). We now turn to validation for "slab problems" (single-layer media).

2.3.2 The Rayleigh slab problem

A first validation was carried out against the Rayleigh atmosphere results published in the tables of Coulson, Dave and Sekera [Coulson et al., 1960]. These tables apply to a single-layer pure Rayleigh slab in plane parallel geometry; the single scattering albedo is 1.0 and there is no depolarization in the scattering. Tables for Stokes parameters *I*, *Q* and *U* are given for three surface albedos (0.0, 0.25, 0.80), a range of optical thickness values from 0.01 to 1.0, for 7 azimuths from 0° to 180° at 30° intervals, some 16 view zenith angles with cosines from 0.1 to 1.0, and for 10 solar angles with cosines from 0.1 to 1.0. With the single scattering albedo set to 0.999999, VLIDORT was able to reproduce all these results to within the levels of accuracy specified in the introduction section of the CDS tables.

2.3.3 Benchmarking for aerosol slab problems

The benchmark results noted in [Siewert, 2000b] were used; all 8 output tables in this work were reproduced by VLIDORT. The slab problem used a solar angle 53.130° ($\mu_0 = 0.6$), with single scatter albedo $\omega = 0.973527$, surface albedo 0.0, total layer optical thickness of 1.0, and a set of Greek constants as noted in Table 1 of [Siewert, 2000b]. Output was specified at a number of optical thickness values from 0 to 1, and at a number of output streams. 24 discrete ordinate streams were used in the half

space for the computation. In Table 2.1, we present VLIDORT results for intensity at relative azimuth angle of 180°; the format is deliberately chosen to mimic that used in [Siewert, 2000b]. It is clear that the agreement with his Table 8 is almost perfect. The only point of issue is the downwelling output at $\mu = 0.6$: this is a limiting case because the solar stream $\mu_0 = 0.6$ also takes this value. Such a case requires l'Hopital's rule to avoid numerical singularity, and this rule has been implemented in VLIDORT (as also in LIDORT), but was not discussed in Siewert's paper. All tables in [Siewert, 2000a] were reproduced, with differences of 1 or 2 in the sixth decimal place (excepting the above limiting case).

0.000 0.125 0.250 0.500 0.750 0.875 1.000 -1.0 5.06872E-02 4.26588E-02 3.45652E-02 1.97273E-02 7.87441E-03 3.36768E-03 -0.9 4.49363E-02 3.83950E-02 3.16314E-02 1.87386E-02 7.81148E-03 3.42290E-03 3.59226E-02 2.19649E-02 -0.84.95588E-02 4.29605E-02 9.46817E-03 4.21487E-03 -0.7 5.54913E-02 4.89255E-02 4.16034E-02 2.63509E-02 1.18019E-02 5.35783E-03 -0.6 6.19201E-02 5.57090E-02 4.83057E-02 3.18640E-02 1.49296E-02 6.94694E-03 -0.5 6.84108E-02 6.30656E-02 3.87231E-02 9.19468E-03 5.59610E-02 1.91563E-02 -0.4 7.44303E-02 7.06903E-02 6.44950E-02 4.72940E-02 2.50375E-02 1.25100E-02 -0.3 7.89823E-02 7.78698E-02 5.79874E-02 3.35858E-02 1.77429E-02 7.35194E-02 8.01523E-02 -0.28.29108E-02 8.16526E-02 7.07286E-02 4.66688E-02 2.69450E-02 -0.1 7.51772E-02 8.29356E-02 8.56729E-02 8.26216E-02 6.65726E-02 4.61143E-02 -0.0 5.93785E-02 7.61085E-02 8.33482E-02 8.76235E-02 8.22105E-02 7.53201E-02

8.76235E-02

8.63151E-02

7.72739E-02

6.67896E-02

5.81591E-02

5.17403E-02

4.74175E-02

4.53651E-02

4.67328E-02

5.64095E-02

1.53099E-01

8.22105E-02

8.80624E-02

8.77078E-02

8.29733E-02

7.72710E-02

7.22957E-02

6.88401E-02

6.77032E-02

7.07013E-02

8.41722E-02

2.03657E-01

7.53201E-02

8.49382E-02

8.84673E-02

8.70779E-02

8.36674E-02

8.01999E-02

7.78121E-02

7.75916E-02

8.16497E-02

9.68476E-02

2.23428E-01

6.04997E-02

7.76333E-02

8.55909E-02

8.79922E-02

8.74252E-02

8.60001E-02

8.51316E-02

8.61682E-02

9.14855E-02

1.08352E-01

2.39758E-01

8.33482E-02

7.00090E-02

5.13544E-02

3.91681E-02

3.14343E-02

2.64107E-02

2.32170E-02

2.15832E-02

2.19948E-02

2.72721E-02

8.60058E-02

7.61085E-02

4.81348E-02

2.95259E-02

2.07107E-02

1.58301E-02

1.28841E-02

1.10823E-02

1.01614E-02

1.03325E-02

1.31130E-02

4.54878E-02

Table 2.1 Replica of Table 8 from [Siewert, 2000b].

An additional benchmarking for VLIDORT was done against the results of Garcia and Siewert [Garcia and Siewert, 1989], this time for another slab problem with albedo 0.1. With VLIDORT set to calculate using only 20 discrete ordinate streams in the half space, tables 3-10 in [Garcia and Siewert, 1989] were reproduced to within 1 digit of six significant figures. This result is noteworthy because the radiative transfer computations in this paper were done using a completely different radiative transfer methodology (the so-called F_N method).

2.3.4 Weighting function verification

0.0

0.1

0.2

0.3

0.4

0.6

0.7

0.8

0.9

1.0

For the verification of analytically calculated Jacobians, it is convenient to validate the derivative by using a finite difference estimate (ratio of the small change in the Stokes vector induced by a small change in a parameter in one layer):

$$\mathbf{K}_{\xi} \equiv \frac{\partial \mathbf{I}}{\partial \xi} \approx \frac{\delta \mathbf{I}}{\delta \xi}.\tag{2.3.1}$$

This applies equally to column and surface Jacobians. In the VLIDORT release packages, all the installation programs involving linearization contain software which will carry out finite difference validation for all types of Jacobians calculation analytically.

A word of warning is in order about the use of finite differences in general. There are pitfalls associated with the finite differencing procedure in VLIDORT (quite apart from the arbitrariness and time-consuming nature of the exercise). In certain situations, a small perturbation of one or more of the Greek constants can give rise to a set of eigensolutions which cannot be compared (in a finite-difference sense) with those generated with the original unperturbed inputs. This internal consistency very occasionally upsets the finite difference validation.

2.4 Performance considerations

2.4.1 The delta-M approximation

In the scalar model, sharply peaked phase functions are approximated as a combination of a delta-function and a smoother residual phase function. This is the delta-M approximation [Wiscombe, 1977], which is widely used in discrete ordinate and other RT models. The delta-M scaled optical property inputs (optical thickness, single scatter albedo, phase function Legendre expansion coefficients) are:

$$\overline{\Delta} = \Delta(1 - \omega f); \quad \overline{\omega} = \omega \frac{(1 - f)}{(1 - \omega f)}; \quad \overline{\beta}_l = \frac{\beta_l - f(2l + 1)}{(1 - f)}. \tag{2.4.1}$$

The delta-M truncation factor is:

$$f = \frac{\beta_{2N}}{2N+1}. (2.4.2)$$

In VLIDORT, Legendre coefficients β_l appear as the (1,1) entry in matrix \mathbf{B}_l . In line with the scalar definition in terms of the phase function, we take in VLIDORT the truncation factor f as defined Eq. (2.4.2), and adopt the following scaling for the six entries in \mathbf{B}_l . Four coefficients $\{\alpha_l, \beta_l, \delta_l, \xi_l\}$ will scale as β_l in Eq. (2.4.1), while the other two coefficients γ_l and ε_l scale as $\tilde{\gamma}_l = \gamma_l/(1-f)$. This specification can also be found in [Chami et al., 2001] where a more detailed justification is presented. Scaling for the optical thickness and single scatter albedo in Eq. (2.4.1) is the same in the vector model. Linearizations of Eqs. (2.4.1) and (2.4.2) are straightforward, and these are discussed in [Spurr, 2002] for the scalar model.

2.4.2 Multiple solar zenith angle facility

In solving the RTE, the first step is always to determine solutions of the homogeneous equations in the absence of solar sources. This process does not need to be repeated for each solar beam source. In DISORT and earlier versions of LIDORT, only one solar zenith angle is specified, and the models must be called from scratch every time results are required for a new solar geometry. In the new code, the homogeneous solution is solved once before commencing the loop over solar geometries; for each solar beam geometry g, we generate a set of particular integral solutions P_g for our multi-layer atmosphere.

In solving the boundary value problem, we apply boundary conditions at all levels in the atmosphere, ending up with a large but sparse linear algebra system in the form $\mathbf{A}\mathbf{X}_g = \mathbf{B}_g$. Here, \mathbf{X}_g is the vector of integration constants appropriate to solar beam with geometry g, \mathbf{B}_g is the source term vector consisting of contributions from the set of particular integrals P_g , and the banded tri-diagonal matrix \mathbf{A} contains only contributions from the RTE homogeneous solutions. The inverse matrix \mathbf{A}^{-1} is determined once only, before the solar geometry loop starts. Calculating \mathbf{A}^{-1} is the most time consuming step in the

complete solution for the radiation field, and once completed, it is straightforward and fast to set the integration constants $\mathbf{X}_q = \mathbf{A}^{-1}\mathbf{B}_q$ by back substitution.

In summary then, two important operations on the homogeneous RT field are carried out before any reference to solar beam terms. Thus, the VLIDORT code has an internal loop over SZA angles. It is well known that convergence of the Fourier cosine azimuth series for the radiation field depends on the solar beam angle. We keep track of the convergence separately for each SZA; once the intensity field at our desired output angles and optical depths has converged for one particular SZA, we stop further calculation of Fourier contributions for this SZA, even though solutions at other SZAs still require further Fourier computations.

This multiple SZA feature was implemented at the outset in VLIDORT. This is a very substantial performance enhancement for VLIDORT, particularly in view of the increased time taken over the eigenproblem and the much larger BVP matrix inversion compared with the scalar code.

2.4.3 Solution-saving & BVP-telescoping

In DISORT and earlier versions of LIDORT, full solutions of the RTE are always computed in all layers and for all Fourier components, regardless of the scattering properties of the layer. If there is no scattering for a given Fourier component m and layer n, then the RTE solution is trivial – it is just the extinction across the layer with transmittance factors $T_n(\mu) = \exp(-\Delta_n/\mu)$, where μ is any polar direction and Δ_n is the layer optical thickness.

The "solution-saving" option is to skip numerical computations of RTE solutions in the absence of scattering. In this case, for N_d discrete ordinates $\{\mu_j\}$ in the half-space, the homogeneous solution vectors \mathbf{X}_j are trivial: they have components $\{\mathbf{X}_j\}_k = \delta_{jk}$. Separation constants are $\{\mu_j^{-1}\}$, with whole-layer transmittances given by $T_n(\mu_j) = \exp(-\Delta_n/\mu_j)$. Particular solution vectors are set to zero, since there is no scattering. Source function integration required for post-processing the solution at arbitrary polar direction is then a simple transmittance recursion using factors $T_n(\mu)$. Linearizations (optical parameter derivatives) of RTE solutions in any non-scattering layer are zero, and linearized solutions in adjacent scattering layers will be transmitted through $T_n(\mu)$. We note that if this transmittance propagation passes through layer n for which a linearization $\mathcal{L}[\Delta_n]$. exists, then the linearization will pick up an additional term $\mathcal{L}[T_n(\mu)] = -\mu^{-1}T_n(\mu)\mathcal{L}[\Delta_n]$.

Rayleigh scattering has a $P(\Theta) \sim \cos^2(\Theta)$ phase function dependency on scattering angle Θ . There is no scattering for Fourier components m > 2; solution-saving then applies to "Rayleigh layers" for which m > 2. For an atmosphere with mostly Rayleigh scattering layers and a limited number of aerosol or cloud layers, there will be a substantial reduction in RTE solution computations when the solution-saving option is turned on. In general, the phase function has a Legendre polynomial expansion $\Phi(\Theta) \sim \sum \beta_l P_l(\cos \Theta)$ in terms of moment coefficients β_l . For RTE solutions with N_d discrete ordinates, the phase function is truncated: β_{2N_d-1} is the last usable coefficient in the multiple scatter solution. In the delta-M approximation, β_{2N_d} is used to scale the problem and redefine the β_l for $0 \le l \le 2N_d - 1$. Solution-saving occurs when $\beta_l = 0$ for $m \le l \le 2N_d - 1$ there is then no scattering for Fourier component m and higher.

The solution-saving ansatz is standard in VLIDORT (versions 2.4 onwards) and LIDORT (version 3.5 and later).

A companion to solution-saving is "boundary value problem (BVP) telescoping". Whereas solution-saving focuses on saving computation time by avoiding unnecessarily re-computing solutions of the RTE, BVP-telescoping focuses on saving computation time related to solving the overall RTE boundary value problem. For example in a mostly Rayleigh atmosphere with a small number contiguous cloud layers, solution-saving for Fourier m > 2 allows us to develop a reduced or "telescoped" boundary value problem just for the few cloud-filled layers that are still scattering; once solved, BVP constants for other layers can be found through transmittance. A mathematical description of BVP-telescoping is given in the Adjunct of this User Guide. BVP-telescoping was introduced to VLIDORT and LIDORT at the same time as the solution-saving option.

2.4.4 Convergence with exact single scatter and direct-bounce contributions

The Nakajima-Tanaka TMS correction [Nakajima and Tanaka, 1988] has been a feature of LIDORT and VLIDORT from the outset. In essence, the correction involves an accurate or "exact" calculation of the single scatter (SS) contribution using the full phase function or scattering matrix (not the reduced forms arising from delta-M scaling). The full scattering quantities may be calculated from non-truncated general spherical function expansions, or they may be determined explicitly (Rayleigh) or arise directly from electromagnetic scattering code output. The correction will use scaled optical thickness values if delta-M scaling has been applied in the main RTE computations for multiple scattering (MS); however the single scattering albedo values are not scaled.

In the DISORT code, TMS is implemented by first taking away the truncated SS term $I_{SSTrunc}$ from the already-computed overall field (SS+MS), and replacing it with the exact term: $I' = I + I_{SSExact} - I_{SSTrunc}$; Fourier convergence is applied to the original computation for I. In VLIDORT, the SS term $I_{SSTrunc}$ is simply omitted from the start, with only the diffuse field being computed: $I' = I_{MS} + I_{SSExact}$, with Fourier convergence applied only to I_{MS} . Convergence is faster with the smoother and less peaked diffuse field, and the number of separate Fourier terms can be reduced by up to a third in this manner.

In earlier versions of VLIDORT, the converged diffuse field was established first, with the TMS exact scatter term applied afterwards as a correction. Following discussions with Mick Christi, it is apparent that an improvement in Fourier convergence can be obtained by first applying the TMS correction $I_{SSExact}$ and including it right from the start in the convergence testing. The rationale here is that the overall field has a larger magnitude with the inclusion of the $I_{SSExact}$ offset, so that the addition of increasingly smaller Fourier terms will be less of an influence on the total. This feature has now been installed in VLIDORT (Versions 2.1 and higher).

It turns out that a similar consideration applies to the direct-bounce intensity field (the direct solar beam reflected off the surface, with no atmospheric scattering). For a non-Lambertian surface with known BRDF, VLIDORT will calculate the upwelling field for each Fourier component - this includes the post-processed direct-bounce reflection as well as the diffuse and single scatter contributions. The complete Fourier-summed direct-bounce contribution is necessarily truncated because of the discrete ordinate approximation. It is possible to compute an accurate direct-bounce BRDF contribution for the direct beam, using the original viewing angles, and this $I_{DBExact}$ term will then replace the truncated contribution $I_{DBTrunc}$. This "direct-bounce" feature functions in the same way as the TMS correction: the truncated form $I_{DBTrunc}$ is simply not calculated, and the exact form $I_{DBExact}$ is computed right from the start as an initial correction, and included in the convergence testing along with the TMS correction $I_{SSExact}$. For sharply peaked strong BRDF surface contributions, this "DB correction" can be significant,

and may give rise to a substantial saving in Fourier computations, particularly for situations where the atmospheric scattering may be quite well approximated by a low number of discrete ordinates.

2.4.5 Enhanced efficiency for observational geometry output

In "operational" environments such as satellite atmospheric or surface retrieval algorithms, there is a common requirement for radiative transfer output at specific "solar zenith angle, viewing angle, relative azimuth angle" *observational geometry triplets*. Although VLIDORT has long had the capability for multi-geometry output, this capability is not efficient for generating output for geometry triplets. For example, if there are 4 such triplets, then previously VLIDORT was configured to generate 4x4x4=64 output radiances, that is, one RT output for each of the 4 solar zenith angles, each of the 4 viewing angles, and each of the 4 relative azimuth angles. One may view this as computing a "4x4x4 lattice cube of solutions", and this is suitable for building a look-up table (LUT). However for triplet output with 4 SZA values, we require only those solutions along the diagonal of this "lattice cube of solutions" (i.e. 4 instead of 64, one for each triplet); the other 60 solutions are redundant.

To enhance computational performance, VLIDORT has been given an *observational geometry* facility to bypass this redundancy. This facility is configured with a Boolean flag, a specific number of geometry triplets and the triplet angles themselves. In this configuration, a single call to VLIDORT will generate the discrete-ordinate radiation fields for each SZA in the given triplet set, and then carry out post-processing only for those viewing zenith and relative azimuth angles uniquely associated with the triplet SZA. One of the big time savings here is with the internal geometry routines in VLIDORT - in our example, we require 4 calls instead of 64.

Tables 2.2-2.4 give an idea of the improved efficiency gained by using this observational geometry feature ("ObsGeo") for a set of geometries, in lieu of doing a "Lattice" computation for the same set of geometries. The efficiency in each entry is given as the ratio of two CPU times: (ObsGeo time / Lattice time)*100%. Tests were made for several values of NSTREAMS, the number of half-space discrete ordinates (computational streams). The atmosphere/surface scenario in these tests is that used in the standard environment wrappers that come with the VLIDORT package: a 23-layer atmosphere with aerosol in the lowest 6 layers and a Lambertian surface (see section 5.2.2 for details). Table 2.2 refers to efficiency of intensity-only computations, whereas in Table 2.3 timings were compared for calculations with intensity, two column Jacobians and one surface Jacobian; in Table 2.4 calculation timings for intensity, three profile Jacobians and one surface Jacobian were compared.

Table 2.2 Efficiency of ObsGeo vs. Lattice computations for intensity (% ratio of CPU values).

	# of computational streams (half-space)			
# of geometries	2	4	6	8
1	101.2	99.7	100.2	100.4
2	95.4	96.0	98.2	88.6
3	85.6	88.8	94.0	95.1
4	72.0	75.9	83.7	87.0
5	60.0	69.8	84.8	85.6
6	52.1	68.4	80.7	83.7
7	41.2	62.8	77.3	82.4
8	34.3	57.4	73.5	80.8

Table 2.3 Efficiency of ObsGeo vs. Lattice computations for intensity, 2 atmospheric column Jacobians and 1 surface Jacobian (% ratio of CPU values).

	# of computational streams (half-space)			
# of geometries	2	4	6	8
1	101.5	100.0	100.1	100.4
2	90.8	92.3	96.7	88.4
3	75.7	81.6	86.1	89.4
4	59.9	66.0	79.4	86.6
5	46.5	66.0	76.5	81.3
6	36.0	54.9	70.2	77.9
7	28.7	47.6	64.6	74.8
8	23.0	41.5	59.0	71.7

Table 2.4 Efficiency of ObsGeo vs. Lattice computations for intensity, 3 atmospheric profile Jacobians and 1 surface Jacobian (% ratio of CPU values).

	# of computational streams (half-space)			
# of geometries	2	4	6	8
1	102.7	99.9	100.2	100.3
2	88.5	88.2	94.0	86.1
3	73.7	77.3	83.3	83.2
4	59.7	64.1	71.6	75.7
5	48.6	55.3	67.4	71.7
6	39.1	49.6	61.8	67.8
7	31.7	44.1	57.1	62.9
8	25.9	39.0	51.8	59.5

2.4.6 Model upgrades to ensure thread safety in OpenMP

VLIDORT Version 2.8.2 has the capability to run in the OpenMP distributed parallel-computing system. This development was done for Version 2.7, and it has necessitated some important structural changes to the software. In VLIDORT, this required the removal of many "SAVE" statements as the arrays that have this attribute are shared among the parallel OpenMP threads and can be sources of anomalous computational behavior.

The model has two additional inputs that are OpenMP related. These are purely for debug purposes and the user should ignore them - they are the actual thread number and the "TID" (thread identification index). Section 5.2.8 contains a description of the environment programs in the VLIDORT package used for testing VLIDORT in an OpenMP environment; plus some additional comments on OpenMP usage.

2.4.7 VLIDORT and single-scatter computations

Following user feedback from a number of individuals, VLIDORT now has the capability to return just the single-scatter Stokes vector. This option is controlled by the DO_FULLRAD_MODE and DO_FOCORR flags. If DO_FULLRAD_MODE is not set but DO_FOCORR is set, the VLIDORT multiple

scatter calculation is avoided altogether and the model returns only the single-scattered Stokes vectors and Jacobians. The above single scatter corrections (section 2.4.5) then apply for atmospheric scattered light; and it is only necessary to include for the upwelling field the direct-beam surface reflectance transmitted through the atmosphere.

REMARK on internal First-Order code

In Version 2.7, an alternative stand-alone single-scatter module was added internally to VLIDORT. This is the FO ("first-order") module. This is completely stand-alone, with its own I/O which is completely separate from that of VLIDORT itself. There is a dedicated interface routine for calling this module from VLIDORT - this interface will first construct the necessary FO inputs from the VLIDORT control and optical inputs, then call the FO module itself, and finally copy the FO output into the VLIDORT SS arrays for ongoing use inside VLIDORT.

The user does not see this FO operation, as it is completely internal within VLIDORT. In Version 2.7, the top-level Boolean flag (DO_FO_CALC) was introduced to control usage of this FO code; it was required to be hard-wired by the user.

In Versions 2.8 and later editions, this dedicated FO code has now completely replaced the previously "VLIDORT-native" single-scatter routines present in VLIDORT 2.7 and earlier versions. This FO module has the same single scatter options as the previous single-scatter correction routines, namely, it will calculate a "Nadir" SS correction when the pseudo-spherical approximation by itself is in force (i.e. only the incoming solar beam is treated in spherical geometry), as opposed to the more accurate "Outgoing sphericity" calculation in which *both* the incoming solar beam and outgoing line-of-sight paths are treated spherically. Choices for FO calculations are governed by the renamed top-level flag DO_FOCORR (which may be set in the usual manner through file-read inputs), and two mutually exclusive flags DO_FOCORR_NADIR and DO_FOCORR_OUTGOING which control the choice of single-scatter sphericity as noted above.

For the "Nadir" SS calculations, the FO results are the same as those from VLIDORT's previously native SS-correction software. However, results for "outgoing" SS corrections are slightly different, as the FO code uses a more natural Gaussian quadrature scheme for evaluating integrals over optical depth (as opposed to a Trapezium scheme for these integrals in the old code). The number of quadrature points is set by the VLIDORT input variable NFINELAYERS.

Also from user feedback, it was noted that the previous internal "outgoing" SS correction routine produced spurious results in the presence of optically thick cloud layers ($\tau > 5$) - this was caused by inaccurate SS integration when the solar beam attenuation is vanishingly small. This bug has been remedied in the FO code through the use of a "criticality" mechanism, which detects cases when solar beam extinction occurs in optically thick layers, and truncates the scatter integrals to reduced layers for which attenuation is non-vanishing.

In the VLIDORT 2.8.2 code, the FO code is now able to directly ingest F-matrix input. These accurate F-matrices are pre-computed inputs to the main VLIDORT calculation, and it is no longer necessary to retain all expansion coefficients inside VLIDORT. Indeed, the FO code has dispensed with expansion coefficients altogether (a limited number of these coefficients are needed for the multiple-scatter calculation). The reader should refer to the VLIDORT 2.8.2 addendum in Chapter 7.

3. The VLIDORT 2.8.2 Package

3.1 Overview

The VLIDORT "tarball" package comes as a zipped Tar file. The package directory structure is summarized in Figure 3.1. From the parent directory, there are 10 upper level subdirectories, including one for the main source code (vlidort_main), one for VLIDORT's Fortran 90 input/output type structure definition files (vlidort_def), two for scalar and vector radiative transfer testing environments (vlidort_s_test and vlidort_v_test), one for first order source code (fo_main) and one for VLIDORT supplement files (vsup). Object code, Fortran 90 mod files, VLIDORT package utilities and VLIDORT documentation are also stored in separate directories. We note that the subdirectory names of vlidort_main, fo_main, vbrdf, and vsleave may contain a special version designation (e.g. vlidort_main_FO_1p5, fo_main_1p5_NEW, etc....) as capability is added to these source modules over time.

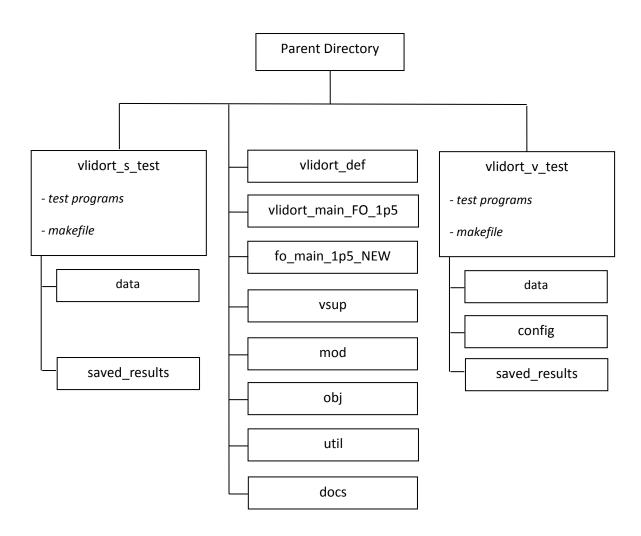


Figure 3.1. Directory structure for the VLIDORT installation package.

The test environment directories "vlidort s test" and "vlidort v test" contain several examples of calling programs for the VLIDORT code, along with associated makefiles, input configuration files to read control options, and pre-prepared atmospheric setup data file(s) containing optical property inputs. There is also an archive of results files in both "vlidort s test" and "vlidort v test" in the subdirectory "saved results", with which the user may compare after running the installation tests. Both the "gfortran" and "ifort" subdirectories of this subdirectory themselves contain subdirectories "obsgeo" and "nstokes3" which contain results for tests using the observational geometry feature and "NSTOKES=3" tests (not shown in the figure). Object and module files for the VLIDORT code are stored in the directories "obj" and "mod" (the "makefile" ensures this is done). As mentioned above, the VLIDORT source code is stored in subdirectories "vlidort main" which contains the subroutines and "vlidort def" which contains VLIDORT I/O type structure definitions along with the file "vlidort pars.f90" of constants, dimensioning parameters and floating-The "docs" directory contains the VLIDORT user documentation, while point type definitions. directory "util" has VLIDORT package utilities. Finally, the "vsup" subdirectory contains the source code of VLIDORT supplements (currently the VBRDF, VSLEAVE, and VFZMAT supplements and some supplement accessories that can be employed when VLIDORT is used in conjunction with either the VBRDF or VSLEAVE supplement).

Accompanying these subdirectories are several bash shell scripts in the parent directory. These are used to run the installation tests and compare with archived results and are discussed in section 3.3.

3.2 Source code Directories

3.2.1 vlidort_def

This directory contains the following VLIDORT I/O type structure definition module files:

- vlidort_io_defs.f90
- vlidort_inputs_def.f90
- vlidort_outputs_def.f90
- vlidort work def.f90
- vlidort_sup_def.f90
- vlidort sup brdf def.f90
- vlidort_sup_sleave_def.f90
- vlidort_sup_ss_def.f90
- vlidort_lin_io_defs.f90
- vlidort lin inputs def.f90

- vlidort_lin_outputs_def.f90
- vlidort_lin_work_def.f90
- vlidort_lin_sup_def.f90
- vlidort_lin_sup_brdf_def.f90
- vlidort_lin_sup_sleave_def.f90
- vlidort lin sup ss def.f90
- vlidort pars.f90*

*Note: there are currently four versions of this file in the "vlidort_def" subdirectory. The file labeled "vlidort_pars.f90" is the active file which contains general parameter settings; the other three such parameter files contain particular settings needed in running specific package tests.

3.2.1.1 File "vlidort pars.f90"

Module "vlidort_pars.f90" contains all symbolic dimensioning parameters (integers), plus a number of fixed constants and numbers. This parameter file must be declared in every module (this includes all environment driver programs). There is a group of basic dimensioning numbers which are pre-set; this basic group is listed in Table 3.1. All other dimensioning parameters are combinations of this basic group, and are not described here (however, see the remark at the end of this section).

Table 3.1 Key parameters and dimensions in "vlidort_pars.f90"

Name	Туре	Description
MAXSTREAMS	Dimension	Maximum number of half-space <i>quadrature</i> streams.
MAXLAYERS	Dimension	Maximum number of layers in the atmosphere.
MAXFINELAYERS	Dimension	Maximum number of fine layers per coarse layer, required
		for the exact single scatter ray-tracing
MAXMOMENTS_INPUT	Dimension	Maximum number of <i>input</i> scattering matrix expansion
		coefficients. Set to at least twice MAXSTREAMS.
MAX_THERMAL_COEFFS	Dimension	Maximum number of thermal coefficients (2)
MAX_SZANGLES	Dimension	Maximum number of solar zenith angles
MAX_USER_VZANGLES	Dimension	Maximum number of user-defined off-quadrature viewing
		zenith angles
MAX_USER_RELAZMS	Dimension	Maximum number of user-defined relative azimuth angles
MAX_USER_OBSGEOMS	Dimension	Maximum number of user-defined observational geometry
		angle triplets.
MAX_USER_LEVELS	Dimension	Maximum number of user-defined output levels
MAX_PARTLAYERS	Dimension	Maximum allowed number of <i>off-grid</i> (non-layer boundary)
		output levels. This number should always be less than
MAY HAVEOD HEDWO	D: :	MAX_USER_LEVELS.
MAX_TAYLOR_TERMS	Dimension	Maximum number of terms for Taylor series expansions.
MAXSTOKES	Dimension	Maximum number of Stokes parameters
MAX_DIRECTIONS	Dimension	Maximum number of directions (2), up/down
MAX_BRDF_KERNELS	Dimension	Maximum number of BRDF kernels
MAX_BRDF_PARAMETERS	Dimension	Maximum number of BRDF parameters allowed per kernel
MAXSTREAMS_BRDF	Dimension	Maximum number of azimuth-quadrature streams for BRDF
MAN MODO MIJOHAD	Dimension	Fourier Service Servic
MAX_MSRS_MUQUAD	Dimension	Maximum number of zenith-quadrature streams for multiple
MAY MCDC DIITOIIAD	Dimension	scatter reflectance
MAX_MSRS_PHIQUAD	Difficusion	Maximum number of azimuth-quadrature streams for multiple scatter reflectance
MAXSTREAMS SCALING	Dimension	Maximum number of quadrature streams for internal
MAASIREAMS_SCALING	Difficusion	WSA/BSA scaling.
MAX ATMOSWFS	Dimension	Maximum number of atmospheric Jacobians
MAX SURFACEWFS	Dimension	Maximum number of surface property Jacobians
MAX SLEAVEWFS	Dimension	Maximum number of surface-leaving Jacobians.
MAX MESSAGES	Dimension	e
MAY MESSAGES	Dimension	Maximum number of error messages for error handling

HOPITAL_TOLERANCE	Constant	If the difference between any two polar angle cosines is less
		than ε, L'Hopital's Rule is invoked to avoid singularity.
OMEGA_SMALLNUM	Constant	If any total layer single scattering albedo is within ε of unity,
		then its value will be reset to 1- ϵ . Current value 10^{-15}
MAX_TAU_SPATH,	Constants	If the solar (S), viewing (U) or quadrature (Q) stream optical
MAX_TAU_UPATH,		thickness exceeds the respective limit, then corresponding
MAX_TAU_QPATH		transmittances will be set to zero. Current values all 88.

These basic dimensioning numbers should be altered to suit memory requirements and/or a particular application. For example, if a calculation with clouds is required, allowance should be made for a large number of scattering matrix expansion coefficients and quadrature streams (discrete ordinates), so that dimensions MAXSTREAMS and MAXMOMENTS_INPUT should be increased as required. It is only necessary to go into the "vlidort_pars.f90" file in order to change the dimensioning parameters. Re-compilation with the makefile is then carried out to build the executable; whenever a change is made to one of the fundamental dimensions, it is recommended to use the "make clean" instruction to remove existing object, module and executable files before starting the compilation anew.

In addition to the basic dimensioning parameters, "vlidort_pars.f90" also contains fixed numbers such as π , 0.0, 1.0, some fixed character strings used for output formatting, and some file output numbers. A number of critical physics numbers are specified in this file. In particular, note the use of a toggle (OMEGA_SMALLNUM) to avoid the conservative scattering case when the total single scattering albedo is exactly unity.

The following five indices are also used to indicate error status for the package or any part of it:

- VLIDORT_SUCCESS = 0 (status index for a successful execution; no log-output).
- VLIDORT_DEBUG = 1 (status index for a debug execution; debug log-output).
- VLIDORT_INFO = 2 (status index for a successful execution; informational log-output).
- VLIDORT_WARNING = 3 (status index for a successful execution; warning log-output).
- VLIDORT SERIOUS = 4 (status index for an aborted execution; failure log-output).

If the output is not completely successful in any way (status not equal to VLIDORT_SUCCESS), then the model's exception handling system will generate a number of error messages, divided into two types: (1) messages from the checking of input optical properties and control variables, and (2) messages and subroutine traces arising from a failed execution. The "Warning" status was introduced in Version 2.4 to deal with incorrect user-defined input values that can be re-set internally to allow the program to complete.

There is an additional set of indices for the BRDF kernels. Thus for a polarized Cox-Munk ocean glitter reflection, the index name is GISSCOXMUNK_IDX and has the value 10. These indices apply only to the BRDF supplement software; more details are found in section 6.

Remark (versions 2.6-2.8.1). There is a derived dimension MAX_GEOMETRIES which is used for the main VLIDORT output arrays in Table D2 and Tables H1-H3 in section 6.1.1. This has traditionally been set to:

```
MAX GEOMETRIES = MAX SZANGLES*MAX USER VZANGLES*MAX USER RELAZMS
```

This is suitable for lattice-option output and look-up table preparation. This number can be large and the output arrays using much memory. For the observational geometry option (section 3.3.7), MAX GEOMETRIES can be set to MAX USER OBSGEOMS, and this will save memory.

3.2.1.2 Definition files – I/O type structures

In this section, we list the type structures that classify the input and output variables to the Fortran 90 code. An overview is presented in Table 3.2 below. Each type structure variable is specified by its type, assigned I/O intent, and an individual table detailing the components variables - these individual tables are found in Appendix 6.1. For the most part, the structures are based on the Fortran 77 "Include" files featured in older versions of VLIDORT. Note the structure levels cited in the second column of Table 3.2. Primary structures (level 1) are required for VLIDORT call statements whereas structures with level > 1 are embedded within their associated parent structures.

For the main VLIDORT program <code>vlidort_masters.f90</code>, the I/O variables are divided into four level-1 type structures: three input and one output. The three main input-structure variables and their component structures are specified in Tables A1-A8, B1-B7 and C1-C4 in Appendix 6.1. The main output structure and its components (which return intensities and fluxes) are listed in tables D1-D4. For calls to <code>vlidort_lcs_masters.f90</code> or <code>vlidort_lps_masters.f90</code>, we require these four structures plus four additional linearized ones: again, three input and one output. The three main linearized input structure variables and their component structures are specified in tables E1-E3, F1-F2 and G1-G4. The main linearized output structure and its components (which return the column atmospheric, profile atmospheric, general atmospheric and surface property Jacobians) are listed in tables H1-H5.

Most inputs are "Intent(in)", but a few may be modified during a VLIDORT call as the result of an internal input check - if the check fails in some manner, the code will generate a warning message that a particular input has been given a default value in order to proceed with code execution - such inputs are designated "Intent(in out)". The type structures which contain input variables which can be internally modified carry a "Modified" label in their name. All output type structure variables are "Intent(out)".

Table 3.2 Summary of VLIDORT I/O Type structures

VLIDORT I/O Type Structure	Structure Level	Intent	Table #
VLIDORT_Fixed_Inputs	1	Input	A1
VLIDORT_Fixed_Boolean	2	Input	A2
VLIDORT_Fixed_Control	2	Input	A3
VLIDORT_Fixed_Sunrays	2	Input	A4
VLIDORT_Fixed_UserValues	2	Input	A5
VLIDORT_Fixed_Chapman	2	Input	A6
VLIDORT_Fixed_Optical	2	Input	A7
VLIDORT_Fixed_Write	2	Input	A8
VLIDORT_Modified_Inputs	1	Input/Output	B1
VLIDORT_Modified_Boolean	2	Input/Output	B2
VLIDORT_Modified_Control	2	Input/Output	В3
VLIDORT_Modified_Sunrays	2	Input/Output	B4

VLIDORT_Modified_UserValues	2	Input/Output	B5
VLIDORT_Modified_Chapman	2	Input/Output	B6
VLIDORT_Modified_Optical	2	Input/Output	B7
VLIDORT_Sup_InOut	1	Input/Output	C1
VLIDORT_Sup_BRDF	2	Input	C2
VLIDORT_Sup_SS	2	Input/Output	C3
VLIDORT_Sup_SLEAVE	2	Input	C4
VLIDORT_Outputs	1	Output	D1
VLIDORT_Main_Outputs	2	Output	D2
VLIDORT_Exception_Handling	2	Output	D3
VLIDORT_Input_Exception_Handling	2	Output	D4
VLIDORT_Fixed_LinInputs	1	Input	E1
VLIDORT_Fixed_LinControl	2	Input	E2
VLIDORT_Fixed_LinOptical	2	Input	E3
VLIDORT_Modified_LinInputs	1	Input/Output	F1
VLIDORT_Modified_LinControl	2	Input/Output	F2
VLIDORT_LinSup_InOut	1	Input/Output	G1
VLIDORT_LinSup_BRDF	2	Input	G2
VLIDORT_LinSup_SS_InOut	2	Input/Output	G3
VLIDORT_LinSup_SS_Col	3	Input/Output	G3-1
VLIDORT_LinSup_SS_Prof	3	Input/Output	G3-2
VLIDORT_LinSup_SS_Surf	3	Input/Output	G3-3
VLIDORT_LinSup_SLEAVE	2	Input	G4
VLIDORT_LinOutputs	1	Output	H1
VLIDORT_LinCol	2	Output	H2
VLIDORT_LinProf	2	Output	Н3
VLIDORT_LinAtmos	2	Output	H4
VLIDORT_LinSurf	2	Output	H5

Many input variables may be set by either writing explicitly coded statements in the calling program or reading entries from an ASCII-type input configuration file. In the latter case, one can use dedicated VLIDORT software to read this file. This file-read software looks for character strings which indicate the input variable or variables to be assigned. We discuss this in more detail in section 3.3.2 below. Where appropriate, all input variables are checked for consistency inside the VLIDORT package, before execution of the main radiative transfer modules.

Note that these three master modules are renamed in VLIDORT 2.8.2; respectively, they are now named vlidort_rtcalc_master.f90, vlidort_rtcalc_lcsmaster.f90 and vlidort_rtcalc_lpsmaster.f90. There is also a new "setups master" routine for precalculating geometry and bookkeeping quantities; the function of this routine is outlined in Chapter 7 in the VLIDORT 2.8.2 addendum. For the rest of this section we follow the nomenclature for the 2.8 and 2.8.1 VLIDORT versions.

3.2.2 vlidort main

The main VLIDORT source code module files are listed in Table 3.3. Here, we make some notes on usage and connectivity. All subroutines start with the declaration of VLIDORT_PARS module.

The three top-level "master" module files are called from user-defined environments, and this is where the input and output are needed. All other subroutines are called from these masters. vlidort_masters is appropriate for the production of radiances and mean-value (flux/actinic flux) output. vlidort_lcs_masters is required for calculations of radiances, column (bulk property) atmospheric Jacobians, and surface property Jacobians. vlidort_lps_masters is required for calculations of radiances, profile atmospheric Jacobians, and surface property Jacobians. Each top-level master contains a loop over the Fourier cosine/sine azimuth series, plus the associated Fourier component subroutine.

For setting input variables for a non-Jacobian calculation, the user can invoke subroutine VLIDORT_INPUT_MASTER, which should be called in the user environment before the main call to VLIDORT_MASTERS (see below in section 3.3 for a pseudo-code example). This subroutine requires the use of a configuration file, which is read by a dedicated subroutine called in VLIDORT_INPUT_MASTER and based around the FINDPAR tool (discussed in section 3.3.2). For calculations with Jacobians using either the LCS or LPS Master module, the user can call the subroutine VLIDORT_L_INPUT_MASTER to generate inputs (including linearization control) by configuration file reading.

Module files required by all three masters.

We now give a description of the other module files in Table 3.3. All input functions are contained in vlidort_inputs. These are subroutines to initialize inputs and read them from file, to check the inputs for mistakes and inconsistencies, and to derive input variables for bookkeeping (for example, sorting the stream angles input, sorting and assigning masks for optical depth output).

Subroutines in vlidort_miscsetups are executed before the main Fourier component subroutine is called. Set-up routines include the Delta-M scaling and the preparation of all optical depth exponentials (transmittances). The solar beam Chapman function calculation for the curved atmosphere, and the ray tracing along the line of sight (required for exact single scatter corrections) are contained in vlidort geometry.

Multipliers used in solving homogeneous and particular solutions of the radiative transfer problem are computed in vlidort_multipliers. In vlidort_thermalsup, subroutines for setting up thermal emission quantities and computing thermal emission solutions are found. vlidort_taylor contains the subroutines for applying the Taylor multipliers within VLIDORT where needed. Module vlidort_mediaprops can generate transmissions and reflections for each homogenous layer in the atmosphere.

The module vlidort_solutions solves the discrete ordinate radiative transfer equation. There are subroutines for determining the eigensolutions and separation constants from the homogeneous equation, plus the particular integral for the solar source. vlidort_bvproblem applies the boundary value conditions in a multi-layer atmosphere with reflecting surface, and

solves the boundary-value problem (constants of integration) using an accelerated band-compression linear algebra method; there are also subroutines dealing with the telescoped boundary value formulation.

In vlidort_intensity, we compute intensities at user-defined optical depths and stream
angles; this is the post-processing (source function integration). This module also contains
computations of the mean-value output (actinic and regular fluxes). Module
vlidort_vfo_interface serves as an interface between VLIDORT and the vector first-order
(FO) master routine, while vlidort_writemodules contains subroutines to write control
inputs and scene inputs received by VLIDORT and outputs generated by VLIDORT.

Table 3.3. Module files in VLIDORT main source code directory.

	in vendort main source cou	
vlidort_masters_V2p8p2	Intensity Only	Called from user environment
vlidort_lcs_masters_V2p8p2	Intensity + Column & Surface Jacobians	Called from user environment
vlidort_lps_masters_V2p8p2	Intensity + Profile & Surface Jacobians	Called from user environment
vlidort_inputs	Reads (from file) variables in some Input type structures	Contains a master routine called optionally in user environments before calls to any of the 3 masters. Also contains input checking and other routines called by all 3 masters.
vlidort_miscsetups	Set-up pseudo-spherical and transmittances	Called by all 3 Masters
vlidort_geometry	Spherical geometry	Called by all 3 Masters
vlidort_multipliers	Homogeneous & particular solution multipliers	Called by all 3 Masters
vlidort_thermalsup	Thermal computations	Called by all 3 Masters
vlidort_solutions	Solves RT Equations in discrete ordinates	Called by all 3 Masters
vlidort_bvproblem	Creates and Solves Boundary Value problem	Called by all 3 Masters
vlidort_intensity	Post processing of RT solution	Called by all 3 Masters
vlidort_Taylor	Taylor expansion multipliers	Called by all 3 Masters
vlidort_writemodules	Writes VLIDORT I/O to files	Called by all 3 Masters
vlidort_transflux_MK3	Interface between VLIDORT and calculation of self-consistent atmospheric transmission for use with Water-leaving surfaces	Called by all 3 Masters
vlidort_vfo_interface	Interface between VLIDORT and the vector first order master	Called by vlidort_masters
vlidort_aux	Auxiliary code (Eigensolver, Findpar, etc.)	Called by all 3 Masters
vlidort_mediaprops	Homogeneous layer transmissions & reflections	Called by all 3 Masters
vlidort_pack	Packs certain standard internal variables into internal type structures	Called by all 3 Masters
vlidort_unpack	Unpacks certain standard internal variables from internal type	Called by all 3 Masters

	structures	
vlidort_1_inputs	Reads (from file) variables in some Input type structures	Contains a master routine called optionally in user environments before calls to LCS or LPS Master
vlidort_la_miscsetups	Linearized pseudo-spherical and transmittances	Called by LCS or LPS Master
vlidort_l_thermalsup	Linearized thermal computations	Called by LCS or LPS Master
vlidort_lpc_solutions	Linearized RTE solutions	Called by LCS or LPS Master
vlidort_lpc_bvproblem	Solution Linearized boundary value problems	Called by LCS or LPS Master
vlidort_l_writemodules	Writes VLIDORT linearized I/O to files	Called by LCS or LPS Master
vlidort_lbbf_jacobians	Atmospheric and surface blackbody Jacobians	Called by LCS or LPS Master
vlidort_l_pack	Packs certain linearized internal variables into internal type structures	Called by LCS or LPS Master
vlidort_l_unpack	Unpacks certain linearized internal variables from internal type structures	Called by LCS or LPS Master
vlidort_ls_wfsurface	Post-processing of surface property Jacobians	Called by LCS or LPS Master
vlidort_ls_wfsleave	Post-processing of surface- leaving Jacobians	Called by LCS or LPS Master
vlidort_lc_miscsetups	Set-up linearization of column transmittances	Called by LCS Master
vlidort_lc_solutions	Linearized RTE solutions	Called by LCS Master
vlidort_lc_bvproblem	Solution of Linearized boundary value problems	Called by LCS Master
vlidort_lc_wfatmos	Post-processing of atmospheric Jacobians	Called by LCS Master
vlidort_lc_pack	Packs certain linearized column internal variables into internal type structures	Called by LCS Master
vlidort_lc_unpack	Unpacks certain linearized column internal variables from internal type structures	Called by LCS Master
vlidort_lc_mediaprops	Linearized homogeneous layer transmissions & reflections for column Jacobians	Called by LCS Master
vlidort_vfo_lpc_interface	Interface between VLIDORT and the vector linearized first order master	Called by vlidort_lcs_masters
vlidort_lp_miscsetups	Set-up linearization of profile transmittances	Called by LPS Master
vlidort_lp_solutions	Linearized RTE solutions	Called by LPS Master
vlidort_lp_bvproblem	Solution of Linearized boundary value problems	Called by LPS Master
vlidort_lp_wfatmos	Post-processing of atmospheric Jacobians	Called by LPS Master
vlidort_lp_pack	Packs certain linearized profile internal variables into internal type structures	Called by LPS Master

vlidort_lp_unpack	Unpacks certain linearized profile internal variables from internal type structures	Called by LPS Master
vlidort_lp_mediaprops	Linearized homogeneous layer transmissions & reflections for profile Jacobians	Called by LPS Master
vlidort_vfo_lps_interface	Interface between VLIDORT and the vector linearized first order master	Called by vlidort_lps_masters
vlidort_get_planck	Generates Planck intensities and Jacobians	Called from user environment

The vlidort_pack and vlidort_unpack modules are utility modules for packing/unpacking certain standard internal variables to/from internal type structures and the module vlidort_transflux_master contains special Fourier = 0 component calculations for the atmospheric downwelling flux at TOA. Finally, in vlidort_aux, there are standard numerical routines for the eigenproblem solution (based on ASYMTX as used in DISORT) and Gauss quadrature evaluation. This module also contains the input file-read tool FINDPAR, and some exception handling software.

The modules lapack_tools and lapack_z16_tools are compilations of LAPACK subroutines used in VLIDORT (e.g. eigensolver DGEEV, linear algebra modules DGETRF/DGETRS and DGBTRF/DGBTRS, plus other routines). More details in Section 3.5

Module files required for Jacobian calculations.

The module vlidort_lcs_masters calculates column atmospheric Jacobians and surface property Jacobians in addition to the radiance and mean-value fields, while the module vlidort_lps_masters returns profile atmospheric Jacobians and surface property Jacobians in addition to the radiance and mean-value fields. All linearized input functions are contained in vlidort 1 inputs.

Module vlidort_la_miscsetups and vlidort_lpc_solutions are shared by the two linearization masters and apply to both types of atmospheric property Jacobian. The first computes linearizations of the delta-M, single-scatter albedo, and transmittance setups for each layer optical property and are called early in the main Fourier loop, while the second gives linearizations of the eigenvalue and particular integral RTE solutions. Setups and source terms required for linearized thermal computations are located in the module vlidort_lthermalsup. Along with this, the module vlidort_lbbf_jacobians computes linearized quantities required for atmospheric and surface blackbody Jacobians.

Module vlidort_lpc_bvproblem is also shared by the 2 linearization masters and apply to both types of atmospheric property Jacobian. It contains help subroutines used in solving the linearized boundary-value problem. Subroutines to write linearized inputs received by VLIDORT are located in vlidort 1 writemodules.

The complete generation of column weighting functions is governed by the following five module files, namely: vlidort_lc_bvproblem, vlidort_lc_wfatmos, vlidort_lc miscsetups, and vlidort_lc solutions. The first solves the linearized

boundary-value problem (constants of integration) in a multi-layer atmosphere; this requires only the setup of linearized vectors for the L-U back-substitution (also contains modules dealing with linearization boundary value telescoping). The second is the post processing solution -generation of column Jacobians at arbitrary optical depths and user line-of-sight angles, the Fourier cosine-series convergence for these Jacobians, and the derivation of weighting functions for the mean-value fields. The third generates transmission-related quantities for the column weighting functions. The last develops the linearized beam solutions for the linearized column RT problem. These routines are only called by the master subroutine vlidort lcs master.

The complete generation of profile atmospheric weighting functions is similarly determined by four module files: vlidort_lp_bvproblem, vlidort_lp_wfatmos, vlidort_lp_miscsetups, and vlidort_lp_solutions. These routines are only called by the master subroutine vlidort lps master.

Finally, modules vlidort_ls_wfsurface and vlidort_ls_wfsleave are called by either linearization master. The first solves the linearized boundary-value problem (constants of integration) for these surface-property Jacobians and develops the associated post-processing solution, while the other linearizes the surface-leaving radiation source (if present) with respect to selected properties (such as fluorescence magnitude) characterizing this source. Modules vlidort_lc_mediaprops and vlidort_lp_mediaprops can generate linearized transmissions and reflections for each homogenous layer in the atmosphere as they relate to column and profile Jacobians. Modules vlidort_vfo_lcs_interface and vlidort_vfo_lps_interface serve as interfaces between their associated linearized masters and corresponding vector first-order (FO) linearized master routines.

In a manner similar to the previously mentioned vlidort_pack and vlidort_unpack modules, the modules vlidort_l_pack, vlidort_l_unpack, vlidort_lc_pack, vlidort_lc_unpack, vlidort_lp_pack, and vlidort_lp_unpack are utility modules for packing/unpacking certain linearized internal variables into/from internal type structures during linearized calculations.

Module files for input preparation.

In addition to the source-code modules in directory <code>vlidort_main</code>, VLIDORT also makes use of some additional modules for performing various tasks for the preparation of input. Currently, there is just one: <code>vlidort_getplanck</code>. For a given temperature, this will generate the associated Planck black-body function and its temperature derivative. Stand-alone supplements used by VLIDORT (such as the BRDF supplement) may be found in the appendices.

3.3 Calling VLIDORT, Configuration files, Makefiles, Installation

Next, an example of a calling environment for VLIDORT is discussed in section 3.3.1, followed by some comments in section 3.3.2 regarding input configurations files. Section 3.3.3 contains some information concerning the Makefiles that come with the VLIDORT package - these are for use in a Unix/Linux operating environment. In section 3.3.4, some information regarding the installation tests that come with the VLIDORT package is supplied. In addition, we present descriptions of some simple scripts to run the installation tests in a Unix/Linux operating environment. Makefiles for handling these installation tests in the Microsoft® Windows®

environment is planned. Finally, section 3.3.5 contains some helpful tips for setting VLIDORT inputs.

3.3.1 Calling environment – an example

We show how the master VLIDORT module is used within a calling environment by means of a simple example in the form of a schematic computational sequence (pseudo-code). Comment lines are prefaced by the symbol "!". This is a calling environment for a basic calculation of intensity (no Jacobians) for a number of different scenarios.

VLIDORT execution is controlled by a single subroutine VLIDORT_MASTER, which is called once for each scenario. In the example here, the main loop is preceded by a call to the subroutine VLIDORT_INPUT_MASTER in order to read the appropriate input from the configuration file VLIDORT.inp (passed as a subroutine argument). If the STATUS_INPUTREAD integer output is not equal to VLIDORT_SUCCESS, the program stops and the user should examine the exception-handling errors by calling the VLIDORT_WRITE_STATUS subroutine. It is possible for the user to dispense with this kind of file-read input set-up and assignment, and simply assign input variables explicitly in hard-wired statements. However, this requires a certain level of confidence in the model! In the next section, we discuss a typical configuration file.

The subroutine output STATUS_INPUTCHECK is available for the checking of the input data once the file-read is complete. Checking is internal to VLIDORT and is done first before any radiative transfer. If this integer output is equal to VLIDORT_SERIOUS, VLIDORT will exit without performing any calculations; if it equals VLIDORT_WARNING, the model will execute but it means that some of the input is incorrect and that VLIDORT has reverted to a default input and carried on with this default. If there is a fatal error during the execution of VLIDORT, then the model will bypass any further calculation and exit with an error message and 3 error traces to indicate the source of the error. In this case, the STATUS_CALCULATION integer output will have the value VLIDORT_SERIOUS. There are no warnings here; all errors in execution are fatal. More details on the exception handling are in section 3.5.

```
Program main_VLIDORT
! Module files for VLIDORT
    USE VLIDORT_PARS
    USE VLIDORT_IO_DEFS

    USE VLIDORT_INPUTS
    USE VLIDORT_MASTERS
! Negate implicit typing
    implicit none
! Status declarations
    INTEGER :: STATUS_INPUTCHECK, STATUS_CALCULATION
! Initialize status variables to 0
    STATUS_INPUTCHECK=0; STATUS_CALCULATION=0
! Determine File-read Control variables in Input Structures
```

```
call VLIDORT INPUT MASTER &
      ('VLIDORT.inp', & ! Input
VLIDORT_FixIn, & ! Outputs
VLIDORT_ModIn, & ! Outputs
        VLIDORT InputStatus ) ! Outputs
  Set number of threads (e.g. number of wavelengths)
  nthreads = 8
! Assign Physical (Optical property) input variables for all threads:
  call USER VLIDORT PREPARE
! Start thread loop; this can be put in OPEN MP environments
   do i = 1, nthreads
! VLIDORT master call and error check
     call VLIDORT MASTER ( &
          VLIDORT FixIn, &
          VLIDORT ModIn, &
          VLIDORT Sup,
          VLIDORT Out )
     call VLIDORT WRITE STATUS ( &
          STATUS FILE NAME, &
          STATUS FILE UNIT, &
          STATUS FILE FLAG, &
          VLIDORT Out%Status )
! End thread or wavelength loop
   end do
! finish
   write user-defined output arrays
end program main VLIDORT
```

3.3.2 Configuration file discussion

In the previous section, we noted that a call to subroutine VLIDORT_INPUT_MASTER enables variables to be assigned from a configuration file of inputs. This process assigns values to most (but not all) of the variables in the input Type Structures. The file-read is done using the FINDPAR tool in the source-code module vlidort_aux; FINDPAR looks for a character string made up of a prefix (in this case the word "VLIDORT") and a text description of the variable(s) to be assigned and then reads the variable(s) specified underneath the character string. All strings ending with a question mark indicate the assignment of Boolean variables. If the character string is not present, or if the file-read itself is corrupted by bad input, then an error message is generated and a status flag set.

Tables J, K, L, and M in Appendix 6.1 contain the lists of dedicated character strings and the associated input variables. These character string tables and their associated VLIDORT input type structures are listed in Table 3.4 to give the reader an initial overview.

Examples of configuration files are found in the test directories. In the string tables, we present variables from the input tables (i.e. the A, B, E, and F Tables in Table 3.2 above) that are assigned using this file-read procedure, along with their associated character strings. It should be noted that some input variables are not file-reads (array inputs mostly). These include some of the variables in Input Tables A6, A7, B6, E2, and F2. Some variables in the control inputs are normally assigned by the user, depending on the application. It is also possible to overwrite file-read assignments, in particular for applications where the number of layers (variable "NLAYERS" in VLIDORT) will be pre-set by a call to generate atmospheric optical properties.

Table 3.4 Summar	of VLIDORT	configuration	file tables	s of input strings

VLIDORT I/O Type Structure	String Table #
VLIDORT_Fixed_Boolean	J1
VLIDORT_Fixed_Control	J2
VLIDORT_Fixed_Sunrays	J3
VLIDORT_Fixed_UserValues	J4
VLIDORT_Fixed_Chapman	J5
VLIDORT_Fixed_Optical	J6
VLIDORT_Fixed_Write	J7
VLIDORT_Modified_Boolean	K1
VLIDORT_Modified_Control	K2
VLIDORT_Modified_Sunrays	K3
VLIDORT_Modified_UserValues	K4
VLIDORT_Modified_Chapman	K5
VLIDORT_Fixed_LinControl	L1
VLIDORT_Modified_LinControl	M1

3.3.3 Makefile discussion

As an example, we now describe the Makefile in the "vlidort_s_test" directory (other Makefiles are similar). The software was compiled and tested at RT Solutions using the Intel® and GNU FORTRAN compilers. The software has also been tested successfully using the Portland Group® FORTRAN 90/95 compiler (courtesy V. Natraj). The Makefile begins by defining path variables for the active directories in the installation package:

```
UTIL_PATH = util
VSUP_PATH = vsup
VLID_DEF_PATH = vlidort_def
VLID_MAIN_PATH1 = vlidort_main_FO_1p5/regular_modules
VLID_MAIN_PATH2 = vlidort_main_FO_1p5/linearized_modules
VLID_TEST_PATH = vlidort_s_test
FO_MAIN_PATH = fo_main_1p5_NEW

MOD_PATH = mod
OBJ_PATH = obj
```

These are followed by two file variables used by the "clean" command at the bottom of the Makefile ("make clean" will empty the "mod" and "obj" directories):

```
MOD_FILES = $ (MOD_PATH) /*.mod
OBJ FILES = $ (OBJ PATH) /*.o
```

Note that all Fortran module files and compiled object files are collected in the above "mod" and "obj" subdirectories to avoid cluttering up the environment directory.

Next, a default shell variable is defined to avoid unnecessary problems that might arise if the GNU Makefile were to be run under a different command shell other than the "bash" shell

```
SHELL = /bin/bash
```

Following this, Fortran compiler variables are defined. They are actually commented out, since the current setup calls for the Fortran compiler to be supplied on the command line when the installation test script is invoked. These variables are then followed by compiler flags for several compilers used to test the VLIDORT code. For example, for the Intel® "ifort" compiler, the compiler flags are:

Next, the makefile contains some blocks for building debug and optimized test executables and those for performing parallel computations using the OpenMP application programming interface (API). Following this, VLIDORT and first-order source files are defined for both intensity and Jacobian tests:

```
BASE SOURCES =
SOURCES =
L SOURCES =
LPS SOURCES =
LCS SOURCES =
BASE SOURCES +=
   $(VLID DEF PATH)/vlidort pars.f90
SOURCES +=
   $(BASE SOURCES) \
   $(VLID MAIN PATH1)/lapack tools.f90
   $(VLID DEF PATH)/vlidort inputs def.f90
   $(VLID DEF PATH)/vlidort sup brdf def.f90
   $(VLID_DEF_PATH)/vlidort_sup_ss_def.f90
   $(VLID DEF PATH)/vlidort sup sleave def.f90
   $(VLID DEF PATH)/vlidort sup def.f90
   $(VLID DEF PATH)/vlidort outputs def.f90
   $(VLID DEF PATH)/vlidort io defs.f90
   $(VLID DEF PATH)/vlidort work def.f90
   $(VLID MAIN PATH1)/vlidort aux.f90
   $(VLID MAIN PATH1)/vlidort getplanck.f90
```

```
$(VLID MAIN PATH1)/vlidort geometry.f90
   $(VLID MAIN PATH1)/vlidort Taylor.f90
   $(VLID MAIN PATH1)/vlidort inputs.f90
   $(VLID MAIN PATH1)/vlidort miscsetups.f90
   $(VLID MAIN PATH1)/vlidort multipliers.f90
   $(VLID MAIN PATH1)/vlidort vfo interface.f90\
   $(VLID MAIN PATH1)/vlidort thermalsup.f90
   $(VLID MAIN PATH1)/vlidort solutions.f90
   $(VLID MAIN PATH1)/vlidort bvproblem.f90
   $(VLID MAIN PATH1)/vlidort intensity.f90
   $(VLID MAIN PATH1)/vlidort writemodules.f90
   $(VLID MAIN PATH1)/vlidort pack.f90
   $(VLID MAIN PATH1)/vlidort unpack.f90
   $(VLID MAIN PATH1)/vlidort mediaprops.f90
   $(VLID MAIN PATH)/vlidort masters V2p8p2.f90
L SOURCES += \
   $(VLID DEF PATH)/vlidort lin inputs def.f90
   $(VLID DEF PATH)/vlidort lin sup brdf def.f90
   $(VLID DEF PATH)/vlidort lin sup ss def.f90
   $(VLID DEF PATH)/vlidort lin sup sleave def.f90\
   $(VLID_DEF_PATH)/vlidort_lin_sup_def.f90
   $(VLID_DEF_PATH)/vlidort_lin_outputs_def.f90
   $(VLID_DEF_PATH)/vlidort_lin_io_defs.f90
   $(VLID DEF PATH)/vlidort lin work def.f90
   $(VLID MAIN PATH2)/vlidort l inputs.f90
   $(VLID MAIN PATH2)/vlidort la miscsetups.f90
   $(VLID MAIN PATH2)/vlidort 1 thermalsup.f90
   $(VLID MAIN PATH2)/vlidort_lpc_solutions.f90
   $(VLID MAIN PATH2)/vlidort lpc bvproblem.f90
   $(VLID MAIN PATH2)/vlidort lbbf jacobians vector.f90 \
   $(VLID MAIN PATH2)/vlidort ls wfsurface.f90
   $(VLID MAIN PATH2)/vlidort ls wfsleave.f90
   $(VLID MAIN PATH2)/vlidort 1 writemodules.f90
   $(VLID_MAIN_PATH2)/vlidort_l_pack.f90
   $(VLID MAIN PATH2)/vlidort l unpack.f90
LPS SOURCES += \
   $(VLID MAIN PATH2)/vlidort lp miscsetups.f90
   $(VLID MAIN PATH2)/vlidort lp solutions.f90
   $(VLID MAIN PATH2)/vlidort lp bvproblem.f90
   $(VLID_MAIN_PATH2)/vlidort_lp_mediaprops.f90
   $(VLID MAIN_PATH2)/vlidort_lp_wfatmos.f90
   $(VLID_MAIN_PATH2)/vlidort_lp_pack.f90
   $(VLID MAIN PATH2)/vlidort lp unpack.f90
   $(VLID MAIN PATH2)/vlidort vfo lps interface.f90 \
   $(VLID MAIN PATH2)/vlidort lps masters V2p8p2.f90
LCS SOURCES += \
   $(VLID MAIN PATH2)/vlidort lc miscsetups.f90
   $(VLID MAIN PATH2)/vlidort lc solutions.f90
   $(VLID MAIN PATH2)/vlidort lc bvproblem.f90
   $(VLID MAIN PATH2)/vlidort lc mediaprops.f90
   $(VLID MAIN PATH2)/vlidort lc wfatmos.f90
   $(VLID MAIN PATH2)/vlidort lc pack.f90
   $(VLID_MAIN_PATH2)/vlidort_lc_unpack.f90
   $(VLID MAIN PATH2)/vlidort vfo lcs interface.f90 \
```

```
$(VLID MAIN PATH2)/vlidort lcs masters V2p8p2.f90
```

This is followed by any include files containing additional source file lists such as

```
# (Include vector supplement source files)
include $(VSUP PATH)/makefile.vsup
```

and by the source file lists of the tests themselves. For example, for the solar test

```
SOURCES_SOLAR = $(FO_SOURCES_Vector) + \
$(SOURCES) \
$(VLID TEST PATH)/2p8p2 solar tester.f90
```

We also define utility programs:

```
# Utilities

SOURCES_UTIL =
SOURCES_UTIL += \
$(UTIL PATH)/vlidort diff.f90
```

Next we have the pattern rules for creating object files:

Then we have variables for defining source and object file lists. For example:

```
F90SOURCES_SOLAR := $(notdir $(filter %.f90, $(SOURCES_SOLAR)))
F90OBJECTS_SOLAR := $(patsubst %.f90, %.o, $(addprefix $(OBJ_PATH)/,
$(F90SOURCES_SOLAR)))
```

Finally, we have the command(s) to build the desired target executable(s) for the installation tests. . For example, the basic solar tests use

along with

```
s2p8p2_solar_tester.exe: $(F900BJECTS_SOLAR)
    $(FC) $^ -o $@
2p8p2s2p8p2_solar_lpcs_tester.exe: $(F900BJECTS_SOLAR_LPCS)
    $(FC) $^ -o $@
```

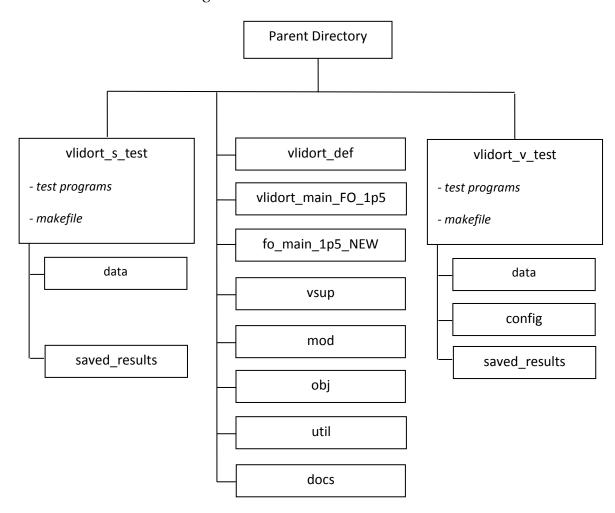
The targets to build the different package test executables are accompanied by those to assist in checking the test results generated by the user with those previously saved at RT solutions:

```
vlidort_diff: $(F900BJECTS_UTIL)
    $(FC) $^ -0 $@
```

We note here that the Makefile has been setup so that either individual tests or groups of tests may be built. Lastly, the remaining "clean" target command is used to remove any FORTRAN mod, object, or executable files created during the test(s) run.

```
.PHONY: clean
clean:
    rm -f *.o $(OBJ FILES) *.mod $(MOD FILES) *.log *.exe
```

3.3.4 Installation and testing



To install the VLIDORT package, create a new "home" directory and unzip the VLIDORT "tarball" to view the list of subdirectories outlined in section 3.1 and Figure 3.1

Go into the "vlidort_s_test" subdirectory. There, one will find the Makefile discussed in section 3.3.3. This Makefile can build the executables for the "tester" environment programs listed in Table 3.5. There are two tests each for solar scattering and thermal emission sources; two of the tests will generate only radiances and mean-value output, while the other two will generate additionally a series of Jacobian outputs. An additional test (row 5 in Table 3.5) is designed to run VLIDORT along with standard and linearized BRDF supplement modules to obtain intensities and Jacobians in the presence of a bidirectional reflecting lower boundary. Finally, there are two tests to perform self-tests on the surface-leaving (VSLEAVE) and Z-matrix (VFZMAT) supplements.

To run the programs in the scalar test directory ("vlidort_s_test"), return to the home directory in which you have installed the VLIDORT package and invoke the bash script "vlidort_run" from the command line as (using "\$" as the command prompt):

\$ vlidort_run.bash s <your_compiler>

Here, "s" indicates you want to run tests from the *scalar* test directory and <your_compiler> is the standard name used to invoke the Fortran compiler you are using (e.g. "gfortran" when using the GNU Fortran compiler). This will cause the "vlidort_run.bash" script to generate and run each of the environment program executables in Table 3.5A below in sequence and generate the corresponding result file(s). Similarly, polarized Stokes vector tests may be run by invoking the bash script "vlidort run.bash" using the command:

\$ vlidort_run.bash v <your_compiler>

The only difference from the previous command is the use of the "v" parameter. In this case, a similar set of tests will be run using VLIDORT, but now with inputs more appropriate to polarized calculations (Table 3.5B, rows 1-5). In addition, there is a test (called "Siewert2000") to compare with benchmark results from the radiative transfer literature [see section 3.2.3 for a discussion on this validation], a test to build an environment program that demonstrates how to use the VSLEAVE supplement code in conjunction with calls to VLIDORT, and two tests to demonstrate how VLIDORT might be used in a parallel computing context using the OpenMP environment.

Please draw attention to the "I000" designation in some of the result files in Table 3.5B. These filenames are appropriate for the five vector tests in which the NSTOKES variable is set to 1 (Q = U = 0, and only the unpolarized intensity is calculated). However, if NSTOKES is set to 3 for example, VLIDORT would calculate the I, Q, and U components of the Stokes vector and the resulting file names would indicate they contain the results related to these additional components by having an "IQU0" designation. We note that a subset of these installation tests may be run by using the bash script "vlidort_run_subset.bash" instead of the script "vlidort_run_bash". To do this, go inside "vlidort_run_subset.bash" and choose the desired test(s) to run by setting the desired test variable to "1" and insuring the others are set to "0". The "vlidort run subset.bash" script is then run in a manner identical to "vlidort run.bash".

Upon completing execution, one may compare the contents of the result files (located in the "s" or "v" test directory) with benchmark results generated at RT solutions using the Intel® or GNU Fortran compilers. The latter results are located in the respective "saved_results" subdirectory.

This comparison is performed with the "vlidort_check2.bash" script. However, to use this script, the "vlidort_diff" utility must first be compiled. First, check that a copy of the Makefile from either the "vlidort_s_test" or "vlidort_v_test" subdirectory is present in the parent directory, then compile this utility via the command:

\$ make vlidort_diff FC=<your_compiler>

Then, the comparison is done by executing the script "vlidort_check2.bash" (for example, the results from the scalar tests located in the "vlidort_s_test" subdirectory),

\$ vlidort check2 s < check compiler>

Here, <check compiler> is either "ifort" or "gfortran"

Table 3.5A. Files for VLIDORT Scalar Tests

Test #	Environment file	Input configuration files	Output result files
1	2p8p2_solar_tester.f90	2p8p2_VLIDORT_ReadInput.cfg	results_solar_tester.all
2	2p8p2_solar_lpcs_tester.f90	2p8p2_VLIDORT_ReadInput.cfg	results_solar_lcs_tester.all results_solar_lcs_ tester.all_FO
			results_solar_lps_tester.all results_solar_lps_ tester.all_FO
3	2p8p2_thermal_tester.f90	2p8p2_VLIDORT_ReadInput.cfg	results_thermal_tester.all results_brdf_thermcheck.res
4	2p8p2_thermal_lpcs_tester.f90	2p8p2_VLIDORT_ReadInput.cfg	results_thermal_lcs_tester.all results_thermal_lcs_ tester.all _FO results_thermal_lps_tester.all results_thermal_lps_ tester.all _FO
5	2p8p2_brdfplus_tester.f90	2p8p2_VLIDORT_ReadInput.cfg VBRDF_ReadInput.cfg	results_brdfplus_tester.all results_brdf_supcheck.res results_brdf_supcheck.wfs
6	2p8p2_vsleave_self_tester.f90	2p8p2_vsleave_self_tester_land.cfg 2p8p2_vsleave_self_tester_water.cfg	results_vsleave_self_tester_ land.all results_vsleave_self_tester_ water.all
7	2p8p2_vfzmat_tester.f90	2p8p2_VLIDORT_ReadInput.cfg	results_vfzmat_tester.all
8	2p8p2_Planetary_tester.f90	2p8p2_LIDORT_Planetary.cfg	results_planetary_tester_ normal.all
9	2p8p2_LWCoupling_tester.f90	2p8p2_LIDORT_LWCoupling.cfg 2p8p2_SLEAVE_LWCoupling.cfg	results_LWCoupling_TOAUp_ BOAdn_Radiances_normal.all SLEAVE_Isotropic_ Unadjusted.dat SLEAVE_Isotropic_ WLAdjusted.dat

 Table 3.5B. Files for VLIDORT Vector Tests

Test #	Environment file	Input configuration files	Output result files
1	V2p8p2 solar tester.f90	V2p8p2 VLIDORT ReadInput.cfg	results_solar_tester_I000.all
2	V2p8p2_solar_lpcs_tester.f90	V2p8p2_VLIDORT_ReadInput.cfg	results_solar_lcs_tester_ normal_ 1000.all results_solar_lcs_tester_ normal_ 1000.all_FO results_solar_lps_tester_ normal_1000.all results_solar_lps_tester_ normal_1000.all_FO
3	V2p8p2_thermal_tester.f90	V2p8p2_VLIDORT_ReadInput.cfg	results_thermal_tester_ I000.all
4	V2p8p2_thermal_lpcs_tester.f90	V2p8p2_VLIDORT_ReadInput.cfg	results_thermal_lcs_tester_ 1000.all results_thermal_lcs_tester_ 1000.all_FO results_thermal_lps_tester_ 1000.all results_thermal_lps_tester_ 1000.all_FO
5	V2p8p2_brdfplus_tester.f90	V2p8p2_VLIDORT_ReadInput.cfg VBRDF_ReadInput.cfg	results_brdfplus_tester_ normal_I000 .all results_brdf_supcheck_ normal.res results_brdf_supcheck_ normal.wfs
6	V2p8p2_vsleavefplus_tester.f90	V2p8p2_VLIDORT_ReadInput.cfg VSLEAVE_ReadInput.cfg	results_vsleaveplus_tester_ normal_fluor.all
7	V2p8p2_Siewert2000_validation.f90	V2p8p2_Siewert2000_ validation.cfg	results_Siewert2000_ validation.all
8	V2p8p2_Planetary_tester.f90	V2p8p2_LIDORT_Planetary.cfg	results_planetary_tester_ normal.all
9	V2p8p2_LWCoupling_tester.f90	V2p8p2_LIDORT_LWCoupling.cfg V2p8p2_SLEAVE_LWCoupling.cfg	results_LWCoupling_TOAUp_ BOAdn_Radiances_normal.all SLEAVE_Isotropic_Unadjusted.dat SLEAVE_Isotropic_WLAdjusted.dat
10	V2p8p2_solar_OMP_tester.f90	V2p8p2_VLIDORT_ReadInput.cfg	results_solar_tester_I000.all_ nt1_nwn00010 results_solar_tester_I000.all_ nt2_nwn00010
11	V2p8p2_solar_lpcs_OMP_tester.f90	V2p8p2_VLIDORT_ReadInput.cfg	results_solar_lcs_tester_ normal_I000.all_nt1_nwn00010 results_solar_lcs_tester_ normal_I000.all_nt2_nwn00010 results_solar_lps_tester_ normal_I000.all_nt1_nwn00010 results_solar_lps_tester_ normal_I000.all_nt2_nwn00010

For the scalar test example here, any differences will be placed in difference files starting with "diff_" and will be located in the subdirectory "vlidort_s_test". Often there will be trivial differences between results run on different machines with different compilers, so these difference files may not be of the same size, but should only contain sets of lines differing in trivial ways. Currently the difference files will be of ~224 bytes if there are no differences between freshly generated results and those archived in the "saved_results" subdirectories. This is due to the fact that the "vlidort_diff" utility (used inside "vlidort_check2.bash") returns some basic information about each file analyzed and the thresholds used to distinguish trivial from nontrivial differences between freshly generated results output and older archived data. We will not discuss these thresholds further here. Currently, difference files may be generated for vector tests run with NSTOKES set to 1 or 3.

The results from the vector tests may be checked in a similar way by executing the script "vlidort check.bash" as

\$ vlidort_check.bash v <check_compiler>

The main difference between "vlidort_check.bash" and "vlidort_check2.bash" is that the first runs the basic Unix "diff" utility and the second the more tailored "vlidort diff" utility.

Note: If the results in the result file results_vsleaveplus_tester_normal_fluor.all do not match those in the archived results file after initially running the scripts "vlidort_run.bash" and "vlidort_check2.bash", go into the file "vsleave_sup_routines.f90" inside the subdirectory "vsup/vsleave" and change the logical variable "use_nag_compiler" in the subroutine "get_fluorescence_755" from ".false." to ".true.". The issue is usually related to the reading of a binary fluorescence data file used in this test and can usually be corrected by switching the sense of this logical variable which allows the binary file to be read slightly differently.

Additional tests for checking Observational Geometry Mode

In addition to the tests above, one may run several of the above tests in observational geometry mode. To do this, from the command prompt, do

\$ vlidort run extras.bash v < your compiler > obsgeo

To check the results against saved result files, do

\$ vlidort_check2.bash v <check_compiler>/obsgeo

Currently, the following tests are set up to be run in observational geometry mode:

- V2p8p2_solar_lpcs_tester.f90
- V2p8p2_brdfplus_tester.f90
- V2p8p2_vsleaveplus_tester.f90

We note that the result file names from the three above tests when run in observational geometry mode will have the same names as their counterparts listed in Table 3.5, but will carry an "obsgeo" designation in their name instead of "normal".

<u>Additional tests for checking the case NSTOKES=3</u>

Again, in addition to the standard tests above, one may run several of the above vector tests to run VLIDORT in vector mode with NSTOKES = 3 and check the results of those tests. To do this, from the command prompt, do

\$ vlidort_run_extras.bash v <your_compiler> nstokes3

To check the results against saved result files, do

\$ vlidort_check2.bash v <check_compiler>/nstokes3

Currently, the following tests are set up to be run in in vector mode with NSTOKES = 3:

- V2p8p2_solar_tester.f90
- V2p8p2_solar_lpcs_tester.f90
- V2p8p2_thermal_tester.f90
- V2p8p2_thermal_lpcs_tester.f90
- V2p8p2_brdfplus_tester.f90

We note that the result file names from the three above tests when run in vector mode with NSTOKES = 3 will have the same names as their counterparts listed in Table 3.5, but will carry an "IQU0" designation in their name instead of "I000".

Additional tests for checking VLIDORT calculations with OpenMP

It is possible to run some of the above tests to observe the VLIDORT performance enhancement in a parallel computing environment using OpenMP. To run these OpenMP test programs, return to the home directory in which you have installed the VLIDORT package and run the bash script "vlidort run OMP" from the command line as:

\$ vlidort_run_OMP.bash gfortran

Note that although a number of FORTRAN 90/95 compilers support OpenMP Version 3.1 (or later) parallel computing environment, the VLIDORT Version 2.8.2 package has been tested only with the "gfortran" and "ifort" compilers using OpenMP. The user is advised to consult requirements for using OpenMP with compilers other than "gfortran" and "ifort". The compiler flag for "ifort' is -openmp, while for "gfortran" we are using -fopenmp -frecursive flags. Earlier versions of the "gfortran" compiler do not have the -frecursive flag.

In addition, before running the "vlidort_run_OMP.bash" script, the user is referred to section 5.2.10 for more information regarding proper preparation for OpenMP tests: parallel programming tests such as these can result in memory segmentation faults if steps are not taken to ensure enough memory is set aside for both main and OpenMP-spawned computational threads. Results of the OpenMP tests may be checked using

\$ vlidort_check2.bash v <check_compiler>

We turn now to some of the contents of the <u>scalar test environment programs</u>. The programs will produce VLIDORT output for one particular atmospheric scenario, a 23-layer atmosphere with molecular absorption and scattering in all layers, and with aerosols in the lowest 6 layers. The prepared atmosphere is partly contained in the file <u>input_atmos.dat</u>, and the aerosols are inserted by hand. Down-welling and up-welling output is generated for 36 geometries (3 solar

zenith angles, 4 relative azimuth angles, 3 viewing zenith angles) and for 5 vertical levels. In all cases, azimuth-averaged outputs (actinic and regular fluxes + linearizations) are generated as well as radiances and Jacobians of intensities.

The testers (or "drivers") are used to perform several tasks. For example, for the driver <code>2p8p2_solar_lpcs_tester.f90</code>, the first task is a baseline calculation of radiances, two total column Jacobians (with respect to the total gas absorption optical depth G and the total aerosol optical depth Y) and one surface Jacobian with respect to Lambertian albedo A. The remaining tasks are designed to validate these analytic Jacobians by finite difference (FD) estimates. For the FD tasks, all linearization options are turned off, and for threads 2-4 respectively, intensity-only calculations are done with G, Y and A perturbed by 0.1% of their original values. The final output file contains the baseline intensity followed by 6 columns giving the normalized Jacobians, featuring the 3 analytic computations from thread 1 and the 3 finite difference estimates from threads 2-4.

Programs 1-4 are controlled by the configuration file 2p8p2_VLIDORT_ ReadInput.cfg, which is first read by the VLIDORT input read routine, then checked for errors before the main call to VLIDORT is undertaken. Program 1 generates radiances and fluxes only. Program 2 generates radiances and fluxes, but also their linearizations with respect to 2 total column weighting functions (the total amount of trace gas in the atmosphere, and the total aerosol loading in the lowest 6 layers), 2 profile weighting functions (trace gas absorber and aerosol extinction profile), and surface property weighting functions with respect to the Lambertian albedo. Programs 3 and 4 perform similar computations, but where thermal sources are also present.

Program 5 provides an example using VLIDORT with the VBRDF supplements. Here the scenario is a 3-kernel BRDF surface (Ross-thin, Li-dense, Cox-Munk). In addition to the configuration file <code>2p8p2_VLIDORT_ReadInput.cfg</code>, program 5 is also controlled by the configuration file <code>VBRDF_ReadInput.cfg</code>, which is first read by the BRDF input read routine. Certain input variables from the two configuration files are then checked for consistency before the BRDF Fourier components are calculated and passed to VLIDORT by the subroutine VLIDORT_VBRDF_INPUT_CHECK in the module <code>vlidort_vbrdf_sup_accessories</code>. Program 5 generates 6 surface property weighting functions for this 3-kernel BRDF - one for each of the three kernel amplitude factors, two more with respect to Li-dense kernel parameters, and a final one for the Cox-Munk wind speed.

Programs 6 and 7 perform self-tests on the VLIDORT VSLEAVE and VFZMAT supplements. Program 6 runs a test on the VSLEAVE supplement: one for a land surface where fluorescence is present and one for a water surface where chlorophyll is present. It produces both intensities and weighting functions with respect to those surface factors. Program 7 tests the ability of the VFZMAT supplement subroutines to take a table of F-matrix values at specified scattering angles and to generate (1) a set of interpolated values of the F-Matrix at a set of user-desired scattering angles and (2) an associated set of Legendre moments of the F-Matrix where either one which may be used in subsequent RT calculations.

Program 8 demonstrates the use of VLIDORT for efficient solution of the "planetary problem" (see addendum section 6.3 in this User Guide). Here, the first task of this program driver is to have VLIDORT generate diffuse surface reflectivity and products of atmospheric transmittances – quantities which are required for the basic problem. This is followed in the driver by two more

calculations which are designed to test the calculation of column and profile Jacobians of these quantities with respect to any atmospheric parameters. These outputs are validated in the driver by using the older 3-call method to calculate them (as was required in earlier versions of VLIDORT), and by using finite-difference estimates to validate the Jacobians.

Program 9 demonstrates the use of VLIDORT together with its VSLEAVE supplement for water-leaving scenarios (see the addendum, section 6.2, for details on this calculation). Options for outputting and using adjusted water-leaving radiances are tested. **Important Note** – VLIDORT analytic Jacobian outputs for the coupled water-leaving scenarios are not yet available.

For the <u>vector test environment programs</u>, program 6 gives an example of using the standard and linearized VSLEAVE supplement code (both VSLEAVE input read and VSLEAVE computational subroutines) in conjunction with associated calls to VLIDORT (to VLIDORT_MASTER and VLIDORT_LCS_MASTER). A special subroutine VLIDORT_VSLEAVE_INPUT_CHECK (in module <u>vlidort_vsleave_sup_accessories</u>) is called to check the consistency of related input fed to both VLIDORT and the given VSLEAVE computational subroutine. This surface-leaving test simulates the effect of fluorescence in the spectral band 640-820nm.

Program 7 performs a VLIDORT validation check against results found in [Siewert, 2000b]. See section 5.2.9 for more on the details of this test.

Finally, programs 10 and 11 provide examples of VLIDORT usage in an OpenMP parallel programming environment. These two programs have the same solar-source set-ups as those for programs 1 and 2, but now each test driver comes with a series of OpenMP parallel programming directives. CPU timing information is also generated to give the user an idea of the computational acceleration that may be obtained. Although the OpenMP tests are currently set up for one or two computational threads, four or more threads can easily be implemented by a few simple driver changes. The drivers were set up this way, since computational speed-up is limited by the number of available processing cores, and two is the minimum number of cores required to demonstrate the computational speed-up using OpenMP. For a machine with multiple cores, the performance "scalability" is excellent. For example, with four cores a speed-up of almost 4-fold is achieved: 24.78 second (1 core), 12.40 seconds (2 cores) and 6.62 seconds (4 cores).

Section 5.2 has additional notes on the scalar and vector test cases in this series of installations. Appendices 5.3 and 5.4 have descriptions of the VBRDF and VSLEAVE supplements, , while section 5.5 deals with the preparation of phase matrix inputs for VLIDORT, and section 5.6 has some useful notes on certain VLIDORT applications.

3.3.5 Helpful Tips for input settings

In this section, we compile some useful tips for setting the inputs.

All geometrical angles are given in degrees. Solar angles must lie in the range $[0^{\circ},90^{\circ})$; this version of VLIDORT is not a twilight code. Viewing zenith angles are by convention positive in the range $[0^{\circ},90^{\circ}]$, and relative azimuth angles are in the range $[0^{\circ},360^{\circ}]$. These inputs are checked; invalid values will cause the model to abort and generate error messages.

Output at various vertical levels is essentially specified according to geometrical height (not optical depth as in DISORT and earlier versions of VLIDORT). The reason for this is that the geometrical height specification is independent of wavelength. We illustrate the convention for vertical output with some examples. USER_LEVELS(1) = 2.0 means that the first level for output will be at the bottom of the second layer in the atmosphere. USER_LEVELS(2) = 2.5 means that the second level of output will be halfway down the third layer. Thus if you want TOA output only, then you need to set USER_LEVELS(1) = 0.0. If there are 24 layers in your atmosphere and you want BOA output only, then you set USER_LEVELS(1) = 24.0. The ordering is not important; VLIDORT will make an internal "sort" of the output levels into ascending order, and the final intensities and Jacobians will be generated in the sorted order. Out-of-range levels are rejected (this is a fatal input check error).

The number of scattering matrix expansion coefficients (NGREEK_MOMENTS_INPUT) should be at least $2N_d-1$, where N_d is the number of discrete ordinates in the polar angle half space (the variable NSTREAMS). If you are using the delta-M scaling, then NGREEK_MOMENTS_INPUT should be at least 2N (otherwise the scaling will not work). By definition, the multiple scattering fields are calculated using at most $2N_d-1$ (possibly scaled) expansion coefficients, whereas the exact single scatter calculations will use all coefficients from 0 to NGREEK_MOMENTS_INPUT.

3.4 Exception handling and utilities

3.4.1 Exception handling

There are two types of exception handling in VLIDORT, one for checking the model input, the other for dealing with calculation failures. Main subroutines VLIDORT_MASTER, VLIDORT_LCS_MASTER and VLIDORT_LPS_MASTER have the exception handling outputs listed in Table 3.6.

The integers STATUS_INPUTCHECK and STATUS_CALCULATION can take one of several values indicated in the VLIDORT_pars module (see section 3.2.1.1 above). Input checking is done first, before any calculation takes place. If STATUS_CHECKINPUT equals the parameter VLIDORT_SUCCESS (value 0), then the input check is successful. If there is an error with this procedure, then a message string is generated and stored in the array CHECKMESSAGES and the number of such messages (NCHECKMESSAGES) is increased by 1. At the same time, a second character string is generated and stored in the array ACTIONS - these strings give the user hints as to how to fix the inconsistent or incorrect input specified. If there is a fatal error in the input checking (STATUS_INPUTCHECK = VLIDORT_SERIOUS), VLIDORT will exit immediately. Not all checking errors are fatal. If there is a warning error (STATUS_INPUTCHECK = VLIDORT_WARNING), VLIDORT will continue execution, but warning messages and actions concerning the input will be generated and stored in CHECKMESSAGES and ACTIONS. If warnings occur, VLIDORT will correct the input internally and proceed with the execution.

Table 3.6. Exception handling for the VLIDORT 2.8.2 code (♣; 0=VLIDORT_SUCCESS, 3=VLIDORT_WARNING, 4=VLIDORT_SERIOUS)

Name	Туре	Values	Purpose
STATUS_INPUTCHECK	INTEGER	0, 3 or 4 ₽	Overall Status of Input-check
NCHECKMESSAGES	INTEGER	0 to 25	Number of Input-check Error Messages
CHECKMESSAGES	CHARACTER	ASCII String	Array of Input-check Error Messages
ACTIONS	CHARACTER	ASCII String	Array of Input-check Actions to take
STATUS_CALCULATION	INTEGER	0 or 4 ₽	Overall Status of Calculation
MESSAGE	CHARACTER	ASCII String	Calculation Failure, Message
TRACE_1	CHARACTER	ASCII String	First Subroutine Trace for Place of Failure
TRACE_2	CHARACTER	ASCII String	Second Subroutine Trace for Place of Failure
TRACE_3	CHARACTER	ASCII String	Third Subroutine Trace for Place of Failure

STATUS_CALCULATION refers to the status of the radiative transfer calculation. If an error has been returned from one of the internal calculation routines, then the overall flag STATUS_CALCULATION will be set to VLIDORT_SERIOUS. All calculation errors are fatal. Apart from the use of standard numerical routines to solve the eigensystem and a number of linear algebra problems, VLIDORT is entirely analytical. Only in exceptional circumstances should an error condition be returned from the one of the eigenroutines (ASYMTX or DGEEV from LAPACK) or one of the LAPACK linear algebra modules. One possibility to watch out for is degeneracy caused by two layers having identical optical properties. Experience has shown that such errors are invariably produced by bad optical property input that has escaped the input check.

A message about the calculation error is generated along with 3 traces for that error (as noted above in the table). Provided inputs are correctly generated, there should be little opportunity for the software to generate such an error. If you have persistent calculation errors, please send a message to the author at rtsolutions@verizon.net.

The VLIDORT package also contains an optional subroutine (VLIDORT_WRITE_STATUS) that should be called immediately after either of the two main master routines. If there are any errors or warnings, this routine will generate an "Output Log" file (with prescribed name and unit number) containing relevant error messages and traces as listed in Table 3.6. The opening of the "log" file is controlled by a flag which will be set when the first error is obtained. If there are any warnings or errors, you will get an appropriate warning or error message. The author recommends usage of this routine, or at the very least, the two main output status integers should be examined upon exiting any of the master calling routines.

Table 3.7. Exception handling for the File-reads (∜; 0=VLIDORT_SUCCESS, 3=VLIDORT_WARNING, 4=VLIDORT_SERIOUS)

Name	Туре	Values	Purpose
STATUS_INPUTREAD	INTEGER	0 or 4 🕆	Overall Status of Input-read
NINPUTMESSAGES	INTEGER	0 to 25	Number of Input-read Error Messages
INPUTMESSAGES	CHARACTER	ASCII String	Array of Input-read Error Messages
INPUTACTIONS	CHARACTER	ASCII String	Array of Input-read Actions to take

If you are using the input routine VLIDORT_INPUT_MASTER to open a configuration file and read in inputs (see example in section 3.3.1), then exception handling for this procedure has a similar form (Table 3.7). If there are any errors from a call to VLIDORT_INPUT_MASTER, then you should examine the output by printing out the above messages in Table 3.7 whenever STATUS INPUTREAD is equal to 4 (VLIDORT SERIOUS). The BRDF supplemental

programs also have input-read routines with the same exception handling procedures as noted in Table 3.7.

3.4.2 Utilities

All software in VLIDORT was written by R. Spurr, with the exception of a number of utility routines taken from standard sources. Most VLIDORT utility routines are collected together in the module file "vlidort_aux.f90". They include a number of standard numerical routines, and some file-read and error handling routines.

Numerical routines are: ASYMTX (eigensolver module from DISORT); GAULEG (Gauss-Legendre quadrature determination, adapted from Numerical Recipes); CFPLGARR (Legendre-polynomial generator). The FINDPAR tool for reading the initialization file was developed by J. Lavagnino and is found here. Note that for scalar calculations, ASYMTX is preferred over the LAPACK eigensolver DGEEV for performance reasons (the latter looks for complex solutions and is approximately twice as slow). However, DGEEV is required for the complex calculations in VLIDORT.

A selection of routines from the LAPACK library is used in VLIDORT and is contained in the file "lapack_tools.f90". The most important routines in the LAPACK selection are DGEEV, DGBTRF, DGBTRS, DGETRF, DGETRS, DGBTF2, DLASWP, XERBLA, DGETF2, DGEMM, DGEMV, DGER, DTBSV, and DTRSM. These LAPACK routines are not performance-optimized for the VLIDORT package (there is in particular a lot of redundancy in the linear algebra problems). The LAPACK routines were given literal translations into Fortran 90 equivalents. Eventually, it is expected that the LAPACK routines will be upgraded with enhanced performance in terms of run-time and efficiency.

3.5 Copyright issues and licensing for Version 2.8.2

Licensing and copyright statements have been updated for Version 2.8.1. First, every module in the VLIDORT package contains the following statement:

In conjunction with this statement, the GNU Lesser General Public License Version 3.0 (29 June 2007) is included in the package as a separate text file. Under these conditions, the GNU Lesser General Public License does permit the incorporation of this Version of the VLIDORT software into proprietary programs.

VLIDORT has for a long time used some of the LAPACK software library for certain numerical tasks. This software has its own license (the LAPACK Modified BSD license), which is from now on (Version 2.8.2) included in the overall VLIDORT package.

3.6 Acknowledgments

At SAO in 2004, the author was funded through an Ozone SAF Visiting Scientist Grant, P-5712-12-03. At RT Solutions in 2005, R. Spurr was funded through another Ozone SAF Visiting Scientist Grant. Funding in 2006 and for most of the subsequent years' developments has come from subcontracts with SSAI under the aegis of NASA-GSFC.

Thanks to Jukka Kujanpaa at FMI for help with testing the code for the UV Surface algorithm and providing some useful feedback and code optimizations. Thanks also to Vijay Natraj of Caltech for extensive testing of VLIDORT in a demanding environment (the O₂ A Band), and for insights regarding the no-azimuth conditions. Special thanks also to Knut Stamnes (Stevens Institute) for stimulating discussions about the issue of complex eigenvectors in the vector equations. Matt Christi is also acknowledged for a number of discussions regarding Fourier series convergence and the use of a direct-beam correction.

I have benefitted from a number of discussions with users in European Institutions, in particular Diego Loyola, Dmitry Efremenko, Pieter Valks, and Athina Argyrouli (all DLR), Sebastian Garcia, Nan Hao, Samuel Quesada Ruiz, Jean-Luc Attié, Juan Cuesta, Emilien Bernard, Richard Siddans, Rose Munro, Rudiger Lang, Yakov Lifschitz, Michel van Roozendael, Christophe Lerot and Jeroen van Gent. Thanks also to Johan de Haan (KNMI) for providing the Meerhoff Mie program.

Extensive validation of VLIDORT has taken place at NASA GSFC and SSAI in the last decade. There are many users at both institutions. In particular, R. Spurr would like to thank Colin Seftor (SSAI) for validation testing against the TOMRAD model. Also for VLIDORT projects

associated with trace gas retrievals and aerosols, I would like to acknowledge helpful discussions with Dave Haffner and Changwoo Ahn (both of SSAI), Arlindo da Silva, Virginie Bouchard, Andy Sayer, Jaehwa Lee, Omar Torres, Hiren Jethva, Can Li, Nick Krotkov, Kai Yang, Alexander Vasilkov (SSAI), Elena Lind, Lok Lamsal, Jay Herman and Clark Weaver, and Zachary Fasnacht. Special thanks are due to P.K. Bhartia and Joanna Joiner for their continuing support over the years.

At NASA-JPL, R. Spurr continues to enjoy a lengthy and stimulating association with Vijay Natraj, who has provided numerous insights to VLIDORT usage. At SAO, I would like to thank users Xiong Liu, Gonzalo Abad, Chris Miller and Kelly Chance for stimulating involvement with the GEOCAPE and TEMPO projects. Also in the USA, I have enjoyed providing support for VLIDORT users Jun Wang and Richard Xu, and also Jianglong Zhang, Daven Henze, Fedele Colosimo and Jochen Stutz.

For Asian projects, I would like to acknowledge helpful support for consultations with the ongoing GEMS projects from South Korea (Jhoon Kim, Juseon Bak, Ukkyo Jeung, Yeonjin Jung), and with the TANSAT project at CAS (Yi Liu, Zhaonan Cai) in 2013 and 2014.

For 9 years now, I have worked closely with Matt Christi on the development and maintenance of the suite of RT Solutions RT models. Matt has had a big hand in the generation of VLIDORT models from Version 2.5 through 2.8.1, not only with the software testing, but also with the preparation and upgrading of the User Guides.

The preparation of the VLIDORT_2.8.2 package and User Guide is made possible through a subcontract with SSAI under the main SAMDA contract with NASA. Special thanks go to Mick Christi for help with VLIDORT Version 2.8.2 programming and User Guide upgrades.

4. References

Barichello, L., R. Garcia, and C. Siewert, Particular solutions for the discrete-ordinates method. *J. Quant. Spectrosc. Radiat. Transfer*, **64**, 219-226, 2000.

Chami, M., R. Santer, and E. Dilligeard, Radiative transfer model for the computation of radiance and polarization in an ocean-atmosphere system: polarization properties of suspended matter for remote sensing. *Applied Optics*, **40**, 2398-2416, 2001.

Chandrasekhar, S., Radiative Transfer, Dover Publications Inc., New York, 1960.

Coulson, K., J. Dave, and D. Sekera, Tables related to radiation emerging from planetary atmosphere with Rayleigh scattering, University of California Press, Berkeley, 1960.

Crisp, D., R. M. Atlas, F-M. Bréon, L. R. Brown, J. P. Burrows, P. Ciais, B. J. Connor, S. C. Doney, I. Y. Fung, D. J. Jacob, C. E. Miller, D. O'Brien, S. Pawson, J. T. Randerson, P. Rayner, R. J. Salawitch, S. P. Sander, B. Sen, G. L. Stephens, P. P. Tans, G. C. Toon, P. O. Wennberg, S. C. Wofsy, Y. L. Yung, Z. Kuang, B. Chudasama, G. Sprague, B. Weiss, R. Pollock, D. Kenyon, and S. Schroll, The Orbiting Carbon Observatory (OCO) Mission. *Adv. Space Res.*, **34**, 700, 2004.

Cox, C., and W. Munk. Statistics of the sea surface derived from sun glitter. J. Mar. Res., 13, 198-227, 1954.

Cox, C., and W. Munk, Measurement of the roughness of the sea surface from photographs of the sun's glitter. *J. Opt. Soc. Am.*, **44**, 838-850, 1954.

Dahlback, A., and K. Stamnes, A new spherical model for computing the radiation field available for photolysis and heating at twilight. *Planet. Space Sci.*, **39**, 671, 1991.

Dave, J. V., Intensity and polarization of the radiation emerging from a plane-parallel atmosphere containing monodispersed aerosols. *Applied Optics*, **9**, 2673-2684, 1970.

de Haan, J. F., P. B. Bosma, and J. W. Hovenier. The adding method for multiple scattering of polarized light. *Astron. Astrophys.*, **183**, 371-391, 1987.

de Rooij, W. A., and C. C. A. H. van der Stap. Expansion of Mie scattering matrices in generalized spherical functions. *Astron. Astrophys.*, **131**, 237-248, 1984.

EPS/METOP System – Single Space Segment – GOME-2 requirements Specification. ESA/EUMETSAT, MO-RS-ESA-GO-0071, 1999: Issue 2.

Deuzé, J. L., P. Goloub, M. Herman, A. Marchand, G. Perry, S. Susana, and D. Tanré, Estimate of the aerosol properties over the ocean with POLDER. *J. Geophys. Res.*, **105**, 15329, 2000.

Frankenberg, C., C. O'Dell, L. Guanter, and J. McDuffie, Remote sensing of near-infrared chlorophyll fluorescence from space in scattering atmospheres: implications for its retrieval and interferences with atmospheric CO₂ retrievals. *Atmos. Meas. Tech.*, **5**, 2081-2094, 2012.

Garcia, R. D. M., and C. E. Siewert, A Generalized Spherical Harmonics Solution for Radiative Transfer Models that Include Polarization Effects. *J. Quant. Spectrosc. Radiat. Transfer*, **36**, 401-423, 1986.

Garcia, R. D. M, and C. E. Siewert, The F_N method for radiative transfer models that include polarization. *J. Quant. Spectrosc. Radiat. Transfer*, **41**, 117-145, 1989.

Hapke, B., Theory of Reflectance and Emittance Spectroscopy, Cambridge University Press, Cambridge, UK., 1993.

Hasekamp, O. P., and J. Landgraf, A linearized vector radiative transfer model for atmospheric trace gas retrieval. *J. Quant. Spectrosc. Radiat. Transfer*, **75**, 221-238, 2002a.

Hasekamp, O., J. Landgraf, and R. van Oss, The need of polarization monitoring for ozone profile retrieval from backscattered sunlight. *J. Geophys. Res.*, **107**, 4692, 2002b.

Hasekamp, O., and J. Landgraf. Linearization of vector radiative transfer with respect to aerosol properties and its use in satellite remote sensing, *J. Geophys. Res.*, 110, D04203, doi:10.1029/2004JD005260, 2005.

Heintzenberg, J., H-F. Graf, R. J. Charlson, and P. Warneck, Climate forcing and physico-chemical life cycle of the atmospheric aerosol - why do we need an integrated, interdisciplinary global research programme? *Contr. Atmos. Phys.*, **69**, 261-271, 1996.

Hovenier, J. W., Multiple scattering of polarized light in planetary atmospheres. Astron. Astrophys., 13, 7-29, 1971.

Hovenier, J. W., and C. V. M. van der Mee, Fundamental relationships relevant to the transfer of polarized light in a scattering atmosphere. *Astron. Astrophys.*, **128**, 1-16, 1983.

Hovenier, J. W., C. van der Mee, and H. Domke, Transfer of Polarized Light in Planetary Atmospheres Basic Concepts and Practical Methods, Kluwer, Dordrecht, 2004.

Jiang, Y., X. Jiang, R-L. Shia, S. P. Sander, and Y. L. Yung, Polarization study of the O₂ A-band and its application to the retrieval of O₂ column abundance. EOS *Trans. Am Geophys Union*, **84**, 255, 2003.

Lacis, A., J. Chowdhary, M. Mishchenko, and B. Cairns, Modeling errors in diffuse sky radiance: vector vs. scalar treatment. *Geophys. Res. Lett.*, **25**, 135-8, 1998.

Landgraf, J., O. Hasekamp, T. Trautmann, and M. Box, A linearized radiative transfer model for ozone profile retrieval using the analytical forward-adjoint perturbation theory approach. *J. Geophys. Res.*, **106**, 27291-27306, 2001.

Li, W., R. Spurr, K. Stamnes, and J. Stamnes, Simultaneous retrieval of aerosol and ocean properties by optimal estimation: SeaWiFS case studies for the Santa Barbara Channel. *Int. J. Remote Sens*, **29:19**, 5689-5698, 2008.

Litvinov, P., O. Hasekamp, and B. Cairns, Models for surface reflection of radiance and polarized radiance: Comparison with airborne multi-angle photopolarimetric measurements and implications for modeling top-of-atmosphere measurements. *Remote Sens. Environ.*, **115**, 781-792, doi:10.1016/j.rse.2010.11.005, 2011

Lucht, W., C. Schaaf, and A. Strahler. An Algorithm for the Retrieval of Albedo from Space Using Semi-empirical BRDF Models. IEEE *Trans. Geosci Remote Sens.*, **38**, 977, 2000.

Lucht, W., and J.-L. Roujean. Considerations in the Parametric Modeling of BRDF and Albedo from Multiangular, Satellite Sensor Observations. *Remote Sensing Reviews*, **18**, pp. 343-379, 2000.

Lucht, W., I. C. Prentice, R. B. Myneni, S. Sitch, P. Friedlingstien, W. Cramer, P. Bousquet, W. Buermann, B. Smith, Climatic Control of the High-Latitude Vegetation Greening Trend and Pinatubo Effect. *Science*, 296, 1687-1689, DOI: 10.1126/science.1071828, 2002

Maignan, F., F.-M. Bréon, E. Fédèle, and M. Bouvier, Polarized reflectance of natural surfaces: Spaceborne measurements and analytical modeling. *Rem. Sens Env.*, **113**, 2642-2650, 2009.

Mishchenko, M., A. Lacis, and L. Travis, Errors induced by the neglect of polarization in radiance calculations for Rayleigh scattering atmospheres. *J. Quant. Spectrosc. Radiat. Transfer*, **51**, 491-510, 1994.

Mishchenko, M. I., and L. D. Travis, Satellite retrieval of aerosol properties over the ocean using polarization as well as intensity of reflected sunlight. *J. Geophys. Res.*, **102**, 16989, 1997.

Mishchenko, M. I., and L. D. Travis, Capabilities and limitations of a current FORTRAN implementation of the T-matrix method for randomly oriented, rotationally symmetric scatterers. *J. Quant. Spectrosc. Radiat. Transfer*, **60**, 309-324, 1998.

Mishchenko, M., J. Hovenier, and L. Travis, Eds., Light Scattering by non-Spherical Particles, Academic Press, San Diego, 2000.

Mishchenko, M. I., Microphysical approach to polarized radiative transfer: extension to the case of an external observation point. *Applied Optics*, **42**, 4963-4967, 2003.

Mishchenko, M. I., B. Cairns, J. E. Hansen, L. D. Travis, R. Burg, Y. J. Kaufman, J. V. Martins, and E. P. Shettle, Monitoring of aerosol forcing of climate from space: Analysis of measurement requirements. *J. Quant. Spectrosc. Radiat. Transfer*, **88**, 149-161, 2004.

- Mishchenko, M. I., L. D. Travis, and A. A. Lacis. Scattering, Absorption and Emission of Light by small particles. Cambridge University Press, Cambridge, U.K., 2006.
- Natraj, V., R. Spurr, H. Boesch, Y. Jiang, and Y.Yung, Evaluation of Errors from Neglecting Polarization in the Forward Modeling of O2 A Band Measurements from Space, with Relevance to CO₂ Column Retrieval from Polarization-Sensitive Instruments, *J. Quant. Spectrosc. Radiat. Transfer*, **103**, 245-259, 2007.
- Nakajima, T., and M. Tanaka, Algorithms for radiative intensity calculations in moderately thick atmospheres using a truncation approximation. *J. Quant. Spectrosc. Radiat. Transfer*, **40**, 51-69, 1988.
- Rahman, H., B. Pinty, and M. Verstrate, Coupled surface-atmospheric reflectance (CSAR) model. 2. Semi-empirical surface model usable with NOAA advanced very high resolution radiometer data. *J. Geophys. Res.*, **98**, 20791, 1993.
- Rozanov, V., T. Kurosu, and J. Burrows, Retrieval of atmospheric constituents in the UV-visible: a new quasianalytical approach for the calculation of weighting functions. *J. Quant. Spectrosc. Radiat. Transfer*, **60**, 277-299, 1998.
- Schutgens, N., and P. Stammes, A novel approach to the polarization correction of spaceborne spectrometers. *J. Geophys. Res.*, **108**, 4229, 2003. doi:10.1029/2002JD002736.
- Siewert, C. E., On the equation of transfer relevant to the scattering of polarized light. *Astrophysics J.*, **245**, 1080-1086, 1981.
- Siewert, C. E., On the phase matrix basic to the scattering of polarized light. Astron. Astrophys., 109, 195-200, 1982.
- Siewert, C. E., A concise and accurate solution to Chandrasekhar's basic problem in radiative transfer *J. Quant. Spectrosc. Radiat. Transfer*, **64**, 109-130, 2000.
- Siewert, C. E., A discrete-ordinates solution for radiative transfer models that include polarization effects. *J. Quant. Spectrosc. Radiat. Transfer*, **64**, 227-254, 2000.
- Spurr, R., T. Kurosu, and K. Chance, A linearized discrete ordinate radiative transfer model for atmospheric remote sensing retrieval. *J. Quant. Spectrosc. Radiat. Transfer*, **68**, 689–735, 2001.
- Spurr, R., Simultaneous derivation of intensities and weighting functions in a general pseudo-spherical discrete ordinate radiative transfer treatment. *J. Quant. Spectrosc. Radiat. Transfer*, **75**, 129–175, 2002.
- Spurr, R. J. D., A New Approach to the Retrieval of Surface Properties from Earthshine Measurements. *J. Quant. Spectrosc. Radiat. Transfer*, **83**, 15-46, 2004.
- Spurr, R. J. D., VLIDORT: A linearized pseudo-spherical vector discrete ordinate radiative transfer code for forward model and retrieval studies in multilayer multiple scattering media, *J. Quant. Spectrosc. Radiat. Transfer*, **102(2)**, 316-342, doi:10.1016/j/jqsrt.2006.05.005, 2006.
- Spurr, R., and M. J. Christi, Linearization of the Interaction Principle: Analytic Jacobians in the Radiant Model, *J. Quant. Spectrosc. Radiat. Transfer*, **103/3**, 431-446, doi 10.1016/j.jqsrt.2006.05.001, 2006.
- Spurr, R., K. Stamnes, H. Eide, W. Li, K. Zhang, and J. Stamnes, Simultaneous Retrieval of Aerosols and Ocean Properties: A Classic Inverse Modeling Approach. I. Analytic Jacobians from the Linearized CAO-DISORT Model. *J. Quant. Spectrosc. Radiat. Transfer*, doi: 10.1016/j/jqsrt.2006.09.009, 2007.
- Spurr, R., LIDORT and VLIDORT: Linearized pseudo-spherical scalar and vector discrete ordinate radiative transfer models for use in remote sensing retrieval problems. *Light Scattering Reviews*, Volume 3, ed. A. Kokhanovsky, Springer, 2008.
- Spurr, R.J.D., J. de Haan, R. van Oss, and A. Vasilkov, Discrete Ordinate Theory in a Stratified Medium with First Order Rotational Raman Scattering; a General Quasi-Analytic Solution. *J. Quant. Spectrosc. Radiat. Transfer*, **109**, 404-425, doi: 10.1016/j.jqsrt.2007.08.011, 2008.
- Spurr, R., and V. Natraj, A linearized two-stream radiative transfer code for fast approximation of multiple-scatter fields. *J. Quant. Spectrosc. Radiat. Transfer*, **112**, 2630-2637, 2011.
- Spurr, R., J. Wang, J. Zeng, and M. Mishchenko, Linearized T-Matrix and Mie scattering computations. *J. Quant. Spectrosc. Radiat. Transfer*, **113**, 425-439, 2012.

Sromovsky, L. A., Effects of Rayleigh-scattering polarization on reflected intensity: a fast and accurate approximation method for atmospheres with aerosols. *Icarus*, **173**, 284, 2005.

Stam, D. M., J. F. de Haan, J. W. Hovenier, and P. Stammes, Degree of linear polarization of light emerging from the cloudless atmosphere in the oxygen *A* band. *J. Geophys. Res.*, **104**, 16843, 1999.

Stamnes, K., S.-C. Tsay, W. Wiscombe, and K. Jayaweera, Numerically stable algorithm for discrete ordinate method radiative transfer in multiple scattering and emitting layered media. *Applied Optics*, **27**, 2502-2509, 1988.

Stamnes, K., S-C. Tsay, W. Wiscombe, and I. Laszlo, DISORT: A general purpose Fortran program for ordinate-method radiative transfer in scattering and emitting media. Documentation of Methodology available from 82H82H83Hftp://climate.gsfc.nasa.gov/wiscombe/Multiple_scatt/, 2000.

Stammes, P., J. F. de Haan, and J. W. Hovenier, The polarized internal radiation field of a planetary atmosphere. *Astron. Astrophys.*, **225**, 239-259, 1989.

Vermote, E. F., D. Tanré, J. L. Deuzé, M. Herman, and J. J. Morcrette. Second simulation of the satellite signal in the solar spectrum, 6S: an overview. IEEE *Trans. Geosci. Remote Sens.*, **35**, 675–686, 1997.

Vestrucci, M., and C. E. Siewert, A numerical evaluation of an analytical representation of the components in a Fourier decomposition of the phase matrix for the scattering of polarized light, *JQSRT*, **31**, 177-183, 1984.

Wanner, W., X. Li, and A. Strahler, On the derivation of kernels for kernel-driven models of bidirectional reflectance. *J. Geophys. Res.*, **100**, 21077, 1995.

Wauben, W. M. F., and J. W. Hovenier, Polarized radiation of an atmosphere containing randomly-oriented spheroids. *J. Quant. Spectrosc. Radiat. Transfer*, **47**, 491-500, 1992.

Wiscombe, W. The delta-M method: rapid yet accurate radiative flux calculations for strongly asymmetric phase functions. *J. Atmos. Sci.*, **34**, 1408-1422, 1977.

5. Appendices

5.1 Tables

This section contains tables regarding: (1) input and output type structures; and (2) file-read character strings found in the input configuration file 2p8p2_VLIDORT_ReadInput.cfg. Items in red are not currently enabled in LIDORT 3.8.2.

<u>Note</u>. The user may notice a few variables that appear in the test drivers accompanying VLIDORT, but which are not found in the following input and output type structure tables. Such variables should be assigned default values (that is, .FALSE. for logical variables and zero for integer and floating-point variables) during VLIDORT's normal use. These variables are part of ongoing development work with VLIDORT, and are flagged as such in VLIDORT's input and output type structure files (in subdirectory "vlidort def") by the phrase "RT Solutions use only".

5.1.1 VLIDORT I/O type structures

This section contains tables for VLIDORT input and output (I/O) type structures. Table 5.1 gives an overview of the categories of these I/O tables.

Table 5.1: VLIDORT I/O type structure table guide

Table Prefix	Input/Output Category
A	Basic fixed inputs
В	Basic modified inputs
С	Basic supplement inputs
D	Basic outputs
Е	Linearized fixed inputs
F	Linearized modified inputs
G	Linearized supplement inputs
Н	Linearized outputs

5.1.1.1 VLIDORT basic fixed inputs

Table A1: Type Structure VLIDORT_Fixed_Inputs

Name	Kind/Intent	Description
Bool	VLIDORT_Fixed_Boolean (I)	Type structure for fixed Boolean inputs (see Table A2).
Cont	VLIDORT_Fixed_Control (I)	Type structure for fixed control inputs (see Table A3).
Sunrays	VLIDORT_Fixed_Sunrays (I)	Type structure for fixed solar inputs (see Table A4).
UserVal	VLIDORT_Fixed_UserValues (I)	Type structure for fixed user value inputs (see Table A5).
Chapman	VLIDORT_Fixed_Chapman (I)	Type structure for fixed pseudo-spherical and refractive geometry inputs (see Table A6).
Optical	VLIDORT_Fixed_Optical (I)	Type structure for fixed atmospheric optical property inputs (see Table A7).
Write	VLIDORT_Fixed_Write (I)	Type structure for fixed write control inputs (see Table A8).

 Table A2: Type Structure VLIDORT_Fixed_Boolean

Kind/Intent	Description
Logical (I)	If set, VLIDORT will do a full radiance calculation.
Logical (I)	If set, VLIDORT will compute atmospheric thermal
	emission with possible scattering.
Logical (I)	If set, VLIDORT will compute surface thermal emission
Logical (I)	Flag for use of the plane-parallel approximation for the
	direct beam attenuation. If not set, the atmosphere will be
	pseudo-spherical.
Logical (I)	If set, VLIDORT will compute upwelling output.
Logical (I)	If set, VLIDORT will compute downwelling output.
Logical (I)	Flag for choosing Lambertian surface properties.
Logical (I)	Flag for choosing implementation of a surface leaving
	Stokes vector contribution.
Logical (I)	Flag for choosing isotropic surface leaving contributions.
Logical (I)	Flag for including a surface-leaving intensity associated
	with a water surface.
Logical (I)	Flag for including a surface-leaving intensity associated
	with land surface fluorescence.
Logical (I)	Flag for determining the water surface-leaving intensity in
	an iterative fashion.
	Logical (I)

 Table A3: Type Structure VLIDORT_Fixed_Control

Name	Kind/Intent	Description
TAYLOR_ORDER	Integer (I)	Order of Taylor polynomial used for computational smoothing in situations where numerical instability could lead to spurious results.
NSTOKES	Integer (I)	Number of Stokes vector parameters for which computations will be done.
NSTREAMS	Integer (I)	Number of quadrature streams in the cosine half space $[0,1]$. Must be \leq symbolic dimension MAXSTREAMS.
NLAYERS	Integer (I)	Number of layers in atmosphere (NLAYERS = 1 is allowed). Must be ≤ symbolic dimension MAXLAYERS.
NFINELAYERS	Integer (I)	Number of fine layers subdividing coarse layering. Only for DO_SSCORR_OUTGOING, ≤ dimension MAXFINELAYERS.
N_THERMAL_COEFFS	Integer (I)	Number of coefficients used in treatment of blackbody emission in a layer. N_THERMAL_COEFFS = 1 implies constant within a layer; N_THERMAL_COEFFS = 2 implies a linear treatment. Maximum value allowed is currently 2.
VLIDORT_ACCURACY	Real*8 (I)	Accuracy criterion for convergence of Fourier series in relative azimuth. If for each output stream, addition of the m^{th} Fourier term changes the total (Fourier-summed) intensity by a relative amount less than this value, then we pass the convergence test. For each solar angle, convergence is tested for intensities at all output stream angles, levels and azimuth angles. Once one solar beam result has converged, there is no further point in calculating any more Fourier terms for this beam,

TF_MAXITER	Integer (I)	Value of the maximum number of loops allowed if
		computing the water-leaving transmittance contribution in
		an iterative fashion.
TF_CRITERION	Real*8 (I)	Stopping criterion used in computing the water-leaving
		transmittance contribution in an iterative fashion.

Table A4: Type Structure VLIDORT_Fixed_Sunrays

Name	Kind/Intent	Description
FLUX_FACTOR	Real*8 (I)	Beam source flux, the same value to be used for all solar angles. Normally set equal to 1 for "sun-normalized" output.

Table A5: Type Structure VLIDORT_Fixed_UserValues

Name	Kind/Intent	Description
N_USER_LEVELS	Integer (I)	Number of vertical output levels.

Table A6: Type Structure VLIDORT_Fixed_Chapman

Table 140. Type Structure VEID ON 1_1 IAcd_Chapman					
Name	Kind/Intent	Description			
HEIGHT_GRID	Real*8 (I)	Heights in [km] at layer boundaries, measured from TOA. Only required when Chapman function calculation of DELTA_SLANT_INPUT is done internally. Must be monotonically decreasing from TOA (this is checked).			
PRESSURE_GRID	Real*8 (I)	Pressure in [mb] from TOA to BOA. Only required for internal Chapman factor calculation with refractive geometry.			
TEMPERATURE_GRID	Real*8 (I)	Temperature in [K] from TOA to BOA. Only required for internal Chapman factor calculation with refractive geometry.			
FINEGRID	Integer (I)	Integer array indicating number of fine layer divisions to be used in Snell's Law bending in the Chapman factor calculation with refraction. Recommended to set FINEGRID(N)=10. Refraction only.			
RFINDEX_PARAMETER	Real*8 (I)	Only required for DO_REFRACTIVE_GEOMETRY option.			

Table A7: Type structure VLIDORT_Fixed_Optical

Name	Kind/Intent	 Description
		-
DELTAU_VERT_INPUT (n)	Real*8 (I)	Vertical optical depth thickness values for all layers n .
GREEKMAT_TOTAL_INPUT (L,n,S)	Real*8 (I)	For all layers n and Stokes vector components S ,
		Legendre moments of the phase function expansion
		multiplied by $(2L+1)$; initial value $(L=0)$ should
		always be 1 (checked).
FMAT_UP (n,g,6)	Real*8 (I)	For all layers n , forward-scattering F-matrix values
		for user-defined geometry g .
FMAT_DN (n,g,6)	Real*8 (I)	For all layers n , back-scattering F-matrix values for
		user-defined geometry g .
LAMBERTIAN_ALBEDO	Real*8 (I)	Lambertian albedo values (between 0 and 1).
THERMAL_BB_INPUT (n)	Real*8 (I)	Atmospheric thermal blackbody functions, levels <i>n</i>
SURFACE_BB_INPUT	Real*8 (I)	Thermal input for surface.
ATMOS_WAVELENGTH	Real*8 (I)	Wavelength [nm] for atmospheric optical property
		inputs. This is a diagnostic number, playing no part in
		the RT calculation. However it is vital to set this value
		when using VLIDORT with wavelength-dependent
		BRDF and/or VSLEAVE supplements - supplemental

	optical	properties	must	be	prepared	at	the	same
	waveler	ngth as used	for VI	LID	ORT optic	al in	ıput.	

 Table A8: Type structure VLIDORT_Fixed_Write

Name	Kind/Intent	Description — —
DO_DEBUG_WRITE	Logical (I)	Flag for writing VLIDORT debug output. (RT Solution use only)
DO_WRITE_INPUT	Logical (I)	Flag for sending certain VLIDORT general inputs to file.
INPUT_WRITE_FILENAME	Character (I)	File name for certain VLIDORT general inputs (up to 60 characters).
DO_WRITE_SCENARIO	Logical (I)	Flag for sending certain VLIDORT scenario inputs to file.
SCENARIO_WRITE_FILENAME	Character (I)	File name for certain VLIDORT scenario inputs (up to 60 characters).
DO_WRITE_FOURIER	Logical (I)	Flag for sending VLIDORT Fourier output to file. (not active)
FOURIER_WRITE_FILENAME	Character (I)	File name for certain VLIDORT Fourier output (up to 60 characters). (not active)
DO_WRITE_RESULTS	Logical (I)	Flag for sending VLIDORT general output to file.
RESULTS_WRITE_FILENAME	Character (I)	File name for VLIDORT general output (up to 60 characters).

5.1.1.2 VLIDORT basic modified inputs

Table B1: Type Structure VLIDORT_Modified_Inputs

Name	Kind/Intent	Description
MBool	VLIDORT_Modified_Boolean (IO)	Type structure for modified Boolean inputs (see Table B2).
MCont	VLIDORT_Modified_Control (IO)	Type structure for modified control inputs (see Table B3).
MSunrays	VLIDORT_Modified_Sunrays (IO)	Type structure for modified solar inputs (see Table B4).
MUserVal	VLIDORT_Modified_UserValues (IO)	Type structure for modified user value inputs (see Table B5).
MChapman	VLIDORT_Modified_Chapman (IO)	Type structure for modified pseudo-spherical and refractive geometry inputs (see Table B6).
MOptical	VLIDORT_Modified_Optical (IO)	Type structure for modified atmospheric optical property inputs (see Table B7).

 Table B2: Type Structure VLIDORT_Modified_Boolean

Name	Kind/Intent	Description
DO_FOCORR	Logical (IO)	If set, VLIDORT generates first order scatter results internally and returns them as output and/or applies them as corrections to full radiances and any accompanying Jacobians as selected elsewhere by the user.
DO_FOCORR_EXTERNAL	Logical (IO)	If set, VLIDORT will use first order scatter results computed externally in computations requiring first order input.
DO_FOCORR_NADIR	Logical (IO)	If set, VLIDORT performs Nakajima-Tanaka single scatter correction, based on a regular pseudo-spherical geometry

	T	calculation (no outgoing correction). This flag applies
		equally to the stand-alone FO code and the VLIDORT
		native single-scatter correction code.
DO_FOCORR_OUTGOING	Logical (IO)	If set, VLIDORT performs Nakajima-Tanaka single scatter
DO_FOCORK_OUTGOING	Logical (10)	correction, based on a line-of-sight pseudo-spherical
		geometry calculation. This flag applies equally to the
		stand-alone FO code and the VLIDORT native single-
		scatter correction code.
DO_SSCORR_TRUNCATION	Logical (I)	If set, VLIDORT performs additional delta-M scaling on
DO_SSCORK_TRUNCATION	Logical (1)	the single scatter RTE, applicable to either the nadir-only
		or the outgoing sphericity FO options. This has been disabled in version 2.8.2
DO_SSCORR_USEFMAT	Logical (IO)	Flag for using direct F-matrix inputs in the first order
DO_SSCORK_USEFMAT	Logical (10)	
		scatter calculations (instead of Greek-matrix expansion
DO DOUBLE CONTINUES	1 1 (10)	coefficients). Disabled – no longer needed
DO_DOUBLE_CONVTEST	Logical (IO)	If set, the Fourier azimuth series is examined twice for
		convergence. If not set, a single test is made (saves an
DO GOV LD GOVED GEG		additional Fourier computation).
DO_SOLAR_SOURCES	Logical (IO)	Flag for solar beam source of light. Always TRUE for
		atmospheric scattering of sunlight,, but may be either
		TRUE or FALSE in thermal regime (not yet implemented)
DO_REFRACTIVE_GEOMETRY	Logical (IO)	Flag for using refractive geometry input in the pseudo-
		spherical approximation. Need Pressure/Temperature.
DO_CHAPMAN_FUNCTION	Logical (IO)	Flag for making an internal calculation of the slant path
		optical depths DELTA_SLANT_INPUT. If called, must
		specify height grid and earth radius.
DO_RAYLEIGH_ONLY	Logical (IO)	Flag for simulations in a Rayleigh atmosphere (molecules
		+ trace gas absorptions). If set, only Fourier terms $m = 0, 1$
		and 2 are calculated.
DO_DELTAM_SCALING	Logical (IO)	Flag for controlling use of the Delta-M scaling option. In
		most circumstances, this flag will be set.
DO_SOLUTION_SAVING	Logical (IO)	If set, then the RTE will not be solved if there is no
		scattering in certain layers for certain Fourier components
		(this is checked internally). Usage for example in Rayleigh
		atmosphere with one cloud layer.
DO_BVP_TELESCOPING	Logical (IO)	If set, then a reduced boundary value problem is solved for
		a set of contiguous scattering layers inside an otherwise
		transmittance-only atmosphere. Usage for example in
		Rayleigh atmosphere with one cloud layer.
DO_USER_VZANGLES	Logical (IO)	If set, there will be output at a number of off-quadrature
		zenith angles specified by user. This is the normal case.
DO_ADDITIONAL_MVOUT	Logical (IO)	Flag to produce integrated (mean-value) output in addition
		to radiance.
DO_MVOUT_ONLY	Logical (IO)	Flag to generate mean-value output only. Since such
		outputs are hemisphere-integrated, there is no need for
		user-defined angles, and only Fourier $m=0$ contributes.
DO_THERMAL_TRANSONLY	Logical (IO)	If set, VLIDORT will compute atmospheric thermal
		emission without scattering (transmission only).
DO_OBSERVATION_GEOMETRY	Logical (IO)	If set, VLIDORT will compute RT solutions only at
	(20)	observational geometry triplets specified by the user when
		computing RT solutions for multiple geometries. Used in
		conjunction with input variables N_USER_OBSGEOMS
		and USER_OBSGEOM_INPUT.
DO_DOUBLET_GEOMETRY	Logical (I)	If set, supplement will compute VLIDORT quantities at
	2051041 (1)	doublet geometry input specified by the user, multiple
	l .	The second of the ager, martiple

		user-angle pairs for each solar zenith angle input).
--	--	--

Table B3: Type Structure VLIDORT_Modified_Control (No longer used in 3.8.2)1

Name	Kind/Intent	Description
NGREEK_MOMENTS_INPUT	Integer (IO)	Number of Legendre expansion coefficients for the phase function. In the delta-M approximation, this must be at least 2*NSTREAMS to ensure delta-M truncation factor exists. NGREEK_MOMENTS_INPUT is used in exact single scatter, so should be > 2*NSTREAMS-1. Must be \leq MAXMOMENTS_INPUT. DISABLED, no longer used in Version 3.8.2.

 Table B4: Type Structure VLIDORT_Modified_Sunrays

Name	Kind/Intent	Description
N_SZANGLES	Integer (IO)	Number solar angles. Must ≤ symbolic dimension MAX_SZANGLES.
SZANGLES (b)	Real*8 (IO)	Array of <i>b</i> solar zenith angles (degrees). Checked internally range [0, 90).

 Table B5:
 Type Structure VLIDORT_Modified_UserValues

Name	Kind/Intent	Description
N_USER_RELAZMS	Integer (IO)	Number of user-defined relative azimuth angles. Must not be greater than symbolic dimension MAX_USER_RELAZMS.
USER_RELAZMS (r)	Real*8 (IO)	Array of <i>r</i> user-defined relative azimuth angles (in degrees) for off-quadrature output. Ordering is not important. Must be between 0 and 360 degrees.
N_USER_VZANGLES	Integer (IO)	Number of user-defined viewing zenith angles. Must be not greater than symbolic dimension MAX_USER_VZANGLES.
USER_VZANGLES_INPUT (v)	Real*8 (IO)	Array of <i>v</i> user-defined viewing zenith angles (in degrees) for off-quadrature output. The ordering is not important (VLIDORT orders and checks this input internally). Must be between 0 and 90 degrees.
USER_LEVELS (o)	Real*8 (IO)	Array of <i>o</i> output level values. These can be in any order (VLIDORT sorts them in ascending order internally). Repetition of input values is also checked. See text for details.
GEOMETRY_SPECHEIGHT	Real*8 (IO)	This is the height in [km] above the Earth's surface at which input geometrical variables are specified. This may differ from the lowest value of the input height grid. Thus, for example, we may have geometrical angles at sea level, but we could be performing calculations down to cloud-top only – then, the input geometry needs to be adjusted to the lowest grid height whenever the outgoing single scatter option is set.
N_USER_OBSGEOMS	Integer (IO)	Number of user-defined observational geometry triplets. Must not be greater than the symbolic dimension MAX_USER_OBSGEOMS.
USER_OBSGEOM_INPUT (g,3)	Real*8 (IO)	Array of g user-defined observational geometry triplets (in

degrees) for off-quadrature output. It consists of the
geometry triplets (solar zenith angle, viewing angle, relative
azimuth angle) for which RT solutions are desired.

Table B6: Type Structure VLIDORT_Modified_Chapman (No longer used in 2.8.2)

Name	Kind/Intent	Description
EARTH_RADIUS	Real*8 (IO)	Earth's radius in [km]. Only required when DO_CHAPMAN_FUNCTION has been set. Checked internally to be in range [6320, 6420]. This is now part of the new setups type structures in 2.8.2 (see Chapter 7)

Table B7: Type structure VLIDORT_Modified_Optical Chapman (No longer used in 2.8.2)

Name	Kind/Intent	Description
OMEGA_TOTAL_INPUT (n)	Real*8 (IO)	Single scattering albedos for all layers <i>n</i> . Should not be too close to 1.0; this is checked internally – OMEGA_SMALLNUM toggle generates a warning. MOVED to Table A7

5.1.1.3 VLIDORT basic supplement I/O

 Table C1: Type Structure VLIDORT_Sup_InOut

Name	Kind/Intent	Description
BRDF	VLIDORT_Sup_BRDF (I)	Type structure for BRDF supplement inputs (see Table C2).
SS	VLIDORT_Sup_SS (IO)	Type structure for single-scatter (SS) supplement (see Table C3).
SLEAVE	VLIDORT_Sup_SLEAVE (I)	Type structure for water-surface ("surface leaving") VSLEAVE supplement (see Table C4).

 Table C2: Type structure VLIDORT_Sup_BRDF

Name	Kind/Intent	Description
EXACTDB_BRDFUNC (S,a,b,s)	Real*8 (I)	Direct-bounce BRDF for Stokes vector component S , incident solar angle s , reflected line-of-sight angle a , and relative azimuth b .
BRDF_F_0 (M,S,k,s)	Real*8 (I)	Fourier components M of total BRDF for Stokes vector component S , incident solar angle s and reflected discrete ordinate k .
BRDF_F (M,S,k,j)	Real*8 (I)	Fourier components M of total BRDF for Stokes vector component S , incident discrete ordinate j and reflected discrete ordinate k .
USER_BRDF_F_0 (M,S,a,s)	Real*8 (I)	Fourier components M of total BRDF for Stokes vector component S , incident solar angle s and reflected line-of-sight zenith angle a .
USER_BRDF_F (M,S,a,j)	Real*8 (I)	Fourier components M of total BRDF for Stokes vector component S , incident discrete ordinate j and reflected line-of-sight zenith angle a .
EMISSIVITY (S,k)	Real*8 (I)	Surface emissivity for Stokes vector component <i>S</i> and emitted discrete ordinate <i>k</i> .
USER_EMISSIVITY (S,a)	Real*8 (I)	Surface emissivity for Stokes vector component <i>S</i> and emitted line-of-sight zenith angle <i>a</i> .

Table C3: Type structure VLIDORT_Sup_SS

Name	Kind/Intent	Description
STOKES_SS (t,v,S,d)	Real*8 (IO)	Stokes single scatter vector at output level t , output geometry v , Stokes parameter S , and direction d .
STOKES_DB (t,v,S)	Real*8 (IO)	Stokes direct-bounce vector at output level <i>t</i> , output geometry <i>v</i> , and Stokes parameter <i>S</i> .

Table C4: Type structure VLIDORT_Sup_SLEAVE

Tuble Oil Type Structure VEID OILT_Sup_SEETIVE		
Name	Kind/Intent	Description
SLTERM_ISOTROPIC (S,s)	Real*8 (I)	Isotropic surface leaving radiance for Stokes vector
		component S and incident solar angle s.
SLTERM_USERANGLES (S,a,b,s)	Real*8 (I)	Surface-leaving radiance for Stokes vector component <i>S</i> ,
		incident solar angle s, reflected line-of-sight angle a, and
		relative azimuth b.
SLTERM_F_0 (M,S,k,s)	Real*8 (I)	Fourier components M of diffuse-term surface-leaving
		radiance for Stokes vector component S, incident solar
		angle s and reflected discrete ordinate k.
USER_SLTERM_F_0 (M,S,a,s)	Real*8 (I)	Fourier components M of diffuse-term surface-leaving for
		Stokes vector component S, incident solar angle s and
		reflected line-of-sight zenith angle a.

5.1.1.4 VLIDORT basic outputs

 Table D1: Type Structure VLIDORT_Outputs

Name	Kind/Intent	Description
Main	VLIDORT_Main_Outputs (O)	Type structure for main outputs (see Table D2).
Status	VLIDORT_Exception_Handling (O)	Type structure for exception-handling outputs (see Table D3).

Table D2: Type Structure VLIDORT_Main_Outputs

Name	Kind/Intent	Description
STOKES (t,v,s,S,d)	Real*8 (O)	Stokes vector at output level t , output geometry v , solar angle s , Stokes parameter S , and direction d .
MEANST_DIFFUSE (t,s,S,d)	Real*8 (O)	Stokes mean diffuse vector (actinic flux) for output level t , solar angle s , Stokes parameter S , and direction d .
FLUX_DIFFUSE (t,s,S,d)	Real*8 (O)	Stokes flux diffuse vector (regular flux) for output level t , solar angle s , Stokes parameter S , and direction d .
DNMEANST_DIRECT (t,s,S)	Real*8 (O)	Stokes downwelling direct mean vector (actinic flux) for output level <i>t</i> , solar angle <i>s</i> , and Stokes parameter <i>S</i> .
DNFLUX_DIRECT (t,s,S)	Real*8 (O)	Stokes downwelling direct flux vector (regular flux) for output level <i>t</i> , solar angle <i>s</i> , and Stokes parameter <i>S</i> .
FOURIER_SAVED (s)	Integer (O)	Number of Fourier moments required to calculate Stokes outputs for solar angle <i>s</i> to required degree of accuracy.
N_GEOMETRIES	Integer (O)	Number of scene geometries for which VLIDORT has calculated outputs.
SZA_OFFSETS (s)	Integer (O)	Solar zenith angle offsets for solar angle <i>s</i> .
VZA_OFFSETS (s,v)	Integer (O)	Viewing zenith angle offsets for solar angle <i>s</i> and output geometry <i>v</i> .
SOLARBEAM_BOATRANS(s)	Real*8 (O)	Solar beam transmittance to the bottom of the atmosphere, for solar angle <i>s</i> . This is a useful diagnostic output.

Table D3: Type Structure VLIDORT_Exception_Handling

	JF - 2	+ 212 0111_211 00 ption_11 u 11 u 11
Name	Kind/Intent	Description
STATUS_INPUTCHECK	Integer (O)	Overall status of input check.
NCHECKMESSAGES	Integer (O)	Number of input-check error messages.
CHECKMESSAGES	Character (O)	Array of input-check error messages.
ACTIONS	Character (O)	Array of input-check actions to take.
STATUS_CALCULATION	Integer (O)	Overall status of calculation.
MESSAGE	Character (O)	Calculation failure message.
TRACE_1	Character (O)	First subroutine trace for place of failure.
TRACE_2	Character (O)	Second subroutine trace for place of failure.
TRACE_3	Character (O)	Third subroutine trace for place of failure.

 Table D4: Type Structure VLIDORT_Input_Exception_Handling

Name	Kind/Intent	Description
STATUS_INPUTREAD	Integer (O)	Overall status of input read.
NINPUTMESSAGES	Integer (O)	Number of input read error messages.
INPUTMESSAGES	Character (O)	Array of input-read error messages.
INPUTACTIONS	Character (O)	Array of input-read actions to take.

5.1.1.5 VLIDORT linearized fixed inputs

Table E1: Type Structure VLIDORT_Fixed_LinInputs

	Tuble 21. Type Structure VEID ORT_TIME_EMITING		
Name	Kind/Intent	Description	
Cont	VLIDORT_Fixed_LinControl (I)	Type structure for fixed linearized control inputs (see Table E2).	
Optical	VLIDORT_Fixed_LinOptical (I)	Type structure for fixed linearized atmospheric optical property inputs (see Table E3).	

Table E2: Type structure VLIDORT Fixed LinControl

Table E2. Type structure verbold in incommon			
Name	Kind/Intent	Description	
LAYER_VARY_FLAG (n)	Logical (I)	Flag for calculating profile Jacobians in layer <i>n</i> .	
LAYER_VARY_NUMBER (n)	Integer (I)	Number of profile weighting functions in layer <i>n</i> .	
N_TOTALCOLUMN_WFS	Integer (I)	Number of total column weighting functions. Should not	
		exceed dimension MAX_ATMOSWFS.	
N_TOTALPROFILE_WFS	Integer (I)	Number of profile weighting functions = Maximum	
		value of LAYER_VARY_NUMBER. Should not	
		exceed dimension MAX_ATMOSWFS.	
N_SURFACE_WFS	Integer (I)	Equal to 1 if Lambertian calculation and surface	
		linearization flag set. For linearized BRDF option,	
		should be set equal to N_SURFACE_WFS in the BRDF	
		structure. Should not exceed dimension	
		MAX_SURFACEWFS.	
N_SLEAVE_WFS	Integer (I)	Number of surface-leaving Jacobians.	
COLUMNWF_NAMES	Character (I)	Names of column Jacobians (up to 31 characters).	
PROFILEWF_NAMES	Character (I)	Names of profile Jacobians (up to 31 characters).	

Table E3: Type structure VLIDORT_Fixed_LinOptical

Name	Kind/Intent	Description
L_DELTAU_VERT_INPUT (q,n)	Real*8 (I)	Relative variation in optical thickness for layer n with respect to varying parameter q in that layer.
L_OMEGA_TOTAL_INPUT (q,n)	Real*8 (I)	Relative variation in total single scattering albedo in layer n , with respect to parameter q in that layer.
L_GREEKMAT_TOTAL_INPUT (q,L,n,S)	Real*8 (I)	Relative variation in phase function moment coefficients. For Stokes vector component S , Legendre moment L in layer n with respect to parameter q in that layer.
L_FMAT_UP (q,n,g,6)	Real*8 (I)	Relative variation in forward-scattering F-matrix values in layer n , for user-defined geometry g , with respect to parameter q in that layer.
L_FMAT_DN (q,n,g,6)	Real*8 (I)	Relative variation in back-scattering F-matrix values in layer n , for user-defined geometry g , with respect to parameter q in that layer.

5.1.1.6 VLIDORT linearized modified inputs

 Table F1: Type Structure VLIDORT_Modified_LinInputs

Name	Kind/Intent	Description
MCont	VLIDORT_Modified_LinControl (IO)	Type structure for modified linearized control inputs (see Table F2).

 Table F2: Type structure VLIDORT_Modified_LinControl

Name	Kind/Intent	Description
DO_COLUMN_LINEARIZATION	Logical (IO)	Flag for output of total column Jacobians.
DO_PROFILE_LINEARIZATION	Logical (IO)	Flag for output of profile Jacobians.
DO_ATMOS_LINEARIZATION	Logical (IO)	Flag for output of atmospheric Jacobians (the logical
		AND of the above COLUMN and PROFILE flags and
		the LTE flag from Table A11). If using subroutine
		VLIDORT_L_INPUT_MASTER, this is defined
		automatically.
DO_SURFACE_LINEARIZATION	Logical (IO)	Flag for output of surface Jacobians.
DO_LINEARIZATION	Logical (IO)	Flag for output of any Jacobians (the logical AND of the
		above ATMOS and SURFACE flags and the SURFBB
		flag from Table A11). If using subroutine
		VLIDORT_L_INPUT_MASTER, this is defined
		automatically.
DO_SIMULATION_ONLY	Logical (IO)	Flag for output of standard radiative transfer quantities
		only (e.g. radiances and fluxes). If set, no Jacobians
		will be computed.
DO_ATMOS_LBBF	Logical (IO)	Flag for output of atmospheric blackbody Jacobians.
DO_SURFACE_LBBF	Logical (IO)	Flag for output of surface blackbody Jacobians.
DO_SLEAVE_WFS	Logical (IO)	Flag for output of surface-leaving Jacobians.

5.1.1.7 VLIDORT linearized supplement I/O

 Table G1: Type Structure VLIDORT_LinSup_InOut

Name	Kind/Intent	Description
BRDF	VLIDORT_LinSup_BRDF (I)	Type structure for linearized BRDF supplement inputs (see Table G2).
SS	VLIDORT_LinSup_SS (IO)	Type structure for linearized single-scatter (SS) supplement (see Table G3).
SLEAVE	VLIDORT_LinSup_SLEAVE (I)	Type structure for linearized surface leaving VSLEAVE supplement (see Table G4).

 Table G2:
 Type structure VLIDORT_LinSup_BRDF

Table G2. Type structure v LIDOKT_Linsup_BKDT			
Name	Kind/Intent	Description	
LS_EXACTDB_BRDFUNC (q,S,a,b,s)	Real*8 (I)	Linearized direct-bounce BRDF for Stokes vector component <i>S</i> , incident solar angle <i>s</i> , reflected line-of-sight angle <i>a</i> , and relative azimuth <i>b</i> , w.r.t. surface property <i>q</i> .	
LS_BRDF_F_0 (q,M,S,k,s)	Real*8 (I)	Linearized Fourier components <i>M</i> of total BRDF for Stokes vector component <i>S</i> , incident solar angle <i>s</i> and reflected discrete ordinate <i>k</i> , w.r.t. surface property <i>q</i> .	
LS_BRDF_F (q,M,S,k,j)	Real*8 (I)	Linearized Fourier components M of total BRDF for Stokes vector component S , incident discrete ordinate j and reflected discrete ordinate k , w.r.t. surface property q .	
LS_USER_BRDF_F_0 (q,M,S,a,s)	Real*8 (I)	Linearized Fourier components <i>M</i> of total BRDF for Stokes vector component <i>S</i> , incident solar angle <i>s</i> and reflected line-of-sight zenith angle <i>a</i> , w.r.t. surface property <i>q</i> .	
LS_USER_BRDF_F (q,M,S,a,j)	Real*8 (I)	Linearized Fourier components <i>M</i> of total BRDF for Stokes vector component <i>S</i> , incident discrete ordinate <i>j</i> and reflected line-of-sight zenith angle <i>a</i> , w.r.t. surface property <i>q</i> .	
LS_EMISSIVITY (q,S,k)	Real*8 (I)	Linearized surface emissivity for Stokes vector component S and emitted discrete ordinate k , w.r.t. surface property q .	
LS_USER_EMISSIVITY (q,S,a)	Real*8 (I)	Linearized surface emissivity for Stokes vector component S and emitted line-of-sight zenith angle a , w.r.t. surface property q .	

Table G3: Type Structure VLIDORT_LinSup_SS

Name	Kind/Intent	Description
Col	VLIDORT_LinSup_SS_Col (IO)	Type structure for linearized single-scatter atmospheric column Jacobians (see Table G3-1).
Prof	VLIDORT_LinSup_SS_Prof (IO)	Type structure for linearized single-scatter atmospheric profile Jacobians (see Table G3-2).
Surf	VLIDORT_LinSup_SS_Surf (IO)	Type structure for linearized single-scatter surface Jacobians (see Table G3-3).

Table G3-1: Type structure VLIDORT_LinSup_SS_Col

	71	<u> </u>
Name	Kind/Intent	Description
COLUMNWF_SS (q,t,v,S,d)	Real*8 (IO)	Column Jacobians of single-scatter Stokes vector w.r.t. variable q , at output level t , geometry v , Stokes parameter S , and direction d .
COLUMNWF_DB (q,t,v,S)	Real*8 (IO)	Column Jacobians of direct-bounce Stokes vector w.r.t. variable q , at output level t , geometry v , and Stokes parameter S .

Table G3-2: Type structure VLIDORT_LinSup_SS_Prof

Name	Kind/Intent	Description
PROFILEWF_SS (q,n,t,v,S,d)	Real*8 (IO)	Profile Jacobians of single-scatter Stokes vector w.r.t. variable q in layer n , at output level t , geometry v , Stokes parameter S , and direction d .
PROFILEWF_DB (q,n,t,v,S)	Real*8 (IO)	Profile Jacobians of direct-bounce Stokes vector w.r.t. variable q in layer n , at output level t , geometry v , and Stokes parameter S .

 Table G3-3:
 Type structure VLIDORT_LinSup_SS_Surf

Name	Kind/Intent	Description
SURFACEWF_DB (r,t,v,S)	Real*8 (IO)	Surface Jacobians of direct-bounce Stokes vector w.r.t. variable r , at output level t , geometry v , and Stokes parameter S .

 Table G4: Type structure VLIDORT_LinSup_SLEAVE

Name	Kind/Intent	Description
LSSL_SLTERM_ISOTROPIC (q,S,s)	Real*8 (I)	Linearized Isotropic surface-leaving radiance for
		Stokes vector component S and incident solar
		angle s , w.r.t. surface property q .
LSSL_SLTERM_USERANGLES (q,S,a,b,s)	Real*8 (I)	Linearized surface-leaving radiance for Stokes
		vector component S , incident solar angle s ,
		reflected line-of-sight angle a, and relative
		azimuth b , w.r.t. surface property q .
LSSL_SLTERM_F_0 (q,M,S,k,s)	Real*8 (I)	Linearized Fourier components M of surface-
		leaving radiance for Stokes vector component S,
		incident solar angle s and reflected discrete
		ordinate k , w.r.t. surface property q .
LSSL_USER_SLTERM_F_0 (q,M,S,a,s)	Real*8 (I)	Linearized Fourier components M of surface-
_		leaving radiance for Stokes vector component S,
		incident solar angle s and reflected line-of-sight
		zenith angle a, w.r.t. surface property q.

5.1.1.8 VLIDORT linearized outputs

 Table H1: Type Structure VLIDORT_LinOutputs

Name	Kind/Intent	Description
Col	VLIDORT_LinCol (O)	Type structure for linearized atmospheric column outputs (see Table H2).
Prof	VLIDORT_LinProf (O)	Type structure for linearized atmospheric profile outputs (see Table H3).
Atmos	VLIDORT_LinAtmos(O)	Type structure for linearized atmospheric general outputs (see Table H4).
Surf	VLIDORT_LinSurf (O)	Type structure for linearized surface outputs (see Table H5).

 Table H2: Type Structure VLIDORT_LinCol

Name	Kind/Intent	Description
COLUMNWF (q,t,v,S,d)	Real*8 (O)	Column Jacobians of Stokes vector with respect to <u>total</u>
		atmospheric variable q , at output level t , geometry v , Stokes
		parameter S , and direction d .
MEANST_DIFFUSE_COLWF	Real*8 (O)	Atmospheric Jacobians of Stokes mean diffuse vector
(q,t,s,S,d)		(actinic flux) w.r.t. atmospheric variable q , at output level t ,
		solar beam s , Stokes parameter S , and direction d .
FLUX_DIFFUSE_COLWF	Real*8 (O)	Atmospheric Jacobians of Stokes flux diffuse vector
(q,t,s,S,d)		(regular flux) w.r.t. atmospheric variable q , at output level t ,
		solar beam s , Stokes parameter S , and direction d .
DNMEANST_DIRECT_COLWF	Real*8 (O)	Atmospheric Jacobians of Stokes downwelling direct mean
(q,t,s,S)		vector (actinic flux) w.r.t. atmospheric variable q , at output
		level t, solar beam s, and Stokes parameter S.
DNFLUX_DIRECT_COLWF	Real*8 (O)	Atmospheric Jacobians of Stokes downwelling direct flux
(q,t,s,S)		vector (regular flux) w.r.t. atmospheric variable q , at output
		level t , solar beam s , and Stokes parameter S .

Table H3: Type Structure VLIDORT_LinProf

Name	Kind/Inten t	Description
PROFILEWF (q,n,t,v,S,d)	Real*8 (O)	Profile Jacobians of Stokes vector with respect to <u>profile</u> atmospheric variable q in layer n , at output level t , geometry v , Stokes parameter S , and direction d .
MEANST_DIFFUSE_PROFWF (q,n,t,s,S,d)	Real*8 (O)	Atmospheric Jacobians of Stokes mean diffuse vector (actinic flux) w.r.t. variable q in layer n , at output level t , solar beam s , Stokes parameter S , and direction d .
FLUX_DIFFUSE_PROFWF (q,n,t,s,S,d)	Real*8 (O)	Atmospheric Jacobians of Stokes flux diffuse vector (regular flux) w.r.t. atmospheric variable q in layer n , at output level t , solar beam s , Stokes parameter S , and direction d .
DNMEANST_DIRECT_PROFWF (q,n,t,s,S)	Real*8 (O)	Atmospheric Jacobians of Stokes downwelling direct mean vector (actinic flux) w.r.t. atmospheric variable q in layer n , at output level t , solar beam s , Stokes parameter S , and direction d .
DNFLUX_DIRECT_PROFILEWF (q,n,t,s,S)	Real*8 (O)	Atmospheric Jacobians of Stokes downwelling direct flux vector (regular flux) w.r.t. atmospheric variable q in layer n , at output level t , solar beam s , Stokes parameter S , and direction d .

Table H4: Type Structure VLIDORT_LinAtmos

Name	Kind/Intent	Description
ABBWFS JACOBIANS (o,v,n,S,d)	Real*8 (O)	Atmospheric-blackbody radiance Jacobians at output
(0,1,-1,2,0)		level o , geometry v , level n , Stokes parameter S , and
		direction d.
ABBWFS_FLUXES (o,f,n,S,d)	Real*8 (O)	Atmospheric-blackbody flux Jacobians at output level o,
		level n , flux type f , Stokes parameter S , and direction d .
		(Note: f=1 is for actinic flux, f=2 regular flux)

Table H5: Type Structure VLIDORT_LinSurface

Name	Kind/Intent	Description
SURFACEWF (r,t,v,S,d)	Real*8 (O)	Surface Jacobians of Stokes vector with respect to <i>surface</i>
		variable r , at output level t , geometry v , Stokes parameter
		S, and direction d .
MEANST_DIFFUSE_SURFWF	Real*8 (O)	Surface Jacobians of Stokes mean diffuse vector (actinic
(r,t,s,S,d)		flux) w.r.t. variable r, at output level t, solar beam s,
		Stokes parameter S , and direction d .
FLUX_DIFFUSE_SURFWF	Real*8 (O)	Surface Jacobians of Stokes flux diffuse vector (regular
(r,t,s,S,d)		flux) w.r.t. variable r, at output level t, solar beam s,
		Stokes parameter S , and direction d .
SBBWFS_JACOBIANS (o,v,S,d)	Real*8 (O)	Surface-blackbody radiance Jacobians at output level o,
		geometry v , Stokes parameter S , and direction d .
SBBWFS_FLUXES (o,f,S,d)	Real*8 (O)	Surface-blackbody flux Jacobians at output level o, flux
		type f , Stokes parameter S , and direction d .
		(Note: f=1 is for actinic flux, f=2 regular flux)

5.1.2 VLIDORT file-read character strings

This section contains tables for file-read character strings found in the input configuration file 2p8p2_VLIDORT_ReadInput.cfg and their associated VLIDORT I/O type structure variables. Table 5.2 gives an overview of the categories of these tables.

Table 5.2: VLIDORT input configuration file table guide

Table Prefix	Input/Output Category
J	Basic fixed inputs
K	Basic modified inputs
L	Linearized fixed inputs
M	Linearized modified inputs

5.1.2.1 VLIDORT basic fixed inputs

Table J1: File-read Character strings for Fixed Boolean variables (Table A2)

Name	Kind	Character string in Configuration file
DO_FULLRAD_MODE	Logical	Do full Stokes vector calculation?
DO_THERMAL_EMISSION	Logical	Do thermal emission?
DO_SURFACE_EMISSION	Logical	Do surface emission?
DO_PLANE_PARALLEL	Logical	Do plane-parallel treatment of direct beam?
DO_UPWELLING	Logical	Do upwelling output?

DO_DNWELLING	Logical	Do downwelling output?
DO_LAMBERTIAN_SURFACE	Logical	Do Lambertian surface?
DO_SURFACE_LEAVING	Logical	Do surface-leaving term?
DO_SL_ISOTROPIC	Logical	Do isotropic surface-leaving term?
DO_WATER_LEAVING	Logical	Do Water-leaving option?
DO_FLUORESCENCE	Logical	Do Fluorescence option?
DO_TF_ITERATION	Logical	Do iterative calculation of Water-leaving
		transmittance?

Table J2: File-read Character strings for Fixed Control variables (Table A3)

14616 92.116	Touc ondian	racter strings for Fract Control variables (Fable 713)				
Name	Kind	Character string in Configuration file				
TAYLOR_ORDER	Integer	Number of small-number terms in Taylor series				
		expansions				
NSTOKES	Integer	Number of Stokes vector components				
NSTREAMS	Integer	Number of half-space streams				
NLAYERS	Integer	Number of atmospheric layers				
NFINELAYERS	Integer	Number of fine layers (outgoing sphericity option only)				
N_THERMAL_COEFFS	Integer	Number of thermal coefficients				
VLIDORT_ACCURACY	Real*8	Fourier series convergence				
TF_MAXITER	Integer	Maximum number of iterations in calculation of Water-				
		leaving transmittance				
TF_CRITERION	Real*8	Convergence criterion for iterative calculation of Water-				
		leaving transmittance				

Table J3: File-read Character strings for Fixed Sunrays variables (Table A4)

Name	Kind	Character string in Configuration file
FLUX_FACTOR	Real*8	Solar flux constant

Table J4: File-read Character strings for Fixed UserValues variables (Table A5)

Name	Kind	Character string in Configuration file
N_USER_LEVELS	Integer	Number of user-defined output levels

Table J5: File-read Character strings for <u>some</u> Fixed Chapman variables (Table A6)

Name	Kind	Character string in Configuration file
RFINDEX_PARAMETER	Real*8	Refractive index parameter

Table J6: File-read Character strings for <u>some</u> Fixed Optical variables (Table A7)

Name	Kind	Character string in Configuration file
LAMBERTIAN_ALBEDO	Real*8	Lambertian albedo
ATMOS_WAVELENGTH	Real*8	Atmospheric wavelength [Microns]

Table J7: File-read Character strings for Fixed Write variables (Table A8)

Name	Kind	Character string in Configuration file
DO_DEBUG_WRITE	Logical	Do debug write? (RT Solution use only)

DO_WRITE_INPUT	Logical	Do input control write?
DO_WRITE_SCENARIO	Logical	Do input scenario write?
DO_WRITE_FOURIER	Logical	Do Fourier component output write? (not active)
DO_WRITE_RESULTS	Logical	Do results write?
INPUT_WRITE_FILENAME	Character	filename for input write
SCENARIO_WRITE_FILENAM	Character	filename for scenario write
E		
FOURIER_WRITE_FILENAME	Character	filename for Fourier output write (not active)
RESULTS_WRITE_FILENAME	Character	filename for main output

5.1.2.2 VLIDORT basic modified inputs

Table K1: File-read Character strings for Modified Boolean variables (Table B2)

Name	Kind	Character string in Configuration file
DO_FOCORR	Logical	Do First-Order (FO) correction?
DO_FOCORR_EXTERNAL	Logical	Do external First-Order correction?
DO_FOCORR_NADIR	Logical	Do nadir single scatter correction?
DO_FOCORR_OUTGOING	Logical	Do outgoing single scatter correction?
DO_SSCORR_TRUNCATION	Logical	Do delta-M scaling on single scatter corrections?
DO_SSCORR_USEFMAT	Logical	Do Fmatrix usage in single scatter correction?
DO_DOUBLE_CONVTEST	Logical	Do double convergence test?
DO_SOLAR_SOURCES	Logical	Use solar sources?
DO_REFRACTIVE_GEOMETRY	Logical	Do refractive geometry?
DO_CHAPMAN_FUNCTION	Logical	Do internal Chapman function calculation?
DO_RAYLEIGH_ONLY	Logical	Do Rayleigh atmosphere only?
DO_DELTAM_SCALING	Logical	Do delta-M scaling?
DO_SOLUTION_SAVING	Logical	Do solution-saving?
DO_BVP_TELESCOPING	Logical	Do boundary-value telescoping?
DO_USER_VZANGLES	Logical	Use user-defined viewing zenith angles?
DO_ADDITIONAL_MVOUT	Logical	Do mean-value output additionally?
DO_MVOUT_ONLY	Logical	Do only mean-value output?
DO_THERMAL_TRANSONLY	Logical	Do thermal emission, transmittance only?
DO_OBSERVATION_GEOMETRY	Logical	Do Observation Geometry?
DO_DOUBLET_GEOMETRY	Logical	Do Doublet Geometry?

Table K2: File-read Character strings for Modified Control variables (Table B3)

Name	Kind	Character string in Configuration file
NGREEK_MOMENTS_INPUT	Integer	Number of scattering matrix expansion coefficients

Table K3: File-read Character strings for Modified Sunrays variables (Table B4)

Name	Kind	Character string in Configuration file
N_SZANGLES	Integer	Number of solar zenith angles
SZANGLES	Real*8	Solar zenith angles (degrees)

Table K4: File-read Character strings for Modified UserValues variables (Table B5)

Name Kind Chara	acter string in Configuration file
-----------------	------------------------------------

N_USER_RELAZMS	Integer	Number of user-defined relative azimuth angles
USER_RELAZMS	Real*8	User-defined relative azimuth angles (degrees)
N_USER_VZANGLES	Integer	Number of user-defined viewing zenith angles
USER_VZANGLES_INPUT	Real*8	User-defined viewing zenith angles (degrees)
USER_LEVELS	Real*8	User-defined output levels
GEOMETRY_SPECHEIGHT	Real*8	Input geometry specification height (km)
N_USER_OBSGEOMS	Integer	Number of Observation Geometry inputs
USER_OBSGEOM_INPUT	Real*8	Observation Geometry inputs

Table K5: File-read Character strings for *some* Modified Chapman variables (Table B6)

Name	Kind	Character string in Configuration file
EARTH_RADIUS	Real*8	Earth radius (km)

5.1.2.3. VLIDORT linearized fixed inputs

Table L1: File-read Character strings for <u>some</u> Fixed LinControl variables (Table E2)

Name	Kind	Character string in Configuration file
N_TOTALCOLUMN_WFS	Integer	Number of atmospheric column weighting functions (total)
N_TOTALPROFILE_WFS	Integer	Number of atmospheric profile weighting functions (total)
COLUMNWF_NAMES	Character	Atmospheric column Jacobian names (character*31)
PROFILEWF_NAMES	Character	Atmospheric profile Jacobian names (character*31)

5.1.2.4 VLIDORT linearized modified inputs

Table M1: File-read Character strings for *some* Modified LinControl variables (Table F2)

Name	Kind	Character string in Configuration file
DO_SIMULATION_ONLY	Logical	Do simulation only?
DO_COLUMN_LINEARIZATION	Logical	Do atmospheric column weighting functions?
DO_PROFILE_LINEARIZATION	Logical	Do atmospheric profile weighting functions?
DO_SURFACE_LINEARIZATION	Logical	Do surface property weighting functions?
DO_ATMOS_LBBF	Logical	Atmospheric BB emission weighting functions?
DO_SURFACE_LBBF	Logical	Surface BB emission weighting functions?

5.2 Environment programs

5.2.1 Set-ups for the scalar solar and thermal tests

There are 23 levels from 50.0 km down to 0.0 km, with a pre-prepared atmosphere with height, layer optical depth for molecules and layer single scatter albedo for molecules. The lowest 6 layers have a uniform slab of aerosol, inserted by hand, with the phase function determined through the asymmetry parameter (up to 81 expansion coefficients). Aerosol control values are:

Total aerosol optical depth over 6 layers = 0.5Single scattering albedo of aerosol = 0.95 Asymmetry parameter for aerosol = 0.80

The surface albedo is 0.05. There are 36 geometries consisting of combinations of the following angles (in degrees):

```
4 Solar zenith angles (in degrees) - 35.0, 67.0, 75.0, 82.0
```

- 3 User-defined viewing zenith angles (in degrees) 10.0, 20.0, 40.0
- 3 User-defined relative azimuth angles (in degrees) 0.0, 90.0, 180.0

There are 5 levels of output:

```
Level = 0.0 \rightarrow \text{Top of first layer} \rightarrow \text{This is TOA}

Level = 1.0 \rightarrow \text{Bottom of first layer}

Level = 2.5 \rightarrow \text{Half-way into third layer}

Level = 22.5 \rightarrow \text{Half-way into 23rd layer}

Level = 23.0 \rightarrow \text{Bottom of 23rd layer} \rightarrow \text{This is BOA}
```

Upwelling and downwelling field is specified throughout. Actinic and regular Fluxes are specified for every level, both up and down. These fluxes are integrated outputs and valid for each solar zenith angle (SZA).

Note: All four programs work with the solution-saving and BVP-telescoping flags set. This is a suitable scenario for these flags, since the atmosphere is Rayleigh except for the bottom 6 layers, and thus for Fourier > 2, there is no scattering in the upper layers above the 6-layer slab with aerosols, and hence the boundary value problem can be telescoped to these 6 active layers for Fourier > 2.

5.2.2 Solar programs to test the three LIDORT master modules

Master

Master program : 2p8p2_solar_tester.f90 Executable : s2p8p2_solar_tester.exe Output file : results_solar_tester.all

This is an intensity-only calculation with 6 task options:

```
Option 1: No single-scatter correction, no delta-M scaling.
```

Option 2: No single-scatter correction, with delta-M scaling.

Option 3: Ingoing-only single-scatter correction, with delta-M scaling (SUN in curved atmosphere).

Option 4: In/outgoing single-scatter correction, with delta-M scaling (SUN+LOS in curved atmosphere).

Option 5: Same as task #4, but using solution-saving.

Option 6: Same as task #4, but using boundary-value problem telescoping.

The output file contains intensities for all 6 options, all 36 geometries and all 5 output levels. The integrated output (actinic and regular fluxes) are produced only for options 1 and 2 (not dependent on the single-scatter correction), but for all SZAs and all 5 output levels.

Linearized Profile and Column Masters

Master program : 2p8p2_solar_lpcs_tester.f90 Executable : s2p8p2_solar_lpcs_tester.exe

Output file : results_solar_lcs_tester.all

results_solar_lps_tester.all

The first part is a calculation for intensity, profile Jacobians and surface albedo Jacobian.

There are 2 types of NORMALIZED profile weighting functions:

1. w.r.t. layer trace gas absorption optical depths.

2 w.r.t. layer aerosol optical depths in bottom 6 layers.

There is 1 surface weighting function (this is UNNORMALIZED!):

1. w.r.t. Lambertian albedo.

We use the in/outgoing single-scatter correction with delta-M scaling (this is a standard default and the most accurate calculation). The first option is the baseline calculation of intensity and all Jacobians. The other options are designed to test Jacobians by finite differencing. They are:

Option 1: Finite difference, perturb Lambertian albedo.

Option 2: Finite difference, perturb molecular absorption in Layer 1.

Option 3: Finite difference, perturb molecular absorption in Layer 21.

Option 4: Finite difference, perturb aerosol optical depth in layer 23.

The output file contains (for all 36 geometries and 5 output levels) the baseline intensities, baseline Analytic weighting functions (AJ1, AJ2 etc.), and the corresponding finite difference weighting functions (FD1, FD2, etc....). The integrated output (actinic and regular fluxes) are output for all SZAs and all 5 output levels.

The second part is a calculation for intensity, column Jacobians and surface albedo Jacobian.

There are 2 NORMALIZED column weighting functions:

- 1. w.r.t. total trace gas absorption optical depth of the whole atmosphere.
- 2 w.r.t. total aerosol optical depth in bottom 6 layers.

There is 1 surface weighting function (this is UNNORMALIZED!):

1. w.r.t. Lambertian albedo.

We are using the in/outgoing single-scatter correction with delta-M scaling (this is a standard default, and the most accurate calculation). The first option is the baseline calculation of intensity, 2 column Jacobians, and 1 Surface Jacobian. The other options are designed to test Jacobians by finite differencing. They are:

Option 5: Finite difference, perturb Lambertian albedo.

Option 6: Finite difference, perturb total molecular absorption optical depth.

Option 7: Finite difference, perturb total aerosol optical depth.

The output file contains (for all 36 geometries and 5 output levels) the baseline intensities, baseline Analytic weighting functions (AJ1, AJ2 etc...), and the corresponding finite difference weighting functions (FD1, FD2 etc....). The integrated output (actinic and regular fluxes) are output for all SZAs and all 5 output levels.

Note that separate output files are generated for the above linearized profile and linearized column results. Also note that all results are now obtained using VLIDORT's newer first order (FO) code. The files are generated automatically when the driver is run.

5.2.3 Thermal programs to test the three LIDORT master modules

Master

Master program : 2p8p2_thermal_tester.f90 Executable : s2p8p2_thermal_tester.exe Output file : results_thermal_tester.all

This is an intensity-only calculation with 6 task options:

Option 1: Thermal only, with scattering, + delta-M, Lambertian.

Option 2: Thermal transmittance only.

Option 3: Crossover Ingoing-only Single-scatter correction + delta-M, Lamb.

Option 4: Crossover In/Outgoing Single-scatter correction + delta-M, Lamb.

Option 5: Crossover In/Outgoing Internal Single-scatter correction + delta-M, BRDF1.

Option 6: Crossover In/Outgoing Internal Single-scatter correction + delta-M, BRDF3.

The BRDF output file is similar to that generated by the BRDF test program "s2p8p2_brdf_tester.f90" (see section 5.2.4 below for description).

The main output file contains intensities for all 6 of these options, all 36 geometries and all 5 output levels.

The integrated output (actinic and regular fluxes) are produced only for options 1 and 2 (not dependent on the SS correction), but again for all SZAs and all 5 output levels.

Linearized Profile and Column Master

The first part is a calculation for intensity, profile Jacobians and surface albedo Jacobian.

There are 2 types of NORMALIZED profile weighting functions:

1. w.r.t. layer trace gas absorption optical depths.

2 w.r.t. layer aerosol optical depths in bottom 6 layers.

There is 1 surface weighting function (this is UNNORMALIZED!):

1. w.r.t. Lambertian albedo.

We are using the in/outgoing single-scatter correction with delta-M scaling (this is a standard default, and the most accurate calculation). The first option is the baseline calculation of intensity, 3 profile Jacobians, and 1 Surface Jacobian. The other options are designed to test Jacobians by finite differencing. They are:

Option 1: Finite difference, perturb Lambertian albedo.

Option 2: Finite difference, perturb molecular absorption in Layer 1.

Option 3: Finite difference, perturb molecular absorption in Layer 21.

Option 4: Finite difference, perturb aerosol optical depth in layer 23.

The output file contains (for all 36 geometries and 5 output levels) the baseline intensities, baseline Analytic weighting functions (AJ1, AJ2, etc....), and the corresponding finite difference weighting functions (FD1, FD2, etc....). The integrated output (actinic and regular fluxes) are output for all SZAs and all 5 output levels.

The second part is a calculation for intensity, column Jacobians and surface albedo Jacobian.

There are 2 NORMALIZED column weighting functions:

- 1. w.r.t. total trace gas absorption optical depth of the whole atmosphere.
- 2 w.r.t. total aerosol optical depth in bottom 6 layers.

There is 1 surface weighting function (this is UNNORMALIZED!):

1. w.r.t. Lambertian albedo.

We are using the in/outgoing single-scatter correction with delta-M scaling (this is a standard default, and the most accurate calculation). The first call is the baseline calculation of intensity, 2 column Jacobians, and 1 Surface Jacobian. The 3 threads are designed to test Jacobians by finite differencing. They are:

Option 5: Finite difference, perturb Lambertian albedo.

Option 6: Finite difference, perturb total molecular absorption optical depth.

Option 7: Finite difference, perturb total aerosol optical depth.

The output file contains (for all 36 geometries and 5 output levels) the baseline intensities, baseline Analytic weighting functions (AJ1, AJ2, etc....), and the corresponding finite difference weighting functions (FD1, FD2, etc....). The integrated output (actinic and regular fluxes) are output for all SZAs and all 5 output levels.

As in the case of the linearized solar driver, separate output files are generated for the above linearized profile and linearized column results. Also note that all results are now obtained using

VLIDORT's newer first order (FO) code. The files are generated automatically when the driver is run.

5.2.4 Program to test the two BRDF master modules

BRDF Masters – used in conjunction with LIDORT

Master program : 2p8p2_brdfplus_tester.f90 Executable : s2p8p2_brdfplus_tester.exe Output files : results_brdf_supcheck.res

results_brdf_supcheck.wfs results_brdfplus_tester.all

The surface BRDF is one consisting of three BRDF kernels: a Ross-thin kernel, a Li-dense kernel, and a Cox-Munk kernel.

The first part of the test program has the BRDF supplement code calculate the BRDF and the BRDF weighting functions to be passed to VLIDORT later in the test. These two sets of results are output to two BRDF output files.

The BRDF supplement output check file "results brdf supcheck.res" has the following format:

First, exact direct beam BRDF reflectance output for each of the 36 geometries.

Also, for one azimuth angle, the Fourier components of the BRDF reflectance in the test scenario are output for each of the 10 upwelling quadrature angles (QUAD), 4 solar zenith angles (SZA), and 3 user-specified angles (VZA) in the following format:

Ten pairs of BRDF results while varying QUAD versus:

- * QUAD (ten results).
- * SZA (four results).

Three pairs of BRDF results while varying VZA versus:

- * QUAD (ten results).
- * SZA (four results).

In the BRDF output file "results_brdf_supcheck.wfs", we have a similar configuration of results as in "results_brdf_supcheck.res", but here there are 6 sets of results, each corresponding to one of the following 6 surface Jacobians:

- 1. Ross-thin kernel kernel factor.
- 2. Li-dense kernel kernel factor.
- 3. Li-dense kernel kernel parameter #1.
- 4. Li-dense kernel kernel parameter #2.
- 5. Cox-Munk kernel kernel factor.
- 6. Cox-Munk kernel kernel parameter #1.

The Jacobians displayed are a result of the following BRDF weighting function inputs in the BRDF input configuration file "2p8p2_BRDF_ReadInput.cfg":

VLIDORT - Kernels, indices, # pars, Jacobian flags

Ross-thin 2 0 T FFF Li-dense 5 2 T TTF Cox-Munk 9 2 T TFF

The second part of the test program is an intensity and surface albedo weighting function calculation done by VLIDORT.

There are six UNNORMALIZED surface weighting functions calculated:

- 1. w.r.t. Ross-thin kernel kernel factor.
- 2. w.r.t. Li-dense kernel kernel factor.
- 3. w.r.t. Li-dense kernel kernel parameter #1.
- 4. w.r.t. Li-dense kernel kernel parameter #2.
- 5. w.r.t. Cox-Munk kernel kernel factor.
- 6. w.r.t. Cox-Munk kernel kernel parameter #1.

We are using the in/outgoing single-scatter correction with delta-M scaling (this is a standard default, and the most accurate calculation). The first option is the baseline calculation of intensity and all 6 surface Jacobians. The options are designed to test Jacobians by finite differencing. They are:

```
Option 1: Finite difference, perturb Ross-thin kernel - kernel factor.
```

Option 2: Finite difference, perturb Li-dense kernel - kernel factor.

Option 3: Finite difference, perturb Li-dense kernel - kernel parameter #1.

Option 4: Finite difference, perturb Li-dense kernel - kernel parameter #2.

Option 5: Finite difference, perturb Cox-Munk kernel - kernel factor.

Option 6: Finite difference, perturb Cox-Munk kernel - kernel parameter #1.

The output file contains (for all 36 geometries and 5 output levels) the baseline intensities, baseline Analytic weighting functions (AJ1, AJ2, etc....), and the corresponding finite difference weighting functions (FD1, FD2, etc....). The integrated output (actinic and regular fluxes) are output for all SZAs and all 5 output levels.

5.2.5 Program to test the two VSLEAVE master modules

SLEAVE Masters – stand alone

Master program : 2p8p2_vsleave_self_tester.f90 Executable : s2p8p2_vsleave_self_tester.exe

Output file : results_vsleave_self_tester_land.all, results_vsleave_self_tester_water.all

This is a test of the vector surface-leaving (SLEAVE) supplement only. It is a calculation of VSLEAVE reflectance and VSLEAVE reflectance Jacobians.

For the land test, there are seven VSLEAVE reflectance Jacobians. These are with respect to:

- 1. Fluorescence amplitude at 0.755µm (755nm).
- 2. Gaussian distribution #1 amplitude parameter A₁.

- 3. Gaussian distribution #1 wavelength parameter λ_1 .
- 4. Gaussian distribution #1 standard deviation σ_1 .
- 5. Gaussian distribution #2 amplitude parameter A_2 .
- 6. Gaussian distribution #2 wavelength parameter λ_2 .
- 7. Gaussian distribution #2 standard deviation σ_2 .

The output file "results_vsleave_self_tester_land.all" contains the isotropic reflectances for one solar/viewing geometry configuration at the wavelength of 730nm. It also contains the associated analytic Jacobians of the isotropic reflectance with respect to the different parameters along with corresponding finite-difference Jacobian values for comparison.

For the water test, there are two VSLEAVE reflectance Jacobians. These are with respect to:

- 1. Chlorophyll concentration.
- 2. Surface wind speed.

The output file "results_vsleave_self_tester_water.all" also contains results for one solar/viewing geometry configuration, but at the wavelength of 551nm and surface wind speed of 5m/s. Here, calculations are performed first for (1) the reflectances and then for (2) the set of two VSLEAVE reflectance Jacobians. For both (1) and (2), isotropic and exact calculations for reflectance are done first followed by those for one Fourier azimuthal moment of reflectance, the first of which are for a specified solar zenith angle (SZA) into eight quadrature half-stream angles (STR) followed by those for a specified SZA into a specified view zenith angle (VZA).

SLEAVE Masters – used in conjunction with VLIDORT

Master program : V2p8p2_vsleaveplus_tester.f90 Executable : v2p8p2_vsleaveplus_full_tester.exe

Output files : results_vsleaveplus_tester_normal_fluor.all

This is an intensity and VSLEAVE surface Jacobian calculation. We note that this test, unlike the others covered so far, is in the *vector* test directory. Comments on the main vector tests are given in section 5.2.2.

There are 34 layers with a pre-prepared atmosphere with profiles of height and temperature along with a surface pressure. There are two aerosol regimes inserted by hand: one in the uppermost 24 layers and one in the lowest 10. Both have a uniform thickness with the following characteristics:

	Regime	
	Upper	Lower
- Total aerosol optical depth	0.01	0.15
- Single scattering albedo of aerosol	0.99	0.99
- Asymmetry parameter for aerosol	0.75	0.75
II. 4- 50 I II		

- Up to 50 Legendre expansion coefficients

The surface consists of a land surface with Lambertian component (albedo 0.02) and a Fluorescence component. There is one geometry configuration consisting of a combination of the following angles (in degrees):

Solar zenith angle (SZA)	- 40.0
User-defined viewing zenith angle (VZA)	- 30.0
User-defined relative azimuth angle (AZM)	- 10.0

The first option is a baseline calculation of intensity and two analytic surface Jacobians. The other two options are designed to test the analytic surface Jacobians by finite differencing. The finite-difference options are perturbations with respect to:

Option 1: Fluorescence amplitude at 0.755µm

Option 2: Lambertian albedo

Note: The analytic surface Jacobians are <u>UNNORMALIZED!</u>

The output file contains the baseline intensities, baseline analytic Jacobians and corresponding finite difference Jacobians for the two surface components. The values are given for the wavelength range 640-820nm.

5.2.6 Program to test the F-matrix/Z-matrix master modules

<u>VFZMAT Masters – used in conjunction with VLIDORT</u>

Master program : 2p8p2_vfzmat_tester.f90 Executable : s2p8p2_vfzmat_tester.exe Output file : results_vfzmat_tester.all

Similar to the solar tester, this is an intensity-only calculation with 6 task options:

Option 1: No single-scatter correction, no delta-M scaling.

Option 2: No single-scatter correction, with delta-M scaling.

Option 3: Ingoing-only single-scatter correction, with delta-M scaling

(SUN in curved atmosphere).

Option 4: In/outgoing single-scatter correction, with delta-M scaling

(SUN+LOS in curved atmosphere).

Option 5: Same as task #4, but using solution-saving.

Option 6: Same as task #4, but using boundary-value problem telescoping.

The output file contains intensities for all 6 options, all 36 geometries and all 5 output levels. The integrated output (actinic and regular fluxes) are produced only for options 1 and 2 (not dependent on the single-scatter correction), but for all SZAs and all 5 output levels.

However, unlike the solar tester, the intent of this program is to test the ability of the VFZMAT supplement subroutines to take a table of F-matrix values at specified scattering angles and to generate both a set of interpolated values of the F-Matrix at a set of user-desired scattering angles and also an associated set of Legendre moments of the F-Matrix where either one which may be used in subsequent RT computations.

5.2.7 Program to test planetary problem

Master program : 2p8p2_Planetary_tester.f90 Executable : s2p8p2_Planetary_tester.exe

Output file : results_planetary_tester_normal.all

This test is designed to demonstrate the use of VLIDORT to solve the "planetary problem". Here, a single call to VLIDORT with this setting will return (for a 23-layer Rayleigh atmosphere) the diffuse surface reflectivity S_b and a product $T(\mu, \mu_0)$ of atmospheric

transmittances along with the TOA upwelling radiance I(0) field I0 for a dark surface (zero albedo). These quantities are then validated in the test with the 3-calls-to-VLIDORT algorithm used in previous versions to solve this problem for output at any non-zero albedo This is followed by two further linearization tests which generate column and profile weighting functions (with respect to a single absorber in this atmosphere) of the planetary problem outputs; these Jacobians are validated by finite-difference estimates, and also with the 3-calls-to-VLIDORT algorithm in its linearized form.

Results are presented at 8 lattice geometries (2 SZA, 2 VZA, 2 AZM).

5.2.8 Program to test coupled water-leaving

Master program : 2p8p2_LWCoupling_tester.f90 Executable : s2p8p2_LWCoupling_tester.exe

Output files : results_LWCoupling_TOAUp_BOAdn_Radiances_normal.all

SLEAVE_Isotropic_Unadjusted.dat SLEAVE_Isotropic_WLAdjusted.dat

This test is designed to demonstrate the use of VLIDORT and its VSLEAVE supplement for an ocean-atmosphere coupled calculation of water-leaving radiances and associated atmospheric radiations fields. Here, comparisons are made between the upwelling radiances at TOA and downwelling radiances at BOA obtained when the water surface is both coupled as well as uncoupled from the overlying atmosphere in the scenario (three output files as listed above) In addition, this program tests the use of the "external" water-leaving input choice. Results are presented at 8 lattice geometries (2 SZA, 2 VZA, 2 AZM).

5.2.9 Programs for VLIDORT vector tests

Since the seven solar, thermal, BRDF, planetary, and coupled water-leaving vector tests are very similar to the scalar tests above, a detailed description of each test will not be repeated here; however, we make a few comments regarding these inputs.

In these tests, the main difference is a more sophisticated treatment of aerosol in the bottom six layers. Here, expansion coefficients for the Greek scattering matrix were generated by a Mie scattering code and are read in from the input file ProblemIII.Moms. [Mie results were generated for a 2-parameter Gamma-function size distribution with an effective radius of $1.05 \mu m$, an effective variance of $0.07 \mu m$, and a refractive index of 1.43 + 0.001i.

Another test is performed to compare the results of VLIDORT with those found in [Siewert, 2000b]. In this test and in that work, the optical property data set "Problem IIA" of [Wauben and Hovenier, 1992] is used. This benchmark test considers a 1-layer "slab" problem with scattering by randomly-oriented oblate spheroids with an aspect ratio of 1.999987, a size parameter of 3, and refractive index of 1.53-0.006i. The tables of results generated by VLIDORT for this case may then be compared with Tables 2-9 of in [Siewert, 2000b].

One additional test has also been added to the VLIDORT test set. It is to test the vector surface-leaving (VSLEAVE) master modules. It is covered in the next section.

5.2.10 Solar programs to test using VLIDORT in an OpenMP environment

The two solar programs in "vlidort_v_test" which use OpenMP directives perform computations similar to the two serial solar programs in the same directory, but for a simulated set of

wavelengths as one might do in computing the radiative transfer solutions for a given wavelength band. The main point of these programs is to give the user guidance in setting up VLIDORT in an OpenMP parallel computing framework to accelerate the performance of such computations. The output files contain the same output as the serial versions of the programs, but for multiple OpenMP threads (currently, there are outputs for two threads).

Before attempting to run these VLIDORT package programs, we make the following recommendations to the user:

- Check the version of OpenMP installed on your system. The OpenMP facilities used here are compatible with OpenMP version 3.1 or later.
- For running the codes with OpenMP, it is necessary to use the appropriate compiler flags in the makefile. For "gfortran", it is "-fopenmp -frecursive", for "ifort", -openmp.
- Memory usage is very important in parallel programming applications. To avoid unnecessary problems, consider:
 - The amount of memory being made available to the program's main thread (e.g. to make an unlimited amount of stack memory available to the main thread in Linux, use "ulimit -s unlimited").
 - The amount of memory being made available to the OpenMP-spawned threads (the OMP_STACKSIZE environment is used for this purpose). In addition, depending on the compiler you are using, a special environment variable may also be available.

Note that the bash script provided with the VLIDORT package addresses these issues when running in Linux.

Before attempting to set up one's own driver program, consider the following:

- Carefully observe the use of the following OpenMP subroutines
 - o OMP_SET_NUM_THREADS
 - o OMP GET NUM THREADS
 - o OMP_GET_THREAD_NUM
- Carefully observe variables which are shared among the OpenMP threads using the SHARED attribute and those which are private to each thread (in these programs, the variables passed in are considered PRIVATE by default unless otherwise specified).

5.3 VBRDF Supplement

Here, the vector bidirectional reflectance distribution function (VBRDF) supplement is described. The supplement is a separate system of VLIDORT-based software that has the purpose of providing total VBRDF inputs for the main VLIDORT program. In other words, we wish to fill up the VBRDF inputs in Tables C2 and G2 in sections 5.1.1.3 and 5.1.1.7, respectively. We note that the supplement also has the observational geometry facility like VLIDORT itself.

Section 5.3.1 has an overview of BRDF construction. A sample calling sequence for the supplement is given in section 5.3.2. The supplement inputs and outputs are listed in the tables

of section 5.3.3. Numerical descriptions of the ocean and land kernels are given in the Adjunct to this User Guide. In sections 5.3.4 and 5.3.5, we comment on the direct-bounce BRDF and surface emission treatments. Lastly, information regarding the calculation and usage of white-sky or black-sky albedos is given in section 5.3.6.

5.3.1 BRDFs as a sum of kernel functions

A scalar three-kernel BRDF scheme was originally implemented within LIDORT [Spurr, 2004]. In the present version of VLIDORT, the same scheme is used, but the VBRDF software is separated from the main code and placed in the VBRDF supplement. The scheme now includes additional kernels with polarization, and up to four possible kernels can be used. The scalar total BRDF $\rho_{total}(\theta, \theta', \varphi - \varphi')$ is specified as a linear combination of (up to) four semi-empirical kernel functions:

$$\rho_{total}(\theta, \theta', \varphi - \varphi') = \sum_{k=1}^{4} R_k \rho_k(\theta, \theta', \varphi - \varphi'; \mathbf{b}_k). \tag{5.3.1}$$

Here, (θ, φ) indicates the pair of incident polar and azimuth angles, with the prime indicating the reflected angles. Quantities R_k are linear combination coefficients or "kernel amplitudes", while the kernels $\rho_k(\theta, \theta', \varphi - \varphi'; \mathbf{b}_k)$ are derived from semi-empirical models of surface reflection for a variety of surfaces. For each kernel, the geometrical dependence is known, but the kernel function depends on the values taken by a vector \mathbf{b}_k of pre-specified parameters.

A well-known example is the Cox-Munk BRDF for glitter reflectance from the ocean [Cox and Munk, 1954a, 1954b]; this is a combination of a wave-facet probability distribution function (depending on wind-speed W), and a Fresnel reflection function (depending on the air-water relative refractive index m_{rel}). In this case, vector \mathbf{b}_k has two elements: $\mathbf{b}_k = \{w, m_{rel}\}$. For a Lambertian surface, there is only one kernel: $\rho_{Lamb} \equiv 1$ for all incident and reflected angles, and coefficient R_{Lamb} is just the Lambertian albedo.

In order to develop solutions in terms of a Fourier azimuth series, Fourier components of the total BRDF are calculated through:

$$\rho_k^m(\mu, \mu'; \mathbf{b}_k) = \frac{1}{2\pi} \int_0^{2\pi} \rho_k(\mu, \mu', \varphi; \mathbf{b}_k) \cos m\varphi \, d\varphi . \tag{5.3.2}$$

This integration over the azimuth angle from 0 to 2π is done by double numerical quadrature over the ranges $[0, \pi]$ and $[-\pi, 0]$; the number of BRDF azimuth quadrature abscissa $N_{\rm BRDF}$ is set to 100 to obtain a numerical accuracy of 10^{-4} for all kernels considered [*Spurr*, 2004].

Linearization of this BRDF scheme was reported in [Spurr, 2004], and a mechanism developed for the generation of surface property weighting functions with respect to the kernel amplitudes R_k and to elements of the non-linear kernel parameters \mathbf{b}_k . It was shown that the entire LIDORT discrete ordinate solution is differentiable with respect to these surface properties, once we know the following kernel derivatives:

$$\frac{\partial \rho_{total}(\theta, \alpha, \varphi)}{\partial b_{p,k}} = \frac{\partial \rho_k(\theta, \alpha, \varphi; \mathbf{b}_k)}{\partial b_{p,k}}; \tag{5.3.3}$$

$$\frac{\partial \rho_{total}(\theta, \alpha, \varphi)}{\partial R_k} = \rho_k(\theta, \alpha, \varphi; \mathbf{b}_k). \tag{5.3.4}$$

The amplitude derivative (5.3.4) is trivial. The parameter derivative (5.3.3) depends on the empirical formulation of the kernel in question, but all kernels in this scheme are analytically differentiable with respect to their parameter dependencies.

Remark. In the vector code VLIDORT, the BRDF is actually a 4 x 4 matrix, linking incident and reflected Stokes 4-vectors. The BRDF scheme outlined above has been fully implemented in VLIDORT by setting the $\{1,1\}$ element of a 4 x 4 vector kernel ρ_k equal to the corresponding scalar kernel function ρ_k ; all other VBRDF matrix elements are then zero.

The choice of a Lambertian surface is a special case, controlled by a single flag. If this flag is set it is only necessary to specify the Lambertian albedo R_{Lamb} (between or equal to one of the limit values 0.0 and 1.0). If the surface weighting function option is also set, then VLIDORT will return the Lambertian weighting function $K_R = \frac{\partial I}{\partial R_{Lamb}}$.

The VLIDORT VBRDF supplement has 18 possible kernel functions, and these are listed in Table 5.3.1 along with the number of non-linear parameters characterizing the kernels themselves. A mathematical description of a number of the VBRDF kernels are given in Section A3 of the adjunct portion of the guide.

Kernels 2-5 are found in the widely-used MODIS parameterization of BRDFs (which includes the Lambertian option (Kernel 1) as well), and they enable VLIDORT to be used in MODIS-related studies with BRDF surfaces. Kernel 7 has also been used in this regard. The Hapke (#6) and particularly the RPV (#8) kernels have also found applications in remote sensing of surfaces. Kernel #9 is just the original formulation form the famous 1950s work of Cox and Munk. A full discussion of the first 9 scalar kernel types is given in [Spurr, 2004]. Kernel 10 is a polarized Cox-Munk calculation based on the work of [Mishchenko and Travis, 1997], while kernel 11 is the same as kernel 10 except for the use of a complex refractive index.

Kernels 12-14 are polarized land-surface reflectances based on code provided by François-Marie Bréon, with parameterizations based on POLDER measurements; these kernels were revised for Version 2.7 in line with the non-polarized (scalar) kernels found in the LIDORT supplement. Kernels 15 and 16 are based on new water-leaving and ocean reflectance parameterizations provided in the context of the 6S model (Andrew Sayer, private communication). Kernel 15 is scalar, while kernel 16 has polarized Fresnel reflectance (the ocean-optics model is still unpolarized in these kernels). Kernel 17 is an alternative formulation of the Ross-thick kernel (#3 in the table) which has a hot-spot correction, as derived in the appropriate references). Kernel 18 is similar to the BPDF kernels (12-14); the parameterization for land surfaces is based.

Table 5.3.1 The BRDF kernel functions for VLIDORT

Index	Name	Size \mathbf{b}_k	Reference	Scalar/Vector
1	Lambertian	0		Scalar
2	Ross thin	0	Wanner et al., 1995	Scalar
3	Ross thick	0	Wanner et al., 1995	Scalar
4	Li sparse	2	Wanner et al., 1995	Scalar
5	Li dense	2	Wanner et al., 1995	Scalar
6	Hapke	3	Hapke, 1993	Scalar
7	Roujean	0	Wanner et al., 1995	Scalar
8	Rahman	3	Rahman et al., 1993	Scalar
9	Cox-Munk	2	<i>Cox/Munk</i> , 1954	Scalar
10	Giss Cox-Munk	2	Mishchenko/Travis 1997	Vector
11	Giss Cox-Munk Cri	2	V. Natraj, 2010 [personal communication]	Vector

12	BPDF Soil	1	Maignan et al., 2009	Vector
13	BPDF Vegetation	1	Maignan et al., 2009	Vector
14	BPDF NDVI	3	Maignan et al., 2009	Vector
15	New Cox-Munk	3	A. Sayer, 2015 [personal	Scalar
			communication]	
16	New Giss Cox-Munk	3	A. Sayer, 2016 [personal	Scalar
			communication]	
17	Ross-Thick Hotspot	0	Lucht et al., 2002;	Scalar
18	Modified Fresnel	4	P. Litvinov et al., 2011	Vector

5.3.2 Example calling sequence

For an intensity calculation with a VBRDF surface, the BRDF inputs required by VLIDORT_MASTER are those specified in section 5.1.1.3 Table C2 - namely, the direct-bounce BRDF for all solar incident and reflected line-of-sight directions, plus the four sets of Fourier components for the multiple scatter calculation. For a surface property Jacobian calculation (using the VLIDORT_LCS_MASTER or the VLIDORT_LPS_MASTER subroutines), VLIDORT also requires the linearized VBRDF inputs in section 5.1.1.7 Table G2.

The test subdirectories "vlidort_s_test" and "vlidort_v_test" have one example of a calling environment for generating the Fourier components for VBRDFs and their derivatives with respect to a number of surface properties. For a calculation of VBRDF inputs alone (i.e. no linearizations), the calling program sequence is:

The first subroutine (VBRDF_INPUTMASTER) reads inputs from a VBRDF configuration file. These include specifications of the numbers and values of angles (solar and viewing angle zeniths, relative azimuths), the number of discrete ordinates, and the VBRDF kernel choices. Angular and control inputs for the VBRDFs must match equivalent inputs for VLIDORT before a VLIDORT radiance calculation with supplement-computed VBRDF inputs is performed. The VBRDF input read routine VBRDF_INPUTMASTER is of course optional - it is perfectly possible to set these inputs in another manner inside the calling environment itself.

Table A in the next section describes the kernel inputs required for a basic VBRDF calculation. One can choose up to 3 kernels (see remark at the end of section 5.3.1 above for more on this), and for each kernel, one must specify the amplitude factors that go into the final linear-weighted combination of kernels that make up the total, and any non-linear parameters (such as wind speed for the glitter kernel) that characterize the kernels. Some kernels (e.g. the Ross-type kernels) are purely geometrical (no characterizing parameters). Also, an isotropic (Lambertian) kernel is allowed. The module file wbrdf_sup_kernels.fg contains a series of kernel subroutines (one for each of the entries in Table 5.3.1) delivering BRDFs for given incident and reflected angles. With the use of the VBRDF supplement, kernel input is not required for main VLIDORT calculations.

The main subroutine (VBRDF_MAINMASTER) then carries out 3 tasks: (i) for the given choice of VBRDF kernels, the VBRDF kernels themselves are created for all angles and streams; (ii) Fourier components of the VBRDF kernels are generated by integrating over azimuth from 0 to 2π with a double Gaussian quadrature scheme; (iii) the total VBRDF Fourier components are then created by a weighted combination of kernel components. The output from this subroutine is then written to file for subsequent use in VLIDORT itself; it is also possible to combine the VBRDF supplement with the main VLIDORT call inside one environment, as has been done in $2p8p2_brdfplus_tester.f90$ and $V2p8p2_brdfplus_tester.f90$.

For a calculation with surface property weighting functions, additional VBRDF inputs are required. These are listed in Table C in the next section. One can obtain Jacobians with respect to the kernel amplitude factors and/or the non-linear characterizing parameters such as wind speed in the Cox-Munk glitter BRDF. Now, we use the file-read subroutine VBRDF_LIN_INPUTMASTER for all kernel inputs (regular and linearized), and the user environment will then call the subroutine VBRDF_LIN_MAINMASTER which will deliver the total VBRDF Fourier components for all the required geometrical configurations, as well as the linearizations of these total VBRDF Fourier components with respect to a number of VBRDF properties.

The total number of surface weighting functions (N_SURFACE_WFS) encompasses both the amplitude factor and the non-linear characterizing parameter Jacobians. The Jacobian property is ordered by kernels, with the amplitude factor followed by the non-linear parameters for each kernel in succession. For example, if we have a 3-kernel BRDF comprising a combination of Lambertian, Ross-thin, Li-Sparse in that order, then we can define 5 possible surface weighting functions: (1) amplitude for the Lambertian albedo (kernel #1), (2) amplitude for the Ross-thin (kernel #2), (3) amplitude for the Li-sparse (kernel #3), (4) non-linear parameter #1 for the Li-sparse kernel, and (5) non-linear parameter #2 for the Li-sparse kernel.

Note. This kernel bookkeeping applies only to the VBRDF supplement. The main VLIDORT calculation has no knowledge of individual kernels or the order or type of surface property Jacobians. VLIDORT calculations only deal with the total VBRDFs and their derivatives with respect to a set number of surface properties.

<u>Note</u>. The VBRDF supplement is not required for a pure Lambertian surface calculation in VLIDORT; it is only necessary then to set the flag DO_LAMBERTIAN_ALBEDO and specify

the albedo itself (LAMBERTIAN_ALBEDO in section 5.1.1.1 Table A7). Lambertian albedo weighting functions do not require any additional input information.

5.3.3 VBRDF inputs and outputs

This section contains tables outlining the VBRDF supplement input and output type structures (section 5.3.3.1) and tables of corresponding file-read character strings found in the input configuration file VBRDF_ReadInput.cfg (section 5.3.3.2).

Notes for Version 2.7.

- (1) Following extensive user feedback on the use of BRDFs based on the MODIS 3-kernel combinations, a number of changes were made to the VBRDF supplement software. In particular there is now an option to output the total white- and black sky albedos appropriate to the choice of kernels, and further options to scale the entire VBRDF output with an external value of the white-sky albedo (WSA) or the black-sky albedo (BSA). Since the BSA in sun-angle dependent, only one value must be used for BSA scaling. There is also a flag for outputting the WSA and BSA values (these are useful diagnostics).
- (2) As noted above, the land-surface kernels have been revised for Version 2.7 of VLIDORT. Formerly, only the BPDF "NDVI" kernel was present, but now the BPDF "Vegetation" and "Soil" kernels have been added as recommended by [Maignan et al., 2009]. All three kernels are based on Fresnel reflection. For each of these kernels, the relative refractive index is the first of the non-linear kernel parameters. Only the "NDVI" kernel has two additional parameters these are the actual NDVI value itself, and an overall scaling factor for the kernel.
- (3) Kernel 15 (New CM) was developed for Version 2.7, and is based on a Cox-Munk reflectance that includes a "whitecap" correction and a surface-leaving term. The details are found in the guide adjunct.
- (4) For VBRDF calculations using kernel 15, a specification of wavelength is needed (see table A below). This VBRDF wavelength must be the same as the wavelength at which the atmospheric optical properties were prepared for the main VLIDORT calculation (see Table A7). An additional check on these wavelengths has been added.

5.3.3.1. Input and output type structures

Table A: Type Structure VBRDF_Sup_Inputs

Name	Kind/Intent	Description
DO_BRDF_SURFACE	Logical (I)	If set, calculations for more complex surface BRDF kernels will be done.
DO_USER_STREAMS	Logical (I)	If set, there will be output at a number of off-quadrature zenith angles specified by user. This is the normal case.
DO_SOLAR_SOURCES	Logical (I)	Flag for solar beam source of light.
DO_DOUBLET_GEOMETRY	Logical (I)	If set, supplement will compute BRDF quantities at doublet geometry input specified by the user, multiple user-angle pairs for each solar zenith angle input).
DO_USER_OBSGEOMS	Logical (I)	If set, supplement will compute BRDF quantities at

		observational geometry triplets specified by the user for
		multiple geometries. Used in conjunction with input
		variables N_USER_OBSGEOMS and USER_OBSGEOMS.
DO_SURFACE_EMISSION	Logical (I)	If set, calculations of surface thermal emission will be done.
NSTOKES	Integer (I)	Number of Stokes vector parameters for which calculations will be done.
NSTREAMS	Integer (I)	Number of quadrature values used in the azimuth integration of the BRDF kernels in order to get Fourier components of BRDF kernels. Recommended value 25 for most kernels, 50 for Cox-Munk.
NBEAMS	Integer (I)	Number solar beams. Must ≤ symbolic dimension MAXBEAMS.
BEAM_SZAS	Real*8 (I)	Solar zenith angles (degrees). Checked internally range [0,90).
N_USER_STREAMS	Integer (I)	Number of user-defined viewing zenith angles. Must be not greater than symbolic dimension MAX_USER_STREAMS.
USER_ANGLES_INPUT	Real*8 (I)	Array of user-defined viewing zenith angles (in degrees) for off-quadrature output. Must be between 0 and 90 degrees.
N_USER_RELAZMS	Integer (I)	Number of user-defined relative azimuth angles. Must not be greater than symbolic dimension MAX_USER_RELAZMS.
USER_RELAZMS	Real*8 (I)	Array of user-defined elative azimuth angles (in degrees) for off-quadrature output. Ordering is not important. Must be between 0 and 180.
N_USER_OBSGEOMS	Integer (I)	Number of user-defined observational geometry triplets. Must not be greater than the symbolic dimension MAX_USER_OBSGEOMS.
USER_OBSGEOMS (g,3)	Real*8 (I)	Array of <i>g</i> user-defined observational geometry triplets (in degrees) for off-quadrature output. It consists of the geometry triplets (solar zenith angle, viewing angle, relative azimuth angle) for which BRDF quantities are desired.
NSTREAMS_BRDF	Integer (I)	Number of angles used in azimuthal integration during BRDF calculation.
N_BRDF_KERNELS	Integer (I)	Number of BRDF kernels to be used (up to 3 allowed).
BRDF_NAMES	Character (I)	Names of BRDF kernels to be used (up to 3 allowed).
WHICH_BRDF(k)	Integer (I)	Index numbers for BRDF kernels to be used (see the file VLIDORT.PARS or for values and comments).
LAMBERTIAN_KERNEL_FLA G	Logical (I)	Flag to indicate surface is purely Lambertian so only Lambertian calculations are done internally.
BRDF_FACTORS	Real*8 (I)	Amplitude factor associated with a BRDF kernel.
N_BRDF_PARAMETERS (k)	Integer (I)	For each kernel <i>k</i> , the number of non-linear parameters characterizing kernel shape. Non-zero only for Li-sparse, Li-dense, Hapke, Rahman and Cox-Munk kernels.
BRDF_PARAMETERS (k,b)	Real*8 (I)	For kernel k , and $b = 1$, N_BRDF_PARAMETERS(k), these are the BRDF parameters. E.g., for Cox-Munk, BRDF_PARAMETERS(k,1) and BRDF_PARAMETERS(k,2) are Wind speed and refractive index respectively.
DO_DIRECTBOUNCE_ONLY	Logical (I)	If set, <i>only</i> the direct-bounce BRDF will be calculated (i.e. BRDF Fourier components are NOT calculated).
DO_SHADOW_EFFECT	Logical (I)	If set, calculations for incorporating the Shadow effect for

		the sea-surface glitter reflectance BRDF model will be
		done. Recommended.
DO_WSABSA_OUTPUT	Logical (I)	If set, white-sky and black-sky surface albedo values will
	•	be output by the BRDF supplement.
DO_WSA_SCALING	Logical (I)	If set, BRDF calculations using white-sky surface albedo
		will be done.
DO_BSA_SCALING	Logical (I)	If set, BRDF calculations using black-sky surface albedo
		will be done.
WSA_VALUE	Real*8 (I)	White-sky surface albedo.
BSA_VALUE	Real*8 (I)	Black-sky surface albedo.
DO_NewCMGLINT	Logical (I)	If set, BRDF calculations using new Cox-Munk (NCM)
		ocean BRDF kernel will be done.
DO_NewGCMGLINT	Logical (I)	If set, BRDF calculations using new Giss Cox-Munk
CALDUTEN	D 140 (I)	(NGCM) ocean BRDF kernel will be done.
SALINITY	Real*8 (I)	Salinity (in ppt). Only for NCM
WAVELENGTH	Real*8 (I)	Current wavelength (in µm). Only for NCM. This is now
WINDCDEED	D 1 \$0 (I)	checked against ATMOS_WAVELENGTH (Table A7).
WINDSPEED	Real*8 (I)	Wind speed (in m/s) (only for non-isotropic water
WINDDIR (b)	Real*8 (I)	leaving). Only for NCM Wind direction relative to the azimuthal position of the sun
WINDDIK (b)	Real*8 (1)	for each solar angle b (only for non-isotropic water
		leaving). Only for NCM
DO_GlintShadow	Logical (I)	If set, calculations accounting for shadowing of wave
Do_Gimesiadow	Logical (1)	facets during sun glint will be done. Only for NCM
DO_FoamOption	Logical (I)	If set, calculations accounting for ocean foam will be
	2081041 (1)	done. Only for NCM
DO_FacetIsotropy	Logical (I)	If set, wave facets will be considered isotropic (no use of
	Ç (,	wind direction). Only for NCM
DO_GLITTER_MSRCORR	Logical (I)	If set, multiple reflectance correction for all GLITTER
		kernels will be done.
DO_GLITTER_MSRCORR_DB	Logical (I)	If set, multiple reflectance correction for only the direct-
ONLY		bounce Glitter kernels will be done.
GLITTER_MSRCORR_ORDER	Integer (I)	Order of correction for multiple reflectance computations (
		= 0 (no correction), 1, 2, 3, etc).
		Warning, using $S > 0$ can increase CPU time dramatically.
GLITTER_MSRCORR_NMUQ	Integer (I)	Number of angles used in zenith integration during
UAD		multiple reflectance correction.
GLITTER_MSRCORR_NPHIQ	Integer (I)	Number of angles used in azimuthal integration during
UAD		multiple reflectance correction.

Table B1: Type structure VBRDF_Sup_Outputs

Name	Kind/Intent	Description
DBOUNCE_BRDFUNC (S,a,b,s)	Real*8 (O)	Direct-bounce BRDF for Stokes vector component S , incident solar angle s , reflected line-of-sight angle a , and relative azimuth b .
BRDF_F_0 (M,S,k,s)	Real*8 (O)	Fourier components M of total BRDF for Stokes vector component S , incident solar angle s and reflected discrete ordinate k .
BRDF_F (M,S,k,j)	Real*8 (O)	Fourier components M of total BRDF for Stokes vector component S , incident discrete ordinate j and reflected discrete ordinate k .
USER_BRDF_F_0 (M,S,a,s)	Real*8 (O)	Fourier components M of total BRDF for Stokes vector component S , incident solar angle s and reflected line-of-

		sight zenith angle a.
USER_BRDF_F (M,S,a,j)	Real*8 (O)	Fourier components M of total BRDF for Stokes vector
		component S, incident discrete ordinate j and reflected
		line-of-sight zenith angle <i>a</i> .
EMISSIVITY (S,k)	Real*8 (O)	Surface emissivity for Stokes vector component S and
		emitted discrete ordinate <i>k</i> .
USER_EMISSIVITY (S,a)	Real*8 (O)	Surface emissivity for Stokes vector component S and
		emitted line-of-sight zenith angle a.
WSA_CALCULATED	Real*8 (O)	Total White-sky surface albedo.
BSA_CALCULATED	Real*8 (O)	Total Black-sky surface albedo (first SZA only).
WSA_KERNELS (k)	Real*8 (O)	For each kernel <i>k</i> , the kernel White-sky surface albedo.
BSA_KERNELS (k)	Real*8 (O)	For each kernel k, the kernel Black-sky surface albedo
	Kear 8 (U)	(first SZA).

 Table B2: Type Structure VBRDF_Input_Exception_Handling

Name	Kind/Intent	Description
STATUS_INPUTREAD	Integer (O)	Overall status of input read.
NINPUTMESSAGES	Integer (O)	Number of input read error messages.
INPUTMESSAGES	Character (O)	Array of input-read error messages.
INPUTACTIONS	Character (O)	Array of input-read actions to take.

 Table B3: Type Structure VBRDF_Output_Exception_Handling

Name	Kind/Intent	Description
STATUS_OUTPUT	Integer (O)	Overall status of output.
NOUTPUTMESSAGES	Integer (O)	Number of output error messages.
OUTPUTMESSAGES	Character (O)	Array of output error messages.

Table C: Type Structure VBRDF_LinSup_Inputs

Name	Kind/Intent	Description
DO_KERNEL_FACTOR_WFS (k)	Logical (I)	Flags for weighting functions w.r.t. linear combination coefficient <i>k</i> in BRDF kernel sum.
DO_KERNEL_PARAMS_WFS (k,b)	Logical (I)	Flags for weighting functions for (nonlinear) parameter <i>b</i> in BRDF kernel <i>k</i> .
DO_KPARAMS_DERIVS (k)	Logical (I)	If set for a given BRDF kernel <i>k</i> , the chosen weighting functions for that BRDF kernel will be done.
N_SURFACE_WFS	Integer (I)	Sum of the following two entries. Should be set equal to N_SURFACE_WFS in linearization control Type structure (Table A12). Should not exceed dimension MAX_SURFACEWFS.
N_KERNEL_FACTOR_WFS	Integer (I)	Number of weighting functions w.r.t. linear combination coefficients in BRDF kernel sum
N_KERNEL_PARAMS_WFS	Integer (I)	Number of weighting functions for (nonlinear) BRDF parameters.
DO_WSAVALUE_WF	Logical (I)	If set, the white-sky albedo weighting function will be done.
DO_BSAVALUE_WF	Logical (I)	If set, the black-sky albedo weighting function will be done.
DO_WINDSPEED_WF	Logical (I)	If set, the wind speed weighting function will be done. May be used when using the new Cox-Munk ocean kernel.

 Table D: Type structure VBRDF_LinSup_Outputs

• • • • • • • • • • • • • • • • • • • •		Description
Name	Kind/Intent	Description
LS_DBOUNCE_BRDFUNC (q,S,a,b,s)	Real*8 (O)	Linearized direct-bounce BRDF for Stokes vector component <i>S</i> , incident solar angle <i>s</i> , reflected line-of-sight angle <i>a</i> , and relative azimuth <i>b</i> , w.r.t. surface property <i>q</i> .
LS_BRDF_F_0 (q,M,S,k,s)	Real*8 (O)	Linearized Fourier components <i>M</i> of total BRDF for Stokes vector component <i>S</i> , incident solar angle <i>s</i> and reflected discrete ordinate <i>k</i> , w.r.t. surface property <i>q</i> .
LS_BRDF_F (q,M,S,k,j)	Real*8 (O)	Linearized Fourier components <i>M</i> of total BRDF for Stokes vector component <i>S</i> , incident discrete ordinate <i>j</i> and reflected discrete ordinate <i>k</i> , w.r.t. surface property <i>q</i> .
LS_USER_BRDF_F_0 (q,M,S,a,s)	Real*8 (O)	Linearized Fourier components <i>M</i> of total BRDF for Stokes vector component <i>S</i> , incident solar angle <i>s</i> and reflected line-of-sight zenith angle <i>a</i> , w.r.t. surface property <i>q</i> .
LS_USER_BRDF_F (q,M,S,a,j)	Real*8 (O)	Linearized Fourier components <i>M</i> of total BRDF for Stokes vector component <i>S</i> , incident discrete ordinate <i>j</i> and reflected line-of-sight zenith angle <i>a</i> , w.r.t. surface property <i>q</i> .
LS_EMISSIVITY (q,S,k)	Real*8 (O)	Linearized surface emissivity for Stokes vector component <i>S</i> and emitted discrete ordinate <i>k</i> , w.r.t. surface property <i>q</i> .
LS_USER_EMISSIVITY (q,S,a)	Real*8 (O)	Linearized surface emissivity for Stokes vector component S and emitted line-of-sight zenith angle a , w.r.t. surface property q .

5.3.3.2 VBRDF configuration file character strings

Table E1: File-read Character strings for some variables in VBRDF Supplement Table A

Name	Kind	Character string in Configuration file	
DO_SOLAR_SOURCES	Logical	Use solar sources?	
DO_USER_STREAMS	Logical	Use user-defined viewing zenith angles?	
DO_BRDF_SURFACE	Logical	Do BRDF surface?	
DO_NewCMGLINT	Logical	Do NewCM Ocean BRDF reflectance?	
DO_NewGCMGLINT	Logical	Do NewGCM Ocean BRDF reflectance?	
DO_SURFACE_EMISSION	Logical	Do surface emission?	
NSTOKES	Integer	Number of Stokes vector components	
NSTREAMS	Integer	Number of half-space streams	
NBEAMS	Integer	Number of solar zenith angles	
BEAM_SZAS	Real*8	Solar zenith angles (degrees)	
N_USER_RELAZMS	Integer	Number of user-defined relative azimuth angles	
USER_RELAZMS	Real*8	User-defined relative azimuth angles (degrees)	
N_USER_STREAMS	Integer	Number of user-defined viewing zenith angles	
USER_ANGLES_INPUT	Real*8	User-defined viewing zenith angles (degrees)	

DO_OBSERVATION_GEOMETRY	Logical	Do Observation Geometry?
N_USER_OBSGEOMS	Integer	Number of Observation Geometry inputs
USER_OBSGEOM_INPUT	Real*8	Observation Geometry inputs
DO_GlintShadow	Logical	Do NewCM glint shadowing?
DO_FoamOption	Logical	Do NewCM whitecap (foam) reflectance?
DO_FacetIsotropy	Logical	Do NewCM facet isotropy?
WAVELENGTH	Real*8	NewCM Wavelength [Microns]?
SALINITY	Real*8	NewCM Ocean water salinity [ppt]
WINDSPEED	Real*8	NewCM Windspeed in [m/s]
WINDDIR	Real*8	NewCM Wind directions (degrees) relative to
		sun positions
N_BRDF_KERNELS	Integer	Number of BRDF kernels
DO_WSABSA_OUTPUT	Logical	Do white-sky and black-sky albedo output?
DO_WSA_SCALING	Logical	Do white-sky albedo scaling?
DO_BSA_SCALING	Logical	Do black-sky albedo scaling?
WSA_VALUE	Real*8	White-sky albedo value
BSA_VALUE	Real*8	Black-sky albedo value
NSTREAMS_BRDF	Integer	Number of BRDF azimuth angles
DO_SHADOW_EFFECT	Logical	Do shadow effect for glitter kernels?
DO_DIRECTBOUNCE_ONLY	Logical	Do direct-bounce only (no multiple-scatter
		contributions to BRDF)?
DO_GLITTER_MSRCORR	Logical	Do multiple reflectance for All glitter kernels?
DO_GLITTER_MSRCORR_DBONLY	Logical	Do multiple reflectance for just the direct-
		bounce glitter kernels?
GLITTER_MSRCORR_ORDER	Integer	Multiple reflectance scattering order for glitter
		kernels
GLITTER_MSRCORR_NMUQUAD	Integer	Multiple reflectance scattering; Polar quadrature
		order
GLITTER_MSRCORR_NPHIQUAD	Integer	Multiple reflectance scattering; Azimuth
		quadrature order
DO_WSAVALUE_WF	Logical	Do white-sky albedo Jacobian?
DO_BSAVALUE_WF	Logical	Do black-sky albedo Jacobian?
DO_WINDSPEED_WF	Logical	Do wind-speed (NewCM) Jacobian?

Table E2: File-read Character strings for grouped basic kernel variables in VBRDF Supplement Table A

Name	Kind	Character string in Configuration file
BRDF_NAMES	Character*10	Kernel names, indices, amplitudes, # parameters, parameters
WHICH_BRDF	Integer	The second this case for most had be settled from the large transfer to the second transfer transfer to the second transfer transfer to the second transfer transf
BRDF_FACTORS	Real*8	These quantities are formatted together for each kernel using Format(A10,I2,F8.4,I2,3F12.6). See example below.
N_BRDF_PARAMETERS	Integer	Tormat(A10,12,1 0.7,12,31 12.0). See example below.
BRDF_PARAMETERS	Real*8	

Example of VBRDF inputs: configuration file settings for three VBRDF kernels as indicated:

VBRDFSUP - Kernel names, indices, amplitudes, # parameters, parameters Cox-Munk 9 0.1000 2 0.079800 1.779556 0.000000 Ross-thin 2 0.3000 0 0.000000 0.000000 0.000000 Li-dense 5 0.1000 2 2.000000 1.000000 0.000000

Note that the formatting was changed for Version 2.7 to allow more decimal places for the kernel amplitudes (formerly F6.2, now F8.4).

Special note regarding Cox-Munk type ocean BRDF kernels:

The Cox-Munk kernel uses $\sigma^2 = 0.003 + 0.00512*W$ where W is the wind speed in meters/second for the first parameter. For example, if W = 10, then $\sigma^2 = 0.054200$. In contrast, the Giss-Cox-Munk kernel uses $0.5*\sigma^2$ for the first parameter (half the value!). Thus, for this value of W, the Giss-Cox-Munk kernel would a value of $0.5*\sigma^2 = 0.027100$ for its first parameter.

Also, the Cox-Munk kernel uses the *square* of the refractive index for the second parameter. For example, if the refractive index is 1.334, then the second parameter would be 1.334*1.334 = 1.779556. In contrast, the Giss-Cox-Munk kernel uses just the refractive index itself for the second parameter. Thus, the Giss-Cox-Munk kernel would a value of 1.334 for its second parameter.

Table F: File-read Character strings for linearized kernel variables in VBRDF Supplement Table C

Name	Kind	Character string in Configuration file
DO_KERNEL_FACTOR_WFS	Logical	Kernels, indices, # pars, Factor Jacobian flag, Par Jacobian flags
DO_KERNEL_PARAMS_WFS	Logical	These quantities are formatted together for each kernel using Format (A10,I3,I2,4L). See example below.

```
Example of linearized VBRDF inputs: configuration file settings for 3 VBRDF kernels as indicated:
```

```
BRDFSUP - Kernels, indices, # pars, Factor Jacobian flag, Par Jacobian flags Cox-Munk 9 2 T T T F
Ross-thin 2 0 T F F F
Li-dense 5 2 T T T F
```

5.3.4 The direct-bounce correction for VBRDFs

For RT calculations with VBRDF surfaces, the radiation field has contributions from the direct-bounce VBRDF and (for each Fourier term) the diffusely reflected VBRDF components. One can compute the direct-bounce VBRDF with a full set of VBRDF kernels rather than use their truncated forms based on a (finite) Fourier series expansion. This is the "direct-bounce (DB) correction" in VLIDORT, and it is done *before* the diffuse field calculation (Fourier convergence of the whole field is discussed in section 2.4.7). The direct-bounce upwelling reflection of the solar beam (assuming plane-parallel attenuation) to optical depth τ may be written:

$$I_{REX}^{\uparrow}(\mu,\varphi,\tau) = I_0 \rho_{DB}(\mu,\mu_0,\varphi-\varphi_0) \exp\left(\frac{-\tau_{atmos}}{\mu_0}\right) \exp\left[\frac{-(\tau_{atmos}-\tau)}{\mu}\right]. \tag{5.3.27}$$

For surface property Jacobians, we require computation of the derivatives of this DB correction with respect to the kernel amplitudes and parameters; this is straightforward based on the discussion in section 5.3.1. For atmospheric profile weighting functions, the solar beam and line-

of-sight transmittances that form part of the DB correction in Eq. (5.3.27) need to be differentiated with respect to variables ξ_p varying in layer p.

When this DB correction is in force, the corresponding truncated Fourier-sum for a single reflectance should be omitted from the diffuse field calculations. As with the single scatter case, $l_{REX}^{\uparrow}(\mu, \varphi, \tau)$ should be added to the total field just after calculation of the azimuth-independent Fourier term, and before the higher-order Fourier are computed and the total radiance field examined for convergence; once again, this will make the calculation faster and more accurate.

In the current version of the VBRDF supplement, the direct-bounce calculation is always performed automatically, regardless of whether it will be used in VLIDORT or not (the default is to use it); *there is no separate flag for this correction*.

5.3.5 Surface emission in the VLIDORT model

In addition to the surface reflection of diffuse and direct radiation, there is the surface emission source term in the thermal regime; this will be present for all Fourier components for a bidirectional surface:

$$I_{n.emission}^{-}(\Delta_{n},\mu) = \delta_{m,0}\kappa(\mu)B(T_{q})$$
(5.3.28)

Here, the emissivity is given by Kirchhoff's law:

$$\kappa(\mu) = 1 - 2 \int_0^1 \mu' \rho_0(\mu, \mu') d\mu'. \tag{5.3.29}$$

Here, $B(T_g)$ is the surface Black-body Planck function for temperature T_g of the ground, $\rho_0(\mu, \mu')$ is the azimuth independent component of the total VBRDF kernel Fourier expansion. For the Lambertian surface with albedo R_{Lamb} , we have $\kappa(\mu) = 1 - R_{Lamb}$ for all directional cosines.

Note that the emissivity Eq. (5.3.29) will have derivatives with respect to the surface kernel amplitudes R_k and the kernel parameters \mathbf{b}_k in Eq. (5.3.1).

5.3.6 White-sky and Black-sky albedo scaling

There are now two options to normalize the multiple-kernel VLIDORT BRDFs according to a choice of spherical albedo - either the total spherical or *white-sky albedo* (WSA), or the directional *black-sky albedo* (BSA), the latter being dependent on the solar zenith angle. These options are designed to work alongside the MODIS-based system of semi-empirical kernel VBRDF models - see Equation (5.3.13b) above. For review material on the MODIS-BRDF system, refer to [*Lucht and Roujean*, 2000; *Lucht et al.*, 2000]; these kernels are also summarized in the Adjunct portion of the Guide (Section A2.3.1)

We consider the scalar model in the description below; the treatment is similar for the vector model, where the albedos are defined only for the (1,1) element of the 4x4 reflectance matrix.

Assuming the kernel BRDFs to be normalized to $1/\pi$, the two albedos are defined through:

$$A_{WSA} = 4 \int_0^1 \int_0^1 \mu \mu' \rho_0(\mu, \mu') d\mu d\mu'; \quad A_{BSA}(\mu_0) = 2 \int_0^1 \mu' \rho_0(\mu_0, \mu') d\mu'. \tag{5.3.30}$$

Here μ_0 is the cosine of the SZA, and $\rho_0(\mu, \mu')$ is the m=0 component of the VBRDF expressed as a Fourier series in cosine azimuth:

$$\rho(\mu, \mu', \varphi - \varphi') = \sum_{m=0} (2 - \delta_{m0}) \rho_m(\mu, \mu') \cos m(\varphi - \varphi'). \tag{5.3.31}$$

The VLIDORT BRDF supplement allows us to define a 4-kernel VBRDF in terms of amplitude factors $R^{(k)}$ and individual kernels $\rho^{(k)}(\mu, \mu', \varphi - \varphi')$:

$$\rho(\mu, \mu', \varphi - \varphi') = \sum_{k=1}^{4} R^{(k)} \rho^{(k)}(\mu, \mu', \varphi - \varphi'). \tag{5.3.32}$$

Then the albedo-scaled BRDF is

$$\tilde{\rho}(\mu, \mu', \varphi - \varphi') = \frac{\tilde{A}}{A_{SA}} \sum_{k=1}^{4} R^{(k)} \rho^{(k)}(\mu, \mu', \varphi - \varphi'). \tag{5.3.33}$$

Here, \tilde{A} is an <u>external</u> spherical albedo, and the <u>internal</u> spherical albedo is $A_{SA} = \sum_{k=1}^4 R^{(k)} A_{SA}^{(k)}$, where the kernel albedo $A_{SA}^{(k)}$ is either $A_{WSA}^{(k)} = 4 \int_0^1 \int_0^1 \mu \mu' \rho_0^{(k)}(\mu, \mu') d\mu d\mu'$ for the white-sky case, or $A_{BSA}^{(k)}(\mu_0) = 2 \int_0^1 \mu' \rho_0^{(k)}(\mu_0, \mu') d\mu'$ for the black-sky case. For a Lambertian kernel, $A_{SA}^{(k)}$ is just the Lambertian albedo.

In order to obtain values of $A_{SA}^{(k)}$, the half-space integrals are done by Gaussian quadrature using abscissa and weights $\{\mu_p, w_p\}$, $p = 1 \cdots N_p$. In other words:

$$A_{BSA}^{(k)}(\mu_0) = 2\sum_{p=1}^{N_p} \mu_p w_p \rho_0^{(k)}(\mu_0, \mu_p); \ A_{WSA}^{(k)} = 4\sum_{q=1}^{N_P} \mu_q w_q \sum_{p=1}^{N_P} \mu_p w_p \rho_0^{(k)}(\mu_q, \mu_p).$$
(5.3.34)

This quadrature $\{\mu_p, w_p\}$ is completely separate from the discrete ordinate quadrature that is used for VLIDORT radiative transfer, and is only used in the VBRDF supplement. The default value of N_p is currently 24. Eqs. (5.3.34) require computation of the Fourier components $\rho_0^{(k)}(\mu_0, \mu_p)$ (BSA case), or $\rho_0^{(k)}(\mu_q, \mu_p)$ (WSA).

There is a consistency check on the magnitude of the overall internally-calculated VBRDF spherical albedo A_{SA} . The VBRDF supplement ensures that A_{SA} is non-negative and lies somewhere in the interval [0,1]; if this condition is violated, the exception handling will return a fatal status when running the VBRDF supplement.

<u>**Remark**</u>. The VBRDF supplement not only generates VBRDFs $\rho(\mu_0, \mu_1, \varphi_0 - \varphi_1)$ for incoming SZA cosines μ_0 , outgoing line-of-sight cosines μ_1 and relative azimuth angles $\varphi_0 - \varphi_1$, but it also calculates Fourier-series components $\rho_m(\mu_0, \mu_k)$, $\rho_m(\mu_j, \mu_i)$, $\rho_m(\mu_j, \mu_1)$ and $\rho_m(\mu_0, \mu_1)$ as required for multiple-scattering (MS) of surface reflectance. Here, $\{\mu_j\}$, $j=1\cdots N_d$ are discrete ordinate polar streams, and the Fourier index is $m = 0.1 \cdots 2N_d - 1$. Albedo-scaling (if selected) applies to all these Fourier components. In the BSA case, albedo scaling is dependent on the **SZA** through its cosine then follows μ_0 , and it that the scaled components $\tilde{\rho}_m(\mu_i, \mu_i)$ and $\tilde{\rho}_m(\mu_i, \mu_1)$ will pick up dependence on SZA which the equivalent unscaled components did not possess. Because of this additional dependence, the BSA scaling can only be applied to all VBRDF outputs for a single value of the SZA - no multiple SZA calculations are allowed. The VBRDF code checks for this eventuality.

From a practical stand-point, there are 4 new inputs associated with these albedo scaling choices. Boolean flags DO_WSA_SCALING and DO_BSA_SCALING control the options - these two are mutually exclusive (this is checked). The scaling is done with user-supplied floating point variables WSA_VALUE or BSA_VALUE. The latter inputs are checked for the [0,1] range. See the entries in Tables A and E1.

Linearizations

The multi-kernel VBRDFs have analytic partial derivatives with respect to the amplitude factors $R^{(k)}$ and also to parameters $b^{(k)}$ which are inherent to the kernels themselves (e.g. $b^{(k)}$ could be the wind speed for the Cox-Munk glint kernel). Application of the albedo scaling requires additional differentiation. Indeed, taking the derivative of Eq. (5.3.33) for the amplitude factor $R^{(k)}$ yields (we have dropped the geometrical variables for convenience):

$$\frac{\partial \tilde{\rho}}{\partial R^{(k)}} = \frac{\tilde{A}\rho^{(k)} - \tilde{\rho}A_{SA}^{(k)}}{A_{SA}}.$$
(5.3.35)

Now suppose that the unscaled kernel $\rho^{(k)}$ has derivative $\frac{\partial \rho^{(k)}}{\partial b^{(k)}}$ with respect to parameter $b^{(k)}$. Then differentiation of (5.3.33) yields:

$$\frac{\partial \tilde{\rho}}{\partial b^{(k)}} = \frac{\tilde{A}R^{(k)}\frac{\partial \rho^{(k)}}{\partial b^{(k)}} - \tilde{\rho}\frac{\partial A_{SA}^{(k)}}{\partial b^{(k)}}}{A_{SA}}.$$
(5.3.36)

This last result requires the following computations to be added to the BRDF supplement:

$$\frac{\partial A_{WSA}^{(k)}}{\partial h^{(k)}} = 4 \int_0^1 \int_0^1 \mu \mu' \frac{\partial \rho_0^{(k)}}{\partial h^{(k)}} (\mu, \mu') d\mu d\mu'; \quad \frac{\partial A_{BSA}^{(k)}}{\partial h^{(k)}} (\mu_0) = 2 \int_0^1 \mu' \frac{\partial \rho_0^{(k)}}{\partial h^{(k)}} d\mu'. \tag{5.3.37}$$

In line with the additional computations in equations (5.3.29) using quadrature $\{\mu_p, w_p\}$, the derivatives in Eqs. (5.3.37) are computed via:

$$\frac{\partial A_{BSA}^{(k)}}{\partial b^{(k)}}(\mu_0) = 2\sum_{p=1}^{N_p} \mu_p w_p \frac{\partial \rho_0^{(k)}}{\partial b^{(k)}}(\mu_0, \mu_p);$$

$$\frac{\partial A_{WSA}^{(k)}}{\partial b^{(k)}} = 4\sum_{q=1}^{N_P} \mu_q w_q \sum_{p=1}^{N_P} \mu_p w_p \frac{\partial \rho_0^{(k)}}{\partial b^{(k)}}(\mu_q, \mu_p).$$
(5.3.38)

It is possible to generate derivatives of the scaled VBRDFs with respect to the user-supplied external spherical albedo \tilde{A} . These options are controlled by flags DO_WSASCALING_WF and DO_BSASCALING_WF, which are again mutually exclusive. If either of these flags is set, the linearized VBRDF supplement will deliver a Jacobian with respect to the WSA or BSA. This is an option that is treated separately from the other kernel-property or kernel-amplitude linearizations. The WSA/BSA-derivative is easy to write down: from (5.3.33), we have

$$\frac{\partial \widetilde{\rho}}{\partial \widetilde{A}} = \frac{\widetilde{\rho}}{\widetilde{A}}.\tag{5.3.39}$$

This completes the additional work on the VBRDF supplement.

5.4. VSLEAVE Supplement

Here, the vector surface-leaving (VSLEAVE) supplement is described. The VSLEAVE supplement is a separate system of VLIDORT-based software that generates a source of radiance at the lower boundary. There are currently two manifestations: (1) a near-infrared solar-induced fluorescence (SIF) signature from vegetation, and (2) an implementation of "water-leaving" radiance from the ocean surface. This VSLEAVE contribution is an upwelling radiance from the lower boundary, and is present in addition to existing diffuse and directly reflected radiation.

At present, the surface-leaving radiation field is treated as unpolarized (radiance only), so the current treatment for VLIDORT is identical to that implemented in the scalar LIDORT code.

The VSLEAVE supplement is designed to generate the specific set of VLIDORT inputs listed in Tables C4 and G4 in sections 5.1.1.3 and 5.1.1.7, respectively. As with the VBRDF supplement, the VSLEAVE software has the ability to ingest a full range of geometrical information (solar and viewing zenith angles, relative azimuth angles, discrete-ordinate stream angles) complementary to the equivalent VLIDORT inputs. We note that the supplement also has the observational geometry facility like VLIDORT itself.

In section 5.4.1, we present an overview of the VSLEAVE supplement, and discuss the surface-leaving constructions that are available. In section 5.4.2, we discuss the fluorescence implementation followed by the water-leaving formulation in sections 5.4.3 (a more numerical description of the formulation is given in the Adjunct to this User Guide). A sample calling sequence for the supplement is given in section 5.4.4, with the VSLEAVE supplement inputs and outputs are given in tables in section 5.4.5.

5.4.1. VSLEAVE formulation

The output from the supplement consists of three terms which are sun-normalized radiances. The first ("direct") term may be denoted as:

$$S_{direct}(\gamma, \theta_0, \varphi - \varphi_0).$$
 (5.4.1)

This depends geometrically on the solar illumination angle θ_0 , the viewing zenith angle γ , and the relative azimuth angle $\varphi - \varphi_0$ (think of the water-leaving radiance).

The other two terms are concerned with diffuse-scattering of surface leaving radiance, and they may be written as $S_m(\gamma, \theta_0)$ and $S_m(\mu_i, \theta_0)$, where μ_i ($i = 1, ... N_d$) are the discrete ordinate polar streams, and m is the Fourier component index, $m = 0,1, ... 2N_d - 1$. The term $S_m(\mu_i, \theta_0)$ is required for the inclusion of surface leaving in the diffuse-scattering boundary condition at surface, while the term $S_m(\gamma, \theta_0)$ is required post-processing of the discrete ordinate solution (source function integration).

The Fourier terms arise from the Fourier cosine/sine azimuth expansions of the full functions thus for example:

$$S_{direct}(\gamma, \theta_0, \varphi - \varphi_0) = S_m(\gamma, \theta_0) + 2 \sum_{m=1}^{\infty} S_m(\gamma, \theta_0) \cos m(\varphi - \varphi_0). \tag{5.4.2}$$

In the discrete-ordinate approximation with N_d streams, we can only use $2N_d-1$ components in the sum in Eq. (5.4.2). In the post-processing, it is more accurate to use the complete term $S_{direct}(\gamma,\theta_0,\varphi-\varphi_0)$ itself in place of the (less-accurate) Fourier-series truncation, and this "exact-term correction" is implemented in VLIDORT. This is akin to the "direct-bounce" VBRDF contribution and "exact" single-scatter formulation as noted in previous sections. In this case, the Fourier terms $S_m(\gamma,\theta_0)$ are not needed. This argument only applies to viewing angle directions - we will always need the Fourier components $S_m(\mu_i,\theta_0)$ for the multiple-scatter boundary condition and the computation of the discrete ordinate field itself.

We will also consider the simpler situation where the VSLEAVE contribution consists of an isotropic term $S^*(\theta_0)$ which depends only on the incoming solar direction (no azimuth

dependence, all outgoing directions equal), in which case, $S_m(\mu, \theta_0) = 0 \ (m \ge 1)$ and $S_0(\mu, \theta_0) = S^*(\theta_0)$ for all outgoing polar directions μ , and also $S_{exact}(\gamma, \theta_0, \varphi - \varphi_0) = S^*(\theta_0)$.

<u>Linearization</u>. With one exception (discussed towards the end of section 5.4.4), we assume that there is no effect of the atmosphere on the magnitudes of the surface-leaving terms - they depend solely on intrinsic quantities. We will therefore require the supplement to define partial derivatives of the VSLEAVE terms with respect to some surface-leaving property ξ (which might be the wind speed or the chlorophyll pigment concentration for the water-leaving scenarios, or the fluorescence at 755 nm for the SIF effect):

$$\frac{\partial S_m}{\partial \xi}(\mu_i, \theta_0); \ \frac{\partial S_m}{\partial \xi}(\gamma, \theta_0); \ \frac{\partial S_{exact}}{\partial \xi}(\gamma, \theta_0, \varphi - \varphi_0)$$
 (5.4.3)

These derivatives computed in the linearized VSLEAVE supplement will then be ingested by VLIDORT, thereby making it possible to generate Jacobian output with respect to these surface-leaving intrinsic properties ξ .

5.4.2. Fluorescence

We deal first with the fluorescence implementation. This is based on the double-Gaussian model outlined in [*Frankenberg et al.*, 2012] which has now been used in a number of studies on SIF. We thank Chris O'Dell for allowing us to use this model. The calculation is simple:

$$S^*(\theta_0) = F(\lambda, \theta_0) = F_{755}(\theta_0) \left\{ A_1 \exp\left[-\frac{(\lambda - \lambda_1)^2}{\sigma_1^2} \right] + A_2 \exp\left[-\frac{(\lambda - \lambda_2)^2}{\sigma_2^2} \right] \right\}$$
 (5.4.4)

The wavelengths λ_1 and λ_2 correspond to peaks at 683 nm and 730 nm respectively, and all the Gaussian constants are tabulated in the aforementioned reference. The fluorescence $F_{755}(\theta_0)$ at 755 nm is based on a huge multi-year data set derived from satellite observations, and it depends on the solar angle θ_0 , the 'epoch' (year, month, day, hour, etc.) and the latitude and longitude coordinates.

It follows that the VSLEAVE supplement input required for use with VLIDORT will require the following inputs: the wavelength λ , a series of solar zenith angles θ_0 , and time and geographical variables. Equation (5.4.4) is easy to differentiate with respect to the defining parameters. The main interest here is retrieval of parameter $\xi_q \equiv F_{755}(\theta_0)$, for which $\partial S^*(\theta_0)/\partial \xi_q$ is trivial. This linearization is controlled by a separate Boolean flag. Technically it is possible to define Jacobians with respect to the Gaussian parameters, and there is optional code for this possibility; this option is of marginal use.

The SIF data base is given in absolute units, and the resulting fluorescence must normalized by division with a solar spectral irradiance in units of [W.m⁻².µm⁻¹]. This is because VLIDORT has its own solar flux entry (remember that when flux is set to 1.0, the VLIDORT radiance is "sunnormalized"). The VSLEAVE supplement has its own solar-spectrum for this normalization. The default solar data is based on the 1985 Wehr/Li Standard Extraterrestrial Solar Irradiance Spectrum, obtained from http://rredc.nrel.gov/solar/spectra/am0.

5.4.3. Water-Leaving – General Formulation

The water-leaving supplement was first introduced for LIDORT Version 3.6 in 2012. There, the water-leaving contribution was regarded as isotropic (no angular dependence at all), and equal to

the flux-normalized (underwater) upwelling radiance in the ocean at the surface - Fresnel transmittance through the surface was taken to be unity. This water-leaving radiance was obtained through an empirical formulation based on scattering and absorption by ocean optical contributors (pure water, pigment (chlorophyll) and CDOM).

The original formulation came from the 6S model code [Vermote et al., 1997], and has been changed as new empirical ocean-optics data have emerged. This "modified-6S code" was developed by A. Sayer (private communication) for Version 3.7 of LIDORT and Version 2.7 of VLIDORT. The underwater term is currently dependent only on the wavelength (again in Microns) and the pigment concentration in [mg/M], and the salinity (the latter to establish refractive index of ocean water). A simple formulation of atmospheric transmittance (depending on solar zenith angle) is then applied to the ocean term to give the final (isotropic) SL contributions.

For Version 3.8 of LIDORT (and Version 2.8 of VLIDORT), a new formulation was drawn up for the ocean water leaving radiance. This includes new empirical ocean-optics formulations from recent literature, a consideration of the atmospheric flux term, and non-isotropic angular dependency arising from the use of "foQ" tables developed by scientists working in ocean optics. The newer formulation now creates $S_{direct}(\mu_0, \mu_1)$ for the "direct" term into line of sight direction μ_1 , and it is also necessary to compute the Fourier components $S_m(\mu_0, \mu_j)$ for the discrete ordinate directions $\{\mu_j\}$, $j=1\cdots N_d$ in order to deal with multiple scattering; with no azimuth dependence, only $S_0(\mu_0, \mu_j)$ for m=0 survives.

A complete derivation of this water leaving radiance is given in section A5 of the Adjunct portion of the Guide; this appendix takes into account a number of recent developments in the literature, and is fully referenced.

An input-check routine has already been written to ensure that input solar and line-of-sight input geometrical variables are consistent between VLIDORT and the VBRDF supplement, and now that the VSLEAVE supplement has non-isotropic functionality, a similar checking routine has been constructed for VLIDORT and VSLEAVE consistency.

In addition to the above water-leaving formulation, the SL supplement now has a "rough-surface" option, which is derived from the 6S treatment of the ocean-air transmittances for a rough surface. This derivation is based on the azimuthal integration (for each solar angle and line of sight angle in the atmosphere) of the reverse-medium glint calculation for the rough-surface interface, taking into account Snell's law of refraction and the conservation of light intensity divided by the square of the reflective index. In the VSLEAVE supplement, our glint calculations here are done using the same Cox-Munk calculation as that noted above for the VBRDF (with wind-directionality and complex refractive index determined through salinity). As seen in Eq. (5.3.12), the whitecap correction is also required if we are computing water-leaving radiance in conjunction with atmospheric glint with foam. Clearly, this water-leaving option has the same inputs as that for the VBRDF discussed in the previous section (wavelength, wind speed and direction, salinity, whitecap and facet isotropy flags). The shadow option is not required.

In this rough-surface case, the VSLEAVE and VBRDF supplements are using the same software for glint reflectance, Fresnel coefficients, refractive index calculation and whitecap determination. The software is separately implemented in the interests of modularity. When

using the two supplements together, it is essential that they both operate with a common set of inputs - we have therefore written a subroutine which checks the compatibility of VSLEAVE and VBRDF inputs in this situation.

5.4.4. Example calling sequence

For an intensity calculation with VSLEAVE reflection, the VSLEAVE inputs required by VLIDORT_MASTER are those specified in section 5.1.1.3 Table C4, namely, the exact VSLEAVE itself for all solar incident and reflected line-of-sight reflected directions, the two sets of Fourier components for the multiple scatter calculation, and the isotropic component. For a surface property weighting function calculation (using the VLIDORT_L_MASTER subroutine), VLIDORT also requires the linearized VSLEAVE inputs in section 5.1.1.7 Table G4.

For a calculation of VSLEAVE inputs alone (i.e. no linearizations), the calling program sequence is:

The first subroutine (VSLEAVE_INPUTMASTER) reads inputs from a VSLEAVE configuration file. These include specifications of the numbers and values of angles (solar and viewing angle zeniths, relative azimuths), the number of discrete ordinates, along with Fluorescence and control inputs. Angular and stream control inputs for the VSLEAVE supplement must match those equivalent inputs specified for VLIDORT before a subsequent VLIDORT radiance calculation with supplement-computed VSLEAVE inputs is performed. The VSLEAVE input read routine VSLEAVE_INPUTMASTER is of course optional - it is perfectly possible to set these inputs in another manner inside the calling environment itself. Table A in the next section describes the inputs required for a basic VSLEAVE calculation.

The main subroutine (VSLEAVE_MAINMASTER) then carries out the computation of the VSLEAVE quantities in Eq. (5.4.1). The output from this subroutine can then be written to file for ongoing subsequent use in VLIDORT itself; it is also possible to combine the VSLEAVE supplement with the main VLIDORT call inside one environment similar to the example above.

For a calculation with SL weighting functions, some additional VSLEAVE inputs are required. These are also listed in Table C in the next section. One can then obtain Jacobians with respect to wind speed or the fluorescence at 755 nm for example. In the linearized case, we use the file-read subroutine VSLEAVE_LIN_INPUTMASTER for all inputs (regular and linearized), and the user environment will then call the subroutine VSLEAVE_LIN_MAININPUTMASTER

which will deliver the VSLEAVE quantities in Eq. (5.4.1) for all the required geometrical configurations, as well as the linearizations of these in Eq. (5.4.3) with respect to a number of VSLEAVE properties.

5.4.5. VSLEAVE inputs and outputs

This section contains tables regarding (1) VSLEAVE supplement input and output type structures and (2) file-read character strings found in the input configuration file VSLEAVE_ReadInput.cfg.

5.4.5.1 Input and output type structures

Table A: Type Structure VSLEAVE_Sup_Inputs

Name	Kind/Intent	Description
DO_SLEAVING	Logical (I)	If set, VSLEAVE calculations will be done.
DO_ISOTROPIC	Logical (I)	If set, calculations for only doing the isotropic
		VSLEAVE term will be done.
DO_ROUGHSURFACE	Logical (I)	If set, the rough surface treatment will be included.
DO_EXACT	Logical (I)	If set, calculations for doing the exact VSLEAVE term
		will be calculated.
DO_EXACTONLY	Logical (I)	If set, calculations for <i>only</i> doing the exact VSLEAVE
		term will be done (no Fourier).
DO_FLUORESCENCE	Logical (I)	If set, calculations for fluorescence will be done.
DO_SOLAR_SOURCES	Logical (I)	Flag for solar beam source of light.
DO_USER_OBSGEOMS	Logical (I)	If set, supplement will compute VSLEAVE quantities at
		observational geometry triplets specified by the user for
		multiple geometries. Used in conjunction with input variables N USER OBSGEOMS and
		variables N_USER_OBSGEOMS and USER_OBSGEOMS.
VSLEAVE_DATAPATH	Character*200	File path to VSLEAVE data.
NSTOKES	Integer (I)	Number of Stokes vector parameters for which
NSTORES	integer (1)	computations will be done.
NSTREAMS	Integer (I)	Number of quadrature values used in the azimuth
THE INTE	integer (1)	integration of the VSLEAVE kernels in order to get
		Fourier components of VSLEAVE kernels.
		Recommended value 25 for most kernels, 50 for Cox-
		Munk.
NBEAMS	Integer (I)	Number of solar beams. Must ≤ symbolic dimension
		MAXBEAMS.
BEAM_SZAS	Real*8 (I)	Solar zenith angles (degrees). Checked internally range
		[0,90).
N_USER_RELAZMS	Integer (I)	Number of user-defined relative azimuth angles. Must
		not be greater than symbolic dimension
VIGER DEV 4 3143	D 110 (7)	MAX_USER_RELAZMS.
USER_RELAZMS	Real*8 (I)	Array of user-defined elative azimuth angles (in degrees)
		for off-quadrature output. Ordering is not important.
DO LICED CTDEAMS	Logical (I)	Must be between 0 and 180.
DO_USER_STREAMS	Logical (I)	If set, there will be output at a number of off-quadrature zenith angles specified by user.
N_USER_STREAMS	Integer (I)	Number of user-defined viewing zenith angles. Must be
11_OSEK_STREAMS	micgei (1)	not greater than symbolic dimension
		MAX_USER_STREAMS.
USER_ANGLES_INPUT	Real*8 (I)	Array of user-defined viewing zenith angles (in degrees)
	0 (2)	in the second se

		for off-quadrature output. Must be between 0 and 90
		degrees.
N_USER_OBSGEOMS	Integer (I)	Number of user-defined observational geometry triplets.
		Must not be greater than the symbolic dimension
		MAX_USER_OBSGEOMS.
USER_OBSGEOMS (g,3)	Real*8 (I)	Array of g user-defined observational geometry triplets
_		(in degrees) for off-quadrature output. It consists of the
		geometry triplets (solar zenith angle, viewing angle,
		relative azimuth angle) for which VSLEAVE quantities
		are desired.
SALINITY	Real*8 (I)	Salinity (in ppt).
CHLORCONC	Real*8 (I)	Chlorophyll concentration (in mg/M).
WAVELENGTH	Real*8 (I)	Spectral wavelength for which VSLEAVE water-leaving
		quantities will be computed (in μm).
WINDSPEED	Real*8 (I)	Wind speed (in m/s) (only for non-isotropic water
		leaving).
WINDDIR (b)	Real*8 (I)	Wind direction relative to the azimuthal position of the
		sun for each solar angle b (only for non-isotropic water
		leaving).
NSTREAMS_AZQUAD	Integer (I)	Number of angles used in azimuthal integration during
		VSLEAVE calculation.
DO_GlintShadow	Logical (I)	If set, calculations accounting for shadowing of wave
		facets during sun glint will be done.
DO_FoamOption	Logical (I)	If set, calculations accounting for ocean foam will be
DO 7	· · · · · ·	done.
DO_FacetIsotropy	Logical (I)	If set, wave facets will be considered isotropic.
FL_WAVELENGTH	Real*8 (I)	Spectral wavelength for which VSLEAVE land
	D 140 (I)	fluorescence quantities will be computed (in nm).
FL_LATITUDE	Real*8 (I)	Current latitude (in degrees).
FL_LONGITUDE	Real*8 (I)	Current longitude (in degrees).
FL_EPOCH(6)	Integer (I)	Current epoch (year, month, day, hour, minute, second).
FL_AMPLITUDE755	Real*8 (I)	Amplitude of fluorescence at 755nm.
FL_DO_DATAGAUSSIAN	Logical (I)	Flag for using internal Gaussian data. Must be set to use
Ex. Dibrimed visitativities	D 140 (*)	internal data.
FL_INPUTGAUSSIANS(3,2)	Real*8 (I)	External Gaussian data. Gaussian input parameters
		needed in Eq. $(5.4.4)$. These are the amplitude (in
		W/m ² /sr), central wavelength (in nm), and std dev (in
		nm) of Gaussians 1 and 2.

Table B1: Type structure VSLEAVE_Sup_Outputs

Tubic D1. Type baractare \DDD1\DD1\DD2\DD2\DD2\DD2\DD2\DD2\DD3\DD2\DD2\DD3\DD2\DD3\DD3				
Name	Kind/Intent	Description		
SLTERM_ISOTROPIC (s)	Real*8 (O)	Isotropic reflection from beneath water surface for		
		incident solar angle s.		
SLTERM_USERANGLES (a,b,s)	Real*8 (O)	Exact reflection from beneath water surface for incident solar angle s , reflected line-of-sight angle a , and relative azimuth b .		
SLTERM_F_0 (M,k,s)	Real*8 (O)	Fourier components <i>M</i> of reflection from beneath water surface for incident solar angle <i>s</i> and reflected discrete ordinate <i>k</i> .		
USER_SLTERM_F_0 (M,a,s)	Real*8 (O)	Fourier components <i>M</i> of reflection from beneath water surface for incident solar angle <i>s</i> and reflected line-of-sight zenith angle <i>a</i> .		
TRANS_ATMOS (s)	Real*8 (O)	Mick flag		

 Table B2: Type Structure VSLEAVE_Input_Exception_Handling

Name	Kind/Intent	Description
STATUS_INPUTREAD	Integer (O)	Overall status of input read.
NINPUTMESSAGES	Integer (O)	Number of input read error messages.
INPUTMESSAGES	Character (O)	Array of input-read error messages.
INPUTACTIONS	Character (O)	Array of input-read actions to take.

Table B3: Type Structure VSLEAVE_Output_Exception_Handling

	• 1	<u> </u>
Name	Kind/Intent	Description
STATUS_OUTPUT	Integer (O)	Overall status of output.
NOUTPUTMESSAGES	Integer (O)	Number of output error messages.
OUTPUTMESSAGES	Character (O)	Array of output error messages.

Table C: Type Structure VSLEAVE_LinSup_Inputs

Table C. Type b	tractare V SEA	zA v E_Embup_mputs
Name	Kind/Intent	Description
DO_SL_JACOBIANS	Logical (I)	General flag for doing SL weighting functions.
DO_ISO_JACOBIANS	Logical (I)	If set, an isotropic weighting function will be
		done.
DO_FL_755_JACOBIANS	Logical (I)	If set, a weighting function w.r.t. the fluorescence
		amplitude at 755nm will be done.
DO_FL_GAUSS_JACOBIANS(6)	Logical (I)	If set, a weighting function w.r.t. the respective
		fluorescence Gaussian parameter will be done.
DO_SALINITY_WF	Logical (I)	If set, a weighting function w.r.t. the ocean
		salinity will be done.
DO_CHLORCONC_WF	Logical (I)	If set, a weighting function w.r.t. the ocean
		chlorophyll concentration will be done.
DO_WINDSPEED_WF	Logical (I)	If set, the wind speed weighting function will be
		done. May be used when using the new Cox-
		Munk ocean kernel.

Table D: Type structure VSLEAVE_LinSup_Outputs

Name	Kind/Intent	Description
LS_SLTERM_ISOTROPIC (q,s)	Real*8 (O)	Linearized Isotropic reflection from beneath water surface for incident solar angle <i>s</i> , w.r.t. surface property <i>q</i> .
LS_SLTERM_USERANGLES (q,a,b,s)	Real*8 (O)	Linearized Exact reflection from beneath water surface for incident solar angle <i>s</i> , reflected line-of-sight angle <i>a</i> , and relative azimuth <i>b</i> , w.r.t. surface property <i>q</i> .
LS_SLTERM_F_0 (q,M,k,s)	Real*8 (O)	Linearized Fourier components M of reflection from beneath water surface for incident solar angle s and reflected discrete ordinate k , w.r.t. surface property q .
LS_USER_SLTERM_F_0 (q,M,a,s)	Real*8 (O)	Linearized Fourier components <i>M</i> of reflection from beneath water surface for incident solar angle <i>s</i> and reflected line-of-sight zenith angle <i>a</i> , w.r.t. surface property <i>q</i> .

5.4.5.2 VSLEAVE configuration file character strings

Table E1: File-read Character strings for *some* variables in VSLEAVE Supplement Table A

Table E1. File-lead Character sti	ings for <u>sa</u>	ome variables in VSLEAVE Supplement Table A
Name	Kind	Character string in Configuration file
DO_USER_STREAMS	Logical	Use user-defined viewing zenith angles?
DO_SLEAVING	Logical	Do surface-leaving Contributions?
DO_FLUORESCENCE	Logical	Do surface-leaving Fluorescence?
DO_ISOTROPIC	Logical	Do Isotropic surface-leaving?
DO_EXACT	Logical	Do Overall-Exact kernels?
DO_EXACTONLY	Logical	Do Exact-only (no Fourier-term contributions)?
DO_SL_JACOBIANS	Logical	Do surface-leaving Jacobians?
DO_ISO_JACOBIANS	Logical	Do Isotropic surface-leaving Jacobians?
DO_OBSERVATION_GEOMETRY	Logical	Do Observation Geometry?
DO_ROUGHSURFACE	Logical	Do rough-surface water-leaving?
SALINITY	Real*8	Ocean water salinity [ppt]
CHLORCONC	Real*8	Chlorophyll concentration in [mg/M]
WAVELENGTH	Real*8	Wavelength in [Microns]
NSTOKES	Integer	Number of Stokes vector components
NSTREAMS_AZQUAD	Integer	Number of azimuth quadrature streams
WINDSPEED	Real*8	Wind speed in [m/s]
WINDDIR	Real*8	Wind directions (degrees) relative to sun positions
DO_FoamOption	Logical	Do whitecap (foam) calculation?
DO_FacetIsotropy	Logical	Do glint calculation with facet isotropy?
DO_GlintShadow	Logical	Do glint calculation with shadowing?
DO_CHLORCONC_WF	Logical	Do pigment concentration weighting function?
DO_WINDSPEED_WF	Logical	Do wind-speed weighting function?
FL_LATITUDE	Real*8	Latitude for Fluorescence model [degs]
FL_LONGITUDE	Real*8	Longitude for Fluorescence model [degs]
FL_EPOCH(6)	Integer	Epoch for Fluorescence model
FL_WAVELENGTH	Real*8	Wavelength for Fluorescence model in [nm]
FL_AMPLITUDE755	Real*8	Amplitude for Fluorescence model at 755 nm
FL_DO_GAUSSIAN	Logical	Do Data Gaussians in Fluorescence?
DO_FL_755_JACOBIANS	Logical	Do Jacobians for F755 Fluorescence value?
DO_FL_GAUSS_JACOBIANS(6)	Logical	Do Gaussian parameter Jacobians for Fluorescence?
NSTREAMS	Integer	Number of half-space streams
NBEAMS	Integer	Number of solar zenith angles
BEAM_SZAS	Real*8	Solar zenith angles (degrees)
N_USER_RELAZMS	Integer	Number of user-defined relative azimuth angles
USER_RELAZMS	Real*8	User-defined relative azimuth angles (degrees)
N_USER_STREAMS	Integer	Number of user-defined viewing zenith angles
USER_ANGLES_INPUT	Real*8	User-defined viewing zenith angles (degrees)
N_USER_OBSGEOMS	Integer	Number of Observation Geometry inputs
USER_OBSGEOM_INPUT	Real*8	Observation Geometry inputs

5.5. VFZMAT Supplement

Here, the Vector F-matrix/Z-matrix (VFZMAT) supplement is briefly described. Prior to performing a radiative transfer calculation using VLIDORT, the basic optical properties of each layer of the model atmosphere must be defined (as well as linearized optical properties if desiring Jacobians with respect to one or more atmospheric constituents). The preparation of these inputs was covered in section 3.1.

In particular, there are inputs related to the scattering F-matrix for each layer. For the first time in VLIDORT Version 2.8, a supplement has been added to assist in the preparation of these inputs in two ways:

- To provide some time-saving generic subroutines that will define F-matrices for simple analytic expressions such as those for Rayleigh scattering;
- To provide a subroutine that will provide (as inputs for VLIDORT) more complex F-matrices that are not analytic, but are rather defined as functions of scattering angle (usually from data files). This situation will arise when dealing with a cloud or aerosol F-matrices obtained from measurements or perhaps derived from Mie or T-matrix scattering calculations.

In either case, the subroutines will return three quantities:

- 1. Values of the F-matrices (both forward and backward scattering) for the prescribed geometries to be used in any given VLIDORT calculation;
- 2. Values of the Z-matrices (both forward and backward scattering) for the prescribed geometries to be used in other possible associated calculations;
- 3. Values of the first 0 to $2N_d$ Legendre expansion coefficients of the F-matrix, where N_d is the number of half-space discrete ordinates.

The complete F-matrices are necessary when performing an exact single scattering calculation as a correction to the full atmospheric radiance. In previous VLIDORT versions, these F-matrices were computed internally from scratch using a complete set of Legendre expansion coefficients. These computations not only required repeated recursive calculations of Legendre polynomials, but also, a large number of coefficients may be required to recover the F-matrix accurately (1000 or more coefficients is typical for ice clouds, for example). These internal computations were found to impact the overall radiative transfer computational time and memory in a marked way. Now, it is no longer necessary for VLIDORT to ingest large arrays of expansion coefficients (an important memory saving), and the Legendre functions are calculated outside the main code in the VFZMAT supplement.

We still need F-matrix coefficients $\{\beta_l\}, l=0,1,...2N_d$ for the diffuse radiance (multiple scatter) calculation, but this is dictated by the chosen number of discrete ordinates N_d . The coefficient β_{2N_d} is required when the Delta-M scaling is in operation.

For Rayleigh F-matrices, both VFZMAT outputs are calculated from well-known results based on analytic expressions. For the general situation based on data sets of F-matrix inputs, the first VFZMAT outputs are calculated by interpolating the database F-matrix values to a set of geometrical configurations specified by VLIDORT's geometry inputs. The second VFZMAT outputs (expansion coefficients) are calculated by first interpolating the database F-matrix values to a Gaussian quadrature grid $\{\mu_i, w_i\}$, $i = -N_z$, ... N_z over the scattering-cosine interval [-1,1]. Each Legendre coefficient is then calculated by integration:

$$\beta_l = \int_{-1}^1 \Phi(\mu) P_l(\mu) d\mu \cong \frac{(2l+1)}{2} \sum_{i=-N_z}^{N_z} w_i \Phi(\mu_i) P_l(\mu_i). \tag{5.5.1}$$

Here, the factor $\frac{1}{2}(2l+1)$ arises from the orthonormality properties of Legendre polynomials, $\Phi(\mu)$ is the F-matrix, and $P_l(\mu)$ is the Legendre polynomial. The choice of N_z should be large and this number is currently fixed at 1000 in the VFZMAT program. Care should be taken to ensure that the input data file of F-matrices has sufficiently high density of entries (especially around the forward peak) so that the interpolations are meaningful. For example, input F-matrices are often specified for a set of 181 scattering angles from 0° to 180° at every 1°. This discretization is not fine enough for interpolation or quadrature, and the user is advised to distribute the data at a finer resolution before using it in VFZMAT.

5.6 Using VLIDORT for certain applications

5.6.1 Generating AMFs and Scattering-weight AMFs with VLIDORT

5.6.1.1 Traditional Definition

For the DOAS-style retrieval of the vertical total column of a single trace gas which is an optically thin absorber, the AMF definition that is used in many DOAS application is:

$$W = -\frac{1}{c} \log \left[\frac{I_{nogas}}{I_{gas}} \right] \tag{5.6.1}$$

Here, I_{nogas} is the radiance calculated without the presence of absorption by the trace gas, I_{gas} is the radiance calculated with absorption by the trace gas included, and τ is the total atmospheric vertical optical depth of the absorber gas. From a practical point of view, this requires two separate calculations using VLIDORT, one in which the trace-gas absorption is included in every layer of the atmosphere, and the other in which these trace gas layer optical depths for absorption are omitted.

It is also possible to define the AMF for a single layer:

$$W_n = -\frac{1}{\tau_n} \log \left[\frac{I_{nogas,n}}{I_{gas}} \right]$$
 (5.6.2)

where τ_n is the optical depth of the trace gas in layer n, and $I_{nogas,n}$ is the radiance with absorption omitted in layer n.

5.6.1.2 Scattering weight AMF

Also of use in many DOAS applications is the so-called scattering-weight AMF W_n , which is defined by:

$$W_n = -\frac{\partial \log I}{\partial \tau_n} \tag{5.6.3}$$

I is the radiance calculated including absorption. This is very simply written down in terms of the profile Jacobian output K_n (with respect to τ_n in layer n) from VLIDORT:

$$W_n = -\frac{1}{\tau_n} \frac{K_n}{I}; \qquad K_n = \tau_n \frac{\partial I}{\partial \tau_n}. \tag{5.6.4}$$

This quantity is sometimes normalized to the geometrical AMF: $W_{qeo} = \mu_0^{-1} + \mu_1^{-1}$ for solar and viewing zenith angle cosines μ_0 and μ_1 .

The profile Jacobian facility is very useful for this quantity, and it requires the user to set up the appropriate linearized optical property inputs to VLIDORT. We give one example. For Rayleigh scattering with one trace gas absorber, the VLIDORT bulk optical properties are $\Delta_n =$ $R_n + \tau_n$, $\omega_n = R_n/\Delta_n$, where R_n is the Rayleigh scattering optical depth in layer n, and $\{\Delta_n, \omega_n\}$ the layer optical depth for extinction and scattering albedo respectively. VLIDORT requires as input the linearized quantities:

$$V_n \equiv \frac{\tau_n}{\Delta_n} \frac{\partial \Delta_n}{\partial \tau_n} = \frac{\tau_n}{\Delta_n}; \quad U_n \equiv \frac{\tau_n}{\omega_n} \frac{\partial \omega_n}{\partial \tau_n} = -V_n .$$
 (5.6.5)

[The phase function has no derivatives in this case].

5.6.2 Computations with Planck Functions: Relations between Spectral Grids

The following are some relations which may serve as a handy reference when performing computations involving Planck functions on different spectral grids (a wavelength (λ) grid and wavenumber (\tilde{v}) grid being used here). In the expressions below, we define

$$\lambda_1 = \frac{1}{\widetilde{v}_2}$$
, $\lambda_2 = \frac{1}{\widetilde{v}_1}$, $\Delta \lambda = \lambda_2 - \lambda_1$, $\Delta \widetilde{v} = \widetilde{v}_2 - \widetilde{v}_1$

where $\lambda_2 > \lambda_1$ and $\tilde{\nu}_2 > \tilde{\nu}_1$.

For a monochromatic case:

$$B_{\lambda}(T)d\lambda = -B_{\widetilde{\nu}}(T)d\widetilde{\nu} \tag{5.6.6a}$$

$$B_{\lambda}(T) = -B_{\widetilde{\nu}}(T) \frac{d\widetilde{\nu}}{d\lambda}$$

$$= -B_{\widetilde{\nu}}(T)(-\frac{\widetilde{\nu}}{2})$$
(5.6.6b)

(5.6.6c)

$$B_{\lambda}(T) = B_{\widetilde{\nu}}(T) \cdot \frac{\widetilde{\nu}}{1}$$

For a finite spectral subinterval case:

$$\int_{\lambda_1}^{\lambda_2} B_{\lambda}(T) d\lambda = \int_{\widetilde{\nu}_1}^{\widetilde{\nu}_2} B_{\widetilde{\nu}}(T) d\widetilde{\nu}$$
 (5.6.7a)

By the mean value theorem for integrals from the calculus, for a function y = f(x) that is continuous, there exists a mean value \bar{f} such that $\bar{f} \cdot \Delta x = \int_{x_1}^{x_2} f(x) dx$. Since the Planck function is such a function, on the λ -grid we may write $\overline{B_{\lambda}(T)} \cdot \Delta \lambda = \int_{\lambda_1}^{\lambda_2} B_{\lambda}(T) d\lambda$. Using this and defining the quantity $B_{\Delta \widetilde{\nu}}(T) = \int_{\widetilde{\nu}_1}^{\widetilde{\nu}_2} B_{\widetilde{\nu}}(T) d\widetilde{\nu}$, (5.6.7a) may be re-written as:

$$\overline{B_{\lambda}(T)} \cdot \Delta \lambda = B_{\Delta \widetilde{\nu}}(T)$$

$$\overline{B_{\lambda}(T)} = \frac{B_{\Delta \widetilde{\nu}}(T)}{\Delta \lambda}$$
(5.6.7b)
$$(5.6.7c)$$

$$\overline{B_{\lambda}(T)} = \frac{B_{\Delta\tilde{\nu}}(T)}{\Delta^{\lambda}} \tag{5.6.7c}$$

We note that the quantity $B_{\Delta \widetilde{\nu}}(T)$ is a band intensity in units $[W/(m^2 \cdot sr)]$ and can be generated by the LIDORT family subroutine <code>get_planckfunction</code>. Also, on the $\tilde{\nu}$ -grid we may write

$$\overline{B_{\widetilde{v}}(T)} \cdot \Delta \widetilde{v} = \int_{\widetilde{v}_1}^{\widetilde{v}_2} B_{\widetilde{v}}(T) d\widetilde{v}$$
 (5.6.8a)

$$\overline{B_{\widetilde{\nu}}(T)} \cdot \Delta \widetilde{\nu} = B_{\Delta \widetilde{\nu}}(T) \tag{5.6.8b}$$

$$\overline{B_{\widetilde{V}}(T)} = \frac{B_{\Delta\widetilde{V}}(T)}{\Delta\widetilde{V}} \tag{5.6.8c}$$

Specifically, using (5.6.7b) and (5.6.8b) yields

$$\overline{B_{\lambda}(T)} \cdot \Delta \lambda = \overline{B_{\widetilde{\nu}}(T)} \cdot \Delta \widetilde{\nu} \tag{5.6.9a}$$

$$\frac{B_{\lambda}(T)}{B_{\lambda}(T)} = \frac{B_{\widetilde{\nu}}(T)}{B_{\lambda}} \cdot \frac{\Delta \widetilde{\nu}}{\Delta \lambda} \qquad (5.6.9b)$$

$$\approx \frac{B_{\widetilde{\nu}}(T)}{B_{\lambda}(T)} \cdot \left| \frac{d\widetilde{\nu}}{d\lambda} \right| \qquad (5.6.9c)$$

$$\approx \overline{B_{\widetilde{v}}(T)} \cdot \left| \frac{d\widetilde{v}}{d\lambda} \right|$$
 (5.6.9c)

$$\overline{B_{\lambda}(T)} \approx \overline{B_{\widetilde{\nu}}(T)} \cdot \frac{\widetilde{\widetilde{\nu}}}{\lambda}$$
 (5.6.9d)

Eq. (5.6.9d) is similar to the monochromatic relation given in (5.6.6c); however, care must be taken to insure that the spectral subinterval over which the values in (5.6.9d) are computed is such that the approximation $\frac{\Delta \tilde{v}}{\Delta \lambda} \approx \left| \frac{d\tilde{v}}{d\lambda} \right|$ holds. Based on numerical experimentation, with $\Delta \tilde{v} =$ $1cm^{-1}$ this approximation holds well in the spectral range $\tilde{v} \in [50cm^{-1}, 50000cm^{-1}]$ (equivalent to the range $\lambda \in [200nm, 200000nm]$). This information is particularly useful as it applies to the spectral range covered by the range of solar flux data found in data files of solar fluxes (e.g. in the solar spectrum file "newkur.dat" used in some radiative transfer tools of which LIDORT or VLIDORT are a part).

6. VLIDORT 2.8.1 Addendum

6.1 Preface

These additions describe a series of modifications made to the official VLIDORT Version 2.8 User Guide. These changes correspond to additional developments made in the first half of 2019; these developments and changes are brought together in the interim Version 2.8.1 VLIDORT model. The authors would like to thank a number of colleagues at NASA-GSFC and SSAI for valuable user feedback. The two major upgrades for Version 2.8.1 are described in Sections 6.2 (Water-leaving treatments) and 6.3 (Planetary problem and isotropic illumination) respectively. In particular, Section 6.2 contains a complete re-write of the User-Guide water-leaving material (both in the main part of the Guide and the ocean-optics Appendix material). Section 6.3 contains new material not present in the 2.8 Guide.

6.2 Water-Leaving Supplement and Usage in VLIDORT

6.2.1 Water-Leaving Implementation in VLIDORT

The computation of emerging water-leaving radiance L_W depends not only on the optical properties of marine constituents and radiative processes in the ocean medium, but also on the total atmospheric direct and diffuse downwelling flux T_{ATM} of atmospheric light through the airwater interface. This is of course complicates the separation between the water-leaving supplemental calculation and the main VLIDORT calculation to follow; normally, the latter is based on the supplemental input. Furthermore, T_{ATM} will in general depend on the surface leaving contribution and hence on marine constituents. In other words, VLIDORT and its supplement VSLEAVE are coupled.

Although this coupling can be treated formally with a coupled ocean-atmosphere RT model such as that described in [Spurr et al., 2007], we have developed a simple coupling scheme for VLIDORT to ensure that the actual value of L_W used as a surface input will correspond to the correct value of the downwelling flux transmittance at the surface interface. The first application of this new water-leaving model was presented in [Vasilkov et al., 2017].

Before going into details of the coupling scheme, we first summarize the VSLEAVE computation. Water-leaving output from VSLEAVE consists of three terms which are sunnormalized radiances. The first ("direct") term $L_{W,direct}(\theta,\theta_0,\varphi-\varphi_0)$ is the water leaving radiance for solar illumination angle θ_0 (cosine μ_0), into viewing direction having zenith angle θ with $\mu=\cos\theta$, and the relative azimuth angle $\varphi-\varphi_0$ between the two directions.

The other two water-leaving radiance output may be written $L_{W,m}(\mu,\mu_0)$ and $L_{W,m}(\mu_i,\mu_0)$, where μ_i ($i=1,...N_d$) are the discrete-ordinate polar cosines, and m is the Fourier component index, $m=0,1,...2N_d-1$. These are diffuse-term contributions: $L_{W,m}(\mu_i,\mu_0)$ is required for the inclusion of surface leaving in the diffuse-scattering boundary condition at surface, while the

term $L_{W,m}(\mu,\mu_0)$ is required for post-processing of the discrete ordinate solution (source function integration). Fourier terms arise from the cosine-azimuth expansions of the full functions: $L_{W,direct}(\mu,\mu_0,\varphi-\varphi_0)=L_{W,0}(\mu,\mu_0)+2\sum_{m=1}^{\infty}L_{W,m}(\gamma,\mu_0)\cos m(\varphi-\varphi_0)$. In the discrete-ordinate approximation with N_d streams, we can only use $2N_d-1$ components in this sum. In the post-processing, it is more accurate to use the complete term $L_{W,direct}(\mu,\mu_0,\varphi-\varphi_0)$ itself in place of the (less-accurate) Fourier-series truncation, and this "exact-term correction" is the default in VSLEAVE. In this case, Fourier terms $L_{W,m}(\mu,\mu_0)$ are not needed. Note that we will always need the Fourier components $L_{W,m}(\mu_i,\mu_0)$ for the diffuse-field calculation. However, when there is no azimuth dependence, only $L_{W,0}(\mu_i,\mu_0)$ for m=0 survives.

We also consider the simpler situation where the VSLEAVE contribution consists of an isotropic term $L_{W,iso}(\mu_0)$ which depends only on the incoming solar direction (no azimuth dependence, all outgoing directions equal), in which case, $L_{W,m}(\mu,\mu_0) = 0$ ($m \ge 1$) and $L_{W,0}(\mu,\mu_0) = L_{W,iso}(\mu_0)$ for all outgoing polar directions μ , and also $L_{W,direct}(\mu,\mu_0,\varphi-\varphi_0) = L_{W,iso}(\mu_0)$.

Water-leaving radiance may be written as

$$L_W(\mu, \mu_0, \varphi - \varphi_0) = L_W^*(\mu, \mu_0, \varphi - \varphi_0; C, V) T_{ATM}(\theta_0). \tag{Ad 6.2.1}$$

for any given combination of angles, where the transmittance $T_{ATM}(\theta_0)$ depends only on the solar angle $T_{ATM}(\theta_0)$, and $L_W^*(\mu,\mu_0,\varphi-\varphi_0;C,V)$ is computed from the marine optical properties using a semi-empirical model which depends explicitly on the pigment concentration C and (for rough surfaces) the wind speed V. The ocean-optics model for the determination of L_W^* is described below (this is very similar to that appearing in the Adjunct section 4.1 above with minor but significant differences).

Finally, we note that the VSLEAVE model is linearized, that is, it is possible to obtain analytic Jacobians (partial derivatives of L_W^*) with respect to some surface-leaving property ξ (which might be the wind speed or the chlorophyll pigment concentration). The linearization is given here for the sake of completeness.

The current default for VSLEAVE is for an unpolarized azimuth-independent formalism. Thus only the intensity component of the water-leaving Stokes vector is non-zero, and there is no azimuthal dependence. Thus, the description here is the same as that in the addendum for the LIDORT 3.8.1 model released earlier in 2019. A full description of the (V)SLEAVE supplement may be found in [*Spurr and Christi*, 2019].

6.2.2 VLIDORT-VSLEAVE Coupling Scheme

One way of avoiding the coupling issue to assume that $T_{ATM}(\theta_0)$ has no dependence on ocean properties, that is, VLIDORT is *decoupled* from VSLEAVE. In this case, we drop the $T_{ATM}(\theta_0)$ term from the main VSLEAVE result in Eqn. (1.1) above, and then re-introduce $T_{ATM}(\theta_0)$ from an internal computation in the main VLIDORT model. The simplest approximation to $T_{ATM}(\theta_0)$ is the expression noted in [*Gordon and Wang*, 1994]:

$$T_{ATM}(\theta_0) = \exp\left[-\frac{1}{2}\frac{\tau_{ATM}}{\mu_0}\right],\tag{Ad 6.2.2}$$

where τ_{ATM} is the total optical depth of the whole atmosphere. This is very easy to implement in VLIDORT. A more accurate expression may be obtained (in certain cases) by using a precalculated look-up table of $T_{ATM}(\theta_0)$ values, computed offline with VLIDORT for example in a Rayleigh atmosphere over a 270-900 nm wavelength range, and for a number of solar zenith angles. However, $T_{ATM}(\theta_0)$ is still decoupled from the VSLEAVE water-leaving output.

The coupling scheme works as follows. Changing the notation slightly, we will write $L(\mu_0, \mu_1) = L_W^*(\mu_0, \mu_1) T^{\downarrow}(\mu_0)$, where $T^{\downarrow}(\mu_0)$ is the total (direct and diffuse) downwelling atmospheric flux transmittance at the ocean surface, and $L_W^*(\mu_0, \mu_1)$ is the water-leaving radiance from VSLEAVE *computed with unit transmittance*. Here, μ_0 is the solar zenith cosine, and μ_1 any outgoing stream direction; we assume azimuth-independence.

To find the coupling adjustment for $T^{\downarrow}(\mu_0)$, we make an initial estimate $T_0^{\downarrow}(\mu_0)$ – this could be the just the direct flux $T_{dir}(\mu_0) = \exp\left[-\frac{\tau_{ATM}}{\mu_0}\right]$ in a plane-parallel medium. A better starting point is the result from Eqn (6.2.2) above. With this starting value, we then have an adjusted water-leaving radiance $L_0(\mu_0,\mu_1)=L_W^*(\mu_0,\mu_1)T_0^{\downarrow}(\mu_0)$ which is then input to a Fourier-zero (azimuth independent) VLIDORT RT computation. From this RT computation we then derive an updated total downwelling transmittance $T_1^{\downarrow}(\mu_0)$, which in turn provides an updated water-leaving input $L_1(\mu_0,\mu_1)=L_W^*(\mu_0,\mu_1)T_1^{\downarrow}(\mu_0)$. We repeat the Fourier-zero VLIDORT RT calculation with this new input, yielding a new result $T_2^{\downarrow}(\mu_0)$ for the transmittance, and a new water-leaving value $L_2(\mu_0,\mu_1)$. This iteration is stopped when the relative difference in the value of $T^{\downarrow}(\mu_0)$ between two iterations is less than some small convergence criterion. We have found that convergence is rapid: typically only 3 iterations are needed for convergence at the level of 10^{-6} .

It is not necessary to carry out a full RT Fourier calculation for every step. The discrete-ordinate homogeneous solutions and particular integrals do not depend on the surface-leaving radiance, and they need to be established just once from the initial Fourier-zero computation. Also, the complete discrete-ordinate solution is determined through the linear-algebra boundary value problem $\mathbb{AX} = \mathbb{B}$, where matrix A is constructed entirely from the homogeneous RTE solutions, X is the vector of unknown homogeneous-solution integration constants, and vector B is constructed from the layer particular integrals and also contains the surface boundary condition appropriate for water-leaving. Once the matrix inverse \mathbb{A}^{-1} is found, the BVP solution is obtained through straightforward back-substitution: $\mathbb{X} = \mathbb{A}^{-1}\mathbb{B}$. Thus, the first guess for water leaving input $L_0(\mu_0, \mu_1)$ will give rise to column vector \mathbb{B}_0 , with corresponding BVP solution $\mathbb{X}_0 = \mathbb{A}^{-1}\mathbb{B}_0$. From the discrete-ordinate solution based on \mathbb{X}_0 , we then derive the next transmittance estimate $T_1^{\downarrow}(\mu_0)$, then form the next-guess water-leaving input $L_1(\mu_0,\mu_1)$ and associated column vector \mathbb{B}_1 , from which we get the next solution $\mathbb{X}_1 = \mathbb{A}^{-1}\mathbb{B}_1$, and so on. All column vectors \mathbb{B}_p are similar – only the surface-leaving entries are different. Thus the coupling adjustment is tantamount to a series of back -substitutions, and this represents very little extra computation load compared with the main RTE tasks (solving the layer RTEs, finding the inverse \mathbb{A}^{-1}). A 3-iteration calculation is approximately 2% slower than a standard one.

Computation of the diffuse downwelling flux comes through the discrete-ordinate result:

$$T^{\downarrow}(\mu_0) = T^{\downarrow}_{diffuse}(\mu_0) + T^{\downarrow}_{direct}(\mu_0); \quad T^{\downarrow}_{diffuse} = \frac{2\pi}{\mu_0} \sum_{\alpha=1}^{N_d} I^{\downarrow}_{\alpha} \mu_{\alpha} c_{\alpha}; \quad (Ad 6.2.3)$$

$$\mathbf{I}^{\downarrow} = \sum_{\alpha=1}^{N_d} \{ \mathcal{L}_{\alpha} \mathbf{Y}_{\alpha}^- e^{-k_{\alpha} \Delta} + \mathcal{M}_{\alpha} \mathbf{Y}_{\alpha}^+ \} + \mathbf{G}^{\downarrow}(\mu_0). \tag{Ad 6.2.4}$$

Here, $\{\mu_{\alpha}, c_{\alpha}\}, \alpha = 1, ... N_d$ are the discrete-ordinate quadrature values, \mathbf{I}^{\downarrow} is the downwelling intensity field at the surface expressed in terms of homogeneous solutions $\{\mathbf{Y}_{\alpha}^{\pm}, k_{\alpha}\}$ in the lowest layer of the atmosphere, particular solutions $\mathbf{G}^{\downarrow}(\mu_0)$ in that layer, and integration constants $\{\mathcal{L}_{\alpha}, \mathcal{M}_{\alpha}\}$ for that layer as determined from the BVP solution $\mathbb{X} = \mathbb{A}^{-1}\mathbb{B}$. This flux computation does not require any post-processing (determination of RT solutions away from discrete-ordinate polar directions), nor any evaluations at other levels in the atmosphere.

References for this section

Spurr, R., K. Stamnes, H. Eide, W. Li, K. Zhang, and J. Stamnes, Simultaneous Retrieval of Aerosols and Ocean Properties: A Classic Inverse Modeling Approach. I. Analytic Jacobians from the Linearized CAO-DISORT Model, *J. Quant. Spectrosc. Radiat. Transfer*, doi: 10.1016/j/jqsrt.2006.09.009 (2007).

Gordon H. R., and M. Wang. Retrieval of water-leaving radiance and aerosol optical thickness over the oceans with SeaWiFS: A preliminary algorithm. *Applied Optics*, **33**; 443-452, 1994.

Vasilkov, A. P., W. Qin, N. Krotkov, L. Lamsal, R. Spurr, D. Haffner, J. Joiner, E.-S. Yang, and S. Marchenko, Accounting for the effects of surface BRDF on satellite cloud and trace-gas retrievals: a new approach based on geometry-dependent Lambertian equivalent reflectivity applied to OMI algorithms. *Atmos. Meas. Tech.*, **10**, 333–349, doi:10.5194/amt-10-333-2017, 2017.

Spurr, R, and M. Christi, The LIDORT and VLIDORT Linearized Scalar and Vector Discrete Ordinate Radiative Transfer Models: Updates in the last 10 Years. *Light Scattering Reviews*, Volume 12, ed. A. Kokhanovsky, Springer, 2019.

6.2.3 Water-Leaving Radiance Scheme (ocean optics)

In this section, we give details of the water-leaving radiance scheme that is part of the "VSLEAVE" supplement to VLIDORT Version 2.8. Section 6.2.3.1 of this appendix deals with the basic water leaving formulation and ocean optics model, while section 6.2.3.2 deals with the linearized model for production of water-leaving Jacobians (with respect to marine optical properties). In particular, the material in section 1 is based on the work of [Sayer et al., 2010], which has a comprehensive review of semi-empirical marine optics formulae, and a companion paper [Sayer et al., 2017], the latter containing important updates to the optics model. The treatment is for Case I waters.

6.2.3.1. Water-leaving formulation, ocean optics model

We start with pure water optical properties (wavelength λ is in Microns unless otherwise stated). The water absorption $\alpha_W(\lambda)$ is linearly interpolated from a table of values at every 5 nm from 200-900 nm. This table is patched together from a number of literature sources. These are (1) 200-320 nm [Quickenden and Irvin, 1980], interpolated with [Lee et al., 2015] between 325 and

345 nm; (2) 350-550 nm from [Lee et al., 2015]; (3) [Pope and Fry, 1997] for 555-725 nm; (4) [Hale and Querry, 1973], table 1, for 725-900 nm (the latter with 25 nm increments linearly interpolated to 5 nm values]. Table entries provided as extinction coefficients k_W are converted using $\alpha_W(\lambda) = 4\pi k_W/\lambda$, where wavelengths are in [m] for extinctions in [m⁻¹].

The chlorophyll [pigment] absorption $\alpha_{ph}(\lambda)$ comes from two sources. The first source (in the range 300-400 nm) relies on linear interpolation of two sets of coefficients $\{a_1(\lambda), b_1(\lambda)\}$ given at 10 nm intervals in this range [Vasilkov et al., 2005]. The absorption is given by:

$$\alpha_{Ph}(\lambda, C) = C a_1(\lambda) C^{-b_1(\lambda)}, \tag{Ad 6.2.5}$$

where C is the pigment concentration. There is no extrapolation - the value at 300 nm is used for all $\lambda < 300$ nm. The second source (over the range 400-720 nm) is based on linear interpolation of two sets of coefficients $\{a_2(\lambda), b_2(\lambda)\}$ at 10 nm intervals [Lee et al., 2005]. The absorption formula in this regime is given by:

$$\alpha_{Ph}(\lambda, C) = [a_2(\lambda) + b_2(\lambda) \ln(a_{440})] a_{440}, \tag{Ad 6.2.6}$$

where $a_{440} = 0.06C^{0.65}$ [Morel and Maritorena, 2001]. Again, there is no extrapolation - the value at 720 nm is used for all $\lambda > 720$ nm.

The CDOM absorption is given by [Morel and Maritorena, 2001], where λ is in [nm]:

$$\alpha_{CDOM}(\lambda, C) = 0.2 * (0.00635 + 0.06C^{0.65})exp[-0.014(\lambda - 440)].$$
 (Ad 6.2.7)

The complete absorption is then

$$\alpha_{TOT}(\lambda, C) = \alpha_W(\lambda) + \alpha_{Ph}(\lambda, C) + \alpha_{CDOM}(\lambda, C). \tag{Ad 6.2.8}$$

For water scattering coefficients we use a formula from [Morel et al., 2007]:

$$b_W(\lambda) = 0.0028 \left(\frac{0.42}{\lambda}\right)^{4.3}$$
 (Ad 6.2.9)

For pigment scattering, we use the following from [Morel and Maritorena, 2001]:

$$b_{Ph}(\lambda, C) = b_{Pb}(C)\beta_{bbp}(C, \lambda);$$

$$(Ad 6.2.10)$$

$$b_{Pb}(C) = 0.416C^{0.766}; \ \beta_{bbp}(C, \lambda) = 0.002 + 0.01[0.5 - 0.25\log_{10}C]\left(\frac{\lambda}{0.55}\right)^{V},$$

where the exponent V = 0 for C > 2, and $V = 0.5[\log_{10} C - 0.3]$ for $C \le 2$. The complete scattering is then

$$b_{TOT}(\lambda, C) = b_W(\lambda) + b_{ph}(\lambda, C). \tag{Ad 6.2.11}$$

In the original formulation of water-leaving radiance in VLIDORT, the following formula was used to obtain the basic ocean-surface reflectance [Morel and Gentili, 1992]:

$$R(C,\lambda,\mu_0) = f(\mu_0)R_{TOT}(\lambda,C) \equiv f(\mu_0)\frac{b_{TOT}(\lambda,C)}{a_{TOT}(\lambda,C)};$$
(Ad 6.2.12)

$$f(\lambda, C, \theta_0) = d_0 - d_1 \eta - d_2 \eta^2 + (d_3 \eta - d_4) \mu_0; \qquad \eta = \frac{b_W(\lambda)}{b_{TOT}(\lambda, C)}.$$
 (Ad 6.2.13)

Here, $f(\lambda, C, \theta_0)$ is given with 5 constants $\{d_0, d_1, d_2, d_3, d_4\} = \{0.6279, 0.0227, 0.0513, 0.2465, 0.3119\}$, and $\mu_0 = \cos \theta_0$ is the cosine of the solar zenith angle.

In order to assign the water-leaving radiance, the complete reflectance term is given by

$$R'(C, \lambda, \mu_0) = \frac{R(C, \lambda, \mu_0)}{1 - \omega R(C, \lambda, \mu_0)}.$$
 (Ad 6.2.14)

Here, albedo $\omega = 0.485$. The isotropic water-leaving radiance is then obtained after passage through the air-ocean interface:

$$L_{W,iso}(C,\lambda,\mu_0) \approx \frac{\mu_0}{\pi} T_{Surf}(\theta_0) \frac{R'(C,\lambda,\mu_0)}{|n_w|^2}.$$
 (Ad 6.2.15)

Here, n_w is the relative refractive index of water to air. For the flat surface case, the air-water boundary transmittance $T_{Surf}(\theta_0)$ is often set to 1.0. In practice we use Fresnel optics to compute this quantity; values are typically 0.96 or more, depending on the value of θ_0 . In the rough surface case, $T_{Surf}(\theta_0)$ may be computed using glitter calculations based on Gaussian probability wave-facet distributions characterized by wind-speed and direction. We return to this point below.

The above formulation does not account for the atmospheric transmitted flux $T_{ATM}(\theta_0)$ at the ocean surface – a quantity which is propagated through the interface. In the previous formulation, the ratio $T_{ATM}(\theta_0)/Q$ was made implicit in the factor μ_0/π appearing in Eq. (Ad 6.2.15). Also, we replace the $f(\lambda, C, \theta_0)$ calculation with the direction-dependent ratio $\rho \equiv f/Q$ from [Morel et al., 2002]. The water-leaving radiance is then:

$$L_W(C, \lambda, \theta_0, \gamma, \varphi) = \mu_0 T_{ATM}(\theta_0) T_{Surf}(\theta_0) \frac{R^*(C, \lambda, \theta_0, \gamma, \varphi)}{|n_w|^2}, \tag{Ad 6.2.16}$$

$$R^*(C,\lambda,\theta_0,\gamma,\varphi) = \frac{\rho(C,\lambda,\theta_0,\gamma,\varphi)R_{TOT}(\lambda,C)}{1-\omega_f(C,\lambda,\theta_0)R_{TOT}(\lambda,C)};$$
(Ad 6.2.17)

Here, $R_{TOT}(\lambda, C)$ was defined above in Eqn. (Ad 6.2.12), and the "f/Q" quantity $\rho(C, \lambda, \theta_0, \gamma, \varphi)$ is a function of wavelength, pigment concentration, solar zenith angle, outgoing zenith angle γ and relative azimuth φ . We use a tabulated form of this function provided by David Antoine (private communication).

In order to obtain an isotropic surface leaving radiance, we derive a quantity $\bar{\rho}(C, \lambda, \theta_0)$ from the "f/Q" tables by averaging over all outgoing zenith and relative azimuth angles γ and φ , then interpolating (linearly) with wavelength λ , followed by interpolation (cubic spline) with the logarithm (ln C) of the pigment concentration and finally linear interpolation with the solar angle cosine angle μ_0 . (Spline interpolation is necessary because we want smooth and continuous

derivatives with respect to C when considering linearization – see below). The quantity $\bar{\rho}(C, \lambda, \theta_0)$ then defines the "isotropic" water-leaving contribution through:

$$L_{W,iso}(C,\lambda,\theta_0) = \mu_0 T_{ATM}(\theta_0) T_{Surf}(\theta_0) \frac{\bar{R}^*(C,\lambda,\theta_0)}{|n_W|^2};$$
(Ad 6.2.18)

$$\bar{R}^*(C,\lambda,\theta_0) = \frac{\bar{\rho}(C,\lambda,\theta_0)R_{TOT}(\lambda,C)}{1-\omega f(C,\lambda,\theta_0)R_{TOT}(\lambda,C)}.$$
(Ad 6.2.19)

The azimuth dependence is very weak in these "f/Q" tables, and we have omitted this dependence in the surface leaving formulation. However, we can derive non-isotropic surface-leaving "f/Q" values by interpolating table entries with the cosine of the outgoing angle γ - the resulting table extractions are then $\tilde{\rho}_v(C,\lambda,\theta_0,\gamma_v)$ and $\tilde{\rho}_d(C,\lambda,\theta_0,\mu_d)$ for each viewing angle γ_v and discrete ordinate stream μ_d ; these quantities are azimuth-averaged. We then have:

$$L_{W,v}(C,\lambda,\theta_0,\gamma_v) = \mu_0 T_{ATM}(\theta_0) T_{Surf}(\theta_0) \frac{R_v^*(C,\lambda,\theta_0,\gamma_v)}{|n_w|^2};$$
(Ad 6.2.20)

$$R_{\nu}^{*}(C,\lambda,\theta_{0},\gamma_{\nu}) = \frac{\tilde{\rho}_{\nu}(C,\lambda,\theta_{0},\gamma_{\nu})R_{TOT}(\lambda,C)}{1-\omega f(C,\lambda,\theta_{0})R_{TOT}(\lambda,C)},$$
(Ad 6.2.21)

and similarly for the discrete ordinate directions.

In the rough-surface case, the above analysis for the ocean reflectance still holds, but now we need to generate glitter-dependent transmission terms through the water-air interface, both for the incoming solar directions $\vec{T}_{aw}(\theta_0)$, and for outgoing line-of-sight $\vec{T}_{wa}(\theta_0, \gamma_v)$ and discrete-ordinate $\vec{T}_{wa}(\theta_0, \mu_d)$ directions respectively. Thus for instance, the rough surface water-leaving term for a viewing angle γ_v is

$$L_{W,v,RS}(C,\lambda,\theta_0,\gamma_v) = \mu_0 T_{ATM}(\theta_0) \vec{T}_{aw}(\theta_0) \frac{R_v^*(C,\lambda,\theta_0,\gamma_v)}{|n_w|^2} \overleftarrow{T}_{wa}(\theta_0,\gamma_v),$$
 (Ad 6.2.22)

by analogy with Eqns. (Ad 6.2.20) and using Eqn. (Ad 6.2.21).

6.2.3.2. Linearization of the Water-Leaving Formalism

The main interest here is the derivation of Jacobians (partial derivatives of the water-leaving radiances) with respect to the pigment concentration C. Differentiation of the semi-empirical ocean-optics formulas is straightforward (we use a "dot" notation for convenience). Starting with the chlorophyll absorption, we have:

$$\dot{\alpha}_{Ph}(\lambda, C) \equiv \frac{\partial \alpha_{Ph}(\lambda, C)}{\partial C} = \alpha_{Ph}(\lambda, C) \frac{[1 - b_1(\lambda)]}{C}; \tag{Ad 6.2.23a}$$

$$\dot{\alpha}_{Ph}(\lambda, C) \equiv \frac{\partial \alpha_{Ph}(\lambda, C)}{\partial C} = \frac{0.65}{C} [\alpha_{Ph}(\lambda, C) + b_2(\lambda)\alpha_{440}], \tag{Ad 6.2.23b}$$

for the two spectral regimes. Similar considerations apply to the derivatives $\dot{b}_{ph}(\lambda, C)$ of the scattering coefficient. From these starting points, one can apply chain-rule differentiation to get

$$\frac{\partial f(\mu_0)}{\partial c} = \left[-d_1 - 2\eta d_2 + \mu_0 d_3 \right] \frac{\partial \eta}{\partial c}; \qquad \frac{\partial \eta}{\partial c} = -\frac{\eta \dot{b}_{ph}(\lambda, c)}{b_{TOT}(\lambda, c)}; \tag{Ad 6.2.3.24}$$

$$\frac{\partial R(C,\lambda,\mu_0)}{\partial C} = \frac{\partial f(\mu_0)}{\partial C} R_{TOT}(\lambda,C) + f(\mu_0) \frac{\dot{b}_{ph}(\lambda,C) - \dot{\alpha}_{Ph}(\lambda,C) R_{TOT}(\lambda,C)}{a_{TOT}(\lambda,C)}.$$
 (Ad 6.2.3.25)

$$\frac{\partial R'(C,\lambda,\mu_0)}{\partial C} = \frac{\partial R(C,\lambda,\mu_0)}{\partial C} \frac{1-\omega}{1-\omega R(C,\lambda,\mu_0)}.$$
(Ad 6.2.3.26)

These three results apply to the basic expressions in Eqn. (Ad 6.2.18). For the more sophisticated treatment (Eqn. (Ad 6.2.20)), the additional quantities are the table-interpolated "f/Q" ratios $\bar{\rho}(C,\lambda,\theta_0)$, $\tilde{\rho}_{\nu}(C,\lambda,\theta_0,\gamma_{\nu})$ and $\tilde{\rho}_{d}(C,\lambda,\theta_0,\mu_d)$. Since the interpolation with $\ln C$ is done by splines, it is easy to differentiate with respect to this variable during the interpolation process, and one can then write down derivatives with respect to C for Eqns. (Ad 6.2.18) and (Ad 6.2.20), except for the flux transmittance $T_{ATM}(\theta_0)$. When we assume that $T_{ATM}(\theta_0)$ has no dependence on the pigment concentration (the "uncoupled" case), then it will play no part in this linearization. Linearization for the iterative procedure wherein the atmosphere and ocean are coupled self-consistently through the flux transmittance is not currently enabled, but is currently the subject of ongoing research.

References for this section

Hale, G. M., and M. R. Querry. Optical constants of water in the 200-nm to 200-um wavelength region. *Applied Optics*, **12** (3), 555{563, doi:10.1364/AO.12.000555, 1973.

Lee, Z. P., K. P. Du, and R. Arnone. A model for the diffuse attenuation coefficient of downwelling irradiance. *J. Geophys. Res.*, **110** (C02016). http://dx.doi.org/10.1029/2004JC002275, 2005.

Lee, Z., J. Wei, K. Voss, M. Lewis, A. Bricaud, and Y. Huot. Hyperspectral absorption coefficient of "pure" seawater in the range of 350-550 nm inverted from remote sensing reflectance. *Applied Optics*, **54**, 546-558, doi:10.1364/AO.54.000546, 2015.

Morel, A., and B. Gentili. A simple band ratio technique to quantify the colored dissolved and detrital organic material from ocean color remotely sensed data. *Remote Sens. Env.*, **113**, 2009.

Morel, A., and S. Maritorena. Bio-optical properties of oceanic waters: A reappraisal. *J. Geophys Res.*, **106**: 7163-7180, 2001.

Morel, A., B. Gentili, H. Claustre, A. Bricaud, J. Ras, and F. Tièche, Optical properties of the "clearest" natural waters. *Limnol. Oceanogr.*, https://doi.org/10.4319/lo.2007.52.1.021, 2007.

Pope, R. M., and E. S. Fry. Absorption spectrum (380-700nm) of pure water. II. Integrating cavity measurements. *Applied Optics*; **36**: 8710-8723, 1997.

Quickenden, T. I., and J. A. Irvin. The ultraviolet absorption spectrum of liquid water. <u>J. Chem. Phys.</u>, **72**(8):4416-4428, 1980.

Sayer, A. M., G. E. Thomas, and R. G. Grainger. A sea surface reflectance model for (A)ATSR, and application to aerosol retrievals. *Atmos. Meas. Tech.*, **3**, 813–838, doi:10.5194/amt-3-813-2010, 2010.

Sayer, A. M., Hsu, N. C., Bettenhausen, C., Holz, R. E., Lee, J., Quinn, G., and Veglio, P.: Cross-calibration of S-NPP VIIRS moderate-resolution reflective solar bands against MODIS Aqua over dark water scenes, *Atmos. Meas. Tech.*, **10**, 1425-1444, https://doi.org/10.5194/amt-10-1425-2017, 2017.

Vasilkov, A. P., J. R. Herman, Z. Ahmad, M. Karu, and B. G. Mitchell. Assessment of the ultraviolet radiation field in ocean waters from space-based measurements and full radiative-transfer calculations. *Appl. Opt.*, **44** (14), 2863-2869, doi:812 10.1364/AO.44.002863, 2005.

Zhang, X., L. Hu, and M.-X. He. Scattering by pure seawater: Effect of salinity. *Opt. Express.*, **17**, 5698{5710, doi:10.1364/OE.17.005698, 2009.

6.2.4 Practical Aspects to Water-Leaving in VLIDORT

As far as the software implementation of the above water-leaving scheme is concerned, there are no input/output changes in going from Version 2.8 to Version 2.8.1. The major change here is internal to VLIDORT. The user must still supply the flag DO_WATER_LEAVING in addition to the DO_SURFACE_LEAVING and DO_SL_ISOTROPIC flags. To activate the iterative coupling scheme, the User must turn on the flag DO_TF_ITERATION, and set the control parameters TF_MAXITER and TF_CRITERION. These are described in the current User Guide. However, there are two new options in VLIDORT for handling water-leaving results. The first is for an option in VLIDORT to output the "adjusted" water-leaving radiances. Recall that the "unadjusted" water-leaving radiances are generated once by the "VSLEAVE" supplement and copied as basic inputs to the main VLIDORT model; these "unadjusted" values can be output directly after the VSLEAVE call, which takes place before the main call to VLIDORT). "Adjusted" water-leaving arrays are distinct, and a separate sub-type-structure (purple shading in Table 6.1) has been added to the VLIDORT output type structure for this output. To control this output, a new Boolean flag (DO_WLADJUSTED_OUTPUT) has been added to the "VLIDORT FixIn" type structure for Intent(In) input variables.

Table 6.1 I/O options for water-leaving

Variable	Туре	I/O	Description
DO_WLADJUSTED_OUTPUT	Logical	Fixed	Flag for Water-leaving output flag
		Input	
DO_EXTERNAL_WLEAVE	Logical	Modified	Flag for using Externalized water-leaving
		Input	input values (e.g. from MODIS)
WLADJUSTED_ISOTROPIC(s,b)	Real*8	Output	Isotropic Surface leaving
WLADJUSTED_DIRECT(s,m,a,b)	Real*8	Output	Direct surface leaving term, Stokes s, user
			angle m, azimuth a , solar zenith angle b
WLADJUSTED_F_Ords_0(L,s,j,b)	Real*8	Output	Fourier component L water-leaving, Stokes s,
			discrete ordinate j, solar zenith b
WLADJUSTED_F_User_0(L,s,m,b)	Real*8	Output	Fourier component L water-leaving, Stokes s,
			user angle m, solar zenith b

The second option controls the basic water-leaving input. There is a single Boolean flag DO_EXTERNAL_WLEAVE, which has been added to the "VLIDORT_ModIn" type structure for Intent(InOut) input variables. If set, this flag indicates that the VLIDORT water-leaving arrays have been created from an external source (instead of creation from scratch using the VSLEAVE supplement). The user must supply these input arrays into VLIDORT (using the correct format) – the point here is that the actual water-leaving stuff comes from somewhere else (another model or an external source such as MODIS). The simplest application here is to give

VLIDORT a single isotropic surface leaving input. When this option is in force, there will be no coupling adjustment for self-consistency (the assumption here is that the input has already been adjusted before entry into VLIDORT). This option was tested by first calling VLIDORT with conventional VSLEAVE-created water-leaving inputs and using the DO_WLADJUSTED_OUTPUT flag to output the adjusted results. These results are then copied back into the VLIDORT input arrays and VLIDORT is called again, this time with the DO_EXTERNAL_WLEAVE flag set. The end-product VLIDORT results from these two calls are the same. The two flags are mutually exclusive, and a check has been introduced for this eventuality. Table 6.2 has the details of these two flags, and the output type structure. Table 6.2 gives the character strings used in the LIDORT input configuration file to define the two inputs in Table 6.1.

Table 6.2: File-read Character strings for the above water-leaving variables (Table 6.1)

Name	Kind	Character string in Configuration file
DO_WLADJUSTED_OUTPUT	Logical	Flag for output of transmittance-adjusted water-leaving radiances
DO_EXTERNAL_WLEAVE	Logical	Do external water-leaving production?

<u>Remark</u>. A request is also under consideration for the introduction of a scaling factor for BRDF and SLEAVE supplement output. For BRDFs, this scaling factor would represent an adjustment of the albedo derived from the kernel-calculated BRDF to the magnitude of an outside reflectance such as that from MODIS. A control flag (DO_BRDF_REFLECSCALING) for use of this scaling, and a single-number variable for the scaling (BRDF_REFLECSCALING) have been introduced in the Input type structure for the VBRDF supplement. Code was introduced to implement this scaling in the VBRDF master modules; this is very similar to the so called "white-sky albedo" scaling already present in the VBRDF supplement. Any type of BRDF scaling requires an <u>internal calculation of spherical albedo</u>, so the latter operation will be flagged if the DO_BRDF_REFLECSCALING Boolean flag is set.

6.3 Planetary Problem in VLIDORT

6.3.1 Implementing the Planetary Problem

There are numerous remote sensing applications using the MLER (Modified Lambertian Equivalent Ratio) concept, in which an albedo is estimated or "inverted" from TOA upwelling radiances (either measured or calculated) using the well-known "planetary-problem" formula:

$$I_R = I_0 + \frac{RT}{1 - R\bar{S}};$$
 (Ad 6.3.1)

Here, I_R is the TOA radiance from a surface with Lambertian albedo R, I_0 is the radiance in the presence of a dark surface (zero albedo), and \bar{S} and T are respectively a diffuse reflectivity and a product of two transmittances (more specific definitions are given below). Most applications are for Rayleigh atmosphere scenarios, and as far as LIDORT and VLIDORT are concerned, the current method of calculating \bar{S} and T is to make three separate Rayleigh-atmosphere calculations with three albedos $\{R_0, R_1, R_2\}$, where $R_0 = 0$ and $\{R_1, R_2\}$ are any two non-zero

values. If the corresponding TOA-upwelling radiances are I_0 , I_1 and I_2 respectively, then the following two equations will find \bar{S} and T:

$$Z_1 \equiv I_1 - I_0 = \frac{R_1 T}{1 - R_1 \bar{S}}; \quad Z_2 \equiv I_2 - I_0 = \frac{R_2 T}{1 - R_2 \bar{S}}.$$
 (Ad 6.3.2)

From this, we get:

$$\bar{S} = \frac{\left(\frac{Z_1}{R_1} - \frac{Z_2}{R_2}\right)}{(Z_1 - Z_2)}; \qquad T = \frac{Z_1}{R_1} (1 - R_1 \bar{S}). \tag{Ad 6.3.3}$$

This method is foolproof but not efficient, requiring 3 calls to the RT model. We now describe a facility in VLIDORT and LIDORT to obtain \bar{S} and T directly with a single call.

The "planetary problem" for Lambertian surfaces was solved by Chandrasekhar in the 1940s for a single-layer Rayleigh slab, and the quantities \bar{S} and T may be obtained by the interaction principle. See for example, Thomas and Stamnes (1999), Section 6.11. In fact, \bar{S} is the diffuse flux reflectivity of the atmosphere for isotropic illumination from below, and T is a product of the solar downwelling transmittance flux (direct and diffuse) with the upwelling transmittance (direct and diffuse) along the line-of-sight for an atmosphere again illuminated isotropically from below.

We can write $T = T(\mu_0, \mu) = \frac{1}{\pi} T^{\downarrow}(\mu_0) \overline{T}^{\uparrow}(\mu)$, where the solar term $T^{\downarrow}(\mu_0)$ emerges from the usual discrete-ordinate solutions with solar source terms, and it is just the downwelling total transmittance flux at BOA. The other terms $\{\overline{S}, \overline{T}^{\uparrow}(\mu)\}$ will be obtained by solving the boundary-value problem with uniform illumination from below at the lower surface (BOA); this yields the linear-algebra system $A\overline{X} = \overline{B}$, where \overline{B} is zero except for values of 1 at the upwelling discrete ordinate streams at the lower boundary (this is the uniform unit illumination from below). The matrix A and its inverse A^{-1} have already been determined from solutions of the homogeneous RTE. The formal solution $\overline{X} = A^{-1}\overline{B}$ is obtained quickly by back-substitution, where \overline{X} is the vector of integration constants $\{\overline{L}_{n\alpha}, \overline{M}_{n\alpha}\}$ for layer indices n = 1, ..., N and discrete ordinate indices n = 1, ..., N and the diffuse-sky reflectivity are then:

$$I_N^{\downarrow}(\mu_i) = \sum_{\alpha=1}^{N_d} \{ \bar{L}_{n\alpha} X_{iN\alpha}^+ e^{-k_{N\alpha}\Delta_N} + \bar{M}_{n\alpha} X_{iN\alpha}^- \};$$
 (Ad 6.3.4)

$$\bar{S} = 2 \int_0^1 I_N^{\downarrow}(\mu) \mu d\mu = 2 \sum_{i=1}^{N_d} \mu_i c_i I_N^{\downarrow}(\mu_i).$$
 (Ad 6.3.5)

Here, Δ_N is the vertical optical thickness of the boundary layer, $\{\mu_i, c_i\}, i=1,...N_d$ are the discrete ordinates and quadrature weights, and $\{k_{N\alpha}, X_{iN\alpha}^{\pm}\}$ are the eigensolutions of the homogeneous multiple scatter RTE in that layer. A similar but slightly more involved formula for the upwelling transmittance $\bar{T}^{\uparrow}(\mu)$ can be written down once we have $\{\bar{L}_{n\alpha}, \bar{M}_{n\alpha}\}$; the main complication here is the use of homogeneous RTE solutions interpolated to off-quadrature streams μ . However, these user-stream homogeneous solutions have already been derived as part

of the normal RTE solution procedure, and there is thus very little extra work involved in computing both \bar{S} and $T(\mu_0, \mu)$.

A "media properties" subroutine and module has been added to VLIDORT to provide \bar{S} and $\bar{T}^{\uparrow}(\mu)$; $T^{\downarrow}(\mu_0)$ is already available from existing code in VLIDORT. This new subroutine is called by a single input flag, so the I/O changes are straightforward (\bar{S} and $T(\mu_0, \mu)$ have been added to the main output type structure). The new subroutine and the planetary-problem output is available for plane-parallel and pseudo-spherical geometry and for multiple geometries in both observation- and lattice-geometry scenarios.

A quick timing example will show the advantages of using this new method. Making a single call to get \bar{S} , $T(\mu_0, \mu)$ and I_0 using the new media-properties implementation is slightly slower than making a direct call for I_R with albedo R (scaling is 1.017). Making 3 calls with the old method to get \bar{S} , $T(\mu_0, \mu)$ is (as expected) almost three times slower. The timing ratio for old versus new methods is 2.931.

Linearizations.

A linearization of the planetary problem would allow the user to obtain Jacobians of \bar{S} , and $T(\mu_0, \mu)$ with only a single call to VLIDORT. If we are seeking Jacobians with respect to some column property b for example, the planetary-problem (Eq. (Ad3.1.1)) will be differentiated as follows.

$$\frac{\partial I_R}{\partial b} = \frac{\partial I_0}{\partial b} + \frac{R}{(1 - R\bar{S})^2} \left[\frac{\partial T}{\partial b} (1 - R\bar{S}) + RT \frac{\partial \bar{S}}{\partial b} \right]; \tag{Ad 6.3.6}$$

We already have $\frac{\partial I_0}{\partial b}$ from the normal Jacobian output in VLIDORT, and it remains to produce $\frac{\partial T}{\partial b}$ and $\frac{\partial \bar{S}}{\partial b}$. Two new subroutines (linearizations of the "media-properties" subroutine noted above) have been written for this purpose – one treats column property Jacobians, the other profile Jacobians – to provide derivatives of \bar{S} and $\bar{T}^{\uparrow}(\mu)$; derivatives of $T^{\downarrow}(\mu_0)$ are already available from existing linearizations in VLIDORT.

There is also the surface derivative (with respect to R), which is straightforward; this can be derived without any additional calls to VLIDORT for surface-property (albedo) Jacobians. Indeed,

$$\frac{\partial I_R}{dR} = \frac{T}{(1 - R\bar{S})^2}.\tag{Ad 6.3.7}$$

We can always check the derivatives $\frac{\partial T}{\partial b}$ and $\frac{\partial \bar{S}}{\partial b}$ by differentiating the two original equations for I_1 and I_2 (Eq. (Ad 6.3.2)). Indeed, letting $T' = \frac{\partial T}{\partial b}$ and using a "dash" notation similarly for the other derivatives, we find that

$$W_1 \equiv \frac{TZ_1'}{Z_1} = T' + Z_1 \bar{S}'; \qquad W_2 \equiv \frac{TZ_2'}{Z_2} = T' + Z_2 \bar{S}'.$$
 (Ad 6.3.8)

Here, $Z_1 = I_1 - I_0$ and similarly for Z_2 ; we solve these equation to get

$$\bar{S}' = \frac{(W_1 - W_2)}{Z_1 - Z_2}; \qquad T' = W_1 - Z_1 \bar{S}'.$$
 (Ad 6.3.9)

These last results provide an independent verification of Jacobians, in addition to the usual tests using finite-differencing methods.

6.3.2 I/O for the Planetary Problem and Media Properties

Here we describe the practical aspects of this implementation, focusing on I/O and control issues. We also focus on the media-problem variables. Table 6.3 has details of the new I/O for both of these cases. If the Planetary problem flag is set, then VLIDORT will output the terms \bar{S} (PLANETARY_SBTERM) and $T(\mu_0, \mu)$ (PLANETARY_TRANSTERM) additionally to whatever other calculation is going on. This case is restricted to the Rayleigh-only case, with zero Lambertian albedo (checks will ensure the inputs are correct). I/O for this option is found in the first three rows of Table 6.3 (pink shading).

Note that control for the planetary problem is independent from the media-property input control, even though the "media property" routine is needed for BOA illumination when calculating \bar{S} and $T(\mu_0, \mu)$. In fact, PLANETARY_SBTERM = TRNMED_FLUX(1,2) and the contribution $\bar{T}^{\uparrow}(\mu)$ is equal to TRNMED_USER(1,m) in the scalar case. Thus the BOA illumination case will be triggered when the planetary problem flag is set, regardless of the DO_ALBTRN_MEDIA(2) control.

The "media property" routine is essentially the multiple scatter radiative transfer problem for TOA upwelling and BOA downwelling radiation fields illuminated isotropically either upwelling from the lowest boundary or downwelling from the top boundary, respectively. As noted already, the "media properties" routine will solve one or both of these problems; the planetary output only requires the solution of one of them. To this end we have introduced separate controls for executing these two "media" problems, and we have also allowed the illumination fluxes to take any value (not just unity); variables for the media-property calculations alone are found in the blue-shaded rows of Table 6.3.

It is also possible to include this kind of uniform illumination as an additional source of light in the main multiple scatter formalism. For example in the presence of solar scattering, we can add an isotropic illumination at the top of the atmosphere which would represent airglow (an important factor for short wave infrared simulations in and around the singlet-delta oxygen features at 1.27 microns). Alternatively we can model nighttime scenarios allowing for illumination sources at the surface (this is currently an active research topic). These variables are outlined in the final rows (olive shading) of Table 6.3. Finally, Table 6.4 gives the character strings used in the LIDORT input configuration file to define the inputs in Table 6.3.

Table 6.3. I/O variables for Planetary and media options

zwoże diet z e + wilderes for i imitetal y and media epitons			
Variable	Туре	I/O	Description
DO_PLANETARY_PROBLEM	Logica	Input	Flag for Planetary problem calculation; this is independent of
	1		the ALBTRN_MEDIA flags
PLANETARY_TRANSTERM(s,g)	Real*8	Output	Transmittance term for the planetary problem, for geometry g
			and stokes component s
PLANETARY_SBTERM	Real*8	Output	Spherical-albedo term for the planetary problem
DO_ALBTRN_MEDIA(2)	Logica	Input	Flags for medium reflectances & transmittances for isotropic
	1		sources at TOA (1) and BOA(2) =
ALBMED_USER(s,m)	Real*8	Output	Medium reflectance, at user-angle m, Stokes s
TRNMED_USER(s,m)	Real*8	Output	Medium transmittance, user-angle m, Stokes s
ALBMED_FLUX(s,2)	Real*8	Output	Medium flux reflectance, Stokes s $(1/2 = TOA/BOA)$
TRNMED_FLUX(s,2)	Real*8	Output	Med. flux transmittance, Stokes s $(1/2 = TOA/BOA)$
DO_TOA_ILLUMINATION	Logica	Input	Flag for introducing source of light at TOA
	1		
DO_TOA_ILLUMINATION	Logica	Input	Flag for introducing source of light at BOA
	1		
TOA_ILLUMINATION	Real*8	Input	Isotropic illumination at TOA (sun-normalized)
BOA_ILLUMINATION	Real*8	Input	Isotropic illumination at BOA

Table 6.4: File-read Character strings for the above input variables (Table 6.3)

Name	Kind	Character string in Configuration file
DO_PLANETARY_PROBLEM	Logical	Do planetary problem calculation?
DO_ALBTRN_MEDIA(2)	Logical	Do Media properties calculation with TOA illumination? Do Media properties calculation with BOA illumination?
DO_TOA_ILLUMINATION	Logical	Do TOA Illumination for Airglow?
DO_TOA_ILLUMINATION	Logical	Do BOA Illumination for nighttime?
TOA_ILLUMINATION	Real*8	TOA Illumination Flux (sun-normalized)
BOA_ILLUMINATION	Real*8	BOA Illumination Flux (sun-normalized)

7. VLIDORT 2.8.2 Addendum

7.1 Preface

This is an addendum to the User Guide that was prepared for the VLIDORT 2.8.1 Package. Following feedback from a number of users, several performance enhancements have been implemented for version 2.8.2 of this VLIDORT model

The present addendum chronicles these changes to the code. All changes in the affected files have been marked with the rubric "4/15/20. Version 2.8.2". All "f90" modules have new header statements which reflect the change from 2.8.1 to 2.8.2.

7.2 Summary of Package Changes

7.2.1. Test directories, shell scripts, and makefile

The "vlidort_s_test" and "vlidort_v_test" directories contain the same lists of test drivers and configuration files as those in the previous version. The "makefiles" have undergone some changes to reflect revised nomenclature for the new RT master modules, the presence of the new "setups" master module and associated type structure definitions, and the addition of the converge modules. Source blocks for the FO code are now part of the makefiles (there is no separate include statement for a dedicated FO makefile, as there was in the previous version).

In order to make the new usage of setups/RT masters clear to the user, the test driver programs have been rewritten so that the calling sequence is:

- 1. First, call vlidort_setups_master, which checks inputs, derives bookkeeping variables and all necessary geometrical variables for subsequent FO and MS calculations.
- 2. Second, set the optical properties from calculations in the driver. In particular, create the FO F-matrices by combining external sets of expansion coefficients with generalized spherical functions outputted from the preceding "Setups" call. In addition set the truncated set of Greek matrix coefficients needed for the MS calculations.
- 3. Call the master routines ("vlidort_rtcalc_master" and similar "lcs/lps" masters for Jacobians) for the true RT calculations.

All data files are now part of the "data/" subdirectories in "vlidort_s_test" and "vlidort v test". All results for all tests will agree with those from the previous version.

There is one new capability which was untested from the previous version. It is now possible to run the planetary problem driver (V2p8p2_Planetary_Tester.f90) with any atmosphere (not just a Rayleigh-only medium). The check that was imposed in the previous version to limit this test to Rayleigh-only scenarios has been removed.

7.2.2. Directory "vlidort_def"

The following major changes are associated with the introduction of the new input type structure "vlidort setups def.f90". This contains bookkeeping and geometry variables, and is

always declared Intent(InOut). There are three nested sub-structures, and they are all filled out with a preliminary call to the "setups" master subroutine, found in vlidort setups master.f90.

- 1. Vlidort_Setups%Bookkeeping. Variables here are mainly "derived input" quantities such as control for the partial-layer output, source function integration flags, post-processing masks, derived integers such as NTOTAL, plus final settings for some input variables that have been modified as a result of input checking. Previously, these variables mostly emerged from the "DERIVE_INPUT" subroutine this is no longer needed for every RT calculation, but is now called in the preliminary set-up master, and the results stored in the "bookkeeping" substructure. Similarly with the "CHECK_INPUT" routine no longer called in the RT masters, but now invoked at the set-up stage.
- 2. Vlidort_Setups%MSGeom. Variables here include the quadrature-stream and user stream arrays, the solar beam sines/cosines, and Chapman factors. Again, these quantities are pre-calculated and stored in a type structure, instead of being calculated from scratch for every RT call. In the RT calculations, these MSGeom variables are copied locally to internal variables (a much less time-consuming exercise than repeated ab initio derivations).
- 3. Vlidort_Setups%FOGeom. Variables here are geometrical quantities for the FO calculation, things that need only be calculated once; these include the LOS-path quadrature scheme, the solar path distances and angles for beam attenuation, values of the sun and LOS geometries along the path (outgoing option). Also included is the Chapman factor calculation. Through a preliminary calculation in the set-up stage, a great number of trigonometrical operations can be performed and the results stored, ahead of the actual FO RT calculation. This operation is one of the major time-savers, particularly when the FOCORR OUTGOING option is chosen for the FO calculations.
- 4. A new flag (DO_DOUBLET_GEOMETRY) was added to the Modified Boolean substructure of module "vlidort_inputs_def.f90". This invokes a new "doublet geometry" facility, in which post-processing is done for a single solar zenith angle, but for pairs of user-defined viewing zeniths and relative azimuth angles (see below).

The following minor changes were also installed in some files in this subdirectory.

- 1. In the module "vlidort_pars.f90" with its fixed parameters, the exponential-argument cutoff value EXPCUTOFF (set to 88.0) replaces the parameter BIG_EXP. Also the parameter MAXSTREAMS_BRDF has been re-set to 100 (must be an even number).
- 2. In the module "vlidort_inputs_def.f90", the variables DO_FOCORR_ALONE and DO_SSCORR_USEFMAT have been dropped, as they are no longer required as inputs. The FMATRIX flag for the FO code is no longer an option it is now mandatory. These two variables have been commented out in all configuration files.
- 3. DO_SSCORR_TRUNCATION has gone.
- 4. In module "vlidort_inputs_def.f90", the optical variable OMEGA_TOTAL_INPUT is no longer a modified input (now fixed), and optical input GREEKMAT_TOTAL_INPUT has only the dimensions MAXMOMENTS = 2*MAXSTREAMS (the same applies to the linearized optical input L_GREEKMAT_TOTAL_INPUT).
- 5. Also in module "vlidort_inputs_def.f90", the "Modified_Chapman" substructure is now removed (variables are now defined in the "setups" substructures as noted above).

7.2.3. Directory "vlidort main"

Major changes

The most important changes concern the division of the tasks in the original master subroutines into two separate modules – the first task in all situations being a preliminary call to the a "Setup" master subroutine to deliver bookkeeping and geometrical variables, with the second task being the usual hyperspectral-loop RT calculations minus all "setup" preliminaries.

The three "Setups" type-structures are now inputs to the main RT calculation masters, and most (but not all) bookkeeping and geometry variables are copied to local arrays before use in the RT calculations themselves. This is certainly an improvement in performance, as we no longer have to calculate these preliminaries from scratch at each call. The exception is with the FOGeom quantities, for which the associated type structure is passed directly into the FO code and used directly in that code. The "Setup" master routine has 4 main subroutine calls:

- 1. VLIDORT_CHECK_INPUT. Checks on all model inputs except optical variables. Output exception handling is applied here. Formerly called in the main master routines.
- 2. VLIDORT_DERIVE_INPUT. Derivation of bookkeeping, control and stream variables. Formerly called the main RT master routines, it now has the quadrature (discrete-ordinate) setup calculation. Outputs to MSGeom and Bookkeeping structures.
- 3. VLIDORT_CHAPMAN_INPUT. This is the Chapman calculation that replaces the same calculation which was formerly in the RT master calls. This calculation used to be in the separate module vlidort_geometry.f90, which has now been subsumed as part of the vlidort_inputs.f90 module. Inputs are Bookkeeping, Outputs go to MSGeom.
- 4. VFO_GEOMETRY_MASTER. This returns all FO geometry variables needed for any given situation, including all necessary generalized spherical functions needed for the F-matrix calculations. Outputs go to FOGeom structure.

The RT Masters proceed as before, but instead of checking inputs and deriving bookkeeping and geometrical variables, there is now just local copying of bookkeeping and MSGeom variables. Only the checking of optical properties is required at this stage. Calls to the VFO interface are simplified now, as a whole collection of geometrical variables are now stored in the FOGeom structure and used directly. Outputs from the FO routines are copied directly into the supplementary "SS" type structure, in order to avoid further internal copying.

The VFO Interfaces have been cleaned up to reflect the new situations – dimensioning has been removed (making the FO code less stand-alone!), along with exception handling (which was only for the geometry calculations, and these have now been placed elsewhere in the "Setups" call, as noted above). In addition, these interfaces have no "Fmatrix" input, no "do_Fmatrix" flag, and no "Nmoments input" controls.

Accompanying the above changes, named calls to VLIDORT 2.8.2's main subroutines have changed slightly as indicated in Table 7.1.

 Previous Call
 New Call

 VLIDORT_Master
 VLIDORT_RTCalc_Master

 VLIDORT_LCS_Master
 VLIDORT_RTCalc_LCSMaster

 VLIDORT_LPS_Master
 VLIDORT_RTCalc_LPSMaster

Table 7.1. VLIDORT 2.8.2 Main Subroutine Calls

Doublet geometry implementation

As noted above, this allows the user to output post-processed results for a number of SZAs (sola zenith angles), and for each SZA, any number of doublet pairs of user-defined viewing-zenith (VZA) and relative azimuth (AZM) angles. This is related to the existing "observation" geometry post-processing option, which allows for processing of SZA/VZA/AZM geometry triplets typical of satellite viewing. The doublet facility is ideal for suites of polarimetric measurements for which the solar position is unchanged while the instrument sweeps quickly through a series of viewing orientations.

The doublet option (DO_DOUBLET_GEOMETRY) is an alternative to the observation (DO_OBSERVATION_GEOMETRY) and lattice geometry options. The doublet option is not compatible with the triplet observation-geometry choice, and a check is made for this. The doublet option does not apply to infra-red thermal emission scenarios (check also for this). Thus, there will be (in certain sections of the code) if-clause:

Essentially, the doublet option links the azimuth index to the viewing zenith index, while the solar beam index is still unrestricted. This linkage is made whenever the azimuth index appears in the code – in the main master programs during azimuth-series convergence and for the interface call to the FO masters, in the FO geometry and some bookkeeping setups, and in the "exact BRDF" calculations which are a feature of the VBRDF supplemental code.

For the azimuth convergence, a new subroutine (VLIDORT_CONVERGE_DOUBLET) has been written for the doublet option in the regular code (no Jacobians); this will be deployed in the above if-clause alongside the existing VLIDORT_CONVERGE_OBSGEOM (triplet) and VLIDORT_CONVERGE (lattice geometry) subroutines. Similarly for LS (surface), LC (bulk-atmosphere) and LP (profile-atmosphere) Jacobian calculations, three new subroutines for the doublet option have been introduced. In the doublet option, the cosine-azimuth array is then indexed as AZMFAC(IB,UM,LUA,:), where IB is the solar SZA index, UM is the viewing angle index, and LUA = 1.

In the VBRDF calculations of Exact direct-bounce reflection, the indexing is similarly truncated for the doublet option; we have the array EXACT_BRDF(IB,UM,LUA,:), with LUA = 1 again. Similarly in the FO geometry setups, we link the azimuth index to the viewing angle index UM.

The doublet situation requires the use of the offset array ND_OFFSETS(IBEAM), with entries N_USER_STREAMS * (IBEAM - 1); this is newly created in the bookkeeping subroutine VLIDORT_DERIVE_INPUTS. Output for VLIDORT is given according to a geometry index V, given by $V = ND_OFFSETS(IB) + UM$.

It should be emphasized that the doublet option is only bookkeeping – large swaths of the code are unchanged, including any Fourier calculations in the main directory and in the VBRDF supplement. Note that the doublet geometry is not currently applicable to surface-leaving, which is (at the moment) azimuth-independent.

Minor changes

The "converge" subroutines (regular and linearized) have been separated from their original locations in the code, and given their own modules for compilation. Thus for example, <code>vlidort_converge.f90</code> was hived off from <code>vlidort_intensity.f90</code>. In addition, all "converge" routines now use the "SS" supplementary type structures as direct inputs, and the <code>VLIDORT_Out</code> type structures are filled directly with no internal copying. New "converge subroutines" have been written to deal with the "doublet geometry" post processing choice.

Local copying of VBRDF and VSLEAVE inputs is now done on an "as needed" basis, one Fourier term at a time. This avoids wasteful copying of all components at the beginning of the main RT call. This means that local VBRDF and VSLEAVE arrays do not have the Fourier dimension (MAXMOMENTS), thereby saving memory. Dimensioning for these local VBRDF/VSLEAVE arrays was changed where appropriate, not only in the Fourier master routines, but also in the boundary value problem and post-processing modules.

Other minor changes include (a) use of the "do_debug_input" flag so that the user can control the dumping of VLIDORT inputs; (b) the "writemodules" subroutines will only input the truncated set of "Greek Matrix" coefficients that are needed for the multiple scatter calculations; and (c) the dimension MAX_MOMENTS_INPUT has been dropped everywhere in the VLIDORT code, as it is no longer needed internally (however it is needed outside in the driver software).

7.2.4. Directory "fo main 1.5 NEW"

The major change here is the direct use of the FOGeom type structure, which is output from the "setups" master, and input to the FO calculation routines. This has simplified the I/O subroutine listings by grouping geometry variables in one structure. The main FO RT masters now contain no geometrical calculations.

The other change is with the use of F-matrices. Now, there is no need to import large arrays of spherical-function expansion coefficients (array "Greek_matrix" has been removed from the FO code) which were needed to develop F-matrices using generalized spherical functions and these expansion coefficients – this is all done externally, outside the main RT calls. This saves time and memory in the FO calculations. [Expansion coefficients are still required for the multiple scatter treatment, but only as far as the discrete-ordinate truncation requires them].

FO routines have been cleaned to eliminate ambiguity. The F-matrices are input directly from the main program without an intermediary definition (as was done previously).

The flag FOCORR_DOCRIT and the critical attenuation limit FOCORR_ACRIT have been introduced to the VLIDORT input definitions, but they are not currently enabled.

The "doublet geometry" option has been followed into the code where necessary (VFO interfaces), and new subroutines have been added to the FO Geometry Modules as noted above; the FO radiative-transfer calculations are not affected by this addition.

7.2.5. Supplement directories

The VSLEAVE supplement has had no changes.

Following extensive testing of the VBRDF supplement in November and December 2019 (after the release of Version 2.8.1), a number of revisions were made to the VBRDF code to streamline

the use of BPDFs (reflection matrices from polarized surfaces), and to correct a number of bugs. A patch was developed for the VBRDF 2.8.1 software, and this patch has now been fully incorporated into the present package VLIDORT 2.8.2.

As noted above, the "doublet geometry" option has been implemented in the VBRDF code. As with the main VLIDORT code, there is a new configuration-file input (DO_DOUBLET_GEOMETRY), which can be invoked as an alternative to the observation geometry or lattice geometry options. Calls to the BRDF "maker" routines, now contain this flag, and kernels can now be calculated according to this option.