



CIEM0000 U2. ***Mechanics for Civil Engineering***

Complement to class notes

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1 Continuum Mechanics: Basics

Every continuum mechanics problem can be reduced to three basic steps

1. Kinematics
2. Action-Deformation
3. Equilibrium

1.1 Kinematics

Let's consider the following expression

$$\varphi(X) = X + u(X)$$

Where

X : Initial position

$u(X)$: Displacement field

$\varphi(X)$: Final position

Then we can define

Lagrangian Strain Tensor

$$E := \frac{1}{2} (\nabla u + \nabla u^T + \nabla u \nabla u^T)$$

For *small* displacement gradients

Cauchy Strain Tensor

$$E \simeq \varepsilon := \frac{1}{2} (\nabla u + \nabla u^T)$$

In civil engineering, the Cauchy Tensor (Engineering tensor) is almost always used. See [4] for rigorous definition/proof.

In matrix form

$$\varepsilon = \begin{bmatrix} \frac{\partial u_x}{\partial x} & \frac{1}{2} \left(\frac{\partial u_x}{\partial x} + \frac{\partial u_y}{\partial x} \right) & \frac{1}{2} \left(\frac{\partial u_x}{\partial z} + \frac{\partial u_z}{\partial x} \right) \\ \frac{1}{2} \left(\frac{\partial u_y}{\partial x} + \frac{\partial u_x}{\partial y} \right) & \frac{\partial u_y}{\partial y} & \frac{1}{2} \left(\frac{\partial u_y}{\partial z} + \frac{\partial u_z}{\partial y} \right) \\ \frac{1}{2} \left(\frac{\partial u_z}{\partial x} + \frac{\partial u_x}{\partial z} \right) & \frac{1}{2} \left(\frac{\partial u_z}{\partial y} + \frac{\partial u_y}{\partial z} \right) & \frac{\partial u_z}{\partial z} \end{bmatrix}$$

We can also define the shear strain angle as

$$\gamma_{i,j} = \alpha + \beta$$

Where:

$$\tan(\alpha) = \frac{\frac{\partial u_j}{\partial i}}{1 + \frac{\partial i}{\partial u_i}} \quad \tan(\beta) = \frac{\frac{\partial u_i}{\partial j}}{1 + \frac{\partial j}{\partial u_j}}$$

For *small angles* and *small displacement gradients*, the shear strain angle is

$$\gamma_{i,j} = \gamma_{j,i} = \frac{\partial u_i}{\partial j} + \frac{\partial u_j}{\partial i}$$

Then we can express the Cauchy Strain Tensor as

$$\boldsymbol{\epsilon} = \begin{bmatrix} \epsilon_{xx} & \frac{\gamma_{xy}}{2} & \frac{\gamma_{xz}}{2} \\ \frac{\gamma_{yx}}{2} & \epsilon_{yy} & \frac{\gamma_{yz}}{2} \\ \frac{\gamma_{zx}}{2} & \frac{\gamma_{zy}}{2} & \epsilon_{zz} \end{bmatrix}$$

Because the Cauchy Strain Tensor is symmetrical we can express it as a vector using Voigt notation

$$\boldsymbol{\epsilon} = \begin{bmatrix} \epsilon_{xx} \\ \epsilon_{yy} \\ \epsilon_{zz} \\ \gamma_{xy} \\ \gamma_{xz} \\ \gamma_{yz} \end{bmatrix}$$

In some cases it may be useful to compute the rigid body rotation

$$\boldsymbol{\theta} = \nabla \times \boldsymbol{\epsilon} = \begin{bmatrix} 0 & \frac{1}{2} \left(\frac{\partial u_x}{\partial x} - \frac{\partial u_y}{\partial x} \right) & \frac{1}{2} \left(\frac{\partial u_x}{\partial z} - \frac{\partial u_z}{\partial x} \right) \\ -\frac{1}{2} \left(\frac{\partial u_y}{\partial x} - \frac{\partial u_x}{\partial y} \right) & 0 & \frac{1}{2} \left(\frac{\partial u_y}{\partial z} - \frac{\partial u_z}{\partial y} \right) \\ -\frac{1}{2} \left(\frac{\partial u_z}{\partial x} - \frac{\partial u_x}{\partial z} \right) & -\frac{1}{2} \left(\frac{\partial u_z}{\partial y} - \frac{\partial u_y}{\partial z} \right) & 0 \end{bmatrix}$$

Analogous to the Cauchy Strain Tensor, we define the Strain Rate Tensor

Cauchy Strain Rate Tensor

$$\dot{\boldsymbol{\epsilon}} := \frac{1}{2} (\nabla \dot{\mathbf{u}} + \nabla \dot{\mathbf{u}}^T)$$

Then, the rotation of a fluid element is

$$\boldsymbol{\Omega} = \nabla \times \mathbf{v}$$

From linear algebra we know that we can change basis such that

$$\boldsymbol{\epsilon}' = \mathbf{Q} \boldsymbol{\epsilon} \mathbf{Q}^T$$

If we use a unit vector (\hat{n}) instead of the basis matrix, (\mathbf{Q}) we can obtain the deformation in the direction \hat{n} we obtain the projection in that direction.

$$\epsilon_{axial}(\hat{n}) = \hat{n}^T \boldsymbol{\epsilon} \hat{n}$$

If we want to obtain the principal directions, we need to solve the following minimization problem

$$\begin{aligned} \max/\min \quad & (\hat{N}^T \boldsymbol{\epsilon} \hat{N}) \\ \text{such that} \quad & |\hat{N}| = 1 \end{aligned}$$

Using the Lagrange multipliers method, we get the problem is equivalent to an eigenvalue problem

$$(\epsilon - \lambda I) N = 0 \quad \text{where } |N| = 1$$

The fact that we can always find a basis such that we can diagonalize the strain/stress tensor, means that we can find a frame of reference (or direction) in which there is no shear or only shear. In many cases we can just reduce the problem to principal stresses.

As the name suggests, the invariants of a tensor are properties of the tensor that do not depend on the basis, the most common ones are

$$\begin{aligned} I_1 &= \text{tr}(\epsilon) \\ I_2 &= \frac{1}{2} (\text{tr}(\epsilon)^2 - \text{tr}(\epsilon^2)) \\ I_3 &= \det(\epsilon) \end{aligned}$$

1.2 Action-Deformation

In linear materials

$$\sigma = A\epsilon$$

For an isotropic linear-elastic material, the expression can be simplified to

Generalized Hook's Law

$$\sigma = C\epsilon = \frac{E}{(1+\nu)(1-2\nu)} \begin{bmatrix} 1-\nu & \nu & \nu & 0 & 0 & 0 \\ \nu & 1-\nu & \nu & 0 & 0 & 0 \\ \nu & \nu & 1-\nu & 0 & 0 & 0 \\ 0 & 0 & 0 & 1-2\nu & 0 & 0 \\ 0 & 0 & 0 & 0 & 1-2\nu & 0 \\ 0 & 0 & 0 & 0 & 0 & 1-2\nu \end{bmatrix} \epsilon$$

Where C is the Stiffness matrix.

In most civil engineering problems Hook's Law is sufficiently accurate, except maybe in

- (Foliated) Rock masses, the isotropic hypothesis is not accurate, we may need up to 21 elastic constant. Using ultrasonic/seismic methods we can determine the elastic constant in multiple direction[5].
- Soil Mechanics, in particular when the clay content is important it is necessary to use elastoplastic models [2].
- Earthquake Engineering, even without going for the full nonlinear modeling, implementing a hybrid approach using plastic hinges (elastoplasticity) may reduce the complexity but yield accurate enough results, same for the design of metallic dissipation devices[3].

It may be useful to include the effect of temperature and initial stress (prestressed structures)

$$\sigma = C(\epsilon - \epsilon_0) + \sigma_0$$

Likewise, we can also express this relationship in the other way using the Flexibility matrix (S)

$$\epsilon = S\sigma$$

$$\mathbf{S} = \frac{1}{E} \begin{bmatrix} 1 & -\nu & -\nu & 0 & 0 & 0 \\ -\nu & 1 & -\nu & 0 & 0 & 0 \\ -\nu & -\nu & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & (1+\nu) & 0 & 0 \\ 0 & 0 & 0 & 0 & (1+\nu) & 0 \\ 0 & 0 & 0 & 0 & 0 & (1+\nu) \end{bmatrix}$$

The elastic properties of the material can be also expressed with the Lamé constants.

$$\lambda = \frac{E\nu}{(1-\nu)(1-2\nu)}$$

$$\mu = G = \frac{E}{2(1+\nu)}$$

1.3 Balance Laws

Let's consider an intensive property f , then

$$F = \int_B f \rho dV$$

Where F is the equivalent extensive property, then, we can express multiple conservation laws through the following equation

Master Balance Principle

$$\frac{DF}{Dt} = \frac{D}{Dt} \int_B f(x, t) dV = \int_{\partial B} \phi(x, t, \hat{n}) dS + \int_B \Sigma(x, t) dV$$

The Surface(ϕ) and Volume(Σ) density terms represent the external *forces*.

The previous equation is extremely general and not really used in practice, but I think is necessary to at least know the proper formulation, see [4] for full proof.

Then, we can construct multiple conservation laws, choosing different values of f, ϕ, σ .

Conservation of Mass

$$M = \int_V \rho dV$$
$$\frac{DM}{Dt} = \frac{D}{Dt} \int_{\Omega} \rho(x, t) dV = 0$$

Conservation of Linear Momentum

$$\mathbf{p} = \int_V \rho \mathbf{v} dV$$
$$\frac{D\mathbf{p}}{Dt} = \frac{D}{Dt} \int_{\Omega} \rho \mathbf{v} dV = \int_{\partial\Omega} \mathbf{t} dS + \int_{\Omega} \mathbf{b} dV$$

Conservation of Angular Momentum

$$\mathbf{L} = \int_V \mathbf{r} \times \rho \mathbf{v} dV$$
$$\frac{D\mathbf{L}}{Dt} = \frac{D}{Dt} \int_{\Omega} \mathbf{r} \times \rho \mathbf{v} dV = \int_{\partial\Omega} \mathbf{r} \times \mathbf{t} dS + \int_{\Omega} \mathbf{r} \times \mathbf{b} dV$$

First Law of Thermodynamics

$$E = \int_V \rho e \, dV$$

$$\frac{DE}{Dt} = \frac{D}{Dt} \int_{\Omega} \left(gz + \frac{v^2}{2} + \hat{u}(T) + \frac{P}{\rho} \right) \rho \, dV = \int_{\partial\Omega} \phi(x, t, \hat{n}) \, dS + \int_{\Omega} \Sigma(x, t) \, dV$$

In gases, enthalpy is used instead

$$\hat{h}(T) = \hat{u}(T) + \frac{P}{\rho}$$

1.4 Simplifications/Reduction of balance laws

Simplified differential form

As we previously stated, the master conservation principle is not used in practice instead we use simplified equation in differential form.

Simplified Master Balance Principle in Differential form

$$\frac{\partial Q}{\partial t} = -\frac{\partial q_x}{\partial x} - \frac{\partial q_y}{\partial y} - \frac{\partial q_z}{\partial z}$$

Which essentially translates, "The variation of Q is equal to the fluxes through the boundaries"

Then the conservation laws are

Simplified Mass Conservation

If we choose

$$Q = \rho$$

$$q_i = \rho v_i$$

$$\frac{\partial \rho}{\partial t} = -\frac{\partial \rho v_x}{\partial x} - \frac{\partial \rho v_y}{\partial y} - \frac{\partial \rho v_z}{\partial z}$$

Simplified Conservation of Momentum

If we choose

$$Q = \rho v_i$$

$$q_i = \rho v_i v_j$$

$$\frac{\partial \rho v_x}{\partial t} = -\frac{\partial v_x(\rho v_x)}{\partial x} - \frac{\partial v_y(\rho v_x)}{\partial y} - \frac{\partial v_z(\rho v_x)}{\partial z} + \left(\frac{\sigma_{xx}}{\partial x} + \frac{\sigma_{yx}}{\partial y} + \frac{\sigma_{zx}}{\partial z} \right) + f_x$$

$$\frac{\partial \rho v_y}{\partial t} = -\frac{\partial v_x(\rho v_y)}{\partial x} - \frac{\partial v_y(\rho v_y)}{\partial y} - \frac{\partial v_z(\rho v_y)}{\partial z} + \left(\frac{\sigma_{xy}}{\partial x} + \frac{\sigma_{yy}}{\partial y} + \frac{\sigma_{zy}}{\partial z} \right) + f_y$$

$$\frac{\partial \rho v_z}{\partial t} = -\frac{\partial v_x(\rho v_z)}{\partial x} - \frac{\partial v_y(\rho v_z)}{\partial y} - \frac{\partial v_z(\rho v_z)}{\partial z} + \left(\frac{\sigma_{xz}}{\partial x} + \frac{\sigma_{yz}}{\partial y} + \frac{\sigma_{zz}}{\partial z} \right) + f_z$$

Linearize Momentum Equation

If we consider that the density is constant, and that the velocity is

$$v_i = \frac{\partial u_i}{\partial t}$$

Also, if we consider that the spacial-temporal cross term are negligible

$$\frac{\partial v_j(\rho v_j)}{\partial x_i} = \rho \frac{\partial}{\partial x_i} \left(\frac{\partial u_i \partial u_j}{\partial t^2} \right) \approx 0$$

We get the linearize momentum equation

$$\begin{aligned}\rho \frac{\partial^2 u_x}{\partial t^2} &= \frac{\partial \sigma_{xx}}{\partial x} + \frac{\partial \sigma_{yx}}{\partial y} + \frac{\partial \sigma_{zx}}{\partial z} + f_x \\ \rho \frac{\partial^2 u_y}{\partial t^2} &= \frac{\partial \sigma_{xy}}{\partial x} + \frac{\partial \sigma_{yy}}{\partial y} + \frac{\partial \sigma_{zy}}{\partial z} + f_y \\ \rho \frac{\partial^2 u_z}{\partial t^2} &= \frac{\partial \sigma_{xz}}{\partial x} + \frac{\partial \sigma_{yz}}{\partial y} + \frac{\partial \sigma_{zz}}{\partial z} + f_z\end{aligned}$$

Laplace Fluids Law

$$k \nabla^2 h = 0$$

Dimensional reduction

In many cases, we don't really care about the response of the system in all directions. The magnitude of the response in some direction could be negligible or because of symmetries in the system, nevertheless, in many cases we are interested in the 2D system or even in the 1D response.

Plane Stress

Assuming that there are no stress in the out-of-plane direction,

$$\begin{bmatrix} \epsilon_{xx} \\ \epsilon_{zz} \\ \epsilon_{zx} \end{bmatrix} = \frac{1}{E} \begin{bmatrix} 1 & -\nu & 0 \\ -\nu & 1 & 0 \\ 0 & 0 & 1 + \nu \end{bmatrix} \begin{bmatrix} \sigma_{xx} \\ \sigma_{zz} \\ \sigma_{zx} \end{bmatrix}$$

$$\epsilon_{yy} = \frac{-\nu}{E}(\sigma_{xx} + \sigma_{zz})$$

Alternatively

$$\begin{bmatrix} \sigma_{xx} \\ \sigma_{zz} \\ \sigma_{zx} \end{bmatrix} = \frac{E}{(1 + \nu)(1 - \nu)} \begin{bmatrix} 1 & \nu & 0 \\ \nu & 1 & 0 \\ 0 & 0 & 1 - \nu \end{bmatrix} \begin{bmatrix} \epsilon_{xx} \\ \epsilon_{zz} \\ \epsilon_{zx} \end{bmatrix}$$

At first glance, everything seems consistent, but if we consider the linearized momentum equation

$$\rho \frac{\partial^2 u_y}{\partial t^2} = \frac{\partial \sigma_{xy}}{\partial x} + \frac{\partial \sigma_{yy}}{\partial y} + \frac{\partial \sigma_{zy}}{\partial z} + f_y$$

$$\rho \frac{\partial^2 u_y}{\partial t^2} = 0$$

However that implies that there is now acceleration, which is only true in the static case

Plane Strain

Assuming that there are no strain in the out-of-plane direction,

$$\begin{bmatrix} \epsilon_{xx} \\ \epsilon_{zz} \\ \epsilon_{zx} \end{bmatrix} = \frac{1 + \nu}{E} \begin{bmatrix} 1 - \nu & -\nu & 0 \\ -\nu & 1 - \nu & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} \sigma_{xx} \\ \sigma_{zz} \\ \sigma_{zx} \end{bmatrix}$$

Alternatively

$$\begin{bmatrix} \sigma_{xx} \\ \sigma_{zz} \\ \sigma_{zx} \end{bmatrix} = \frac{E}{(1 + \nu)(1 - 2\nu)} \begin{bmatrix} 1 - \nu & \nu & 0 \\ \nu & 1 - \nu & 0 \\ 0 & 0 & 1 - 2\nu \end{bmatrix} \begin{bmatrix} \epsilon_{xx} \\ \epsilon_{zz} \\ \epsilon_{zx} \end{bmatrix}$$

$$\sigma_{yy} = \frac{\nu E}{(1 + \nu)(1 - 2\nu)}(\epsilon_{xx} + \epsilon_{zz})$$

Which is consistent and does not presuppose the static case as plain stress does.

Using plane strain/stress and some assumptions we can model very common cases such as :

Axially loaded bar

Conisderinga bar uniaxially loades, if we integrate over the cross section we get

$$\rho A \frac{\partial^2 \bar{u}_x}{\partial t^2} = EA \frac{\partial^2 \bar{u}_x}{\partial x^2} + q_x$$

Shear layer

Lets consider a layer loaded in pure shear (double plane strain), such that there is only shear deformation. Then replacing in the linearized equation of motion we get

$$\rho \frac{\partial^2 u_x}{\partial t^2} = G \frac{\partial^2 u_x}{\partial z^2} + f_x$$

Diafragm wall

Lets consider a wall loaded vertically, such that it can be considered to be in plane stress and plane strain, integrating over the thickness of wall (d)

$$\rho d \frac{\partial^2 \bar{u}_x}{\partial t^2} = \frac{Ed}{1 - \nu^2} \frac{\partial^2 \bar{u}_x}{\partial z^2} + p_z$$

1.5 Case Study: Darcy's law and potential flow

Let consider the conservation of mass (volume assuming that the density is constant) for a control volume we get

Continuity equation

$$\frac{\partial \rho}{\partial t} = -\nabla q$$
$$\frac{\partial \rho}{\partial t} = -\rho \nabla v$$

Considering that there is no storage capacity we get

$$\rho \nabla v = 0$$

Lets remember that the simplest model for flow is the potential flow, also known as Darcy's Law, it says that "*water flow from a higher energy state to a lower one*"

Lets define the potential or head as

$$\Psi = h = z + \frac{p}{\rho g} + \frac{1}{2} \frac{U^2}{2g}$$

In water flow through soil, the velocity term is negligible

$$h \approx z + \frac{p}{\rho g}$$

Then mathematically we can express potential flow as

Darcy's Law

$$q = -k \nabla h$$

k : Hydraulic conductivity

Combining Darcy's Law into the continuity equation we get

Laplace's Fluids Law

$$k \nabla^2 h = 0$$

1.6 Case Study: Simplified flow through soil

Lets consider tha case of fully saturated soil, and no chemical of mechanical interaction/degradation of the soil due to the flow/presence of water. We also know that soil fails in term of effective stresses, then it is convenient to define the effective stress tensor as

Effective stress

$$\sigma' = \sigma - I u_w$$

In Soil mechanics it may be useful to consider the invariants of the effective stress tensor, which are analogous to the stress tensor's but are called J_1 J_2 and J_3 respectively, which are used in failure criteria[2]. For the continuity equation we previously assumed that $\phi \neq 0$ and $\Sigma = 0$) but from the first chapter we know that the medium can deform, therefore the control volume can deform and we have to include the change of volume in the continuity equation

In rocks, we have to be careful about porosity vs connected porosity and permeability vs hydraulic conductivity.

Deformable medium - Continuity Equation

For permanent flow

$$\frac{\varepsilon_v}{\partial t} = -k \nabla^2 h$$

With $I_1 = \varepsilon_v$

1.7 Case Study: Flow to a well

Let's consider

- Saturated soils
- Single fluid, i.e. water
- Isotropic soil
- Water is incompressible

Horizontal flow through 1D soil layer

The soil has hydraulic conductivity k , and the potential in the boundaries are P_1 and P_2 , therefore it is a Dirichlet problem.

Using the Laplace Flow equation and considering that the problem is 1D

$$k \frac{\partial^2 h}{\partial x^2} = 0 \quad \text{and} \quad h|_{L=P_2}^{0=P_1}$$

Integrating

$$\begin{aligned} \int k \frac{\partial^2 h}{\partial x^2} &= 0 \\ k \frac{\partial h}{\partial x^2} + C_1 &= 0 \\ \int k \frac{\partial h}{\partial x^2} + C_1 &= 0 \\ kh + C_1x + C_2 &= 0 \end{aligned}$$

Applying Boundary conditions

$$h(x) = \left(\frac{P_2 - P_1}{L} \right) x + P_1$$

Horizontal flow through 1D soil, with discontinuity in soil properties

Because the hydraulic conductivity is discontinuous in the layer, it is easier to consider the problem as 2 problems of 1D isotropic soil, but applying boundary conditions in the interface as to preserve the conservation of mass principle.

If we did not care about the distribution, but only about the global behaviour, we could have used the equivalent hydraulic conductivity.

$$\begin{aligned} k_{eq}^h &= \frac{\sum k_i d_i}{\sum d_i} \\ k_{eq}^v &= \frac{\sum d_i}{\sum \frac{d_i}{k_i}} \end{aligned}$$

Then, similarly to the last problem

$$\begin{aligned}k_1 \frac{\partial^2 h_1(x)}{\partial x^2} &= 0 \\k_2 \frac{\partial^2 h_2(x)}{\partial x^2} &= 0 \\h_1(0) &= P_1 \\h_2(L) &= P_2 \\k_1 \frac{\partial h_1(L/2)}{\partial x} &= k_2 \frac{\partial h_2(L/2)}{\partial x}\end{aligned}$$

Depending on the complexity of the situation, it may be useful to define local coordinates systems to simplify the algebra, for example

$$\begin{aligned}x'_1 &= x \\x'_2 &= x + \frac{L}{2}\end{aligned}$$

However, in the previous exercise because k was uniform in each layer, it is just easier to use the result from question 1, where we know the pressure distribution in terms of the boundary conditions, the problem can be easily reduced to

$$\begin{aligned}h'_1(x_1) &= \frac{P_3 - P_1}{L}x + P_1 \\h'_2(x_2) &= \frac{P_2 - P_3}{L}x + P_3 \\k_1 \frac{\partial h'_1(0)}{\partial x_1} &= k_2 \frac{\partial h'_2(0)}{\partial x_2}\end{aligned}$$

Vertical 1D flow through isotropic layer

Considering the boundary condition of no flux in the bottom and no pressure in the top, and that the energy is

$$h(z) = gz + \frac{v(z)^2}{2} + \frac{P(z)}{\rho(z)} \approx gz + \frac{P(z)}{\rho}$$

It is necessary to pay attention to the unit of energy, dependent on the situation, it may be better to express the energy in terms of height, pressure or just energy.

In addition, the *no-pressure* boundary condition refers to *relative pressure*, because

$$\begin{aligned}P_{top} &= P_{atm} + 0 \\P_{bottom} &= P_{atm} + P_{bottom}\end{aligned}$$

But atmospheric pressure usually affects both boundary condition.

We know from problem 1 that the solution to 1D flow is

$$kh + C_1 x + C_2 = 0$$

Then applying Boundary conditions

$$\begin{aligned}h(z_{top}) &= 0 \\ \frac{\partial h(z_{bottom})}{\partial z} &= 0\end{aligned}$$

We get

$$h(z) = \rho g(z_{top} - z)$$

In problems involving atmospheric transport, we need to remember that $g(z)$ and $\rho(z)$. See [1] for examples.

Radial flow

The Laplace Flow equation works in any dimension and coordinates system^a.

$$k \left(\frac{\partial^2 h}{\partial r^2} + \frac{1}{r} \frac{\partial h}{\partial r} + \frac{1}{r^2} \frac{\partial^2 h}{\partial \theta^2} \right) = 0$$

Because the situation is radially symmetric ($\frac{\partial^2 h}{\partial \theta^2} = 0$)

$$\begin{aligned}k \left(\frac{\partial^2 h}{\partial r^2} + \frac{1}{r} \frac{\partial h}{\partial r} \right) &= 0 \\ h &= C_1 \ln r + C_2\end{aligned}$$

^aSee MAT1620 for the Laplace operator in any coordinate systems

1.8 Case study: Static deformation of a bar with distributed elastic foundation

Let's consider an elastic pile foundation, with

- Axial stiffness: EA
- Distributed spring stiffness: χ , accounting for soil resistance
- Discrete spring: K , accounting for soil resistance at the tip
- External force: F_0

Displacement Field

Kinematics

It is trivial to see that the displacement field of the bar is equal to the displacement field of the later soil

$$u_z^{bar} = u_z^{soil} = u_z$$

And also that the displacement in the tip is equal to the deformation of the soil under the tip

$$\frac{du_z(L)}{dz} = \delta_{tip}$$

Action-Deformation

We have three different action-deformation relationships

- Bar

$$\sigma_{bar} = EA \left(\frac{du_z}{dz} \right)$$

- Skin force (Soil)

$$\sigma_{soil} = \chi u_z$$

Strictly, $\sigma_{soil} = \chi \frac{du_z'}{dz'}$, but the deformation for every *spring* is $\frac{du_z'}{dz'} = u_z - u_z^0$, and in the continuum $u_z^0 = 0$, then the deformation of every *spring* is just the displacement of the bar at that point

- Tip force (Soil)

$$F_{tip} = K \left(\frac{du_z(L)}{dz} \right)$$

Equilibrium

From the conservation of momentum in a 1D static situation

$$\begin{aligned} \frac{d}{dz} \left(EA \frac{du_z}{dz} \right) + q_z &= 0 \\ \frac{d}{dz} \left(EA \frac{du_z}{dz} \right) - \chi u_z &= 0 \end{aligned}$$

We have the stiffness of the soil and the pile expressed in different forms, it may be useful to solve the problem in terms of the ratio of soil/bar stiffness ($\kappa^2 = \frac{\chi}{EA}$)

$$\frac{d^2 u_z}{dz^2} - \kappa^2 u_z = 0$$

General solution

The solution is

$$u_z(z) = C_1 e^{\kappa z} + C_2 e^{-\kappa z}$$

Boundary Conditions

The boundary conditions are the deformation in the top and bottom

$$\begin{aligned} \left(EA \frac{du_z}{dz} \right) \Big|_{z=0} &= -F_0 \\ \left(EA \frac{du_z}{dz} \right) \Big|_{z=L} &= -K u_z \Big|_{z=L} \end{aligned}$$

Then we get that

$$\begin{aligned} C_1 &= -\frac{F_0}{EA\kappa} \frac{Re^{-2\kappa L}}{1 + e^{-2\kappa L}} \\ C_2 &= \frac{F_0}{EA\kappa} \frac{1}{1 + e^{-2\kappa L}} \end{aligned}$$

with

$$R = \frac{K - EA\kappa}{K + EA\kappa}$$

1.9 Dispersive wave in foundation pile

We derived the equation of motion in last section

Klein-Gordon equation

$$\frac{1}{c_0^2} \frac{\partial^2 u_z}{\partial t^2} - \frac{\partial u_z}{\partial z^2} + \kappa_d^2 u_z - q_z = 0$$

$$c_0^2 = \frac{E}{\rho}$$

$$\kappa_d^2 = \frac{\xi}{EA} = \frac{\xi}{c_0^2 \rho A}$$

This wave equation is dispersive, therefore we cannot use the d'Alembert method to solve it. Let's consider a SDOF system

SDOF: Undamped, Harmonic Excitation

We know that the response of a undamped system, due to an harmonic excitation is:

$$u(t) = \text{Re}[\tilde{u}(\omega) \exp(i\omega t)]$$

with

$$\tilde{u} = \hat{u} e^{i\alpha}$$

$$\hat{u} = \left| \frac{1}{k - m\omega^2} \right| \hat{F}_0$$

$$\alpha = \begin{cases} 0 & \omega \leq \sqrt{\frac{k}{m}} \\ \pi & \sqrt{\frac{k}{m}} \leq \omega \end{cases}$$

Replacing in the original equation we get

$$\frac{d^2 \tilde{u}}{dz^2} + \left(\frac{\omega^2}{c_0^2} - \kappa_d \right) \tilde{u} = 0$$

The solution of the ODE is known to be

$$u(z, \omega) = C_1 e^{-i\gamma z} + C_2 e^{i\gamma z}$$

$$\gamma = \frac{1}{c} \sqrt{\omega^2 - (c\kappa)^2} = \frac{1}{c} \sqrt{\omega^2 - \omega_c^2}$$

The response depends on the value of γ , let's suppose that

$$\gamma = \begin{cases} k & \omega_c \leq \omega \\ -i\mu & \omega \leq \omega_c \end{cases}$$

Then we can characterize the spatial response in term of γ , if

- $\gamma = k$

The solution is a propagating harmonic wave

$$u_z(s, t) \sim \tilde{u}_z(s, t) e^{i\omega t} = C_1 e^{i(t-kz)} + C_2 e^{i(\omega t + kz)}$$

- $\gamma = -i\mu$

The solutions is a evanescent wave

$$u_z(s, t) \sim \tilde{u}_z(s, t)e^{i\omega t} = C_1 e^{-\mu z} e^{i\omega t} + C_2 e^{-\mu z} e^{i\omega t}$$

This is analogous to the damped/critically damped/overdamped behaviour that we are familiar in Structural Dynamics

2 Waves: Non-steady flow

2.1 Equations of motion

Let's assume an hydrostatic vertical pressure distribution. From the conservation of momentum equation in 1D we get

Unitary discharge

$$q(s, t) = \int_{z_b}^{h(t)} v_s dz$$

If we extend it to the whole cross-section

Cross section discharge

$$Q(s, t) = \int_{A(t)} v_s dA$$

Using the conservation of mass (volume if we consider incompressible flow) we get

$$\frac{\partial A(s, t)}{\partial t} + \frac{\partial Q(s, t)}{\partial s} = 0$$

In channel flow, our main concern is the piezometric height (h), therefore is useful to use the following expression

$$\frac{\partial A(s, t)}{\partial t} = \frac{\partial A}{\partial h} \frac{\partial h}{\partial t} = B(s, t) \frac{\partial h}{\partial t}$$

If we consider small flow velocities, and/or small, semi-enclosed areas

Small-basin approximation

$$\frac{\partial h}{\partial s} \approx 0 \longrightarrow Q(t) \approx A_b \frac{dh_b}{dt}$$

Let start with the continuity equation for permanent flow in 1D, and integrate them in the vertical direction

$$\int_{z_b}^{h(t)} \left(\frac{\partial \rho}{\partial t} + \rho \nabla v \right) dz = 0$$
$$\int_{z_b}^{h(t)} \frac{\partial \rho}{\partial t} dz + \int_{z_b}^{h(t)} \frac{\partial \rho v_x}{\partial x} dz + \int_{z_b}^{h(t)} \frac{\partial \rho v_z}{\partial z} dz = 0$$

Using the Leibniz integration rule

$$\left[\frac{\partial}{\partial t} \int_{z_b}^{h(t)} \rho dz - \left(\rho \frac{\partial h}{\partial t} \right)_{z=h} + \left(\rho \frac{\partial z}{\partial t} \right)_{z=z_b} \right] + \left[\frac{\partial}{\partial x} \int_{z_b}^{h(t)} \rho v_x dz - \left(\rho v_x \frac{\partial h}{\partial x} \right)_{z=h} + \left(\rho v_x \frac{\partial z_b}{\partial x} \right)_{z=z_b} \right] + \left[(\rho v_z)_{z=h} + (\rho v_z)_{z=z_b} \right] = 0$$

The coloured terms are the kinematic condition in the boundaries, in particular, the **cyan** on the surface and the **orange** terms in the channel bed. Re-ordering we get

$$\frac{\partial}{\partial t} \int_{z_b}^{h(t)} \rho dz + \frac{\partial}{\partial x} \int_{z_b}^{h(t)} \rho v_x dz = \left[\rho \left(\frac{\partial h}{\partial t} + v_x \frac{\partial h}{\partial x} + v_z \right) \right]_{z=h} - \left[\rho \left(\frac{\partial z}{\partial t} + v_x \frac{\partial z_b}{\partial x} + v_z \right) \right]_{z=z_b}$$

$$\frac{\partial}{\partial t} \int_{z_b}^{h(t)} \rho dz + \frac{\partial}{\partial x} \int_{z_b}^{h(t)} \rho v_x dz = 0$$

The kinematics conditions terms are zero in both boundaries, and considering that the density is uniform throughout the cross-section

The kinematic condition terms that involve the time are zero because we assumed that the velocity normal to the flow is zero for the surface and seabed, the other terms relate to the fact that we assume that a fluid particle approaching the seabed will move alongside it (cannot go into the seabed) and a fluid particle moving towards the surface will move along the surface (not splash-out). The density assumption is usually adequate for canals, but may not be appropriate in the presence of temperature/salinity gradients.

Then we get

Mass Balance equation

$$\frac{\partial}{\partial t} \int_{z_b}^{h(t)} \rho dz + \frac{\partial}{\partial x} \int_{z_b}^{h(t)} \rho v_x dz = 0$$

$$\frac{\partial(h(t) - z_b)}{\partial t} + \frac{\partial q}{\partial x} = 0$$

$$\frac{\partial d(t)}{\partial t} + \frac{\partial q}{\partial x} = 0$$

Similarly to mechanics of solids, using the mass balance and the conservation of momentum we get

Euler equation

For incompressible, uniform density, negligible viscous stresses, and only gravity as an external force we get

$$\frac{\partial v_i}{\partial t} + v_j \frac{\partial v_i}{\partial x_j} + \frac{1}{\rho} \frac{\partial p}{\partial x_i} = g_i$$

So far, the equations of motion are formulated in a cartesian coordinate system, but for geometries different than a straight canal, it can become algebraically tedious, therefore we introduce the natural coordinate.

- R = Radius of curvature
- \hat{s} = Flow direction
- \hat{n} = Normal to the flow direction, towards the inside of the radius of curvature, defines the normal plane

- \hat{b} = Bi-normal direction to the flow, defines osculation plane

Euler equation in natural coordinates

$$\begin{aligned}\frac{\partial v_s}{\partial t} + v_s \frac{\partial v_s}{\partial s} + g \frac{\partial h}{\partial s} &= 0 \\ \frac{\partial v_n}{\partial t} + \frac{v_s^2}{R} + g \frac{\partial h}{\partial n} &= 0 \\ \frac{\partial v_b}{\partial t} + g \frac{\partial h}{\partial b} &= 0\end{aligned}$$

2.2 Long Waves

Let's consider the case of a 1D *long waves* with a constant cross section piezometric level, then we can assume that

- Hydrostatic pressure distribution $\longrightarrow \frac{\partial h}{\partial b} \approx 0$
- Negligible surface slope $\longrightarrow \frac{\partial h}{\partial n} \approx 0$
- Acceleration only in \hat{s}
- $\frac{\partial h}{\partial s}$ is constant within the cross-section
- Considering cross-section averaged velocity $U = \int_A v \, dA / \int dA$

Boundary Resistance

So far, we have only considered conservative forces, we can easily identify at least two types of non-conservative forces, exactly as we did with the master conservation principle, we have the volume force(σ) and the surface force(ϕ). If we ignore for now the volumetric forces(turbulence,etc), and ignore the air-water interaction we get the frictional force of the canal walls/bed (wet perimeter).

$$F_r = \tau_b P = \rho c_f |U| U P$$

All the other forces have been expressed as force per unit mass, then

$$\frac{F_r}{\rho A_c} = \frac{\rho c_f |U| U P}{\rho A_c} = c_f \frac{|U| U}{R}$$

This assumes that c_f is constant in the wet perimeter, which is not always the case. See Orton's and Lotter's method for compound cross-sections.

Also, see Manning and Chézy equation for other ways to estimate boundary resistance. Detail in [1]

Then, the equation on the whole cross-section

Saint-Venant Equation

$$\begin{aligned}B \frac{\partial h}{\partial t} + \frac{\partial Q}{\partial s} &= 0 \\ \frac{\partial Q}{\partial t} + \frac{\partial}{\partial s} \left(\frac{Q^2}{A_c} \right) + g A_c \frac{\partial h}{\partial s} + c_f \frac{|Q| Q}{A_c R} &= 0\end{aligned}$$

2.3 Dimensional analysis

We can characterize a wave by four parameter

- Wave length (λ or L_0)
- Wave Period (T_0)
- Flow velocity (U_0)
- Water depth (h or D_0)

If we look at the Sain-Venant equation, by inspection is evident that the storage ($B \frac{\partial h}{\partial t}$) and volume transport ($\frac{\partial Q}{\partial s}$) terms cannot be neglected.

Lets consider the *importance* of the local inertia and use it to normalize the effect of other terms in the momentum equation

$$\begin{aligned}\text{Normalized local inertia} &\propto \left(\frac{U}{T}\right) / \left(\frac{U}{T}\right) = 1 \\ \text{Normalized Advective Inertia} &\propto \left(U \frac{U}{L}\right) / \left(\frac{U}{T}\right) = \frac{UT_0}{L} \approx Fr \\ \text{Normalized Gravity forcing} &\propto \left(g \frac{H_0}{L_0}\right) / \left(\frac{U}{T}\right) = \frac{gH_0T_0}{L_0U_0} \\ \text{Normalized Resistance} &\propto \left(c_f \frac{U_0^2}{D_0}\right) / \left(\frac{U}{T}\right) = c_f \frac{U_0T_0}{D_0}\end{aligned}$$

In general the adjective inertia and/or resistance can be neglected, is then useful to define the ratio between them

$$\sigma = c_f \frac{U_0}{D_0} \frac{T_0}{2\pi} = c_f \frac{U_0}{\omega D_0}$$

Let's define the velocity of a wave relative to the flow as

Celerity

For shallow water the propagation speed (phase velocity) can be estimated as

$$c_p \approx \sqrt{gh}$$

For deep water, $\frac{D}{\lambda} > 0.5$

$$c_p \approx \frac{g}{2\pi} T$$

2.4 Classification/Characterization of long waves

In practice we consider a wave as *long* if $\frac{L}{D_0} > 20$.

Translatory waves

These waves are formed when control structures, such as gates, valves, etc are manipulated.

Tsunami wave

Impulsive wave due to tsunami. Can be produced either by a mega-rupture tsunami or by an earthquake-produced landslide.

- $\lambda \sim 10^{2-3}$ km
- $h \sim 10^3$ m
- $T \sim 10 - 20$ mins
- Then, $c \sim 10^2$ m/s
- Then, $\sigma \sim 10^{-4}$

Seiches

Natural oscillation in lakes and basins, due to geometric, gravitational and atmospheric variations.

- $\lambda \sim 20$ km
- $h \sim 10$ m
- $T \sim 20$ mins
- Then, $c \sim 10$ m/s
- Then, $\sigma \sim 10^{-2}$

Tides

Produced by gravitational effects of the moon and sun. In the ocean

- $\lambda \sim 8 - 9 \times 10^3$ km
- $h \sim 3 - 5 \times 10^3$ m
- $T \sim 745$ mins
- Then, $c \sim 200$ m/s
- Then, $\sigma \sim 10^{-3}$

The resistance factor of tides in coastal areas, channels, tide flats could be in the order of $\sigma \sim [10^{-1}, 10^1]$

Flood waves

Cause by excessive precipitation or sudden melting of snow.

- $\lambda \sim 10^{2-3}$ km
- $h \sim 1 - 2 \times 10$ m
- $T \sim 3 - 5 \times 10^3$ mins
- Then, $c \sim 7$ m/s
- The effect of bed friction is usually considerable in the order of $\sigma \sim 5 \times 10^1$

2.5 Wave propagation

Let's consider a canal with a gate that separates two piezometric levels. If we open the gate, a transitory wave will propagate, the water will flow from the *higher* to the *lower* level, creating a positive amplitude wave in the *lower* side and a negative amplitude in the *higher* side.

We will define the amplitude of the wave (respect to the piezometric level at t_0) as ζ

Simplified wave propagation

Lets consider a control volume such that

$$h(s_1, t) = h_0 + \zeta \quad || \quad h(s_2, t) = h_0$$

Using the mass conservation principle (volume, if we consider ρ to be constant)

$$\begin{aligned} B \frac{\partial h}{\partial t} + \frac{\partial Q}{\partial s} &\approx B \frac{\zeta}{\Delta t} + \frac{Q}{\Delta s} \\ &\approx B \zeta \Delta s = Q \Delta t \longrightarrow Q = B c \zeta \end{aligned}$$

Then, for the momentum equation ignoring the resistance and advective acceleration terms

$$\begin{aligned} \frac{\partial Q}{\partial t} + g A_c \frac{\partial h}{\partial s} &\approx \frac{Q}{\Delta t} + g A_c \frac{\Delta h}{\Delta s} \\ &\approx \frac{Q}{\Delta t} + g A_c \frac{\zeta}{\Delta s} \longrightarrow g A_c \zeta = c Q \end{aligned}$$

Considering a rectangular cross section we obtained the expression for the celerity that we mentioned before

Lets re-examine the Saint-Venant equation

$$\begin{aligned} B \frac{\partial h}{\partial t} + \frac{\partial Q}{\partial s} &= 0 \\ \frac{\partial Q}{\partial t} + \frac{\partial}{\partial s} \left(\frac{Q^2}{A_c} \right) + g A_c \frac{\partial h}{\partial s} + c_f \frac{|Q|Q}{A_c R} &= 0 \end{aligned}$$

For low and long waves we can neglected advective acceleration and boundary resistance term

$$\begin{aligned} \frac{\partial}{\partial s} \left(\frac{Q^2}{A_c} \right) &\approx 0 \\ c_f \frac{|Q|Q}{A_c R} &\approx 0 \end{aligned}$$

Assuming a constant rectangular cross-section and combining the equation we get the

Elementary wave equation

$$\begin{aligned} \frac{\partial^2 h}{\partial t^2} - \frac{g A_c}{B} \frac{\partial^2 h}{\partial s^2} &= 0 \\ \frac{\partial^2 h}{\partial t^2} - c^2 \frac{\partial^2 h}{\partial s^2} &= 0 \end{aligned}$$

2.6 General solution: d'Alembert

The general solution to the elementary wave equation is

d'Alembert's solution

$$h(s, t) = h^+(s - ct) + h^-(s + ct)$$

The solution is the superposition of two waves travelling in opposite directions.

Canal with close end

As the wave approaches the end of the canal, locally

$$Q = \delta Q^+ + \delta Q^- = Bc(\delta h^+ - \delta h^-) = 0 \longrightarrow \delta h^+ = \delta h^-$$

We know that the solution is the superposition of the waves travelling in opposite directions then we get *fully positive reflection*

$$h = \delta h^+ + \delta h^- = 2\delta h^+$$

Canal connected to reservoir

As the wave approaches the reservoir, locally

$$\delta h = \delta h^+ + \delta h^- = 0 \longrightarrow \delta h^+ = -\delta h^-$$

We know that the solution is the superposition of the waves travelling in opposite directions then we get *fully negative reflection*

Canal with rapidly varying cross-section

The transition is characterized by a discontinuous cross-section.

Qualitatively we can expect *partial reflection*, and that is exactly what we get.

Defining compatibility conditions in the transition

$$\text{Continuous water level at the transition} \longrightarrow \delta h_t = \delta h_i + \delta h_r$$

$$\text{Continuous discharge at the transition} \longrightarrow \delta Q_t = \delta Q_i + \delta Q_r$$

We define the following adimensional parameters

$$r_t = \frac{\delta h_t}{\delta h_i}$$
$$r_r = \frac{\delta h_r}{\delta h_i}$$
$$\gamma = \frac{B_2 c_2}{B_1 c_1} = \sqrt{\frac{A_{c,2} B_2}{A_{c,1} B_1}}$$

Then, the compatibility conditions are expressed as

$$r_t = 1 + r_r$$

$$\gamma r_t = 1 - r_r$$

Then, the reflected and transmitted wave ratios are

$$r_r = \frac{1 - \gamma}{1 + \gamma}$$
$$r_t = \frac{2}{1 + \gamma} = 1 + r_r$$

Multiple Channels

If the abrupt transition consist of multiple channel, we can extend the previous principle, we define an *equivalent channel* such that

$$B^* c^* = \sum B_i c_i$$

Then applying conservation of mass and mometunm in the transmitted channel, we determine the height/dis-charge in each channel

We are assuming that the wave is *insensitive to changes in propagation direction*. Also, see example of how having a side close channel the affect the reflection int the transmitted and original channel, in Unsteady Flow, p.50.

Gradually varying cross-section

See Green's law.

2.7 Periodic Waves

In the previous section we considered the case of a single wave, let it be a transatory wave or a single wave, lets extend the same concepts to a periodic wave.

Periodic wave

$$\begin{aligned}\zeta^+(s, t) &= \hat{\zeta} \cos\left(\frac{2\pi}{L}(s - ct)\right) \\ \zeta^+(s, t) &= \hat{\zeta} \cos(ks - \omega t)\end{aligned}$$

With:

$$\begin{aligned}\text{Wave number}(k) &:= \frac{2\pi}{L} \\ \text{Angular frequency}(\omega) &:= \frac{2\pi}{T}\end{aligned}$$

Canal with closed end

The boundary condition is

$$Q = \delta Q^+ + \delta Q^-$$

Using the d'Alembert method, considering th boundary conditions

$$\begin{aligned}\zeta &= \zeta^+ + \zeta^- \\ \zeta &= 2\hat{\zeta} \cos(ks) \cos(\omega t)\end{aligned}$$

Closed basin

The boundary condition is

$$Q = 0$$

Then the antinodes of the wave are on the boundaries, then

$$k_n = \frac{n\pi}{\ell}$$

Because we are dealing with un-forced oscillations, the natural frequencies are

$$\frac{\omega_n}{k_n} = \sqrt{\frac{gA_c}{B}}$$

$$\omega_n = k_n \sqrt{\frac{gA_c}{B}}$$

Semi-closed basin connected to reservoir

At the close end

$$Q = 0$$

and at the reservoir

$$\zeta = 0$$

Then, to fulfill this condition, at all times there is a antinode in the closed end, and a node in the reservoir end.

$$k_n = \frac{1}{2}\pi + n\pi$$

And the natural frequencies are

$$\omega_n = \left(\frac{1}{2}\pi + n\pi\right) \frac{1}{\ell} \sqrt{\frac{gA_c}{B}}$$

Semi-closed basin connected to tidal see

At the close end

$$Q = 0$$

and at the sea-basin interface

$$\zeta(\ell, t) = \zeta_{sea}$$

The oscillation in the basin are forced by the oscillation of the tide, then

$$\zeta(0, t) = \frac{\zeta(\ell, t)}{|\cos k\ell|}$$

Then we get resonance when

$$\cos k\ell \rightarrow 0 \implies \zeta(0, t) \rightarrow \infty$$

For small basins,

$$(\ell \ll L \quad \text{or} \quad k\ell \ll 2\pi) \implies \cos(k\ell) \approx 1$$

Then *"the water level responds almost in unison to the tidal forcing at the mouth, rising and falling with the tide but being virtually horizontal at all times"*(Unsteady Flow in Open Channels,2017), this phenomenon is called **Pumping or Helmholtz mode**

3 Diffusion: Heat Conduction

Using the master conservation equation for the case of energy, if we only consider internal energy (in this case temperature)

$$\frac{\partial Q}{\partial t} = -\nabla \cdot q$$

Considering that the "Volumetric Storage Capacity" (Q) is

$$Q = c\rho T$$

c : Specific heat capacity

ρ : Density

And considering that the flow of heat is proportional to the temperature gradient (Conduction)

Fourier's Law

$$q = -\lambda \nabla T$$

λ : Thermal conductivity

If we ignore advection, radiation and convection mechanism, we can define the heat diffusion equation

Simplified Heat Transfer or Heat Diffusion

$$\frac{\partial Q}{\partial t} = -\lambda \nabla^2 T$$
$$\frac{\partial T}{\partial t} - \alpha \left(\frac{\partial^2 T}{\partial x^2} + \frac{\partial^2 T}{\partial y^2} + \frac{\partial^2 T}{\partial z^2} \right) = 0$$

4 Case Studies

4.1 Static deformation of a bar with distributed elastic foundation

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