

# Symmetric Cryptographic Systems

Dr Basel Halak

B. Halak, ECS, Southampton University

## Learning Outcomes

At the end of this unit you should be able to:

1. Discuss the basic principles of discrete probability and information theory
2. Describe the principle of Shannon perfect secrecy
3. Verify the security of traditional ciphers
4. Explain how to construct a secure block cipher.
5. Outline the basic operation principles of 3DES.
6. Encrypt and Decrypt using Advance Encryption Standard Algorithm(AES).
7. Describe the Cryptographic Modes of Block Ciphers.
8. Describe a number of cryptographic attacks on block ciphers

B. Halak, ECS, Southampton University

## Learning Outcomes

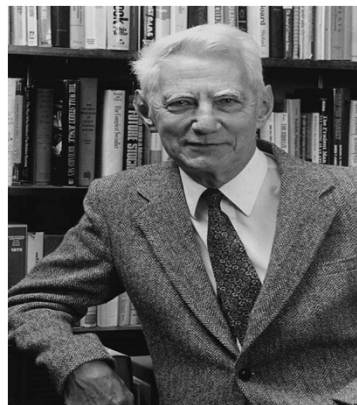
At the end of this unit you should be able to:

1. Discuss the basic principles of discrete probability and information theory
2. Describe the principle of Shannon perfect secrecy
3. Verify the security of traditional ciphers
4. Explain how to construct a secure block cipher.
5. Outline the basic operation principles of 3DES.
6. Encrypt and Decrypt using Advance Encryption Standard Algorithm(AES).
7. Describe the Cryptographic Modes of Block Ciphers.
8. Describe a number of cryptographic attacks on block ciphers

B. Halak, ECS, Southampton University

## Quantifying Information

- Shannon (1948) : “a signal that is totally predictable carries no information”
- In essence, the difference in the signal between what is predicted and actual values is a measure of its information content or *entropy*.
- Following Shannon the unit is the bit
- A system contains N-bits of information if it contains  $2^N$  possible characters.



B. Halak, ECS, Southampton University

## Information and Entropy

- Consider an information source with a set of symbols  $a_i$ , where each symbol occurs in the data with probability  $p_i$ :
- The entropy of this information source is:

$$H(X) = - \sum_i p_i \log_2 (1 / p_i)$$

- Information theory **uses entropy as a measure of how much information is encoded in a message.**

1/27/2017

## How much can we compress

The main theorem proved by Shannon says essentially that the minimum number of bits needed to binary code a message is given as follows:

$$B = n \cdot H(X)$$

Where

$n$ : the number of symbols in the message

$H(X)$ : The entropy of the message

1/27/2017

## How much can we compress

- Example:

Let us consider the following message

**A B A E S E D A**

The probability of the character 'A' appearing in this manuscript is 0.375

The probability of the character 'B' appearing in this manuscript is 0.125

The probability of the character 'E' appearing in this manuscript is 0.25

The probability of the character 'D' appearing in this manuscript is 0.125

The probability of the character 'S' appearing in this manuscript is 0.125

The entropy of this message is:

$$H(X) = \sum_i p_i \log_2 (1 / p_i)$$

$$H(X) = 2.15 \text{ Bits}$$

1/27/2017

## How much can we compress

- Example:

According to Shannon the minimum number of bits (B) needed to encode this message is (n\*H) bits, where

n : the number of symbols in the message

H: the message entropy

This means

$$B = 2.15 * 8 = 17.2 \text{ bits}$$

Assuming we are using standard 8-bit ASCII characters to encode this message, we will need: 8\*8= 66 bits

The difference between the 17.2 bits and the 64 bits used to encode the message is redundant data

This where the potential for data compression arises.

1/27/2017

## Quiz

Calculate the information contents of this message:

XXXXXYXXXXXZXXXXXTXXXXXW

B. Halak, ECS, Southampton University

## Quiz

$$H(X) = \sum_i p_i \log_2 (1 / p_i)$$

$$H(X) = 1.121 \text{ Bits}$$

$$B = 1.121 * 20 = 22.43 \text{ bits}$$

B. Halak, ECS, Southampton University

1/27/2017

## Learning Outcomes

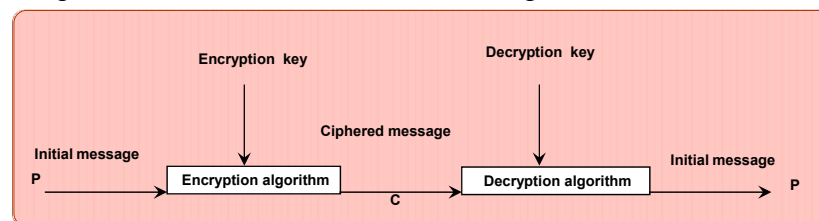
At the end of this unit you should be able to:

1. Discuss the basic principles of discrete probability and information theory
2. Describe the principle of Shannon perfect secrecy
3. Verify the security of traditional ciphers
4. Explain how to construct a secure block cipher.
5. Outline the basic operation principles of 3DES.
6. Encrypt and Decrypt using Advance Encryption Standard Algorithm(AES).
7. Describe the Cryptographic Modes of Block Ciphers.
8. Describe a number of cryptographic attacks on block ciphers

B. Halak, ECS, Southampton University

## Cryptography: Main Concepts

The general scheme of symmetric enciphering



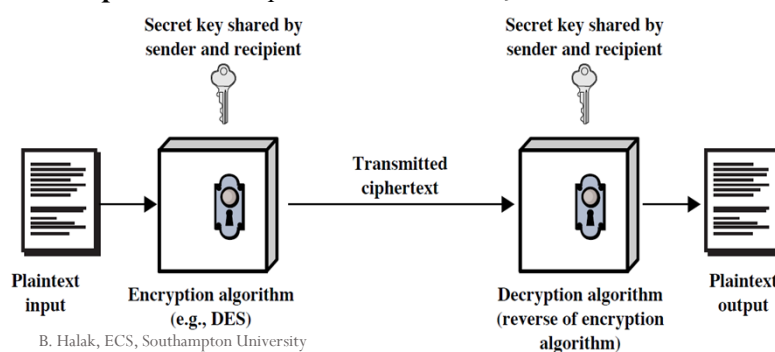
The encryption algorithm uses encryption key to transform a **plaintext** into a **ciphertext**.

The decryption algorithm uses decryption key to transform a **ciphertext** into a **plaintext**

B. Halak, ECS, Southampton University

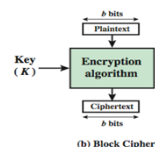
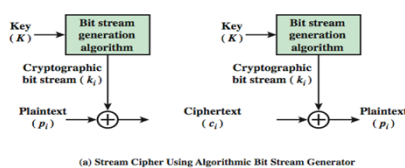
## Cryptography: Main Concepts

- **Shared Key Systems :** Both sender and receiver use the same key which must remain private. These systems are also called **symmetric**
- **Examples:** DES, Triple-DES, Twofish, Rijndael



## Stream Ciphers vs. Block Ciphers

- **Block ciphers:** in which a block of plaintext is treated as a whole and used to produce a ciphertext block of equal length. Typically, a block size of 128 bits or more is used. For Examples: AES.
- **Stream cipher:** in which the plaintext is encrypted/decrypted one bit or one byte at a time. For Examples includes, XOR cipher, RC4 A5/1, A5/2,



Stream Ciphers vs. Block Ciphers

## Cryptography: Main Concepts

### Problems with Shared Key Systems

1. Compromised key means interceptors can decrypt any ciphertext they have acquired. Keys can be changed frequently to limit damage.
2. Distribution of keys is problematic: keys must be transmitted securely e.g. distribute key in pieces over separate channels.

B. Halak, ECS, Southampton University

## Cryptography: Main Concepts

One very important principle in cryptography is **Kirchhoff's Principle**, which was documented in his book in (1883). Kirchhoff stated

"the cipher must not depend on the secrecy of the mechanism, it must not matter if it falls into the hands of the enemy."



B. Halak, ECS, Southampton University



## Cryptography: Main Concepts

- **What parts of a cryptosystem must be kept secret?**

1. Encryption Algorithm
2. Decryption Algorithm
3. Keys
4. Ciphertext

B. Halak, ECS, Southampton University

## Cryptography: Main Concepts

- **Symmetric Cipher Definition:** A cipher is defined over  $(K, M, C)$  is a pair of algorithms  $(E, D)$  where:

$$E : K \times M \longrightarrow C$$

$$D : K \times C \longrightarrow M$$

Such that: E and D satisfy the following consistency equation:

$$\forall m \in M, k \in K : D(k, E(k, m)) = m$$

B. Halak, ECS, Southampton University

## Cryptography: Main Concepts

- **What is a secure cipher?**

Shannon (1949) basic idea : ciphertext reveals no info about plain text

- **Shannon Perfect Secrecy:**

A cipher (E, D) over (K, M, C) has perfect secrecy if:

$$\forall m_1, m_2 \in M, \quad (\text{length}(m_1) = \text{length}(m_2)) \text{ and } \forall c \in C$$

$$\Pr[E(k, m_1) = c] = \Pr[E(k, m_2) = c]$$

Where k is uniform in K ( $k \xleftarrow{R} K$ )

B. Halak, ECS, Southampton University

## Cryptography: Main Concepts

- **Shannon Perfect Secrecy: A cipher (E, D) over (K, M, C) has perfect secrecy if:**

$$\forall m_1, m_2 \in M, \quad (\text{length}(m_1) = \text{length}(m_2)) \text{ and } \forall c \in C$$

$$\Pr[E(k, m_1) = c] = \Pr[E(k, m_2) = c] \text{ where } (k \xleftarrow{R} K)$$

- This means given CT , I wont be able to deduce whether the message is m1 or m2 or any other m, therefore the most powerful attacker can learn nothing about the plaintext from the cipher text. This means **there is no cipher text only attack** (but other attacks may be possible).

B. Halak, ECS, Southampton University

## Learning Outcomes

At the end of this unit you should be able to:

1. Discuss the basic principles of discrete probability and information theory
2. Describe the principle of Shannon perfect secrecy
3. **Verify the security of traditional ciphers**
4. Explain how to construct a secure block cipher.
5. Outline the basic operation principles of 3DES.
6. Encrypt and Decrypt using Advance Encryption Standard Algorithm(AES).
7. Describe the Cryptographic Modes of Block Ciphers.
8. Describe a number of cryptographic attacks on block ciphers

B. Halak, ECS, Southampton University

## Cryptography: Main Concepts

### • Shift Cipher Review:

1. Mathematically, map the message letters to numbers  
 $a, b, c, \dots, x, y, z$   
 $0, 1, 2, \dots, 23, 24, 25$
2. Encrypt by shifting each letter in the message by  $K$  positions ( $k$  is the key)  

$$c = E_k(p) = (p + k) \bmod 26$$
3. Example:  $m = \text{"HELLO"}; k = 1; c = \text{"IFMMP"}$

B. Halak, ECS, Southampton University

## Cryptography: Main Concepts

- **Does Shift Cipher satisfies Shannon perfect secrecy for messages with a length  $\geq 2$  ?**

Take  $m_1 = \text{"AC"} , m_2 = \text{"AZ"} ,$  and  $c = \text{"BD"} .$

Now assuming all keys have the same probability:

$$\Pr[E(k, m_1) = c] = \frac{1}{26}$$

However, for all  $k \in K$  we have  $E(k, m_2) \neq c$ , and hence

$$\Pr[E(k, m_2) = c] = 0$$

and so the perfect secrecy requirement is violated, and the shift cipher is prone to cipher text only attack

- **Exercise: Prove that for a one-letter long message , shift cipher is immune to ciphertext only attack**

B. Halak, ECS, Southampton University

## Cryptography: Main Concepts

- **One Time Pad Review**

**OTP is defined over the following sets:**

$$M = C = \{0,1\}^n$$

$$K = \{0,1\}^n$$

**Encryption:**

$$c = E(k, m) = k \text{ xor } m$$

**Decryption:**

$$m = D(k, c) = k \text{ xor } c$$

B. Halak, ECS, Southampton University

## Cryptography: Main Concepts

- **Is One Time Pad secure?**

Quiz: Let  $m \in M$  and  $c \in C$ . How many OTP keys map  $m$  to  $c$ ?

- a) None
- b) 1
- c) 2
- d) Depends on  $m$

B. Halak, ECS, Southampton University

## Cryptography: Main Concepts

- **Is One Time Pad secure?**

For OTP is defined over the following sets:

$$M = C = \{0,1\}^n$$

$$K = \{0,1\}^n$$

$\forall m, c$  if  $E(k, m) = c$  this means

$$c = k \text{ xor } m \quad \text{therefore} \quad k = c \text{ xor } m$$

The size of  $\{k \in K : E(k, m) = c\} = 1$

$$\text{Therefore } \forall m, c \quad \Pr[E(k, m) = c] = \frac{|\{k \in K : E(k, m) = c\}|}{|K|} = \frac{1}{|K|}$$

Therefore OTP has perfect secrecy

B. Halak, ECS, Southampton University

## Cryptography: Main Concepts

- The bad news: Shannon has proved later that in order for a cipher to achieve the perfect secrecy than:

$$|\mathcal{K}| \geq |\mathcal{M}|$$

This means the key must be at least as long as the message.

B. Halak, ECS, Southampton University

## Cryptography: Main Concepts

- **Shannon's notion of perfect secrecy is too strong to be useful**
- **Shannon's notion of perfect secrecy is only related to ciphertexts attacks**
- **Solution:** relax this notion from information-theoretic secrecy to *computational* secrecy. While information-theoretic secrecy required that every given a ciphertext, every plaintexts are exactly as likely, the computational secrecy notion will ask only that no *efficient algorithm* can tell, given a ciphertext, and, say, any two messages that could potentially be plaintexts corresponding to this ciphertext, whether one of these messages is more likely than the other to be the actual plaintext. Other possible attacks should also be considered.

B. Halak, ECS, Southampton University

## Cryptography: Main Concepts

- **Shannon Perfect Secrecy:** A cipher  $(E, D)$  over  $(K, M, C)$  has perfect secrecy if:

$$\forall m_1, m_2 \in M, (\text{length}(m_1) = \text{length}(m_2)) \text{ and } \forall c \in C$$

$$Pr[E(k, m_1) = c] = Pr[E(k, m_2) = c] \text{ where } (k \xleftarrow{R} K)$$

- **Computational Perfect Secrecy :** A cipher  $(E, D)$  over  $(K, M, C)$  has perfect secrecy if:

$$\forall m_1, m_2 \in M, (\text{length}(m_1) = \text{length}(m_2)) \text{ and } \forall c \in C$$

$$Pr[E(k, m_1) = c] - Pr[E(k, m_2) = c]$$

$$\text{is "negligible" where } (k \xleftarrow{R} K)$$

but also need adversary to exhibit  $m_1, m_2 \in M$  explicitly

- Both notions assume that the attacker can only observe the ciphertexts.

B. Halak, ECS, Southampton University

## Learning Outcomes

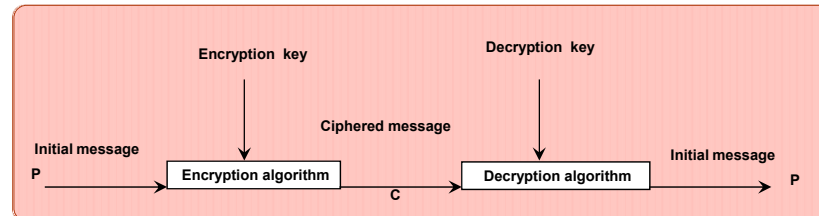
At the end of this unit you should be able to:

1. Discuss the basic principles of discrete probability and information theory
2. Describe the principle of Shannon perfect secrecy
3. Verify the security of traditional ciphers
4. Explain how to construct a secure block cipher.
5. Outline the basic operation principles of 3DES.
6. Encrypt and Decrypt using Advance Encryption Standard Algorithm(AES).
7. Describe the Cryptographic Modes of Block Ciphers.
8. Describe a number of cryptographic attacks on block ciphers

B. Halak, ECS, Southampton University

## Cryptography: Main Concepts

The general scheme of symmetric enciphering



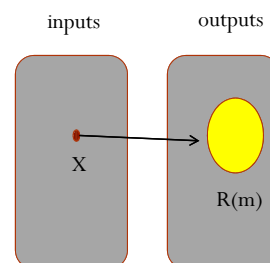
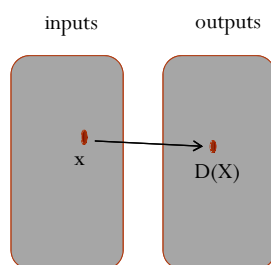
The encryption algorithm uses encryption key to transform a **plaintext** into a **ciphertext**

The decryption algorithm uses decryption key to transform a **ciphertext** into a **plaintext**

B. Halak, ECS, Southampton University

## Deterministic vs Random Functions

- A function **D** is said to be deterministic if it always produces the same output of the input does not change.
- On the other hand a randomized function **R** may produce different outputs for the same inputs





## PRPs and PRFs

- Pseudo Random Function (**PRF**) defined over  $(K, X, Y)$ :

$$F: K \times X \rightarrow Y$$

such that exists “efficient” algorithm to evaluate  $F(k, x)$

- Pseudo Random Permutation (**PRP**) defined over  $(K, X)$ :

$$E: K \times X \rightarrow X$$

such that:

1. Exists “efficient” deterministic algorithm to evaluate  $E(k, x)$
2. The function  $E(k, \cdot)$  is one-to-one
3. Exists “efficient” inversion algorithm  $D(k, y)$

B. Halak, ECS, Southampton University

## PRPs Examples

- Example PRPs: 3DES, AES, ...

$$\text{AES: } K \times X \rightarrow X \quad \text{where} \quad K = X = \{0, 1\}^{128}$$

$$\text{3DES: } K \times X \rightarrow X \quad \text{where} \quad X = \{0, 1\}^{64}, K = \{0, 1\}^{168}$$

- Functionally, any PRP is also a PRF.
  - A PRP is a PRF where  $X=Y$  and is efficiently invertible.

## Semantic Security of PRP(one-time key)

- **Definition:** E is **semantically secure** if for all “efficient” A  $Adv[A, E]$  is negligible. Where:

$$Adv[A, E] = |EXP_A[E(k, m_1)] - EXP_A[E(k, m_2)]|$$

for all explicit  $m_0, m_1 \in M$  ( $length(m_1) = length(m_2)$ )

EXP the experiment that an adversary performs on encrypted messages.

**In other words: the attacker cannot distinguish between encrypted messages.**

## Secure PRPs

**Quiz:** Let  $P: K \times X \rightarrow \{0,1\}^8$  be a secure PRP.

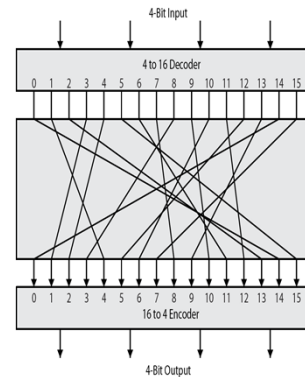
Is the following Y a semantically secure PRP?

$$Y(k, x) = \begin{cases} 001000101 & \text{if } x=0 \\ P(k, x) & \text{otherwise} \end{cases}$$

- No, it is easy to distinguish Y from a random permutation
- Yes, an attack on Y would also break P
- It depends on P

## How to construct an ideal Block Cipher

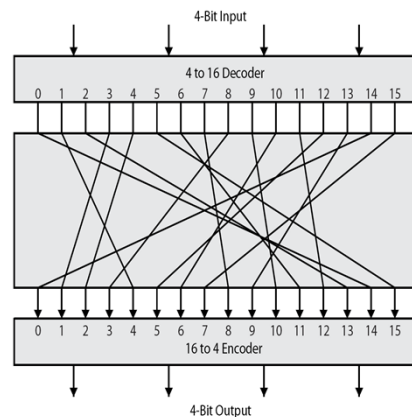
- One solution is to use a truly random permutation
- Each block may be viewed as a **gigantic character**. The “alphabet” consists of  $2^N$  gigantic characters.
- **Exercise:** Assume  $N=4$ , how many possible mapping exists between the input and the output? If the secret key indicate which mapping to use, how many bits do we need to represent this key ?



B. Halak, ECS, Southampton University

## Principles of Modern Block Cipher

- Each particular cipher is a one-to-one mapping from the plaintext “alphabet” to the ciphertext “alphabet”.
- There are  $2^N!$  such mappings.
- A secret key indicates which mapping to use.

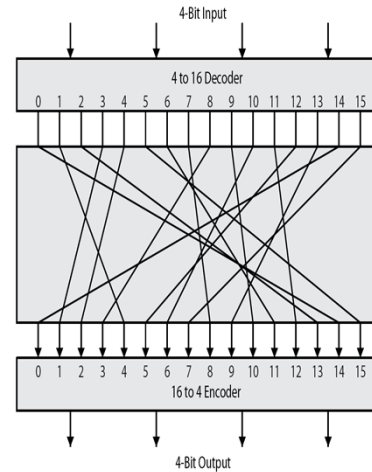


B. Halak, ECS, Southampton University

## Ideal Block Cipher

- An **ideal** block cipher would allow us to use any of these  $2^N!$  mappings.
  - The key space would be extremely large.
- But this would require a key of  $\log_2(2^N!)$  bits.
- If  $N = 64$ ,  
 $\log_2(2^N!) \approx N \times 2^N \approx 10^{21}$  bits  $\approx 10^{11}$  GB.
- Infeasible!

B. Halak, ECS, Southampton University



## Learning Outcomes

At the end of this unit you should be able to:

1. Discuss the basic principles of discrete probability and information theory
2. Describe the principle of Shannon perfect secrecy
3. Verify the security of traditional ciphers
4. Explain how to construct a secure block cipher.
5. Outline the basic operation principles of 3DES.
6. Encrypt and Decrypt using Advance Encryption Standard Algorithm(AES).
7. Describe the Cryptographic Modes of Block Ciphers.
8. Describe a number of cryptographic attacks on block ciphers

B. Halak, ECS, Southampton University

## Shannon's Confusion and Diffusion

- A cipher needs to completely obscure statistical properties of original message.
- Claude Shannon suggested using **both Substitution and Permutation** blocks to thwart cryptanalysis based on statistical analysis. He introduced two concepts:
  1. **diffusion** –dissipates the redundancy of the plaintext by spreading it out over the cipher text. One of the easiest technique to achieve this is permutation
  2. **confusion** : Obscures the relationship between ciphertext and the plaintext in order to hide any statistical patterns. One of the easiest technique to achieve this is substitution

B. Halak, ECS, Southampton University

## Data Encryption Standard Algorithm

In 1971, IBM developed an algorithm, named **LUCIFER** which operates on a block of 64 bits, using a 128-bit key



1973: NBS asks for block cipher proposals. IBM submits variant of Lucifer.



1976: NBS adopts DES as a federal standard key-length = 56 bits ; block-length = 64 bits



1997: DES broken by exhaustive search



2000: NIST adopts Rijndael as AES to replace DES

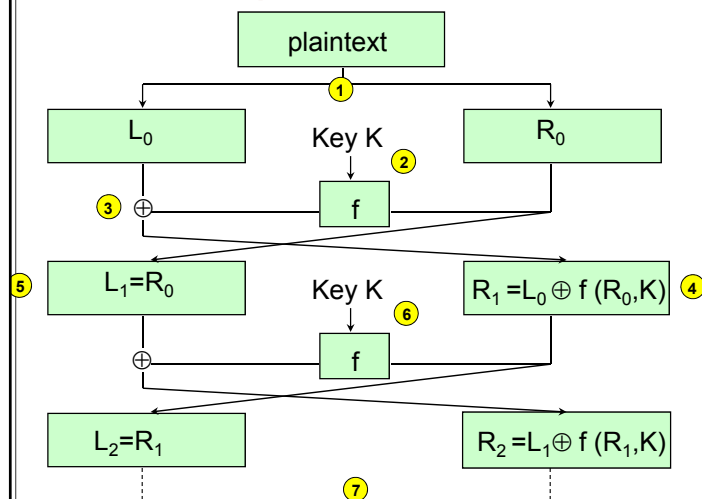
B. Halak, ECS, Southampton University

## DES Core Idea (Feistel Structure)

- Horst **Feistel** proposed an approach in order **to avoid the complexity problem of ideal block ciphers**. His approach is based on Shannon's diffusion and confusion.
- Feistel's approach is based on the concept of “invertible product cipher” whereby **the execution of two or more simple ciphers in sequence** leads to a much more cryptographically secure solution than any of the component ciphers used. He employed the two primitive cryptographic operations: **substitution and permutation**.

B. Halak, ECS, Southampton University

## Feistel Cipher Structure



B. Halak, ECS, Southampton University

$$R_i = F_i(R_{i-1}) + L_{i-1}$$

$$L_i = R_{i-1}$$

## Feistel Cipher Structure

**Claim:** for all  $f_1, \dots, f_d: \{0,1\}^n \rightarrow \{0,1\}^n$   
**Feistel network**  $F: \{0,1\}^{2n} \rightarrow \{0,1\}^{2n}$  is invertible  
 In other word prove that given  $R_i, L_i$ ,  
 you can find  $R_{i-1}, L_{i-1}$

Proof: we have:

$$R_i = F_i(R_{i-1}, k) + L_{i-1}$$

$$L_i = R_{i-1}$$

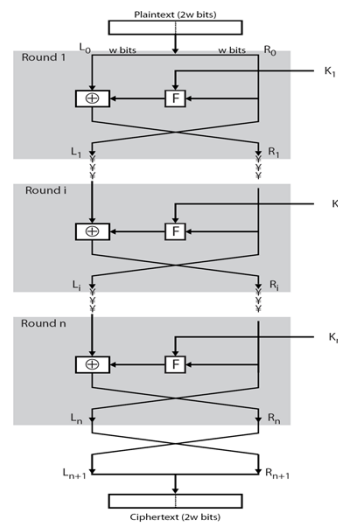
This means :  $R_{i-1} = L_i$   
 $L_{i-1} = ?$

B. Halak, ECS, Southampton University

## The Feistel Cipher Structure

### Operation Principles(1):

The inputs to the algorithm are the plaintext (of length  $2w$  bits) and a key  $K$ . The plaintext is split into two halves  $L$  and  $R$ , and the data is then passed through  $n$  "rounds" of processing and then recombined to produce the ciphertext.

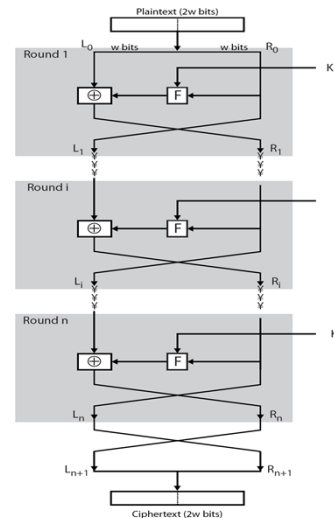


B. Halak, ECS, Southampton University

## The Feistel Cipher Structure

### Operation Principles(2):

Each round has the same structure. The left half of the data has a substitution performed. This requires a “round function”  $F$  to be performed on the right half of the data and then XORd with the left half. Finally a permutation is performed that requires the interchange of the two halves of the data.



B. Halak, ECS, Southampton University

## The implementation of a Feistel Cipher

The implementation of a Feistel Cipher has the following key parameters:

1. **Block size:** A larger block size generally means greater security, but reduced speed. 64bit block sizes are very heavily used as being a reasonable trade-off- although AES now uses 128bits
2. **Key Size:** The same trade-off applies as for block size. Generally 64 bits is not now considered adequate and 128 bits is preferred.
3. **Number of rounds:** Each round adds additional security. A single round is inadequate, but 16 is considered standard
4. **Subkey generation:** the more complex this algorithm is, the more secure the overall system will be.
5. **Round function:** Greater complexity again means greater resistance to cryptanalysis.

B. Halak, ECS, Southampton University

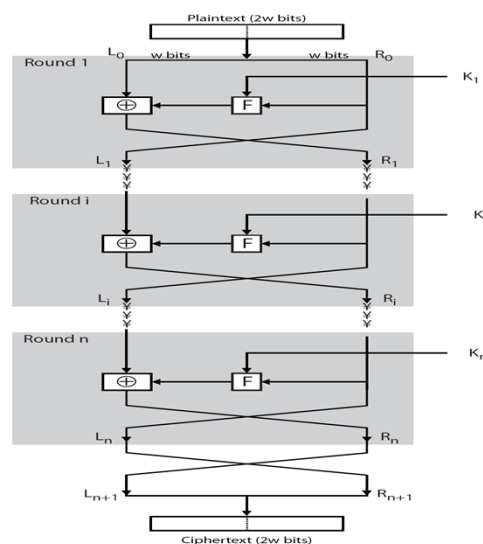


## Data Encryption Standard Algorithm

Parameter	DES specification
Type of design	Feistel Cipher
Number of rounds	16
Block size	64
Length of key	56

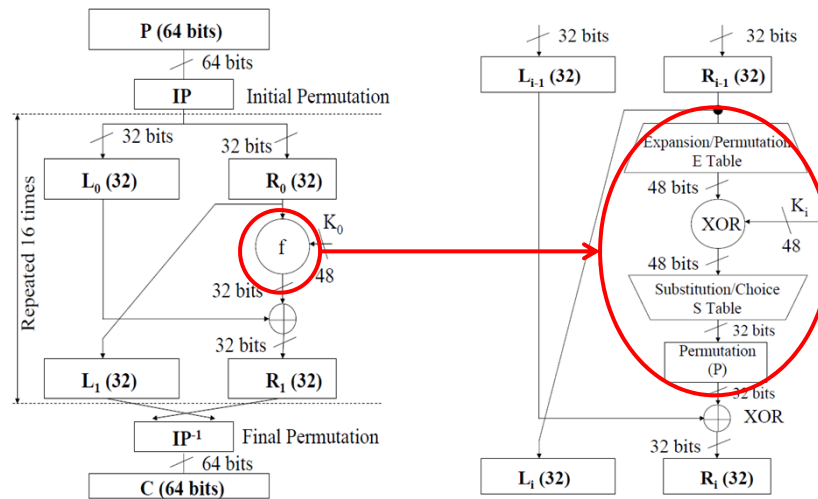
## Data Encryption Standard Algorithm

- What is specific to DES
  1. the design of the F function
  2. how round keys are derived from the main key.



B. Halak, ECS, Southampton University

## DES Coarse Structure



B. Halak, ECS, Southampton University

## The F Function of DES

- The  $L$  and  $R$  each have 32 bits, and the round key  $K$  48 bits.
- The  $F$  function, on input  $R$  and  $K$ , produces 32 bits:

$$F(R, K) = P(S(E(R) \oplus K))$$

where  $E$ : expands 32 bits to 48 bits;

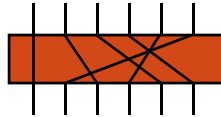
$S$ : shrinks it back to 32 bits;

$P$ : permutes the 32 bits.

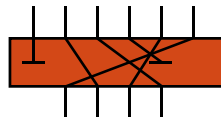
B. Halak, ECS, Southampton University

## DES Fine Structure Blocks

- **permutations:** Fixed known mapping 32-32 bits



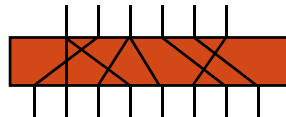
- **Compression Permutation(Permuted Choice):** Fixed known subset of 56 bit input mapped onto 48 bit output



B. Halak, ECS, Southampton University

## DES Fine Structure Blocks

- **Expansion permutation:** 32 bit data shuffled and mapped (both operations fixed and known) onto 48 bits by duplicating 16 input bits. This makes diffusion quicker



- **Substitution:** 48 bits of data are divided into eight blocks of 6 bits. There are Eight S-boxes each map 6 to 4 bits

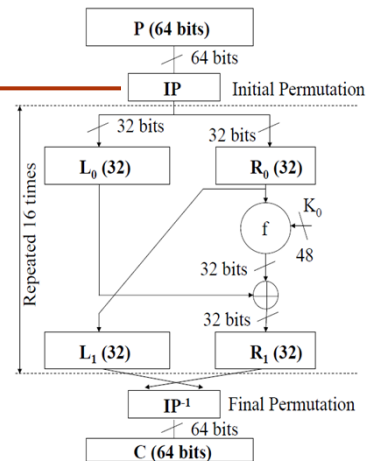
B. Halak, ECS, Southampton University

## Initial Permutation IP

58	50	42	34	26	18	10	2
60	52	44	36	28	20	12	4
62	54	46	38	30	22	14	6
64	56	48	40	32	24	16	8
57	49	41	33	25	17	9	1
59	51	43	35	27	19	11	3
61	53	45	37	29	21	13	5
63	55	47	39	31	23	15	7

This table specifies the input permutation on a 64-bit block. The meaning is as follows: the first bit of the output is taken from the 58th bit of the input; the second bit from the 50th bit, and so on, with the last bit of the output taken from the 7th bit of the input.

B. Halak, ECS, Southampton University

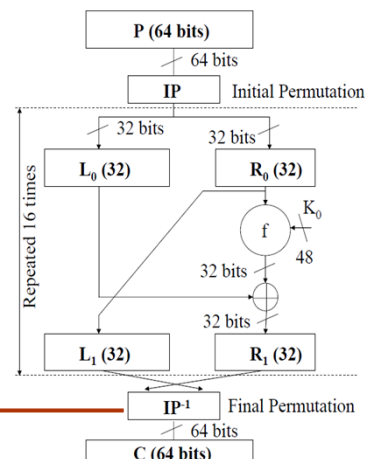


## Inverse Permutation IP

40	8	48	16	56	24	64	32
39	7	47	15	55	23	63	31
38	6	46	14	54	22	62	30
37	5	45	13	53	21	61	29
36	4	44	12	52	20	60	28
35	3	43	11	51	19	59	27
34	2	42	10	50	18	58	26
33	1	41	9	49	17	57	25

Note:  $IP(IP^{-1}) = IP^{-1}(IP) = I$

B. Halak, ECS, Southampton University

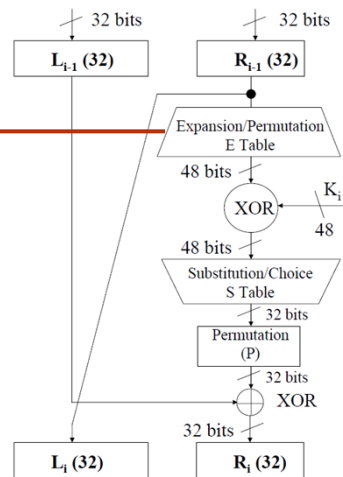


## The F Function Expansion function (E)

32	1	2	3	4	5
4	5	6	7	8	9
8	9	10	11	12	13
12	13	14	15	16	17
16	17	18	19	20	21
20	21	22	23	24	25
24	25	26	27	28	29
28	29	30	31	32	1

Note that some bits from the input are duplicated at the output, therefore the 32-bit half-block is expanded to 48 bits.

B. Halak, ECS, Southampton University

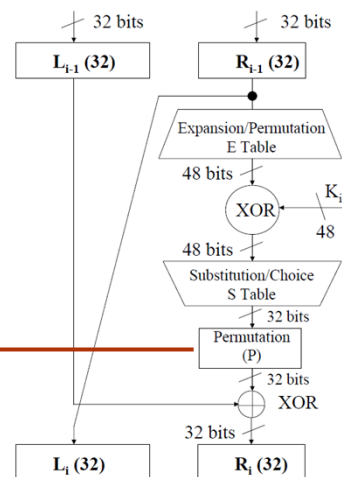


## The F Function Permutation P

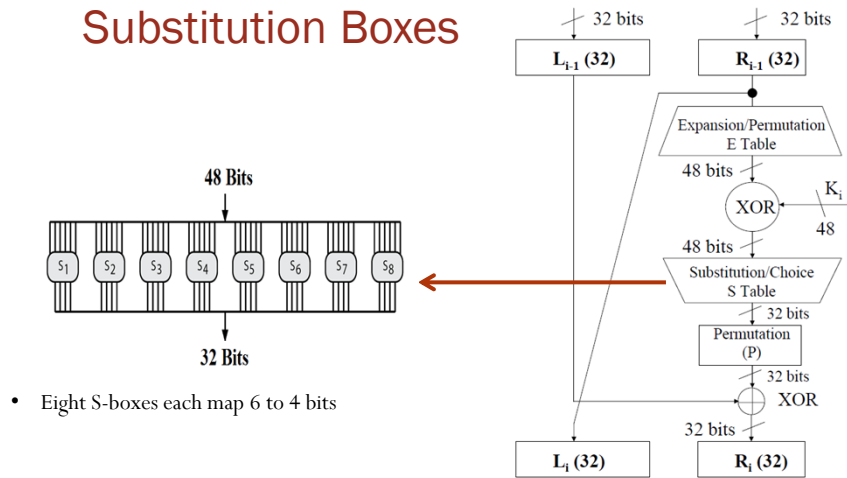
16	7	20	21
29	12	28	17
1	15	23	26
5	18	31	10
2	8	24	14
32	27	3	9
19	13	30	6
22	11	4	25

The P permutation shuffles the bits of a 32-bit half-block

B. Halak, ECS, Southampton University



## The F Function Substitution Boxes



$$S_i: \{0,1\}^6 \rightarrow \{0,1\}^4$$

B. Halak, ECS, Southampton University

## The F Function Substitution Boxes

	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
0	14	4	13	1	2	15	11	8	3	10	6	12	5	9	0	7
1	0	15	7	4	14	2	13	1	10	6	12	11	6	5	3	8
2	4	1	14	8	13	6	2	11	15	12	9	7	3	10	5	0
3	15	12	8	2	4	9	1	7	5	11	3	14	10	0	6	13

- Each S-box is specified as a 4 x 16 table
  - each row is a permutation of 0-15
  - outer bits 1 & 6 of input are used to select one of the four rows
  - inner 4 bits of input are used to select a column
- All the eight boxes are different.
- For example,  $S_1(101010) = 6 = 0110$ .

B. Halak, ECS, Southampton University

## The F Function a bad S-box choice

If the S function is linear , then the entire DES cipher may become linear :  
this means there exists a fixed binary matrix such that:

$$\text{DES}(k, m) = \begin{matrix} & 832 \\ 64 & \boxed{A} \end{matrix} \cdot \begin{matrix} m \\ k_1 \\ k_2 \\ \vdots \\ k_{16} \end{matrix} \begin{matrix} 832 \\ \boxed{c} \end{matrix} \pmod{2}$$

Given enough pairs of  $\{c, m\}$ , it is easy to calculate the secret key.

## The F Function S-boxes and P-box choice

- Choosing the S-boxes and P-box at random would result in an insecure block cipher as they may be close to linear functions which will allow key recovery after  $\approx 2^{24}$  outputs) using one of the cryptanalysis techniques.
- **Therefore , a number of rules have been set for the choice of S-boxes**
  1. No output bit should be close to a linear function of the input bits.....

## DES Design Controversy

Issues	Criticisms
<b>Secret design criteria</b>	Design criteria of round function and key schedules were secret. (although actual design public). However, Fear of trapdoors has proved baseless
<b>Weak keys</b>	Certain DES keys are <b>weak</b> . (encryption and decryption has same effect)  Few such keys and their use easily avoided.
<b>Inadequate key length</b>	56 bits an inadequate key length.  Criticized even in 1975  Unsubstantiated claims that NSA insisted on the “small” key length.

## Strengths of DES

- **Avalanche effect:**
  - A small change in the plaintext or in the key results in a significant change in the ciphertext.
  - an evidence of high degree of diffusion and confusion
  - a desirable property of any encryption algorithm
- **DES exhibits a strong avalanche effect**
  - Changing 1 bit in the plaintext affects 34 bits in the ciphertext on average.
  - 1-bit change in the key affects 35 bits in the ciphertext on average.
- **Decryption** is performed by exactly the same procedure, except that the keys  $K_1, \dots, K_{16}$  are used in reverse order.



## Attacks on DES

- **Exercise:** Suppose that we have a machine consisting of one million processors, each of which can test one million keys per second. How long is it likely to take before we find a DES key during an exhaustive key search?

B. Halak, ECS, Southampton University

## Brute force Attacks on DES

Year	Technique	Implemented?	(Estimated) Cost in US\$	(Estimated) Search time
1977	Diffie Hellman	No	20 million	20 hours
1993	Wiener	No	1 million	7 hours
1997	Rocke Verser et al.	Yes	Unknown	3 months
1998	Electronic Frontier Foundation [www EFF.org]	Yes DES Cracker machine was capable of testing over 90 billion keys per second	250,000	56 hours
2008	COPACOBANA SciEngines GmbH	Yes (with cheap FPGA's)	10,000	Less than 24 hours

## Multiple Encryption with DES

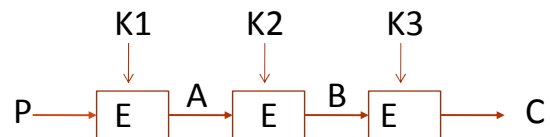
- DES is not secure enough.
- The once large key space,  $2^{56}$ , is now too small.
- In 2001, NIST published the Advanced Encryption Standard (AES) as an alternative.
- But users in commerce and finance are not ready to give up on DES.
- Solution: to use multiple DES with multiple keys

B. Halak, ECS, Southampton University

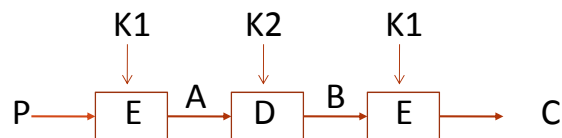
## Triple DES with Two Keys

A straightforward implementation would be:

$$C = E_{K1}(E_{K2}(E_{K3}(P)))$$



- In practice:  $C = E_{K1}(D_{K2}(E_{K1}(P)))$ , **Why?**
- Also referred to as EDE encryption



B. Halak, ECS, Southampton University

## Double-DES

- Consider 2-DES with two keys:  

$$C = E_{K2}(E_{K1}(P))$$
- Decryption:  $P = D_{K1}(D_{K2}(C))$
- Key length:  $56 \times 2 = 112$  bits
- This should have thwarted brute-force attacks?
- Wrong!

B. Halak, ECS, Southampton University

## Meet-in-the-Middle Attack on 2DES

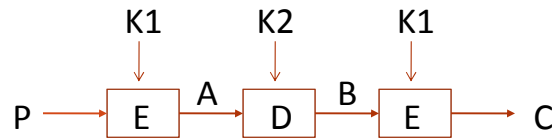
- 2-DES:  $C = E_{K2}(E_{K1}(P))$



- Given a known pair  $(P, C)$ , attack as follows:
  - Encrypt  $P$  with all  $2^{56}$  possible keys for  $K1$ .
  - Decrypt  $C$  with all  $2^{56}$  possible keys for  $K2$ .
  - If  $E_{K1'}(P) = D_{K2'}(C)$ , try the keys on another  $(P', C')$ .
  - If works,  $(K1', K2') = (K1, K2)$  with high probability.
  - Takes  $O(2^{57})$  steps; not much more than attacking 1-DES.

B. Halak, ECS, Southampton University

## Meet-in-the-Middle Attack on 3DES



1. For each possible key for  $K1$ , encrypt  $P$  to produce a possible value for  $A$ .
2. Using this  $A$ , and  $C$ , attack the 2DES to obtain a pair of keys ( $K2$ ,  $K1'$ ).
3. If  $K1' = K1$ , try the key pair ( $K1$ ,  $K2$ ) on another ( $C'$ ,  $P'$ ).
4. If it works, ( $K1$ ,  $K2$ ) is the key pair with high probability.
5. It takes  $O(2^{55} \times 2^{56}) = O(2^{111})$  steps on average.

B. Halak, ECS, Southampton University

## Learning Outcomes

At the end of this unit you should be able to:

1. Discuss the basic principles of discrete probability and information theory
2. Describe the principle of Shannon perfect secrecy
3. Verify the security of traditional ciphers
4. Explain how to construct a secure block cipher.
5. Outline the basic operation principles of 3DES.
6. **Encrypt and Decrypt using Advance Encryption Standard Algorithm(AES).**
7. Describe the Cryptographic Modes of Block Ciphers.
8. Describe a number of cryptographic attacks on block ciphers

B. Halak, ECS, Southampton University

## Where did AES Come from?

In the 1997, the U.S. National Institute of Standards and Technology (NIST), published a request for information regarding the creation of a new Advanced Encryption Standard (AES) for non-classified government documents. **AES would specify an unclassified, publicly disclosed encryption algorithm(s), available royalty-free, worldwide. The algorithm(s) must implement symmetric key cryptography as a block cipher and (at a minimum) support block sizes of 128-bits and key sizes of 128-, 192-, and 256-bits.**

9 Months later, fifteen different designs were created and submitted from several different countries.

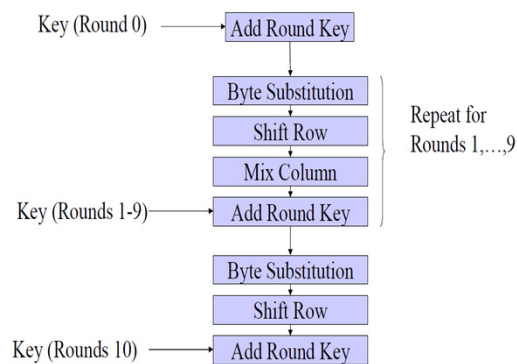
In 1999, NIST announced the final 5: MARS, RC6, Rijndael, Serpent, and Twofish in AES2 conference

In April 2000 at AES3 a representative of each of the final five teams made a presentation arguing why their design should be chosen as the AES.

In October 2, 2000, NIST announced that Rijndael had been selected as the proposed AES

## AES Encryption Algorithm

- AES is based on substitution-permutation network and not on Feistel network.
- Decryption is done by applying the inverse function of each step (i.e. all functions must be invertible).



- t bytes in
- $$\text{State} : \begin{pmatrix} a_{0,0} & a_{0,1} & a_{0,2} & a_{0,3} \\ a_{1,0} & a_{1,1} & a_{1,2} & a_{1,3} \\ a_{2,0} & a_{2,1} & a_{2,2} & a_{2,3} \\ a_{3,0} & a_{3,1} & a_{3,2} & a_{3,3} \end{pmatrix}$$

State

- 
- ```

graph TD
    K0[Key (Round 0)] --> A0[Add Round Key]
    A0 --> B[Byte Substitution]
    B --> S[Shift Row]
    S --> M[Mix Column]
    M --> A1[Add Round Key]
    K1[Key (Rounds 1-9)] --> A1
    A1 --> B2[Byte Substitution]
    B2 --> S2[Shift Row]
    S2 --> A2[Add Round Key]
    K2[Key (Rounds 10)] --> A2
    subgraph Loop [Repeat for Rounds 1,...,9]
        B
        S
        M
        A1
        B2
        S2
    end

```

# AES Algorithm Overview

- $$b_7x^7 + b_6x^6 + b_5x^5 + b_4x^4 + b_3x^3 + b_2x^2 + b_1x + b_0$$

- **Multiplication** is modular using a prime polynomial ( $X^8 + X^4 + X^3 + X + 1$ ) i.e. (100011011). To multiply two elements, their representative polynomials are multiplied then divided by the prime polynomial above. The answer is the remainder of the division

## AES Encryption Algorithm Byte Substitution

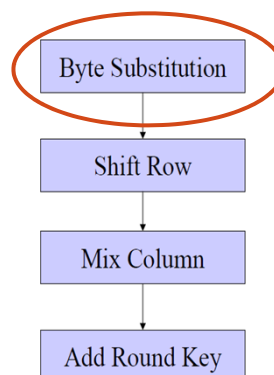
- Each byte  $a$  in the data block in the state matrix is substituted with another byte:  $Sub(a) = Aa^{-1} + b$

- Where:**

$a^{-1}$ : is the multiplicative inverse of  $a$  in  $GF(2^8) \text{ mod } (X^8 + X^4 + X^3 + X + 1)$

$$A = \begin{pmatrix} 10001111 \\ 11000111 \\ 11100011 \\ 11110001 \\ 11111000 \\ 01111100 \\ 00111110 \\ 00011111 \end{pmatrix} \quad b = \begin{pmatrix} 1 \\ 1 \\ 0 \\ 0 \\ 0 \\ 1 \\ 1 \\ 0 \end{pmatrix}$$

(note: zero, which has no inverse, is set to zero).

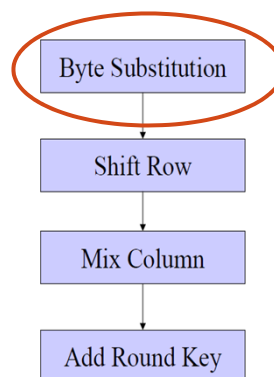


## AES Encryption Algorithm Byte Substitution

- This will generate the following S-box, which is represented here with hexadecimal notation:

|    | 0  | 1  | 2  | 3  | 4  | 5  | 6  | 7  | 8  | 9  | a  | b  | c  | d  | e  | f  |    |
|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 00 | 63 | 7c | 77 | 7b | f2 | 6b | 6f | c5 | 30 | 01 | 67 | 2b | fe | d7 | ab | 76 |    |
| 10 | ca | 82 | c9 | 7d | fa | 59 | 47 | f0 | ad | d4 | a2 | af | 9c | a4 | 72 | c0 |    |
| 20 | b7 | fd | 93 | 26 | 36 | 3f | f7 | cc | 34 | a5 | e5 | f1 | 71 | d8 | 31 | 15 |    |
| 30 | 04 | c7 | 23 | c3 | 18 | 96 | 05 | 9a | 07 | 12 | 80 | e2 | eb | 27 | b2 | 75 |    |
| 40 | 09 | 83 | 2c | 1a | 1b | 6e | 5a | a0 | 52 | 3b | d6 | b3 | 29 | e3 | 2f | 84 |    |
| 50 | 53 | d1 | 00 | ed | 20 | fc | b1 | 5b | 6a | cb | be | 39 | 4a | 4c | 58 | cf |    |
| 60 | d0 | ef | aa | fb | 43 | 4d | 33 | 85 | 45 | f9 | 02 | 7f | 50 | 3c | 9f | a8 |    |
| 70 | 51 | a3 | 40 | 8f | 92 | 9d | 38 | f5 | bc | b6 | da | 21 | 10 | ff | f3 | d2 |    |
| 80 | cd | 0c | 13 | ec | 5f | 97 | 44 | 17 | c4 | a7 | 7e | 3d | 64 | 5d | 19 | 73 |    |
| 90 | 60 | 81 | 4f | dc | 22 | 2a | 90 | 88 | 46 | ee | b8 | 14 | de | 5e | 0b | db |    |
| a0 | e0 | 32 | 3a | 0a | 49 | 06 | 24 | 5c | c2 | d3 | ac | 62 | 91 | 95 | e4 | 79 |    |
| b0 | e7 | c8 | 37 | 6d | 8d | d5 | 4e | a9 | 6c | 56 | f4 | ea | 65 | 7a | ae | 08 |    |
| c0 | ba | 78 | 25 | 2e | 1c | a6 | b4 | c6 | e8 | dd | 74 | 1f | 4b | bd | 8b | 8a |    |
| d0 | 70 | 3e | b5 | 66 | 48 | 03 | f6 | 0e | 61 | 35 | 57 | b9 | 86 | c1 | 1d | 9e |    |
| e0 | 1e | f8 | 98 | 11 | 69 | d9 | 8e | 94 | 9b | 1e | 87 | e9 | ce | 55 | 28 | df |    |
| f0 | 18 | c  | a1 | 89 | 0d | bf | e6 | 42 | 68 | 41 | 99 | 2d | 0f | b0 | 54 | bb | 16 |

- Here the column is determined by the least significant half byte, and the row is determined by the most significant half byte.



## AES Encryption Algorithm Byte Substitution Table

- Example:

a(binary) = **0111 1010**

a(hex) = 7A

**Sub (7A) = DA = 1101 1010**

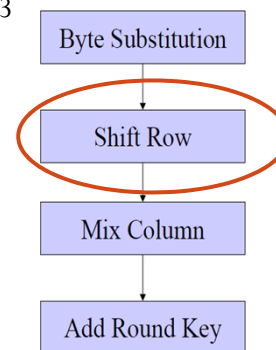
|    | 0  | 1  | 2  | 3  | 4  | 5  | 6  | 7  | 8  | 9  | a  | b  | c  | d  | e  | f  |
|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| 00 | 63 | 7c | 77 | 7b | f2 | 6b | 6f | c5 | 30 | 01 | 67 | 2b | fe | d7 | ab | 76 |
| 10 | ca | 82 | c9 | 7d | fa | 59 | 47 | f0 | ad | d4 | a2 | af | 9c | a4 | 72 | c0 |
| 20 | b7 | fd | 93 | 26 | 36 | 3f | f7 | cc | 34 | a5 | e5 | f1 | 71 | d8 | 31 | 15 |
| 30 | 04 | c7 | 23 | c3 | 18 | 96 | 05 | 9a | 07 | 12 | 80 | e2 | eb | 27 | b2 | 75 |
| 40 | 09 | 83 | 2c | 1a | 1b | 6e | 5a | a0 | 52 | 3b | d6 | b3 | 29 | e3 | 2f | 84 |
| 50 | 53 | d1 | 00 | ed | 20 | fc | b1 | 5b | 6a | cb | be | 39 | 4a | 4c | 58 | cf |
| 60 | d0 | ef | aa | fb | 43 | 4d | 33 | 85 | 45 | f9 | 02 | 7f | 50 | 3c | 9f | a8 |
| 70 | 51 | a3 | 40 | 8f | 92 | 9d | 38 | f5 | bc | bf | da | 21 | 10 | ff | f3 | d2 |
| 80 | cd | 0c | 13 | ec | 5f | 97 | 44 | 17 | c4 | a7 | 7e | 3d | 64 | 5d | 19 | 73 |
| 90 | 60 | 81 | 4f | dc | 22 | 2a | 90 | 88 | 46 | ee | b8 | 14 | de | 5e | 0b | db |
| a0 | e0 | 32 | 3a | 0a | 49 | 06 | 24 | 5c | c2 | d3 | ac | 62 | 91 | 95 | e4 | 79 |
| b0 | e7 | c8 | 37 | 6d | 8d | d5 | 4e | a9 | 6c | 56 | f4 | ea | 65 | 7a | ae | 08 |
| c0 | ba | 78 | 25 | 2e | 1c | a6 | b4 | c6 | e8 | dd | 74 | 1f | 4b | bd | 8b | 8a |
| d0 | 70 | 3e | b5 | 66 | 48 | 03 | f6 | 0e | 61 | 35 | 57 | b9 | 86 | c1 | 1d | 9e |
| e0 | e1 | f8 | 98 | 11 | 69 | d9 | 8e | 94 | 9b | 1e | 87 | e9 | ce | 55 | 28 | df |
| f0 | 8c | a1 | 89 | 0d | bf | e6 | 42 | 68 | 41 | 99 | 2d | 0f | b0 | 54 | bb | 16 |

**Sub (7A) = DA**

## AES Encryption Algorithm Shift Row

- Cyclic shifts to the left with offsets of 0,1,2,3

$$\begin{pmatrix} c_{0,0} & c_{0,1} & c_{0,2} & c_{0,3} \\ c_{1,0} & c_{1,1} & c_{1,2} & c_{1,3} \\ c_{2,0} & c_{2,1} & c_{2,2} & c_{2,3} \\ c_{3,0} & c_{3,1} & c_{3,2} & c_{3,3} \end{pmatrix} = \begin{pmatrix} b_{0,0} & b_{0,1} & b_{0,2} & b_{0,3} \\ b_{1,1} & b_{1,2} & b_{1,3} & b_{1,0} \\ b_{2,2} & b_{2,3} & b_{2,0} & b_{2,1} \\ b_{3,3} & b_{3,0} & b_{3,1} & b_{3,2} \end{pmatrix}$$





## AES Encryption Algorithm Mix Column

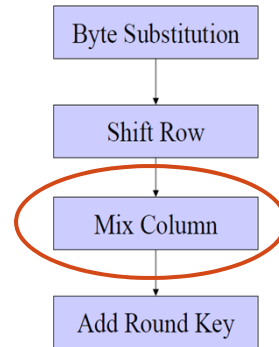
- We need to obtain the matrix d:

$$\begin{pmatrix} d_{0,0} & d_{0,1} & d_{0,2} & d_{0,3} \\ d_{1,0} & d_{1,1} & d_{1,2} & d_{1,3} \\ d_{2,0} & d_{2,1} & d_{2,2} & d_{2,3} \\ d_{3,0} & d_{3,1} & d_{3,2} & d_{3,3} \end{pmatrix} = \begin{pmatrix} '02' & '03' & '01' & '01' \\ '01' & '02' & '03' & '01' \\ '01' & '01' & '02' & '03' \\ '03' & '01' & '01' & '02' \end{pmatrix} * \begin{pmatrix} c_{0,0} & c_{0,1} & c_{0,2} & c_{0,3} \\ c_{1,0} & c_{1,1} & c_{1,2} & c_{1,3} \\ c_{2,0} & c_{2,1} & c_{2,2} & c_{2,3} \\ c_{3,0} & c_{3,1} & c_{3,2} & c_{3,3} \end{pmatrix}$$

- Where:

- '01' = 00000001
- '02' = 00000010
- '03' = 00000011

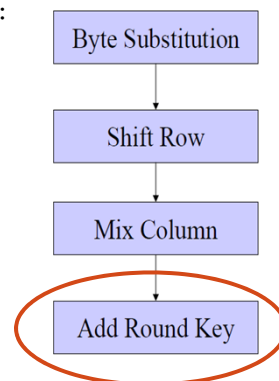
- All multiplications in this case are  $GF(2^8)$   
This transformation is invertible



## AES Encryption Algorithm Add Round Key

- This is the final operation in each round:

$$\begin{pmatrix} e_{0,0} & e_{0,1} & e_{0,2} & e_{0,3} \\ e_{1,0} & e_{1,1} & e_{1,2} & e_{1,3} \\ e_{2,0} & e_{2,1} & e_{2,2} & e_{2,3} \\ e_{3,0} & e_{3,1} & e_{3,2} & e_{3,3} \end{pmatrix} = \begin{pmatrix} d_{0,0} & d_{0,1} & d_{0,2} & d_{0,3} \\ d_{1,0} & d_{1,1} & d_{1,2} & d_{1,3} \\ d_{2,0} & d_{2,1} & d_{2,2} & d_{2,3} \\ d_{3,0} & d_{3,1} & d_{3,2} & d_{3,3} \end{pmatrix} \oplus \begin{pmatrix} k_{0,0} & k_{0,1} & k_{0,2} & k_{0,3} \\ k_{1,0} & k_{1,1} & k_{1,2} & k_{1,3} \\ k_{2,0} & k_{2,1} & k_{2,2} & k_{2,3} \\ k_{3,0} & k_{3,1} & k_{3,2} & k_{3,3} \end{pmatrix}$$



## AES Key Schedule

- Write original key as 4x4 matrix with 4 columns:  $W(0), W(1), W(2), W(3)$ .
- Key for round  $i$  is  $(W(4i), W(4i+1), W(4i+2), W(4i+3))$

$$\underbrace{(w(0) \ w(1) \ w(2) \ w(3))}_{K_0} \underbrace{(w(4) \ w(5) \ w(6) \ w(7))}_{K_1} \dots \dots \dots \underbrace{(w(40) \ w(41) \ w(42) \ w(43))}_{K_{10}}$$

Other columns defined recursively:

$$W(i) = W(i-4) \oplus \begin{cases} T(W(i-1)) & \text{if } i \mid 4 \\ W(i-1) & \text{otherwise} \end{cases}$$

$$W(i) = \begin{pmatrix} a \\ b \\ c \\ d \end{pmatrix} \xrightarrow{\text{Shift and Sbox}} \begin{pmatrix} e \\ f \\ g \\ h \end{pmatrix} \oplus \begin{pmatrix} r(i) \\ 0 \\ 0 \\ 0 \end{pmatrix} = T(W(i))$$

$$r(i) = (00000010)^{(i-4)/4} \text{ in } GF(2^8)$$

Highly non-linear. Resists attacks at finding whole key when part is known

## AES Encryption Algorithm

### The Round Key

- **Original key 128 bits as  $4 \times 4$  matrix of bytes**
- 4 columns  $W(0), W(1), W(2), W(3)$ .
- Adjoin 40 columns  $W(4), \dots, W(43)$ .
- **Round key for round-  $i$  consists of columns:**

$$(W(i), W(i+1), W(i+2), W(i+3)).$$

- **If  $i$  is a multiple of 4,  $W(i) = W(i-4) \oplus T(W(i-1))$**

where  $T$  is a transformation of  $a, b, c, d$  in column  $W(i-1)$ :

1. **Shift cyclically** to get  $b, c, d, a$ .
2. **Replace each byte with S-box** entry using ByteSub, to get  $e, f, g, h$ .
3. Compute round constant  $r(i) = 00000010^{(i-4)/4}$  in  $GF(2^8)$ .
4.  $T(W(i-1)) = (e \oplus r(i), f, g, h)$

- If  $i$  is not a multiple of 4,  $W(i) = W(i-4) \oplus W(i-1)$

## AES Encryption Algorithm Animation

[http://www.cs.bc.edu/~straubin/cs381-05/blockciphers/rijndael\\_ingles2004.swf](http://www.cs.bc.edu/~straubin/cs381-05/blockciphers/rijndael_ingles2004.swf)

## AES Software Implementation Options

| Implementation                                  | Code Size | Performance | Application                                |
|-------------------------------------------------|-----------|-------------|--------------------------------------------|
| Pre-compute round functions (use look up table) | Largest   | Fastest     | High end machines (large powerful Servers) |
| Pre-Compute S-box only                          | Smaller   | Slower      | Desktop machine and tablets                |
| No Pre-Computation                              | Smallest  | Slowest     | Smart Phones                               |

## AES Design Considerations

- Two rounds are sufficient to obtain full diffusion (obtained through substitution, Mix Column, ShiftRow).
- The number of rounds was chosen to be 10 because **there are attacks that are better than brute force up to six rounds.**
- The Key Schedule involves nonlinear mixing of the key bits, designed to resist attacks where the cryptanalyst knows part of the key and tries to deduce the remaining bits. Also, it aims to ensure that two distinct keys do not have a large number of round keys in common.
- AES Full Standard can be found on:

<http://csrc.nist.gov/publications/fips/fips197/fips-197.pdf>

## AES vs. DES

|                         | DES                       | AES                                    |
|-------------------------|---------------------------|----------------------------------------|
| Date                    | 1976                      | 1999                                   |
| Block size              | 64                        | 128                                    |
| Key length              | 56                        | 128, 192, 256                          |
| Number of rounds        | 16                        | 10,12,14                               |
| Encryption primitives   | Substitution, permutation | Substitution, shift, bit mixing        |
| Cryptographic Operators | Confusion, diffusion      | Confusion, diffusion                   |
| Design                  | Open                      | Open                                   |
| Design rationale        | Closed                    | Open                                   |
| Selection process       | Secret                    | Secret, but accept open public comment |
| Source                  | IBM, enhanced by NSA      | Independent cryptographers             |

B. Halak, ECS, Southampton University

## Learning Outcomes

At the end of this unit you should be able to:

1. Discuss the basic principles of discrete probability and information theory
2. Describe the principle of Shannon perfect secrecy
3. Verify the security of traditional ciphers
4. Explain how to construct a secure block cipher.
5. Outline the basic operation principles of 3DES.
6. Encrypt and Decrypt using Advance Encryption Standard Algorithm(AES).
7. Describe the Cryptographic Modes of Block Ciphers.
8. Describe a number of cryptographic attacks on block ciphers

B. Halak, ECS, Southampton University

## How to use a block cipher securely?

- Block ciphers encrypt fixed size blocks
  - E.g. DES encrypts 64-bit blocks
- We need some way to encrypt arbitrary amounts of data
  - E.g. a message of 1000 bytes

B. Halak, ECS, Southampton University

## Recall: Semantic Security of PRP(one-time key)

- **Definition:** E is **semantically secure** if for all “efficient” A  $\text{Adv}[A, E]$  is negligible. Where:

$$\text{Adv}[A, E] = |\text{EXP}_A[E(k, m_1)] - \text{EXP}_A[E(k, m_2)]|$$

for all explicit  $m_0, m_1 \in M$  ( $\text{length}(m_1) = \text{length}(m_2)$ )

EXP the experiment that an adversary performs on encrypted messages.

**In other words: the attacker cannot distinguish between encrypted messages.**

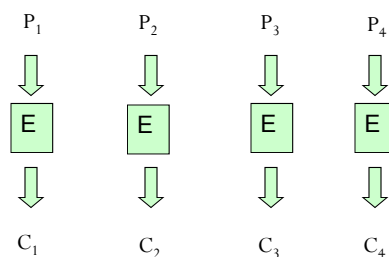
B. Halak, ECS, Southampton University

## How not to use a block cipher?

- The plaintext is broken into blocks,  $P_1, P_2, P_3, \dots$
- Each block is encrypted independently of the other blocks

$$C_i = E_K(P_i)$$

- For a given key, this mode behaves like we have a gigantic codebook, in which each plaintext block has an entry, hence the name **Electronic Code Book**



B. Halak, ECS, Southampton University

## Example:

- Consider the two messages:

$m_1 = \text{"hey hey"}, m_2 = \text{"hey you"}$  which are encrypted using ECB mode:  $E(k, m) = \{c_1, c_2\}$ .

Assume we have an attacker with the following experiment:

$EXP_A[E(k, m)] = \text{if } c_1 = c_2 \text{ output 0, else output 1}$

What is the advantage of the attacker?

$$Adv[A, E] = |EXP_A[E(k, m_1)] - EXP_A[E(k, m_2)]| = ?$$

B. Halak, ECS, Southampton University

## How not to use a block cipher?

- Electronic Code Book Weakness:**

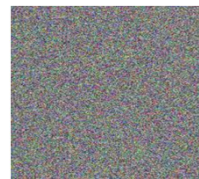
- Repetitive information contained in the plaintext may show in the ciphertext, if aligned with blocks.
- If the same message is encrypted (with the same key) and sent twice, their cipher texts are the same. So an attacker can learn that two encrypted files are the same, two encrypted packets are the same, etc. This may lead to significant attacks when message space  $M$  is small
- You can only use ECB securely if the message length is equal to the data block length (e.g. 128 bit for AES) and you change the key for each message.



(a)



(b)



(c)

Figure1: (a) Original picture (b) ECB Encrypted (c) Encrypted using another method (Wikipedia)

## How to use a block cipher securely without changing the key?

- When the key is used to encrypt many block, the adversary can see many ciphertexts with same key.
- This may make the system prone to attacks such as chosen-plaintext attack (CPA) where in the attacker can obtain the encryption of arbitrary messages of his choice and deduce the secret key (there are many variations of such an attack).
- In both DES and AES one pair of (c, m) is enough to find the key through exhaustive search

B. Halak, ECS, Southampton University

## Semantic Security of PRP(many-time key)

- Definition: E is semantically secure under CPA if for all “efficient” Attackers  $\text{Adv}[A, E]$  is negligible. Where:

$$\text{Adv}[A, E] = |\text{EXP}_A[E(k, m_1)] - \text{EXP}_A[E(k, m_2)]|$$

EXP is an experiment that an adversary performs on encrypted messages, to deduce their values

- $\text{Adv}[A, E]$  represents the attacker ability to distinguish between the encryption of different messages for all explicit  $m_0, m_1 \in M$  ( $\text{length}(m_1) = \text{length}(m_2)$ )
- In other words: An encryption function E can only be semantically secure if the attackers cannot distinguish between encrypted messages, in which case whatever experiment they carry their advantage will be negligible ( $\text{Adv}(A, E) \approx 0$ )
- One way to achieve this is to ensure that the encryption algorithm produce different outputs for the same message, for each encryption round

B. Halak, ECS, Southampton University



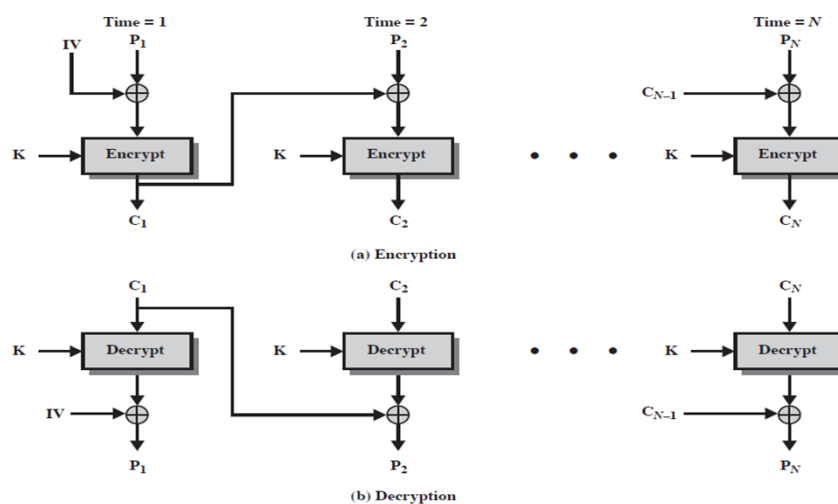
## How to use a block cipher securely?

- If secret key is to be used multiple times than given the same plaintext message twice, encryption must produce different outputs. There are a number of methods which are approved by NIST:
  1. Cipher block chaining mode (CBC)
  2. Counter mode (CTR)
  3. Cipher feedback mode (CFB)
  4. Output feedback mode (OFB)
- For full list of all approved block cipher modes and to submit your own mode:

<http://csrc.nist.gov/groups/ST/toolkit/BCM/index.html>

B. Halak, ECS, Southampton University

## Cipher Block Chaining (CBC)



B. Halak, ECS, Southampton University

## Cipher Block Chaining (CBC)

- The plaintext is broken into blocks:  $P_1, P_2, P_3, \dots$
- Each plaintext block is XORed (chained) with the previous ciphertext block before encryption (hence the name):

$$C_i = E_K(C_{i-1} \oplus P_i)$$

$$C_0 = IV$$

- Use an Initial Vector (IV) to start the process.
- Decryption:  $P_i = C_{i-1} \oplus D_K(C_i)$
- Application: general block-oriented transmission.

B. Halak, ECS, Southampton University

## Remarks on CBC

1. The same key is used for all blocks (prone to CPA).
2. Errors propagate.
3. Initialization Vector (IV) must be known to both the sender & receiver. Typically, IV is either a fixed value or is sent encrypted in ECB mode before the rest of message.
4. Serial processing (slow!)

B. Halak, ECS, Southampton University

## Remarks on CBC

### Theorem:

Assuming  $E$  is a secure encryption over  $(K, X)$ ,  $E_{\text{CBC}}$  is the CBC mode implementation of  $E$ . The upper bound on the advantage of the advantage of the attackers using chosen plain text attacks of  $E_{\text{CBC}}$  is given as follows.

$$\text{Adv}_{\text{CPA}}[A, E_{\text{CBC}}] \leq 2 \cdot \text{Adv}[A, E] + 2q^2 L^2 / |X|$$

For all messages with length  $L > 0$

Where:

$\text{Adv}[A, E]$ : is the upper bound of the advantage of the attackers ( $A$ ) of  $E$

$q$ : the number of messages encrypted using the same encryption key ( $K$ )

$L$ : the length of the max message

$|X|$ : the size of the plaintext set

This means  $E_{\text{CBC}}$  is a semantically secure, under CPA as long as

$$q^2 L^2 \ll |X|$$

B. Halak, ECS, Southampton University

## Remarks on CBC

$$\text{Adv}_{\text{CPA}}[A, E_{\text{CBC}}] \leq 2 \cdot \text{Adv}_{\text{PRP}}[A, E] + 2q^2 L^2 / |X|$$

Suppose we want  $\text{Adv}_{\text{CPA}}[A, E_{\text{CBC}}] \leq 1/2^{40}$  and  $\text{Adv}_{\text{PRP}}[A, E]$  is negligible

This means  $q^2 L^2 / |X| < 1/2^{40}$

- For AES:  $|X| = 2^{128} \Rightarrow q L < 2^{44}$

So, after  $2^{44}$  AES blocks, we must change key

- For 3DES:  $|X| = 2^{64} \Rightarrow q L < 2^{12}$

So, after  $2^{12}$  3DES blocks, we must change key

B. Halak, ECS, Southampton University

## Counter Mode (CTR)

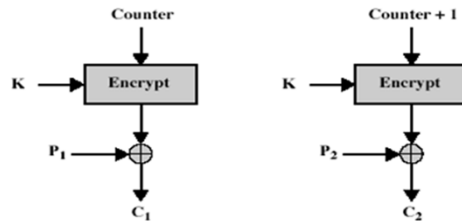
- A counter  $T$  is initialized to some IV and then incremented by 1 for each subsequent plaintext block.

- Encryption:

$$T_1 = IV$$

$$T_i = T_{i-1} + 1$$

$$C_i = P_i \text{ XOR } E_K(T_i)$$



B. Halak, ECS, Southampton University

## Remark on CTR

### Weaknesses:

1. The same key is used for all blocks (prone to CPA).
2. IV should not be reused.

### Strengths:

1. Needs only the encryption algorithm
2. Fast encryption/decryption; blocks can be processed (encrypted or decrypted) in parallel; good for high speed links

B. Halak, ECS, Southampton University

## Remarks on CTR

### Theorem:

Assuming  $E$  is a secure encryption over  $(K, X)$ ,  $E_{CTR}$  is the CTR mode implementation of  $E$ . The upper bound on the advantage of the attackers using chosen plain text attacks of  $E_{CTR}$  is given as follows.

$$\text{Adv}_{CPA}[A, E_{CTR}] \leq 2 \cdot \text{Adv}[A, E] + q^2 L / |X|$$

For all messages with length  $L > 0$

Where:

$\text{Adv}[A, E]$ : is the upper bound of the advantage of the attackers ( $A$ ) of  $E$

$q$ : the number of messages encrypted using the same encryption key ( $K$ )

$L$ : the length of the max message

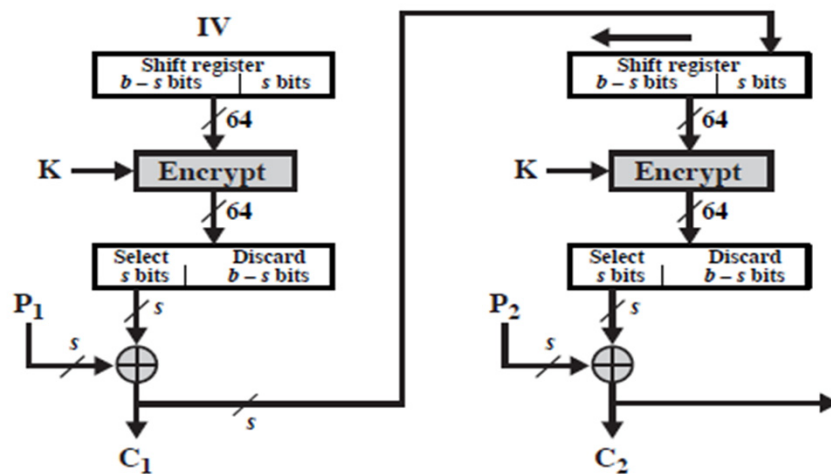
$|X|$ : the size of the plaintext set

This means  $E_{CTR}$  is a semantically secure, under CPA as long as

$$q^2 L \ll |X|$$

B. Halak, ECS, Southampton University

## Cipher Feedback (CFB) Mode Encryption



B. Halak, ECS, Southampton University

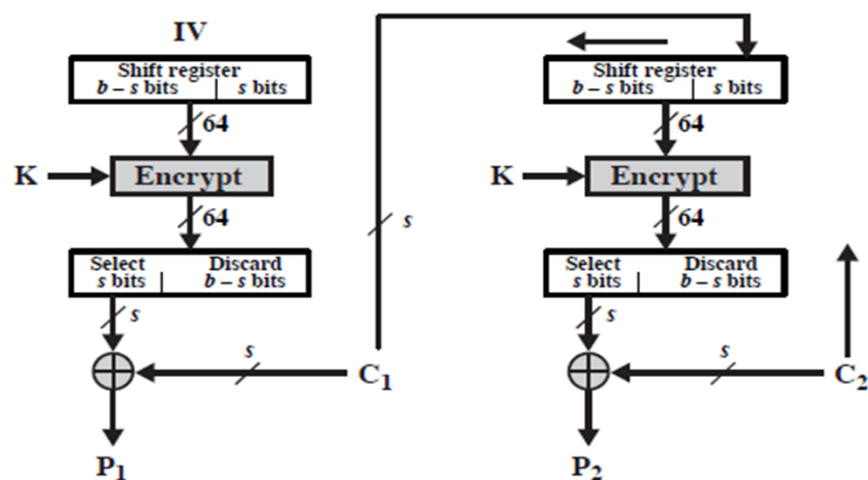
## Cipher feedback mode

- Plaintext blocks:  $p_1, p_2, \dots$
- Key:  $k$
- Basic idea: construct key stream  $k_1, k_2, k_3, \dots$
- Encryption:

$$\begin{cases} c_0 = \text{IV} \\ k_i = E_k(c_{i-1}), \text{ for } i \geq 1 \\ c_i = p_i \oplus k_i, \text{ for } i \geq 1 \end{cases}$$

B. Halak, ECS, Southampton University

## Decryption in CFB Mode

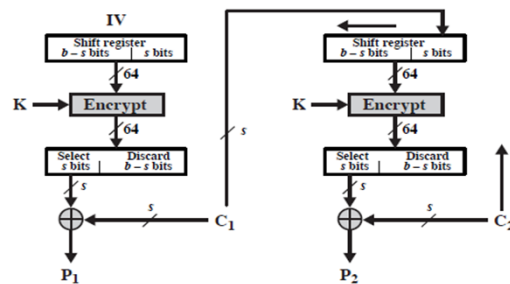


B. Halak, ECS, Southampton University

## Decryption in CFB Mode

- Generate key stream  $K_1, K_2, K_3, K_4, \dots$  the same way as for encryption.
- Then decrypt each ciphertext segment as:

$$P_i = C_i \oplus K_i$$



B. Halak, ECS, Southampton University

## Remark on CFB

- The block cipher is used as a stream cipher.
- Appropriate when data arrives in bits/bytes.
- $s$  can be any value; a common value is  $s = 8$ .
- A ciphertext segment depends on the current and all preceding plaintext segments.
- A corrupted ciphertext segment during transmission will affect the current and next several plaintext segments.

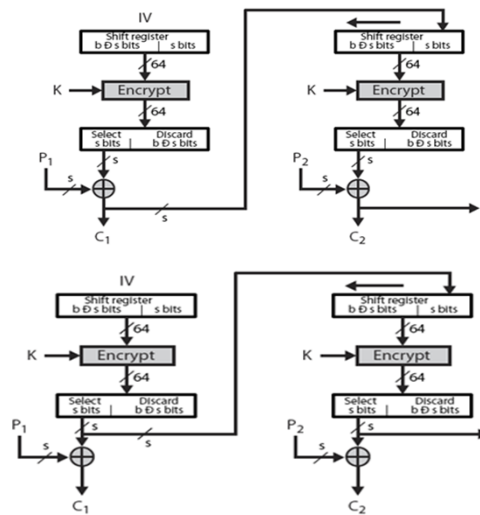
110

B. Halak, ECS, Southampton University

## Output Feedback (OFB) Mode

Cipher Feedback

Output Feedback



B. Halak, ECS, Southampton University

## Learning Outcomes

At the end of this unit you should be able to:

1. Discuss the basic principles of discrete probability and information theory
2. Describe the principle of Shannon perfect secrecy
3. Verify the security of traditional ciphers
4. Explain how to construct a secure block cipher.
5. Outline the basic operation principles of 3DES.
6. Encrypt and Decrypt using Advance Encryption Standard Algorithm(AES).
7. Describe the Cryptographic Modes of Block Ciphers.
8. Describe a number of cryptographic attacks on block ciphers

B. Halak, ECS, Southampton University



## Types of Attacks

The objective of the following attacks is to systematically recover plaintext from ciphertext, or even more drastically, to deduce the decryption key.

B. Halak, ECS, Southampton University

## A ciphertext-only attack

- It is one where the adversary (or cryptanalyst) tries to deduce the decryption key or plaintext by only observing ciphertext. Any encryption scheme vulnerable to this type of attack is considered to be completely insecure (e.g. frequency analysis on a shift cipher).

B. Halak, ECS, Southampton University

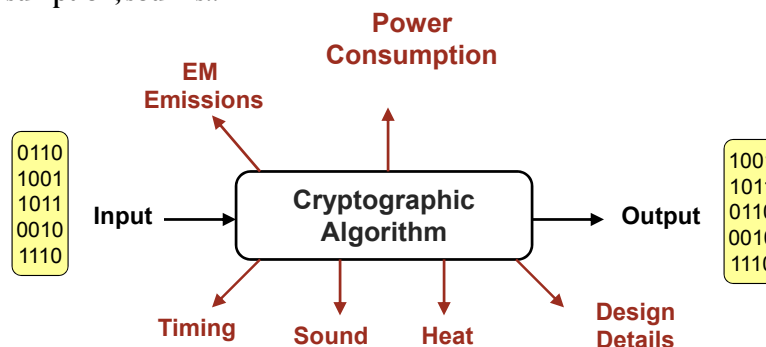
## A known-plaintext attack

- It is one where the adversary has a quantity of plaintext and corresponding ciphertext. This type of attack is typically only marginally more difficult to mount. (e.g. meet in the middle attack on DES)
- Another variation of this is : **chosen-plaintext attack** where the adversary chooses plaintext and is then given corresponding ciphertext. Subsequently, the adversary uses any information deduced in order to recover plaintext corresponding to previously unseen cipher text.

B. Halak, ECS, Southampton University

## Side Channel Attacks

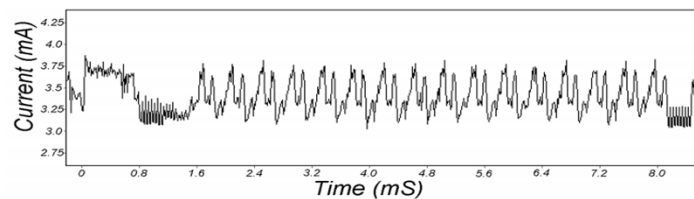
- These are based on the analysis of information leaked from the physical implementation of the system such as electromagnetic radiation, power consumption, sounds..



B. Halak, ECS, Southampton University

## Side Channel Attacks

- **Example:** Power Analysis Attack which exploits the correlation between of power consumption of the cipher hardware and the data being processed.



Power Consumption Trace of DES [Kocher, Jaffe, Jun, 1998]

B. Halak, ECS, Southampton University

## Quantum attacks

- Generic search problem:  
Let  $f: X \rightarrow \{0,1\}$  be a function.  
Goal: find  $x \in X$  s.t.  $f(x)=1$ .
- Classical computer: best generic algorithm time =  $O(|X|)$
- Quantum computer [Grover '96]: time =  $O(|X|^{1/2})$
- Can quantum computers be built: latest attempt(DWave) has failed (see link below):

<http://arstechnica.com/science/2014/01/dwaves-updated-quantum-optimizer-gets-beaten-by-a-classical-computer/>

B. Halak, ECS, Southampton University

## Quantum attacks

Given  $m, c=E(k, m)$  define

$$f(k) = \begin{cases} 1 & \text{if } E(k, m) = c \\ 0 & \text{otherwise} \end{cases}$$

Grover  $\Rightarrow$  quantum computer can find  $k$  in time  $O(|K|^{1/2})$

DES: time  $\approx 2^{28}$  ,      AES-128: time  $\approx 2^{64}$

quantum computer  $\Rightarrow$  256-bits key ciphers (e.g. AES-256)