

AI for IoT and Smart Cities

ISC2 Tutorial

Guillaume MULLER and
Kamal SINGH

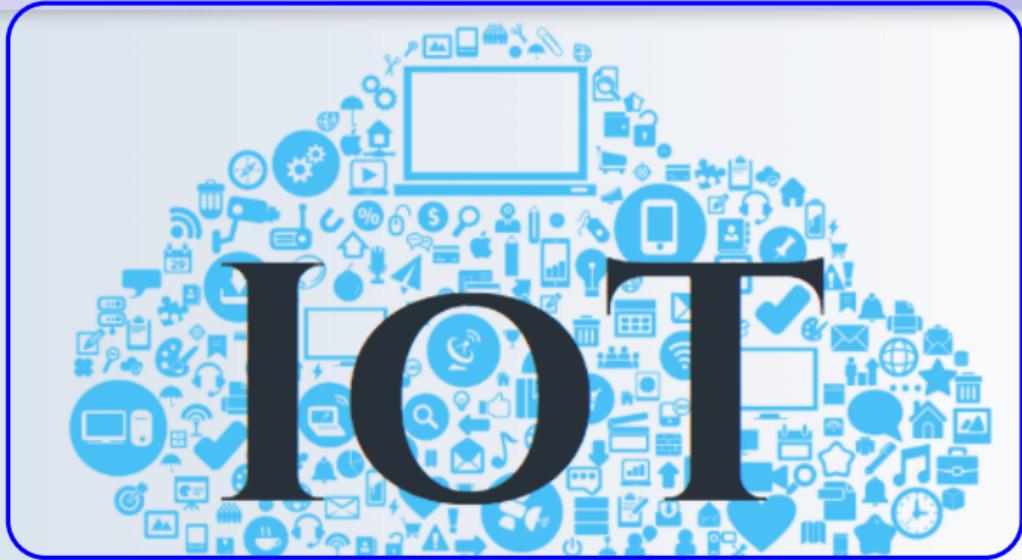
{fname.lname}@univ-st-etienne.fr
Saint-Etienne, France



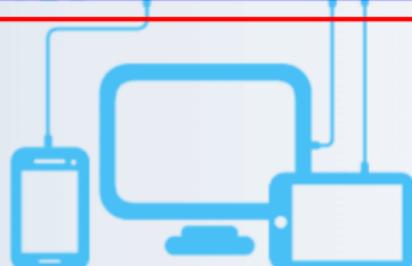
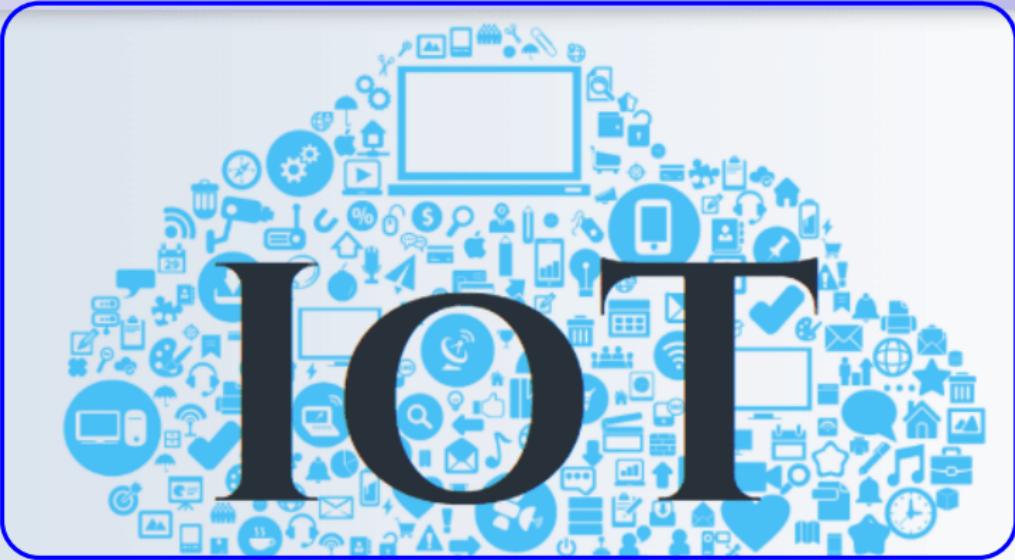
September 7, 2021

- 1 Introduction: AI for IoT and Smart Cities
- 2 Artificial Intelligence / Data Science / Machine Learning
- 3 Federated Learning

- 1 Introduction: AI for IoT and Smart Cities
- 2 Artificial Intelligence / Data Science / Machine Learning
- 3 Federated Learning
 - Introduction to Federated Learning
 - Challenges – Privacy
 - Challenges – Current Research
 - Software Platforms for Federated Learning



Services



Services

Inputs: Sensors

- Means to *collect* Data

Inputs: Sensors

- Means to *collect* Data

Output: Actuators

- Means to *act* on the world

From (Big) Data to Rich Services

Inputs: Sensors

- Means to *collect* Data

Output: Actuators

- Means to *act* on the world

Our Goal: Rich (**Smart**) Applications

(IoT Examples)

Health Care plan operations, detect/predict diseases...

Smart Cities transport, energy saving...

From (Big) Data to Rich Services

Inputs: Sensors

- Means to *collect* Data
- **Big Data**
 - Volume, Velocity
 - Variety, Veracity
 - Value ...

Output: Actuators

- Means to *act* on the world

Our Goal: Rich (**Smart**) Applications

(IoT Examples)

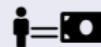
Health Care plan operations, detect/predict diseases...

Smart Cities transport, energy saving...

Smartness: Machine Learning (ML) / Data Science / AI

"Machine" ⇒ Automatic

- 5 Vs
- Less human prior knowledge



Smartness: Machine Learning (ML) / Data Science / AI

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"Learning" ⇒ Adapt

- Distribution drift

Smartness: Machine Learning (ML) / Data Science / AI

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"Learning" ⇒ Adapt

- Distribution drift

ML Goals

- Extract relevant **information** from **raw data**
 - Group/Rank elements, Predict values. . .
- Make relevant **decisions** based on this **information**
 - Take "optimal" decision



Big Data Market

GLOBAL BIG DATA MARKET 2021-2025



Market growth will **ACCELERATE** at a **CAGR** of almost

18%



Incremental growth (\$B)

247.30

The market is **FRAGMENTED** with several players occupying the market



Growth Contributed by
NORTH AMERICA

48%



Growth for **2021**

15.33%

17000+ Reports covering niche topics. Read them at technavi

"Data Scientist = Sexiest Job of 21st Century", HBR, 2012

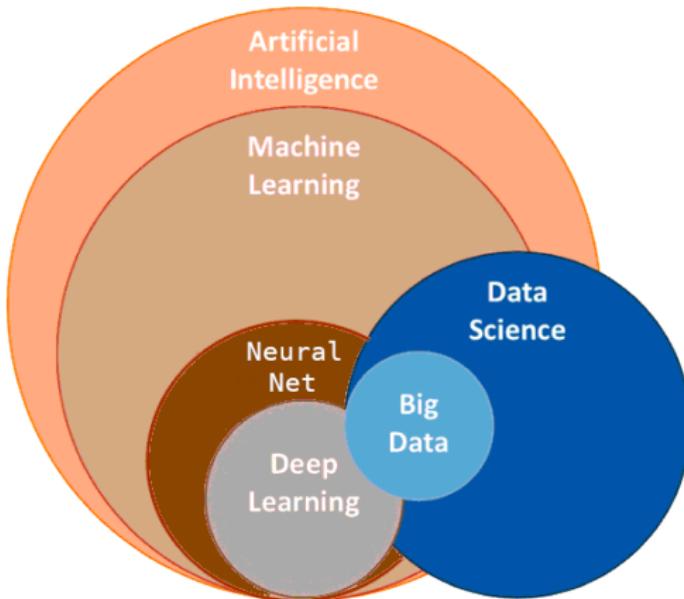
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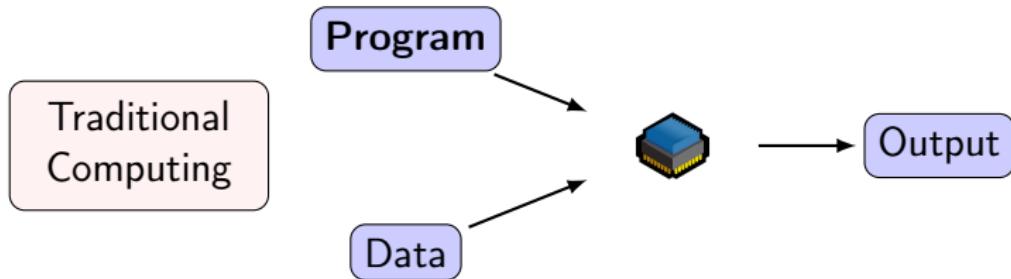
- Introduction to Federated Learning
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Big Data "Technologies"

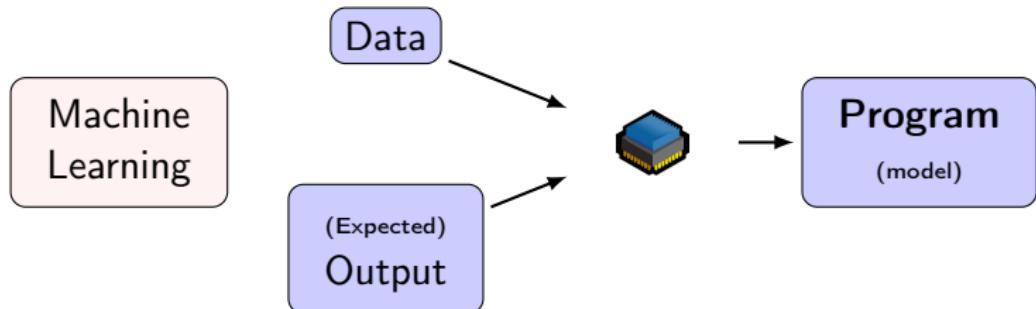
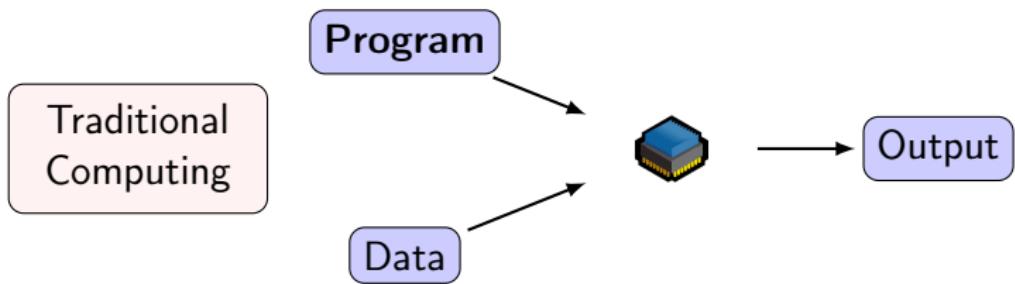


Based on: GMG Group

Machine Learning (vs. Traditional computing)



Machine Learning (vs. Traditional computing)



Machine Learning Example – Next Word Prediction





Machine Learning Process

- Phase 1: Train @server
 - Collect sentences
 - Compute "statistics"



Machine Learning Process

- Phase 1: Train @server
 - Collect sentences
 - Compute "statistics"

Trained Model



Machine Learning Process

- **Phase 1: Train @server**

- Collect sentences
- Compute "statistics"
- Evaluate performance (loss)

Trained Model



Machine Learning Process

- **Phase 1: Train @server**

- Collect sentences
- Compute "statistics"
- Evaluate performance (loss)

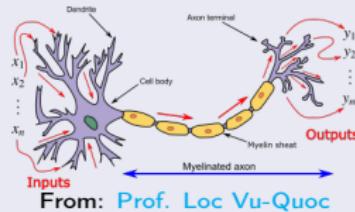
Trained Model

- **Phase 2: Use @phone**

- Get start of a sentence
- Show most probable words

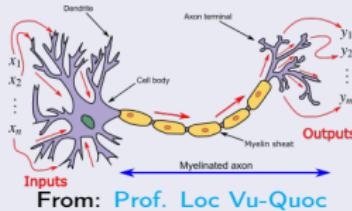
Neural Network (1 type of Machine Learning)

Biological Neuron



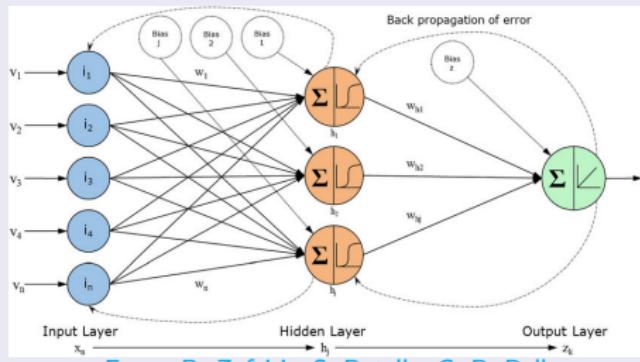
Neural Network (1 type of Machine Learning)

Biological Neuron



From: Prof. Loc Vu-Quoc

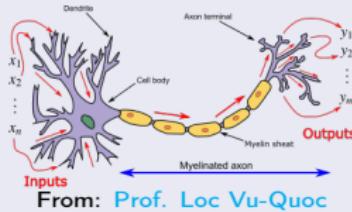
Artificial Neuron (Perceptron, Rosenblatt 1957)



From: D. Zafeiris, S. Rutella, G. R. Ball

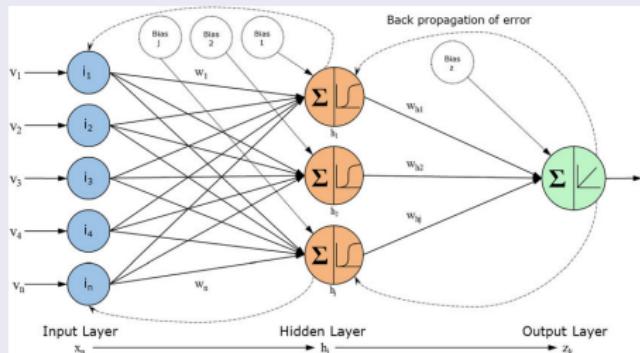
Neural Network (1 type of Machine Learning)

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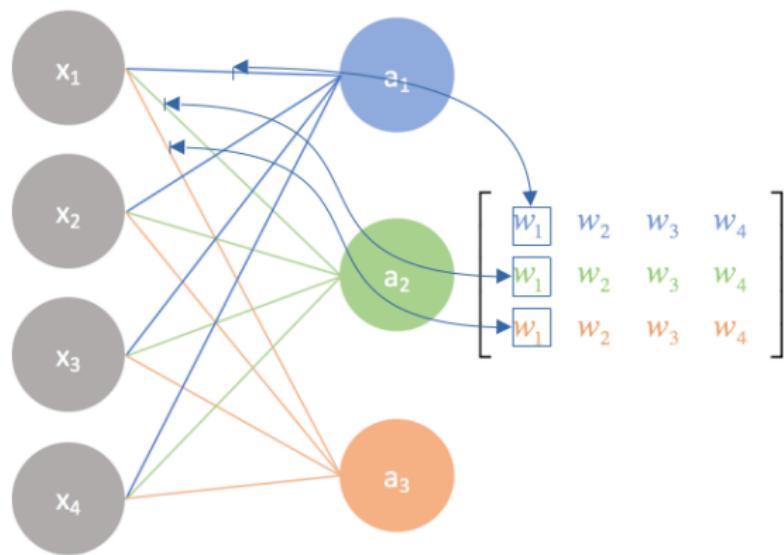
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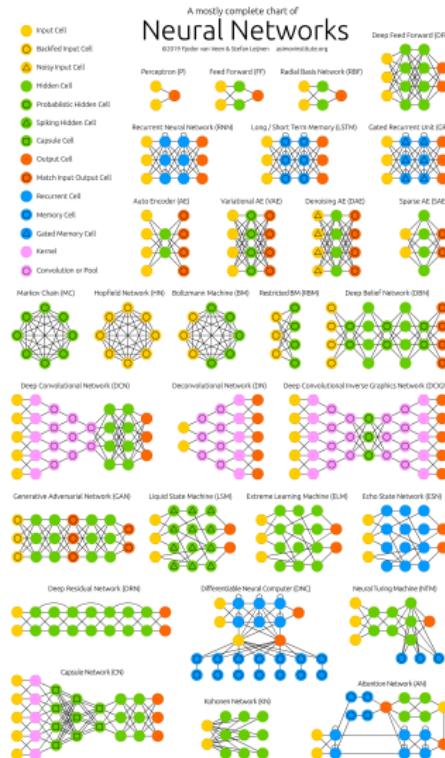
All numbers!

(Artificial) Neural Network as Matrices



From: jeremyjordan.me

(Artificial) Neural Network Zoo



From: [Asimov Institute](#)

Section Outline

Basics

- Feed Forward NN
- Recurrent NN
- Convolutional NN
- Auto-Encoder

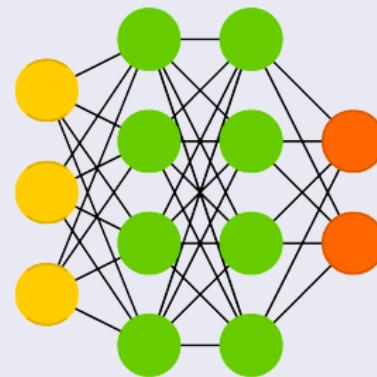
State-of-the-art

- Variational Auto-Encoder
- Generative Adversarial Network
- Attention Network

One/Few-shot training

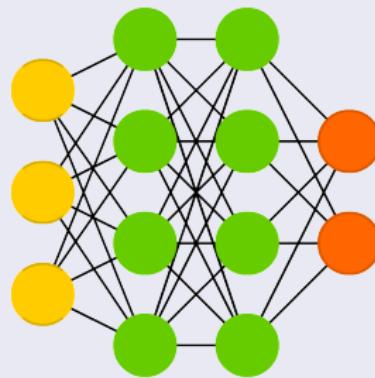
- Siamese Networks

Deep Feed Forward (DFF)



From: [Asimov Institute](#)

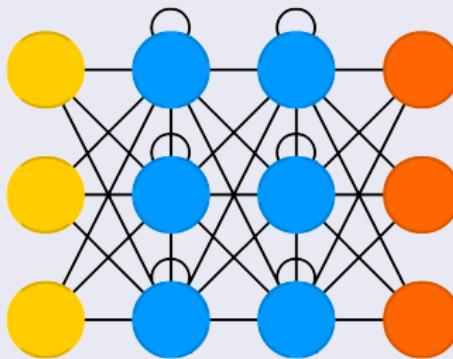
Deep Feed Forward (DFF)



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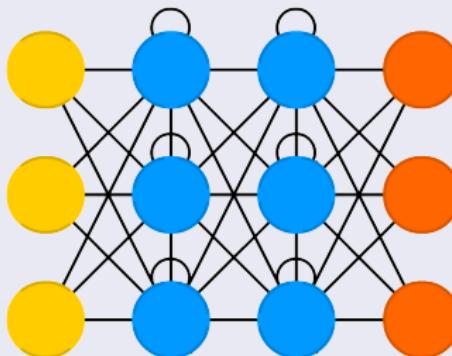
- Feed: Forward
- Train: Backward
 - [Backpropagation](#)
 - Gradient Descent

Recurrent Neural Network



From: [Asimov Institute](#)

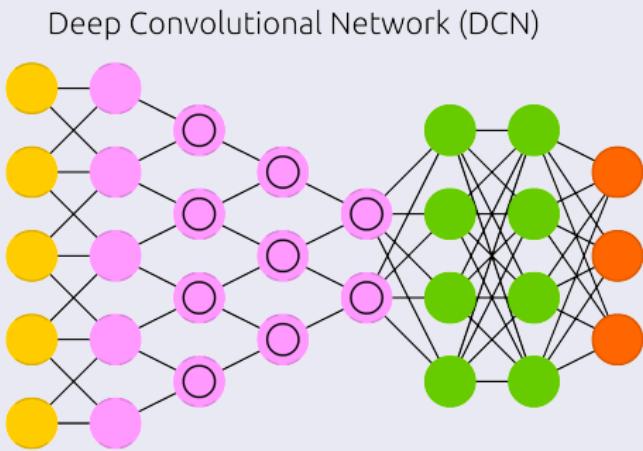
Recurrent Neural Network



From: [Asimov Institute](#)

- For Sequences, Time Series (Memory)
- Harder to train: Vanishing/Exploding Gradient
- Improvements: LSTM / GRU

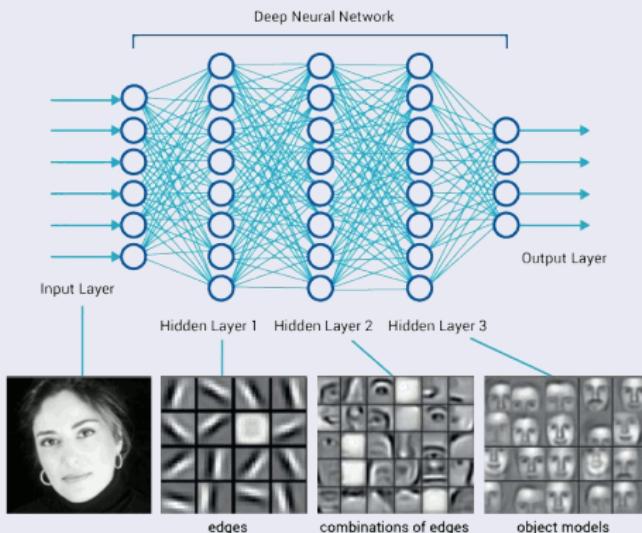
Convolutional Neural Network (CNN, Lecun 1989)



From: [Asimov Institute](#)

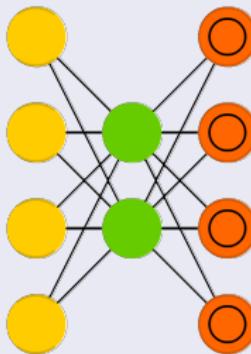
- Convolutions = filters (e.g. edge detector)

Convolutional Neural Network (CNN, Lecun 1989)



From: [diary of a wannapreneur](#)

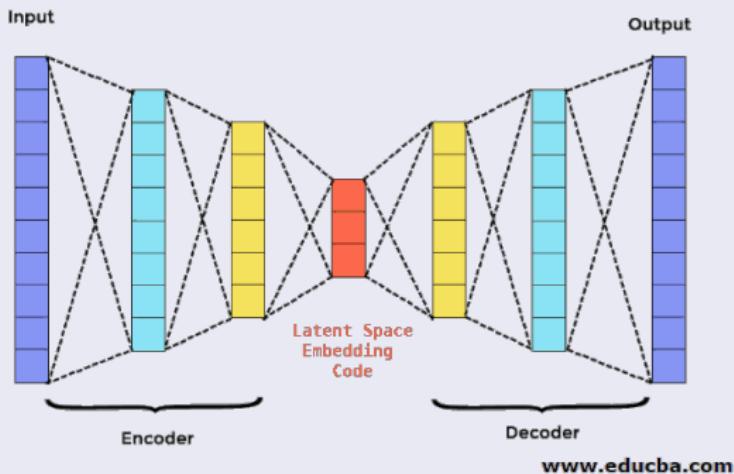
Auto Encoder (AE)



From: [Asimov Institute](#)

- Symmetrical
- Feature Engineering / Unsupervised

Auto-Encoder (AE, Lecun 1987, Kramer 1991, Hinton 1994)



From: EducBA

Section Outline

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State-of-the-art

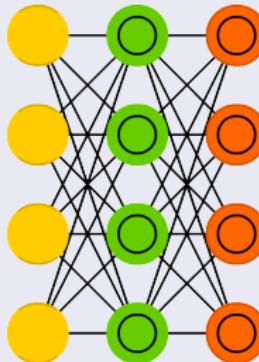
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- Attention Network

One/Few-shot training

- Siamese Networks

Variational Auto-Encoder (VAE, Kingma 2014)

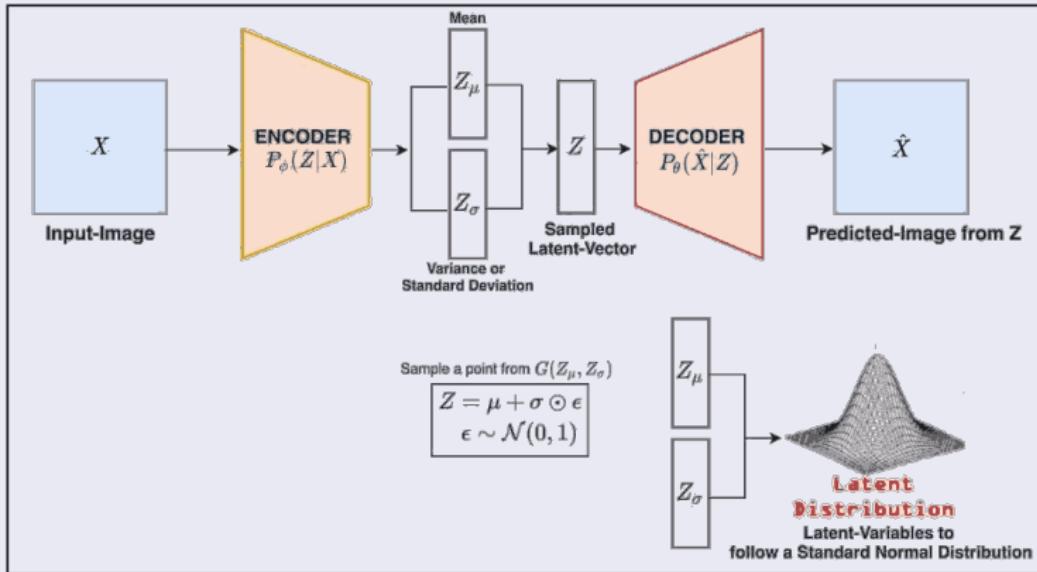
Variational AE (VAE)



From: [Asimov Institute](#)

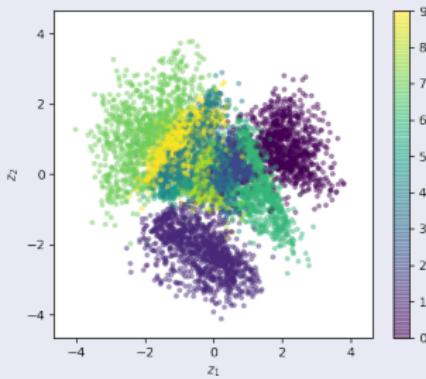
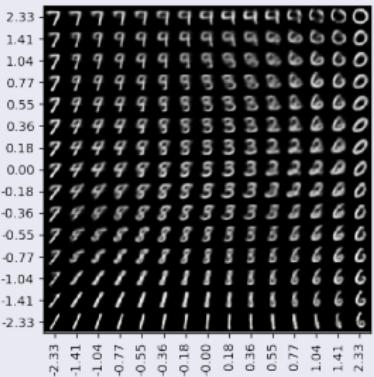
- Latent Space = Normal Distribution
- Can be used to generate data

Variational Auto-Encoder (VAE, Kingma 2014)



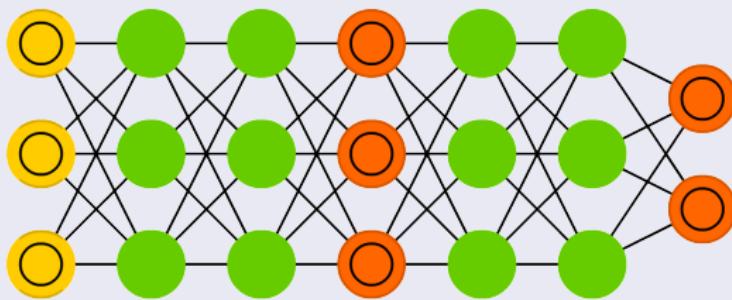
From: [Learn OpenCV](#)

Variational Auto-Encoder (VAE, Kingma 2014)



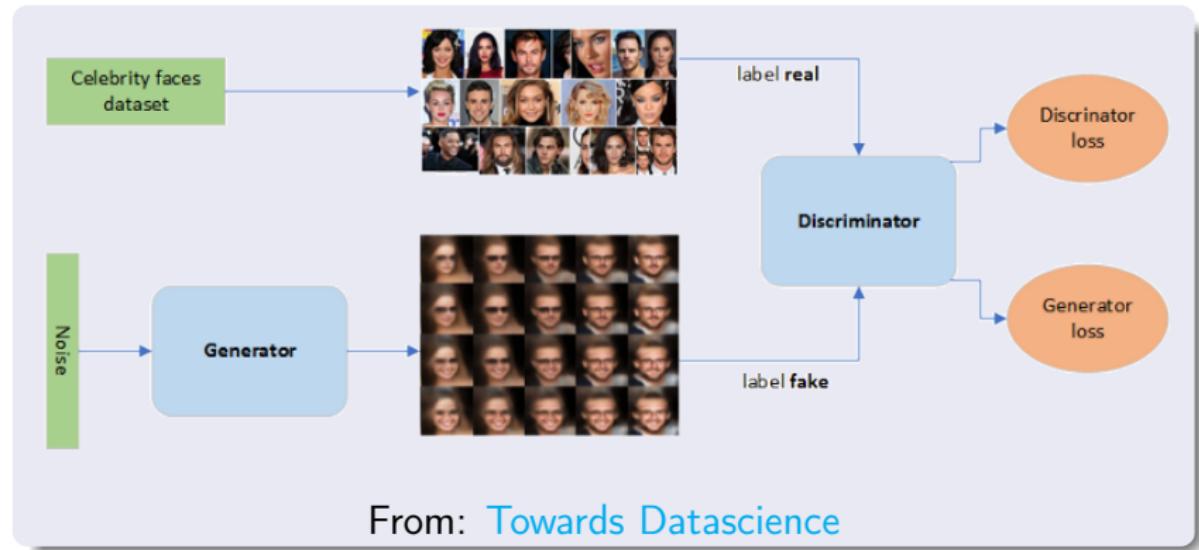
From: tiaoao.io

Generative Adversarial Network (GAN)



From: [Asimov Institute](#)

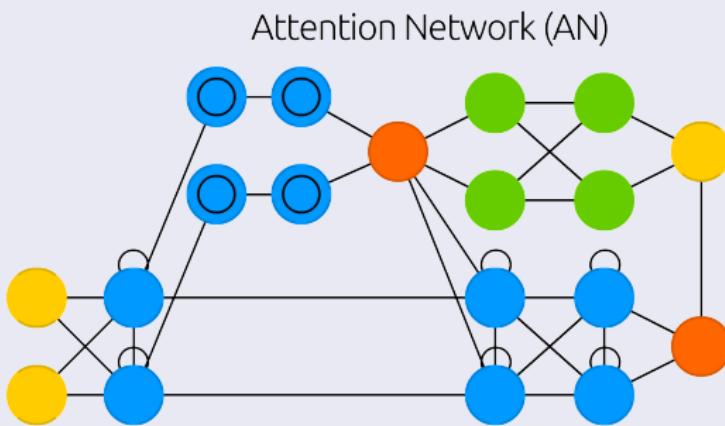
Generative Adversarial Network (GAN, Goodfellow 2014)



From: [Towards Datascience](#)

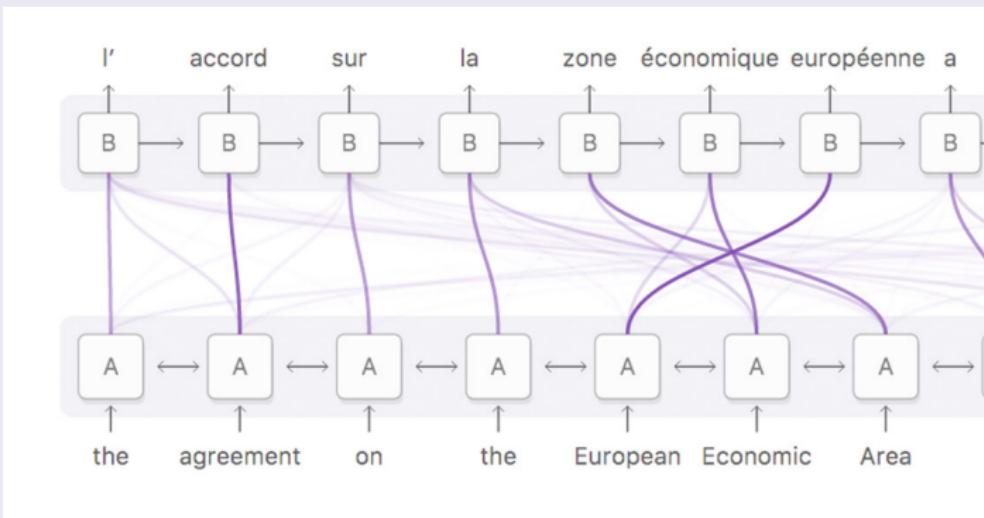
- "Old" AI Principle of Co-evolution
- Can be used to generate data

Attention Network (Vaswani 2017)



From: [Asimov Institute](#)

Attention Network (Vaswani 2017)



From: C. Olah, S. Carter (Google Brain) @Distill

Attention Network (Vaswani 2017)



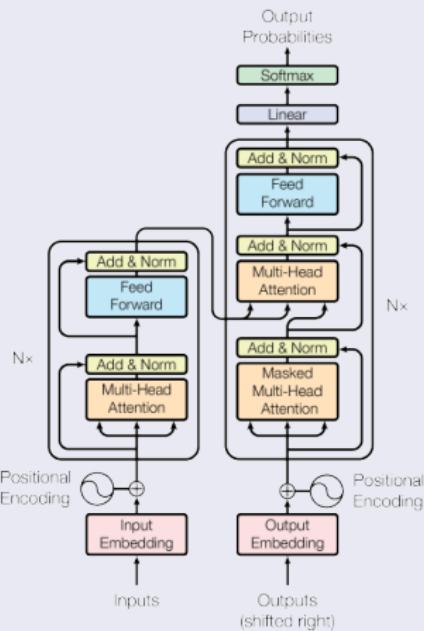
A woman is throwing a frisbee in a park.



A dog is standing on a hardwood floor.

From: "Show, attend and tell... ", K. Xu *et al* 2015.

Attention Network (Vaswani 2017)



- From: "Attention is all you need", A. Vaswani *et al*, 2017.

- Transformers
 - BERT, GPT-3...
- "Replaces" RNN, CNN

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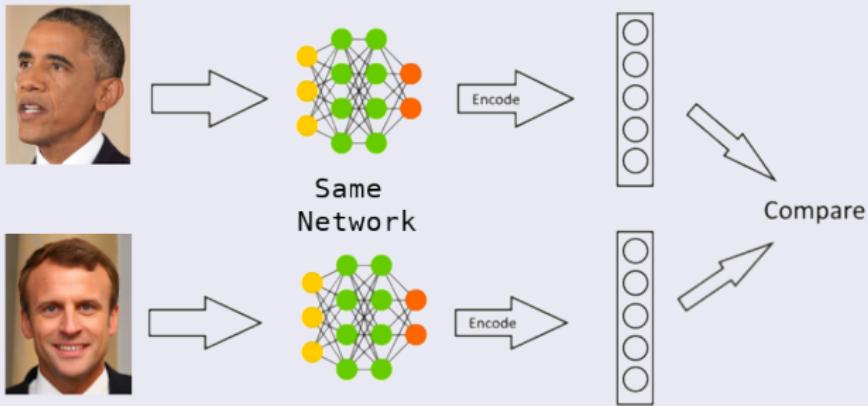
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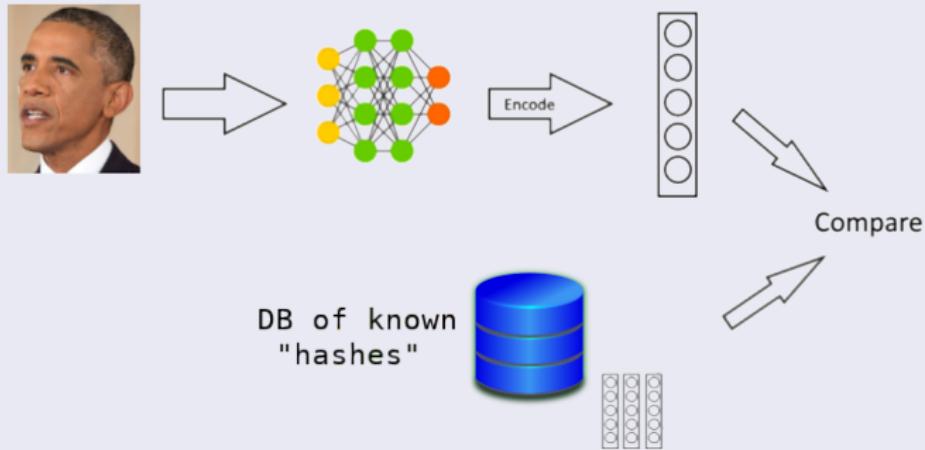
One/Few-Shot Learning (Bromley 1994, Chopra 2005)



Based on: [E. Craeymeersch](#)

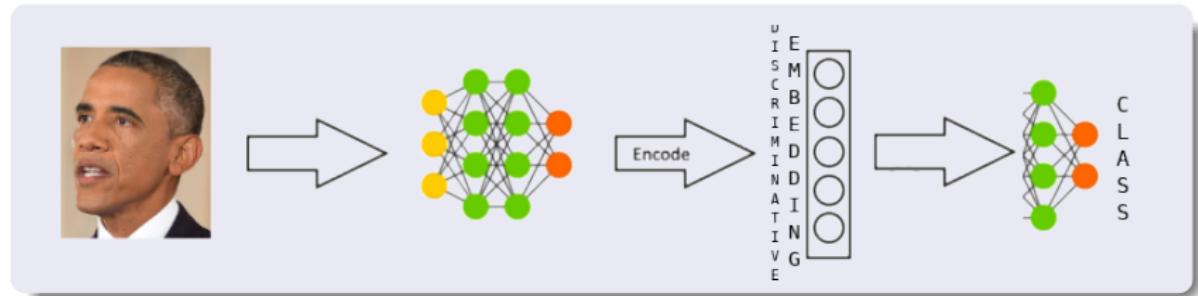
- "Siamese" Network
- When few data is available

One/Few-Shot Learning (Bromley 1994, Chopra 2005)



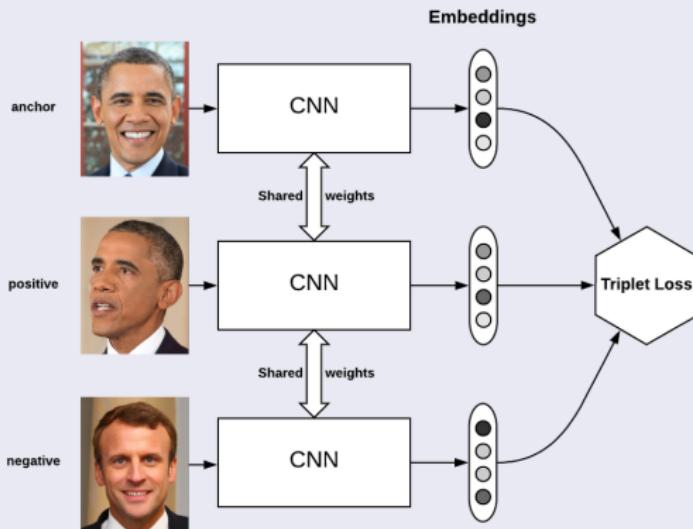
- Example: Mobile Phone facial recognition
- Same principle as Hashed passwords

One/Few-Shot Learning (Bromley 1994, Chopra 2005)



- For classification
- Concatenate a FCN at the end
- Siamese vs. FCN: discriminative latent space / few data

One/Few-Shot Learning (Bromley 1994, Chopra 2005)



From: Deep Play

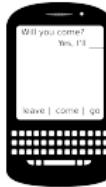
- Finds most discriminative latent space (distance)
- Requires less input data (pairs, triplets)

Deep Neural Networks

- Billions of parameters to tune
- ⇒ Billions of data!

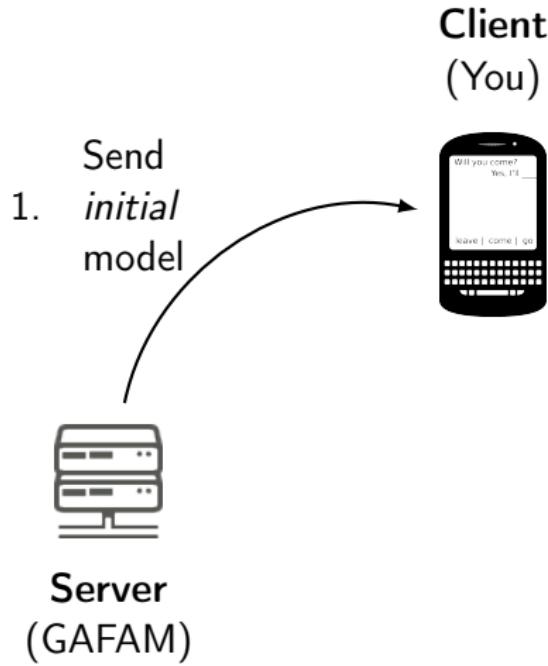
"Traditional" Machine Learning

Client
(You)



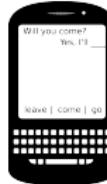
Server
(GAFAM)

"Traditional" Machine Learning



"Traditional" Machine Learning

Client
(You)

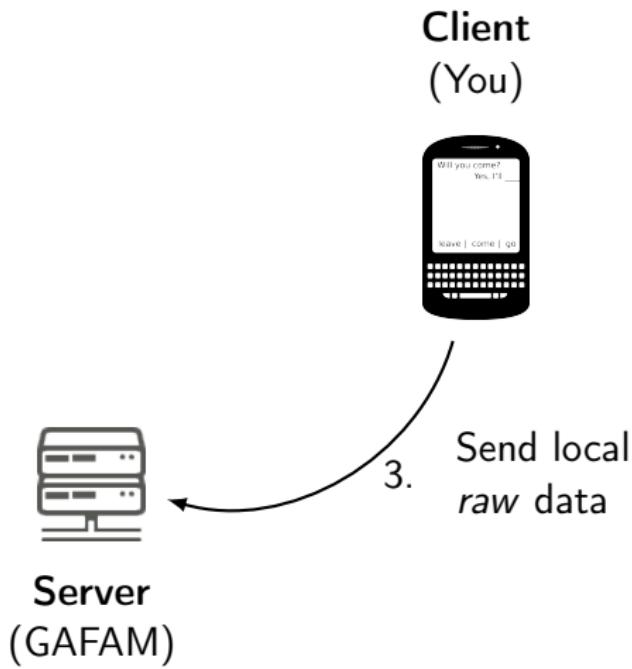


- 2.
- Use model
 - Collect data



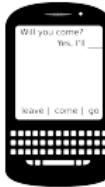
Server
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"Traditional" Machine Learning



"Traditional" Machine Learning

Client
(You)

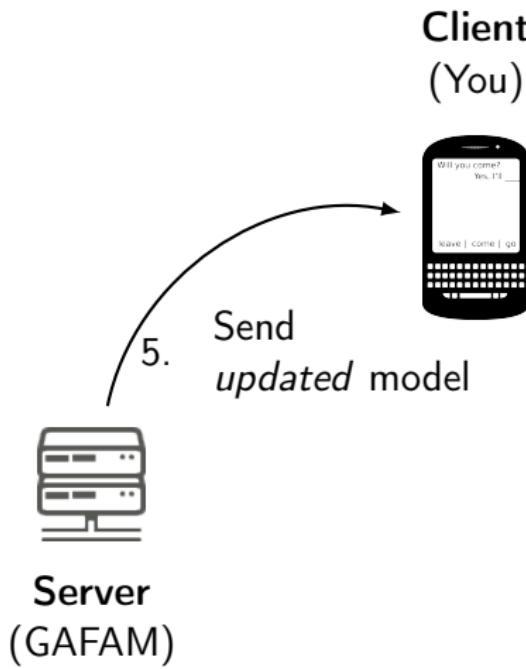


4. (re)Train model

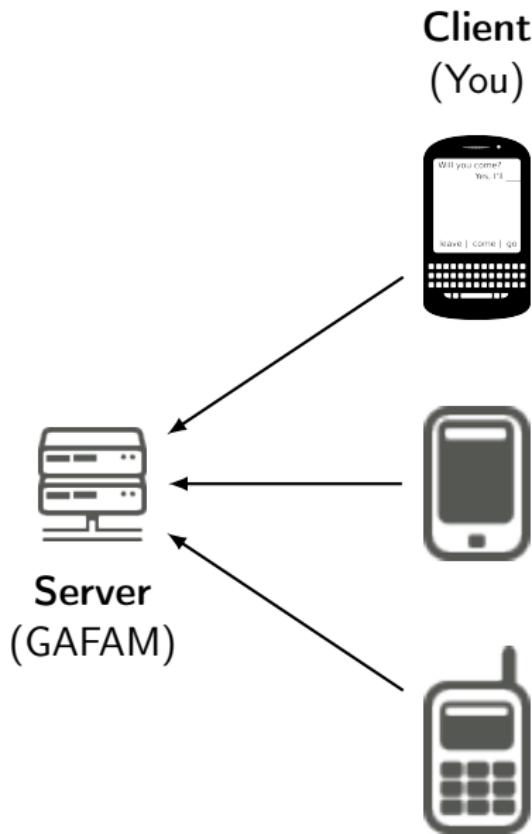


Server
(GAFAM)

"Traditional" Machine Learning



"Traditional" Machine Learning



Problem!

- Single device
 - sensitive data
- Multiple devices
 - crossed data

Privacy Problem...

- Keyboard = everything
 - Passwords
 - Sensitive messages
 - Visited websites
 - ...

Efficiency Problem...

- Raw data = 
 - Images
 - Videos
 - Sound
 - ...

"Traditional" Machine Learning – Limits

Privacy Problem...

- Keyboard = everything
 - Passwords
 - Sensitive messages
 - Visited websites
 - ...

... in general

- "Data is the new Oil"
- Health Care (ECG, EEG), Industry 4.0 (Machine logs)...
 - ⇒ Diseases, IP...

Efficiency Problem...

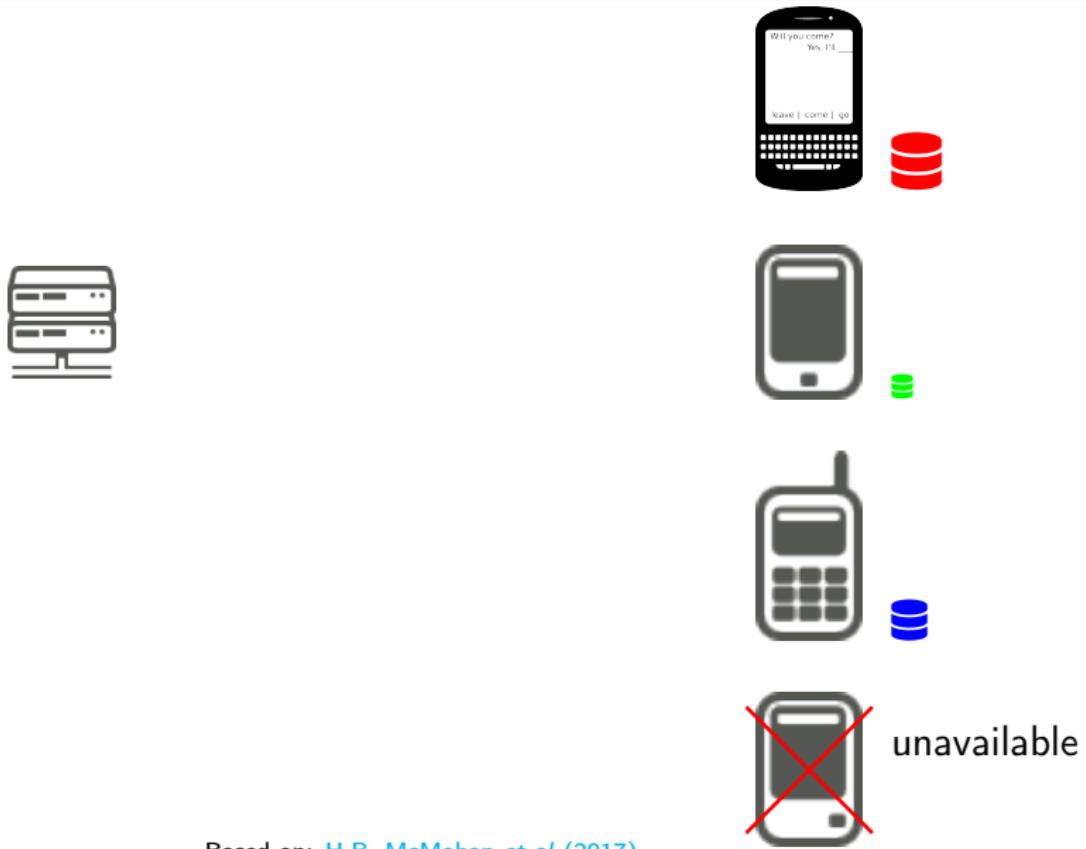
- Raw data = 
 - Images
 - Videos
 - Sound
 - ...

... in general

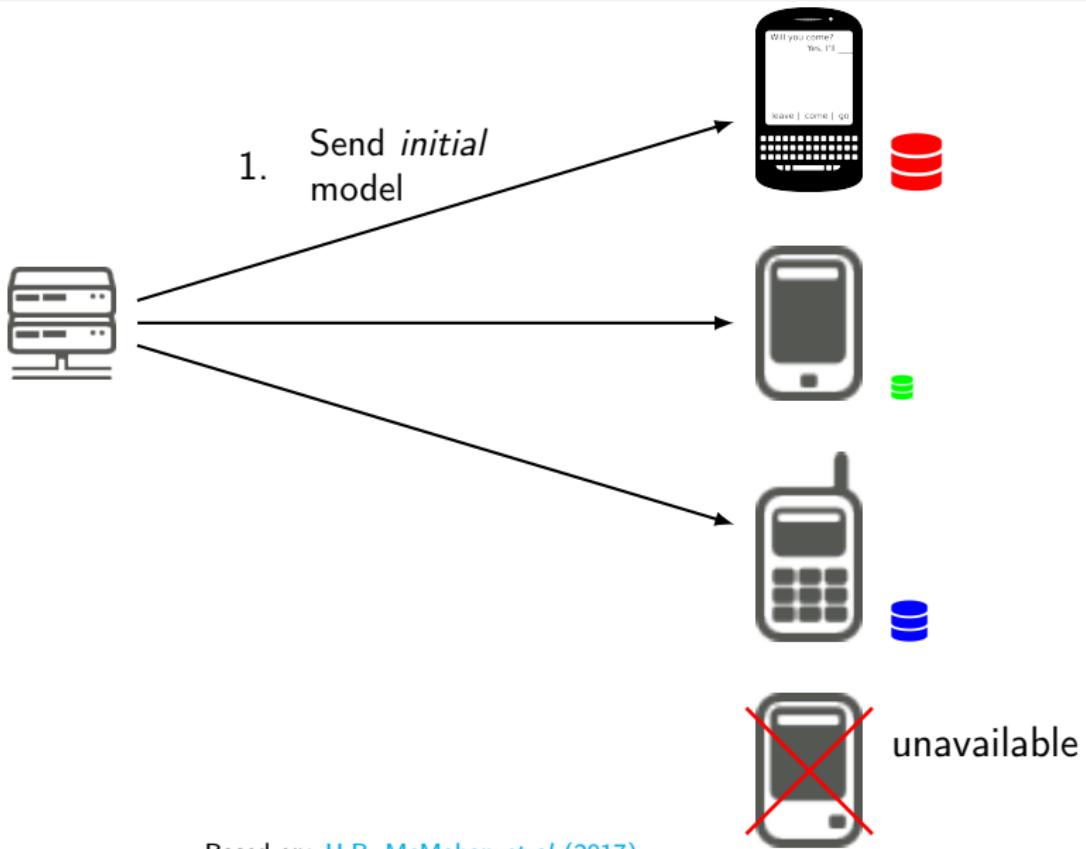
- Network Traffic
- Server Load

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Federated Learning – Principle



Federated Learning – Principle



Federated Learning – Principle

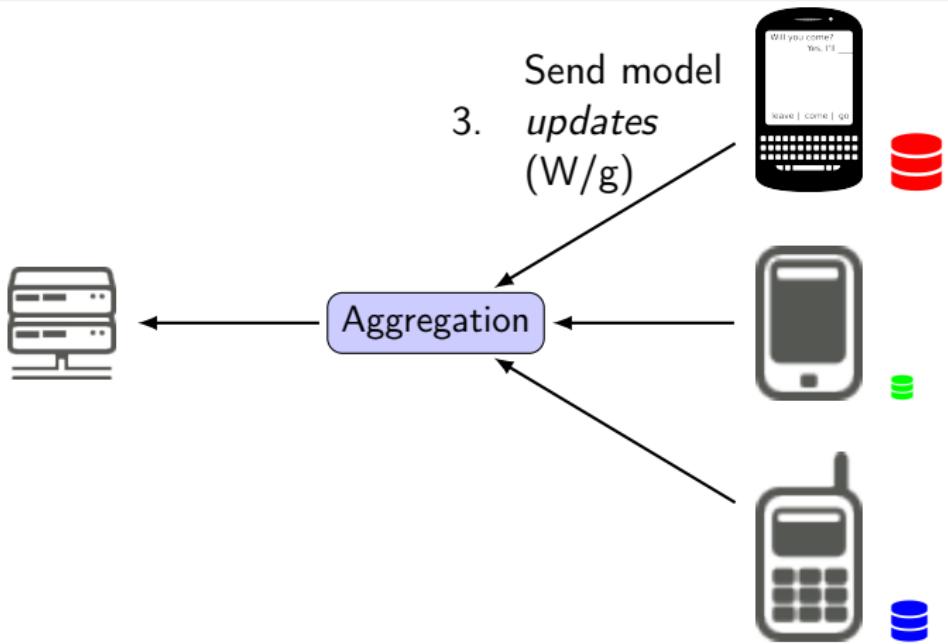


2. Train model on *local* data



Based on: [H.B. McMahan et al \(2017\)](#)

Federated Learning – Principle



Based on: H.B. McMahan et al (2017)

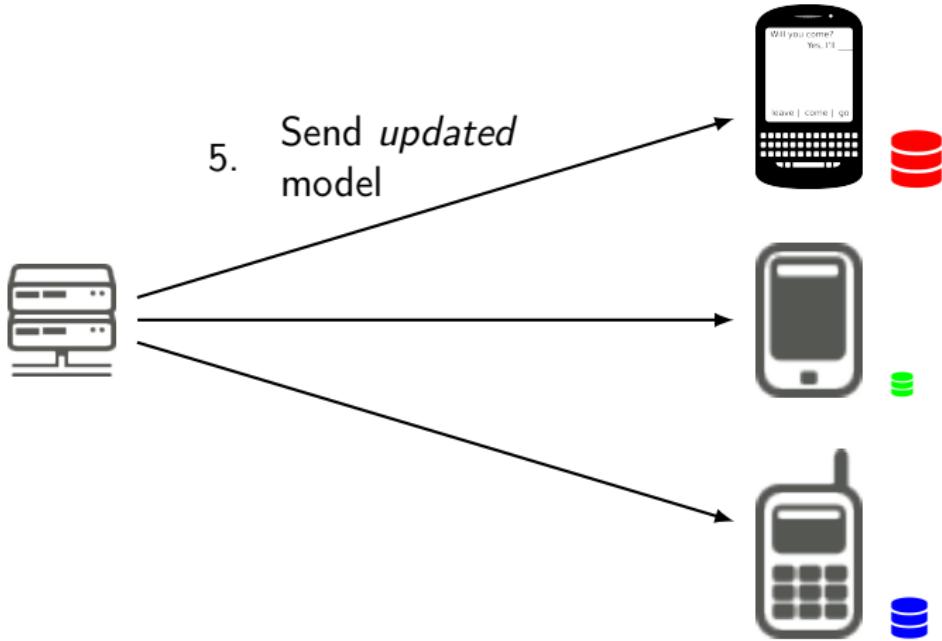
Federated Learning – Principle



4. Update *global* model

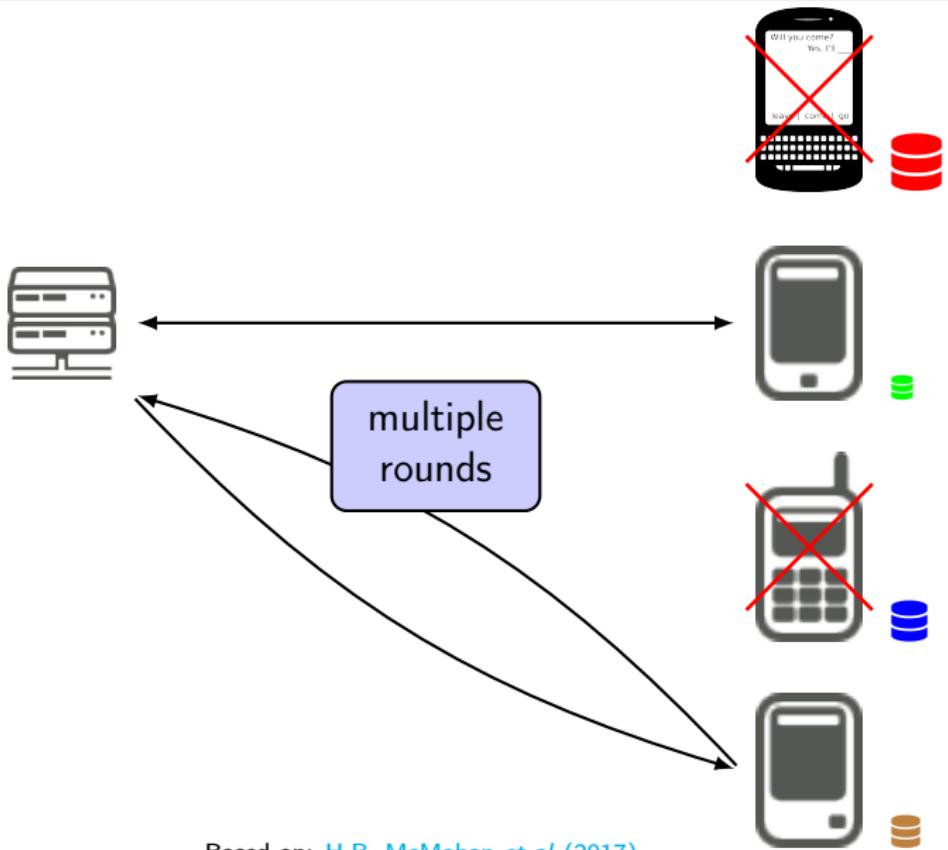
Based on: [H.B. McMahan et al \(2017\)](#)

Federated Learning – Principle



Based on: H.B. McMahan et al (2017)

Federated Learning – Principle



Based on: H.B. McMahan et al (2017)

Pros

- **Privacy**
 - model updates = unreadable (Weights/Gradients)*
- **Efficiency**
 - **CPU**: distributed computing **
 - **Net**: size(raw data) \ggg size(model updates) ***

Cons

Practice works! ****

Theory still research to do on *many* aspects!

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Privacy Problem in Standard FL

Model Inversion ([M. Fredrikson et al, 2015](#))



Privacy Problem in Standard FL

Model Inversion ([M. Fredrikson et al, 2015](#))



Privacy Problem in Standard FL

Model Inversion ([M. Fredrikson et al, 2015](#))



Model Inversion ([B. Hitaj et al, 2017](#))



Original



$\theta_u = 1$
 $\theta_d = 1$

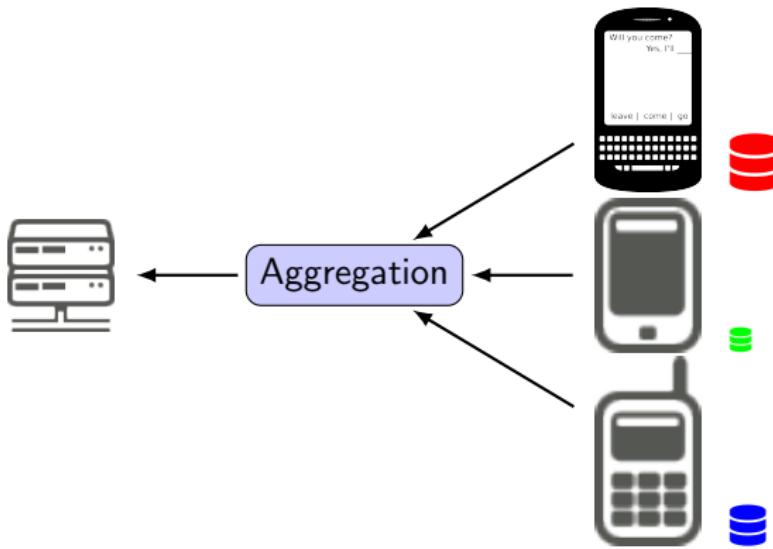


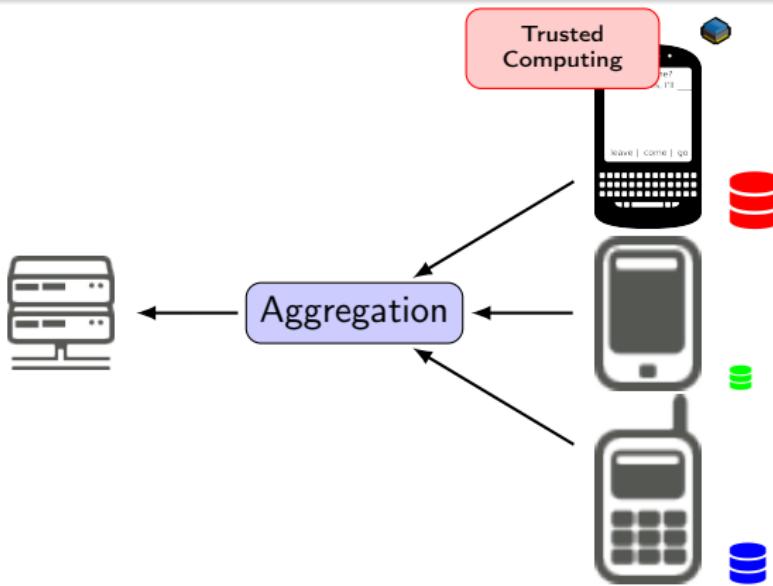
$\theta_u = 0.1$
 $\theta_d = 1$



$\theta_u = 0.1$
 $\theta_d = 0.1$

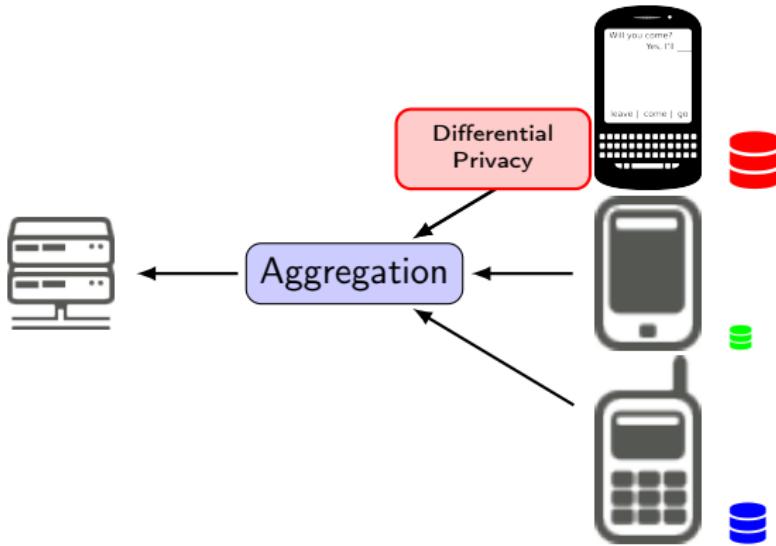
Protecting Privacy in FL (Based on: C. Wierzynski)





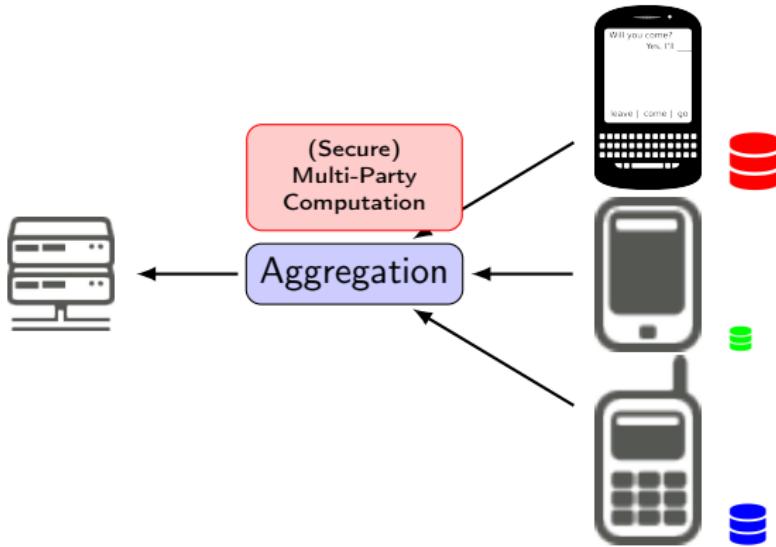
Trusted Execution Environment (TEE)

- Prevents external processes from reading RAM
 - Raw Data, Model, Gradients...
- Hardware protection (Intel, AMD, ARM, RISC-V...)



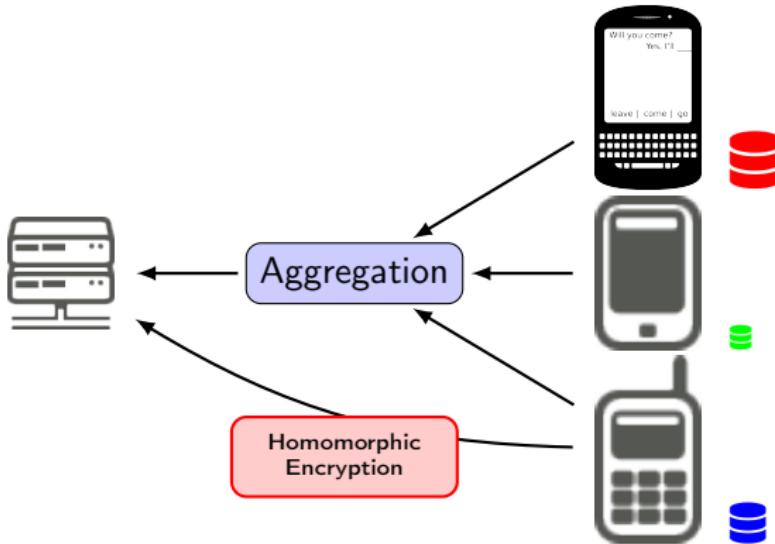
Differential Privacy (DP), (C. Dwork *et al*, 2014)

- Add noise to dataset so that stats remain same \Rightarrow ML possible
 - Limited Budget
- Limits "Model Inversion" attacks



(Secure) Multi-Party Computation ([S]MPC)

- Jointly compute a function while keeping inputs private
 - $(\mathcal{A} + x) + (\mathcal{B} + y) + (\mathcal{C} + z) = (\mathcal{S} - s), s = x + y + z$
- Protects from network eavesdroppers (MitM)



Homomorphic Encryption (FEH) (C. Gentry, 2009)

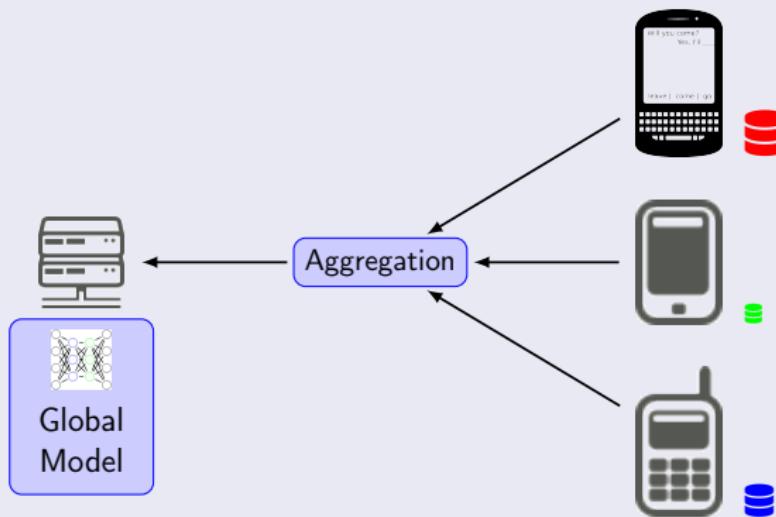
- Compute directly on cyphered data
- Similar technology as SMPC
- 🏆, but order of magnitude less efficient

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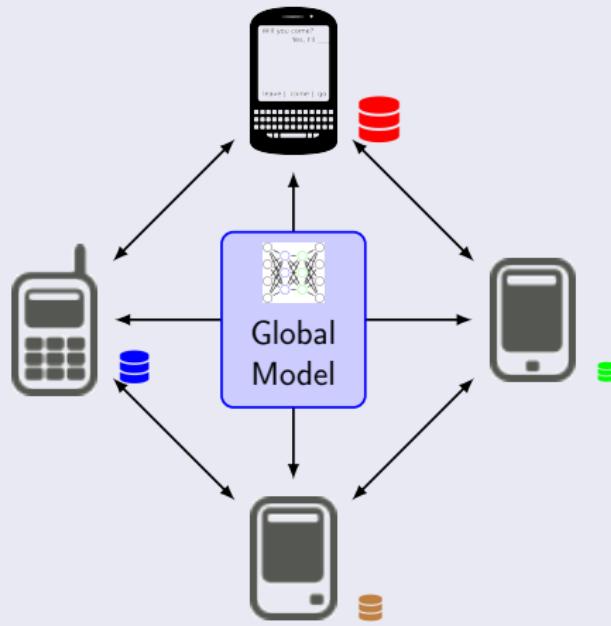
Sources

- Kairouz *et al* (2019) (105p)
- Beutel *et al* (2020) (platforms)
- Khan *et al* (2021) (IoT)

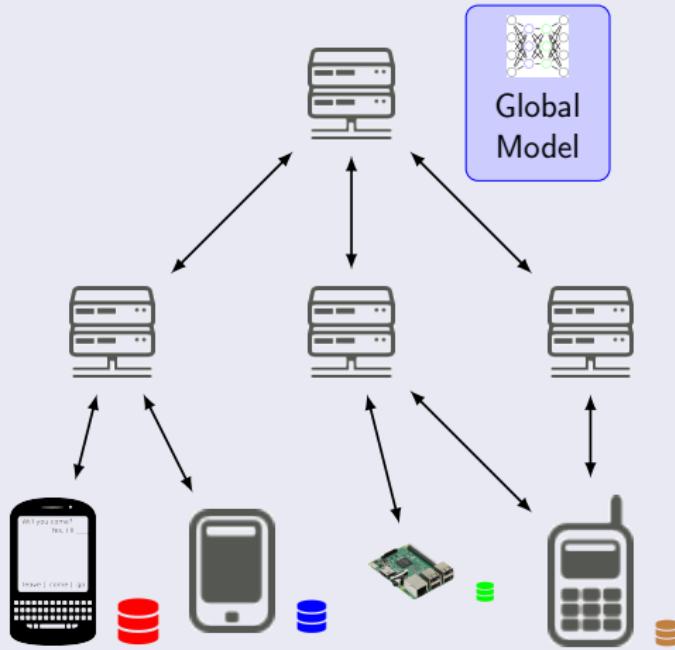
Centralized



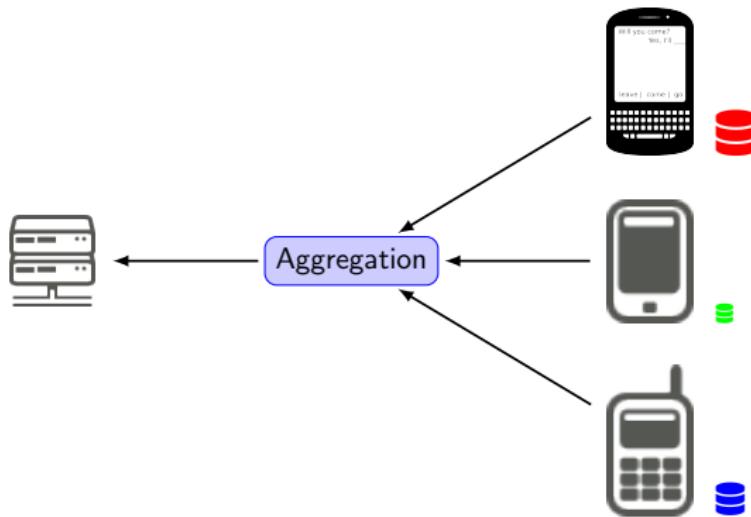
Decentralized



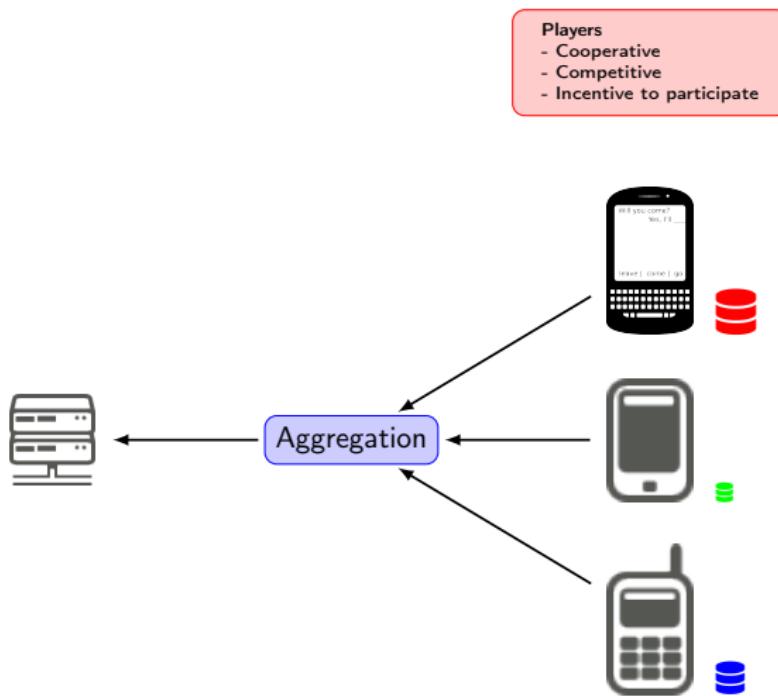
Hybrid



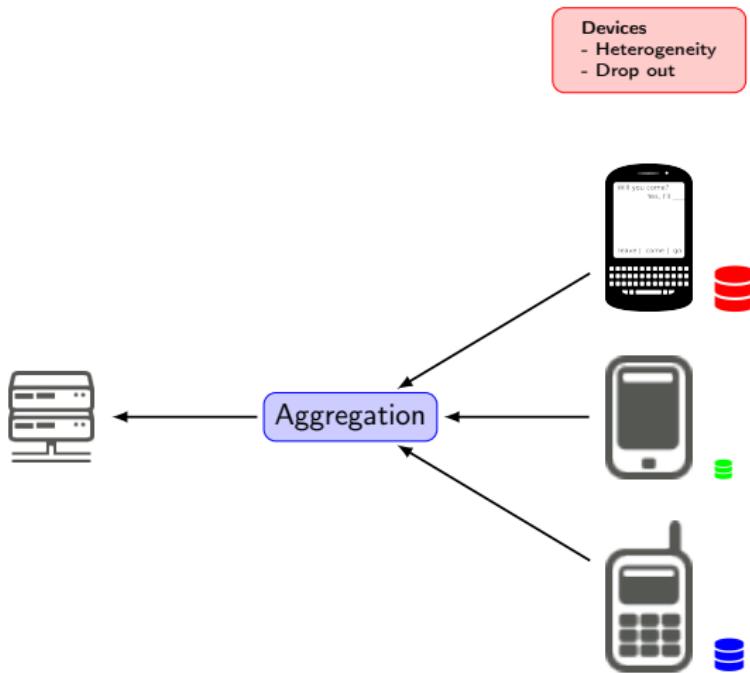
Federated Learning – Research areas



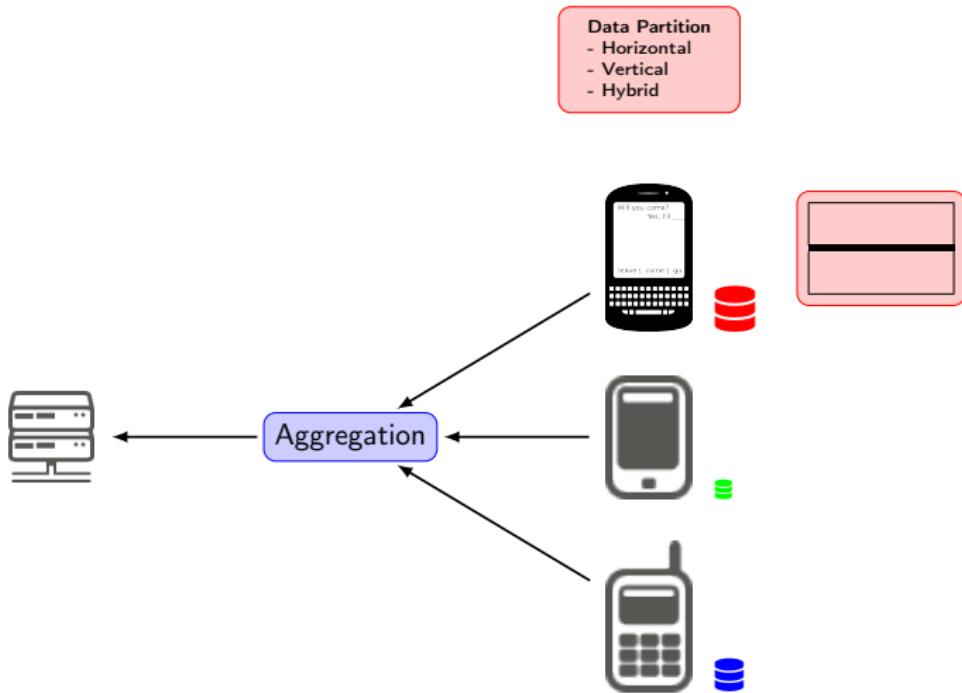
Federated Learning – Research areas



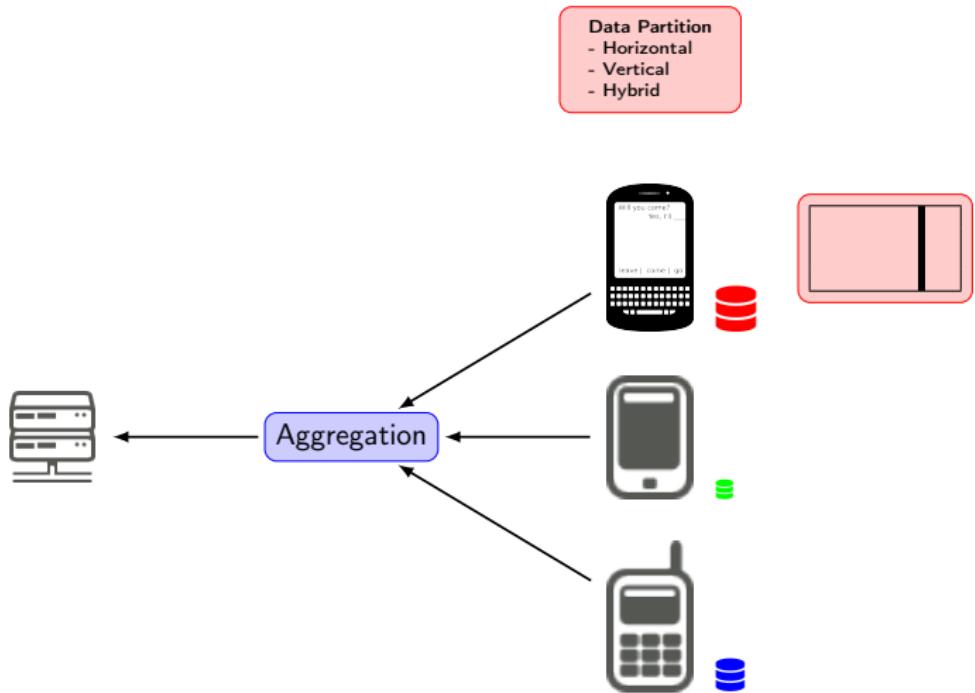
Federated Learning – Research areas



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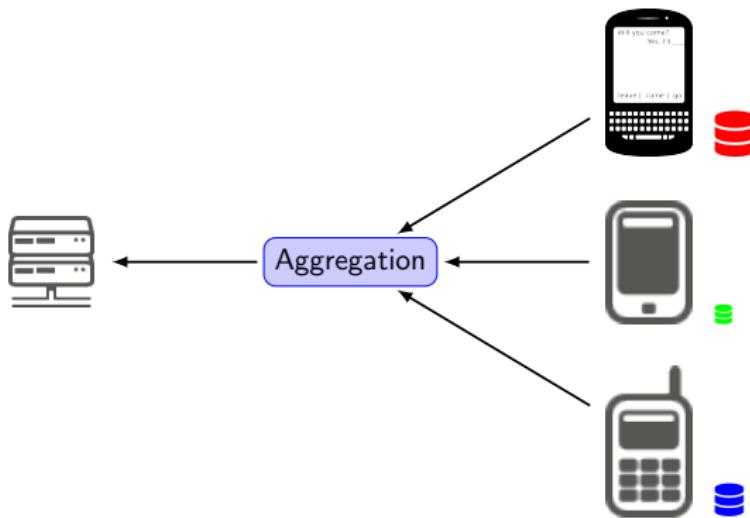


Federated Learning – Research areas

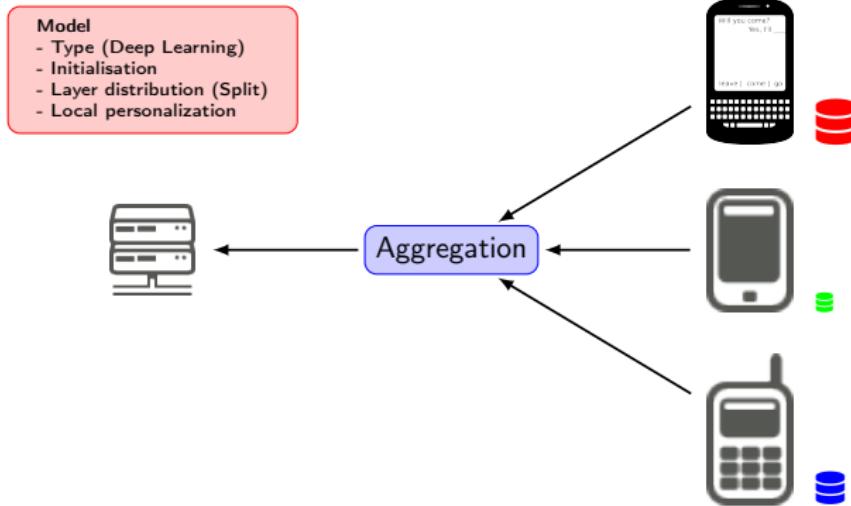


Federated Learning – Research areas

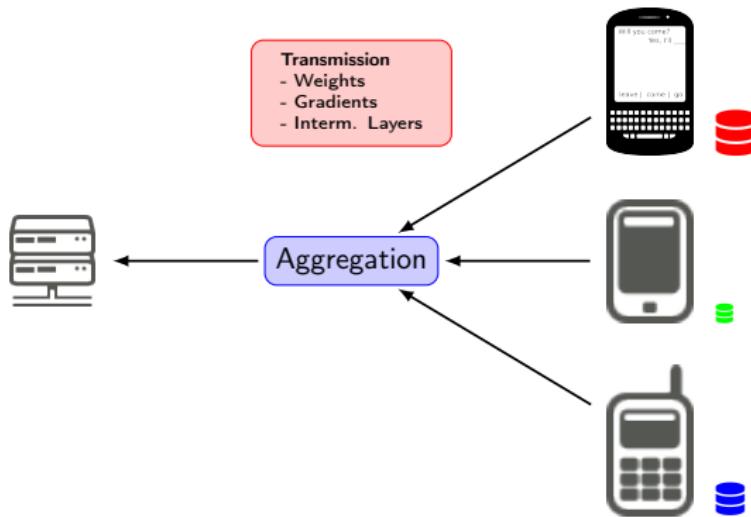
Data Distribution (non/IID)
- im/balance
- in/dependence
- label/feature shifts...



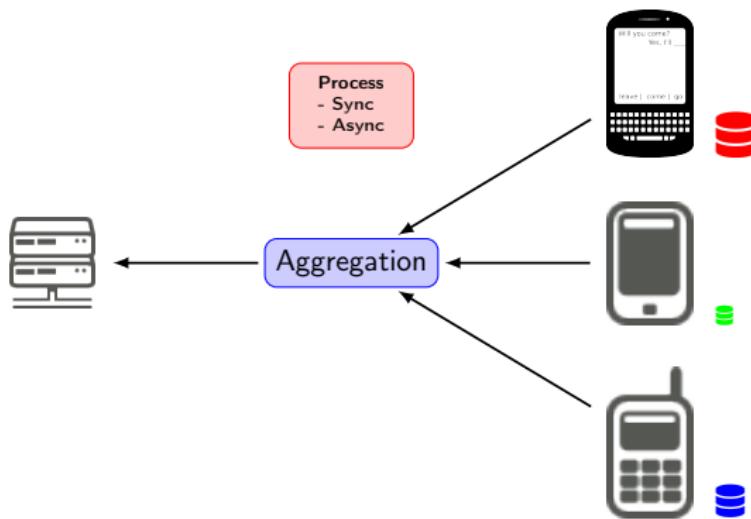
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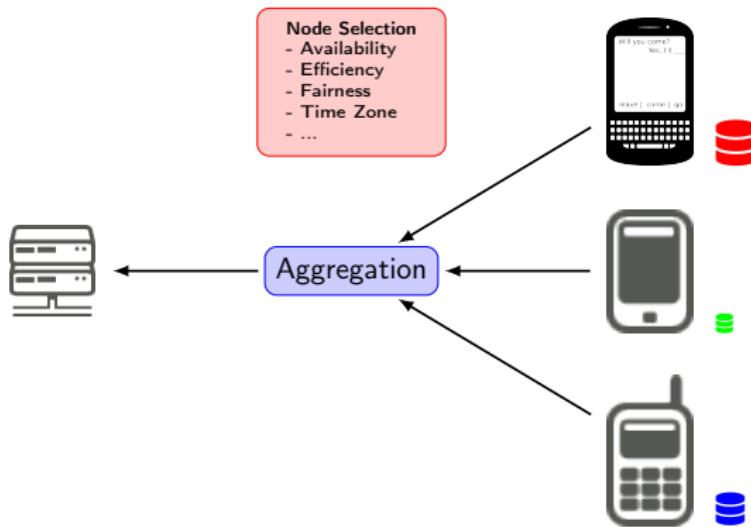
Federated Learning – Research areas



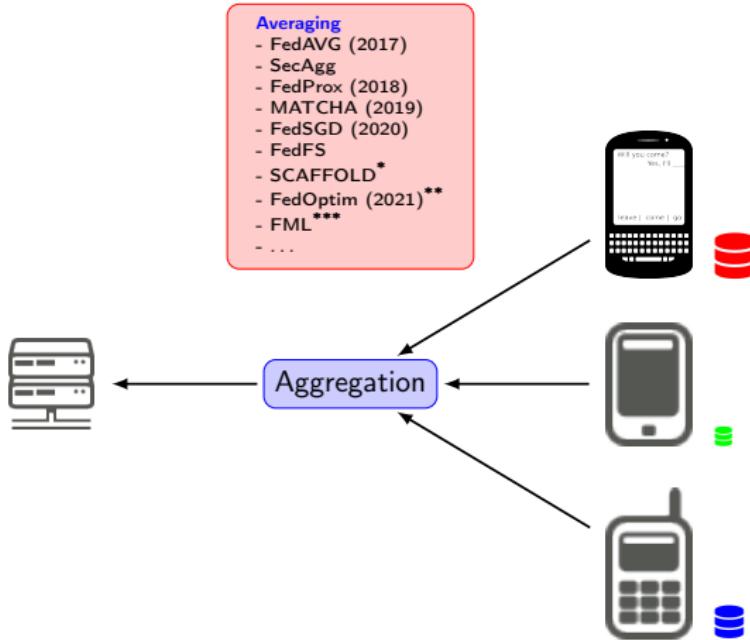
Federated Learning – Research areas



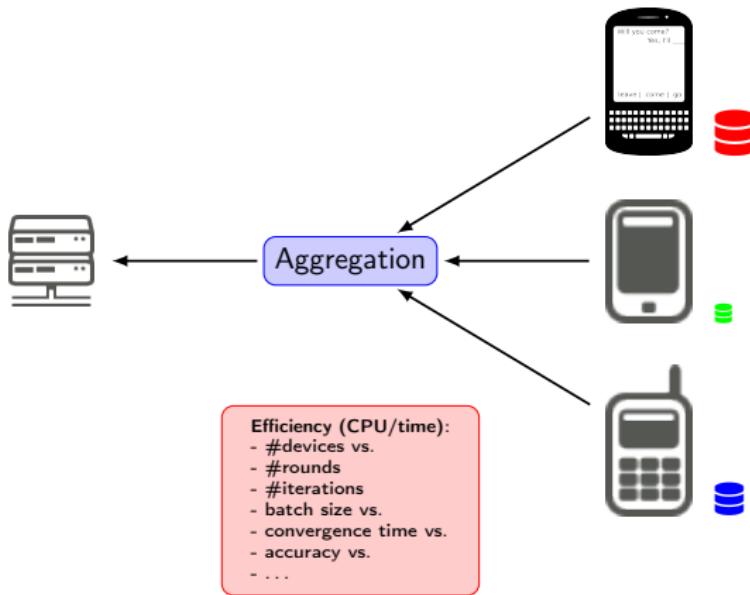
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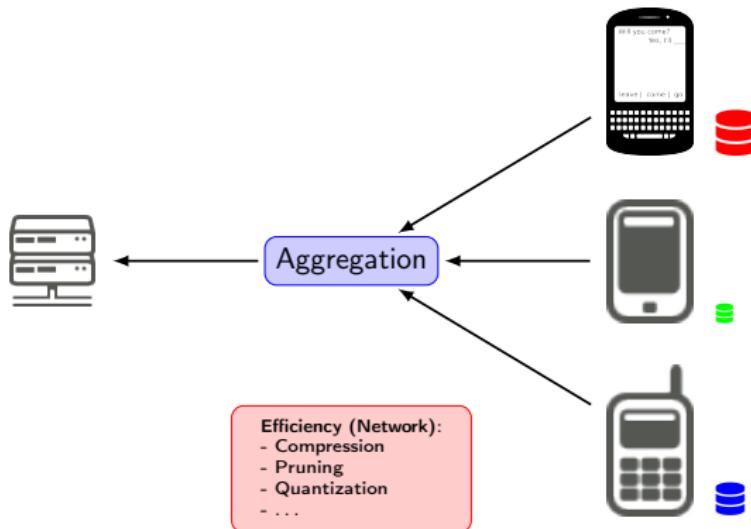
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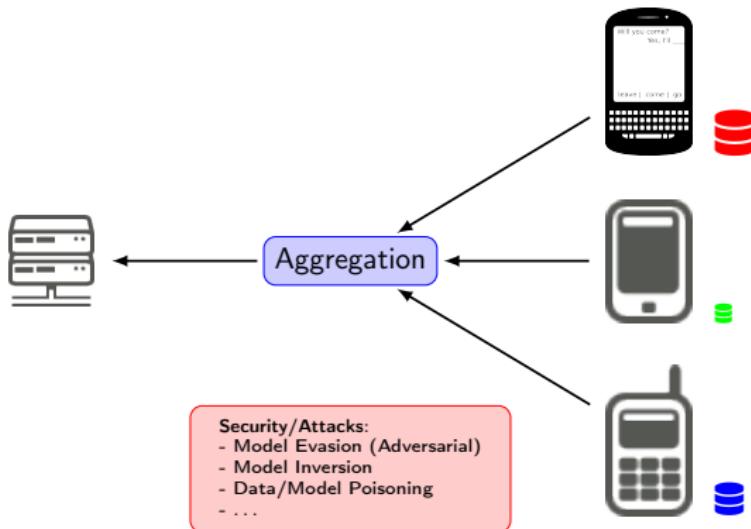
Federated Learning – Research areas



Federated Learning – Research areas



Federated Learning – Research areas



- 1 Introduction: AI for IoT and Smart Cities
- 2 Artificial Intelligence / Data Science / Machine Learning
- 3 Federated Learning
 - Introduction to Federated Learning
 - Challenges – Privacy
 - Challenges – Current Research
 - Software Platforms for Federated Learning

A lot of platforms!!!

Big Players – "Red Hot"

- PySyft (OpenMined)
- TensorFlow Federated (Google)

Big Players – "Industrial"

- FATE (WeBank)
- IBM-FL (IBM)
- CLARA (NVIDIA)
- Open Federated Learning (Intel)
- PaddleFL (Baidu)
- Owkin Connect (Owkin)

Big Players – "Academic"

- FedML (USC)
- LEAF (Carnegie Mellon)
- Flower (UK, DE, FR)

Others

- XayNet
- G(galaxy)FL
- Sherpa.ai
- DiscreetAI

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<https://github.com/OpenMined/PySyft>

Who

- OpenMined (Andrew Trask)

What

- Low level
- Central Controller
- PyTorch & TensorFlow

Focus

- Privacy

Pros

- Lots of docs

https://www.youtube.com/watch?v=NJBBE_SN90A (commercial)

<https://www.youtube.com/watch?v=4zrU54VIK6k> (technical)

Tensor Flow Federated (TFF)

<https://www.tensorflow.org/federated>

Who

- Google (Krzysztof Ostrowski, Emily Ganz)

What

- Low level
- TensorFlow only

Focus

- Privacy (GBoard)

Pros

- Lots of docs

<https://www.youtube.com/watch?v=m17IgaHaoTI>

<https://owkin.com/platform/software-stack/>

Who

- Owkin (French startup, Thomas Clozel)

What

- Totally decentralized
- Uses & Ledger/BlockChain (\downarrow cheating & computation)

Focus

- Health Care Applications
- Vertical FL

Cons

- Only core is FLOSS ("Substra")

<https://www.youtube.com/watch?v=mjqTpahgkGs>

<https://github.com/FedML-AI/FedML>

Who

- Consortium
 - Academics: USC + Stanford + MIT + MSU...
 - Companies: Tencent + WeBank...
- Presented @NeurIPS in dec. 2020

What

- Simulation & On-device
- Device/Platform/Framework Agnostic

<https://arxiv.org/abs/2007.13518>

<https://flower.dev/>

Who

- Consortium (UK + Germany + France...)

What

- Simulation & On-device
- Device/Platform/Framework Agnostic
 - PyTorch, TensorFlow, MXNet...
 - IoT, Servers...

<https://arxiv.org/abs/2007.14390>

ML ("Data Intelligence" Team)

- Transfer Learning/DA, PAC Bayesian, Graph NN, Fairness...

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FL & Networking (GM + K. Singh)

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 - Safety
- **⚠ PhD/post-doc positions ⚠**

FL & Small Devices (GM + V. Fresse + Telecom Bretagne)

- FPGAs + Split Learning

FL & Privacy (T.N. Le, A. Habrard, M. Sebban)

- Random Projections

IoT & AI for Smart Cities

- Smart Applications ⇒ Machine Learning



IoT & AI for Smart Cities

- Smart Applications ⇒ Machine Learning



IoT = Decentralized setup / Constrained resources

- Ensure Privacy & Efficiency ⇒ Federated Learning

IoT & AI for Smart Cities

- Smart Applications ⇒ Machine Learning



IoT = Decentralized setup / Constrained resources

- Ensure Privacy & Efficiency ⇒ Federated Learning

Federated Learning works!

- There are many platforms
- But there are still challenges in many specific points

Thematic Bibliography – Basics

Fundational Paper

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[KMA⁺19] Peter Kairouz, H. Brendan McMahan, Brendan Avent, Aurélien Bellet, Mehdi Benni, Arjun Nitin Bhagoji, Kallista A. Bonawitz, Zachary Charles, Graham Cormode, Rachel Cummings, Rafael G. L. D’Oliveira, Salim El Rouayheb, David Evans, Josh Gardner, Zachary Garrett, Adrià Gascón, Badih Ghazi, Phillip B. Gibbons, Marco Gruteser, Zaïd Harchaoui, Chaoyang He, Lie He, Zhouyuan Huo, Ben Hutchinson, Justin Hsu, Martin Jaggi, Tara Javidi, Gauri Joshi, Mikhail Khodak, Jakub Konečný, Aleksandra Korolova, Farinaz Koushanfar, Sanmi Koyejo, Tancrede Lepoint, Yang Liu, Prateek Mittal, Mehryar Mohri, Richard Nock, Ayfer Özgür, Rasmus Pagh, Mariana Raykova, Hang Qi, Daniel Ramage, Ramesh Raskar, Dawn Song, Weikang Song, Sebastian U. Stich, Ziteng Sun, Ananda Theertha Suresh, Florian Tramèr, Praneeth Vepakomma, Jianyu Wang, Li Xiong, Zheng Xu, Qiang Yang, Felix X. Yu, Han Yu, and Sen Zhao. **Advances and open problems in federated learning.** *CoRR*, abs/1912.04977, 2019

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- (FedSVG) [RCZ⁺20] Sashank Reddi, Zachary Charles, Manzil Zaheer, Zachary Garrett, Keith Rush, Jakub Konečný, Sanjiv Kumar, and H Brendan McMahan. **Adaptive federated optimization.** *arXiv preprint arXiv:2003.00295*, 2020
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- [HAPC17] Briland Hitaj, Giuseppe Ateniese, and Fernando Perez-Cruz. [Deep models under the gan: information leakage from collaborative deep learning](#). In *Proceedings of the 2017 ACM SIGSAC Conference on Computer and Communications Security*, pages 603–618, 2017
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Platforms

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[Int] Intel. [Open federated learning platform](https://github.com/IntelLabs/OpenFederatedLearning). <https://github.com/IntelLabs/OpenFederatedLearning>

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Preliminaries

- apt install python3

Virtual Env

- apt install python3-venv
- python -m venv isc2lab && cd isc2lab && ./bin/activate

FL Platform: Flower + ML lib: TensorFlow

- pip3 install flwr
- pip3 install tensorflow

Code & Dataset

- <https://github.com/GMTSE/isc2-IoT-AI-smart-tutorial>